2   Classification:

3   Biological Sciences

4   Neuroscience

7   Neurobehavioural Correlates of Obesity are Largely Heritable

8   Short title: Heritable neurobehavioural correlates of obesity

Uku Vainik [1,2], Travis Baker [1,3], Mahsa Dadar [1], Yashar Zeighami [1], Andréanne Michaud [1], Yu

Zhang [1], José C. García Alanis [1,4], Bratislav Misic [1], D. Louis Collins [1], Alain Dagher* [1]

[1.] Montreal Neurological Institute, McGill University, Montreal, Quebec, H3A 2B4, Canada

[2.] Institute of Psychology, University of Tartu, Näituse 2, 50409, Tartu, Estonia

[3.] Center for Molecular and Behavioral Neuroscience, Rutgers University, 197 University

Avenue, Newark, New Jersey, 07102, USA

[4.] Neuropsychology Section, Experimental and Biological Psychology, Department of

Psychology, Philipps University of Marburg, Gutenbergstraße 18, 35032 Marburg, Germany

* corresponding author: alain.dagher@mcgill.ca

Abstract

Recent molecular genetic studies have shown that the majority of genes associated with obesity

are expressed in the central nervous system. Obesity has also been associated with

neurobehavioural factors such as brain morphology, cognitive performance, and personality.

Here, we tested whether these neurobehavioural factors were associated with the heritable

variance in obesity measured by body mass index (BMI) in the Human Connectome Project

(N=895 siblings). Phenotypically, cortical thickness findings supported the "right brain

hypothesis" for obesity. Namely, increased BMI associated with decreased cortical thickness in

right frontal lobe and increased thickness in the left frontal lobe, notably in lateral prefrontal

cortex. In addition, lower thickness and volume in entorhinal-parahippocampal structures, and

increased thickness in parietal-occipital structures in obese participants supported the role of

visuospatial function in obesity. Brain morphometry results were supported by cognitive tests,

which outlined obesity's negative association with visuospatial function, verbal episodic

memory, impulsivity, and cognitive flexibility. Personality-obesity correlations were inconsistent.

We then aggregated the effects for each neurobehavioural factor for a behavioural genetics

analysis and demonstrated the factors' genetic overlap with obesity. Namely, cognitive test scores

and brain morphometry had 0.25 - 0.45 genetic correlations with obesity, and the phenotypic

correlations with obesity were 77-89% explained by genetic factors. Neurobehavioural factors

also had some genetic overlap with each other. In summary, obesity has considerable genetic

overlap with brain and cognitive measures. This supports the theory that obesity is inherited via

brain function, and may inform intervention strategies.

Significance Statement

Obesity is a widespread heritable health condition. Evidence from psychology, cognitive

neuroscience, and genetics has proposed links between obesity and the brain. The current study

tested whether the heritable variance in obesity is explained by brain and behavioural factors in a

large brain imaging cohort that included multiple related individuals. We found that the heritable

variance in obesity had genetic correlations 0.25 - 0.45 with cognitive tests, cortical thickness,

and regional brain volume. In particular, obesity was associated with frontal lobe asymmetry and

differences in temporal-parietal perceptual systems. Further, we found genetic overlap between

certain brain and behavioural factors. In summary, the genetic vulnerability to obesity is

expressed in the brain. This may inform intervention strategies.

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Introduction

Obesity is a widespread condition leading to increased mortality (1) and economic costs (2).

Twin and family studies have shown that individual differences in obesity are largely explained

by genetic variance (3). Gene enrichment patterns suggest that obesity-related genes are

preferentially expressed in the brain (4). While it is unclear how these brain-expressed genes lead

to obesity, several lines of research show that neural, cognitive, and personality differences have

a role in vulnerability to obesity (5, 6). Here we seek to test whether these neurobehavioural

factors could explain the genetic variance in obesity.

In the personality literature, obesity is most often negatively associated with Conscientiousness

(self-discipline and orderliness) and positively with Neuroticism (a tendency towards negative

affect) (7). In the cognitive domain, tests capturing executive function, inhibition, and attentional

control have a negative association with obesity (5–8). Neuroanatomically, obesity seems to have

a negative association with the grey matter volume of prefrontal cortex, and to a lesser extent the

volume of parietal and temporal lobes, as measured by voxel based morphometry (9). It has also

been suggested that structural and functional asymmetry of the prefrontal cortex might underlie

overeating and obesity (10). For genetic analysis, cortical thickness estimates of brain structure

from Magnetic Resonance Imaging (MRI) have been preferred over volumetric measures (11).

However, to date, reports of cortical thickness patterns associated with obesity have been

inconsistent (12, 13). As a prerequisite to our goal of ascertaining the heritability of brain-based

vulnerability to obesity, we sought to extend previous neurobehavioural findings in a large multi-

factor dataset from the Human Connectome Project (HCP). Since cortical thickness measurement

is limited to cortex only, we also measured volumetric estimates of medial temporal lobe and

subcortical structures, which have been implicated in appetitive control (e.g., 14).

The main goal was to assess whether the outlined obesity-neurobehavioural associations are of

genetic or environmental origin. Recent evidence from behavioural and molecular genetics

suggests that there is considerable genetic overlap between obesity, cognitive test scores, and

brain imaging findings (15–20). However, the evidence so far is not comprehensive across all

neurobehavioural factors discussed. A recent paper assessed the heritability of obesity-associated

regional brain volumes (21). However, the study did not analyze the heritability of the association

between brain and obesity. The latter analysis is crucial for understanding whether brain anatomy

and obesity could have a genetic overlap, which would suggest that the heritability of

vulnerability to obesity is expressed in the brain.

In addition, we sought to estimate the genetic overlap between the different BMI-related

neurobehavioural factors. On one hand, performance on cognitive tests and personality must

originate from the brain (e.g., 22), and therefore personality and cognition could be expected to

explain brain-morphometry associations with BMI (6). On the other hand, brain-behaviour

associations are far from certain (23), and even different measurement traditions in both

behaviour (personality and cognitive tests) and brain morphometry (cortical thickness or brain

volume) are often conceptualized as providing independent sources of information (7, 11).

Documenting the degree of genetic overlap between behavioural and brain measures would shed

light on whether similar underlying processes lead to obesity's associations with different

neurobehavioural factors.

Taken together, the goal of the current analysis was to use a large multifactor dataset to analyze

the heritability of the associations between obesity and brain/behaviour. We further tested genetic

overlap between the different neurobehavioural factors themselves.

