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4 **Blockade of associative spine enlargement impairs awake cortical**
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Abstract

Awake cortical processing shows distinctive patterns in electroencephalography (EEG), electromyography (EMG), and locomotor activity, reflecting coordinated neural dynamics driven by action potentials and synaptic transmission across wide cortical territories[1]. Although memory processes are consistently engaged during these states, the causal role of associative synaptic plasticity—specifically dendritic-spine enlargement[2-4]—in sustaining cortical processing and wakefulness has remained unknown, owing to the absence of tools that can selectively abolish spine enlargement in vivo. Here we show that a Rac1-GAP-based variant of Synaptic Chemogenetics (SYNCit-C, SynC), selectively and reversibly abolishes spine enlargement after administration of an A/C heterodimeriser, while preserving cellular excitability and NMDA-receptor function. Visual cortical responses were preserved, whereas motor learning in the motor cortex was impaired. With broad frontoparietal expression of SynC, A/C administration induced reversible deficits in goal-directed behaviours, including laser-dot chasing and feeding initiation. It also induced intermittent State-C, a state outside canonical wake and sleep, marked by behavioural arrest with reduced γ-power and a muted rise in slow δ-waves during these episodes. SynC–A/C preserved firing and Ca^{2+} rates but reduced pairwise spike correlations. All effects reversed within ~1 h. These findings provide direct causal evidence that associative dendritic-spine enlargement sustains cortical processing and wakefulness.

Introduction

Wakefulness is essential for animals to flexibly process environmental stimuli, enabling sensory integration, motor control and task-relevant cortical processing. These processes depend on coordinated cortical network dynamics integrating both working- and long-term-memory mechanisms[5-9]. Despite the established role of memory mechanisms in these processes, the specific contribution of associative synaptic plasticity—such as dendritic-spine enlargement—to awake cortical function remains unclear. NMDA receptor antagonists such as ketamine disrupt associative plasticity, impair memory formation and cognitive performance[10], and induce anaesthesia[11], yet also broadly suppress NMDA-receptor-mediated synaptic currents. Thus, the specific role of plasticity mechanisms—distinct from general synaptic transmission—in sustaining wakefulness and cognitive processing remains elusive.

Most excitatory synapses reside on actin-rich dendritic spines. During coincident pre- and postsynaptic activity, dendritic spines undergo a long-lasting increase in head volume ("spine enlargement")[2,4,12]. Spine enlargement can strengthen synaptic connections via two complementary routes: (i) by increasing postsynaptic glutamate responsiveness through AMPA-receptor recruitment/stabilisation—often operationalised as long-term potentiation (LTP)[12-15]; and (ii) a trans-synaptic mechanotransduction route has been proposed, whereby actin-driven spine expansion engages adhesion complexes and influence presynaptic release[3,4,15]. The onset of such associative plasticity occurs within seconds[16,17], yet direct sub-minute in vivo measurements during behaviour remain technically challenging. Here, "spine

enlargement" refers to a sustained increase in spine-head volume, representing the

morphological correlate of associative plasticity.

We developed SYNCit-C (SynC), a chemogenetic variant of SYNCit-K (SynK)[18],

that selectively and transiently abolishes dendritic-spine enlargement after

administration of the A/C heterodimeriser, without affecting basal synaptic

transmission, NMDA-receptor-mediated slow EPSCs, or membrane properties.

Knockout mice lacking CaMKII and other kinases fail to block the transient

component[19], whereas SynC abolishes spine enlargement while preserving NMDA-

receptor function. To test whether spine enlargement contributes to task-relevant

processing, we expressed SynC broadly across the frontoparietal cortex[1]. A/C

administration induced severe deficits in awake behaviours and produced an

intermittent non-wakeful state (State-C), distinct from slow-wave sleep (SWS) and

marked by behavioural arrest with a characteristic EEG pattern. These findings indicate

that dendritic-spine enlargement is not only engaged in learning and memory but is also

required to sustain coordinated cortical processing and wakefulness.

Selective inhibition of spine enlargement by SynC

We designed SynC to block activity-dependent spine enlargement, building on the

previously reported SynK system, which uses a rapamycin derivative (A/C)-induced

heterodimerisation of FKBP (FK506-binding protein) and FRB (the FKBP12–

rapamycin binding domain of mTOR) by A/C (Fig. 1a)[18]. Because SynK implicated

Rac1 activation by Rac1-GEFs in spine enlargement (Fig. 1b), we generated SynC in

which both components were expressed from the CaMKII(0.4) promoter: a PSD-

anchored PSDΔ1,2–FRB and a cytosolic FKBP–Rac1-GAP (the GAP domain of α1-

chimaerin) to inactivate Rac1 by GTP hydrolysis (Fig. 1b) PSDΔ1,2–FRB–mVenus

localised selectively to postsynaptic densities and was absent from axons (Extended

Data Fig. 1a,b). Application of A/C rapidly recruited the FKBP fusion from dendritic

shafts into spines (Fig. 1e–g, Extended Data Fig.1c), and abolished spine enlargement

evoked by a chemical LTP (cLTP) protocol (Fig. 1c–h), an effect not observed with the

GAP-dead mutant SynC-dGAP (Fig. 1d,f–h; Extended Data Fig. 1c). These results are

consistent with rapid Rac1-GAP catalysis being sufficient to suppress Rac1-dependent

spine enlargement under these conditions[20,21].

We assessed SynC in hippocampal slice cultures[2] by co-expressing CaMKII(0.4)-

Cre with floxed SynC and mScarlet, enabling targeted whole-cell recordings from

mScarlet-positive neurons (Extended Data Fig. 2a,b). In control cells, two-photon

glutamate uncaging in 0 Mg^{2+} ACSF robustly induced spine enlargement; with SynC,

A/C abolished this response—including the transient phase reported to resist many

other perturbations[4]—whereas the GAP-dead SynC-dGAP had no effect (Extended Data

Fig. 2b). Basal excitatory and inhibitory synaptic transmission and intrinsic membrane

properties were unchanged, and, notably, NMDA receptor–mediated slow EPSCs were

unaffected (Extended Data Fig. 2c–g).

For most subsequent experiments, we used a bicistronic plasmid in which the

FRB and FKBP modules of SynC were co-expressed via an internal ribosome entry site

(IRES), enabling single-vector AAV-mediated delivery for in vivo use (Fig. 1i)[18]. We

further characterized this construct in dissociated culture, and found that SynC activity

was transient following A/C: at ~0.3 µg mL^{-1} plasmid DNA, A/C nearly abolished

spine enlargement, but the effect dissipated within ~1 h (Fig. 1j,k, Extended Data

Fig. 1d,e), even though FKBP–mVenus fluorescence persisted (Extended Data Fig. 1e).

This rapid loss of efficacy is consistent with inactivation of the tethered Rac1-GAP[22]

rather than diminished expression, and it enables reversible induction of cortical deficits

in vivo (see Fig. 4g). SynC neither altered NMDA-evoked spine shrinkage (Extended

Data Fig. 1f), nor baseline spine morphology and intrinsic spine volume dynamics[18,23]

(Extended Data Fig. 1g). For in vivo AAV delivery, mVenus was replaced by an HA

tag, reducing the expression cassette to 4.5 kb (Fig. 2a).

Local SynC expression in primary visual and motor cortices

We tested whether SynC perturbs basic response properties in primary visual cortex

(V1). SynC was delivered to Thy1–GCaMP6s transgenic mice by AAV

(1.2×10^{13} gc mL^{-1}; 1 µL; Extended Data Fig. 3a,b). Four weeks later, we recorded

responses to grating stimuli from hundreds of neurons. A/C (2 mg mL^{-1}) was then

intraperitoneally (i.p.) administered under light anaesthesia (Methods). Retinotopy,

orientation selectivity and neuronal firing rates were unchanged following A/C in SynC-

expressing mice (SynC@V1) (Extended Data Fig. 3c–k). Firing rates declined gradually

even before A/C due to adaptation (Extended Data Fig. 3i)[24]. We included only those

mice where SynC expression was confirmed. Thus, acute SynC activation did not

impair basic visual processing in V1, indicating that intrinsic membrane properties and

synaptic transmission remained intact in vivo, consistent with in vitro controls.

We next examined motor learning in primary motor cortex (M1). SynC was

bilaterally delivered to the forelimb representations by AAV(Fig. 2a), and rotarod

training was performed 4 weeks later. Within 30 min of i.p. A/C, translocation of HA–

FKBP–Rac1-GAP to dendritic spines was detected in fixed SynC@M1 tissue (Fig. 2b).

Each training session lasted 30 min; in controls, performance improved rapidly within

the first 20 min and typically plateaued by ~30 min and maintained and consolidated in

the next day (Fig. 2c,d). In SynC@M1 mice, A/C abolished rotarod learning, whereas

A/C alone or A/C in SynC-dGAP mice left learning intact (Fig. 2d). These data indicate

that long-term motor learning in this task requires Rac1-dependent spine enlargement,

which SynC–A/C blocks via inhibition of Rac1 at spines.

SynC expression across frontoparietal cortex

To test the impact of spine enlargement on goal-directed behaviour[1], we bilaterally

transduced SynC using AAV at 1.2×10^{13} gc mL^{-1} (4 μL) in neonatal pups (P1) under

hypothermic (ice) anaesthesia, targeting a site between S1 and M2 in the sagittal plane

(AP 0–1 mm; ML 1 mm) (Fig. 3a; Extended Data Fig. 4a,b). Injection at P1 ensured

extensive cortical distribution[25]. At 6–12 weeks post-injection, histology showed broad

expression spanning from prefrontal cortex (M2) to the posterior parietal cortex (PPC),

with minimal subcortical labelling except for minor dorsal striatal spillover (Extended

Data Fig. 4c). Across transduced areas, 20–80% of NeuN$^+$ neurons expressed SynC,

varying by cortical region (Extended Data Fig. 4c) No gross or cytoarchitectural

abnormalities were detected (Fig. 3a) and SynC@FPC mice displayed normal motor

performance in the rotarod task comparable to WT mice (Fig. 2e). The cortical area of

middle sagittal sections were slightly smaller in SynC@FPC (mean ± s.e.m.= 7.9 ± 0.40

mm^2, for thickness 2.5 ± 0.097 mm, n = 14) compared to SynC-dGAP@FPC (8.9 ± 0.49

mm^2, thickness 2.75 ± 0.087, n = 10), but these differences were not significant.

Expression was most prominent in layer V neurons, moderate in layer II,III, and nearly

absent in layer VI, consistent with the CaMKII promoter (Fig. 3a; Extended Data

Fig. 4d). PSDΔ1,2–FRB–mVenus was largely restricted to dendritic spines, with no

clear labelling observed in dendritic shaft, somatic cytosol, nucleus or axon in vivo

(Extended Data Fig. 4e).

Following i.p. A/C, locomotor speed decreased similarly in SynC@FPC and

SynC@M1 mice, but SynC@FPC mice exhibited a significantly greater reduction in

centre exploration relative to SynC@M1 (Fig. 3b). Behavioural assays (Fig. 3b–d) were

analysed in animals subsequently confirmed to have sufficient cortical SynC expression

(see criteria in Fig. 4b). We next probed innate, goal-directed behaviours. In a laser-dot

chasing assay (Methods), SynC@FPC mice pursued the moving or stationary spot in

80% of trials before A/C (n = 26 mice), but this was dramatically reduced (15%) after

A/C injection (Fig. 3c; Extended Data Movies 1–4). These behavioural deficits were not

observed in control SynC-dGAP@FPC mice (n = 16, Fig. 3b,c). In a food-pellet task,

mice without A/C initiated feeding within ~30 s, whereas after A/C, SynC@FPC mice

required ~150 s despite frequent encounters with the pellet (Fig. 3d; Extended Data

Movies 5–7). These delays indicate profound deficits in cortical processes required to

initiate goal-directed actions, while basic motor output and consummatory behaviour

remained intact. The overall phenotype is reminiscent of classical observations in

decorticated dogs, which stand, walk, and chew food placed in the mouth yet fail to

initiate feeding independently[5,26]. Because precise onset/resolution requires stable

wakefulness, we quantified the time course in a separate dark-phase cohort (see

Fig. 4g).

