Distinct involvements of the subthalamic nucleus subpopulations in reward-biased decision-making in monkeys

Kathryn Branam, Joshua I. Gold, Long Ding[*]

Department of Neuroscience, University of Pennsylvania, Philadelphia, PA 19104

* Corresponding author (lding@pennmedicine.upenn.edu)

Abstract

The subthalamic nucleus (STN) is a part of the indirect and hyperdirect pathways in the basal ganglia (BG) and has been implicated in movement control, impulsivity, and decision-making. We recently demonstrated that, for perceptual decisions, the STN includes at least three subpopulations of neurons with different decision-related activity patterns (Branam et al., 2024). Here we show that, for decisions that require both perceptual and reward-based processing, many STN neurons are sensitive to both sensory evidence and reward expectations. Within a drift-diffusion framework, STN subpopulations show different relationships to model components reflecting formation of the decision variable, dynamics of the decision bound, and non-decision-related processes. The subpopulations also differ in their representations of quantities related to decision evaluation, including choice accuracy and reward expectation. These results suggest that the STN plays multiple roles in decision formation and evaluation to guide complex decisions that combine multiple sources of information.

Introduction

The subthalamic nucleus (STN) is a part of the indirect and hyperdirect pathways in the basal ganglia (BG). It provides major glutamatergic inputs to the output nuclei of the BG, namely the substantia nigra pars reticulata (SNr) and the internal segment of the globus pallidus (GPi). Because of its strategic location in these pathways, the STN has been studied extensively in the context of movement control (e.g., Martin, 1927; Martin and Alcock, 1934; Whittier and Mettler, 1949; Carpenter et al., 1950; Wichmann et al., 1994; DeLong and Wichmann, 2001) and is a primary target site for clinical interventions to improve motor functions (e.g., deep brain stimulation in Parkinson's disease; DeLong and Wichmann, 2001). Functionally, the STN has been linked to inhibitory control of actions or impulsivity (e.g., Baunez et al., 2001; Desbonnet et al., 2004; Witt et al., 2004; Aron and Poldrack, 2006; Frank et al., 2007; Isoda and Hikosaka, 2008; Forstmann et al., 2012; Schmidt et al., 2013; Pasquereau and Turner, 2017; Bonnevie and Zaghloul, 2019).

In parallel to these studies in the motor domain, more recent studies have implicated the STN in a variety of more cognitive functions. These functions include reward-based decision-making (van Wouwe et al., 2011; Espinosa-Parrilla et al., 2015; Seymour et al., 2016; Zénon et al., 2016; Nougaret et al., 2022; Pagnier et al., 2024), noisy evidence-based decision-making (Bogacz and Gurney, 2007; Ratcliff and Frank, 2012; Wei et al., 2015; Zaehle et al., 2017; Branam et al., 2024), and decision conflict resolution (Lehericy et al., 2004; Aron et al., 2007; Fumagalli et al., 2011; Brittain et al., 2012; Zaghloul et al., 2012; Zavala et al., 2017). To support these diverse functions, the STN may contain subpopulations of neurons with distinct computational roles.

Consistent with this idea, we and others previously identified subpopulations of STN neurons with distinct activity patterns when recorded during performance of single tasks (Zavala et al., 2017; Branam et al., 2024). For example, we identified three subpopulations (Branam et al., 2024) that align roughly with three different computational models of STN's contributions to perceptual decision-making (Bogacz and Gurney, 2007; Ratcliff and Frank, 2012; Wei et al., 2015). However, the specific computational roles that these different subpopulations play in decision-making and other cognitive functions remain not well understood, in part because they have not been examined under a broad enough range of conditions to fully characterize those roles. Here we build on our previous work by recording from individual STN neurons in monkeys performing a more complex decision task that requires integrating noisy evidence accumulation with reward preference. We show that this increased computational task demand can help delineate the diverse computational roles played by different STN subpopulations in the cognitive control of behavior.

Results

We used the same two monkeys as in the previous study of STN neurons (Branam et al., 2024), who were trained to perform a visual motion discrimination decision task by making a saccade at a self-chosen time to indicate their perception of the global motion direction of a random-dot kinematogram (Figure 1A). Here we also used an asymmetric-reward version of the task, for which we separately manipulated the noisy evidence and reward context (a larger juice reward for a correct choice associated with one of the two directions). For each trial, the motion strength and direction were chosen randomly from five values and two directions, respectively. In a block of trials (median: 55 trials, [5 95] percentile: [41 63] trials), one choice was paired with a large reward, and the other was paired with a small reward. The choice-reward association (i.e., "reward context") was alternated between blocks and signaled to the monkeys at block transitions via changes in the colors of the choice targets. The monkeys were rewarded for correct choices only. The behavioral performance of the monkeys has been documented extensively (Fan et al., 2018; Doi et al., 2020; Fan et al. 2020), with both showing consistent biases toward the large-reward choice (Figure 1B, C).

STN combines visual and reward information at the single-neuron level

Figure 1: Monkeys biased toward choices associated with large reward
A, Task design and timeline. Monkeys made saccades to indicate their perceived motion direction. Correct trials were rewarded based on the reward context (see Table inset). Error trials were not rewarded. "Epochs" illustrate the time windows for epoch-based analyses.
B, Average choice (left) and RT (right) behavior of the two monkeys. Monkey C: 91 sessions, 37,291 trials; Monkey F: 59 sessions, 25,481 trials. Filled and open circles are data from the three reward contexts, as indicated at the top of the panel.
C, Histograms of reward bias for all sessions, estimated using logistic fits to choice data.

While the monkeys were performing the equal- and asymmetric-reward versions of the task, we
measured single-neuron activity of 150 STN neurons (n=91 and 59 for monkeys C and F,
respectively). Many single STN neurons were sensitive to manipulations of visual evidence and
reward contexts. Figure 2 shows the activity of three example neurons on the equal- (panels A–
C) and asymmetric- (panels D–F) reward tasks. The first example neuron showed choice- and
coherence-independent activation after motion onset, which dissipated approaching saccades, on
the equal-reward task (Figure 2A). On the asymmetric-reward task, this neuron showed similar
activation for the two choices but became sensitive to motion coherence (Figure 2D; e.g., in trials
with contralateral choices, dark purple curves are higher than light purple curves). The activation
also depended on reward context (purple curves are higher than green curves). Multiple linear
regression results confirmed these visual impressions (Figure 2G). The second example neuron
showed weak choice- and coherence-modulated activation in the late motion viewing period,
followed by choice-dependent post-saccade suppression, on the equal-reward task (Figure 2B).

Figure 2: Example STN neurons showing modulation by decision-related factors
A-C, Average activity from the equal-reward task, for trials with contralateral (top row) and ipsilateral (bottom row) choices. Activity was truncated at median RT for each trial condition.
D-F, Average activity from the asymmetric-reward task, for trials with contralateral (top row) and ipsilateral (bottom row) choices. Activity was truncated at the median RT for each trial condition. Purple and green colors indicate blocks with the large reward paired with contralateral and ipsilateral choices, respectively.
G-I, Results from a multiple linear regression. Each line shows the timing of significant non-zero coefficients for a specific regressor (t-test, $p < 0.05$). Results for the interaction terms are not shown.

On the asymmetric-reward task, the general choice- and coherence-modulation patterns held, with additional reward-context modulation during motion viewing and reward-size modulation around saccade onset (Figure 2E,H; purple curves are higher than green curves for trials with contralateral choices and the reverse for trials with ipsilateral choices). The third example neuron showed choice-selective activation on the equal-reward task (Figure 2C). On the asymmetric-reward task, this neuron's activity showed reward-context modulation during early motion viewing, strong choice modulation closer to saccade onset, and reward-size modulation peri- and post-saccades (Figure 2F, I). These example activity patterns highlight the heterogeneity of STN responses, for not only the conversion of visual evidence into decisions as previously shown (Branam et al 2024), but also the incorporation of visual evidence and reward information.

