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Morphological constraints on cerebellar granule cell combinatorial diversity

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6 **Abstract**

7 Combinatorial expansion by the cerebellar granule cell layer (GCL) is fundamental to theories of
8 cerebellar contributions to motor control and learning. Granule cells sample approximately four mossy
9 fiber inputs and are thought to form a combinatorial code useful for pattern separation and learning. We
10 constructed a spatially realistic model of the cerebellar granule cell layer and examined how GCL
11 architecture contributes to granule cell (GrC) combinatorial diversity. We found that GrC combinatorial
12 diversity saturates quickly as mossy fiber input diversity increases, and that this saturation is in part a
13 consequence of short dendrites, which limit access to diverse inputs and favor dense sampling of local
14 inputs. This local sampling also produced GrCs that were combinatorially redundant, even when input
15 diversity was extremely high. In addition, we found that mossy fibers clustering, which is a common
16 anatomical pattern, also led to increased redundancy of GrC input combinations. We related this
17 redundancy to hypothesized roles of temporal expansion of GrC information encoding in service of
18 learned timing, and show that GCL architecture produces GrC populations that support both temporal
19 and combinatorial expansion. Finally, we used novel anatomical measurements from mice of either sex
20 to inform modeling of sparse and filopodia-bearing mossy fibers, finding that these circuit features
21 uniquely contribute to enhancing GrC diversification and redundancy. Our results complement
22 information theoretic studies of granule layer structure and provide insight into the contributions of
23 granule layer anatomical features to afferent mixing.

24 **Significance Statement**

25 Cerebellar granule cells are among the simplest neurons, with tiny somata and on average just four
26 dendrites. These characteristics, along with their dense organization, inspired influential theoretical
27 work on the granule cell layer (GCL) as a combinatorial expander, where each granule cell represents a
28 unique combination of inputs. Despite the centrality of these theories to cerebellar physiology, the
29 degree of expansion supported by anatomically realistic patterns of inputs is unknown. Using modeling
30 and anatomy, we show that realistic input patterns constrain combinatorial diversity by producing
31 redundant combinations, which nevertheless could support temporal diversification of like-
32 combinations, suitable for learned timing. Our study suggests a neural substrate for producing high
33 levels of both combinatorial and temporal diversity in the GCL.

34

35 **Introduction**

36 Expansion recoding is a leading hypothesis for the role of the dense cerebellar granule cell layer (GCL)

37 (Marr, 1969; Albus, 1971). This network consists of vast numbers of small granule cells (GrCs) that
38 possess on average just four dendrites (Eccles et al., 1967; Herculano-Houzel, 2010). Inputs to this
39 layer are dominated by mossy fiber rosettes (MFRs), which are large presynaptic terminals that branch
40 off of mossy fiber axons (MFs) and convey sensorimotor information from numerous structures into
41 the cerebellum. MFRs form the core of synaptic glomeruli where they are contacted by numerous GrC
42 dendrites, such that each GrC samples around 4 MFRs and sparsely represents convergent afferent
43 input in higher dimensional space, which is thought to be critical for sensorimotor integration in service
44 of motor learning (Fig. 1A; Marr, 1969; Albus, 1971; Blomfield and Marr, 1970). Many studies support
45 these ideas, and similar anatomical organization has been observed in brain areas as diverse as electric
46 fish electrosensory lateral line lobe, fruit fly mushroom bodies, mammalian olfactory cortex and dorsal
47 cochlear nucleus, suggesting a conserved computational function (Kennedy et al., 2014; Sawtell, 2010;
48 Caron et al., 2013).

49
50 A central tenet of the recoding hypothesis is that GrC activity is very sparse, owing to the extensive
51 combinatorial diversity of GrC inputs, where the likelihood of two GrCs sharing the same combination
52 of MFR inputs is low. Yet recent studies using Ca^{2+} imaging to monitor GrC population activity have
53 called into question the sparseness of GrC activity. These studies have noted higher densities of active
54 GrCs than predicted by classic theory (Giovannuci et al., 2017; Wagner et al., 2017; Knogler et al.,
55 2017). How dense activation of GrCs would be supported by highly diverse combinations of inputs
56 onto GrCs is unclear. Furthermore, these dense activity patterns would seem to suggest degradation of
57 the high dimensionality produced by sparse GrC activity, raising the question of the computational
58 utility of redundant GrCs.

59
60 While Marr's original study assumed relatively uniform access of GrCs to mossy fiber afferents,
61 precerebellar sources encoding diverse signals often ramify in dense patches, suggesting non-uniform
62 mixing of inputs. Such anatomical features have contributed to refinement of cerebellar cortical theory
63 (D'Angelo, 2017; Billings et al., 2014). For instance, spatial correlations of MFR inputs can enhance
64 information transmission in models of the GCL (Billings et al., 2014). Furthermore, studies of delay
65 eyelid conditioning reveal that well-timed learning occurs even with dense electrical activation of MFs
66 (Steinmetz et al., 1986; Freeman and Rabinak, 2004, Halverson, 2009). These observations have led to
67 theories proposing temporal expansion of GrC population activity, where GrCs receiving similar inputs
68 nevertheless sparsely fire throughout the conditioning window (Medina et al., 2000; Mauk and

69 Donegan, 1997).

70

71 We propose that dense GrC activity patterns could be explained by mossy fiber ramification patterns,
72 and that this density could support temporal expansion processes in the GCL. We used an anatomically
73 realistic model to test the hypothesis that dense ramification patterns of MFRs would produce
74 redundant MFR combinations on GrCs, potentially contributing to denser activations than originally
75 proposed. We also examined how other morphological and organizational features of the GCL –
76 namely MF diversity, GrC dendrite length, and a morphological specialization of MFRs composed of
77 long, thin synaptic extensions that contact GrCs, called filopodia – contribute to and constrain GrC
78 combinatorial diversity. Anatomical details in the model were validated in empirical observations of the
79 nucleocortical and pontine MF systems, described here. Together, our findings illuminate both the
80 capacity of the layer to confer mixed selectivity to GrCs in service of pattern separation (Rigotti et al.,
81 2013; Litwin-Kumar et al., 2017), and the level of redundancy (i.e. the number of identical MFR
82 combinations) likely to emerge within the layer in support of temporal expansion encoding.

83

84 **Materials and Methods**

85 The goal of this study was to address how anatomical features of the cerebellar granule cell layer
86 (GCL) influence granule cell (GrC) combinatorial diversity, i.e. the uniqueness of mossy fiber rosette
87 (MFR) combinations made by GrCs. To address these questions, we combined anatomical observations
88 with a model that mimics the geometric organization of the GCL. We varied model parameters to test
89 the role of specific anatomical features to GrC combinatorial diversity. Anatomical and modeling
90 methods are described below.

91

92 **Anatomy**

93 *Subjects*

94 Adult C57/B6 mice (Charles River; n = 9 mice) of either sex were used in accordance with the National
95 Institutes of Health Guidelines and the Institutional Animal Care and Use Committee at the University
96 of Colorado Anschutz Medical Campus. Animals were housed in an environmentally controlled room,
97 kept on a 12:12 light/dark cycle and had ad libitum access to food and water. A total of 9 mice were
98 used in the entire study.