Intermittence of State-C and Interm-C

Following i.p. injection of A/C in the open-field arena, 41 of 57 SynC@FPC mice

exhibited intermittent behavioural arrests beginning 3–15 min post-injection,

cumulatively occupying approximately 31.2 % ($\pm$ 3.8%, n = 29) of the first hour

(Fig. 4a). Arrest episodes frequently occurred during standing at the end of running

bouts ("standing"), while navigating corners ("corner"), or during grooming

("grooming") (Extended Data Fig. 5; Movies 8). During these episodes, animals

remained motionless in an awake-like posture with eyes open, head elevated, and tail

extended, typically fully standing or partially seated ("corner"), except for occasional

minor postural shifting in the "corner" state (Extended Data Fig. 5b, see below). To

relate phenotype to SynC expression levels, we performed whole-brain histology in 21

mice; the five mice without State-C showed <20% neuronal expression, while State-C

reliably appeared when expression exceeded this threshold (Fig. 4b; Extended Data Fig.

4c). Since the occurrence of State-C reliably indicated sufficient SynC expression,

behavioural assays shown in Fig. 3d–d were analysed specifically in mice exhibiting

State-C to ensure phenotype-expression consistency. State-C required Rac1-GAP

activity in neocortical dendritic spines, as A/C did not induce State-C in SynC-

dGAP@FPC mice with histologically confirmed expression (Fig. 4e, Extended Data

Fig. 4b). Expression of the FKBP–Rac1-GAP–HA component alone also failed to

induce State-C (Fig. 4f), arguing against potential confounding effects mediated by

endogenous mTOR activation. The local SynC expression to M1 never caused State-C

(Fig. 4e).

Electrophysiologically, State-C was characterized by reduced EMG tone and

suppressed γ-band power, reminiscent of SWS (Fig. 4c,d; Extended Data Fig. 6a–c).

Unlike typical SWS, State-C did not exhibit a robust increase in slow-wave (δ-band)

power (Fig. 4c,d; Extended Data Fig. 6c). This contrasts sharply with the robust slow-

wave increase previously reported for SynK, which promotes spine enlargement in

frontal cortex[18]. Isolated sleep spindles, a hallmark of stage-2 sleep, were frequently

observed in State-C but rarely seen in matched control recordings (Extended Data

Fig. 6a)[27]. Spike-and-wave discharges characteristic of absence seizures[28] were not

observed (Extended Data Movie 8). Pupils constricted (miosis), heart rate modestly

decreased, and respiration remained unchanged, similar to autonomic patterns observed

in SWS (Fig. 4e). However, this overall autonomic/motor signature clearly differed

from arrest induced by fear or brainstem stimulation[29]. REM sleep (θ-band dominance

with low EMG; Extended Data Fig. 6a) was not observed during State-C, clearly

distinguishing it from narcolepsy[30]. To aid accurate classification and avoid confusion

with other behavioural and physiological states, we provide an orientation map clearly

distinguishing Interm-C/State-C from sleep, seizure, narcolepsy/cataplexy, catalepsy,

and anesthesia (Extended Data Table 1).

A/C initially induced a locomotor-active state with impaired goal-directed

performance (e.g., reduced chasing or feeding initiation; hereafter 'Interm-C'; Fig. 3),

and intermittently caused State-C. EEG γ-band power (30–80 Hz) in Interm-C remained

comparable to awake periods recorded from the same mice prior to A/C administration

(Awake baseline) (Fig. 4c,d; Extended Data Fig. 6c). The duration of Interm-C bouts

followed a heavy-tailed (power-law) distribution, similar to awake bouts in WT mice. In

contrast, State-C bout durations were exponentially distributed (Extended Data Fig. 6d).

Interm-C and State-C thus alternated finely (Fig. 4d), with State-C episodes often

briefly interrupted (0.5–10 s) by short movements ("shifting") that coincided with

transient EEG γ-wave activation, especially during "corner" postures (Extended Data

Fig. 5b, Movie 8). These alternating State-C and Interm-C dynamics closely resemble

sleep–wake transitions and suggest flip-flop-like mechanisms mediated by the

ascending reticular activating system (ARAS)[30,31].

To examine the time course of State-C and behavioural abnormalities during

stable wakefulness, we housed mice on an inverted light–dark cycle and tested them

during their nocturnal (active) phase. State-C emerged similarly to tests performed

during their normal inactive (light) phase ($31\% \pm 4.6\%$, $n = 49$). The onset of State-C

and impairments in laser-chasing and food-pellet tasks occurred by ~10 min after A/C

administration and resolved by ~60 min (Fig. 4g). This reversibility paralleled the

transient SynC–A/C action on spine enlargement observed in dissociated culture

(Extended Data Fig. 1i–l). Thus, the transient effects of A/C closely mirrored those

observed with low-dose expression in dissociated culture experiments that inhibited

cLTP (Fig. 1j,k, Extended Data Fig. 1d,e). Occurrence of State-C, impaired laser-

chasing, and delayed feeding initiation resolved in parallel (Fig. 4g), supporting a

common mechanism—acute inhibition of spine enlargement. The transient nature of

SynC–A/C effects was further confirmed by normal rotarod performance tested one day

after training, even when A/C administration shortly after training had induced State-C

(Fig. 2e). This indicates that newly acquired motor memories were preserved and

successfully consolidated. Moreover, A/C-induced State-C was reproducible three

weeks later in 8 out of 9 previously responsive mice (Fig. 4e), demonstrating that

transient SynC–A/C action does not induce long-lasting neural dysfunction or tolerance.

Transitions between Interm-C and State-C

State-C occurred even during the nocturnal (active) phase, when mice are normally

awake (Fig. 4g), and tended to appear similar at night (Fig. 4e,g). This finding suggests

that brainstem arousal cannot be fully sustained under conditions of widespread cortical

suppression. To examine this mechanism, we performed time-resolved analyses of

transitions from Interm-C to State-C. In untreated control mice, transitions toward sleep

proceeded gradually, with progressive declines in locomotor velocity, EMG tone, and

cortical γ power over 10–60 s, typically as animals settled in a corner and oriented their

head toward the centre of the arena (Extended Data Fig. 5d, Movie 9). In contrast,

SynC@FPC mice after A/C exhibited abrupt transitions, marked by rapid decreases in

locomotor velocity and cortical γ power within ~10 s (Extended Data Fig. 7b,c; Movie

8). Averaged, time-locked analyses revealed highly reproducible profiles across animals

(Extended Data Fig. 7). Despite variability in awake behaviour, this stereotyped pattern

supports our working hypothesis that cortical associative activity sustains brainstem

arousal, buffering against sudden inactivation and allowing gradual descent into sleep-

like postures. When cortical control is disrupted, rapid brainstem inactivation ensues,

producing immediate behavioural arrest (State-C) even during ongoing movement.

Across three goal-directed behavioural assays—open-field centre exploration

(motivation), laser-dot chasing (attention/motivation), and feeding initiation

(motivation)—SynC–A/C consistently impaired performance. These assays likely

engage multiple cognitive processes (e.g., perception, attention, motivation) and were

not designed to isolate specific domains; rather, we interpret them collectively as

reflecting an integrated capacity for goal-directed cortical processing. Because State-C

occurred frequently and Interm-C episodes were temporally fragmented, conventional

tasks requiring sustained engagement (e.g., T-maze, novel object recognition) could not

be validly performed or reliably interpreted. Region-specific SynC expression using

lower viral titres may, in future, mitigate State-C occurrence and reveal circuit-specific

dependencies of associative plasticity on goal-directed behaviour. In the present study,

our aim was to establish that rapid dendritic-spine enlargement is required for intact

goal-directed cortical performance and the stabilisation of wakefulness.

Ca^{2+} imaging from primary motor cortex (M1)

We performed two-photon Ca^{2+} imaging in the forelimb area of M1 in head-fixed

SynC@FPC mice co-transfected with GCaMP7f while the mice ran on a treadmill (Fig.

5a,b). The amplitudes and time courses of Ca^{2+} transients remained unchanged

following A/C administration (Fig. 5c, Extended Data Fig. 8a,b), indicating that SynC–

A/C did not affect voltage-gated ion channels and NMDA receptor function[32],

supporting in vitro and V1 experiments (Extended Data Fig. 2c,g, 3). Mice typically ran

at mean velocities of approximately 4.7 ± 1.5 cm s^{-1} (mean $\pm$ s.e.m., n = 5 mice) during

the Awake and 3.5 ± 1.3 cm s^{-1} during Interm-C. However, during approximately 30%

of the recorded time, the mice stopped moving and became immobile (Fig. 5d). When

immobile, Awake mice maintained a stable posture with their legs spread to grasp the

treadmill, whereas Interm-C mice exhibited more arbitrary and uncontrolled leg

positions (Extended Data Movies 10, 11). Although the average degrees of firing

correlation during running were similar between Awake and Interm-C states (Fig. 5e),

the firing patterns themselves differed notably between these states (Fig. 5f).

The immobile phases enabled us to examine cortical firing patterns independently

of motion. Importantly, firing rates were not significantly reduced after A/C

administration (Fig. 5e), consistent with the preserved γ-band power observed during

Interm-C (Extended Data Fig. 6c). Pairwise spike correlations during immobile Interm-

C periods were reduced by A/C (Fig. 5f,g). Concurrently, neuronal network

dimensionality increased, as indicated by a greater number of principal components

explaining 50% of variance (D50) and increased participation ratios (PR; Extended Data

Fig. 8c,d). This reduction in correlation accompanied by increased dimensionality

suggests weakened functional coupling among M1 neurons, rather than a general

suppression of neuronal firing. Interestingly, similar correlation patterns tended to

recover 1 h after A/C particularly in immobile phase (Fig. 5f). These findings align with

impaired goal-directed behaviour during Interm-C and the inhibition of associative

plasticity by SynC. The dissociation between neuronal correlation and firing rates

observed here is consistent with previous electrophysiological findings demonstrating

perception-related membrane depolarization independently of spike-rate modulation[33].

Additionally, when we identified State-C based on EEG and EMG power in treadmill

experiments (Fig. 5c), we found that firing rates were reduced to levels comparable to

SWS. However, pairwise correlations were decreased and dimensionality increased in

State-C—contrary to SWS, in which correlations typically increase and dimensionality

decreases due to firing synchrony induced by slow waves (Extended Data Table 2)[34].

Such synchrony was notably suppressed in State-C.

Discussion

We developed SynC, a chemogenetic tool acutely activated by the A/C heterodimeriser

that selectively and reversibly abolishes dendritic-spine enlargement while preserving

neuronal excitability—including NMDA-receptor function—and physiological spine

dynamics such as shrinkage and actin-driven fluctuations. In vivo, cortical SynC–A/C

left early visual responses in V1 intact but impaired long-term motor learning, assessed

by rotarod performance. With broad expression across the frontoparietal cortex, SynC–

A/C rapidly disrupted goal-directed cortical processing during Interm-C, including

open-field exploration, laser-dot chasing and feeding initiation. SynC expression alone

produced no detectable baseline effects before A/C administration (Fig. 2e). SynC–A/C

showed a rapid onset (~10 min) and reversal (~60 min) of effects in vivo (Fig. 4g),

closely matching the in vitro kinetics (Fig. 1k) and consistent with a reversible block of

spine enlargement at low SynC expression levels and reproducible effects in vivo.

These results confirm the on-target mechanism—an acute blockade of spine

enlargement.