Across the population, substantial fractions of STN neurons showed sensitivity to decision-related factors (Figure 3A). The fraction of neurons with statistically reliable choice modulation (Chi-square test, $p<0.05$) increased steadily during motion viewing (epochs indicated by yellow bands) and plateaued around saccade onset. The fraction for reward context stayed above chance level throughout the trial, with the highest values during motion viewing. The fraction for expected reward size rose above chance level during the later stage of motion viewing and persisted through saccades. The fractions for motion coherence were above chance level after motion onset for trials, with similar values for trials with contralateral or ipsilateral choices. There were also small but significant fractions of neurons that were sensitive to the motion coherence-reward size interaction during motion viewing. Among the neurons with significant modulation, the polarity and timing of modulation varied (Figure 3-S1). Generally, there tended to be more neurons preferring contralateral choices, the context of pairing large rewards with contralateral choices, larger expected reward size, and higher coherences (more purple versus green pixels in the heatmaps). The peak modulation times varied among neurons for all decision-related factors and both polarities.

Using a previous definition of "joint modulation" (Doi et al., 2020), including modulation separately by motion coherence and reward context or reward size and modulation by the interaction of motion coherence and reward size, we found that ~40% of the neurons showed joint modulation during motion viewing and that a substantial amount of joint modulation persisted after saccade onset (Figure 3B). These results are consistent with the idea that the STN contributes to the formation and evaluation of

Figure 3: STN activity reflects incorporation of visual evidence and reward information

A, Fractions of neurons with significant modulation by task-related factors in the seven task epochs (defined in Figure 1A), as identified by a multiple linear regression (Eq. 2). Horizontal dashed lines: chance levels. Filled circles: fractions that were significantly above chance levels (Chi-square test, p<0.05).

B, Fractions of neurons with joint modulation, defined as significant modulation by motion coherence (for either choice) and reward context or reward size, as well as significant modulation by the coherence-reward size interaction terms.

complex decisions that incorporate both visual evidence and reward information, at both the
single-neuron and population levels.

The modulation patterns in STN neurons were comparable to those found in the caudate nucleus,
another basal ganglia input structure (Figure 3-S2). Compared to a prefrontal region, the frontal
eye field (FEF), both the caudate and STN showed less prevalent choice modulation, more
prevalent reward context modulation during motion viewing, and more prevalent reward size and
coherence modulation around/after saccade onset. These inter-regional differences suggest that
the basal ganglia are more directly involved in mediating decisions that require incorporation of
visual and reward information.

STN subpopulations differ in their representations of visual and reward information

To focus on neurons with the most robust task-relevant activity, we inspected the average firing
rates of all neurons and identified 66 neurons with the most visible task-related modulations (n =
48 and 18 for monkeys C and F, respectively). The remaining analysis results were based on
these neurons.

Using k-means clustering analysis, we grouped these neurons into four clusters, based on 60-
dimension activity vectors that contained their z-scored firing rates for two choices, five
coherence levels, two reward contexts, and three task epochs. Although the clustering was
performed directly on the activity vectors, the clusters also appeared stable and separate in the t-
distributed Stochastic Neighbor Embedding (tSNE) space (Figure 4A, Figure 4-S1D). We
compared the clustering results from different settings and distance metrics and determined that
four or five clusters defined with the cosine metric best described our samples (Figure 4B,C,
Figure 4-S1A-C).

Three clusters appeared especially robust regardless of the clustering settings (compare the
cluster-average firing rates in Figure 4D and Figure 4-S1E and F). Cluster 2, marked with the
orange box, showed a gradual increase in activity during decision formation and was more active
for contralateral choices. Cluster 3 (light blue) also showed a gradual increase but was more
active for ipsilateral choices. Cluster 4 (dark blue) showed early activation that gradually
returned to baseline during motion viewing. Similar average firing rate patterns emerged when
the samples were divided into five clusters (Figure 4-S1F). In comparison, the red cluster
appeared to include neurons with less defined/stable activity patterns.

Because of the strong, context-dependent modulations of neural activity patterns in STN, clusters
differed substantially between those determined with equal-reward and asymmetric-reward data,
respectively. For example, as shown in Figure 2, although some features of activity were
maintained between the equal- and asymmetric-reward conditions, asymmetric reward conditions
could also induce coherence dependence (e.g. Neuron 1, compare activity aligned to motion
onset for contralateral choices) or changes in temporal dynamics (e.g., Neuron 3, compare peri-
saccade activity for ipsilateral choices), in addition to introducing novel reward context
modulation patterns. These differences in activity features had substantial effects on how the

Figure 4: STN consists of subpopulations with distinct activity patterns.
A, Visualization of clusters in the tSNE space. Only neurons that passed visual inspection for task-related modulations were included. Colors indicate cluster identity and are used in other panels.
B, Silhouette scores for neurons.
C, Rand index values (mean±sd) between multiple iterations of clustering based on different specified cluster numbers.
D, Average firing rates for each cluster of neurons for asymmetric-reward trials, separately for trials with contralateral (top) and ipsilateral (bottom) choices and aligned to motion (left) and saccade (right) onsets. Colors indicate coherence levels and reward context (see legend).
E, Fractions of neurons with significant modulation by task-related factors in the seven task epochs, separately for each cluster (colors follow those in D. Same format as Figure 3. Horizontal dashed lines: chance levels. Filled circles: fractions that were significantly above chance levels (Chi-square test, p<0.05). Triangles indicate epochs in which there was a significant difference among clusters (Chi-square test, p<0.05/7 epochs).

181   neurons were clustered: the Rand index values between clustering using equal- and asymmetric-
182   reward activity data were much lower than those computed within reward conditions (Figure 4-

S1G), indicating weak correspondence between the two clustering methods. The following analyses focused on the four clusters identified using the asymmetric-reward data.

These clusters showed different sensitivities to several decision-related factors from the asymmetric-reward task (Figure 4E). All clusters showed similar gradual emergence of choice sensitivity during motion viewing. Cluster 1 (red) showed reward context modulation that emerged before motion onset and disappeared before saccade onset, reward size modulation around saccade onset, and coherence modulation only for trials with ipsilateral choices. Cluster 2 (orange) showed reward context and size modulation that emerged later during motion viewing but persisted through saccades, coherence modulation for both choices in late motion viewing and peri-saccade epochs, and sensitivity to coherence-reward interaction for contralateral choices during all three motion viewing epochs (epochs 3-5). Cluster 3 (light blue) did not show above-chance prevalence of reward context modulation, was sensitive to reward size only after saccade onset, and sensitive to coherence only during late motion viewing (epoch 5). Cluster 4 (dark blue) showed persistent reward context modulation almost throughout the trial (except for the post-saccade epoch 7), reward size modulation during late motion viewing and pre-saccade period (epochs 4-6), and coherence modulation for both choices during motion viewing and saccades. The prevalence of reward context modulation was especially high for Cluster 4 during motion viewing, contributing to a higher prevalence of joint modulation than for other clusters (indicated by triangles). The prevalence of joint modulation was comparable for Clusters 1 and 2 but was not above chance for Cluster 3. These different patterns of decision-related sensitivities suggest that the STN subpopulations may play distinct roles in decision-related computations.

STN subpopulations differ in their relationship to decision formation-related computational components

To better understand how the activity patterns of STN neurons related to the computations used to form the decision, we analyzed the behavioral and neural data using a drift-diffusion model (DDM; Figure 5A). The DDM quantifies decision formation in terms of the (possibly biased) accumulation of noisy sensory evidence to a predefined bound that governs choice and RT, which accounts well for behavioral performance on this task (Fan et al., 2018). To probe the behavior-neural relationship, we performed two sets of analysis. First, we split the trials within a session by a given neuron's median firing rate during a given task epoch, separately for the two reward contexts, resulting in trials with high and low firing rates for each reward context (e.g., Figure 5B). The full set of average choice and RT results from splitting trials based on firing rates for different clusters and task epochs are shown in Figure 5-S1. We fitted the DDM separately to trials with each firing rate-reward context combination from each individual session and performed a regression analysis to quantify the dependence of each DDM parameter on the high-low firing rate category and firing rate-reward combination interaction. We assessed whether these regression coefficients exhibited consistent trends within a cluster. For example, Figure 5C shows the cumulative density function of the regression coefficient for firing rate (bFR) from epoch 5 for the parameter *me*, indicating a trend of observing higher firing rates

Figure 5: STN subpopulations relate differently to computational components in a DDM.

A, Illustration of the DDM.

B, Example of average choice and RT performance for trials split by firing rates in Epoch 5 of neurons in the second cluster. Circles: performance for trials grouped by firing rates and reward contexts. Curves: fits by logistic (choice) and linear (RT) functions.

