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High genomic diversity of multi-drug resistant wastewater *Escherichia coli*

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19 **Abstract**

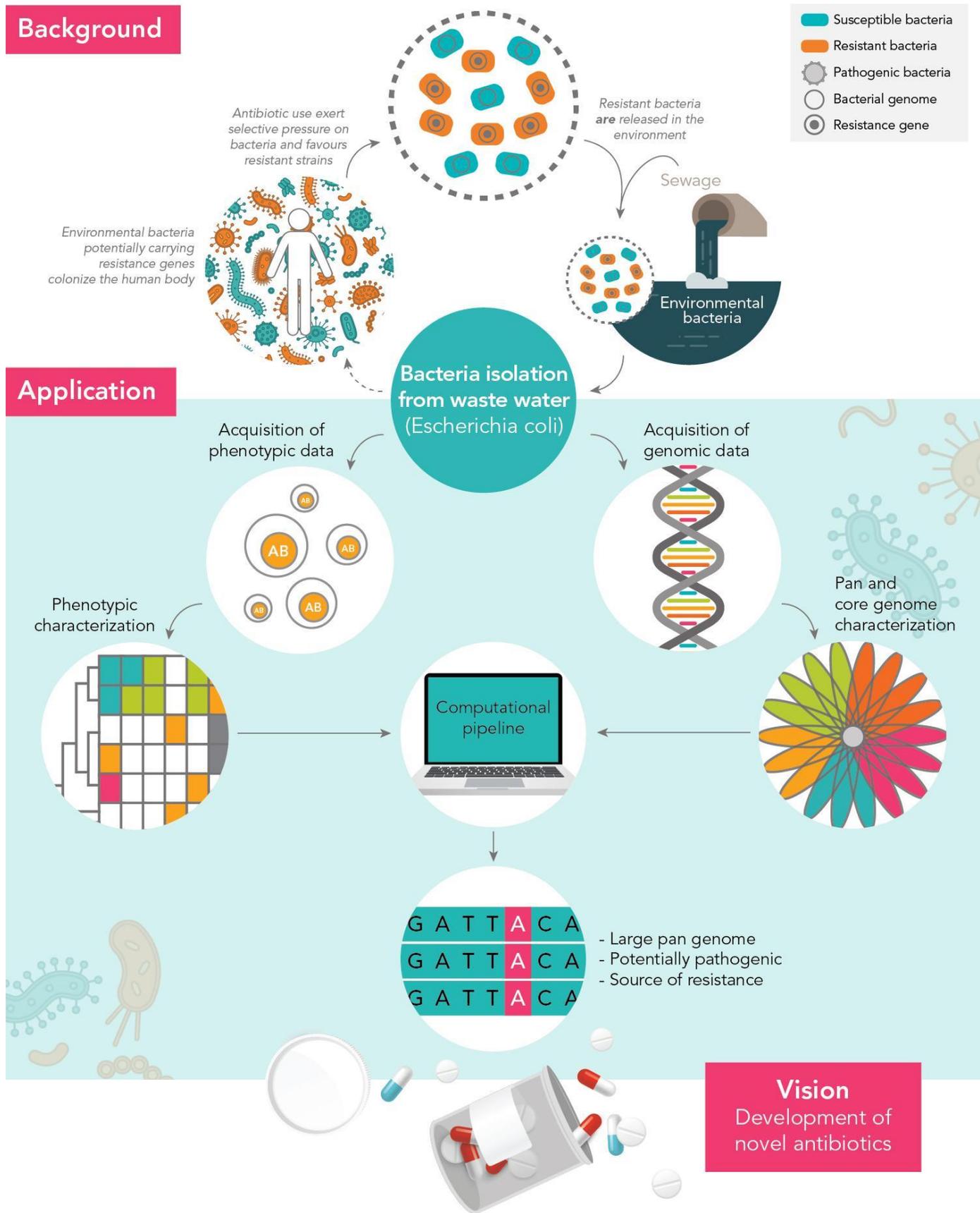
20 Wastewater treatment plants play an important role in the release of antibiotic resistance into the
21 environment. It has been shown that wastewater contains multi-drug resistant *Escherichia coli*,
22 but information on strain diversity is surprisingly scarce. Here we present an exceptionally large
23 dataset on multidrug resistant *Escherichia coli*, originating from wastewater, over a thousand
24 isolates were phenotypically characterized for twenty antibiotics and for 103 isolates whole
25 genomes were sequenced. To our knowledge this is the first study documenting such a
26 comprehensive diversity of multi-drug resistant *Escherichia coli* in wastewater. The genomic
27 diversity of the isolates was unexpectedly high and contained a high number of resistance and
28 virulence genes. To illustrate the genomic diversity of the isolates we calculated the pan genome
29 of the wastewater *Escherichia coli* and found it to contain over sixteen thousand genes. To
30 analyse this diverse dataset, we devised a computational approach correlating genotypic variation
31 and resistance phenotype, this way we were able to identify not only known, but also candidate
32 resistance genes. Finally, we could verify that the effluent of a wastewater treatment plant will
33 contain multi-drug resistant *Escherichia coli* belonging to clinically important clonal groups.