Results

Background

We analyzed data from 895 participants from the Human Connectome Project S900 release (24),

including 111 pairs of monozygotic twins and 188 pairs of dizygotic twins and siblings. Similarly

to many previous reports (3) we modelled BMI heritability with the AE model (A: additive

genetics and E: unique environment), as opposed to the ACE model (C: common environment),

as AE had the lowest Akaike Information Criterion (Table S10). BMI heritability was A=71%

[95% CI: 61%;78%], which is close to the published meta-analytic estimate (A=75%, 3).

In all analyses below, we controlled for age, gender, race, ethnicity, handedness, and evidence of

drug consumption on day of testing, which mostly associated with BMI (SI Results, Figure S2).

When presenting and interpreting phenotypic associations, we controlled for family structure to

avoid inflated effect sizes and standard errors (e.g., 25). The behavioural genetics analysis did not

control for family structure, since this information is needed for modelling heritability. As socio-

economic status (SES) is intertwined with cognitive test scores (26), personality (27), and brain

morphometry (28), we also present phenotypic associations controlling for SES (education and

income) in the supplementary material. All in-text p-values are provided without correcting for

multiple comparisons. False discovery rate (FDR) correction was applied when screening for

features within cognitive, personality, and brain factors (Figures 1,2,5).

Cognitive and Personality Factors

BMI was negatively correlated with these tests of executive function: cognitive flexibility, fluid

intelligence, inability to delay gratification, reading abilities, and working memory. Intriguingly,

the strongest effects were present for non-executive tasks measuring visuospatial ability and

verbal memory (Figure 1A). These tasks remained associated with BMI after controlling for SES;

controlling for SES reduced the number of executive function tests involved with BMI to

cognitive flexibility and inability to delay gratification (SI Figure S3A left). No personality test

score correlated with BMI when FDR correction was applied (Figure 1B).

Brain Morphology

Cortical thickness was estimated from each T1-weighted MRI using CIVET 2.0 software (29).

Parcel-based analysis identified negative associations with BMI in right inferior lateral frontal

cortex, and bilateral entorhinal-parahippocampal cortex (Figures 2A & 3A). Positive associations

with BMI were found with the left superior frontal cortex, left inferior lateral frontal cortex, and

bilateral parietal cortex parcels. Controlling for SES did not change these results (Figure S4A

left). The frontal lobe asymmetry in the BMI association (thinner on the right, thicker on the left)

mostly involved the inferior lateral prefrontal areas, such as inferior frontal gyrus.

Regional brain volumes were measured for estimation of brain morphology-obesity associations

in brain structures not covered by the CIVET cortical thickness algorithm. Medial temporal lobe

and subcortical volumes were individually segmented and measured by registering each brain to a

labelled atlas using ANIMAL software (30). Volumetric results demonstrated an association

between BMI and lower volume of the entorhinal cortex bilaterally, and a positive association of

left amygdala volume with BMI (Figures 2B & 3B). No subcortical region had a significant

association with BMI, and results did not change when controlling for SES (Figure S4B left).

Creating poly-phenotype scores

We performed dimension reduction for heritability analyses to reduce measurement noise and

avoid multiple testing with redundant measures. Similarly to other recent papers, (20, 27), we

used the weights of each individual feature within a neurobehavioural factor (personality test,

cognitive test, brain parcel) to create an aggregate BMI risk score or *poly-phenotype score (PPS).*

This is similar to the polygenic score approach in genetics, where the small effects of several

polymorphisms are aggregated to yield a total effect score (15, 19, 20, 27). We used the

correlation values as weights to multiply each participant's scaled measurements, and aggregated

the results into a single composite variable, the PPS. The PPS reflects the total association of

each neurobehavioural factor with BMI. To avoid overfitting, we assigned each 10% of

participants the PPS weights obtained from the other 90% (see SI: Data analysis for details).

The associations between BMI and the PPS-s for cognition (correlation with BMI: r=0.16,

p<0.001, n=798) and personality (r=0.08, p=0.017, n=888) are slightly higher than the meta-

analytic estimates of the pooled association between BMI and cognitive test scores (r=0.10, ref:

8) and personality factors (r=0.05, ref: 8). BMI had stronger associations with the PPS-s for

cortical thickness (r=0.26, p<0.001, n=591), and medial temporal brain volume (r=0.23, p<0.001,

n=594). There was no association between BMI and subcortical brain volume (r=-0.05, p=0.169,

n=828). To test the generalizability of the PPS approach, we used weights obtained from the full

S900 release (Figures S3 right and S4 right) to test PPS-BMI correlation amongst the unseen

additional participants in the S1200 release (referred to as S1200n, n=236). Cortical thickness

PPS had essentially unchanged effect size when correlated with BMI in S1200n (SI Results,

Figure S7). At the same time, cognitive and personality PPS-s were less stable (SI Results, Figure

S7), likely because the smaller effect sizes of individual features need larger training datasets to

reduce inaccuracies, or that the true PPS-BMI effect size was too small to be found just within

the S1200n sample.

Heritability

Bivariate heritability was similarly conducted with the AE model, since the main goal was to

explain variance in BMI, for which AE was the best model. All PPS-s were found to be highly

heritable, with the A component explaining 36-79% of the variance (Figure 4A, SI Table S11.

Significant genetic correlations (r_g) were found between BMI and cognitive test scores (r_g=0.25

(p=0.002), cortical thickness (r_g=0.45, p<0.001), and medial temporal brain volume (r_g=0.36,

p<0.001) (Figure 4B, SI Table S12). The personality PPS genetic correlation with BMI was not

significant (r_g=0.22, p=0.052). Molecular evidence relying on linkage disequilibrium score

regression has reported effects of similar magnitude between higher cognitive test scores and

BMI (rg=-0.22, ref: , 15, rg=-0.18, ref: , 18). Environmental correlations (i.e. correlations

between environmental variances) were small and not significant (SI Table S12). As expected

from high heritability of the traits and high genetic correlations, the phenotypic BMI-PPS

correlations described in the previous sections were 77-89% explained by genetic factors (Figure

4C, SI Table S11). The results broadly replicated when repeating the analysis with just the top

features within a PPS, suggesting that PPS based findings summarize the effects of the

underlying individual features (Figure S8). We further replicated the heritability patterns in a

separate analysis focused only on the additional participants from the S1200 HCP release (Figure

S9).