C, Cumulative density function of regression coefficient (bFR) for the fitted *me* parameter from behavioral data in B. Triangle: median value. Raw p value: sign test.

D, Scatterplot of the difference in *me* between reward contexts (the large reward was paired with contralateral or ipsilateral choices) and the regression coefficient for reward context of neural activity measured in Epoch 5 (normalized by average activity across conditions, i.e., b0 term in the regression). Data are from neurons in the second cluster. Raw p value: t-test.

E-H: Relationship between activity measured in the three epochs and DDM components for the four clusters identified in Figure 4. Top row shows simplified plots of average firing rates, pooling across coherence levels. Colors indicate different reward contexts. Solid and dashed lines indicate trials with contralateral and ipsilateral choices, respectively. The next three rows show results based on activity measured in epochs 3-5 (during decision formation). For each matrix panel, the top row: sign test results for the null hypothesis that the regression coefficients for the effect of firing rate on the DDM component have a zero median (e.g.,see C). Second row: sign test results for the null hypothesis that the regression coefficients for the reward context-firing rate interaction have a zero median. Third row: Pearson correlation results for the null hypothesis that the reward context modulation of neural activity is not correlated with the difference in the DDM component between reward contexts (e.g., see D). Dark brown/teal positive/negative median with a p<0.05/8 (DDM components)/3 (epochs). Light brown/teal: positive/negative median with a p<0.05.

when there was a greater bias in momentary evidence (i.e., the value of this regression

coefficient from most sessions was >0) , regardless of reward context.

Second, we measured the Pearson's correlation coefficient between the difference in a given
DDM parameter between reward contexts and the relative extent of reward context modulation
of neural activity (measured in a specific epoch) in the same sessions (i.e., $\beta_{RewCont}/\beta_0$ in Eq.
7). The correlation was performed separately for each cluster. For example, Figure 5D shows the
scatterplot of the difference in *me* and reward context modulation of neural activity in a cluster
and the significant correlation suggests that this cluster may contribute to reward context-biased
adjustment in *me*.

These two sets of analysis indicated distinct relationships between STN neural activity in each of
the subpopulations and behaviorally derived computational components of the decision process.
Figure 5E summarizes the results from the two sets of analysis for all four clusters. The
cumulative density functions and scatterplots associated with these summaries are shown in
Figure 5-S2. For simplicity, cluster identity was represented with a more compact depiction of
the average activity patterns by pooling across all coherence levels.

Cluster 1 neurons had activity patterns that were consistent with controlling non-decision
processes and mediating the reward biases in *me* and *z*. Specifically, their firing rates in epochs 3
and 5 were positively related to the non-decision times for both contra- and ipsi-lateral choices
(Figure 5E, dark brown pixels for bFR vs. *t0_Contra* and *t0_Ipsi*), regardless of reward context,
suggesting that higher activity in this cluster reflects longer time for general visual and/or motor
processing. When the full motion viewing period was considered (epoch 4), greater reward
context modulation of this cluster's activity tended to reflect a reduction in reward context-
modulated estimates of *z* (light teal pixel, RewCont vs. z). When only the late motion viewing
epoch was considered (epoch 5), greater reward context modulation of this cluster's activity
tended to reflect a reduction in reward context-modulated estimates of *me* (light teal pixel,
RewCont vs. *me*).

Cluster 2 neurons appeared to be more consistently involved in computational components
related to decision bounds and reward bias in *me* (Figure 5F). Their activity in early motion
viewing (epoch 3) was negatively associated with the total bound height and the collapse rate in
a reward-independent manner (bFR vs. *a* and *B_alpha*); i.e., higher activity was associated with a
lowering of total bound and slower collapsing. The activity-reward context interaction was also
negatively associated with the total bound height and the delay in bound collapse (bRewFR vs. *a*
and *B_d*), suggesting that the reduction was more prominent when contralateral choices were
paired with the large reward. The association with the delay in bound collapse reversed signs in
the late motion viewing epoch (epoch 5). Beyond these bound-related relationships, higher
activity of this cluster in late motion viewing also reflected a larger *me* bias toward the
contralateral choice (bFR vs. *me*). In addition, across all epochs during motion viewing, the
reward context modulation in this cluster's activity was positively correlated with the reward bias
in *me* (RewCont vs. *me*), suggesting that this cluster may contribute to mediating this specific
form of bias in both reward-dependent and independent manners.

The remaining two clusters appeared to have more limited relationships with decision formation.
Both clusters showed a positive relationship between firing rates and non-decision times for the
ipsilateral choice (bFR vs. *t0 Ipsi*). Cluster 3 showed a negative relationship between its activity
during late motion viewing and *me,* independent of reward context (bFR vs. *me*), suggesting that
higher activity reflected a larger bias toward the ipsilateral choice. This relationship had the
opposite laterality as that for the Cluster 2: for Cluster 2, higher activity in the contralateral-
preferring neurons reflected a larger bias toward the contralateral choice, whereas for Cluster 3,
higher activity in the ipsilateral-preferring neurons reflected a larger bias toward the ipsilateral
choice. Cluster 4 showed a negative correlation between its reward context modulation and
reward context-dependent changes in *k* (RewCont vs. *k*)*,* suggesting that the rate of decrease in
this cluster's activity reflected the rate of evidence accumulation. In addition, higher activity in
the cluster during late motion viewing reflected higher total bound height when the large reward
was paired with contralateral choices (epoch 5, bRewFR vs. *a*).

These observed effects were robust with different ways of clustering (Figure 5-S3). For example,
the cluster with a contralateral preference (Cluster 2, orange) was consistently related to bound-
related parameters and reward context modulation of *me* (cluster 3 in Figure 5-S3A and cluster 5
in Figure 5-S3B). The cluster with an ipsilateral preference (Cluster 3, light-blue) was
consistently related to ipsilateral non-decision time and *me* (cluster 1 in Figure 5-S3B). The
cluster with early activation (Cluster 4, dark blue) consistently showed a negative correlation
between its activity in epoch 5 and parameter *k* (cluster 1 in Figure 5-S3A, when three clusters
were used, and cluster 3 in Figure 5-S3B, when five clusters were used).

Taken together, these results suggest that the STN as a whole may contribute to decision and
non-decision (e.g., basic visual and/or motor) processes, with different subpopulations playing
different roles. The two clusters with more prominent choice preferences (Clusters 2 and 3) may
be involved in mediating the bias in momentary evidence in opposite directions. Compared to
other clusters, Cluster 2 was more closely related to bound-related components, in reward
context-dependent and independent manners, and more consistently related to reward bias in *me.*
Cluster 1 was most consistently involved in non-decision processes. Cluster 4 may be involved
in reward context-dependent modulation of bound height (*a*) and the evidence scaler *k.*

STN subpopulations differ in their representations of decision evaluation-related signals

The different STN subpopulations also exhibited different patterns of responses with respect to
different forms of decision evaluation. As demonstrated previously, the asymmetric-reward
manipulation partially decorrelates choice accuracy and reward expectation (Fan et al., 2024).
Following our previous approaches, we computed the partial Spearman correlation between
average activity in a given time window and each of the two quantities (choice accuracy and
reward expectation), while controlling for the other (Figure 6).

In Cluster 1, a larger fraction of neurons represented choice accuracy than reward expectation
(Figure 6B-F, first column). For choice accuracy, the fraction was higher for ipsilateral choices
(Figure 6F, light pink above pink). For reward expectation, the fraction was higher for

Figure 6: STN subpopulations relate to decision evaluation signals.
A, Simplified plots of average firing rates, pooling across coherence levels, for the four clusters of neurons. Same as Figure 5E.
B,C, Heatmaps of partial correlation coefficients between neural activity and post-decision choice accuracy for contralateral (B) and ipsilateral (C) choices, after accounting for the effects of reward expectation. Each column shows the results from one neuron cluster.
D,E, Heatmaps of partial correlation coefficients between neural activity and post-decision reward expectation for contralateral (D) and ipsilateral (E) choices, after accounting for the effects of choice accuracy .
F, Fractions of neurons with non-zero correlation coefficients (t-test, p<0.05). Each column shows the results for one neuron cluster. Colors indicate different evaluation signals. Horizontal black bars on top indicate timepoints when the fraction values significantly differed between accuracy and reward expectation (Chi-square test, p<0.05). Pink and green bars indicate timepoints when the fraction values significantly differed between choices for accuracy and reward expectation, respectively.
G, Fractions of neurons with positive correlation coefficients. For each time point, only neurons with non-zero correlation coefficients were included. Same format as F.