To verify target specificity, we examined subcellular localisation and genetic

controls. The FRB probe localised to the PSD, but not to somata or axons, both in vitro

and in vivo. Specificity was confirmed by the GAP-dead genetic control (SynC-dGAP),

which preserves FRB/FKBP scaffolding and A/C dimerisation but lacks Rac1-GAP

catalysis. It produced no rapid phenotypes, making A/C-only or scaffold-only

explanations—including endogenous mTOR activation—unlikely. The rapid, reversible

and PSD-restricted phenotype is inconsistent with diffuse or delayed Rac1-linked

inhibition, which normally unfolds over longer timescales[20,21] and would be attenuated

rather than recruited by a PSD-tethered Rac1-GAP. Two-photon Ca^{2+} imaging showed

that during Interm-C, gross spiking and Ca^{2+} rates and γ-power were comparable to

Awake, whereas pairwise spike correlation decreased (Fig. 6). In State-C, spiking fell to

SWS-like levels, γ-power decreased and δ-power showed a slight rise, accompanied by

behavioural arrest and reduced EMG tone. Five converging lines of evidence—(i)

functional selectivity (enlargement blocked while excitability and NMDA function are

preserved), (ii) rapid and reversible phenotypes, (iii) PSD-restricted localisation of the

activated probe, (iv) catalytic specificity shown by the Rac1-GAP-dead mutant, and (v)

a state-dependent network signature—together rule out broad cortical inhibition or

nonspecific ligand/scaffold-related effects, including unrecognised SynC–A/C species

(Extended Data Table 2).

Moreover, SynC–A/C reduced the propensity for slow-wave activity (SWA),

attenuating the δ-power rise, whereas promoting spine enlargement with SynK–A/C (a

Rac1-GEF probe) increased SWA[18]. These opposite effects strongly support the

conclusion that SynC primarily acts by blocking spine enlargement. Complementary

effects on arousal further suggest a top-down cortical influence on brainstem systems.

Both manipulations reduce indices of cortical computation: SynC by blocking plasticity

and inducing ensemble decorrelation (expanded dimensionality), and SynK by inducing

slow-wave bistability that synchronises up–down transitions and interrupts information

exchange. In both cases, cortical contribution to arousal decreases. Such top-down

modulation of sleep has been proposed in psychological[35] and physiological

frameworks[18,36,37] and is anatomically plausible given frontal-cortex projections to

brainstem nuclei[38,39], although the specific targets remain unidentified and beyond the

scope of this study.

Awake cortical functions represent higher-order processes that integrate sensory

input with working and long-term memory to enable decision-making, predictive

behaviour and executive control[5,7,40]. James proposed that cognitive acts are in constant

flux and that memory is continuously reshaped[5], and rapid neocortical associative

plasticity has been reported[7-9]. A recent theoretical model suggests that fast Hebbian

plasticity can induce synapse-driven network states or transient attractors underlying

working memory[41-43], potentially corresponding to the dynamic correlated activities we

observed (Fig. 5d). Consistent with this view, our experiments demonstrate that

associative plasticity—specifically spine enlargement—is required for awake cortical

function beyond network spiking dynamics[1,30,31]. Although the precise kinetics of

cortical associative plasticity remain uncertain, evidence suggests they occur

rapidly[6,16,17,44]. Increases in postsynaptic glutamate sensitivity, however, require longer

(~2 min)[14,45]. Thus, rapid plasticity may instead be mediated, at least in part, by trans-

synaptic mechanical effects of spine enlargement on presynaptic terminals[3,4], consistent

with presynaptic expression of associative plasticity previously reported in the

neocortex[46-48].

Current in vivo imaging method for detecting spine-head enlargement necessarily

relies on intensity-based measurements and are constrained by diffraction-limited

optical resolution. In the awake cortex, spine imaging is particularly susceptible to

motion artefacts and intrinsic spine dynamics (Extended Data Fig. 1g), complicating

reliable detection[15,49,50]. Moreover, even subtle (1–2%) coordinated synaptic changes—

but not intrinsic dynamics an order of magnitude larger—are considered functionally

significant[51], further highlighting the challenge of detection. Artificial, time-locked

induction methods for directly visualising SynC's proximal action remain technically

demanding and low yield[17,44]. Future advances in direct in vivo imaging will enhance

mechanistic understanding, but establishing causal links to behaviour requires targeted

perturbation. SynC provides such an approach, enabling behavioural modulation

without detectable changes in mean population firing rate.

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Methods

Animals

Dissociated cortical neuron cultures were prepared from embryonic day 17 (E17) ICR

mouse embryos (Japan SLC). Sprague–Dawley rats (Sankyo Lab Service) were used to

prepare organotypic slice cultures. Neurons from both sexes were mixed in these

experiments.

For SynC@FPC experiments, 151 male and 162 female C57BL/6J mice (Sankyo

Lab Service) received viral injections at postnatal day 1 (P1). Because sex

determination at P1 is impractical, both sexes were included, and data from males and

females were pooled since no significant sex differences were observed (e.g., Fig. 4b).

Thy1-GCaMP6s transgenic mice (C57BL/6N background, Japan SLC) were used for

Ca^{2+} imaging experiments in visual cortex (V1). Male C57BL/6J mice aged 4–5 weeks

were used for rotarod experiments. In the V1 and M1 experiments, we included only

males to ensure consistency with existing normative datasets and to minimize animal

numbers, as these paradigms were not specifically designed or statistically powered to

detect sex differences.

Animals were housed under controlled temperature and humidity conditions and

typically maintained on a 12-h light/dark cycle. At the time of experiments, animals

were 8–17 weeks old. Food and water were provided ad libitum, except for experiments

shown in Fig. 4, Fig. 6, and Extended Data Fig. 8, where the light/dark cycle was

reversed to assess behavioural performance during the animals' active (night) period.

No formal randomization was performed; however, P1 viral injections were carried out

across multiple litters and days without selection for sex, litter, or injection order,

effectively randomizing animals across experimental groups. All experimental

procedures were approved by the Animal Experimental Committee of the Faculty of

Medicine, The University of Tokyo.

Plasmid constructions and adeno-associated virus (AAV) preparation

For dissociated neuronal cultures, the following constructs were generated by PCR and

In-Fusion cloning (Takara Bio):

pAAV-CaMKII(0.4)-Cre-W; pAAV-hSyn-DIO-mScarlet-W; pAAV-hSyn-DIO-

PSDΔ1,2-FRB×3-W; pAAV-hSyn-DIO-FKBP-mVenus-α1-chimerinGAP-W; pAAV-

hSyn-DIO-FKBP-mVenus-α1-chimerinGAP-dead(R179G)-W; and pAAV-hSyn-DIO-

PSDΔ1,2-FRB-IRES-FKBP-mVenus-α1-chimerinGAP-W.

Human FKBP and FRB, and mouse α1-chimerin (Rac1-GAP) cDNAs were cloned from

cDNA templates. The GAP-dead mutant was generated by introducing an R179G

substitution into α1-chimerin using the following primers (mutated bases underlined):

5′-gagagttacggcgtaccagctgctcctg-3′ and 5′-gtacgccgtaactctctggacagg-3′. PSDΔ1,2 was

created by deleting amino acids 65–312 from PSD-95. FRB contained the T2098L

mutation, as in SynK[3], to reduce binding to endogenous FRB and mTOR.

For in vivo experiments, the following constructs were packaged into AAV

vectors. GAP denotes the RhoGAP domain (amino acids 143–322) of α1-chimerin:

pAAV-CaMKII(0.4)-PSDΔ1,2-FRB-IRES-FKBP-HA-GAP-W; pAAV-CaMKII(0.4)-

PSDΔ1,2-FRB-IRES-FKBP-HA-GAP-dead(R179G)-W; pAAV-CaMKII(0.3)-

PSDΔ1,2-mVenus-FRB×3-W; and pAAV-CaMKII(0.3)-FKBP-mVenus-GAP-W. The

AAV-Syn-jGCaMP7f construct was used for calcium imaging.

AAVs were produced and titrated as described previously[4]. Briefly, plasmids

encoding the AAV vector, pHelper (Stratagene), and pUCmini-iCAP-PHP.eB (Addgene

#103005) were transfected into HEK293 (AAV293) cells (Stratagene). After three days,

cells were harvested and AAV particles were purified twice by iodixanol gradient

centrifugation. Viral genome titres were determined by quantitative PCR. SynC and

SynC-dGAP plasmids have been deposited at Addgene (IDs 248572 and 248573).

Dissociated culture of neocortex

Cortical neurons were dissociated from embryonic day 17 (E17) ICR mouse embryos

and plated at 4.5×10^4 cells cm^{-2} on poly-L-lysine-coated glass-bottom dishes (MatTek,

#1.5). Cultures were maintained at 37 °C in 5% CO_2 in Neurobasal medium (Gibco)

supplemented with B27 and L-glutamate. At 16 days in vitro (DIV16), cells were

transfected with CAG-mScarlet, CaMKII(0.3)-PSDΔ1,2-FRB×3 and CaMKII(0.3)-

mVenus-FKBP-GAP at a plasmid ratio of 5:15:1 using Lipofectamine 2000

(Invitrogen). After 3–5 days, dual-colour time-lapse images (mVenus and mScarlet)

were acquired every 20 min using a confocal microscope (A1R, Nikon). Translocation

was induced by adding the A/C heterodimeriser (Takara Bio; 0.5 mM stock in ethanol)

at a final concentration of 4 μM, followed by three washes after 10 min. Mean spine

density did not differ between WT and GAP-transfected neurons (Extended Data Fig.

1g).

For analysis of intrinsic spine fluctuations (Extended Data Fig. 1g), the mean

change in spine volume (μ) and standard deviation (σ) were computed per 20-min bin

during 50–10 min before and 10–130 min after A/C administration[3].

To induce chemical LTP, neurons were pre-treated with 50 µM D-APV for 12 h

before stimulation, then the medium was replaced with a solution containing (in mM):

140 NaCl, 5 KCl, 10 D-glucose, 2 $CaCl_2$, 10 HEPES-Na, 200 µM glycine, and 20 µM

bicuculline. After 5–15 min, cultures were returned to the original medium.

To induce chemical LTD (Extended Data Fig. 2f), neurons were treated with 20

µM NMDA for 5 min in the continuous presence of 400 nM muscimol, which promoted

spine shrinkage[5].

For histological examination (Extended Data Fig. 1b), cultured cells were washed

with phosphate-buffered saline (PBS, pH 7.4) and fixed overnight in 4%

paraformaldehyde in PBS, then stored in PBS. Immunohistochemistry was performed as

described in the *Histology* section.

621   Hippocampal slice culture

Transverse hippocampal slices (350 µm thick) were prepared from postnatal day 6–8

Sprague–Dawley male rats and placed on 0.4-µm culture inserts (EMD Millipore).

Slices were maintained at 35 °C in 5% CO_2 in culture medium containing 50% MEM

(Invitrogen), 25% HBSS (Invitrogen), 25% horse serum (Invitrogen), and 6.5 g L^{-1}

glucose.

AAV mixtures were injected into area CA1 on the day of or the day after slice

preparation under an upright microscope to confine viral spread within CA1. The

injected AAVs were as follows: pAAV-CaMKII(0.4)-Cre (5.0×10^9 gc mL^{-1}); pAAV-

hSyn-DIO-PSDΔ1,2-FRB×3 (3.0×10^{13} gc mL^{-1}); pAAV-hSyn-DIO-FKBP-mVenus-

GAP (2.0×10^{12} gc mL^{-1}); and pAAV-hSyn-DIO-FKBP-mVenus-dGAP (2.0×10^{12} gc

mL^{-1}). After 10–12 days in culture, slices were transferred to a recording chamber and

superfused with artificial cerebrospinal fluid (ACSF) containing (in mM): 125 NaCl,

2.5 KCl, 2 $CaCl_2$, 0 $MgCl_2$, 1.25 NaH_2PO_4, 26 $NaHCO_3$, 20 glucose, and 200 µM

Trolox (Sigma-Aldrich), continuously bubbled with 95% O_2/5% CO_2.

