Neural correlates of social variation in coral reef butterflyfishes

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Abstract

Animals display remarkable variation in social behavior. However, outside of rodents, little
is known about the neural mechanisms of social variation, and whether they are shared within
and across species and sexes, limiting our understanding of how sociality evolves. Using coral
reef butterflyfishes, we examined the neural correlates of social variation (i.e., pair bonding vs.
solitary living) within and between species and sexes. In several brain regions, we quantified
gene expression of receptors important for social variation in mammals: oxytocin (*OTR*), arginine
vasopressin (*V1aR*), dopamine (*D1R, D2R*), and mu-opioid (*MOR*). We found that social variation
across individuals of the oval butterflyfish, *Chaetodon lunulatus,* is linked to differences in *OTR*
and *V1aR* gene expression within several forebrain regions in a sexually dimorphic manner.
However, this contrasted with social variation among six species representing a single
evolutionary transition from pair bonded to solitary living. Here, *OTR* expression within the
supracommissural part of the ventral telencephalon predicted inter-specific social variation,
specifically in males. These results contribute to the emerging idea that nonapeptide signaling is
a central theme to the evolution of sociality across individuals, although the precise mechanism
may be flexible across sexes and species.

Introduction

Animals display spectacular diversity in sociality (i.e., affiliative social behavior [1])
prompting fundamental questions about how it arises and evolves. A striking example that has
garnered considerable research attention is pair bonding versus solitary living (herein, referred to
as "social variation"), which varies on an individual and species level in several vertebrate
lineages [2–6]. However, most of what is known about the neural mechanisms of social variation
comes from a single mammalian genus, *Microtus* rodents. Here, comparison of the pair bonded
to solitary phenotype has revealed the involvement of oxytocin, arginine vasopressin, dopamine,
and opioid neurochemical signaling. Differences in receptor density throughout specific regions
of the brain are thought to gate social variation by tuning social recognition, reward, and positive
affect [2,4,7–9]. However, the extent to which neural patterns underlying social variation in voles
translates to other taxa remains unclear.

In vertebrates, the neurochemical-receptor systems and brain regions that regulate social
behavior are evolutionarily ancient and highly conserved [10,11]. It is therefore possible that
similar patterns of neurochemical signaling within and among brain regions have been repeatedly
recruited to facilitate social variation across different contexts. Nevertheless, sexual dimorphism
in neuromodulatory patterning of social variation may occur, due to sex differences in behavioral
features of sociality and sex steroid hormones [12]. Sex differences may also arise from
differences in pre-existing neural frameworks available for co-option during the development
and/or evolution of sociality. For example, in mammals, the evolutionary transition from solitude
to pair bonding is hypothesized to have co-opted a pre-existing and conserved maternal-infant
bond circuitry for females and territoriality circuitry for males [8]. These factors, in addition to
phylogenetic distance, and the frequency and recency of evolutionary social transitions may also
drive species differences in neuromodulatory patterning of social variation [13]. Examining social
variation in non-mammalian species is needed to better understand if its repeated evolution has
relied on similar or labile neural mechanisms.

Coral reef butterflyfishes (f. Chaetodontidae) offer a compelling opportunity for
comparatively studying the evolution of sociality [3,14,15]. Chaetodontidae have undergone rapid

and repeated species diversification since the mid-Miocene [16], resulting in at least 127 extant
species that co-occur in coral reefs throughout the world [17]. Unlike other vertebrate clades, pair
bonding is ancestral and moderately conserved (77 [~60 % of] species) [3], where it appears to
have arisen due to the fitness benefits of cooperative territorial defense [18,19]. Pair bonds
generally develop at the onset of reproductive maturity [20] and are characterized by a selective
affiliation one onother individual, typically of the opposit sex [3]. Partners establish and defend
stable coral feeding and refuge territories from neighbors using species-specific cooperative
strategies [18,19]. Partnership endurance is critical for this function [18], and has therefore been
reported to last for up to seven years [21]. Yet, within the clade, several independent transitions
to solitary (or gregarious) living have occurred relatively recently [3]. Relative to pair bonders,
"singletons" display low levels and indiscriminate affiliation with other conspecifics, although the
stability of solitary living throughout these individuals' life-time remains unresolved [3]. Moreover,
many species display variation in sociality across sympatric individuals, providing opportunities
for intra-specific comparisons. In a previous study, we leveraged these contrasts to develop a
framework for studying within and between species variation in sociality [3] (Fig. 1). Specifically,
in a wild population located on Lizard Island, Australia, we verified social variation (i.e., pair
bonded vs. solitary living) both on an individual level within *Chaetodon lunulatu*s*,* and across six
closely related species (~15 MYA divergence time) that represent a single evolutionary transition
from pair bonded (*C. vagabundus, C. lunulatus,* and *C. baronessa*) to solitary (*C. trifascialis, C.*
rainfordii, and *C. plebeius*) living. Importantly, these differences in sociality do not co-vary with
major ecological attributes (Fig.1), including paternal care, which is a common confound in
studying mammalian pair bonding that is absent in butterflyfishes.