303   contralateral choices (green above light green). The dominant signs of representation differed
304   between evaluation signals: higher activity tended to be associated with lower choice accuracy
305   (Figure 6B,C, more teal pixels) and higher reward expectation (Figure 6D,E, more brown pixels;
306   quantification in Figure 6G).

In Cluster 2, a larger fraction of neurons represented reward expectation than choice accuracy (Figure 6, second column; F: green/light green over pink/light pink curves). For reward expectation, the fraction was higher for contralateral choices (Figure 6F, second column, green over light green). The dominant signs of representation differed between evaluation signals and choices: higher activity tended to be associated with higher and lower reward expectation for contralateral and ipsilateral choices, respectively, whereas the reverse was true for choice accuracy.

In Cluster 3, a larger fraction of neurons represented choice accuracy than reward expectation, without additional choice selectivity. The dominant signs of representation differed between evaluation signals but not choices: higher activity tended to be associated with higher choice accuracy and lower reward expectation, for both choices.

In Cluster 4, the fractions of neurons with evaluation signal representations differed between task timing and choices but not between choice accuracy and reward expectation. The fractions were higher before than after saccade onset. The choice selectivity also switched around saccade onset: more neurons represented evaluation of contralateral choices before saccade onset and ipsilateral choices after saccade onset.

Discussion

Using an asymmetric-reward random-dot visual motion discrimination task, we showed that neurons in the monkey STN have context-dependent activity patterns that encode various components of decision formation and evaluation. The neurons can be divided into at least 4 subpopulations that may support different functions, assessed in the context of an accumulate-to-bound modeling framework. Cluster 1 neurons encode signals related to non-decision times and the evaluation of choice-dependent accuracy. Cluster 2 neurons encode signals related to reward context-dependent modulation of decision bound dynamics, contralateral biases, and choice-dependent reward expectations. Cluster 3 neurons encode signals related to ipsilateral biases and the evaluation of choice-independent accuracy. Cluster 4 neurons encode signals related to reward context-dependent changes in the decision bound, the scaling of visual evidence, and choice-dependent evaluation based on both accuracy and reward expectation.

These results support and extend our previous study of STN activity in the same monkeys performing the symmetric-reward version of the motion discrimination task. For example, the average activity of the present Cluster 2 appears similar to that of cluster 1 from the previous study, both showing slow ramping activity during motion viewing and prominent choice-dependent peri-saccade activity. We previously hypothesized that this subpopulation may be more closely linked to the evidence-accumulation-to-bound process than the other subpopulations. Our present results provide further support to this hypothesis in the context of reward-biased evidence accumulation, with the activity of this subpopulation linked to bound dynamics and reward-biased momentary evidence.

Moreover, the average activity of the present cluster 4 appears similar to that of cluster 2 from
the previous study, both showing early activation that gradually dissipated during motion
viewing. We previously hypothesized that this subpopulation may contribute to controlling the
collapsing bound or urgency signal, based on the temporal trajectory of its activity. Contrary to
this hypothesis, we did not detect any consistent relationship between neural activity and DDM
parameters that control the bound dynamics (i.e., *B_alpha* or *B_d*). Instead, we found
relationships between its reward context-modulated neural activity and the total bound height (*a*)
and the scaling factor for evidence (*k*). The interpretation of these relationships, however, has a
caveat: they were observed only during the late motion viewing period (Epoch 5), when the
neural activation was largely diminished. The functional roles of this subpopulation remain
elusive and especially intriguing because of the observed paradox: activity of this subpopulation
was much more likely than those of other subpopulations to depend on reward context during
decision formation (Figure 4E), but the activity and its reward context modulation did not appear
to be strongly related to any decision formation-related behavioral measures that we probed.

The prevalence of joint modulation by reward and evidence-related factors in our sample was
comparable to what we observed in the caudate (Figure 3-S2; Doi et al., 2020; Fan et al., 2020),
suggesting that the reward-biased decision behavior reflects interactions among multiple BG
nuclei (and likely other brain regions). Both the caudate nucleus and STN receive dopaminergic
innervation from the substantia nigra pars compacta (Galvan et al., 2014), which may carry
reward-related signals, and cortical projections, which may carry evidence accumulation-related
signals (Bogacz and Gurney, 2007; Ding and Gold, 2012a; Ding, 2015; Wei et al., 2015). These
inputs may be combined in the STN to support the generation of a common-currency decision
variable. Alternatively, it is possible that the STN receives already combined signals either
directly from the cortex or indirectly from the caudate projection to the external segment of
globus pallidus, which has strong reciprocal connections to the STN. Future work is needed to
understand how different BG nuclei obtain decision-related signals that reflect incorporation of
reward information and noisy evidence accumulation. Furthermore, both caudate and STN
outputs are sent to the output BG nucleus, specifically, the SNr for oculomotor decisions. It
remains an open question how the various forms of decision-related signals from multiple BG
sources are combined in the BG output to affect the eventual decisions.

Our sample was selected based on neural activity on the visual motion discrimination task. The
high fraction of neurons showing joint modulation by reward and noisy sensory evidence in this
sample suggested that these STN neurons may participate in a variety of decisions that involve
either or both of these factors. It remains to be tested whether the same STN neurons are also
involved in decisions or movement modulation based on reward properties alone (Zaghloul et al.,
2012, 2012; Espinosa-Parrilla et al., 2015; Zénon et al., 2016; Nougaret et al., 2022). The early-
onset activity profile of cluster 4 neurons is particularly intriguing for its possible
correspondence to previously identified STN neurons that may mediate response inhibition in
stop-signal (countermanding) tasks (Schmidt et al., 2013; Pasquereau and Turner, 2017) or STN
neurons that may mediate switching from automated to controlled actions (Isoda and Hikosaka,
2008).

In summary, we characterized single-neuron activity in the STN of monkeys performing a decision task that requires incorporation of noisy visual evidence and different reward context information. These results advanced our understanding of the roles of the STN in decision making, by refining previous hypotheses about its role in the evidence-accumulation-to-bound framework and, for the first time, revealing how activity of different STN subpopulations were modulated to contribute to the formation and evaluation of reward-biased decisions.

Methods

We used two adult male rhesus monkeys (*Macaca mulatta*) that were trained extensively on the asymmetric-reward visual motion direction-discrimination (dots) task. All training, surgery, and experimental procedures were in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals and were approved by the University of Pennsylvania Institutional Animal Care and Use Committee (protocol # 804726).

Task design

The behavioral task (Figure 1A) has been described in detail previously (Fan, 2018). Briefly, we trained the monkey to report the perceived motion direction of the random-dot stimulus with a saccade at a self-determined time. The monkey's choice of saccade was rewarded if it was congruent with the motion direction. The monkey's eye position was monitored with a video-based eye tracker and feedback for a fixation break or choice error was given based on online comparisons between the monkey's eye position and task-relevant locations. Motion directions and five levels of motion coherence (defined as the fraction of dots moving coherently in the same direction) were randomized across trials. For the equal-reward dots task, all correct saccades were rewarded with the same medium amount of juice. For the asymmetric-reward dots task, the saccade-reward associations (reward contexts) were alternated in blocks of trials, such that in a given block, a correct saccade to one choice target was paired with a large amount of juice and a correct saccade to the other target was paired with a small amount of juice. Block changes were signaled to the monkey with color cues.

Electrophysiology

The general surgical and data-acquisition methods were described in detail previously (Ding and Gold, 2010, 2012b). Neural activity was recorded using glass-coated tungsten electrodes (Alpha-Omega) or polyamide-coated tungsten electrodes (FHC, Inc.), driven by a NAN microdrive (NAN Instruments, LTD) mounted on a grid system. STN was localized using the same criteria as described previously (Branam 2024), by comparing MRI scan images, recording coordinates, and nearby landmark locations (thalamus, reticular nucleus of the thalamus, zone incerta, and SNr) and by assessing neurons' baseline firing patterns.

Initial data processing and screening

Saccade reaction time (RT) was measured offline with established velocity and acceleration criteria. Trials were excluded if the online and offline detection of choice-indicating saccades

were mismatched (e.g., the saccade did not follow the stereotypical trajectory). Single neurons
were identified by offline spike sorting (Offline Sorter, Plexon, Inc.). Neurons with fewer than
five correct trials per choice × coherence × reward context combination were excluded.