Spine enlargement was induced in Mg^{2+}-free ACSF as described previously

(Matsuzaki et al., 2004; Ellis-Davies et al., 2007). CDNI-glutamate (1 mM; Nard

Institute) was bath-applied for 3–5 min, and photo-uncaging was performed near the

target spine. Experiments were conducted at 31 °C.

Synaptic transmission was recorded from CA1 pyramidal neurons under whole-

cell voltage clamp using patch electrodes (5–7 MΩ) filled with internal solution

containing (in mM): 120 potassium gluconate, 20 KCl, 10 disodium phosphocreatine, 4

ATP (Mg^{2+} salt), 0.3 GTP (Na^+ salt), and 10 HEPES-KOH (pH 7.3). Evoked synaptic

currents were averaged from 10 consecutive stimuli and plotted at each time point

(Multiclamp 700B amplifier, Molecular Devices).

To isolate receptor-specific components, AP5 (50 µM; Abcam) or NBQX (10

µM; MedChemExpress) was applied together with bicuculline (20 µM; Fujifilm) at a

holding potential of −70 mV for NMDA- or AMPA-receptor-mediated currents,

respectively, whereas GABA-mediated currents were isolated at +30 mV in the

presence of AP5 and NBQX. Currents were low-pass filtered at 4 kHz and sampled at

10 kHz. Series resistance was ~20 MΩ (uncompensated), and recordings with resistance

>30 MΩ were excluded.

Mouse AAV and A/C injection

All AAV-mediated transductions were performed using the AAV.PHP.eB capsid.

For adult mice (4–5 weeks old; Fig. 2), 1 µL of AAV::CaMKII-SynC (1.0×10^{13} gc

mL^{-1}) was pressure-injected unilaterally into V1 (AP −3.0 mm, ML +2.0 mm from

bregma) and bilaterally into M1 (AP +1.0 mm, ML ±1.0 mm, DV −1.5 mm from the

skull surface) at a depth of 200–450 µm using a syringe pump (RWD) at 0.1 µL min^{-1}.

Injections were made through borosilicate glass capillaries without filament (B150-86-

10; Sutter Instrument) pulled on a P-1000 micropipette puller (Sutter Instrument).

For neonatal mice (postnatal day 1), injection pipettes were fabricated from 10 cm

quartz glass capillaries (outer diameter 1.0 mm, inner diameter 0.5 mm; no filament;

Q100-50-10, Sutter Instrument) using a P-2000 puller (Sutter Instrument) to yield ~30

µm tip diameters. Each pipette was connected via silicone tubing to a 25 µL Hamilton

syringe (1702 RN). Viral injections were performed in 250 pups at postnatal day 1 (P1).

Each pup was anaesthetized by brief hypothermia on an ice bed and secured in a custom

neonatal head holder (Extended Data Fig. 4; Narishige; designed by Mr. Ooishi). The

holder arms were positioned vertically at bregma level along the anterior–posterior axis,

0.3 mm lateral to the midline on both hemispheres. The glass micropipette was

advanced perpendicularly through the skull to a depth of 350–400 µm, and 4 µL of

AAV solution was injected using a syringe pump (Neurostar). After recovery on a

heating pad, pups were returned to their home cage. The procedure required less than 20

min per pup.

The A/C heterodimerizer (Takara Bio) was dissolved in N,N-dimethylacetamide

(Fujifilm) at 20 mg mL^{-1} and diluted in propylene glycol (Nacalai Tesque) to 2 mg

mL^{-1}. Before use, this stock was diluted to 0.4 mg mL^{-1} in diluent (1.2% Polysorbate

80, Fujifilm; 27% PEG-300, Fujifilm)[52] and injected intraperitoneally at 1–2 mg kg^{-1}

body weight.

For Ca^{2+} imaging (Fig. 5; Extended Data Fig. 8), AAV::SynC-fusion (1.2 × 10^{13} gc

mL^{-1}) and AAV::syn-jGCaMP7f (2.0 × 10^{12} gc mL^{-1}) were co-injected into neonatal

pups.

Vital signs

EEG, EMG and heartrate (HR) signals were recorded in an electrically shielded open

field using a preamplifier (CerePlex Direct, Blackrock) connected to the main amplifier

via a lightweight cable. The preamplifier, connected via a 6- or 8-pin adaptor, was

secured to the skull using dental cement.

At 8–10 weeks of age, mice were implanted with EEG and EMG electrodes under

isoflurane anaesthesia. EEG electrodes were placed over the frontal cortex (AP +1.0

mm, ML +1.5 mm from bregma), with the ground electrode attached to the skull over

the cerebellum. EMG was recorded from two wire electrodes inserted into the m.

trapezoideus. To reduce mechanical noise when the mouse bumped into the wall, the

EEG/EMG preamplifier was enclosed in a plastic protective frame.

EEG signals were filtered at 0.3–250 Hz using a four-pole Butterworth filter and

fast-Fourier-transformed to obtain power spectra (0.5-Hz resolution; absolute power in

μV^2 [0.5 Hz]$^{-1}$). Heart rate (HR) was monitored via two subcutaneous wire electrodes,

one placed anterior to the heart and the other in the m. pectoralis major, connected to

the EEG preamplifier. Data were displayed online and analysed offline using custom

Python scripts.

Respiration, body temperature and pupil size were monitored in head-fixed mice

on a custom turntable (Thorlabs). Running speed was measured using a rotary encoder

(Omron). Respiration was detected with a subcutaneous thermocouple electrode placed

beneath the nose, and temperature fluctuations were converted to respiratory traces

(PTW-401, Unique Medical). Posture and pupil size were video-recorded and quantified

using DeepLabCut with custom Python routines.

Behaviours

Behavioural experiments were conducted in mice aged 8–17 weeks. Animals were

maintained on a 12-h light / 12-h dark cycle, except for the cohorts used in Fig. 4g–i and

Fig. 5, in which the cycle was inverted so that the animals' dark phase occurred during

the local daytime. Only male mice were used for visual cortical and rotarod experiments

to minimize variability due to hormonal cycles.

Visual cortex imaging

For V1 experiments, male mice were anaesthetized with isoflurane (5% for induction,

1.0–1.5% for maintenance), and a midline scalp incision was made. A custom metal

head plate was attached to the skull with dental cement (Super-Bond, Sun Medical). A

circular craniotomy (4 mm diameter) was made over the left visual cortex (1 mm

anterior and 3 mm lateral to the lambda), and the window was sealed with a glass

coverslip. Before imaging, chlorprothixene (1.0 mg kg^{-1}; Sigma-Aldrich) was

administered intramuscularly, and isoflurane concentration was reduced to 0.2–0.5%.

Wide-field calcium imaging was performed using a macro zoom microscope

(MVX10, Evident) equipped with a 2× objective (NA 0.25, MVX Plan Apochromat).

GCaMP6s fluorescence was excited with a mercury lamp through a GFP mirror unit (U-

MGFPHQ/XL; excitation 488 nm, emission 507 nm; Evident). Fluorescence images (4

× 4 mm field of view; 512 × 512 pixels) were acquired at 5 Hz using an sCMOS camera

(Zyla 4.2, Andor). Drifting grating stimuli (40° diameter) were presented at three

horizontal positions on a 32-inch LCD monitor (20 cm from the right eye) using

PsychoPy.

For each trial, baseline fluorescence (F) was defined as the mean fluorescence

during the 1-s period before stimulus onset. The visual response (ΔF) was defined as the

mean fluorescence during the stimulus period, and ΔF/F maps were averaged across

trials to generate response maps. Imaging data were analysed using custom MATLAB

scripts (MathWorks).

Motor learning

For motor learning (Fig. 2), male mice were trained on a rotarod (MK-610K,

Muromachi). Before training, mice were habituated to the stationary rod until calm for

~2 min. During training, the rod accelerated linearly from 0 to 80 rpm over 300 s. Each

mouse underwent three 5-min sessions per day. Motor learning consolidation was tested

on the following day with one accelerating trial (0–80 rpm).

State definitions

State-C was defined as a period of behavioural arrest with an awake posture (eyes open,

head elevated), accompanied by suppressed cortical γ-band (30–80 Hz) power and

reduced EMG tone similar to slow-wave sleep (SWS), but without the large δ-band

(0.5–4 Hz) power typical of SWS. Only episodes longer than 10 s were counted.

Interm-C was defined as a transient state showing impaired goal-directed performance

after i.p. A/C in SynC@FPC mice, with γ-band power comparable to baseline

wakefulness.

Open-field tasks

Open-field tests were performed either in a large arena (35 × 35 cm) to measure running velocity (Fig. 3) or in a smaller arena (19.4 × 19.4 cm) for close-up videography of laser chasing, food-pellet feeding, and State-C behaviours (Extended Data Movies).

For the **laser-dot task**, a green laser pointer (0.5 mW; Sanwa) was projected onto a corner of the small open field for 5 s. A trial was scored as successful (1) if the mouse chased the dot at least once in four presentations, thereby excluding the possibility that the mouse simply failed to attend to the stimulus. WT mice typically chased the laser dot on the floor, whereas SynC@FPC mice neglected it. We eventually used the corner of the field for projection because laser reflections from the floor often produced multiple spurious dots that occasionally complicated interpretation.

For the **food-pellet task**, a regular food pellet was dropped into the arena, and the latency from pellet introduction to the first bite while grasping the pellet was measured. Latency periods excluded times when mice were in State-C, which was identified from posture and EEG/EMG. SynC@FPC mice often approached and sniffed the pellet but failed to initiate grasping.

In some video recordings, EEG cables were omitted, and State-C was judged solely from behaviour.

Treadmill

A custom treadmill system was used to monitor locomotion and posture during two-photon Ca^{2+} imaging of M1 activity[52]. The treadmill wheel was mounted on translation stages allowing movement along the caudal–rostral and medial–lateral axes, and the head-fixation height was adjustable. A 45° mirror inside the wheel enabled high-speed videography (Basler acA1920-40um) of simultaneous ventral and lateral views.

Wheel rotation was monitored by a rotary encoder (E6B2-CWZ3E, 100 P/R;

Omron), and pulses were recorded with CerePlex Direct (Blackrock). The cranial

window used a "glass-plug" configuration[53]consisting of two stacked coverslips: a 3-

mm-diameter, #1-thickness coverslip placed over a 2-mm-diameter, #3-thickness

coverslip (Matsunami Glass) [54].

Because behavioural identification was difficult under head fixation, EEG γ-band

and EMG signals were used to distinguish State-C from immobile Interm-C. Wheel

angular velocity was recorded at 2 kHz and synchronized with imaging via TTL pulses.

In vivo two-photon imaging

For cranial-window surgery for SynC@V1 mice, 8-week-old mice were anaesthetized

under isoflurane (5% for induction and 1.0–1.5% for maintenance during surgery) and

secured as described above. A circular cranial window was made with stainless-steel

trephines (ϕ 2.3 mm; Fine Science Tools) under continuous perfusion of oxygenated

ACSF, then covered with a coverslip (ϕ 2.3 mm, No. 0, Matsunami Glass). The

coverslip and a custom head plate (DMM 3D printed) were affixed with dental cement

(RelyX Unicem2 clicker, 3M). In vivo two-photon calcium imaging was conducted

using a two-photon microscope (AXR-MP, Nikon, Japan) equipped with a 20×

objective lens (CFI75 Apochromat LWD 20XC W, Nikon) and a femtosecond laser

(Insight DS+, Spectra-Physics) at 950 nm was used to excite GCaMP7s. Dendritic

spines of GCaMP7s-expressing cells were imaged 60–120 μm below the cortical

surface. Series of 500–2000 xy images (8× digital zoom, 512 × 512 pixels) were

captured before and after A/C application[55].