34

35

36 Introduction

37 In 1945, Alexander Fleming, the discoverer of Penicillin, warned of antibiotic resistance. Today,
38 the WHO echoes this warning, calling antibiotic resistance a global threat to human health.
39 Humans are at the center of the modern rise of resistance. The human gut ¹, clinical samples ^{2,3},
40 soil ^{4,5}, and wastewater ⁶ all harbor resistant bacteria and resistance genes. At the heart of
41 modern resistance development is a human-centred network of clinics, industry, private homes,
42 farming, and wastewater. Recent studies suggest that wastewater contains a significant amount
43 of antibiotic resistant *Escherichia coli*, specifically extended-spectrum beta-lactamase-producing
44 *Escherichia coli* ⁷. Particularly, multidrug-resistant (MDR) clones (normally defined as those
45 resistant to three or more drug classes) are of great concern. Past studies have documented the
46 presence of MDR *Escherichia coli* isolates in wastewater on the basis of phenotypic resistance
47 testing ⁸, but a comprehensive analysis of the clonal composition of MDR *Escherichia coli* in
48 wastewater employing whole genome analysis is largely lacking. Therefore the current
49 information on the genomic diversity of antibiotic resistant *Escherichia coli* in wastewater is very
50 limited. Recent metagenomic studies have documented that human-associated bacteria are
51 strongly reduced in the wastewater and its treatment process⁹. To investigate the genomic
52 diversity as well as virulence genes and resistance determinants for wastewater *Escherichia coli*,
53 we proceeded as sketched in Fig. 1: We collected 1178 *Escherichia coli* isolates from a waste
54 treatment plant's inflow and outflow in the city of Dresden, Germany. We selected 20 antibiotics,
55 which are the most prescribed ones in the area from which the wastewater inflow originates (data
56 provided by the public health insurer AOK). We analyzed the isolates' resistance to these 20
57 antibiotics and selected 103 isolates for whole genome sequencing. Our analysis reveals a
58 surprisingly high genomic diversity of MDR *Escherichia coli* in the wastewater with very flexible
59 genomes harboring a high variation of virulence genes and resistance determinants. Using this
60 diversity we developed a computational approach to identify not only known, but also novel
61 candidate resistance genes.



62

63 **Figure 1:** Wastewater plays an important role in antibiotic resistance development. Wastewater
 64 *Escherichia coli* isolates are tested for antibiotic resistance and sequenced. Many isolates are multi-drug
 65 resistant and potentially pathogenic. Their large pan-genome is a source of potentially novel resistance
 66 genes.
 67

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71 Results

72

73 **The wastewater pan-genome.** The concept of evolution implies that genomes of organisms of
74 the same species differ. Differences range from small single nucleotide polymorphisms to large
75 genome rearrangements. As a consequence, *Escherichia coli* possesses a core of genes present
76 in all genomes, as well as genes only present in some genomes, or even just in one. The union of
77 all of these genes is called the pan-genome. It is believed, that the *Escherichia coli* core genome
78 comprises around 1400-1500 genes, while the pan-genome may be of infinite size ¹⁰.

79

80 To assess the degree of genomic flexibility of the wastewater isolates, we relate the wastewater
81 pan-genome and the wastewater core genome. At 16582 genes, the wastewater pan-genome is
82 nearly six times larger than the wastewater core genome of 2783 genes, a reservoir of some
83 14000 genes. Despite this large reservoir, the size difference of nearly 1000 genes between the
84 wastewater *Escherichia coli* core genome and the whole species core genome suggests that the
85 full diversity of *Escherichia coli* is still not covered in our wastewater sample.