99

100 *Virus Injections*

101 For all surgical procedures mice were anesthetized with intraperitoneal (IP) injections of a ketamine
102 hydrochloride (100 mg/kg) and xylazine (10 mg/kg) cocktail, placed in a stereotaxic apparatus and
103 prepared for surgery with a scalp incision. Craniotomies were made above the cerebellar nuclei (CbN;
104 1 injection from lambda: 2.0 mm posterior, 1.0 mm lateral, 2.5 ventral; n=9/9 mice); and the basilar
105 pontine nuclei (from bregma) 4.0-4.5 mm posterior, 0.4 mm lateral, and 5.5 mm ventral (n = 4/9 mice).
106 Pressure injections of 0.15-0.25 μ L AAV1.hSyn1.mCherry (University of North Carolina Vector Core)
107 and AAV1.hSyn1.eYFP (UNC) were made using a 1 μ L Hamilton Neuros syringe attached to the
108 stereotaxic apparatus (Stoelting). Virus use was approved by and in accordance with the University of
109 Colorado Anschutz Institutional Biosafety Committee. All surgeries included postoperative analgesia
110 with IP injections of carprofen (5 mg/kg) once per 24 hr for 48 hr. Mice were housed postoperatively
111 for 3-6 weeks before perfusion to allow for viral expression throughout the entirety of the axonal arbor.
112

113 *Tissue Preparation for Light Microscopy*

114 Mice were deeply anesthetized with an IP injection of sodium pentobarbital (Fatal Plus; Vortech
115 Pharmaceuticals), and perfused transcardially with 0.9% saline followed by 4% paraformaldehyde in
116 0.1 M phosphate buffer (PB). Brains were removed and postfixed for at least 24 hours then
117 cryoprotected in 30% sucrose. Brains were sliced in 40 μ m serial coronal sections using a freezing
118 microtome, stored in PB, and coverslipped in Fluoromount-G (SouthernBiotech) mounting medium.
119

120 *Anatomical Measurements*

121 A total of 1658 MFRs in 9 mice were analyzed, spanning cerebellar lobules including Crus I, Crus II,
122 Paramedian, Simple, and vermal lobules 3, 4, 5, and 6. MFRs were labeled from cerebellar nuclear
123 and/or pontine injections. Rosettes were imaged on a Marianas spinning disc confocal microscope with
124 a 63x objective. To quantify nearest neighbors, montages of Lobules 6 and Crus 1 were produced from
125 high resolution images, and the location of each MFR was mapped (n = 874 boutons; n = 4 dual
126 injected mice, Lobules 6 and Crus 1). We noted the presence or absence of filopodia on these MFRs
127 and an additional 784 MFRs from 5 additional mice located throughout the cerebellum for a total of
128 1658 MFRs. Euclidean distance from each rosette to its 4 nearest neighbors was then computed and
129 analyzed in MATLAB (RRID:SCR_001622). To quantify the number and distance of the filopodia
130 from the MFR, 84 rosettes were analyzed, with 70 fully reconstructed in 3D using NeuroLucida 360
131 software (RRID:SCR_001775) (Fig. 6). Filopodial boutons were defined as swellings at least 1 μ m
132 wide on processes that extended from the main rosette but did not leave the section (Gao et al., 2016).

133

134 *Experimental Design and Statistical Analyses*

135 Anatomical observations were made in 4-9 mice. Nearest neighbor measurements were made with
136 custom scripts in MATLAB and compute the Euclidean distances of the 4 nearest neighbors of each
137 MFR in 2 dimensions in the coronal plane. Summary data of nearest neighbors are visualized in
138 cumulative distribution functions and populations compared with the two sample Kolmogorov-Smirnov
139 test in Matlab, with p-values and n's reported in the text. Simulations were validated by performing
140 multiple instantiations of modeled systems described in each section below.

141

142 **Modeling**

143 *Model-free calculations*

144 Theoretical numbers of MFR combinations given the number of synapses per GrC, were computed
145 using n choose k with replacement, where n is the number of MFRs and k is the number of inputs per
146 GrC (Fig. 1).

147

148 *Simulations*

149 We modeled groups of mossy fibers and granule cells in MATLAB with density and spatial
150 relationships based on anatomical and physiological data (Palkovits, et al., 1971; Sultan et al, 2001;
151 Solinas et al., 2010). Specifically, the model incorporated physiological density and distribution of
152 GrCs and MFRs, the length of GrC dendrites, and the divergence and convergence of MFRs onto GrCs
153 (Table 1).

154

155 MFRs and GrCs were programmed to represent their 3D position in space and associated radii. Model
156 systems were generated by first populating a defined space with MFRs. System dimensions were
157 100x100x250 μm , and contained 3458 GrCs and 247 MFRs, except where noted. Each MFR was
158 checked against every other existing MFR to ensure spatial non-overlap, rejecting a placement if the
159 distance between the centers was less than the sum of the radii. MFR tiling obeyed a flexible spacing
160 constraint such that each MFR had three neighbors with a mean distance of 18.4 microns between them
161 from center to center, consistent with anatomical measurements of glomerular spacing (Palkovits, et al.,
162 1971). To do this, we chose randomly from existing MFRs and placed a new rosette at 16.4-20.4 μm
163 intervals. Perfectly even spacing of MFRs generated tetrahedrons, which do not tile in 3D space, so up
164 to 2 microns of jitter about the mean spacing allowed for generation of nearly evenly spaced MFRs.

165 MFR tiling approximated a tetrahedral matrix.

166

167 GrC placement followed a series of steps. First, seed locations were selected from either existing MFRs
168 or GrCs, then a new GrC was placed a random distance away, excluding locations overlapping within
169 the sum of the radii of existing elements. Second, GrCs made four random synaptic connections with
170 nearby MFRs, limited to distances less than or equal to the sum of the dendrite length and MFR radii
171 (28 μm , unless where noted). If the random location was not within reach of 4 MFRs it was discarded.
172 Third, GrCs synapsed preferentially onto MFRs that had fewer than 56 synapses with other GrCs, but
173 MFR connectivity was capped at 80 GrCs. Overall, there was a range of 53-77 GrC synaptic
174 connections per MFR, with a mean of 56 (SEM = 0.82, n=151 systems). The final result was a modeled
175 population with closely packed elements resembling the dense packing of GrCs within the GCL (Fig.
176 2A).

177

178 *MFR diversity and spatial distribution modeling*

179 One goal of the present study was to understand how MFR diversity influences GrC combinatorial
180 diversity. Because we were interested in identifying specific combinations of MFRs onto GrCs, we
181 assigned an identity “ID” to each MFR. The ID terminology is a proxy for the source and/or uniqueness
182 of the MFR, such that we can think of modeled MFRs as originating from different cells or different
183 nuclei if they do not share an ID number. Throughout the text we refer to MFRs as a general term that
184 assumes each MFR has an ID, such that groups of MFRs imply the specific combination of ID numbers
185 of MFRs converging on a GrC.

186

187 To examine the relationship between MFR diversity and GrC combinatorial diversity, we varied the
188 number of different ID numbers assigned to a fixed number of MFRs. We refer to the number of
189 different ID numbers in a system as n . In low diversity systems, many MFRs had the same ID number,
190 whereas in high diversity systems, few to no MFRs shared an ID. We analyzed the combinations of
191 MFR ID numbers formed by GrCs within the simulation, tracking the number of shared ID number
192 combinations between different GrCs. The combinatorial diversity of GrCs refers to the number of
193 different MFR combinations produced by the population of GrCs within the simulation. In some
194 analyses, groups of MFRs converging on a GrC were subdivided into the quartet, (the combination of 4
195 MFRs), triplets (any 3 of the 4 convergent MFRs), etc., noting that these MFR combination sizes are
196 equivalent to the “codon” terminology used in Marr, 1969. We also investigated the relative change in

197 GrC combinatorial diversity produced by adding one additional ID number to the MFRs in the system,
198 defined as,

199

$$200 \quad \textit{Marginal addition to diversity} = \textit{unique GrCs} * \frac{\textit{total MFRs}}{n} \quad (\text{Equation 1})$$

201

202 where unique GrCs refers to the number of unique MFR ID number combinations produced by the
203 system GrCs, total MFRs is the number of MFRs in the system, and n is the number of ID numbers
204 assigned to the MFRs.

205

206 Another feature of mossy fiber patterning that we simulated was clustering of similar MFRs. To
207 examine the effect of MFR clustering on the diversity of MFR combinations produced by GrCs, we
208 populated the simulation with MFRs assigned ID numbers drawn from a Gaussian-like probability
209 distribution generated using the randn function in MATLAB. In effect, this method led to non-uniform
210 representation of specific ID numbers within the system, with many MFRs assigned highly probable ID
211 numbers, mimicking clustering. These systems were compared to non-clustered models, in which ID
212 numbers were selected from a flat ID probability distribution, producing a space in which each ID
213 number was equally likely. These systems were analyzed for diversity, redundancy and fraction of
214 theoretically possible combinations produced, with analyses repeated in 100 trials across 100 systems
215 (120 x 120 x 100 μm in size, containing 142 MFRs and 1,988 GrCs). Redundancy of a particular
216 combination of MFRs on modeled GrCs was defined as the number of GrCs in that system possessing
217 identical combinations of MFRs (i.e, the same quartet). The system redundancy was the mean number
218 of repeats of MFR combinations formed by GrCs in that system. Diversity was defined as the total
219 number of unique combinations of MFR inputs of a given size in the GrC population. We refer to
220 redundancy changes as a function of MFR diversity as $\textit{redundancy}(n)$ where n is the number of
221 different ID numbers.