Genetic overlap between neurobehavioural factors

Phenotypically, certain PPS-s had small but significant intercorrelations (Figure S10 upper

triangle). After FDR correction, we were able to find two genetic correlations between PPS-s of

cognition and cortical thickness (r$_g$=0.35), as well as cognition and personality (r$_g$=0.33, Figure

S10 lower triangle). Taken together, while the neurobehavioural factors have mostly independent

effects on BMI, cognitive test scores have small genetic overlap with brain structure and

personality.

192   **Discussion**

Cortical thickness, medial temporal lobe volume, and cognitive measures all had covariation with

BMI, and their effect on BMI was almost entirely heritable. Similarly, we found genetic

correlations between obesity risk scores of cognition, cortical thickness and personality.

Together, our results from a large sample support the role of brain and psychological constructs

in explaining genetic variance in obesity.

BMI correlated with increased cortical thickness in the left prefrontal cortex and decreased

thickness in the right prefrontal cortex, supporting the "right brain" hypothesis for obesity (10).

The effect was most prominent in the inferior frontal gyrus (Figures 2A and 3A). Only

preliminary support for the right brain hypothesis has been previously available (13). Right

prefrontal cortex has been implicated in inhibitory control (22) and possibly bodily awareness

(10). Many neuromodulation interventions (e.g. transcranial magnetic stimulation) aimed at

increasing self-regulation capacity often target right prefrontal cortex. On the other hand, effects

have also been demonstrated in studies targeting left prefrontal cortex (31).

Cortical thickness results also highlighted the role of temporo-parietal perceptual structures in

obesity. Namely, obesity was associated with bilaterally decreased thickness of the

parahippocampal and entorhinal cortices, and with mostly right-lateralized increased thickness of

parietal and occipital lobes. Volumetric results within the medial temporal lobe supported the role

of entorhinal cortex and also suggested that obesity is positively associated with the volume of

left amygdala. Emergence of the effects of the right parietal structures together with right

prefrontal structures hint at the role of the ventral frontoparietal network, thought to be especially

important for detection of behaviourally relevant visual stimuli (32). The parahippocampal and

entorhinal cortex are associated with episodic memory and context mediation (33). Similarly, the

hippocampus has been associated with the modulation of food cue reactivity by homeostatic and

contextual information, and hippocampal dysfunction is postulated to promote weight gain in the

western diet environment (34). The amygdala is implicated in emotional and appetitive responses

to sensory stimuli, including food cues (35).

Integrating these findings, one could envision a model where obesity is associated with a certain

220 cognitive profile (36). The model starts with a hyperactive visual attention system attributing

221 heightened salience to food stimuli, implicating the ventral visual stream and amygdala. These

222 signals are then less optimally tied into relevant context by the parahippocampal and entorhinal

223 structures, and less well moderated (or filtered) by the prefrontal executive system. This could

224 result in consummatory behaviour driven by the presence of appetitive food signals, which are

225 ubiquitous in our obesogenic environment. An impaired response inhibition and salience

226 attribution model of obesity has been suggested based on the functional neuroimaging literature.

227 Namely, functional MRI studies have consistently identified obesity to associate with heightened

228 salience response to food cues, coupled with reduced activation in prefrontal and executive

229 systems involved in self-regulation and top-down attentional control (e.g., 35). A similar

230 conclusion emerged from a recent resting state network analysis of the HCP data (37), in which

231 obesity was associated with alterations in perceptual networks and decreased activity of default

232 mode and central executive networks.

233 This brain morphology-derived model has some support from cognitive tests. The role of

234 prefrontal executive control is outlined by our finding of obesity's negative association with

235 scores on several executive control tasks. Surprisingly, there was no effect of motor inhibition as

236 measured by the Flanker inhibitory task. A relation between obesity and reduced motor

237 inhibition, while often mentioned, has been inconsistent even across meta analyses (7, 8). On the

238 other hand, we found a relationship between decisional impulsivity, measured by delay-

239 discounting, and BMI, replicating previous literature (6, 7, 18). While controlling for education

240 reduced the number of executive tasks associated with BMI, the overall pattern remained the

241 same, suggesting that education level is a proxy for certain executive function abilities.

242 Intriguingly, obesity was found to be negatively associated with spatial orientation and verbal

243 episodic memory. These tasks tap into the key functions associated with entorhinal and

244 parahippocampal regions implicated in our study (33). Therefore, both cognitive and brain

245 morphology features propose that the increased salience of food stimuli could be facilitated by

246 dysregulated context representation in obesity.

247 Regarding personality, we were unable to find any questionnaire-specific effects, notably with

248 respect to Neuroticism and Conscientiousness, both often thought to be associated with obesity

(5–7). There are potential explanations for this discrepancy. First, the meta-analytical association

between various personality tests and BMI is small (r=0.05, ref: 7), for which we might have

been underpowered after p-value correction. Second, controlling for family structure likely

further reduced the effect sizes (25). Third, the personality-obesity associations tend to pertain to

more specific facets and nuances than broad personality traits (38), therefore, further analysis

with more detailed and eating-specific personality measures is needed in larger samples.

All the associations discussed here were largely due to shared genetic variance between

neurobehavioural factors and BMI. This is in accordance with recent molecular genetics evidence

that 75% of obesity related genes express preferentially in the brain (4). Similarly, the genetic

correlation between cognition and BMI uncovered in our sample is at the same magnitude as

molecular estimates of associations between more specific cognitive measures and BMI (15, 18).

The current evidence further supports the brain-gene association with obesity vulnerability.