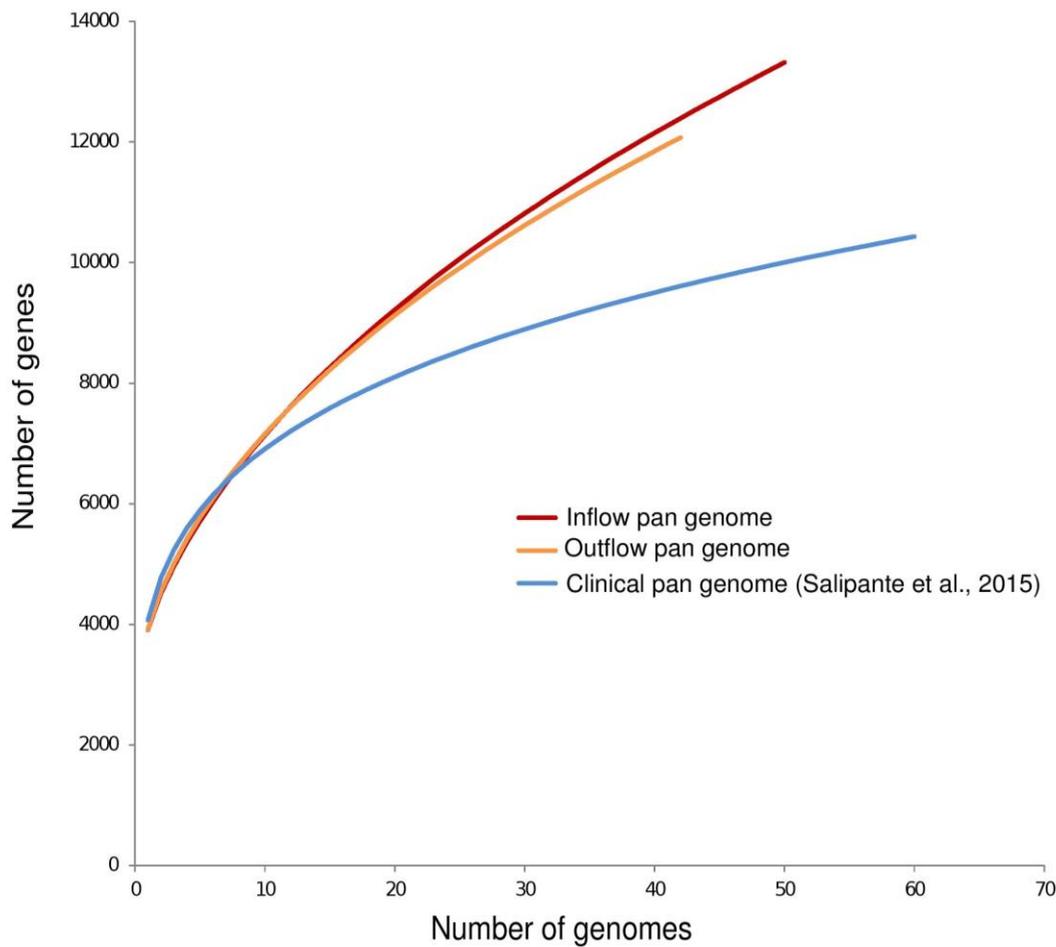
86

87 The balance between maintaining the core genome and spending energy on acquisition of new
88 genetic material can be captured by the ratio of the core genome size and the average genome
89 size, which is 4700 genes in our sample. This means that only $1400/4700 = 30\%$ of genes in our
90 wastewater *Escherichia coli* are core genes. Most of the non-core genes are very unique and
91 appear only in one or two isolates each. More precisely, 50% of the pan-genome genes appear in
92 only one or two isolates each. This implies that the investigated wastewater *Escherichia coli* are
93 highly individual.

94

95 This high diversity is also illustrated in Fig. 2, which compares the wastewater *Escherichia coli* to
96 a clinical dataset of *Escherichia coli*. The figure clearly shows that the *Escherichia coli* of clinical
97 origin are more homogeneous and hence their pan-genome is smaller. In contrast, the diversity of
98 the wastewater *Escherichia coli* match other datasets comprising mixtures of commensal and
99 pathogenic *Escherichia coli*, as well as *Shigella* genomes (see Table 1). This underlines the great

100 diversity of *Escherichia coli* genomes in the wastewater. Interestingly, the variation of the
 101 wastewater genomes after the treatment plant was not reduced.



102

103 **Figure 2:** The pan-genome at the outflow has the same size as at the inflow, suggesting that highly flexible
 104 *Escherichia coli* emerge from a treatment plant. The wastewater pan-genome is larger than a clinical pan-
 105 genome one and of similar size to (see Table 1) highly diverse samples comprising pathogenic,
 106 commensal, and lab *Escherichia coli*, as well as *Shigella*.