222

223 A related spatial pattern of MFR termination that we modeled was sparsity. Here, a random MFR was
224 chosen from a standard model and was given a new, unique ID number. This analysis was repeated
225 across a range of ID diversity levels in 150 different system instantiations with 5 trials each. To analyze
226 the diversity and redundancy of MFR combinations with sparse fibers included, three different types of
227 systems were compared. First, systems with ' n ' inputs, called "baseline" systems, where ' n ' is the
228 number of different ID numbers; Second, systems with ' n ' inputs, in which a single rosette is changed

229 to a unique input, called “sparse” systems; Third, systems with ‘ $n+1$ ’ inputs distributed uniformly,
230 termed “expanded” systems. The expanded system was essential to the comparisons because it allowed
231 us to determine if the effect of sparseness on GrC combinatorial diversity was solely a consequence of
232 adding an additional input. Using these systems, we first calculated differences in diversity and
233 redundancy in the sparse and expanded systems relative to baseline. We then compared changes in
234 diversity and redundancy between these conditions, expressed as a percent difference in the effect of
235 the sparse or uniformly expanded inputs, defined by:

236

$$237 \quad \text{Sparse performance} = \frac{\text{redundancy('sparse')}}{\text{redundancy('expanded')}} \times 100 \quad (\text{Equation 2})$$

238

239

240 The diversity measurements were identical, substituting redundancy for diversity.

241

242 We postulated that dense afferents serve a computational purpose that could be disrupted by adding
243 another uniformly distributed input. We therefore asked whether a sparse input, when added to a pre-
244 existing system, preserves GrC combinatorial patterns differently than adding another uniform input.
245 We analyzed the retention of existing GrC combinations in the baseline system upon addition of a
246 “sparse” or “expanded” input type by computing a retention index: First, each MFR quartet in the
247 baseline system was given a value of 1, with redundant MFR combinations summed, such that the
248 value reflected the number of repeats of a given combination. Next, each combination in the ‘sparse’ or
249 ‘expanded’ systems was scored similarly. Finally, each combination in the baseline system was then
250 compared to each combination in the new system, receiving a retention score given by baseline value
251 divided by new system value. If a combination was lost in the new system, it was scored as a 0. The
252 mean score for all combinations was computed for comparisons between baseline and ‘sparse’ and
253 baseline and ‘expanded’. Finally, to compare how different types of systems retained combinations we
254 computed a metric that took the ratio of retention scores between the systems, defined as:

255

$$256 \quad \text{Percent Combinations Retained} = \frac{\langle \text{retention 'sparse'} \rangle}{\langle \text{retention 'expanded'} \rangle} * 100 \quad (\text{Equation 3})$$

257

258 *Dimensionality Calculations*

259 The GCL is hypothesized to act as a combinatorial expander, increasing the dimensionality of inputs (Marr,
260 1969; Albus, 1971). Because dimensionality is a function of the independence of neuronal activity,

261 coincident neural activity produced by redundant MFR combinations would be expected to reduce
262 dimensionality. Therefore, to explore the dimensionality of systems as a function of MFR diversity, we
263 generated 150 different systems of GrCs and MFRs as described above. Within each system, we simulated a
264 range of MFR diversity levels where between 1-100 different MFR ID numbers were assigned to the MFR
265 population. We established a proxy for neuronal activity where GrCs were considered active when three of
266 their four MFRs were synchronously active (Billings et al., 2014; Jörntell and Ekerot, 2006). The MFR
267 activity level was set such that on average 10% of MFRs in the simulation were active at a given time
268 (Litwin-Kumar et al., 2017), over 1000 epochs. The activity of a given MFR ID number was determined by
269 assigning it a value from a random number generator every epoch. When the random number exceeded a
270 pre-determined threshold, the ID number was 'active'. Note that in low diversity systems, many MFRs
271 share the same ID number, rendering many MFRs coincidentally active. The threshold for determining an
272 active ID number from the random number generator was therefore varied to ensure that even in low
273 diversity systems, with many MFRs possessing the same ID, the mean total active population was
274 maintained at 10%. 1000 epochs were generated in each simulation and all pairwise GrC correlations and
275 variance of correlations were measured over the all epochs. 5 trials of each simulation were performed and
276 the average correlation and variance measured and used in Equation 4 (below). In total, 500,000 epochs for
277 each diversity level were analyzed.

278

279 To assay the dimensionality produced by combinatorial expansion (CE) of the model GCL as a function
280 of diversity level, n , we used the equation,

281

$$282 \quad CE(n) = \frac{1}{\frac{1}{M} + \langle \text{corr}(GrC_i, GrC_j) \rangle^2 + \langle \text{var}(\text{corr}(GrC_i, GrC_j)) \rangle} \quad (\text{Equation 4})$$

283

284 where n is number of unique MFR ID numbers in the model, M is the number of granule cells,
285 $\langle \text{corr}(GrC_i, GrC_j) \rangle^2$ is the squared mean correlation coefficient of the activities of GrC_i and GrC_j over
286 all pairwise GrC comparisons, i and j , across all epochs, and $\langle \text{var}(GrC_i, GrC_j) \rangle$ is the mean variance of
287 all pairwise correlations across all epochs as described in Litwin-Kumar et al., 2017. This calculation
288 was repeated for each system and averaged for each value of n .

289

290 A prominent hypothesis in cerebellar literature proposes that GrCs receiving correlated inputs become
291 temporally diversified (i.e. fire at different times despite receiving the same input), leading to increased
292 dimensionality supporting learned timing (Fig. 3A,C; Mauk and Donegan, 1997; Medina et al., 2000),

293 which is not captured in Equation 4. Behavioral measurements of intervals over which an animal can
294 learn a conditioned response suggest that information can be diversified up to 500 ms, with
295 physiological measurements showing individual GrCs bursting for approximately 20 ms
296 (Schneiderman and Gormezano, 1964; Smith et al., 1969; Yeo and Hesslow, 1998; Ishikawa et al.,
297 2015). At the limit, every GrC could be decorrelated from every other GrC, producing maximal
298 dimensionality even if each GrC received identical inputs. However, based on GrC burst times, this
299 would predict unrealistically long temporal expansion windows. We therefore used physiological
300 estimates of temporal learning windows to constrain a metric for temporal expandability (TE) where
301 we penalized over- or under-representation of dimensions produced by CE, for a given time window.
302 We defined ‘over-representation’ (R_o) and under-representation (R_u) as:

303

$$304 \quad R_o(n) = M - \frac{t_{respmax}}{GrC_{response}} * CE(n) \quad (\text{Equation 5})$$

$$305 \quad R_u(n) = \left(\frac{t_{respmax}}{GrC_{response}} - \frac{M}{CE(n)} \right) * \left(M * \frac{GrC_{response}}{t_{respmax}} \right) \quad (\text{Equation 6})$$

306

307 where n is the number of different MFR ID numbers, M is the number of GrCs and $CE(n)$ is the
308 dimensionality of the system computed using Eq. 4 . The R_o formula returns the number of “extra”
309 GrCs over all dimensions that are unnecessary for complete temporal expansion over the $t_{respmax}$
310 interval (500 ms), assuming a given $GrC_{response}$ burst duration (20 ms). The R_u formula returns the
311 difference between the number of GrCs that would be needed to completely represent the time window
312 and the number of GrCs per dimension, scaled by the optimal number of GrCs needed per dimension to
313 represent the time interval. When either R_o or R_u returned a negative value, we set the metric to 0.

314

315 We then used these penalties to compute a function that captures both the temporal and combinatorial
316 expansion (TECE):

317

$$318 \quad TECE(n) = M - R_o(n) - R_u(n) \quad (\text{Equation 7})$$

319

320 Where M is the number of GrCs (and maximum dimensionality), from which the lost dimensionality
321 which occurs due to the R_o and R_u terms are subtracted.

322

323 Finally, we tested differences in the $TECE(n)$ calculated for models in which spatial relationships were

324 included ('physiological') and models in which there were no spatial constraints considered ('non-
325 spatial'). The non-spatial model used here was simulated by assigning 4 MFR IDs at random to each
326 GrC in a model system of the same size as the 'physiological system', irrespective of space.

327

328 *Filopodia Modeling*

329 Statistics of MFR filopodia gathered from the anatomical experiments were used in the spatial GCL
330 model to mimic filopodia. We simulated filopodia by adding between 1-5 (median, 2) synaptic
331 connections with GrCs within 22 microns of the MFR. We added simulated filopodia to between 8 -
332 20% of MFRs. This was simulated in 450 different systems, with 5 trials each.