Here, we leveraged this butterflyfish system (Fig. 1) to compare brain gene expression
associated with social variation (pair bonded versus solitary living) between sexes and within-
versus-among species. We focused on oxytocin- (*OTR*), vasopressin- (*V1aR*), dopaminergic-
(*D1R*, *D2R*), and mu-opioid- (*MOR*) receptor gene expression within eight brain regions of the
vertebrate social decision-making network [10], facilitating comparisons to mammals. We tested
the hypothesis that in butterflyfishes, mechanisms of social variation are similar across sexes,
and within versus between species, and that neural patterns observed in butterflyfish are similar
to those documented in *Microtus* voles.

Species	Social system	Geographic occurrence	Parental care*	Feeding ecology	Territoriality	Mating system
C. vagabundus	**Pair bond**	Sympatric	None	Coral and Non-coral	Low	Unknown
C. lunulatus	**Pair bond** / Solitary (15%)	Sympatric / Sympatric	None / None	Coral / Coral	Moderate / Moderate	Monogamy[^] / Unknown
C. baronessa	**Pair bond**	Sympatric	None	Coral	High	Unknown
C. trifascialis	Solitary	Sympatric	None	Coral	High	Polygyny[^]
C. rainfordi	Solitary	Sympatric	None	Coral	Low	Unknown
C. plebeius	Solitary	Sympatric	None	Coral	Low	Unknown

MYA 15 10 5

Figure 1. Study design comparing mechanisms of social variation in butterflyfishes. Within the populations, dichotomous social systems (pair bonded vs. solitary living) across individuals of *Chaetodon lunulatus* and across *Chaetodon* species do not co-vary with other attributes. Grey diamond represents transition from pair bonded to solitary living that occurred in the last common ancestor of *C. trifascialis, C. rainfordi,* and *C. plebeius* ~7 MYA. *Notes:* *Parental care is unstudied in the focal populations and presumed absent based on unequivocal reporting within the family. ^Mating systems are unstudied and are presumed based on reports at other populations [22,23]. Table re-drawn from [3].

Methods

Field collections

Specimens were collected from wild populations at Lizard Island, in the northern Great Barrier Reef (GBR), Australia in 2013. Collections were made in May-July, outside of peak reproductive periods, capturing social behavior independent of reproductive activity. Since we were interested in adults, only individuals that were within 80% of the asymptotic size for each species were used, as these are more likely to be reproductively mature [20]. Individuals were haphazardly encountered on snorkel, and social system (either solitary or pair bonded) was established. Only individuals that were displaying social behaviors representative of the focal social groups (pair bonding: high level of affiliation selectively with another conspecific; solitary: low level of indiscriminate affiliation with other conspecifics) were collected. Sample sizes are as follows: *C. lunulatus* males = 11, females = 10; *C. baronessa* males = 7, females = 6; *C. vagabundus* males = 6, females = 6; *C. plebeius* females = 10; *C. rainfordii* males = 2, females = 11; *C. trifascialis* males = 2, females = 12. Behavioral characterization, collection, and sexing of

focal fishes has been described previously (see [3]). Brains were dissected, embedded in optimal
cutting temperature compound (VWR), frozen and transported in liquid nitrogen, and stored at -
80°C. Animal collections followed Great Barrier Reef Marine Park Authority permit approvals:
G10/33239.1, G13/35909.1, G14/37213.1; and James Cook University General Fisheries permit
170251. Animal handling and sacrifice procedures were approved by James Cook University
Animal Ethics committee (approval: A1874).