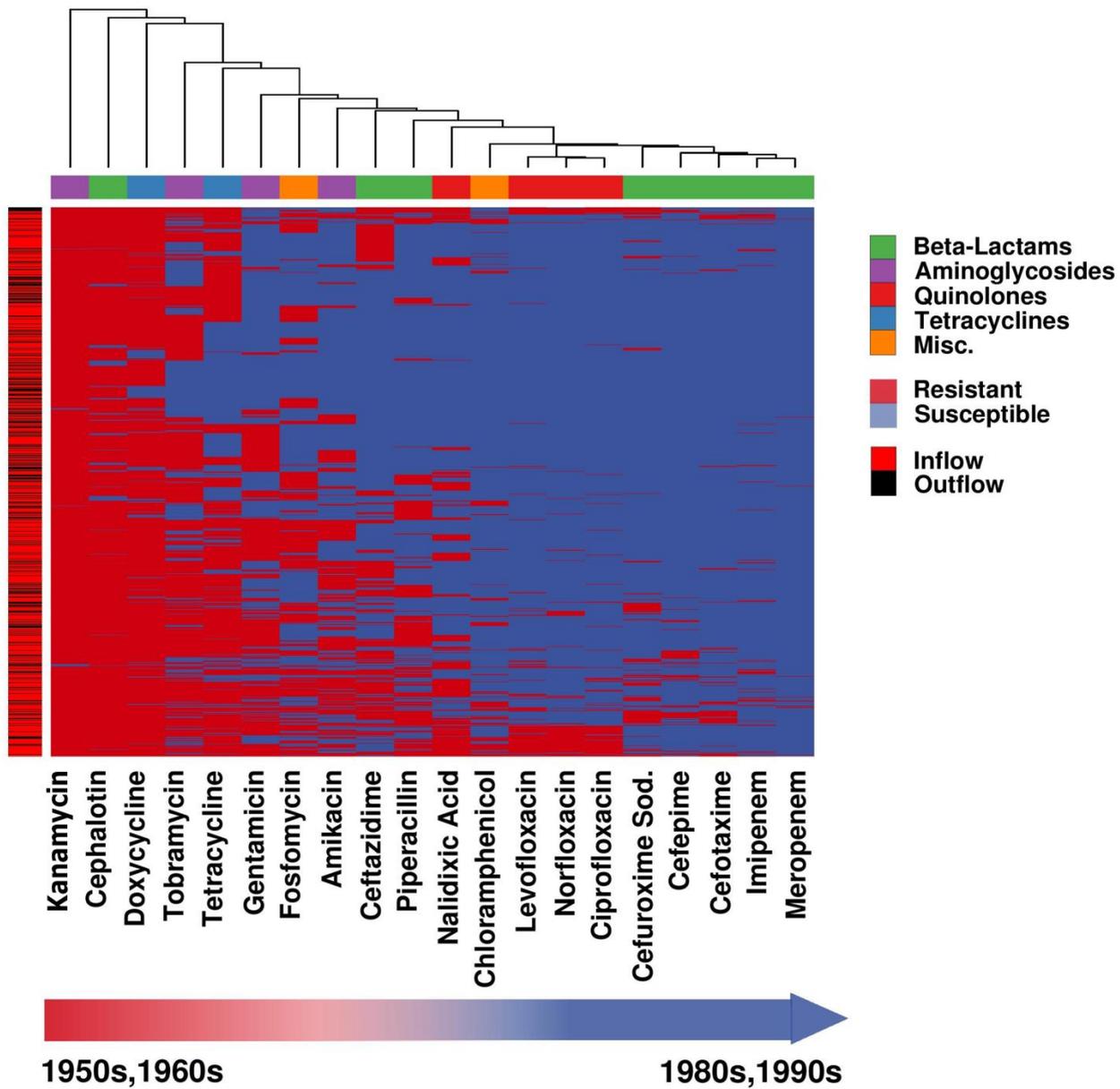
Ref	Pan	Core	Strains	Path.	Comm.	Lab	Shig.
This study	16582	2783	92	28	62	0	0
Kaas et al., 2012 ¹¹	16373	1702	186	171			15
Vieira et al., 2011 ¹²	14986	1957	29	21	8	0	6
Gordienko et al., 2013 ¹³	12000	2000	32	16	6	3	7
Lukjancenko et al., 2010 ¹⁴	13000	1472	53	35	11	7	0
Rasko et al., 2008 ¹⁵	13000	2344	17	14	1	2	0
Touchon et al., 2009 ¹⁶	11432	1976	20	10	3	0	7

107

108 **Table 1 :** Highly diverse samples comprising pathogenic, commensal, and lab *Escherichia coli*, as well as
 109 *Shigella*.

110

111 **Resistance genes in the wastewater pan-genome.** Wastewater *Escherichia coli* are known to
112 host antibiotic resistance genes. While there are many known resistance genes (see e.g. CARD
113 ¹⁷), they fall mostly into a few groups, such as beta-lactamases. Here, we seek to confirm and
114 expand the space for candidate resistance genes. Firstly, we measured antibiotic resistance in all
115 1178 isolates to the 20 antibiotics. As a positive control we included also two antibiotics to which
116 at least clinical *E. coli* are reported to be inherently resistant (kanamycin and cephalotin). Fig. 3
117 reveals a high degree of resistance and big differences between different antibiotics, including a
118 general trend indicating greater resistance to antibiotics that have been available for longer.
119 Specifically, antibiotics from the 50s and 60s have a significantly different number of resistances
120 than the more recent antibiotics (Welch test, p-value < 0.0025, also significant without including
121 kanamycin and cephalotin). However, there is no significant difference in the number of
122 resistances between isolates from the inflow and the outflow (p-value 0.0001), suggesting that
123 wastewater treatment is not affecting resistance.



125 **Figure 3:** 1178 Wastewater *Escherichia coli* isolates are tested for antibiotic resistance to 20 antibiotics.
126 The antibiotics kanamycin and cephalotin were included as a positive control as *E. coli* is reported to be
127 inherently resistant to those antibiotics. Nearly all isolates are multi-drug resistant. Generally, isolates are
128 more susceptible to betalactams and fluoroquinolones than to tetracyclins and aminoglycosides.
129 Surprisingly, the outflow isolates show similar resistance as inflow (p-value 0.0001), suggesting that
130 wastewater treatment is not reducing resistance development.