333

334 **Results**

335 **Theoretical limits on combinatorics imposed by granule cell-to-mossy fiber ratios**

336 Expansion recoding is a leading hypothesis for the role of the dense cerebellar granule layer network
337 formed with mossy fiber rosettes (MFRs). First proposed by Marr and elaborated by Albus (Marr,
338 1969; Albus, 1971), the idea that granule cells (GrCs) sample around 4 MFRs and represent convergent
339 afferent input in higher dimensional space is central to ideas of cerebellar sensorimotor integration
340 (Fig. 1A). Before analyzing how GCL organizational features influence the number of different
341 combinations of MFRs represented by the GrCs, i.e. combinatorial diversity, we made a series of
342 simple calculations defining the maximum number of MFR permutations possible, given MFR
343 diversity. These calculations highlight the fact that complete permutation of cerebellar inputs is not
344 physiologically realistic and motivate the spatially-constrained modeling. The theoretical number of
345 permutations of MFRs can be computed using the binomial coefficient, n choose k , with replacement,
346 where n is the number of unique MFRs and k is the number of inputs per GrC. We compared these
347 values to the number of GrCs that exist per MFR (14:1), based on numerical ratios derived from
348 anatomical estimates (Jakob and Hamori, 1988). The rapid increase in the binomial coefficient as a
349 function of MFR numbers quickly exceeds the number of GrCs that exist (Fig. 1B-C) such that when
350 there are more than 5-6 MFRs in a system of 84 GrCs, some MFR quartets go unrepresented in the GrC
351 population (Fig. 1B). Even when considering divergence, where each MF forms between 20-200 MFRs
352 (Wu et al., 1999; Shinoda et al., 1992; McCrea et al., 1977; Quy et al., 2011), theoretical complete
353 mixing is limited to between 18 and 31 unique sources in the GrC population of approximately 6,000-
354 46,000, depending on the level of divergence (Fig. 1C, intersection of shaded regions and black curve).
355 These calculations indicate that the cat granule layer, containing 2-4 billion granule cells and 77 million

356 MFRs (Palkovitz et al., 1971), could fully permute just 470-560 unique MFR sources, depending on the
357 level of MF divergence. Therefore, the cerebellum would not represent all quartets unless each input is
358 duplicated between 2,700- 4,600 times, a highly unlikely scenario (Fig. 1D, blue shading).

359

360 **Spatial constraints on granule layer combinatorics**

361 The previous calculations do not take into account any spatial features of GCL organization, which
362 would be expected to influence the diversity of convergence of MFRs onto GrCs. As an extreme
363 example, two MFs that terminate in different lobules would obviously not converge on a common GrC.
364 We speculated that the morphological features of the GCL might extend these spatial restrictions at
365 local levels, where combinations of MFs ramifying even in a relatively local area might not be
366 combined if GrC dendrites are too short to reach them (schematized in Fig. 2B). We therefore
367 examined how the anatomy of the GCL influences the performance of the layer as a combinatorial
368 expander. To address this, we developed a model that mimics the geometric organization of the GCL
369 and manipulated spatial features to determine the role of specific anatomical features to GrC
370 combinatorial diversity (Fig. 2A; See Modeling in Materials and Methods).

371

372 We first investigated the role of GrC dendrite length on access to local MFRs. We measured the effect
373 of GrC dendrite length on the access to diverse MFRs in modeled systems with varying MFR diversity.
374 We found that dendrite lengths falling within a physiological range (8-20 μm ; shaded region) strongly
375 limit access to MFRs. As the MFR diversity increases, GrCs cannot access that diversity because of the
376 shortness of their dendrites (Fig. 2C). Therefore, locally, the number of MFRs accessible to granule
377 cells is capped at fewer than 10, regardless of MFR heterogeneity. As expected, lengthening dendrites
378 permitted granule cells to access a greater diversity of MFRs. Increasing the dendrite length from 20 to
379 60 microns allows a GrC to access 5-10-fold more unique MFRs, depending on the diversity of the
380 local MF population (Fig. 2C).

381

382 We next computed the number of unique combinations of 4 MFRs produced by a modeled population
383 of ~3500 granule cells and ~240 MFRs, taking into account spatial relationships of GrCs and MFRs.
384 We assigned ID numbers to MFRs in the model and varied the diversity of the population of MFRs
385 from being entirely homogeneous (where each MFR has the same ID) to entirely heterogeneous (where
386 each MFR has a unique ID; Fig. 2D). Focusing here first on quartets (combinations of 4 inputs), the
387 relationship between MFR diversity and number of different combinations formed on GrCs showed

388 two striking features. First, GrCs are quickly saturated with unique MFR combinations as MFR
389 diversity increases, illustrated by the asymptote in the number of unique granule cell combinations
390 (Fig. 2D, red curve). This cap on unique MFR combinations suggests that with just moderate MFR
391 diversity, most GrC input combinations are unique. As the MFR population continues to diversify, new
392 unique MFR combinations replace other unique MFR combinations, illustrating the effectiveness of the
393 GCL as a combinatorial expander. The apparent cap on diversification of quartets at approximately 30
394 MFR IDs in this system indicates that quartets quickly approach the combinatorial limit imposed by
395 GrC population sizes.

396

397 A second feature of GrC combinatorial diversity as a function of MFR diversity was that GrC
398 combinatorial diversity is never maximal, asymptoting near 80% of GrCs bearing unique MFR
399 combinations. That is, the number of unique combinations remains below the number of GrCs in the
400 system, even when the MFR population is completely diverse. This phenomenon reflects local
401 resampling of MFRs, and indicates that multiple representations of specific combinations, while not
402 dominant in the population, is a byproduct of short dendrites and glomerular presynaptic structures.

403

404 We next examined how the number of GrCs with different MFR combinations changes if we
405 considered just a subset of the combination of 4 convergent MFRs (3, 2, or 1 MFR), since a subset of
406 MFR afferents could produce redundant GrC activity (Billings et al., 2014; Jörntell and Ekerot, 2006).
407 We found that as the diversity of MFRs increases, the number of combinations of 3 MFRs produced by
408 GrCs continues to increase beyond the point where quartets are saturated (Fig. 2D, green curve).

409

410 Regardless of combination size, we noticed a roll-off in the number of unique MFR combinations
411 produced as the system diversifies. We explicitly computed the relationship between GrC combinatorial
412 diversity and the addition of each new MFR, plotted in Fig. 2E, by weighing each additional GrC-MFR
413 combination against the ratio of total MFRs to unique MFRs (Eq. 1). The peaks in these curves indicate
414 the point at which each additional MFR ID adds relatively less to overall GrC combinatorial diversity.

415

416 These analyses assume equal strength of MFR inputs to GrCs and spiking thresholds requiring
417 coincident activity of either quartets, triplets or doublets. Interestingly, long-term potentiation (LTP) at
418 the MFR->GrC synapse has been observed, which might be predicted to change the effective subset of
419 convergent MFRs onto a granule cell. To test this idea, we established a thresholding rule on a granule

420 cell, but then increased the contribution of each MFR, simulating LTP at this synapse (Mapelli and
421 D'Angelo, 2007). When inputs were allowed to strengthen, we found an increase in the number of
422 combinations that could drive this population of GrCs (Fig. 2F). This diversity in driving inputs might
423 be exploited by an adaptive filter via the Golgi cell (Billings et al., 2014). Furthermore, it would be
424 predicted to produce denser recruitment of granule cells than in an unpotentiated state (Diwakar et al.,
425 2011).

426

427 **Spatial constraints enhance the capacity for temporal diversification of granule cells**

428 These data show that the spatial organization of the granule layer limits the number of MFR
429 permutations possible and produces redundant MFR combinations. The presence of redundant
430 combinations of MFRs on GrCs in the spatially constrained model raised the question of whether there
431 may be utility to redundancy that is not well captured by dimensionality produced by combinatorial
432 expansion (CE). Theories of the expansion of specific information in the temporal domain (Mauk and
433 Donegan, 1997; Medina et al., 2000) could explain the utility of redundant combinations, since
434 identical information sources could activate GrCs, which could be further diversified in time, in support
435 of learned timing. For instance, if many GrCs share a dimension produced by combinatorial identity
436 (Fig. 3A, “Low ID system” indicated by color), that dimension could be temporally expanded (TE).
437 Alternatively, if GrCs are already extremely combinatorially diverse, and few GrCs are shared per
438 dimension, the combinatorially defined dimension cannot be temporally expanded as extensively (Fig.
439 3A, bottom).