Two-photon excitation imaging of SynC@FPC mice was performed with a

tunable Ti:sapphire laser at an excitation wavelength of 950 nm, using a

(XLPLN25XWMP2, 25×/1.05 NA, Olympus) objective, and a resonant scanner set on

the treadmill (Fig. 5a). Images were acquired at 30 Hz from forelimb region of M1 (AP

+ 0 mm, ML +0.5 mm from Bregma). Laser power at the sample did not exceed 50

mW, and sessions per mouse were limited to 90 minutes to avoid photodamage.

Regions of interest (ROIs) corresponding to neuronal somata (20–180) were extracted

with Suite2p (including non-rigid motion correction, ROI detection/classification, and

neuropil subtraction). Photobleaching was corrected per ROI by single-exponential

fitting to low-activity segments, $\Delta F/F$ was computed, and spike trains were inferred

with Suite2p's OASIS deconvolution ($\tau = 400$ ms) to obtain firing rates (Fig. 5e).

Histology

Three to ten weeks after behavioural experiments, mice were deeply anaesthetized with

3% isoflurane and perfused transcardially with PBS (pH 7.4), followed by 4%

paraformaldehyde (PFA) in PBS. Brains were post-fixed overnight in 4% PFA at 4 °C

and then stored in PBS.

For HA-tagged probes, free-floating sagittal sections (50 µm) were cut at 0.5,

1.35, 2.35 and 3.55 mm from the midline using a vibratome (VT1200, Leica). Sections

were rinsed in PBS, blocked for 1 h at room temperature (RT) in 5% normal goat serum

(NGS) in PBST (PBS containing 0.2% Triton X-100), incubated in primary antibody

solution (5% normal serum, 0.2% Triton X-100 in PBS) for 24 h at 4 °C, and then in

secondary antibody solution under the same conditions for 2 h at RT.

Primary antibodies:

•   Mouse anti-HA (Alexa Fluor 488-conjugated, clone 6E2, #2350S; Cell

Signaling Technology), 1:200

•   Mouse anti-HA (clone 6E2, #2367S; Cell Signaling Technology), 1:200

•   Rabbit anti-NeuN (EPR12753, ab177487; Abcam), 1:400

•   Mouse anti-phospho-neurofilament-H (SMI-31, NE1022; Sigma-Aldrich),

1:1000

•   Mouse anti-PSD95 (7E3-1B8, MA1-046; Thermo Fisher), 1:500

Secondary antibodies:

•   Goat anti-rabbit IgG (Alexa Fluor 594, #A-11012; Thermo Fisher)

•   Goat anti-rabbit IgG (Alexa Fluor 405, #A-31556; Thermo Fisher)

•   Goat anti-mouse IgG1 (Alexa Fluor 594, #115-587-185; Jackson

ImmunoResearch), 1:500

Nuclei were counterstained with DAPI (4′,6-diamidino-2-phenylindole; ab228549,

Abcam) and mounted using Vectashield Antifade Mounting Medium (Vector

Laboratories, Burlingame, CA, USA), then cover-slipped.

For mVenus-labelled SynC probes (without HA tag), HA staining was omitted.

Sections were rinsed in PBS and directly mounted. Whole-brain serial sections were

imaged with an epifluorescence microscope (A1R, Nikon). Z-stack images (4 μm steps;

8 μm total for sagittal sections and 17.7 μm for higher magnification views) were

aligned and collapsed using NIS-Elements Advanced Research software (Nikon).

Imaging and histological analyses were performed blinded using Fiji/ImageJ. SynC

expression levels were quantified as Z-scores relative to the s.d. of unlabelled regions

and plotted on the Swanson flat map (Extended Data Fig. 4). Cortical thickness at M1

was 2.75 ± 0.087 mm (mean ± s.e.m., n = 10) for SynC mice and 2.55 ± 0.097 mm (n =

14) for SynC-dGAP mice (Mann–Whitney U = 65, P = 0.05).

Statistical treatments

Data are presented as individual observations with mean ± s.e.m. Unless otherwise

stated, data were first analysed using non-parametric ANOVA (Kruskal–Wallis),

followed by non-parametric multiple comparisons (Steel). When appropriate, Friedman

tests or Wilcoxon signed-rank tests (non-parametric t-tests versus zero) were applied.

Resulting P values were corrected for multiple comparisons using the Bonferroni

method.

For the analysis of pairwise spike correlations, each state or condition within each

session was divided into seven non-overlapping windows of 240 frames randomly

sampled from the recording. Within each window, ΔF/F traces were temporally binned

by three frames. We then computed Spearman's rank correlation (ρ) across cells,

extracted upper-triangle coefficients, Fisher-z transformed them, averaged across the

seven windows, and back-transformed to obtain the pairwise ρ distribution per

condition (Fig. 5f). In parallel, cells were partitioned into batches of 20 cells, and for

each batch and window we computed: (i) the batch-mean correlation (Fig. 5g; Fisher-z

mean and back-transformed), (ii) the participation ratio (PR), defined as the square of

the total variance (sum of eigenvalues) divided by the sum of squared eigenvalues,

indicating the effective dimensionality of neural population activity (Extended Data Fig.

8c), and (iii) PCA on the matrix after per-cell z-scoring, from which we recorded the

number of PCs required to explain 50% of the variance (Extended Data Fig. 8d).

Sample sizes were determined empirically based on previous studies and were

comparable to those generally used in the field. No formal randomization was

performed; however, because P1 viral injections were conducted across multiple litters

and days without selection for sex, litter, or injection order, animals were effectively

randomized across experimental groups. Behavioural testing and histological analyses

were performed under blinded conditions.

Data and materials availability: All data are available in the main text or the

Supplementary Materials. DNA plasmids of SYNCit-C (SynC-fusion) and SYNCit-

dGAP (SynC-dGAP-fusion) are deposited to Addgene (ID 248573 and 248572). The

code used for the model simulations is available at https://github.com/tkssawada/SynC

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Author contributions: The entire experiments were organized by H.K. T.S. led the team (S.Z., H.O., T.A., M.O. and S.K.P.) for synapse-tool design, validation and

909   behavioural application. H.K. and S.Y. provided guidance. T.H., and K.O. led

910   visual-cortical experiments. S.T., M.K. and M.M. contributed to motor-cortical

911   experiments. The manuscript and figures were prepared jointly by all authors.

913   **Competing Interest:** There is no competing interest in this study.

Figure 1

Fig. 1 | Synaptic chemogenetics, SYNCit-C (SynC).

a, Schematic of FRB–FKBP12 dimerisation by the A/C heterodimeriser.

b, Schematic of G-protein regulation by guanine-nucleotide exchange factors (GEFs) and GTPase-activating proteins (GAPs): Rac1 is activated by a GEF and inactivated through GTP hydrolysis catalysed by a GAP.

c, FRB is localised to the postsynaptic density (PSD) by fusion with PSDΔ1,2, whereas Rac1-GAP is fused to FKBP and distributed in the cytosol. In the absence of A/C, Rac1 activation by endogenous GEFs induces spine enlargement, whereas A/C application recruits Rac1-GAP to the PSD, inactivating Rac1-GTP and blocking enlargement.

d, GAP-dead point mutation (R179G) of α1-Chimaerin.

e, Split-construct design of SynC and representative images showing Rac1-GAP accumulation at spines (green) and inhibition of cLTP-induced spine enlargement (magenta) in dissociated cortical neurons.

f, SynC-dGAP construct and example images showing Rac1-dGAP translocation to spines and preserved cLTP-induced enlargement.

g, Quantification of translocation of Rac1-GAP ($0.1\ \mu g\ mL^{-1}$) (*e*) or Rac1-dGAP ($0.1\ \mu g\ mL^{-1}$, top) (*f*) and inhibition of cLTP-induced spine enlargement by SynC + A/C (n = 19 dendrites, 151 spines, 6 cells), but not by SynC-dGAP + A/C (n = 26 dendrites, 237 spines, 10 cells) or A/C alone (n = 26 dendrites, 210 spines, 10 cells).

h, Statistics for (*g*). *Translocation:* Kruskal–Wallis, H = 93.18, **P = 5.85 × 10⁻²¹**; Steel multiple comparisons (SynC without A/C as control), **P < 0.001** for SynC + A/C; **P < 0.001** for SynC-dGAP. *Enlargement:* Kruskal–Wallis, H = 58.88, **P = 1.63 × 10⁻¹³**; Steel multiple comparisons (SynC without A/C as control), **P < 0.001** for SynC + A/C; P = 0.599 for SynC-dGAP.

i, Fusion-construct design of SynC enabling efficient co-expression in vivo.

j, Transient inhibition of cLTP-induced spine enlargement by the SynC fusion probe following A/C application. Enlargement was completely blocked by SynC ($0.3\ mg\ mL^{-1}$, red) at 20 min after A/C, but recovered within 1 h. SynC ($0.15\ mg\ mL^{-1}$, black) produced no significant inhibition, suggesting cooperative Rac1-GAP actions (Extended Data Fig. 1c,e).

k, Peak amplitudes of spine enlargement at three time points after A/C. n = 17 dendrites (7 cells, 3 cultures) for SynC ($0.3\ mg\ mL^{-1}$) and n = 17 dendrites (8 cells, 3 cultures) for SynC ($0.15\ mg\ mL^{-1}$). Two-way ANOVA, $F_{2,3}$ = 5.018, **P = 0.0086**; Tukey post hoc, **P = 0.0012** at 20 min after A/C. Amplitudes were normalized to 100% (dashed line) relative to those of SynC ($0\ mg\ mL^{-1}$) at 120 min after A/C (Extended Data Fig. 1c).

Figure 2

Fig. 2 | SynC in the primary motor cortex (M1).

a, Bilateral AAV-SynC injection into mouse M1.

b, Immunohistochemical labelling for the HA tag in M1 of SynC mice before and 30 min after intraperitoneal (i.p.) A/C injection (inset). Magnified dendritic images were used to estimate FKBP–HA–GAP-enriched spines. n (dendrites / mice) = 8 / 4 before A/C and 8 / 2 after A/C. Mann–Whitney U test, $U = 6$, **P = 0.0015.**

c, Illustration of the rotarod task; the rod was positioned 60 cm above the floor.

d, Rotarod learning curves, quantified as the latency to fall from an accelerated rod (Methods). $n = 15$ wild-type (WT) mice with A/C; 8 SynC@M1 mice with vehicle; 10 SynC@M1 mice after A/C; 11 SynC-dGAP@M1 mice with A/C. Rotarod scores were evaluated by the area under the curve (AUC), calculated for each mouse with the first successful trial set as zero. Statistical analysis was performed using the Kruskal–Wallis test, $H = 10.54$, **P = 0.015**, followed by posthoc Steel's test versus the control group (WT + A/C): P = 0.57 (Z = -0.57) for SynC@M1 + vehicle; **P = 0.0082** (Z = 3.0) for SynC@M1 + A/C; P = 0.298 (Z = 1.44) for SynC-dGAP@M1 + A/C. Only male mice were used for rotarod experiments.

e, Overnight improvement in rotarod performance in SynC@FPC A/C(−) mice ($n = 10$) and SynC@FPC mice given i.p. A/C after training ($n = 8$). Mann–Whitney test comparing SynC@FPC A/C (+) and SynC@FPC A/C (−) groups revealed no significant difference in AUC (P = 0.76, U = 44) or in ΔLatency (D2–D1, trial 3) ($P = 0.53$, $U = 32.5$). Bars represent mean ± s.e.m.