In our previous study of STN activity using liberal inclusion criteria, we identified at least four
subpopulations of STN neurons with different activity patterns on the equal-reward dots task,
with many cells not displaying any task-related modulation and therefore unlikely involved in
the decision process (Branam et al., 2024). In this study, we aimed to focus on neurons with
more robust task-related activity. Toward this aim, we visually inspected each neuron's average
firing rates for different choice × coherence combinations. Only neurons with visually apparent
task-related modulation were included for more in-depth analysis (i.e., results presented in
Figures 5 and 6).

<u>Behavioral analysis</u>

To quantify reward context-induced biases, a logistic function was fitted to the choice data for all
trials for each session:

$P_{contra\ choice} = \dfrac{1}{1 + e^{-Slope(Coh+Bias)}}$,   (Eq. 1)

where Coh is the signed motion coherence,

$Slope = slope0 + slope_{rew} \times RewCont,$

$Bias = bias0 + bias_{rew} \times RewCont,$

$RewCont = \{1\ for\ contralateral - large\ reward\ blocks,$

443                       $-1\ for\ ipsilateral - large\ reward\ blocks\}.$

To quantify decision-related computations that account for both choice and RT, we fitted a drift-
diffusion model (DDM; Figure 5A) to these data simultaneously, following previously
established procedures (Fan et al., 2018). Briefly, the DDM assumes that motion evidence is
accumulated over time into a decision variable (DV), which is compared to two choice bounds
that decrease in magnitude ("collapse") over time within a trial. A choice is made when the DV
crosses either bound, such that the time of crossing determines the decision time and the identity
of the bound determines the choice identity. The model has eight basic parameters (presented
here in six groups): 1) *a,* the maximal bound height; 2) *B_collapse* and *B_d*, the decay speed and
onset specifying the time course of the bound "collapse"; 3) *k,* a scale factor governing the rate
of evidence accumulation; 4) *me,* an offset specifying a bias in the rate of evidence
accumulation; 5) *z,* an offset specifying a bias in the DV, or equivalently, asymmetric offsets of
equal magnitude for the two choice bounds; and 6) *t0_Contra* and *t0_Ipsi,* non-decision times for
the two choices that capture RT components that do not depend on evidence accumulation (e.g.,
visual latency and motor delay). DDM model fitting was performed separately for each session,
using the maximum *a posteriori* estimate method (python v3.5.1, pymc 2.3.6) and prior
distributions suitable for human and monkey subjects (Wiecki et al., 2013). We performed at
least five runs for each variant and used the run with the highest likelihood for further analyses.

To quantify the expected choice accuracy and reward expectation, we used previous methods
(Fan, 2024). Specifically, we defined choice accuracy as the estimated accuracy, on average,
given the current choice and decision time (DT), as following:

464
$$Choice\ accuracy = \begin{cases} P(Correct|Right, DT), & Right\ target\ is\ chosen\ at\ DT \\ P(Correct|Left, DT), & Left\ target\ is\ chosen\ at\ DT \end{cases} \qquad \text{(Eq. 2)}$$

465   where DT is the decision time that equals RT minus non-decision time (estimated from DDM
466   fits). The righthand side was computed by marginalizing over all possible coherences. For
467   example, for Right choices,

468
$$P(Correct|Right, DT) = \sum\nolimits_{Coh_i}[P(Correct|Right, DT, Coh_i)P(Coh_i|Right, DT)] \qquad \text{(Eq. 3)}$$

469
$$= \sum\nolimits_{Coh_i} \frac{P(Correct|Right, DT, Coh_i)P(Right, DT|Coh_i)P(Coh_i)}{\sum_{Coh_i}[P(Right, DT|Coh_i)P(Coh_i)]}$$

470   By task design,

471
$$P(Correct|Right, DT, Coh_i) = \begin{cases} 1 & if\ Coh_i > 0 \\ 0 & if\ Coh_i < 0 \end{cases} \qquad \text{(Eq. 4)}$$

472     $P(Right, DT|Coh_i)$ was obtained by numerical simulation of the DDM using the best-
473   fitting parameters. For each coherence, we obtained the probability of the decision variable (DV)
474   attaining a value x at time t, $pdf_{DV}(t) = P(DV(t) = x\ |Coh_i)$, using the best fitting DDM
475   parameters of each session and reward context.

476
$$P(Right, DT|Coh_i) = \int_{upper\ bound}^{\infty} P(DV(t) = x\ |Coh_i)dx \qquad \text{(Eq. 5)}$$

477
$$P(Left,\ DT|Coh_i) = \int_{-\infty}^{lower\ bound} P(DV(t) = x|Coh_i)dx$$

478   After obtaining an estimate of choice accuracy,

479
$$Reward\ Expectation = Accuracy \times Reward\ size\ associated\ with\ the\ choice \qquad \text{(Eq. 6)}$$

480
$$Reward\ size = \begin{cases} 1 & if\ small\ reward \\ large/small & if\ large\ reward \end{cases}$$

<u>Neural activity analysis</u>

To relate activity to decision-related factors, we performed two regression analyses. First, for
each single unit, we computed the average firing rates in seven task epochs (Figure 1A): 1) a 400
ms window beginning at target onset (focusing on visual response to target presentation), 2) a
200 ms window ending at dots onset (focusing on activity before motion viewing), 3) a 300 ms
window beginning at 100 ms after dots onset (focusing on early motion viewing), 4) a variable-
duration window from 100 ms after motion onset to 100 ms before saccade onset (focusing on
the whole motion viewing period), 5) a 300 ms window ending at saccade onset (late motion

viewing, pre-saccade activity), 6) a 300 ms window beginning at 100 ms prior to saccade onset
(peri-saccade activity), and 7) a 400 ms window beginning at saccade onset (post-saccade
activity). We performed a multiple linear regression on the spike counts from correct trials, for
each task epoch separately.

$Spike\ count = \beta_0 + \beta_{Choice} \times I_{Choice} + \beta_{RewCont} \times I_{RewCont} + \beta_{RewSize} \times I_{RewSize}$

$+ \beta_{Coh-Contra} \times I_{Coh-Contra} + \beta_{Coh-Ipsi} \times I_{Coh-Ipsi}$

$+ \beta_{RewCoh-Contra} \times I_{Coh-Contra} \times I_{RewSize} + \beta_{RewCoh-Ipsi} \times I_{Coh-Ipsi} \times I_{RewSize},$ (Eq. 7)

where $\quad I_{Choice} = \begin{cases} 1 & contralateral\ choice \\ -1 & ipsilateral\ choice \end{cases}$

$\quad I_{RewCont} = \begin{cases} 1 & contralateral - large\ reward \\ -1 & ipsilateral\ choice - large\ reward \end{cases}$

$\quad I_{RewSize} = \begin{cases} 1 & large\ reward\ is\ expected \\ -1 & small\ reward\ is\ expected \end{cases}$

$\quad I_{Coh-Contra} = \begin{cases} coh & contralateral\ choice \\ 0 & ipsilateral\ choice \end{cases}$

$\quad I_{Coh-Ipsi} = \begin{cases} 0 & contralateral\ choice \\ Coh & ipsilateral\ choice \end{cases}$

Significance of non-zero coefficients was assessed using t-test (criterion: $p = 0.05$).

Second, for each single unit, we also performed running regressions using Eq. 7 on the spike counts
within 150 ms windows with 10-ms steps. These running regressions were performed on activity
aligned to target, motion, and saccade onsets separately. Only correct trials were included. Time
windows with <10 correct trials were excluded.

Cluster analysis

We converted each neuron's activity into a 60-D vector consisting of the average firing rates within
three 200-ms windows for all trial conditions. The time windows were during early motion viewing
(100-300 ms after motion onset), during late motion viewing (300-500 ms after motion onset), and
around saccade (200 ms centered at saccade onset). Twenty trial conditions were combinations of
two choices, five coherence levels, and two reward contexts. The firing rates were z-scored by
baseline activity measured in a 200-ms window ending at motion onset.