440

441 These features would predict reduced dimensionality of information represented by the system. We
442 used a simplified model of GrC activity based on MFR activity and analyzed dimensionally as a
443 function of MFR diversity (See Materials and Methods, Eq. 4). We calculated the dimensionality of the
444 system with and without spatial constraints and found that, consistent with recent findings, the
445 anatomically constrained “physiological” system showed a decrease in dimensionality relative to a non-
446 spatial, randomly connected network (Fig. 3B; Litwin-Kumar et al., 2017). We therefore considered
447 the idea that GrCs with redundant combinations of MFRs could fire at different times, owing to either
448 GCL circuitry or synaptic diversity (Medina et al., 2000; Chabrol et al., 2015). This scenario would
449 both recover dimensionality lost by redundancy and support specific information being represented
450 over extended time windows. Based on the temporal dynamics of GrCs and the limit of learned timing
451 in delay eyelid conditioning, we modeled GrC activity such that each GrC was active for a 20 ms epoch

452 (GrC_{response}) over a 500 ms window (t_{respmax}) (Ishikawa, et al. 2015, Schneiderman and Gormezano,
453 1964; Smith et al., 1969; Yeo and Hesslow, 1998). We assumed a cost to over- and under-representing
454 a given combination over time (Eq. 5-6, Methods) and measured the dimensionality of the time
455 expanded simulation (TECE) over a range of MFR diversity levels (Eq. 7). Fig. 3C shows the results of
456 this simulation and analysis when added to the calculation of dimensionality produced by
457 combinatorial expansion. Intuitively, the more redundant the combinations, the greater the capacity of
458 the specific combinations to diversify over time. This intuition is supported by a peak in the temporal
459 expansion (TECE) curve at low diversity levels, which then dropped off with increasing MFR diversity.
460 As the diversity of inputs increases, the layer falls short of providing enough GrCs to expand this
461 information in time.

462
463 Importantly, the model with physiological spatial constraints showed improved performance over the
464 non-spatial connectivity model, achieving both high dimensionality and temporal expandability (Fig.
465 3C). The peaks of these curves occur when the number of GrCs per dimension is equal to the minimal
466 number of GrCs required to completely fill the time interval (See methods) and is therefore dependent
467 on the temporal assumptions (GrC_{response} and t_{respmax} ; Eq. 5-6). The physiological model has higher
468 TECE than the non-spatial model with temporal expansion windows greater than 200 ms, within the
469 range which rabbits readily learn conditioned stimuli (Schneiderman and Gormezano, 1964). At shorter
470 t_{respmax} (i.e. < 200 ms), the non-spatial model has higher TECE. These calculations suggest that the
471 granule layer may balance requirements to expand information temporally as well as diversify inputs as
472 a result of combinatorial expansion.

473

474 **Impact of mossy fiber heterogeneity on spatial organization of GrCs sharing inputs**

475 Receptive fields of GrCs tend to be somatotopically patchy, suggesting redundant mossy fiber input to
476 GrCs (Welker et a 1984; Jörntell and Ekerot, 2006; Voogd and Glickstein, 1998). Because MFRs are
477 sampled by many neighboring GrCs, local sharing of a given MFR is expected, and we therefore
478 examined the spacing of GrCs that share a given number of MFRs in our model. We measured the
479 spatial relationship between GrCs that shared one or more common inputs (i.e. inputs had common
480 MFR ID numbers). As MFR diversity increased, the distance between GrCs sharing inputs diminished
481 rapidly (Figs. 4A, B). We analyzed this phenomenon by measuring the Euclidian distance between all
482 GrCs and classified them into groups determined by the similarity of their combinations. For example,
483 with only five MFR ID numbers assigned to the MFR population, the spatial distribution of GrCs that

484 share four specific MFR inputs or share no inputs are equally spaced, illustrated in a cumulative
485 distribution of the distances between GrCs sharing inputs (Fig. 4A). By contrast, when the MFR
486 population is diversified to the point that it is approximately 25% diverse (1 in 4 MFRs shares an ID),
487 GrCs that share the same 4 input ID numbers cluster within approximately 20 microns of one another
488 and GrCs that share no inputs remain homogeneously spaced within the volume (Fig. 4B, C). This can
489 be seen comparing the red and black curves in Fig. 4B, which plots distances of GrCs that share all or
490 no inputs, respectively. These analyses were extended for modeled systems in which we varied MFR
491 diversity systematically, ranging from all identical to all dissimilar. Distances between GrCs with
492 shared input combinations drop with increased MFR diversity (Fig. 4C).

493
494 While redundant quartets become highly restricted in space, GrCs that share 3, 2 or 1 MFR ID can
495 remain fairly distant from one another, as shown in Figs. 4B,C (green and blue curves). We visualized
496 the space of shared MFR IDs in a modeled system with 20 MFR ID numbers (Fig. 4D). GrCs are
497 plotted as gray points, and colored boxes surround GrCs that share MFR ID numbers. The volume
498 occupied by GrCs with identical quartets (red box) is extremely circumscribed. The volume of the
499 bounding box for identical quartets drops rapidly as MFR diversity increases (Fig. 4E, red curve),
500 asymptoting around $36 \mu\text{m}^3$, the volume occupied by the reach of a single mossy fiber. Similarly,
501 bounding volumes of GrCs sharing MFR triplets or doublets also decrease as diversity increases (Fig.
502 4E, green and blue curves), implying that although specific GrC MFR quartet combinations saturate
503 quickly, the number of shared MFRs in the population continues to drop as MFR diversity increases,
504 reducing the space over which GrCs share MFRs.

505
506 In summary, by increasing diversity of mossy fibers, patchy representations emerge because of the
507 spatial restrictions of the GrC dendrites and MFRs. Grouping also occurs as a consequence of MFR
508 combination probabilities dropping off sharply in space, although subsets of inputs are shared by more
509 widely spaced GrCs. This phenomenon suggests that local inhibition could regulate the size of the
510 MFR combination relayed to Purkinje neurons, without sacrificing the subsets of the combinations
511 completely, as would occur with maximal local diversity.

512 513 **Impact of mossy fiber spacing on combinatorial diversity**

514 Mossy fiber afferents frequently appear to terminate within clusters in the GCL. For example, both the
515 nucleocortical pathway and the basilar pontine nuclei terminate in patches of cortex (Fig. 5A-C; Houck

516 and Person, 2015; c.f. Huang et al., 2013). Such clustering is common, with MFs from diverse sources
517 terminating densely along zebrin stripes, or in similarly spaced stripes within the layer (Sillitoe et al.,
518 2010; Gebre et al., 2012; Quy et al., 2011; Gao et al., 2016; Valera et al., 2016). While MFs originating
519 from the same nucleus do not necessarily carry the same information, single cell label supports the idea
520 that rosettes from the same fiber terminate densely (Quy, 2011; Sultan, 2001), and physiological data
521 support the notion that GrCs can receive information from like fibers (Jörntell and Ekerot, 2006).
522 Before including spatial clustering in our model, we measured the patchiness of two MF pathways,
523 analyzing the distribution of MFRs. We labeled the nucleocortical and ponto-cerebellar pathways using
524 AAVs expressing fluorescent proteins and analyzed MFRs in Crus 1 and Lobule 6, used as
525 representative locations (See Methods). Nearest neighbor analyses from 874 rosettes revealed that most
526 MFRs are clustered, existing within 100 μm of another MFR from the same source (Fig. 5C).

527

528 We therefore explored the effect of these spatial characteristics on GrC combinatorial diversity in our
529 model. We compared the GrC combinatorial diversity produced with unclustered versus clustered
530 MFRs. To do this, we computed the number of unique GrC MFR combinations produced by the model
531 as a function of MFR diversity relative to the number of theoretically possible combinations, based on
532 n choose k with replacement, where $k = 4, 3,$ or 2 for quartet, triplet and doublet combinations
533 respectively (Fig. 5D,E, color coded by combination size). In unclustered models, MFR ID numbers
534 were drawn at random from a uniform probability distribution. In clustered models, MFR ID numbers
535 were drawn at random from a Gaussian-like probability distribution function, leading to over and
536 underrepresentation of specific ID numbers in the population. Clustering MFR ID numbers attenuated
537 the fraction of theoretical diversity produced by the model compared to unclustered inputs (Fig. 5D-F)
538 and enhanced the redundancy of MFR combinations (Fig. 5G).