131

132 Next, we correlated the presence of each gene in the sequenced isolates with their phenotypic
133 antibiotic resistance profiles. We excluded meropenem and imipenem, since nearly all isolates
134 are susceptible. For each of the 18 remaining antibiotics, we list the top ten candidate resistance
135 genes in Table 2. These 180 genes comprise 88 unique confirmed genes, including many well-
136 known resistance genes, such as efflux pumps (MT1297 and *emrE*), membrane and transport
137 proteins (*aida-I*, *yiaV*, *yijK*, *pitA*, *icsA*, and *pagN*), tetracycline (*tetA*, *tetR*, and *tetC*),
138 chloramphenicol (*cat*), and piperacillin (the beta lactamase *bla2*) resistance genes. However, the
139 180 genes also comprise a large number of open reading frames encoding hypothetical proteins
140 (41) and genes not yet linked to antibiotic resistance (116). These genes have to be studied
141 further to determine whether they are novel resistance genes or just correlating (e.g. because
142 they are on the same genetic element with a resistance gene). Nearly all of the identified genes
143 are found both in inflow and outflow genomes suggesting that the waste water treatment does not
144 impact on the presence or absence of known and candidate resistance genes.
145

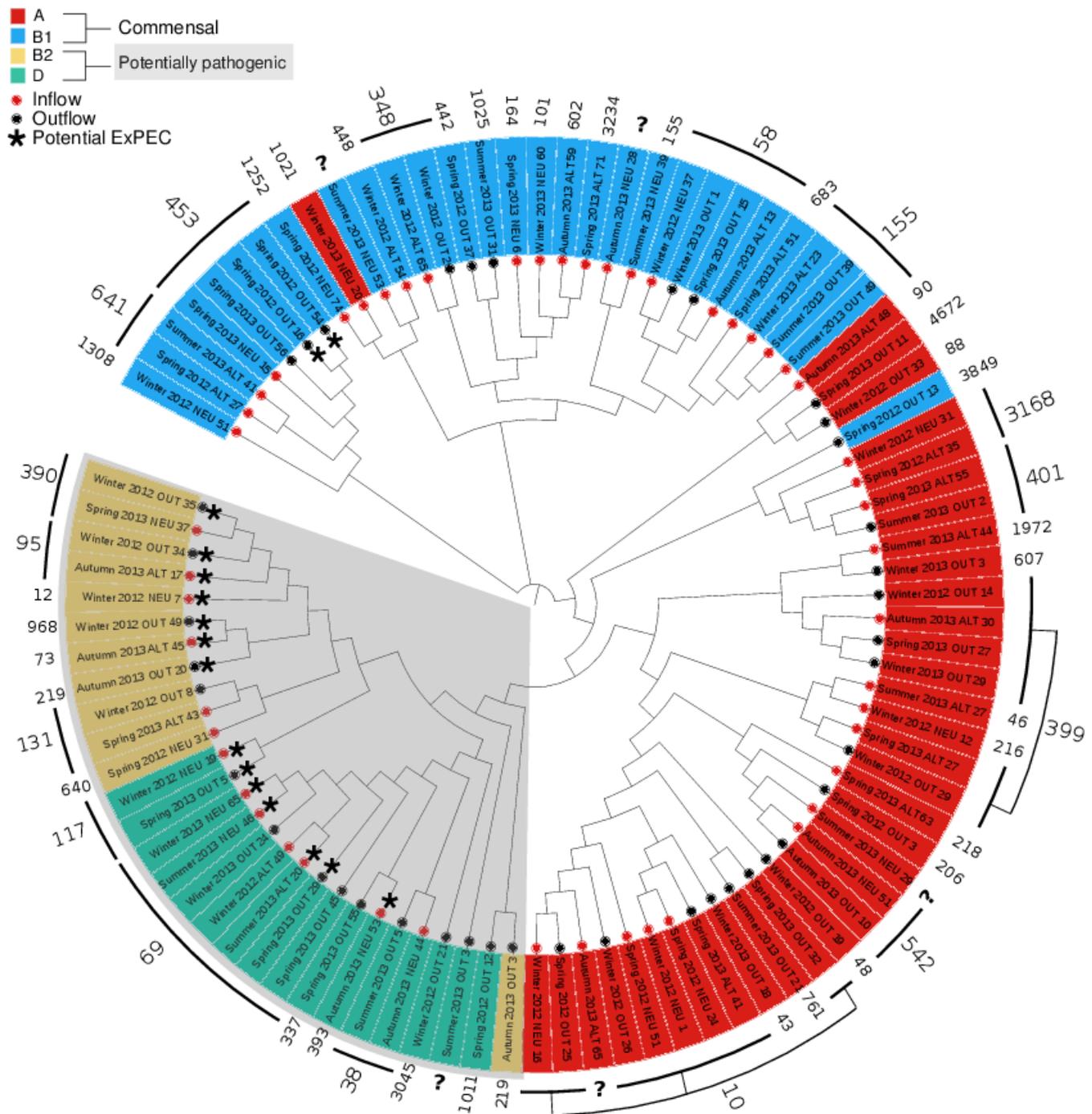
	Amikacin	Gentamicin	Kanamycin	Tobramycin	Doxycycline	Tetracycline	Cefepime	Cefotaxime	Ceftazidime	Cefuroxime Sod.	Cephalotin	Piperacillin	Ciprofloxacin	Levofloxacin	Nalidixic Acid	Norfloxacin	Chloramphenicol	Fosfomycin
1	Hypothetical Protein	4-hydroxyacetophenone monooxygenase hapE	Transposase IS200 like protein	Autotransporter precursor aida-I	Tetracycline resistance protein, class B tetA	Oxygen-dependent choline dehydrogenase betA	Ash protein family protein	Hypothetical Protein	cell division protein	Type-1 restriction enzyme R protein hsdR	GTPase era	Beta-lactamase TEM precursor bla	Virulence regulon transcriptional activator virB	Transposon Tn10 protein tetD	Mercuric resistance operon regulatory protein merR	Transposon Tn10 protein tetD	Chloramphenicol acetyltransferase cat	Invasin
2	Caudovirales tail fiber assembly protein	Phosphoadenosine phosphosulfate reductases	putative multidrug-efflux transporter/M T1297	putative protease yhbU precursor	Tetracycline repressor protein class B tetR	NAD/NADP-dependent betaine aldehyde dehydrogenase betB	Fibronectin type III protein	Hypothetical Protein	Plasmid stability protein	Type I restriction enzyme EcoKI M protein hsdM	Prophage CP4-57 regulatory protein alpA	Transposon Tn3 resolvase tnpR	Sporulation initiation inhibitor protein Soj	Tetracycline resistance protein, class B tetA_1	Mercuric resistance protein merC	Tetracycline resistance protein, class B tetA_1	Streptomycin 3'-adenyltransferase ant1	Putative DNA-invertase Rac pinR
3	Swarming motility protein ybiA	putative multidrug-efflux transporter/M T1297	Phosphotransferase enzyme family protein	Chaperone protein dnaK	Transposon Tn10 TetC protein tetC	HTH-type transcriptional regulator betI	Transcriptional activator perC	Transcriptional activator perC	HTH-type transcriptional regulator cmtR	mrr restriction system protein	Hypothetical Protein	Tyrosine recombinase xerD	putative HTH-type transcriptional regulator	Tetracycline repressor protein class B from transposon Tn10 tetR	mercuric transport protein merT	Tetracycline repressor protein class B from transposon Tn10 tetR	Chromosome-partitioning ATPase soj	Transcriptional repressor dicA
4	Phospholipase ytpA	Phosphotransferase enzyme family protein	Hypothetical Protein	putative ABC transporter ATP-binding protein yjJK	HTH-type transcriptional regulator cmtR	Tetracycline resistance protein, class B tetA	Hypothetical Protein	Hypothetical Protein	Phage-related minor tail protein	Outer membrane protein lcsA precursor	Hypothetical Protein	Acetyltransferase (GNAT) family protein	DNA-binding transcriptional regulator dicC	Transposon Tn10 protein tetC	Mercuric transport protein periplasmic component precursor merP	Transposon Tn10 protein tetC	parG	Hypothetical Protein