We performed clustering on these vectors using different settings. The variations include 1) using
either the full data set (150 neurons) or those that passed visual inspection (66 "good" neurons); 2)
specifying the number of clusters from 3 to 10; and 3) using cosine, correlation, or squared
Euclidean distance as the distance metric. For each variation, clustering was run 50 times, with
each run finding the best clusters from 50 sets of initial centroid positions. For each run, silhouette
scores were computed to document the mean score value and the number of negative scores (a
positive score indicates that the member is closer to its same-cluster neighbors than different-
cluster neighbors). For each variation, Rand index was computed to document the stability of
clustering between two runs (a high value indicates that if a pair of members are placed in the same

(different) clusters in one run, they are highly likely to be included in the same (different) clusters
in another run). Based on the silhouette scores (higher mean, fewer negative values), Rand index
(higher mean), and the distribution of units in tSNE space (Figure 4-S1A-D), we determined that
separating the datasets into 4~5 clusters using the cosine metric was the most appropriate. The
Rand indices for the 66 "good" neurons between clustering from the larger dataset or themselves
were above 0.84, indicating a high level of correspondence between the two method variations
(Figure 4-S1G). When only units from clusters 2~4 in Figure 4 were considered, the Rand index
between the two methods was 0.95, indicating a near-complete match. Therefore, we showed only
results based on clustering the "good" neurons.

<u>Relate neural activity to DDM components</u>

We examined the relationship between neural activity and DDM components in two tests.

First, for each neuron and reward context, we split the trials by the median firing rates in one of
the three epochs that covered the motion viewing period (i.e., Epochs 3–5). Average choice and
RT performance after the splits are shown in Figure 5-S1 (for these plots, the curves are logistic
function fits to choices and linear function fits to RTs). We fitted the DDM separately to trials with
different high/low firing rates × reward context combinations. We decomposed the influences of
reward context, firing rates, and their interactions on each DDM parameter using the regression:

$$Parameter = b_0 + \text{bRew} \times I_{RewCont} + \text{bFR} \times I_{FR} + \text{bRewFR} \times I_{RewCont} \times I_{FR} \qquad \text{(Eq. 8)}$$

where 　　$I_{FR} = \begin{cases} 1 & FR > median\ value\ for\ the\ given\ reward\ context \\ -1 & FR < median\ for\ the\ given\ reward\ context \end{cases}$

$I_{RewCont} = \begin{cases} 1 & higher\ median\ FR \\ -1 & lower\ median\ FR \end{cases}$

We used the sign test to test whether median values of regression coefficients differed from zero
for each cluster.

Second, for each neuron, we normalized the regression coefficient $\beta_{RewCont}$ by β_0 in Eq. 7 as the
neural index of reward context modulation. For the same session, we computed the difference in
DDM parameters between reward contexts as the behavioral index of reward context modulation.
For each cluster, we performed Pearson correlation to test whether the two indices were correlated
for a given DDM parameter.

<u>Neural activity analysis: relating activity to decision-evaluation signals</u>

To relate a neuron's activity to decision-evaluation signals, for each trial, we computed the choice
accuracy and reward expectation and measured the firing rates in running 300-ms time windows
with 1-ms steps. Only correct trials were included. For each time window, we performed two
partial (Spearman) correlations: 1) between firing rates and choice accuracy while removing the
effect of reward expectation, and 2) between firing rates and reward expectation while removing
the effect of choice accuracy. Significance was assessed at $p = 0.05$. Chi-square tests were
performed to compare fractions of significant/positive correlation at each time window.

Acknowledgements

We thank Jean Zweigle for outstanding animal care and training and Michael Suplick for machine shop support (NIH National Eye Institute Core Grant P30 EY001583). We thank other members of Gold and Ding labs for their comments and suggestions. This work was supported by NIH National Eye Institute (R01-EY022411 and R21EY029091; LD and JIG).

Author contributions

Conceptualization, LD and JIG; methodology, KR and LD; investigation, KR and LD; visualization, LD and JIG; funding acquisition, LD and JIG; project administration, LD; supervision, LD; writing – original draft, LD; writing – review & editing, KR, JIG, and LD.

Declaration of interests

The authors declare no competing financial interests.

Figure Legends

Figure 1: Monkeys biased toward choices associated with large reward

A, Task design and timeline. Monkeys made saccades to indicate their perceived motion direction. Correct trials were rewarded based on the reward context (see Table inset). Error trials were not rewarded. "Epochs" illustrate the time windows for epoch-based analyses.

B, Average choice (left) and RT (right) behavior of the two monkeys. Monkey C: 91 sessions, 37,291 trials; Monkey F: 59 sessions, 25,481 trials. Filled and open circles are data from the three reward contexts, as indicated at the top of the panel.

C, Histograms of reward bias for all sessions, estimated using logistic fits to choice data.

Figure 2: Example STN neurons showing modulation by decision-related factors

A-C, Average activity from the equal-reward task, for trials with contralateral (top row) and ipsilateral (bottom row) choices. Activity was truncated at median RT for each trial condition.

D-F, Average activity from the asymmetric-reward task, for trials with contralateral (top row) and ipsilateral (bottom row) choices. Activity was truncated at the median RT for each trial condition. Purple and green colors indicate blocks with the large reward paired with contralateral and ipsilateral choices, respectively.

G-I, Results from a multiple linear regression. Each line shows the timing of significant non-zero coefficients for a specific regressor (t-test, $p < 0.05$). Results for the interaction terms are not shown.

Figure 3: STN activity reflects incorporation of visual evidence and reward information

A, Fractions of neurons with significant modulation by task-related factors in the seven task epochs (defined in Figure 1A), as identified by a multiple linear regression (Eq. 2). Horizontal dashed lines: chance levels. Filled circles: fractions that were significantly above chance levels (Chi-square test, $p < 0.05$).

B, Fractions of neurons with joint modulation, defined as significant modulation by motion coherence (for either choice) and reward context or reward size, as well as significant modulation by the coherence-reward size interaction terms.

Figure 4: STN consists of subpopulations with distinct activity patterns.

A, Visualization of clusters in the tSNE space. Only neurons that passed visual inspection for task-related modulations were included. Colors indicate cluster identity and are used in other panels.

B, Silhouette scores for neurons.

C, Rand index values (mean±sd) between multiple iterations of clustering based on different specified cluster numbers.

D, Average firing rates for each cluster of neurons for asymmetric-reward trials, separately for trials with contralateral (top) and ipsilateral (bottom) choices and aligned to motion (left) and saccade (right) onsets. Colors indicate coherence levels and reward context (see legend).

E, Fractions of neurons with significant modulation by task-related factors in the seven task epochs, separately for each cluster (colors follow those in D. Same format as Figure 3. Horizontal dashed lines: chance levels. Filled circles: fractions that were significantly above chance levels (Chi-square test, $p < 0.05$). Triangles indicate epochs in which there was a significant difference among clusters (Chi-square test, $p < 0.05/7$ epochs).

Figure 5: STN subpopulations relate differently to computational components in a DDM.

A, Illustration of the DDM.

B, Example of average choice and RT performance for trials split by firing rates in Epoch 5 of neurons in the second cluster. Circles: performance for trials grouped by firing rates and reward contexts. Curves: fits by logistic (choice) and linear (RT) functions.

C, Cumulative density function of regression coefficient (bFR) for the fitted me parameter from behavioral data in B. Triangle: median value. Raw p value: sign test.

D, Scatterplot of the difference in me between reward contexts (the large reward was paired with contralateral or ipsilateral choices) and the regression coefficient for reward context of neural activity measured in Epoch 5 (normalized by average activity across conditions, i.e., b0 term in the regression). Data are from neurons in the second cluster. Raw p value: t-test.

E-H: Relationship between activity measured in the three epochs and DDM components for the four clusters identified in Figure 4. Top row shows simplified plots of average firing rates, pooling across coherence levels. Colors indicate different reward contexts. Solid and dashed lines indicate trials with contralateral and ipsilateral choices, respectively. The next three rows show results based on activity measured in epochs 3-5 (during decision formation). For each matrix panel, the top row: sign test results for the null hypothesis that the regression coefficients for the effect of firing rate on the DDM component have a zero median (e.g., see C). Second row: sign test results for the null hypothesis that the regression coefficients for the reward context-firing rate interaction have a zero median. Third row: Pearson correlation results for the null hypothesis that the reward context modulation of neural activity is not correlated with the difference in the DDM component between reward contexts (e.g., see D). Dark brown/teal positive/negative median with a $p < 0.05/8$ (DDM components)/3 (epochs). Light brown/teal: positive/negative median with a $p < 0.05$.