539

540 The trade-off between diversity and redundancy observed with clustering raised related questions of
541 whether other features of mossy fiber organization affect these parameters. In addition to clustered
542 inputs (Fig. 5A, C) we noted that, although rare, MFRs can appear hundreds of microns away from
543 rosettes from the same source (Fig. 5B-C). We therefore asked how these sparse, numerically limited,
544 MFRs contribute to GrC combinatorial diversity. We analyzed diversity and redundancy of GrC
545 combinations produced in model systems mimicking these anatomical features. We modeled three
546 systems to facilitate comparisons. The ‘baseline’ system contained MFRs with ‘ n ’ ID numbers
547 uniformly distributed within the system; the ‘sparse’ system contained MFRs with ‘ n ’ ID numbers but

548 additionally, a single MFR was assigned an ID number unique to the system; and the ‘expanded’
549 condition, in which a new ID was uniformly added to the baseline system (‘n+1’ ID numbers).
550 Compared to the baseline system, sparse rosettes decreased redundancy and increased the diversity of
551 combinations, similar to the effect of adding an additional input (Fig. 5H). However, compared to the
552 expanded system, the sparse input better preserved the redundancy of combinations that were present in
553 the baseline system, suggesting that sparse fibers increases diversity with less detriment to redundancy
554 compared to adding another input uniformly to the space (Fig. 5I, See Methods for details).

555

556 **Impact of mossy fiber filopodia on GrC combinatorial diversity**

557 Mossy fiber filopodia are long, thin, bouton-bearing processes that extend from MFRs (Fig. 6A-C).
558 They have recently been shown to form synapses on GrCs (Gao et al., 2016), raising the question of
559 their potential role in GrC combinatorial diversity. We reconstructed and analyzed pontine and
560 nucleocortical MFRs from throughout the cerebellar cortex (Fig. 6B-D), quantifying the fraction of
561 MFRs possessing filopodia (n = 1658 boutons), the number of boutons per filopodium (n = 84
562 boutons), and the distance between these boutons and the MFR (n = 84 boutons). Filopodial boutons
563 were typically within 22 microns of the rosette, and there were between 1-4 filopodial boutons per
564 rosette (Fig. 6D; median, 2). We found that nearly 32.2% of nucleocortical MFRs possessed filopodia,
565 while pontine MFRs possessed filopodia at a lower rate, 11.3%, such that the likelihood of bearing
566 filopodia for the entire population we observed was 16.3%.

567

568 We used these measurements in our GCL model, adding 1-5 synapses to GrCs located within 22 μ m of
569 a randomly selected 8-20% of MFRs, simulating filopodia. We analyzed the effect of these filopodia-
570 like synapses on GrC combinatorial diversity and redundancy. In the model, filopodia-like extensions
571 enhanced the diversity of GrC combination (Fig. 6E), increasing the number of unique combinations of
572 4 MFR inputs beyond the number of granule cells present. This effect was a consequence of increasing
573 the number of MFRs contacting many GrCs, which was previously limited to 4 (Fig. 6F). As the
574 diversity of MFRs increased differences between systems with and without filopodia became more
575 pronounced (Fig 6F). MFR filopodia also enhanced redundancy of quartets in low-to-modest diversity
576 systems, expanding the representation of individual inputs, particularly of rarer MFRs (Fig. 6G).

577

578 These modeling results indicate that filopodia mainly enhanced combinatorial diversity when MFR
579 diversity was high, i.e. in instances when individual MFR identities are sparser. We tested whether

580 sparseness of MFRs was related to the presence or absence of filopodia in our anatomical samples,
581 measuring the nearest neighbors of filopodia-bearing and non-bearing MFRs. In keeping with
582 predictions from the model, MFRs bearing filopodia were more sparsely spaced from like-neighbors.
583 Median nearest neighbors for MFRs that did not bear rosettes was 23.1 microns, while those bearing
584 filopodia were 30 microns apart. Differences in the distributions were highly significant (Fig. 6E; K-S
585 goodness-of-fit test, $p = 1.5 \times 10^{-21}$). Taken together, filopodia could serve an important role in the
586 function of the GCL to enhance representation of sparse fibers, increasing combinatorial diversity and
587 redundancy.

588

589 **Discussion**

590 Here we explored the effects of GCL morphological features on combination of afferents and
591 diversification of GrCs. Our studies reveal a surprising theme of anatomical features favoring
592 redundancy of afferent mixing rather than simply maximizing diversity. These findings raised the
593 question of whether the spatial restrictions confer any advantage to information processing by the layer.
594 We found that redundancy produced by spatially clustered afferents not surprisingly reduces
595 dimensionality but enhances the capacity for temporal diversification of GrC activity. Empirical
596 analysis of MFs validated features of the model, suggest potential evolutionary pressures structuring
597 afferent mixing in the cerebellum.

598

599 Fundamental theoretical work on the GCL first proposed that GrCs combine afferents to support pattern
600 separation and noise reduction by Purkinje neurons, under the guidance of climbing-fiber mediated
601 teaching signals (Marr, 1969; Albus, 1971). Considerable empirical support for this view exists, and
602 similar circuits are seen in diverse brain areas and species, suggesting common computational
603 principles (Caron et al., 2014; Kennedy et al., 2014). We investigated how seemingly non-random
604 features (i.e. patchiness) of cerebellar anatomy impact GrC combinatorial diversity. While it is perhaps
605 obvious that every permutation of MFRs is not produced by the GCL, the specific limitations of this
606 recoding scheme have, to our knowledge, not been described previously.

607

608 **Dendrite length and afferent diversity influence combinatorial load**

609 We found that the short dendrites strongly limit GrC access to the full diversity of inputs to a region.
610 Regardless of the diversity of inputs, individual GrCs access fewer than 10 different inputs (Fig. 2).
611 The consequences of this limitation are evident when comparing the number of different MFR

612 combinations produced by GrCs to the total number of GrCs: the diversification is submaximal, and the
613 number of unique combinations of 4 inputs remains below the number of GrCs in the population. This
614 indicates that as mossy fibers diversify within a region of cortex, GrCs share similar inputs, producing
615 redundancy and reducing the dimensionality of information representation in the layer (Fig. 3; Litwin-
616 Kumar et al., 2017).

617

618 **Benefits conferred by anatomical organization**

619 GrC redundancy is surprising given that the computational power of combinatorial diversity is
620 degraded with correlated and overlapping inputs (Barak et al., 2013; Rigotti et al., 2013; Litwin-Kumar
621 et al., 2017). We speculated that over-representation of particular combinations, produced both by GrC
622 morphology (Fig. 2) and MFR clustering (Fig. 5), may facilitate temporal expansion of GrC coding, a
623 property hypothesized to occur in the service of learned timing (Medina et al., 2000). If similar
624 combinations of inputs carrying specific information engage many GrCs, then inhibitory feedback
625 mechanisms could conceivably diversify the temporal representation more effectively than if that
626 representation is overly sparse. We tested this assumption by developing a series of equations that
627 weighted both diversification in identity and redundancy (Fig. 3), penalizing over-representation and
628 under-representation of combinations. We found that the spatially-organized model outperformed the
629 random connectivity model when output featured both high dimensional recombination of inputs and
630 temporal expandability (Fig. 3C).

631

632 These findings are interesting in light of recent studies showing denser engagement of GrCs than
633 predicted by statistical models of the GCL (Marr, 1969). In both mice and fish, between 20 and 80% of
634 monitored GrCs could be active within a short epoch, far greater than 1% predicted (Giovanucci et al.,
635 2017; Wagner et al., 2017; Knogler et al., 2017). Our data suggest that this difference need not preclude
636 combinatorial diversity as a major feature of granule layer coding but predict that this redundant code is
637 temporally diversified, but not yet visible with relatively slow Ca^{2+} indicators used in these studies.

638

639 **Anatomical Diversity of Mossy Fibers Afferents**

640 Although specific estimates of MF diversity are lacking, recent work employing bulk viral label of
641 diverse precerebellar structures indicate extensive intermixing of two sources and convergence on
642 individual GrCs (Huang et al., 2013), consistent with inferences from individual MF ramification
643 patterns. Brainbow-labeled MFs in the cerebellar flocculus indicate highly heterogeneous fibers in a

644 small volume (Livet et al., 2007) while rosette spacing measured in individual fibers averaged 66 ± 55
645 μm (Sultan, 2001). Individual fiber data therefore suggest that an average of 8 MFR duplications occur
646 within $100 \mu\text{m}^3$, with an ID density of roughly 30, slightly higher than the location of peaks describing
647 the marginal increase in GrC diversity produced by an addition MFR (between 10 and 20
648 IDs/247MFRs).