5	Carbonic anhydrase 1 cynT	Hypothetical Protein	Streptomycin 3'-adenyltransferase ant1	cell envelope integrity inner membrane protein toIA	Tetracycline resistance protein, class C tetA	Tetracycline repressor protein class B tetR	Hypothetical Protein	Hypothetical Protein	Phage tail protein E	Hypothetical Protein	Hypothetical Protein	Virulence regulon transcriptional activator virB	Hypothetical protein	putative HTH-type transcriptional regulator	Anti-adaptor protein iraM	CAAX amino terminal protease self-immunity	Hypothetical Protein	Hypothetical Protein
6	Hypothetical Protein	Hypothetical Protein	Hypothetical Protein	Inner membrane protein yiaV precursor	putative inner membrane transporter yedA	Transposon Tn10 TetC protein tetC	Chromosome partition protein smc	Hypothetical Protein	Hypothetical Protein	Fibronectin type III protein	Transposon Tn10 tetD protein	Transposase	Lysine--tRNA ligase lysS	DNA-binding transcriptional regulator dicC	Hypothetical protein	mRNA interferase pemK	Hypothetical Protein	Hypothetical Protein
7	Xanthine dehydrogenase molybdenum-binding subunit xdhA	Hypothetical Protein	Zinc-responsive transcriptional regulator	Entericidin B membrane lipoprotein	Tetracycline repressor protein class A from transposon 1721 tetR	High-affinity choline transport protein betT	Hypothetical Protein	Invasin	Hypothetical Protein	Hypothetical Protein	putative multidrug-efflux transporter/M T1297	Tetracycline resistance protein, class B tetA	Transposon Tn10 protein tetD	Hypothetical protein	Mercuric reductase merA_1	Antitoxin pemI	Acetyltransferase (GNAT) family protein	Molybdenum cofactor biosynthesis protein A
8	Nicotinate dehydrogenase FAD-subunit ndhF	Hypothetical Protein	merE protein	Low-affinity inorganic phosphate transporter 1 pitA	Hypothetical Protein	Formate dehydrogenase H fdhF	Aldehyde-alcohol dehydrogenase adhE	Hypothetical Protein	Tyrosine recombinase xerC	Hypothetical Protein	Phosphotransferase enzyme family protein	Tetracycline repressor protein class B tetR	Tetracycline resistance protein, class B tetA_1	CAAX amino terminal protease self-immunity	Hypothetical protein	putative HTH-type transcriptional regulator	putative multidrug-efflux transporter/M T1297	ATP-dependent zinc metalloprotease ftsH4
9	Nicotinate dehydrogenase small FeS subunit ndhS	Phage polarity suppression protein psu	Phosphoadenosine phosphosulfate reductases	Methyl-accepting chemotaxis protein II tar	Transposon Tn10 tetD protein	S-fimbrial protein subunit sfaH	Aldehyde-alcohol dehydrogenase adhE	Hypothetical Protein	Hypothetical Protein	Hypothetical Protein	Outer membrane protein pagN precursor	Transposon Tn10 tetC protein	Tetracycline repressor protein class B transposon Tn10 tetR	mRNA interferase pemK	zinc-responsive transcriptional regulator	DNA-binding transcriptional regulator dicC	Phosphotransferase enzyme family protein	Molybdenum cofactor biosynthesis protein A
10	putative fimbrial-like protein EIfG precursor elfG	DNA primase traC	Caudovirales tail fiber assembly protein	Leucine-specific-binding protein precursor livK	putative multidrug-efflux transporter/M T1297	Beta-lactamase TEM precursor bla	Cob(I)yrinic acid a,c-diamide adenosyltransferase yvqK	Type-1 restriction enzyme R protein hsdR	Hypothetical Protein	Hypothetical Protein	Tetracycline resistance protein, class B tetA	Multidrug transporter emrE	Transposon Tn10 protein TetC	Antitoxin PemI	MerE protein	Caudovirales tail fiber assembly protein	Leucine-specific-binding protein precursor livK	Hypothetical Protein