Gene expression

Frozen brains were coronally sectioned at 100 µmon a cryostat, and brain regions were
identified using a *Chaetodon* brain atlas [24,25] and isolated using micropunches (Fig. 2 inset).
RNA from each brain region was extracted and reverse transcribed to cDNA, purified, and stored
at -20 °C. The *Chaetodon lunulatus* transcriptome was sequenced, from which cloning and exon-
exon flanking qPCR primers for target genes and 18S endogenous control were designed and
optimized (See Supplementary Materials). Quantitative PCR was then performed on each sample
using a reaction mixture and qPCR cycling instrument (CFX380) that was recommended by the
enzyme manufacturer (see Supplementary Methods S1 for detailed methods, Table S1 for primer
sequences, and Table S2 for cycling parameters). Not all regions of each brain were measured
for gene expression due to insufficient tissue available.

Statistical analysis

Gene expression differences were analyzed for the *C. lunulatus* (intra-) and the
Chaetodon (inter-) species comparison separately. Each brain region was analyzed separately
using the MCMC.qpcr model (MCMC.qpcr R package [26]). For each analysis, gene expression
differences were analyzed by examining the main and interactive effects of sex and social system,
with individual as a random factor. Results are reported as natural log fold changes of posterior
mean from an *a priori* reference state of male pair bonding. The *Chaetodon* species comparison
revealed that for males, oxytocin receptor expression within the supracommissural part of the
ventral telencephalon (Vs) varied significantly between social systems (see Results). In order to
determine whether this difference was consistent across all species, we then examined the main
effect of species exclusively for this brain region, gene, and sex. Results are reported as natural
log fold changes in posterior mean from the *a priori* reference state of *C. baronessa.* Male *C.*
plebeius were omitted from this analysis, as none were sampled. In all analyses, Bayesian two-
sided p-values were calculated using the posterior distribution tested with a z-score against a
normal distribution and adjusted for multiple hypothesis testing using the False Discovery Rate
method [27]. Pair-wise differences between factor levels were explored using Tukey *post hoc*
analysis.

Results

Pair bonded versus solitary Chaetodon lunulatus

In *C. lunulatus,* nonapeptide receptor expression differed between social systemsin sex specific
ways (Table S3; Fig. 2a). In *C. lunulatus* males, *V1aR* gene expression within the medial part of
the dorsal telencephalon (Dm, putative homolog of the mammalian basolateral amygdala) (ln(fold
change) = -27.752; $p_{adj.z} < 0.001$), and *OTR* gene expression within the dorsal part of the ventral
telencephalon (Vd, putative homolog of the mammalian nucleus accumbens) (ln(fold change) = -

73.867; $p_{adj\cdot z}$ = 0.01) was higher in pair bonded individuals than solitary counterparts. *OTR* gene
expression was lower in pair bonded individuals relative to solitary counterparts within the central
part of the ventral telencephalon (Vc, putative homolog of the striatum/caudate putamen) (ln(fold
change) = 41.129; $p_{adj\cdot z}$ = 0.03). V1aR gene expression was higher in pair bonded *C. lunulatus*
females in the Vd (ln(fold change) = -48.848; $p_{adj\cdot z}$ < 0.01), and lower in pair bonded females in
the Vc (ln(fold change) = 60.917; $p_{adj\cdot z}$ < 0.05) compared to solitary females. *OTR* gene expression
within the ventral and lateral part of the ventral telencephalon (Vv/Vl) (putative homolog of the
mammalian lateral septum) was lower in pair bonded females than in solitary females (ln(fold
change) = 26.992; $p_{adj\cdot z}$ < 0.01) (See Table S3 for detailed statistical results). Gene expression of
MOR and the dopamine receptors (D1 and D2) did not vary with social system among *C. lunulatus*
individuals in either sex.