A possible explanation of the genetic correlations is pleiotropy – the existence of a common set

of genes that independently influence variance in both obesity and brain function. However, our

results could also support a causal relationship – that the genetic correlation is due to a persistent

effect of heritable brain factors on overeating and hence BMI. For instance, we could hypothesize

that the heritable obesity-related cognitive profile promotes overeating when high-calorie food is

available. As high-calorie food is abundant and inexpensive, the cognitive profile could lead to

repeated overeating providing an opportunity for genetic obesity-proneness to express. Such

longitudinal environmental effects of a trait need not to be large, they just have to be consistent

(39, see discussion in 40). Of course, a reverse scenario is also possible – obesity leads to

alterations in cortical morphology due to the consequences of cardiometabolic complications,

including low-grade chronic inflammation, hypertension, and vascular disease (reviewed in 9,

41). However, we find this hypothesis less plausible in our study for two reasons. First, the

reviews outline that the reported consequence of chronic visceral adipose tissue accumulation is

typically global brain atrophy. In contrast, the current data implicate focal brain effects – vascular

or inflammatory neurodegenerative effects would not explain the left-right asymmetry in the

prefrontal cortex, nor the many areas of gray matter increase with BMI. Second, the same

reviews suggest that global brain atrophy due to metabolic syndrome is mostly seen in older

participants, whereas the current sample had a mean age of 29. Young adults often experience

"healthy or transitional obesity", where clinical inflammation levels (42) and other

cardiometabolic comorbidities have not yet developed (43). Further, a recent mendelian

randomisation study suggested that low genetic potential for education (a proxy for cognitive test

score, compare Figure 1A with Figure S3A left) leads to high risk for cardiovascular disease,

whereas high genetic risk for cardiovascular disease (outcome of high BMI) does not lead to low

education (44). A likely explanation of these findings is that even if the cardiovascular disease

(and BMI) genes have effects on cognition via neurodegenerative mechanisms, these genes have

an effect later in life, when people have completed their education. Taken together, the focality of

the cortical thickness patterns and young age of the participants suggest that the genetic

neurobehavioural factors described here lead to a higher risk of obesity.

We found neurobehavioural PPS-s to have occasional phenotypic and genetic correlations with

each other. Here, it is hard to argue against pleiotropy playing a role. While one could reasonably

expect that at least part of the variation in cognitive performance would be shaped by brain

morphometry (22), it is also the case that engaging in education leads to improvement in

cognitive test scores (26) and might also lead to changes in cortical thickness (45). The small

genetic overlap between cognition, cortical thickness and personality can probably be explained

by common pleiotropic roots. At the same time, integrating morphometry and cognitive findings

is difficult with this dataset.

From a practical point of view, our work suggests that evidence from psychology and

neuroscience can be used to design intervention strategies for people with higher genetic risk for

obesity. One way would be modifying neurobehavioural factors, e.g. with cognitive training, to

improve people's ability resist the obesogenic environment (31, 36). Another path could be

changing the immediate environment to be less obesogenic (e.g., 46) so that individual

differences in neurobehavioural factors would be less likely to manifest. In any case, current

evidence highlights that obesity interventions should not focus solely on diet, but also take into

account that obesity is genetically intertwined with variation in neurobehavioural profiles.

The current analysis has limitations. Due to the cross-sectional nature of the dataset, causality

between neurobehavioural factors and obesity is only suggestive – longitudinal designs would

enable better insight into the causal associations between brain morphology, psychological

measures, and BMI or weight gain. BMI is a crude proxy for actual eating behaviours or health

status. In addition, there were more normal-weight than obese participants. However, the 25%

obesity rate in this sample is close to the published obesity rate of the state of Missouri (31.7%)

and the US (36.5%, ref: , 47). Also, we expect that BMI itself and the neurobehavioural

mechanisms behind it are continuum processes, therefore all variation in the range from normal-

weight to obesity is likely helping to uncover underlying associations. While the measurement of

cognition and personality was exhaustive, it lacked some common behavioural tasks like the

stop-signal task, or common questionnaires measuring self-control, impulsivity, and eating-

specific behaviours that have been previously associated with body weight (5, 6). Particularly, the

common eating-specific behaviours such as uncontrolled eating (48) are likely better candidates

for explaining brain morphology-BMI associations as they are more directly related to the

hypothesized underlying behaviour.

One has to be careful in translating individual differences in cortical thickness in normal

populations to underlying neural mechanisms. Diverse biological processes have been suggested

to influence MRI-based cortical thickness measures, ranging from synaptic density to apparent

thinning due to synaptic pruning and myelination (summarized in 49, 50). A definitive model of

the underlying mechanism that links normal variations in cortical thickness to differences in brain

function cannot be given, as cortical thickness has not been mapped with both MRI and histology

in humans (50). Still, the associations between cortical thickness and BMI in one sample were

able to predict BMI in a new separate sample, suggesting that the pattern is robust. Our

conceptual interpretation of the meaning of cortical thickness patterns has support from measures

of both brain structure and cognitive function.

Relying on PPS-s prevented us from analyzing detailed interactions between cortical thickness

and cognitive function in their genetic overlap with each other. However, given the relatively

small associations between PPS-s, and the number of candidate measures that could be expected

to interact with one another, we believe it would have been hard to find an association that would

have survived multiple testing correction. Future, focused, hypothesis-driven studies have to

further elucidate the neurobehavioural mechanisms behind obesity proneness.

In summary, the current analysis provides comprehensive evidence that the obesity-related

differences in brain structure and cognitive tests are largely due to shared genetic factors. Genetic

factors also explain occasional overlap between neurobehavioural factors. We hope that

increasingly larger longitudinal data sets and dedicated studies will help to outline more specific

neurobehavioural mechanisms that confer vulnerability to obesity, and provide a basis for

designing informed interventions.

Methods

Data were provided by the Human Connectome Project (24). Certain people were excluded due

to missing data or not fulfilling typical criteria. Exclusion details, demographics and family

structure are summarized in SI Methods and Table S1. Software pipelines for obtaining features

of cortical thickness and brain volume are described in SI Methods. Analysis scripts to reproduce

results presented are available at: osf.io/htx7u.

Figure S1 provides a schematic pipeline for data analysis. Details of each data analysis step are

outlined in SI Methods. We describe how PPS weights are obtained through cross-validation and

how the weights generalize to a separate dataset (S1200n). We further describe the main

principles of twin and sibling-based heritability analysis and replication of these findings using

individual features instead of PPS-s, and replication in a separate dataset (S1200n). Finally, the

software and packages used are listed.

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467   **Figures**

Figure 1. Associations between body mass index (BMI) and (A) cognitive test scores, and (B)

personality traits (B). Error bars represent 95% confidence intervals. See Table S2 for

explanation of cognitive tests. Numerical values are reported in Table S3. EF=executive function;

FFM=Five-Factor Model; FDR=false discovery rate; Imp=(lack of) impulsivity; Lang=language;

Mem=memory; Neg=negative affect; Perc=perception; PWB=psychological well-being;

Soc=social relationships; SSE=stress and self efficacy; WM=working memory.