Table 2: Known and candidate resistance genes from correlation of genomes to resistance phenotype. Top 10 genes for 18 antibiotics.

147 **Virulence genes** Generally, *Escherichia coli* strains exhibit great variation. Many exist as
148 harmless commensals in the human gut, but some are classified as intra- (InPEC) or extra-
149 intestinal pathogenic *Escherichia coli* (ExPEC¹⁸). Based on their virulence genes profile the
150 pathogenic potential of *Escherichia coli* isolates can be determined⁷. The sequenced isolates
151 contain some 700 of the 2000 *Escherichia coli* virulence factors in the virulence factor database
152¹⁹, averaging to 153 and to 155 virulence factors per isolate for inflow and outflow, respectively.
153 Hence, there is no significant difference (Welch test, CI 95%) between inflow and outflow. In
154 particular, we found combinations of virulence factors for 16 isolates (see methods), which are
155 indicative of ExPEC. Eight of these 16 isolates were obtained from the outflow of the treatment
156 plant (see Fig. 4).

157 Besides the presence of known virulence factors, the pathogenic potential can be assessed using
158 genotyping with multi-locus sequence types²⁰ and phylogroups²¹. Broadly, *Escherichia coli* has,
159 among other s, four phylogroups, A, B1, B2 and D. Commensal *Escherichia coli* fall mostly into
160 groups A and B1 and ExPEC into B2 and D²¹. Fig. 4 shows a phylogenetic tree of the sequenced
161 wastewater *Escherichia coli* isolates along with the commensal phylogroups A (red) and B1 (blue)
162 and the pathogenicity-associated groups B2 (yellow) and D (green), as well as the finer-grained
163 multi-locus sequence types. The tree is based on genomic variations compared to the reference
164 genome of *Escherichia coli* K12 MG1655. Fig. 4 reveals that nearly one third of isolates belong to
165 group B2 and D, in which ExPEC are usually found. In particular, B2 and D include 14 of the 16
166 potential ExPEC isolates. Remarkably, half of the B2 and D isolates are from the wastewater
167 treatment plant's outflow.

168



169
 170 **Figure 4:** Phylogeny and pathogenic potential of wastewater *Escherichia coli*. Phylogenetic tree, multi-
 171 locus sequence types, and phylogroups of 92 sequenced wastewater *Escherichia coli* isolates reveal 16
 172 potential ExPEC isolates (marked with a black star) in phylogroups B2 (yellow) and D (green), which are
 173 associated with pathogenicity. Half of the potentially pathogenic isolates stem from the outflow of the
 174 treatment plant.