Pair bonded versus solitary Chaetodon species

Receptor expression patterning of individual differences in social systems found in *C. lunulatus*
was inconsistent with that of species differences in social system (Fig. 2ab; Tables S3,4). Across
sexes, genes, and brain regions; only *OTR* expression within the supracommissural part of the
ventral telencephalon (Vs, putative homologue of the mammalian medial amygdala/bed nucleus
of the stria terminalis) varied consistently across all pair bonded and solitary species in males,
where expression was higher in pair bonded than in solitary males (ln(fold change) = -14.143;
$p_{adj\cdot z}$ < 0.05) (Fig. 2b; see Tables S4,S5 for detailed statistical results). Gene expression of MOR
and the dopamine receptors did not consistently vary with species differences in social system in
either sex.

Figure 2. Nonapeptide receptor gene expression in specific brain regions differs between pair bonded (pb) and solitary (sol) butterflyfishes in a context-specific manner. Gene expression of nonapeptide receptors correlates with social variation across sexes and within **(a)** versus between **(b)** species. Bar plots show pair bonding fish displaying high levels of selective affiliation with a partner (blue) vs. non-partner (white), and solitary fish displaying low levels, at the time of collection. Behavioral values represent means +/- standard error, and dots represent individual data points. Point plots show receptor gene expression within brain regions that differ significantly between social systems, where values represent means +/- 95% credible intervals of the posterior distribution. Schematics show brain regions where receptor gene expression (purple = arginine vasopressin *V1aR*, green = oxytocin *OTR*) differs between social systems. *Inset*: brain regions micro-dissected for gene expression analysis. Behavioral data re-drawn from [3]. *Brain region abbreviations:* teleost: telen. = telencephalon, Dm = medial part of the dorsal telen., Vd = dorsal part of the ventral telen., Dl = lateral part of the dorsal telen., Vv/Vl = lateral and ventral

205 part of the ventral telen., Vs = supracommissural part of the ventral telen., Vc = central part of the
206 ventral telen., POA = pre optic area, TPp = periventricular part of the posterior tuberculum.,
207 putative mammalian homolog: blAMY = basolateral amygdala, NAcc = nucleus accumbens, HIP
208 = hippocampus, LS = lateral septum, meAMY/BNST = medial amygdala/bed nucleus of the stria
209 terminalis, Str = Striatum, CP = caudate putamen, VTA = ventral tegmental area.

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Discussion

Our work suggests that in *Chaetodon* butterflyfishes, nonapeptide gene expression is
important for mediating social variation in both sexes, and within and across species. However,
differences in nonapeptide expression occurs in distinct brain regions across these contexts,
mirroring previous findings in *Microtus* voles [4,7,28,29]. These findings contribute to the
emerging theme that across different contexts, social diversity relies on repeated co-option of
nonapeptide neurochemicals, though the brain regions in which they signal can be evolutionarily
labile.

Across a wide range of vertebrate taxa, nonapeptide signaling regulates social variation
in both sexes [13,30] (but see [31]). Previous studies showing that nonapeptide receptor
abundance throughout the brain covaries with individual social variation are largely limited to
Microtus ochrogaster prairie voles, where both OTR and V1aR receptor expression is sexually
dimorphic [4,7,28]. Similarly, we found that in the oval butterflyfish, *OTR* and *V1aR* expression is
linked to individual social variation in both sexes, although in different brain regions. On a sex-
and brain region-specific level, however, expression of nonapeptide receptors associated with
individual social variation in oval butterflyfish appear distinct from prairie voles [4,7,28], except for
OTR signaling in males [7,29]. Pair bonded males exhibit higher Vd *OTR* expression relative to
solitary counterparts, mirroring paired prairie vole males that exhibit higher nucleus accumbens
(NAcc, the putative mammalian homologue of the teleost Vd) OTR density relative to solitary
'wanderers' [7]. OT-OTR binding within the NAcc is critical for male pair bond formation in prairie
voles and may gate the learned association between partner identity and hedonic reward,
effectively biasing males to either form pair bonds or remain single [7,32]. Whatever the function
of NAcc OTR signaling might be, these parallels across phylogenetically distant species
tentatively suggest that NAcc-like OTR expression represents a convergent mechanism of
individual social variation in males.