Figure 2. Associations between body mass index (BMI) and brain morphometry. (A) cortical thickness. (B) medial temporal and subcortical regional brain volume. Error bars represent 95% confidence intervals. Numerical values are reported in SI Table S2. FDR=false discovery rate; Fro=frontal, Ins=insula; L=left; Occ=occipital; Par=parietal; R=right; Tem=temporal; MTL=medial temporal lobe; SC=subcortical.

Figure 3. Brain maps of the associations between body mass index (BMI) and (A) cortical
thickness and (B) medial temporal and subcortical regional brain volume on a standard brain
template in MNI space. Values are the same as in Figure 2. Colour bar applies to both sub-plots.
L=left; R=right.

Figure 4. Heritability analysis of the association between poly-phenotype scores (PPS) and body

mass index (BMI). (A) Heritability of each trait. BMI has multiple estimates, since it was entered

into a bivariate analysis with each PPS separately. (B) Genetic correlations between BMI and

each PPS. The genetic correlations are positive, because the PPS-s are designed to positively

predict BMI. (C) Heritability of the significant phenotypic correlation between BMI and PPS.

Horizontal lines depict 95% confidence intervals. Cogn=PPS of cognitive tests; corr=correlation;

CT=PPS of cortical thickness; MTL=PPS of medial temporal lobe volume; Pers=PPS of

personality tests; SC=PPS of subcortical structure volumes.

Supplementary Information for

Neurobehavioural Correlates of Obesity are Largely Heritable

Uku Vainik , Travis Baker , Mahsa Dadar , Yashar Zeighami, Andréanne Michaud, Yu Zhang,
José C. García Alanis, Bratislav Misic, D. Louis Collins, Alain Dagher

Alain Dagher corresponding author name here

Email: alain.dagher@mcgill.ca

This PDF file includes:

Supplementary text

Figs. S1 to S11

Tables S1

References for SI reference citations

Other supplementary materials for this manuscript include the following:

Tables S2-S13

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Supplementary Information Text

Methods

<u>Participants</u>

Data were provided by the Human Connectome Project (24) WU-Minn Consortium (Principal

Investigators: David Van Essen and Kamil Ugurbil; 1U54MH091657, RRID:SCR_008749)

funded by the 16 NIH Institutes and Centers that support the NIH Blueprint for Neuroscience

Research; and by the McDonnell Center for Systems Neuroscience at Washington University,

The analyzed data were split between S900 data release (964 participants) and S1200 data release

(236 additional participants). We treated the S900 as the main analysis sample and results from

this sample are reported throughout the paper. At times, we used unique participants from the

S1200 release for replication, referred to as S1200n. For the main analysis sample, we applied the

following exclusion criteria, as these might confound brain-obesity associations: people with

missing values on crucial variables, such as age, BMI, education, income, gender, race, and

ethnicity (n=6), hypo/hyper thyroidism (n=4), other endocrine problems (n=16), underweight

(BMI <=18, n=9), and women who had recently given birth (n=9). In addition, as we used family

information to control for participants' relatedness, we excluded participants that were half-

siblings to other participants (n=31). The same exclusions were applied to S1200n (n=11).

The final main analysis dataset consisted of 895 participants, demographics of which are

summarized in Table 1. The sample had good gender balance and variation in BMI and income.

As limitations, the sample was relatively young and well educated, and BMI distribution was

slightly less obese compared to current prevalence estimates for Missouri or the US as a whole

(MO: 31.7%, US: 36.5%, ref: , 47). Most people were white and non-Hispanic, however other

races-ethnicities were also represented. The participants were nested into 384 families, typically

having 1 to 3 siblings in the dataset. For comparison, we also provide the same statistics for the

S1200n sample, as well as a subset of S1200n sample in which no participant is related to the

S900 sample.

For the heritability analysis between each neurocognitive factor and BMI, we randomly chose

one sibling pair per family, ensuring that the pair had complete data. Non-twin sibling pairs were

considered equivalent to dizygotic twin pairs with respect to heritability analyses once data was

residualized for age and gender. If multiple sibling pairs within a family had complete data, we

prioritized choosing monozygotic twin pairs and dizygotic twin pairs over non-twin sibling pairs.

Depending on the neurocognitive factor, the heritability analysis was conducted on 46-111 pairs

of monozygotic twins (median=97) and 60-202 pairs of dizygotic twins and siblings

(median=176).

Measures
Psychological measures.
Participants completed an extensive set of questionnaires and cognitive tests (see 51, 52 for an

overview). In the current analysis, we included 22 questionnaires and 18 cognitive tests (see

Figure 2 and Table S2 for complete list). Here we refer to the set of questionnaire results as

personality variables, as personality encompasses various patterns of what people want, say, do,

feel, or believe (53). Based on our previous review (6) we chose cognitive tests capturing aspects

of executive function, memory, and language.

Cortical thickness.
All T1-weighted MRI images were processed using the CIVET pipeline (version 2.0) (29, 54,

55). Processing was executed on the Canadian Brain Imaging Network (CBRAIN) High

Performance Computing platform for collaborative sharing and distributed processing of large

MRI datasets (56). Briefly, native T1-weighted MRI scans were corrected for non-uniformity

using the N3 algorithm (57). The corrected volumes were masked and registered into stereotaxic

space, and then segmented into gray matter (GM), white matter (WM), cerebrospinal fluid (CSF)

and background using a neural net classifier (58). The white matter and gray matter surfaces were

extracted using the Constrained Laplacian-based Automated Segmentation with Proximities

algorithm (59, 60). The resulting surfaces were resampled to a stereotaxic surface template to

provide vertex based measures of cortical thickness (61). All resulting images were visually

inspected for motion artefacts by experienced personnel and then subsequently processed through

a stringent quality control protocol, which only 641 of the 894 participants in our initial cohort

passed. In the S1200n, 144 of the 214 passed. For those participants who passed, cortical

thickness was then measured in native space using the linked distance between the two surfaces

across 81924 vertices and a 20mm surface smoothing kernel was applied to the data (62). The

Desikan–Killiany–Tourville (DKT) atlas was used to parcellate the surface into 64 cortical

regions (63). Cortical thickness was averaged over all vertices in each region of interest for each

subject (64) and the effect of mean cortical thickness was regressed to allow for regional analysis

(65). After participant exclusions, data was available for 591/137 participants in the S900/S1200n

samples.

Volumetric estimates.