Figure 3

Fig. 3 | SynC in the frontoparietal cortex (FPC).

a, Neonatal mouse during bilateral cortical AAV-SynC injection together with fast-green dye, and example sagittal sections immunolabelled with anti-HA (top) or anti-NeuN (bottom) 10 weeks after FPC SynC injection. Magnified images show layer II/III pyramidal neurons from WT and SynC@FPC mice labelled with DAPI and anti-NeuN to mark neuronal somata, and a further magnified view of cortical cytoarchitecture from a SynC@FPC mouse.

b, Open-field trajectories of mice before and after i.p. A/C. For average running velocity: $n = 10$ SynC@M1 mice before and after i.p. A/C; $n = 12$ SynC@FPC mice; $n = 12$ SynC-dGAP@FPC mice. Bonferroni-corrected Wilcoxon signed-rank test: **P = 0.00195** ($W = 0$) for SynC@M1; **P = 0.00684** ($W = 6$) for SynC@FPC; $P = 0.151$ ($W = 20$) for SynC-dGAP@FPC. For time spent in the centre: $n = 10$ SynC@M1 mice before and after i.p. A/C; $n = 12$ SynC@FPC mice; $n = 12$ SynC-dGAP@FPC mice. Bonferroni-corrected Wilcoxon signed-rank test: $P = 0.0840$ ($W = 10$) for SynC@M1; **P = 0.00684** ($W = 6$) for SynC@FPC; $P = 0.970$ ($W = 38$) for SynC-dGAP@FPC.

c, Laser-dot-task-induced trajectories in the open field. The arrows indicate the direction from the body centre to the head centre, showing the animal's orientation (black, task start; red, task end). $n = 26$ SynC@FPC mice and $n = 11$ SynC-dGAP@FPC mice before and after i.p. A/C. Bonferroni-corrected Wilcoxon signed-rank test: **P = 8.93 × 10^{-6}** ($W = 0$) for SynC@FPC; $P = 0.680$ ($W = 6$) for SynC-dGAP@FPC.

d, Food-pellet-task-induced trajectories in the open field. $n = 12$ SynC@FPC mice before and after i.p. A/C, and $n = 11$ SynC-dGAP@FPC mice. Bonferroni-corrected Wilcoxon signed-rank test: **P = 0.0210** ($W = 10$) for SynC@FPC; $P = 0.413$ ($W = 23$) for SynC-dGAP@FPC. Bars represent mean ± s.e.m. Behavioural assays in (b–d) were analysed in animals that exhibited State-C.

Figure 4

Fig. 4 | Non-awake and non-sleep state, State-C in FPC@SynC mice.

a, Examples of locomotor velocity (top) and posture (bottom) at transitions into slow-wave sleep (SWS) in WT mice (left) and into State-C in SynC@FPC mice (right).

b, Correlation between the cortical fraction of SynC-expressing cells and the cumulative duration of State-C within 1 h after A/C administration. All mice without detectable State-C (n = 5) showed minimal labelling, likely due to insufficent viral transduction. There were no differences between male (filled symbols) and female (open symbols) mice.

c,d, Representative vital-sign traces from SynC@FPC mice before and after i.p. A/C. From top to bottom: M1 EEG; EEG power spectra (80–0 Hz and 20–0 Hz); EMG; behavioural/EEG-based brain-state classification; and open-field distance from the nearest corner.

e, Percentage of time spent in State-C within 1 h after i.p. A/C. State-C occurred only in SynC@FPC + A/C(+) mice—either at the first or second i.p. A/C injection—but not in A/C(−), SynC-dGAP, FKBP-Rac1-GAP-only, or SynC@M1 mice. State-C was reproducible in the same animals three weeks later.

f, Changes in pupil size (n = 12), heart rate (HR; n = 12 mice), and respiration rate (BR; n = 20 mice) across transitions into State-C on the turntable, relative to transition into SWS of WT (n = 10 mice). Mann–Whitney test: P = 0.70 (U = 70) for pupil size; P = 0.81 (U = 68) for HR; P = 0.85 (U = 74) for BR.

g, Time courses of State-C (top; 9 WT, 8 SynC@FPC mice), laser-dot task (middle; 8 WT, 11 SynC@FPC mice), and food-intake task (bottom; 6 WT, 12 SynC@FPC mice) during 2 h recording at night after i.p. A/C.

For State-C, Bonferroni corrected Mann–Whitney test against 0: **P = 0.0028** (U = 9) at 5–35 min; **P = 0.0086** (U = 13.5) at 45–55 min; P = 0.024 (U = 18) at 65 min; P = 0.144 (U = 27) at 75 min.

Laser-task responses were significantly reduced in SynC@FPC + A/C(+) mice compared with WT (cyan). Friedman test (Ctrl: χ^2 = 3.24, P = 0.36; SynC: χ^2 = 27.8, **P = 4.05 × 10⁻⁶**), followed by Bonferroni-corrected Wilcoxon signed-rank test versus before A/C: after 0–10 min, **P = 0.0047** (W = 0); after 10–30 min, **P = 9.8 × 10⁻⁴** (W = 0); after 50–75 min, P = 0.19 (W = 6.5).

Latency to food intake was increased in SynC@FPC + A/C(+) mice compared with WT (cyan). Friedman test (Ctrl: χ^2 = 0.67, P = 0.72; SynC: χ^2 = 11.48, **P = 3.2 × 10⁻³**), followed by Bonferroni-corrected Wilcoxon test versus before A/C: after 20 min, **P = 0.021** (W = 10); after 90 min, P = 0.103 (W = 11.5).

Figure 5

1041 **Fig. 5 | Ca²⁺ imaging from M1 in SynC@FPC mice.**

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a, Illustration of the treadmill setup.

b, Example M1 image from a GCaMP7f-expressing SynC@FPC mouse. Testing was performed

during the animals' active (dark) phase.

c, Representative GCaMP7f traces from SynC@FPC mice.

d, Simultaneously recorded EEG, its power spectrum, EMG and running speed with

behavioural state classification.

e, Predicted firing rates derived from Ca²⁺ imaging in a SynC@FPC mouse before and after i.p.

A/C. Average firing rates are shown for mobile and immobile awake phases before A/C, and for

mobile, immobile Interm-C, and State-C phases after A/C (n = 493 cells from 5 SynC@FPC

mice). Friedman test, χ^2 = 574.6, **P = 4.9 × 10⁻¹²³**; pairwise Wilcoxon signed-rank test with

Bonferroni correction: mobile vs immobile awake, **P = 7.5 × 10⁻⁴¹**; mobile vs immobile Interm-

C, **P = 9.4 × 10⁻⁴⁸**; immobile Interm-C vs State-C, **P = 1.1 × 10⁻⁹**; immobile awake vs immobile

Interm-C, P = 0.071. Grey lines represent transitions of individual neurons.

f, Pairwise correlations among 177 neurons from one mouse in mobile and immobile states

before and after A/C application. Spearman correlation matrices are displayed after hierarchical

clustering.

g, Average pairwise Spearman correlation coefficients (n = 21 neuronal assemblies from 5

mice). Friedman test, χ^2 = 19.3, **P = 6.8 × 10⁻⁴**; pairwise Wilcoxon signed-rank test with

Bonferroni correction: mobile vs immobile Awake, P = 0.16; mobile vs immobile Interm-C, **P =**

2.9 × 10⁻³; immobile Interm-C vs State-C, P = 0.56; immobile Awake vs immobile Interm-C, **P**

= 2.0 × 10⁻⁴.

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Extended Data Figure 1

Extended Data Fig. 1 | SynC in neocortical dissociated culture.

a, Example images of dissociated mouse cortical neurons expressing the SynC-split construct indicated above.

b, Simultaneous labelling with PSDΔ1,2–mVenus–FRB and either anti-phospho-neurofilament (axon, top) or anti-PSD95 (spines, bottom), and their overlays.

c, Average blockade of spine enlargement (left), dose dependence of inhibition of spine enlargement (middle), and translocation of FKBP–mVenus–GAP into spines (right) in cells 20 min after A/C application. Cells were lipofected with 3 mg mL^{-1} PSDΔ1,2–FRB plasmid (SynC-split). n = 23 dendrites (196 spines, 7 cells) without FKBP–GAP (control); n = 19 dendrites (151 spines, 6 cells) with 0.1 μg mL^{-1} FKBP–GAP; n = 17 dendrites (163 spines, 7 cells) with 0.05 μg mL^{-1}; n = 21 dendrites (164 spines, 21 cells) with 0.015 μg mL^{-1}; n = 26 dendrites (237 spines, 10 cells) with FKBP–dGAP (SynC-dGAP). Dose-dependent inhibition was tested with Kruskal–Wallis test (H = 67.68, **P = 3.12 × 10^{-13}**) followed by Steel multiple comparisons versus control: **P = 5.00 × 10^{-6}** (H = 23.91) for 0.1 μg mL^{-1} FKBP–GAP; **P = 3.46 × 10^{-3}** (H = 11.60) for 0.05 μg mL^{-1} FKBP–GAP; P = 1.00 (H = 0.0024) for 0.015 μg mL^{-1} FKBP–GAP; P = 1.00 (H = 1.00 × 10^{-4}) for 0.10 μg mL^{-1} FKBP-dGAP. Relative translocation of FKBP–GAP quantified by ΔmVenus fluorescence normalised by mScarlet fluorescence of each spine (Δ[mVenus]$_{spine}$) was uncorrelated with FKBP–GAP concentration, as PSDΔ1,2–FRB concentration was fixed.

d, Average spine enlargement and mVenus translocation at cLTP applied 20 min, 1 h, and 2 h after A/C treatment in cells lipofected with 1.5, 0.5, 0.3, or 0.15 μg mL^{-1} SynC-fusion plasmid containing IRES (top).

e, Time- and concentration-dependent blockade of spine enlargement and translocation of SynC–mVenus following transduction with 1.5, 0.5, 0.3, or 0.15 μg mL^{-1} SynC-fusion plasmids. Relative translocation depended on SynC concentration because PSDΔ1,2–FRB levels varied accordingly. n = 15 dendrites (6 cells, 3 cultures) for SynC (1.5 mg mL^{-1}); n = 16 dendrites (6 cells, 3 cultures) for SynC (0.5 mg mL^{-1}); n = 17 dendrites (7 cells, 3 cultures) for SynC (0.3 mg mL^{-1}); and n = 17 dendrites (7 cells, 3 cultures) for SynC (0.15 mg mL^{-1}).

f, (Left) Example images of spine shrinkage induced by a cLTD protocol (20 μM NMDA) after A/C treatment in neurons lipofected with or without SynC-fusion (0.5 μg mL^{-1}). Muscimol (400 nM) was continuously superfused to promote shrinkage. (Center) Time courses of spine-volume changes induced by the cLTD protocol in the presence of A/C. n = 20 dendrites (206 spines, 6 cells) for non-transfected cells without cLTD; n = 20 dendrites (157 spines, 8 cells) for non-transfected cells with cLTD; n = 29 dendrites (270 spines, 9 cells) for SynC-fusion-transfected cells without cLTD; n = 22 dendrites (194 spines, 8 cells) with cLTD. (Right) Kruskal–Wallis test (H = 43.92, **P = 1.57 × 10^{-9}**) followed by post-hoc Steel test: **P = 2.00 × 10^{-5}** (H = 20.41) for non-transfected cells with cLTD; P = 0.365 (H = 2.42) for transfected cells without cLTD; **P = 2.50 × 10^{-5}** (H = 19.99) for transfected cells with cLTD.

g, Spine-volume fluctuations (far left to right center) and stationary volume distributions (far right) in SynC(−) (top) and SynC(+) (bottom) mice. Initial volume dependence of the mean (left center) and standard deviation (s.d.; right center) of spine volumes before (black) and after (red) A/C application in SynC(−) and SynC(+) mice.