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Yet, can similar mechanistic modifications more *generally* explain similar instances of
social variation within and between species? In butterflyfishes, we found that neural patterning of
social variation in oval butterflyfish did not translate across *Chaetodon* species, consistent with
reports of prairie voles and their *Microtus* congeners (male *Microtus* NAcc OTR notwithstanding
[4,7,28,29]). Similarly, certain neuromodulatory patterns of social variation found in a few rodent
species do not translate more broadly across their genus [9,33,34]. Recent studies have
compared multiple independent evolutionary social transitions across several species, in Lake
Tanganyikan cichlids [35], and recently across vertebrates [36], and suggest that on a whole-
brain transcriptomic level, repeated evolutionary transitions from promiscuous to monogamous
living were accompanied by convergent changes in gene expression patterns. On a brain region-
specific level, however, neuromodulation of social evolution appears more labile, even across
relatively short divergence times and few transitional events. For example, two independent
transitions from promiscuity to monogamy were accompanied by nonconcordant V1aR patterning

in *Peromyscus* mice [34]. Similarly, a single transition from monogamy to promiscuity was accompanied by only partially concordant OTR patterning in *Microtus* voles [9]. In the present study, we found that the transition from pair bonding to solitary living in six species of *Chaetodon* butterflyfishes was associated with nonconcordant *MOR*, *D1R*, *D2R*, and *V1aR* receptor expression patterning, and nearly nonconcordant *OTR* receptor patterning. The exception to this was *OTR* expression in the Vs (teleost homologue of the mammalian medial amygdala/bed nucleus of the stria terminalis, meAMY/BNST) in males, which was higher in pair bonding species than solitary counterparts. Intriguingly, in male rodents, amygdala activity is necessary for pair bond affiliation [37], and OT signaling within the meAMY is critical for social recognition [38,39]. Whether amygdalar OTR signaling functions to gate species differences sociality via social recognition is an interesting line of inquiry for both butterflyfishes and rodents alike. Taken together, these studies demonstrate that neuromodulatory patterning of social evolution can be labile, highlight the importance of comparing several species that ideally represent multiple independent social transitions when seeking generalizable mechanistic principles.

The differences in gene expression associated with social variation between sexes, and within versus among species found in this study might be attributed to several factors. One may be differences in pre-existing neural phenotypes (e.g., level and location of ligand synthesis, projection pathways, receptor patterning) available for recruitment during the development and or evolution of sociality. Sexual dimorphism in nonapeptide expression across brain nuclei has been reported in teleosts [40,41], and we found that female-specific Vc *V1aR* modulation of individual social variation and Vv/Vl *OTR* modulation coincides with higher base-line expression of these receptors within these brain regions relative to males (table S3). An additional potential source of variation is incomplete parallelism in behavioral details of social variation. For example, in *C. lunulatus*, while female pair bonders display lower territory defense than solitary counterparts; this is not observed in males [18]. Likewise, while some solitary individuals of *C. lunulatus* might represent "divorcees" or "widows" that were previously pair bonded; this is highly unlikely for solitary species. Regardless of these inconsistencies, butterflyfishes represent an excellent research clade for understanding the evolution of neural mechanisms underlying sociality.

Conclusions

Social variation has repeatedly and independently evolved across vertebrates, the neural basis of which has been mostly resolved in mammals. We present one of the most comprehensive single studies on the neural basis of social variation outside of mammals, spanning several levels of mechanistic (i.e., from brain regions to receptors) and organismic (i.e., from individuals to species, in both sexes) organization in a phylogenetically distant lineage, teleost fishes. Our results suggest that nonapeptide receptor signaling within the forebrain mediates social variation among *Chaetodon* butterflyfishes, although the brain region-specific sites of action are context-specific. That neither dopaminergic nor opioid expression was linked to social variation in this study does not preclude the possibility of their involvement, which future studies might capture with higher sample size, a more comprehensive survey of brain regions, or analysis of receptor protein rather than mRNA. Taken together with previous studies in mammals, our results support the emerging idea that evolutionarily ancient nonapeptides have been repeatedly recruited to modulate social variation across both short and vast evolutionary distances in both sexes, albeit using different "solutions" across different contexts [13]. A comprehensive assessment of neural patterning throughout the brain across many taxonomically diverse species is now needed to further resolve major mechanistic themes of animal social variation.

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