649
650 MF distributions from bulk labeled nuclei show much denser innervation patterns (Huang et al. 2013;
651 Akintunde and Eisenaman, 1994; Brodal and Bjaalie, 1997; Quy et al., 2011; Shinoda et al., 1992;
652 McCrea et al., 1977; Houck and Person, 2014; 2015). While these clusters represent ramification of
653 different neurons, physiological data suggest that there is likely some overlap in information encoded
654 from individual precerebellar nuclei (Jörntell and Ekerot, 2006). We explored the effect of MFR
655 clustering on combinatorial diversity and found that redundancy is enhanced more than diversity is
656 reduced (Fig. 5). This trade-off suggests that MF afferents may have evolved densities that exploit both
657 combinatorial expansion by the layer and redundancy of local representation.

658
659 The nucleocortical pathway has recently regained attention as an intriguing feedback pathway within
660 the cerebellum (Tolbert et al., 1978; Houck and Person, 2014; 2015; Gao et al., 2016). This pathway
661 produces a fairly well-circumscribed input to the cerebellar cortex which include sparse rosettes (Fig.
662 5; Houck and Person, 2015; Gao et al., 2016). We found that sparse fibers increase GrC diversity, but at
663 the cost of redundancy. However, sparse rosettes preserved more redundancy than simply adding a new
664 MFR uniformly to the system (Fig. 5). This effect suggests that sparse inputs are able to moderately
665 diversify systems without disrupting redundancy.

666
667 Finally, we explored the contribution of MFR filopodia to combinatorial diversity. MFR filopodia have
668 been noted for decades (Palay and Chan-Palay, 1974; Mason and Gregory, 1984; Kalinovsky et al.,
669 2011) and are now appreciated to form synapses on both Golgi cells (Ruediger et al. 2011) and GrCs
670 (Gao et al, 2016). In the model, we found that filopodia allow MFRs to support more combinatorial
671 expansion without the spatial cost of producing a new rosette. In diverse MFR systems, where
672 individual IDs are sparser, filopodia enhanced GrC combinatorial diversity, which was in keeping with
673 the observation that sparser MFRs were more likely to bear filopodia. Conversely, filopodia enhanced
674 redundancy, especially in triplet and doublet systems (Fig. 6G). Our reconstructions, moreover,
675 highlight the morphological diversity of filopodia and suggest further experiments defining the relative

676 synaptic strength of these inputs relative to MFRs. While it is unlikely that all MFR filopodia contact
677 GrCs, effects were graded proportional to the number of synapses added.

678

679 **Physiology of MFs and influences on combinatorial representation**

680 Physiological data on multimodal convergence is beginning to emerge, and the details of findings in
681 these studies highlight potential nuances to the combinatorial hypothesis. While GrCs in both mammals
682 and fish integrate diverse information (Sawtell, 2010; Ishikawa et al., 2015; Arenz et al., 2008) the
683 question remains whether a complete quartet of MFs is typically required for GrCs to reach threshold,
684 or whether subsets of inputs are sufficient to drive GrC activity (Ishikawa et al., 2015; Rancz et al.,
685 2007; Jorntell and Ekerot, 2006; Rossert et al., 2014). Recent evidence of diversity of synaptic
686 properties from different MF types could in part explain these diverse outcomes, with some afferents
687 having powerful driver-like effects on GrCs while other afferents are weaker but facilitate with use
688 (Chabrol et al., 2015). This physiological diversity suggests that the number of MFs required to drive a
689 GrC might be regulated by the specific identities of the inputs that are active.

690

691 Additionally, MFRs show LTP with theta burst stimulation (D'Angelo et al., 1999; Hansel et al., 2001),
692 raising the question of whether increasing synaptic strength allows sub-quartet MFR combinations to
693 drive GrCs to threshold. We found that, with increasing MFR strength, GrCs with a fixed threshold can
694 be driven with a greater diversity of combined inputs, allowing the GCL to represent information
695 beyond the limit imposed on quartets by the GCL population. We showed that the spatial extent of
696 GrCs that share 3 inputs is considerably larger than those that share 4 (Fig. 4), therefore, MF-LTP could
697 contribute both to redundant representation of information and spatially broader representations.

698

699 **Golgi cells and temporal expansion**

700 With MFR patchiness, GrC morphology, MF physiology and MFR filopodia all enhancing GrC
701 redundancy, the Golgi cell network becomes a critical player in regulating the size of the co-active
702 MFR combination transmitted to Purkinje neurons, via parallel fibers activation of GoCs. Tonic
703 inhibition via Golgi cell inhibition dynamically sets the threshold for GrCs (Brickley et al., 1996;
704 Duguid et al., 2012; Duguid et al., 2015), and phasic inhibition produces surround inhibition and
705 temporal sharpening (D'Angelo et al., 2013; Nieuwenhuis et al., 2014; Kanichay and Silver, 2008). Because
706 even at relatively high MFR diversity neighboring GrCs are likely to share a subset of inputs (Fig. 4),
707 GoC inhibition is in a position to dynamically regulate the number of shared inputs driving GrCs

708 (D'Angelo, 2008; Solinas et al., 2010).

709

710 **Conclusions**

711 This study explored how a variety of features of granule layer organization contribute to recombining
712 MF inputs. GCL morphology limits mixing locally, but patchy ramification patterns contribute to
713 robust representation, which may be important for temporal diversification or sufficient representation
714 for motor learning. Along with our findings that diversity reaches a saturation point due to anatomical
715 constraints, our study suggests that this failure to reach maximal diversity in GCL afferents is not
716 inherently detrimental. Finally, specializations such as sparse inputs and filopodial extensions can
717 mitigate limitations on combinatorial diversity created by anatomical restrictions. Future studies
718 examining the complete connectome of patches of granule layer will indicate where within the space of
719 maximal diversity vs redundancy the cerebellar system produces, and will further illuminate the
720 computational strategies of the cerebellum.

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721 **Competing Interests**

722 The authors declare no competing interests.

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958

959 **Figure Legends**

960

960 **Figure 1**

961 Theoretical limitations of granule cell input combinations based on mossy fiber rosette (MFR)-to-
962 granule cell (GrC) ratios. **A.** Schematic diagram illustrating the MFR-GrC-Purkinje circuit and
963 terminology. MFRs with identities (IDs) w, x, y, z, converge onto a specific GrC. This combination of
964 MFRs to this GrC represents the “quartet” for the illustrated GrC. Throughout the study, we examined
965 how the diversity of MFR combinations onto GrCs relates to patterns of MFR inputs. **B.** The number of
966 theoretical permutations of MFRs is given by the binomial coefficient, defined by n choose k with
967 replacement, where n is the number of MFRs and k is the number of inputs combined per GrC. The
968 binomial coefficient, for n choose 4 with replacement (black), is overlaid with the linear function (red)
969 showing the ratio of GrCs to MFRs. The intersections of the curves indicate that based on the linear
970 relationship of MFRs to GrCs, GrCs could fully permute a maximum of 5 unique MFRs. **C.** Similar to

971 (B), but with the linear function relating GrCs to mossy fibers (MFs), taking into account multiple
972 MFRs per MF axon. **D.** The binomial coefficient (black trace; n choose 4 with replacement) is plotted
973 against the number of unique MF IDs that could theoretically be permuted (equivalent to n). The cat
974 cerebellum contains an estimated 2-4 billion GrCs (red lines), indicating that the cat GCL could fully
975 permute 470 to 560 different MFRs. To distribute those MFR identities over the 77 million MFRs
976 estimated to occupy the cat cerebellum, these unique sources would have to be duplicated between
977 2735-4595 times. Abbreviations: GCL, granule cell layer; MF, mossy fiber; GrC, granule cell; PKJ,
978 Purkinje; MFR, mossy fiber rosette.