Extended Data Figure 2

Extended Data Fig. 2 | SynC in hippocampal slice culture.

a, Schematic of the experiment in rat hippocampal slice culture and representative dendritic images. CA1 pyramidal neurons were transfected with AAVs encoding SynC constructs for 2 weeks (Methods). Filler (mScarlet, left), mVenus-FKBP-GAP (middle) and merged images (right) are shown before and after A/C application.

b, Two-photon glutamate uncaging in CA1 pyramidal neurons expressing SynC or SynC-dGAP. A/C was added 20 min before uncaging and did not affect spine volume. Comparison of spine enlargement among four conditions: SynC A/C(−), SynC A/C(+), neighbours, and SynC-dGAP A/C(+). $n = 10$ spines (3 slices, 2 rats), 7 spines (2 slices, 2 rats), 12 neighbouring spines (2 slices, 2 rats) and 7 spines (2 slices, 2 rats), respectively. Kruskal–Wallis test, $H = 14.91$, **P = 1.89 × 10⁻³**; followed by Steel's multiple comparisons (SynC A/C(−) as control): SynC A/C(+), **P = 9.26 × 10⁻³**; neighbours, **P = 8.09 × 10⁻³**; SynC-dGAP A/C(+), $P = 0.813$. Bars represent mean ± s.e.m.

c, Schematic of slice-recording setup for current-evoked action potentials and electrical stimulation-evoked synaptic currents.

d, Summary graphs show AP firing frequency as a function of injected current (left), sag amplitude (middle), and steady-state potential (right) in neurons expressing SynC constructs ($n = 4$). Sag amplitude was defined as the difference between the voltage minimum and the steady-state value. To measure the steady-state potential 20 min and 45 min after A/C administration (panel c), a bias current was injected to maintain the initial resting potential. Two-sided repeated-measures ANOVA for number of APs ($F_{(2,6)} = 0.096$, $P = 0.91$), sag amplitude ($F_{(2,6)} = 1.22$, $P = 0.36$), and steady-state potential ($F_{(2,6)} = 1.34$, $P = 0.33$). No significant differences were observed across time points.

e–**g**, Voltage-clamp recordings of inhibitory (e, GABA_A) and excitatory (f, AMPAR; g, NMDAR) currents in the presence of selective blockers. GABA_A currents were evoked at +30 mV in NBQX (10 μM) and APV (50 μM). AMPAR currents were evoked at −70 mV in APV (50 μM) and bicuculline (20 μM), and abolished by NBQX (10 μM). NMDAR currents were evoked at −70 mV in NBQX (10 μM) and bicuculline (20 μM). A/C had no effect in any case ($n = 7$ cells each; Wilcoxon signed-rank test: GABA_A, $W = 12$, $P = 0.81$; AMPAR, $W = 12$, $P = 0.81$; NMDAR, $W = 13$, $P = 0.94$).

Extended Data Figure 3

Extended Data Fig. 3 | SynC expression and functional assessment in the mouse primary visual cortex (V1).

a, Injection of AAV::SynC (Fig. 2a) into V1 of Thy1-GCaMP6s mice.

b, Histochemical examination of GCaMP6s and SynC expression in the visual cortex.

c, Retinotopic mapping of the visual cortex using wide-field calcium imaging. Grating stimuli (40° diameter) were presented at three horizontal positions on the monitor, separated by 40°.

d, Visual response maps to the three grating stimuli (left). Merged maps visualizing retinotopic organization before (top) and after i.p. A/C (bottom).

e, Retinotopic organization was unchanged after A/C injection across six mice (one in d included).

f, Two-photon calcium imaging in V1. To evaluate the effects of SynC on V1 neuronal response properties, three imaging sessions (sessions 1–3) were performed. Each session consisted of 20 trials of drifting-grating presentation. Baseline tuning stability was assessed between sessions 1 and 2, and A/C effects were tested between sessions 2 and 3.

g, Orientation-preference maps obtained in sessions 1–3 (top). Insets show higher-magnification views of the regions indicated by white squares (bottom).

h, Trial-averaged calcium traces of a representative neuron shown in g (white circle). Gray shading indicates the period of visual stimulus presentation.

i, Number of orientation-selective neurons across sessions ($n = 4$ mice; one-way ANOVA, $P = 0.96$).

j, Mean orientation selectivity index (OSI) across sessions ($n = 4$ mice; one-way ANOVA, $P = 0.79$).

k, Average changes in the preferred orientation of orientation-selective neurons across sessions ($n = 4$ mice; paired t-test with Bonferroni correction): sessions 1–2, **$P = 9.19 \times 10^{-5}$** (real vs. shuffled data); sessions 2–3, **$P = 2.39 \times 10^{-4}$** (real vs. shuffled data); sessions 1–2 vs. 2–3 (real data), $P = 0.569$.

Scale bars: 50 µm (b, right); 100 µm (b, left; g); 1 mm (d, e). Error bars represent mean ± s.e.m.

Extended Data Figure 4

1174

Extended Data Fig. 4 | Anatomy of SynC@FPC mice.

a, Schematic illustration of neonatal pup injection and the AAV vector design.

b, Representative sagittal (1.35 mm ML) sections from non-injected control, SynC@FPC, and SynC-dGAP@FPC mice stained with anti-HA-tag, anti-NeuN, and DAPI. HA-FKBP-GAP was not efficiently transported to axons; subcortical regions containing long neocortical axons were largely immunonegative.

c, Swanson flat map showing SynC expression levels quantified by HA immunoreactivity in layer V pyramidal neurons. The percentage of labeled cells is pseudocolor-coded.

d, Enlarged overlays of M1 laminar structures in WT and SynC@FPC mice.

e, Distribution of PSDΔ1,2-FRB-mVenus in the cortex co-labeled with anti-NeuN and anti-phospho-neurofilament (axons and perinuclear structures). No overlap was observed between axonal and mVenus signals. mVenus-labeled spines were located adjacent to axons in layer I. Exclusion of mVenus signal from somata (NeuN-positive) and dendritic shafts in layers II/III were confirmed.

a   Normal SWS of untreated control mice in a corner with an EEG headstage

b   State-C of SynC@FPC mice with an EEG headstage

"Standing"   "Standing"   "Grooming"

"Corner" (facing the corner)   "Corner" (facing the center)

"Corner" (facing the corner)-start   "Shfting"_1st   "Shfting"_2nd

c

13.70%   3.70%
41.11%
41.48%

- 🔵 Standing (n = 37)
- 🔴 Grooming (n = 10)
- 🟡 Corner (n = 112)
- ⚪ Shifting (n = 111)

d

Positions and Orientations

SWS   State-C

Events per area (0–10)

○ Body center   ⟹ Orientations
🔘 SWS   🔵 Standing State-C   🔴 Grooming State-C   🟡 Corner State-C

Extended Data Figure 5

1193

Extended Data Fig. 5 | State-C postures and positions.

a, Image of a WT mouse in SWS, positioned at a corner of the open field and facing toward the center.

b, Images of SynC@FPC mice in State-C showing "standing," "grooming," and "corner" postures, which occurred during running, grooming, and corner navigation, respectively. Mice typically faced either a corner or the center of the open field (19.4 × 19.4 cm). The "shifting" posture most frequently occurred in the "corner" condition and gradually transitioned to a more sitting posture during short Interm-C episodes confirmed by enhanced EEG γ-band power.

c, Composition of the four State-C postures.

d, Positions and orientations of the mice during SWS (left, n = 6, 112 epochs in total) and State-C (right, n = 5, 80 epochs in total) in the open field (19.4 × 19.4 cm). Dwell times at each region are pseudocolor-coded. SWS occurred consistently in corners facing the centre, whereas State-C occurred at various positions and orientations across the field.

Extended Data Figure 6

Extended Data Fig. 6 | EEG states of SynC@FPC mice.

a, Representative EEG and EMG traces during awake, light sleep, SWS, and REM sleep in wild-type mice (left); during awake before and Interm-C after i.p. A/C injection in SynC@FPC mice (middle); and during State-C after A/C (right). Arrows indicate sleep spindles.

b, EEG power spectra for SWS and awake in control animals (left); awake state in SynC@FPC mice before A/C (middle); and State-C and Interm-C after A/C (right).

c, Quantification of EEG and EMG across distinct states.

(Left) γ-power (30–80 Hz) in awake, SWS and REM (n = 12 WT mice) and in awake, Interm-C and State-C (n = 13 SynC@FPC mice). Wilcoxon signed-ranks test (WT, awake versus SWS): W = 0, **P = 4.9 × 10⁻⁴**. For SynC@FPC, Friedman test (χ^2 = 19.8, **P = 4.9 × 10⁻⁵**) followed by pairwise Wilcoxon signed-rank tests with Bonferroni correction: P = 0.24 for awake versus Interm-C; **P = 2.4 × 10⁻⁴** (W = 0) for awake versus State-C; **P = 2.4 × 10⁻⁴** (W = 0) for Interm-C versus State-C. Mann-Whitney U test for SWS versus State-C: P = 0.53 (U = 66).

(Middle) δ-power (0.5–4 Hz) in awake, SWS and REM, and in awake, Interm-C and State-C. Wilcoxon signed-rank tests (WT, awake versus SWS): W = 0, **P = 4.9 × 10⁻⁴**. For SynC@FPC Friedman test (χ^2 = 15.4, **P = 4.6 × 10⁻⁵**) followed by pairwise Wilcoxon signed-rank tests with Bonferroni correction: **P = 1.2 × 10⁻³** (W = 3) for Awake versus Interm-C; **P = 4.6 × 10⁻³** (W = 7) for awake versus State-C; **P = 1.7 × 10⁻³** (W = 4) for Interm-C versus State-C. Mann-Whitney U test for SWS versus State-C: **P = 1.7 × 10⁻⁴** (U = 145).

(Right) EEG RMS amplitudes δ-power (0.5–4 Hz) in awake, SWS and REM, and in awake, Interm-C and State-C. F Wilcoxon signed-rank tests (WT, awake versus SWS): **P = 4.9 × 10⁻⁴** (W = 0). For SynC@FPC mice, Friedman test (χ^2 = 19.8, **P = 4.9 × 10⁻⁵**) followed by pairwise Wilcoxon signed-rank tests with Bonferroni correction: P = 0.27 (W = 29) for Awake vs Interm-C; **P = 2.4 × 10⁻⁴** (W = 0) for Interm-C vs State-C; **P = 2.4 × 10⁻⁴** (W = 0) for Awake vs State-C; Mann-Whitney U test for SWS versus State-C: P = 1.0 (U = 78).

d, Distributions of awake (n = 12 mice) and Interm-C durations (n = 13 mice), and of SWS (n = 12 mice) and State-C durations (n = 13 mice).

Extended Data Figure 7

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Extended Data Fig. 7 | State transitions from State-C to Interm-C.

a,b, From top to bottom: locomotor velocity, root mean square (RMS) of EMG, EEG spectrogram, and γ- and δ-band power during transitions from Awake to SWS (n = 12 mice, **a**) and from Interm-C to State-C within 2 h after i.p. A/C administration (n = 13 mice, **b**). Traces are aligned to the transition onset (t = 0). Each per-mouse trace represents the mean of 3–16 transition events for that mouse; bold lines indicate across-mouse means.

c, Quantitative comparisons of Awake→SWS and Interm-C→State-C transitions. For each mouse, areas under the curve (AUC) were compared using two-sided Mann–Whitney U tests: **P = 4.5 × 10⁻⁴** (U = 32) for locomotor velocity; P = 0.013 (U = 143) for δ-band power. Slope ratios were calculated as the slope over −3 to +1 s normalized by the slope over −10 to +10 s and compared using two-sided Mann–Whitney U tests: **P = 0.021** (U = 35) for RMS of EMG; **P = 0.013** (U = 32) for γ-band power.