Because the CIVET cortical thickness method does not cover all medial temporal and subcortical

structures, we used volumetric estimates for these brain regions. For subcortical volumetric

estimation, T1-weighted scans of the subjects were pre-processed through a computerized

pipeline (n=899). Image denoising (66), intensity non-uniformity correction (57), and image

intensity normalization into range (0-100) using histogram matching were performed. After

preprocessing, all images were first linearly (using a 9-parameter rigid registration) and then

nonlinearly registered to an average template (MNI ICBM152) as part of the ANIMAL software

(30, 67). The subcortical structures, i.e., thalamus, putamen, caudate, and globus pallidus were

segmented using ANIMAL by warping segmentations from ICBM152 back to each subject using

the obtained nonlinear transformations. The medial temporal lobe structures, i.e. hippocampi,

amygdala, temporal pole, and parahippocampal, entorhinal and perirhinal cortices, were

segmented using an automated patch-based label-fusion technique (68). The method selects the

most similar templates from a library of labelled MRI template images, and combines them with

a majority voting scheme to assign the highest weighted label to every voxel to generate a

discrete segmentation. Quality control was performed on the individual registered images as well

as the automated structure segmentations by visual inspection, and inaccurate results were

discarded. In S900, 648 participants passed the quality control for medial temporal lobe

structures, ad 895 for subcortical structures. Within S1200n, of the 214 participants, 212 passed

the quality control for subcortical structures, and 174 passed the quality control for medial

temporal lobe. After exclusions, the S900/S1200n samples included data from n=828/204, 8

parcels per subjects for the subcortical structures, and n=594/166, 12 parcels for the medial

temporal lobe structures.

<u>Data</u> <u>Analysis</u>

Analyzing each feature

A schematic pipeline of the analysis is displayed in Figure S1. Data from all neurocognitive

factors were first residualized for control variables (age, ethnicity, gender, handedness, race)

using linear multiple regression. When presenting phenotypic associations, we used a linear

mixed model, adding a random intercept for family (Figure S1), and also varied the involvement

of income and education. As BMI was skewed (long-tail at the upper end of the scale), it was log-

transformed to achieve a normal-like distribution. Handedness was also log normalized.

For each factor category (cognition, personality, cortical thickness, medial temporal volume,

subcortical volume), factor-BMI relationships were assessed using univariate correlation between

each brain parcel or test score and BMI. We initially also tried using a partial least squares (PLS)

correlation approach, which is a multivariate technique suited to handling correlated predictors

(69, 70). However, the PLS estimates were extremely close to univariate correlations, therefore

univariate correlations were preferred for simplicity. As a result, we received an estimate of the

relative contribution (weight) of each predictor within a given factor. Estimates used in this study

are presented in Table S3.

Creating poly-phenotype scores

To summarize effects for each neurocognitive factor, we created an aggregate BMI risk score or

poly-phenotype score (PPS) for each neurocognitive factor. This was inspired by the polygenic

risk score approach, where the effects of single-nucleotide polymorphisms are added up to form a

total genetic score (71). Specifically, we used the correlation-derived weights to multiply each

participant's measured values, and aggregated the results into a single composite variable for a

given factor, the PPS. A PPS would reflect the total association that a given factor has with BMI.

Even though only some features within a neurobehavioural factor had significant effects on BMI,

and certain features correlated with each other (see SI Tables S4-S8), both our testing (see SI

Results) and recommendations by others (72) lead us to not apply p-value cutoffs, clumping, or

pruning, as excluding these steps does not hurt predictive ability and improves transparency (72).

PPS-s have a mean of 0 but varying standard deviation, depending on the number of features and

their effect sizes (Table S9).

We used cross-validation principles to avoid and test for overfitting. Namely, we divided

participants into 10% folds. Each 10% fold received the correlation weights from the remaining

90% of the sample. As the result, we received one PPS vector for each factor, where each

participant's score was based on out-of-sample prediction. When creating the 10% folds, we

created folds for each factor separately, as each factor has a different number of available data

points, ensuring that folds were as equal in size as possible. We also ensured that siblings from

the same family were in the same fold. Therefore, no data from family members were used in

calculating both the correlation weights and performing out of sample predictions.

To test the robustness of PPS-s, we first tested the impact of not pruning and applying p-value

cutoffs. In a pruned PPS, features are omitted that a) correlate above criterion to another feature

and b) have lower correlation with BMI than the other feature (73). In a PPS with p-value cut-off,

features are omitted that have an above-criterion uncorrected p-value when correlated with BMI

Neither pruning nor a p-value cutoff improved the predictive ability of the PPS-s (see SI Results).

We further tested the predictive ability of PPS scores by applying the weights created on the full

S900 release to predict BMI in the S1200n release (new participants only), which we did not

touch before predicting. As 101 participants within the S1200n were related to participants in the

S900, we also tested the predictive ability in the subset of S1200 that was not related to S900

(n=124).

Heritability analysis

In the heritability analysis, a typical behavioural genetics decomposition uses relatedness

assumptions between individuals to divide variance in a trait to the following components:

genetic variance (A, additive and interactive effects), shared environmental variance (C, family

and shared school effects), and unique environmental variance (E, unique experience and

measurement error). The assumptions are: 100% of genetic variance shared between

monozygotic twins, 50% of genetic variance shared between dizygotic twins and sex-and gender

residualized siblings, 100% of family environment shared by all siblings, 0% unique variance

shared between siblings. Such decomposition is called univariate heritability.

Besides establishing univariate heritability, one can also conduct heritability analysis on the

covariance between two traits. For instance, a genetic correlation is the correlation between the A

components of trait 1 and trait 2. A bivariate heritability analysis decomposes the phenotypic

correlation between trait 1 and trait 2 into A, C, and E components.

Heritability analysis was conducted on PPS scores not residualized for family structure, as this

information is used in heritability modelling. We then ran bivariate heritability analyses

separately between each PPS and BMI, which provided univariate heritability estimates of the

PPS-s and BMI, genetic and environmental correlations between the univariate estimates of PPS-

s and BMI, and bivariate decomposition of the phenotypic correlation between each PPS and

BMI. We used the AE model, since BMI was best explained by an AE model, as opposed to an

ACE model, based on Akaike Information Criterion (AIC) (Table S10). Similar AIC patterns

were present for bivariate models (Figure S11, Table S13). We report only standardized A

estimates in the main results, as in the univariate and bivariate analysis of the AE model, E=100-

A. Also, no environmental correlations were significant. All standardized and unstandardized

estimates are reported in the supplementary materials (Tables S11-S12).