Figure 6: STN subpopulations relate to decision evaluation signals.

A, Simplified plots of average firing rates, pooling across coherence levels, for the four clusters of neurons. Same as Figure 5E.

B,C, Heatmaps of partial correlation coefficients between neural activity and post-decision choice accuracy for contralateral (B) and ipsilateral (C) choices, after accounting for the effects of reward expectation. Each column shows the results from one neuron cluster.

D,E, Heatmaps of partial correlation coefficients between neural activity and post-decision reward expectation for contralateral (D) and ipsilateral (E) choices, after accounting for the effects of choice accuracy .

F, Fractions of neurons with non-zero correlation coefficients (t-test, $p<0.05$). Each column shows the results for one neuron cluster. Colors indicate different evaluation signals. Horizontal black bars on top indicate timepoints when the fraction values significantly differed between accuracy and reward expectation (Chi-square test, $p<0.05$). Pink and green bars indicate timepoints when the fraction values significantly differed between choices for accuracy and reward expectation, respectively.

G, Fractions of neurons with positive correlation coefficients. For each time point, only neurons with non-zero correlation coefficients were included. Same format as F.

Supplemental Figure Legends

Figure 3-S1: Summary of temporal profiles of modulation of STN activity by decision-related factors.

For each regression factor, heatmaps of significant regression coefficients are plotted in the first row for activity aligned to motion (left) and saccade (right) onsets. Neurons were sorted by the timing of peak magnitude of modulation. The fraction of neurons with significant non-zero coefficients were plotted in the second row. Significance for a coefficient was assessed using t-test ($p<0.05$). For the fraction plots, the dashed horizontal lines represent chance level. Time bins with values that were significantly above chance (chi-square test, $p<0.05$) were indicated via thicker lines.

Figure 3-S2: Comparison between STN, FEF and caudate neurons

Each panel shows the fractions of neurons in the three regions (see legend for colors) with significant coefficients (t-test, $p<0.05$) for a specific regressor (rows) and activity alignment (columns). Horizontal bars indicate results of chi-square tests using a criterion of $p<0.05/3$(alignments)/7(regressors). Black horizontal bars indicate significant difference between FEF and STN populations. Red horizontal bars indicate significant difference between caudate and STN populations. FEF and caudate data are from Fan, et al. eLife, 2020. N = 126, 136, and 150 neurons for FEF, caudate, and STN, respectively.

Figure 4-S1: Stability of clustering results

A, Silhouette scores for different combinations of distance metrics and number of clusters. Red triangle indicates the clustering presented in Figure 4. Mean and s.d. values were computed from 50 iterations of clustering.

B, Fraction of negative silhouette scores. Same format as A.

C, Rand index. Same format as A.

D, Visualization of clusters from Figure 4 in the tSNE space calculated from all units. Non-gray
circles indicate neurons that passed visual inspection. Colors indicate cluster identities that are
used in Figure 4.

E, Average firing rates for each cluster of neurons, with the assumption of three clusters. Same
format as Figure 4D. Colored boxes indicate clusters that loosely correspond to those in Figure 4.

F, Average firing rates for each cluster of neurons, with the assumption of five clusters. Same
format as Figure E.

G, Pairwise Rand index values for clustering based on all or only good units, using asymmetric
(AR) or equal-reward (ER) data, and assuming 4 or 5 clusters.

Figure 5 S1 Average choice and RT performance for trials split by firing rates for different
neuron clusters and epochs.

Same format as Figure 5B. The columns correspond to the same clusters in Figure 5E.

Figure 5 S2 Raw plots corresponding to the summary in Figure 5E

Top section: average firing rates for the four clusters. Same as the top row of Figure 5E.

Second section: Cumulative density functions of regression coefficient (bFR) for DDM
parameters. The title for each plot indicates the DDM parameter and the epoch from which firing
rates were used to split the trials. Same format as Figure 5C.

Third section: Cumulative density functions of regression coefficient (bRewFR). Same format
as the second section.

Bottom section: Scatterplots of the difference in DDM parameters between reward contexts and
the regression coefficient for reward context of neural activity. Same format as Figure 5D.

For the last three sections, only relationships with a $p < 0.05$ from sign test (for bFR and bRewFR)
or significant Pearson correlation are shown, corresponding to the colored pixels in Figure 5E.

Figure 5 S3 Assessing the robustness of the regression and correlation results with different
clustering settings.

A, Regression and correlation results based on dividing the neurons into three clusters. Same
format as Figure 5E.

B, Regression and correlation results based on dividing the neurons into five clusters. Same
format as Figure 5E.

References

Aron AR, Behrens TE, Smith S, Frank MJ, Poldrack RA (2007) Triangulating a cognitive control network using diffusion-weighted magnetic resonance imaging (MRI) and functional MRI. J Neurosci Off J Soc Neurosci 27:3743–3752.

Aron AR, Poldrack RA (2006) Cortical and subcortical contributions to Stop signal response inhibition: role of the subthalamic nucleus. J Neurosci 26:2424–2433.

Baunez C, Humby T, Eagle DM, Ryan LJ, Dunnett SB, Robbins TW (2001) Effects of STN lesions on simple vs choice reaction time tasks in the rat: preserved motor readiness, but impaired response selection. Eur J Neurosci 13:1609–1616.

Bogacz R, Gurney K (2007) The basal ganglia and cortex implement optimal decision making between alternative actions. Neural Comput 19:442–477.

Bonnevie T, Zaghloul KA (2019) The Subthalamic Nucleus: Unravelling New Roles and Mechanisms in the Control of Action. Neurosci Rev J Bringing Neurobiol Neurol Psychiatry 25:48–64.

Branam K, Gold JI, Ding L (2024) The subthalamic nucleus contributes causally to perceptual decision-making in monkeys. eLife 13:RP98345.

Brittain J-S, Watkins KE, Joundi RA, Ray NJ, Holland P, Green AL, Aziz TZ, Jenkinson N (2012) A role for the subthalamic nucleus in response inhibition during conflict. J Neurosci 32:13396–13401.

Carpenter MB, Whittier JR, Mettler FA (1950) Analysis of choreoid hyperkinesia in the Rhesus monkey; surgical and pharmacological analysis of hyperkinesia resulting from lesions in the subthalamic nucleus of Luys. J Comp Neurol 92:293–331.

DeLong MR, Wichmann T (2001) Deep brain stimulation for Parkinson's disease. Ann Neurol 49:142–143.

Desbonnet L, Temel Y, Visser-Vandewalle V, Blokland A, Hornikx V, Steinbusch HWM (2004) Premature responding following bilateral stimulation of the rat subthalamic nucleus is amplitude and frequency dependent. Brain Res 1008:198–204.

Ding L (2015) Distinct dynamics of ramping activity in the frontal cortex and caudate nucleus in monkeys. J Neurophysiol 114:1850–1861.

Ding L, Gold JI (2010) Caudate encodes multiple computations for perceptual decisions. J Neurosci 30:15747–15759.

Ding L, Gold JI (2012a) Neural correlates of perceptual decision making before, during, and after decision commitment in monkey frontal eye field. Cereb Cortex 22:1052–1067.

Ding L, Gold JI (2012b) Separate, causal roles of the caudate in saccadic choice and execution in a perceptual decision task. Neuron 75:865–874.

Doi T, Fan Y, Gold JI, Ding L (2020) The caudate nucleus contributes causally to decisions that balance reward and uncertain visual information. Elife 9:e56694.

Espinosa-Parrilla J-F, Baunez C, Apicella P (2015) Modulation of neuronal activity by reward identity in the monkey subthalamic nucleus. Eur J Neurosci 42:1705–1717.

Fan Y, Doi T, Gold JI, Ding L (2024) Neural Representations of Post-Decision Accuracy and Reward Expectation in the Caudate Nucleus and Frontal Eye Field. J Neurosci 44.

Fan Y, Gold JI, Ding L (2018) Ongoing, rational calibration of reward-driven perceptual biases. Elife 7:e36018.

Fan Y, Gold JI, Ding L (2020) Frontal eye field and caudate neurons make different contributions to reward-biased perceptual decisions. eLife 9:e60535.

Forstmann BU, Keuken MC, Jahfari S, Bazin P-L, Neumann J, Schäfer A, Anwander A, Turner R (2012) Cortico-subthalamic white matter tract strength predicts interindividual efficacy in stopping a motor response. NeuroImage 60:370–375.