Extended Data Figure 8

Extended Data Fig. 8 | Analysis of Ca^{2+} imaging data.

a, Distributions of detected GCaMP7f event amplitudes ($\Delta F/F_0$) per minute before (left) and after (center) A/C heterodimerizer administration, and event frequency (right). n = 493 cells (5 mice). Mean amplitudes were equivalent. Wilcoxon signed-rank test: P = 0.29 (W = 4.8 × 10^4).

b, Spine Ca^{2+} transients (red) isolated from adjacent dendritic shafts (black). The amplitude distributions and mean amplitudes were unaffected by i.p. A/C administration. Mann-Whitney U test: P = 0.60 (U = 9.5).

c, Number of principal components (PCs) accounting for 50% of the total variance across cells (n = 21 cell assemblies from 5 mice). Friedman test (χ^2 = 49.4, **P = 4.8 × 10^{-10}**) followed by pairwise Wilcoxon signed-rank tests with Bonferroni correction: mobile vs immobile awake, P = 0.23; mobile vs immobile Interm-C, **P = 5.7 × 10^{-4}**; immobile Interm-C vs State-C, P = 0.077; immobile awake vs immobile Interm-C, **P = 8.6 × 10^{-5}**.

d, Participation ratio (PR) for mobile and immobile awake phases before A/C, and for mobile, immobile Interm-C, and State-C after i.p. A/C administration (n = 21 cell assemblies from 5 SynC@FPC mice). Friedman test (χ^2 = 29.2, **P = 7.1 × 10^{-6}**) followed by pairwise Wilcoxon signed-rank tests with Bonferroni correction: mobile vs immobile awake, P = 0.029; mobile vs immobile Interm-C, **P = 3.3 × 10^{-3}**; immobile Interm-C vs State-C, P = 0.61; immobile awake vs immobile Interm-C, **P = 5.1 × 10^{-4}**.

Extended Data Table 1 |

Orientation map distinguishing Interm-C and State-C from canonical states

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1283 Mouse-focused summary table comparing wakefulness-related, sleep, and arrest-like states.

State (ordered)	EEG signature	EMG	Behaviour	Autonomic / Key features
Awake (reference)	Low-amp fast; γ✓; δ low	High	Exploration; goal-directed	Pupil dilated; HR high-norm; resp ≈; textbook wake
Interm-C (this study)	**Low-amp fast; γ✓; δ low**	**High**	**Locomotor-active; goal-directed control ↓**	**Pupil/HR ≈; resp ≈; decorrelation↑**
State-C (this study)	**γ↓; small δ↑; isolated spindles sometimes✓; no SWD**	**Low**	**Behavioural arrest with exponential durations、similar to SWS**	**Isolated spindle; Miosis; mild HR↓; resp ≈**
SWS (deep NREM)	δ↑↑; high-amp; spindles ±	Low	Sleep posture;	Miosis; HR↓; resp slow; high arousal threshold
REM sleep	θ-dominant; low-amp fast	Very low (atonia-like)	Twitches / minor moves	Autonomic variability↑; θ + atonia combo
Freezing (defensive)	Wake-like; sometimes θ↑	Moderate (rigid-not atonia)	Immobility with vigilance	Bradycardia; high vigilance
Absence seizure	7–12 Hz SWD (generalized)	Low–mod	Behavioural arrest; short bouts	SWD defines
PPN-induced global arrest	Onset-locked; γ↓; no δ build up	Low	Instant pause-and-play	Apnea + bradycardia (decisive)
Narcolepsy (incl. cataplexy)	REM-EEG (θ); rapid transitions	Very low during cataplexy	Emotion-triggered collapse; instability	Emotion trigger + REM-EEG + atonia
Catalepsy (neuroleptic-induced)	δ↑; δ–high-γ PAC↑ (M1) reports	Rigid (↑)	Immobility with waxy flexibility	Drug-induced; no REM-like EEG
General anesthesia (propofol)	δ↑ + frontal α–coherence	Very low	Unresponsive	Autonomic suppression; not upright

1284

Entries labeled "This study" indicate states directly observed or defined in the present

experiments (Interm-C, State-C). Other entries (e.g., REM, SWS, narcolepsy, catalepsy,

anesthesia) are based on representative literature in mice[29-31].

Symbols vs Awake: ✓ prominently observed; ≈ no change; ↑ / ↓ increase / decrease; ↑↑ / ↓↓

marked change; ✕ absent.

Rodent frequency bands: δ = 0.5–4 Hz; θ = 6–9 Hz; γ = 30–80 Hz.

Measures are from mice; Interm-C and State-C entries derive from this study.

Abbreviations: SWD, spike-and-wave discharge (generalized 7–12 Hz paroxysms typical of

rodent absence seizures); NREM, non-rapid eye movement sleep; HR, heart rate; PAC, phase–

amplitude coupling (cross-frequency coupling quantified by the modulation index); PPN,

pedunculopontine nucleus.

Extended Data Table 2 |
State-dependent neural and behavioural signatures.
(All measures are normalized to Awake)
1299

Measure	Awake (reference)	Interm-C	SWS	State-C
Goal-directed behaviour	—	↓↓	✕	✕
Locomotion (gross motor output)	—	↓	✕	✕
EMG (arousal)	—	≈	↓	↓
EEG γ-power (30–80 Hz)	—	≈	↓	↓
EEG δ-power (0.5–4 Hz)	—	≈	↑↑	↑
Ca^{2+}/spiking rate (gross)	—	≈	↓	↓
Pairwise spike correlation	—	↓	↑*	↓
Dimensionality (D_{50})	—	↑	↓*	↑
Dimensionality (PR)	—	↑	↓*	↑

Behavioural tasks (Figs. 3–5), EMG/EEG (Extended Data Fig. 5), Ca^{2+} / spiking (Fig. 5e),
pairwise correlation (Fig. 5f), and D_{50} and PR (Extended Data Fig. 8d,e).
Symbols: —, reference (Awake); ≈, no detectable change; ↓ / ↑, decrease / increase; ↑↑ / ↓↓,
marked change; ✕, not observed or not applicable; *, as reported previously (SWS shows
increased correlations and reduced dimensionality)[34].
D_{50}, number of principal components explaining 50% of the variance; PR, participation ratio.
See Methods for frequency band definitions and statistics.
1307
1308 **Summary:**
1309 Interm-C — γ ≈; pairwise correlation ↓; PR ↑.
1310 State-C — spiking SWS-like; γ ↓; δ slight ↑; PR ↑.
1311
1312

Extended Data Movies are available at Figshare
(DOI:**https://doi.org/10.6084/m9.figshare.30338965**)

Extended Data Movie 1 | Laser-dot task (WT mouse)

A wild-type (z233) mouse wearing an EEG headset chased a laser dot projected onto the corner of a small open field (19.4 × 19.4 cm) within 10 s. The laser was directed toward the corner to avoid reflections, although mice normally chase the laser dot even on a flat surface. The movie is shown at 2× real time.

Extended Data Movie 2 | Laser-dot task (SynC@FPC mouse before i.p. A/C)

A SynC@FPC mouse (z244-5, 1117 s before A/C) expressing SynC broadly in the frontoparietal cortex chased the laser dot within 10 s, similarly to the WT mouse. The movie is shown at 2× real time.

Extended Data Movie 3 | Laser-dot task (SynC@FPC mouse after i.p. A/C)

The same SynC@FPC mouse (z244-5, 2298 s after A/C) as in Movie 2. The mouse failed to chase the laser dot within 10 s. The movie is shown at 2× real time.

Extended Data Movie 4 | Laser-dot task (SynC@FPC mouse 1 h after i.p. A/C)

The same SynC@FPC mouse (z244-5, 3483 s after A/C) as in Movie 2 and 3. The mouse again chased the laser dot within 10 s, indicating recovery of normal behaviour. The movie is shown at 2× real time.

Extended Data Movie 5 | Food-pellet task (WT mouse after i.p. A/C)

1337   A WT mouse (z239, 785 s after A/C) grasped and ate a food pellet placed in the arena
1338   within 30 s. The movie is shown at 2× real time.

1339

1340   **Extended Data Movie 6 | Food-pellet task (SynC@FPC mouse after i.p. A/C)**

1341   A SynC@FPC mouse (z227-6, 1203 s after A/C) repeatedly passed over the food pellet
1342   without eating, eventually beginning to consume it only after 5 min. The mouse spent
1343   an unusually long period grooming near the pellet and showed no response to the laser
1344   dot before finally grasping and eating the pellet. The movie is shown at 2× real time.

1345

1346   **Extended Data Movie 7 | Food-pellet task (SynC@FPC mouse 1 h after i.p. A/C)**

1347   The same mouse (z227-6, 5204 s after A/C) as in Movie 6. The mouse rapidly
1348   approached, grasped, and ate the food pellet within 10 s. The movie is shown at 2× real
1349   time.

1350

Extended Data Movie 8 | "Standing" posture example 1 during State-C
(SynC@FPC mouse)

A SynC@FPC mouse (z156-3, 444 s after A/C) exhibited a "standing" posture upon
behavioural arrest during running, shown from side and top views in a small (15 × 15
cm) open field. EEG, EMG, and velocity traces are displayed simultaneously. The
movie is shown in real time.

1357

Extended Data Movie 9 | "Standing" posture example 2 during State-C
(SynC@FPC mouse)

A SynC@FPC mouse (z248-2, 1007 s after A/C) exhibited a "standing" posture upon
behavioural arrest during running, shown from side and top views in a small (19.4 ×
19.4 cm) open field. EEG, EMG, and velocity traces are displayed simultaneously. The
movie is shown in real time.

1364

Extended Data Movie 10 | "Grooming" posture during State-C (SynC@FPC mouse)

A SynC@FPC mouse (z248-1, 1873 s after A/C) exhibited a "grooming" posture upon behavioural arrest during grooming, shown from side and top views in a small open field. EEG, EMG, and velocity traces are displayed simultaneously. The movie is shown in real time.

1371

Extended Data Movie 11 | "Corner" posture during State-C (SynC@FPC mouse)

A SynC@FPC mouse (z248-2, 1241 s after A/C) exhibited a "corner" posture upon behavioural arrest while approaching a corner in the small open field. EEG, EMG, and velocity traces are displayed simultaneously. The movie is shown in real time.

1376

Extended Data Movie 12 | "Shifting" posture during State-C (SynC@FPC mouse)

A SynC@FPC mouse (z244-2) exhibited brief "shifting" movements at 115 s and 225 s following a corner posture, coinciding with short bouts (0.5 s and 2 s) of Interm-C. EEG, EMG, and velocity traces are displayed simultaneously. The movie is shown in real time.

1382

Extended Data Movie 13 | Normal sleep-preparation behaviour (WT mouse)

Before sleep onset, a WT mouse (z155-2, 1198 s after A/C) slowly walked toward a corner as EEG γ-power was already decreasing. The mouse then turned to face the centre, curling its body and tail. EEG δ-power increased after the mouse adopted a full sleep posture. The movie is shown in real time.

1388

Extended Data Movie 14 | Transition from Interm-C to State-C (SynC@FPC mouse)

A SynC@FPC mouse (z178-4, 1415 s after A/C) walked toward a corner and abruptly entered behavioural arrest precisely when EEG γ-power declined sharply. EEG δ-power showed only a slight increase. Spindle waves appeared shortly after the onset of State-C in this case. The movie is shown in real time.

Extended Data Movie 15 | Treadmill running before i.p. A/C (SynC@FPC mouse)

A mirror beneath the treadmill captured normal running and transient stalling movements of the SynC@FPC mouse (z251-3, 1478 s before A/C), whose hindlimbs were widely spaced, providing stable treadmill control. The movie is shown in real time.

Extended Data Movie 16 | Treadmill running after i.p. A/C (SynC@FPC mouse)

The same SynC@FPC mouse (z251-3, 614 s after A/C) as in Movie 15 showed somewhat clumsier running (hindlimbs narrowly spaced) and occasional stalling, failing to adequately control the treadmill. The movie is shown in real time.