Analysis software
Analysis reported in paper was conducted in Microsoft R Open 3.4.0 (74), using May 2017

version of packages abind, car, caret, cowplot, corrplot, ggplot2, lme4, MuMIn, pbkrtest, plyr,

psych, synthpop, tidyr, WriteXLS (75–90). Cortical thickness was plotted using Surfstat (91) in

MATLAB (92). Heritability analysis was conducted using OpenMX (93), adapting scripts

provided by the Colorado International Twin Workshop (94).

SI Results
Control variables
Age, gender and race related to BMI, demonstrating the need for residualizing (Figure S2).

Marginal R^2 explaining only fixed effects was 0.07, and conditional R^2 explaining both fixed and

random effects was 0.38, highlighting the effect of family structure. When controlling for

education and income, education was a significant additional predictor, with total model R^2 being

0.09 and conditional R^2 0.37. Further, controlling for family structure in a nested model as

random intercept improved model fit (AIC dropped from 7006 to 6895 / 6978 to 6885 when

controlling for education and income), suggesting that family nesting needs to be taken into

account.

Robustness of PPS-s
Similarly to genetic literature (72), we found that pruning features or applying a p-value threshold

does not change the predictive ability of the PPS-s (Figures S5 & S6).

To test the generalizability of the PPS approach, we used weights obtained from the full S900

release (Figures S3 right and S4 right) to predict the BMI of new participants in the S1200 release

(S1200n, n=236), which were not used in any of the initial assessments. As certain participants in

the S1200n release were related to participants in the S900, we also tested the PPS performance

when they were excluded. As can be seen in Figure S7, cortical thickness estimates are very

similar, no matter the training or testing dataset. Cognition PPS effect sizes were similar to each

other, but did not reach statistical significance in the replication sample (S1200n). Personality

PPS had unexpectedly high correlation with BMI in the new data. Further research is needed to

determine if such effect sizes would further replicate. Medial temporal lobe PPS-s also did not

replicate.

<u>Heritability</u> <u>replication</u>

We tested whether the PPS-based bivariate analysis patterns would replicate in the S900 dataset,

but using unaggregated top individual features within the PPS-s. We chose the 5 individual

features from the top predictors of cognition and cortical thickness. As shown in Figure S8, the

individual tasks are comparable with the PPS-s in terms of univariate heritability, genetic

correlations, and heritability of phenotypic correlation. However, with genetic correlations, the

estimates are non-significant (Figure S8 B1&B2), suggesting that we are not powered to establish

significance of the smaller correlations. Further, the standardized estimates for heritability of the

phenotypic correlations (Figure S8 C1&C2) are noisier and the estimator often failed at

estimating standardized confidence intervals. Such failures at individual feature levels highlight

the value of PPS-s, which provide more stable estimates at these sample sizes.

We further used participants only in the S1200n release to replicate the bivariate heritability

analysis results in new data. PPS weights were obtained from the S900 release. We focused only

on participants who did not have siblings in the S900 release. Granted, the power is low because

of fewer complete twin pairs available (29 MZ pairs and 30 DZ pairs). The univariate estimate

for BMI heritability was [A=64% [95% CI: 41%;79%]. In the bivariate analysis, we were also

able to replicate the patterns seen in the main dataset (Figure S9), however the confidence

intervals were often covering 0 or not estimated, likely due to small sample size.

Figures

Fig. S1. A schematic diagram of the analysis pipeline. All steps were conducted on all

neurocognitive factors separately. BMI=body mass index; CV=cross-validation; MTL=medial

temporal lobe; MRI=magnet resonance image; PPS=poly-phenotype score; SC=subcortical;

SES=socio-economic status (education and income).

Fig. S2. Regression weights of a multilevel linear model nested for family. Lines mark standard

95% confidence intervals. Intercept is 27.37 (standard error: 2.16). For interpretability, regular

BMI is unscaled here. Reference groups: Gender: male, Race: white, Ethnicity: not

Hispanic/unknown. Am.=American; BC=birth control; Is.=Islander

Fig. S3. Associations between body mass index (BMI), cognitive test scores (A), and personality traits (B), either when controlling for education, income, and family structure (left), or not controlling for these variables (right). Error bars mark 95% confidence intervals. See Table S2

746   for explanation of cognitive test names. Numerical values are reported in Table S3. EF=executive

747   function; FFM=Five-Factor Model; FDR=false discovery rate; Imp=(lack of) impulsivity;

748   Lang=language; Mem=memory; Neg=negative affect; Perc=perception; PWB=psychological

749   well-being; Soc=social relationships; SSE=stress and self efficacy; WM=working memory.

Fig. S4. Associations between body mass index (BMI), cortical thickness (A) and regional brain
volume (B), either when controlling for education, income, and family structure (left), or not
controlling for these variables (right). Error bars mark 95% confidence intervals. Numerical

755   values are reported in SI Table S3. FDR=false discovery rate; Fro=frontal, Ins=insula; L=left;

756   Occ=occipital; Par=parietal; R=right; Tem=temporal.

Fig. S5. Low impact of pruning to the poly-phenotype scores' (PPS) associations with BMI. PPS-s were trained and tested within the Human Connectome Project's S900 release, using cross-validation. Pruning means excluding features that have a higher correlation than set criterion with another feature that associates with BMI. A pruning criterion equal to 1 means no pruning was done. Cogn=PPS of cognitive tests; CT=PPS of cortical thickness; MTL=PPS of medial temporal lobe volume; Pers=PPS of personality tests.

Fig. S6. Low impact of excluding features by p value to the poly-phenotype scores' (PPS)

associations with BMI. PPS-s were trained and tested within the Human Connectome Project's

S900 release, using cross-validation. Features with a p value higher than criterion were excluded

from the PPS. A p criterion of 1 means no exclusion was done. Cogn=PPS of cognitive tests;

CT=PPS of cortical thickness; MTL=PPS of medial temporal lobe volume; Pers=PPS of

personality tests.

Fig. S7. Comparison of poly-phenotype scores' (PPS) performance in correlating with BMI, depending on training data and test data.

S900CV→S900: PPS-s within S900 release trained and tested with cross-validation to avoid bias. These PPS-s are used in heritability analysis.

S900→S1200n: PPS-s trained on S900 and tested in full S1200n sample.