Frank MJ, Samanta J, Moustafa AA, Sherman SJ (2007) Hold your horses: impulsivity, deep brain stimulation, and medication in parkinsonism. Science 318:1309–1312.

Fumagalli M, Giannicola G, Rosa M, Marceglia S, Lucchiari C, Mrakic-Sposta S, Servello D, Pacchetti C, Porta M, Sassi M, Zangaglia R, Franzini A, Albanese A, Romito L, Piacentini S, Zago S, Pravettoni G, Barbieri S, Priori A (2011) Conflict-dependent dynamic of subthalamic nucleus oscillations during moral decisions. Soc Neurosci 6:243–256.

Galvan A, Hu X, Rommelfanger KS, Pare J-F, Khan ZU, Smith Y, Wichmann T (2014) Localization and function of dopamine receptors in the subthalamic nucleus of normal and parkinsonian monkeys. J Neurophysiol 112:467–479.

Isoda M, Hikosaka O (2008) Role for subthalamic nucleus neurons in switching from automatic to controlled eye movement. J Neurosci 28:7209–7218.

Lehericy S, Ducros M, Krainik A, Francois C, Van de Moortele PF, Ugurbil K, Kim DS (2004) 3-D diffusion tensor axonal tracking shows distinct SMA and pre-SMA projections to the human striatum. Cereb Cortex 14:1302–1309.

Martin JP (1927) Hemichorea resulting from a local lesion of the brain. (The syndrome of the body of Luys.). Brain 50:637–649.

Martin JP, Alcock NS (1934) Hemichorea associated with a lesion of the corpus Luysii. Brain 57:504–516.

Nougaret S, Baunez C, Ravel S (2022) Neurons in the Monkey's Subthalamic Nucleus Differentially Encode Motivation and Effort. J Neurosci Off J Soc Neurosci 42:2539–2551.

Pagnier GJ, Asaad WF, Frank MJ (2024) Double dissociation of dopamine and subthalamic nucleus stimulation on effortful cost/benefit decision making. Curr Biol CB 34:655-660.e3.

Pasquereau B, Turner RS (2017) A selective role for ventromedial subthalamic nucleus in
inhibitory control. Elife 6.

Ratcliff R, Frank MJ (2012) Reinforcement-based decision making in corticostriatal circuits:
mutual constraints by neurocomputational and diffusion models. Neural Comput 24:1186–1229.

Schmidt R, Leventhal DK, Mallet N, Chen F, Berke JD (2013) Canceling actions involves a race
between basal ganglia pathways. Nat Neurosci 16:1118–1124.

Seymour B, Barbe M, Dayan P, Shiner T, Dolan R, Fink GR (2016) Deep brain stimulation of the
subthalamic nucleus modulates sensitivity to decision outcome value in Parkinson's disease. Sci
Rep 6:32509.

van Wouwe NC, Ridderinkhof KR, van den Wildenberg WP, Band GP, Abisogun A, Elias WJ,
Frysinger R, Wylie SA (2011) Deep brain stimulation of the subthalamic nucleus improves
reward-based decision-learning in Parkinson's disease. Front Hum Neurosci 5:30.

Wei W, Rubin JE, Wang XJ (2015) Role of the indirect pathway of the basal ganglia in
perceptual decision making. J Neurosci 35:4052–4064.

Whittier JR, Mettler FA (1949) Studies on the subthalamus of the rhesus monkey; hyperkinesia
and other physiologic effects of subthalamic lesions; with special reference to the subthalamic
nucleus of Luys. J Comp Neurol 90:319–372.

Wichmann T, Bergman H, DeLong MR (1994) The primate subthalamic nucleus. I. Functional
properties in intact animals. J Neurophysiol 72:494–506.

Witt K, Pulkowski U, Herzog J, Lorenz D, Hamel W, Deuschl G, Krack P (2004) Deep brain
stimulation of the subthalamic nucleus improves cognitive flexibility but impairs response
inhibition in Parkinson disease. Arch Neurol 61:697–700.

Zaehle T, Wagenbreth C, Voges J, Heinze H-J, Galazky I (2017) Effects of deep brain stimulation
of the subthalamic nucleus on perceptual decision making. Neuroscience 343:140–146.

Zaghloul KA, Weidemann CT, Lega BC, Jaggi JL, Baltuch GH, Kahana MJ (2012) Neuronal
Activity in the Human Subthalamic Nucleus Encodes Decision Conflict during Action Selection.
J Neurosci 32:2453–2460.

Zavala B, Damera S, Dong JW, Lungu C, Brown P, Zaghloul KA (2017) Human Subthalamic
Nucleus Theta and Beta Oscillations Entrain Neuronal Firing During Sensorimotor Conflict.
Cereb Cortex 27:496–508.

Zénon A, Duclos Y, Carron R, Witjas T, Baunez C, Régis J, Azulay J-P, Brown P, Eusebio A
(2016) The human subthalamic nucleus encodes the subjective value of reward and the cost of
effort during decision-making. Brain J Neurol 139:1830–1843.

Figure 3-S1: Summary of temporal profiles of modulation of STN activity by decision-related factors.
For each regression factor, heatmaps of significant regression coefficients are plotted in the first row for activity aligned to motion (left) and saccade (right) onsets. Neurons were sorted by the timing of peak magnitude of modulation. The fraction of neurons with significant non-zero coefficients were plotted in the second row. Significance for a coefficient was assessed using t-test (p<0.05). For the fraction plots, the dashed horizontal lines represent chance level. Time bins with values that were significantly above chance (chi-square test, p<0.05) were indicated via thicker lines.

Figure 3-S2: Comparison between STN, FEF and caudate neurons
Each panel shows the fractions of neurons in the three regions (see legend for colors) with significant coefficients (t-test, p<0.05) for a specific regressor (rows) and activity alignment (columns). Horizontal bars indicate results of chi-square tests using a criterion of p<0.05/3(alignments)/7(regressors). Black horizontal bars indicate significant difference between FEF and STN populations. Red horizontal bars indicate significant difference between caudate and STN populations. FEF and caudate data are from Fan, et al. eLife, 2020. N = 126, 136, and 150 neurons for FEF, caudate, and STN, respectively.

Figure 4-S1: Stability of clustering results

A, Silhouette scores for different combinations of distance metrics and number of clusters. Red triangle indicates the clustering presented in Figure 4. Mean and s.d. values were computed from 50 iterations of clustering.

B, Fraction of negative silhouette scores. Same format as A.

C, Rand index. Same format as A.

D, Visualization of clusters from Figure 4 in the tSNE space calculated from all units. Non-gray circles indicate neurons that passed visual inspection. Colors indicate cluster identities that are used in Figure 4.

E, Average firing rates for each cluster of neurons, with the assumption of three clusters. Same format as Figure 4D. Colored boxes indicate clusters that loosely correspond to those in Figure 4.

F, Average firing rates for each cluster of neurons, with the assumption of five clusters. Same format as Figure E.

G, Pairwise Rand index values for clusterings based on all or only good units, using asymmetric (AR) or equal-reward (ER) data, and assuming 4 or 5 clusters.

Figure 5 S1 Average choice and RT performance for trials split by firing rates for different neuron clusters and epochs.

Same format as Figure 5B. The columns correspond to the same clusters in Figure 5E.

Figure 5 S2 Raw plots corresponding to the summary in Figure 5E

Top section: average firing rates for the four clusters. Same as the top row of Figure 5E.

Second section: Cumulative density functions of regression coefficient (bFR) for DDM parameters. The title for each plot indicates the DDM parameter and the epoch from which firing rates were used to split the trials. Same format as Figure 5C.

Third section: Cumulative density functions of regression coefficient (bRewFR). Same format as the second section.

Bottom section: Scatterplots of the difference in DDM parameters between reward contexts and the regression coefficient for reward context of neural activity. Same format as Figure 5D.

For the last three sections, only relationships with a p<0.05 from sign test (for bFR and bRewFR) or significant Pearson correlation are shown, corresponding to the colored pixels in Figure 5E.

Figure 5 S3 Assessing the robustness of the regression and correlation results with different clustering settings.
A, Regression and correlation results based on dividing the neurons into three clusters. Same format as Figure 5E.
B, Regression and correlation results based on dividing the neurons into five clusters. Same format as Figure 5E.