979

980 **Figure 2**

981 The spatial organization of the granule cell layer (GCL) restricts combinatorial expansion. **A.** We
982 constructed spatially realistic models of the granule cell layer (GCL), shown here in a simplified
983 rendering. Large spheres represent simulated MFRs, with colors representing ID numbers. Grey
984 spheres represent GrCs, and GrC dendrites are grey lines. A 247 MFR system is depicted here, with all
985 synaptically connected GrCs per MFR rendered. Scale bar is approx. 25 μm . **B.** Cartoons illustrating
986 low and high diversity systems. Bottom rows illustrate MFRs with ID indicated by color. GrCs
987 (middle), combine local MFR inputs to assume a unique combinatorial identity (blended colors).
988 Throughout the remainder of the study, we examine how MFR diversification and clustering in a spatial
989 model of the GCL influences GrC combinatorial diversity, testing, for example, how spatial segregation
990 of inputs favors particular combinations (e.g. the purple GrC, indicating a theoretical combination of
991 red and blue MFRs cannot exist, because red and blue MFRs are too far apart). **C.** Effect of GrC
992 dendrite length on access to unique mossy fiber rosettes (mean \pm SEM; n = 10 systems with 10 trials
993 each, for each MFR diversity level). An anatomically realistic dendritic range between 12-20 μm
994 restricts access to roughly 6 unique inputs, regardless of the diversity of the system. **D.** Populations of
995 combinatorially unique GrCs as defined by their k-input combinations (quartets, triplets, etc.) as a
996 function of increasing numbers of unique MFR identities uniformly distributed across the population.
997 Dashed line indicates the total number of GrCs in the system. **E.** A function plotting the relative
998 contribution of each additional MFR ID to the total number of MFR combinations made by GrCs (Eq.
999 1). As the model diversifies with increasing numbers of unique MFRs, fewer new combinations are
1000 produced per additional MFR ID number, peaking at 5-20% diversity (i.e. between 5 and 20 MFRs/100
1001 share the same ID) for quartet and triplet systems. This peak indicates the point beyond which
1002 increasing diversity contributes relatively less to the number of unique MFR combinations made by

1003 GrCs. **F.** The effect of MF long term potentiation on combinatorial representation. With a GrC firing
1004 threshold of 4 active inputs, a full quartet of active MFRs is required to drive activity. As the strengths
1005 of inputs increase, the mean number of potential combinations that can drive a given GrC increase.

1006

1007 **Figure 3**

1008 Spatial patterning enhances temporal expansion of GrC representation. **A.** Cartoon illustrating the
1009 hypothesized tradeoff between combinatorial expansion (CE) and temporal expandability (TE), which
1010 requires redundant MFR combinations (shared colors) by multiple GrCs. **B.** Combinatorial expansion
1011 dimensionality plotted as a function of MFR diversity for the spatially-constrained model
1012 “physiological” and non-spatial model. **C.** The composite of combinatorial expansion and temporal
1013 expansion (TECE) for physiologically constrained (red) and non-spatial (black models) for a time
1014 window of 500 ms. At higher MFR diversity levels, the spatially realistic model possesses greater
1015 TECE dimensionality than the non-spatial model because it both expands combinations and produces
1016 redundancy required for temporal expansion of dimensions.

1017

1018 **Figure 4**

1019 Spatial clustering of GrCs with similar combinations emerges as a consequence of MFR access and
1020 diversity. **A.** Cumulative distributions plotting the distance between a GrC and every other GrC in the
1021 model that shares a given number of its MFR inputs (i.e. GrCs that share quartets, GrCs that share
1022 triplets etc.) in a system with 5 different mossy fibers (5 ID) **B.** Same as (A) but in a system with 20
1023 different mossy fibers (20 ID). Colors as in A. **C.** Summary of clustering effect as a function of MFR
1024 diversity, illustrating that dense spatial restriction of like-combinations is most pronounced with high
1025 MFR diversity. Colors as in A. **D.** Illustration of spatial extent of GrCs sharing 4 inputs “quartets”
1026 (red), triplets (green) and doublets (blue). **E.** Summary of volumes occupied by like-quartets, triplets
1027 and doublets as a function of the diversity of MF IDs in the system.

1028

1029 **Figure 5**

1030 Clustered mossy fibers enhance redundancy at the expense of diversity. **A.** Representative example of
1031 patches of nucleocortical (green) and pontine (magenta) mossy fiber rosettes in mouse Lobule 7 (n = 4
1032 mice, 8 injections). Scale bar = 200 μ m. **B.** Representative example of both clustered (pontine,
1033 magenta) and sparse (nucleocortical, green) MFRs at the apex of Crus 1. **C.** Histogram of the mean
1034 distance of MFRs to their 4 closest neighbors labeled from the same injection, (n = 874 rosettes, 4

1035 mice). **D.** Percentage of the total theoretical combinations (n choose k with replacement) produced by
1036 the modeled system, as a function of the number of different MFRs in the system. Rosettes were
1037 positioned following a uniform probability distribution, i.e no explicit rosette clustering. **E.** Percentage
1038 of maximal GrC combinatorial diversity produced as a function of MFR diversity when MFR IDs were
1039 non-uniformly represented. **F.** The difference in the diversity of MFR combinations produced with
1040 clustered vs unclustered inputs, computed by subtracting curves in Figure 5E-5D. Unclustered mossy
1041 fiber distributions support more combinatorial diversity compared to clustered mossy fiber
1042 distributions. **G.** Percent change in the redundancy of represented combinations in clustered systems vs
1043 uniform systems as a function of the number of unique MFRs in the system. At modest MFR diversity
1044 (~20 IDs), clustering nearly doubles redundancy of combinations relative to unclustered fibers. **H.** The
1045 effect of sparseness on redundancy and diversity compared to a system with $n+1$ uniformly distributed
1046 MFRs, plotted as a function of MFR diversity. A sparse rosette enhances redundancy but decrements
1047 diversity. **I.** Mean sparse retention index plotted as a function of MFR diversity. Sparse inputs retain
1048 more of the existing combinations that adding a uniform input.

1049

1050 **Figure 6**

1051 Mossy fiber filopodia enhance both combinatorial diversity and redundancy produced by GCL. **A.** A
1052 representative image of an MFR bearing filopodia. **B.** A reconstruction of MFR in **A** that illustrates the
1053 MFR in green and putative filopodial boutons in red. **C.** Reconstructions of 70 MFRs from 9 mice that
1054 bore filopodia, illustrating morphological diversity. **D.** Box plots of median and inter-quartile ranges of
1055 distances of filopodial boutons to the MFR and the number of boutons/MFR for pontine fibers,
1056 nucleocortical fibers and both sources ($n = 84$ MFRs, 9 mice). **E.** Cumulative distribution plot of
1057 nearest neighbors between filopodia bearing and non-filopodia bearing MFR, showing that filopodia-
1058 bearing rosettes are more sparsely positioned ($n = 874$ boutons, 4 mice, 8 injections). **F.** Total number
1059 of unique combinations of inputs plotted as a function of MFR diversity when 20% MFRs bore
1060 between 1-5 filopodial boutons, contacting GrCs within 22 microns in the spatially constrained model.
1061 Filopodia enhance the total number of all sizes of combinations, such that the total unique quartets
1062 outnumber GrCs. **G.** Percent increase in MFR combination redundancy seen when filopodia are added
1063 to 20% of MFRs (See methods).

1064

1065 **Table 1: Anatomical Data Used in Simulations**

Value	Used in Simulation	Reference
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GrC density	$2.6 \times 10^6 / 1 \text{ mm}^3$	$2.6 \times 10^6 / 1 \text{ mm}^3$	Palkovitz et al., 1971
GrC synapses/MFR	52-112	$\mu=56-77$, 10 systems	Palkovitz et al., 1971; Jakob and Hámori, 1988
MFRs/GrC	4	4	Palkovitz et al., 1972
GrC radius	3 μm	3 μm	Palay and Chan-Palay, 1974
GrC dendrite length	$\mu=14 \mu\text{m}$	20 μm maximum	Palkovitz, 1972
MFR radius	5.5 ± 1.8	5 μm	Sultan, 2001
MFR density	$9.88 \times 10^4 / 1 \text{ mm}^3$	$9.88 \times 10^4 / 1 \text{ mm}^3$	Palkovitz, 1972
Glomerular spacing	18.4 μm	18.4 ± 2	Palkovitz, 1972
GCL thickness	254 μm	250 μm	Palkovitz, 1971

1066 *Abbrv:GrC, granule cell; MFR, mossy fiber rosette; GCL, granule cell layer*

1067 **Table 1.** Values used in simulation and references cited for each.

1068