S900→S1200n (unrelated): PPS-s trained on S900 and tested in S1200n sample not related to S900.

Cogn=PPS of cognitive tests; CT=PPS of cortical thickness; CV=cross-validated; MTL=PPS of medial temporal lobe volume; Pers=PPS of personality tests; S900 – Participants in Human Connectome Project's S900 release; S1200n – participants only in the S1200 release; SC=PPS of subcortical structure volumes.

Fig. S8. Heritability analysis of the association between poly-phenotype scores (PPS) of

cognitive test scores (A1-C1) and cortical thickness (A2-C2), compared with most significant

individual features of each PPS. (A) Heritability of each trait. The effect of unique environment

(E) is not shown, since E=100-A. (B) Genetic correlations between BMI and each PPS or

between BMI and each feature. The PPS-based genetic correlations are positive, because the

PPS-s are designed to positively predict BMI. However, individual features can have negative

genetic correlations. (C) Heritability of the phenotypic correlation between BMI and PPS or

between BMI and each feature. Horizontal lines depict 95% confidence intervals. The estimator

failed at estimating certain features. Corr=correlation; L=Left hemisphere; herit=heritability;

R=right hemisphere.

Fig. S9. Heritability analysis of the association between poly-phenotype scores (PPS) and body mass index (BMI) in the S1200n sample unrelated to S900. (A) Heritability of each trait. BMI has multiple estimates, since it was entered into a bivariate analysis with each PPS separately. The effect of unique environment (E) is not shown, since E=100-A. (B) Genetic correlations between BMI and each PPS. The genetic correlations are positive, because the PPS-s are designed to positively predict BMI. None of the environmental correlations were significant and therefore not shown. (C) Heritability of the phenotypic correlation between BMI and PPS. Horizontal lines depict 95% confidence intervals. Estimates not shown for PPS-s that did not have significant phenotypic association with BMI. Cogn=PPS of cognitive tests; corr=correlation; CT=PPS of cortical thickness; herit=heritability; MTL=PPS of medial temporal lobe volume; Pers=PPS of personality tests; SC=PPS of subcortical structure volumes.

Fig. S10. Phenotypic (upper triangle) and genetic (lower triangle) correlations between poly-

phenotype scores (PPS-s) used for heritability analysis. Phenotypic correlations account for

family structure. FDR-corrected significant correlations are highlighted with color. Correlations

are multiplied by 100 for clarity. Cogn=PPS of cognitive tests; corr=correlation; CT=PPS of

cortical thickness; MTL=PPS of medial temporal lobe volume; Pers=PPS of personality tests;

SC=PPS of subcortical structure volumes.

Fig. S11. Akaike Information Criteria (AIC) for BMI-PPS (poly-phenotype score) bivariate

heritability decompositions. Cogn=PPS of cognitive tests; corr=correlation; CT=PPS of cortical

thickness; MTL=PPS of medial temporal lobe volume; Pers=PPS of personality tests; SC=PPS of

subcortical structure volumes.

Table S1. Descriptive statistics of samples analyzed.

Variable	S900	S1200n	S1200n unrelated
N	895	225	124
Age (years)	$\bar{x}$=28.83 (SD=3.67)	$\bar{x}$=28.85 (SD=3.84)	$\bar{x}$=29.31 (SD=3.83)
BMI (kg/m^2)	$\bar{x}$=27.27 (SD=5.77)	$\bar{x}$=26.51 (SD=5.21)	$\bar{x}$=26.32 (SD=5.18)
BMI groups			
Normal weight (BMI 18-24.9)	375 (41.9%)	101 (44.9%)	56 (45.2%)
Overweight (BMI 25-29.9)	285 (31.8%)	74 (32.9%)	45 (36.3%)
Obese (BMI 30+)	235 (26.3%)	50 (22.2%)	23 (18.5%)
Drug test positive			
No	777 (86.8%)	195 (86.7%)	105 (84.7%)
Yes	118 (13.2%)	30 (13.3%)	19 (15.3%)
Education (years)	$\bar{x}$=14.85 (SD=1.82)	$\bar{x}$=15.06 (SD=1.72)	$\bar{x}$=14.83 (SD=1.8)
Ethnicity:			
Hispanic/Latino	819 (91.5%)	198 (88%)	114 (91.9%)
Not Hispanic/Latino/unknown	76 (8.5%)	27 (12%)	10 (8.1%)
Families	384	151	66
1 sibling	37 (10.4%)	19 (20%)	19 (28.8%)
2 siblings	107 (30.1%)	49 (51.6%)	36 (54.5%)
3 siblings	163 (45.9%)	20 (21.1%)	11 (16.7%)
4 siblings	43 (12.1%)	6 (6.3%)	0 (0%)

5 siblings	5 (1.4%)	1 (1.1%)	0 (0%)
Gender			
Male	413 (46.1%)	120 (53.3%)	61 (49.2%)
Female no birth control	143 (16%)	24 (10.7%)	16 (12.9%)
Female with birth control	339 (37.9%)	81 (36%)	47 (37.9%)
Handedness	$\bar{x}$=65.07 (SD=45.13)	$\bar{x}$=68.93 (SD=41.03)	$\bar{x}$=70.73 (SD=36.97)
Income			
<$10,000	65 (7.3%)	16 (7.1%)	9 (7.3%)
10K-19,999	79 (8.8%)	12 (5.3%)	9 (7.3%)
20K-29,999	116 (13%)	24 (10.7%)	15 (12.1%)
30K-39,999	104 (11.6%)	30 (13.3%)	17 (13.7%)
40K-49,999	98 (10.9%)	23 (10.2%)	13 (10.5%)
50K-74,999	181 (20.2%)	46 (20.4%)	25 (20.2%)
75K-99,999	119 (13.3%)	28 (12.4%)	14 (11.3%)
>=100,000	133 (14.9%)	46 (20.4%)	22 (17.7%)
Race			
White	664 (74.2%)	176 (78.2%)	95 (76.6%)
Other/unknown	45 (5%)	21 (9.3%)	11 (8.9%)
Black or African Am.	145 (16.2%)	13 (5.8%)	8 (6.5%)
Asian/Nat. Hawaiian/Other Pacific Is.	41 (4.6%)	15 (6.7%)	10 (8.1%)

829 BMI=body mass index; Is=islander; Nat=native

Additional Tables S2-S11 (separate file)

See first tab of file "SI_Tables_2-13.xlsx" for table of contents.

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