175
 176

177 **Discussion**

178 **Pan and core genome.**

179 It is well known that wastewater treatment reduces the bacterial abundance, in addition a recent
180 metagenomic study has shown that the bacterial community in wastewater is very different to the
181 human gut community and that the number of detected genera is reduced in the wastewater⁹.
182 Consequently, our expectation was that the genomic diversity of *Escherichia coli* should be
183 reduced. We were very surprised to find an unexpectedly high genomic diversity, which is
184 illustrated in the large pangenome. A possible explanation for this high genomic diversity is that
185 the *Escherichia coli* cells within the wastewater originate not only from human faeces, but also
186 from a multitude of different animal faeces collected via the surface runoff into the sewers. This
187 would also explain why the pangenome of the wastewater *Escherichia coli* is considerably larger
188 than the clinical pangenome reported by Land et al.²². Generally, many authors have pointed out
189 that *Escherichia coli* has a large and flexible pan genome. Lapierre *et al.* argue that *Escherichia*
190 *coli* appears to have unlimited ability to absorb genetic material and hence its pan genome is
191 open¹⁰. In a recent study comprising over 2000 genomes Land *et al.* put this into numbers and
192 arrive at a pan genome of 60000-89000 gene families for over 2000 sequenced *Escherichia coli*
193 genomes²². The study by Land *et al.* (24) is based on clinical isolates, in contrast our study is the
194 first, which has calculated the pangenome of *Escherichia coli* for wastewater. Interestingly, our
195 results seem to be in concordance and suggest that within our study we still have not reached the
196 saturation of the detected diversity (Fig. 2), indicating that the full genomic diversity of *Escherichia*
197 *coli* in the wastewater is probably even larger than what we report here. Worryingly, this is also
198 reflected in a high diversity of resistance and virulence genes. This documents that the
199 wastewater contains a significant amount of multi-drug resistant (MDR) *Escherichia coli*, which
200 also carry a suit of virulence genes suggesting that some of those MDR have a pathogenic
201 potential. Furthermore, we did not find a significant difference in genomic diversity between inflow
202 and outflow of the wastewater treatment plant, suggesting that selection against genome diversity
203 and resistance determinants does not seem to occur.

204

205 **Pathogenic potential and resistance.** Resistant bacteria may or may not be pathogenic. While
206 ultimate proof for pathogenicity can only be obtained from in vivo studies, we wanted to
207 understand the pathogenic potential of the isolates by analysing the genome for suitable markers.
208 Here we chose to consider three independent approaches: classification by phylogenetic groups,
209 by multi-locus sequence tags, and by identification of specific virulence factors (see methods).
210 While the three approaches showed consistent results, they are by no means proof for
211 pathogenicity, since there can be exceptions to these classification rules. As an example,
212 consider the strain ED1a (O81), which was isolated from a healthy man, but belongs to the
213 phylogenetic group B2¹⁶. Similarly, pathogenicity may not only arise from the acquisition of
214 genes, but also from the loss²³.

215 Regarding resistance there are similar confounding factors. *Escherichia coli* is inherently resistant
216 to kanamycin and cephalotin, which is also clearly shown in Fig. 3. This supports the notion that,
217 generally antibiotic resistance is ancient²⁴ and naturally occurring in the environment.

218 Nonetheless, there are pronounced differences between pristine and human environments²⁵.
219 This is also supported by Fig. 3, which shows that antibiotics introduced in the 60s have more
220 resistances than those introduced later (p-value < 0.0025), which suggests, that the naturally
221 occurring resistances do not play a major role in the emergence of observed resistances.

222

223 **From clinic to river.** We have shown that there are *Escherichia coli* at the wastewater outflow,
224 which are multi-drug resistant and have pathogenic potential. But are they abundant enough to
225 have an impact in the aquatic system they are released into? They do. The percentage of
226 possibly pathogenic *Escherichia coli* in the outflow is considerable and may correspond to a large
227 absolute amount. If an average of 100 *Escherichia coli* colony forming units (CFU) are released
228 per ml, then 10¹³ CFUs per day are released (assuming a release of 10⁵ m³ per day). This is in
229 accordance with Manaia *et al.*, who showed that 10¹⁰-10¹⁴ CFU of ciprofloxacin-resistant bacteria
230 are released by a mid-sized wastewater treatment plant²⁶. Supporting these results, a study in a
231 Japanese river shows the presence of pathogenic *Escherichia coli*²⁷. In this study they
232 sequenced over 500 samples from the Yamato river and most of their prevalent multi-drug
233 resistant and clinical strains are also present in our samples. In a related study, Czekalski *et al.*

234 found that particle-associated wastewater bacteria are the responsible source for antibiotic
235 resistance genes in the sediments of lake Geneva in Switzerland²⁸. Assuming that the river Elbe
236 is comparable to these aquatic systems, it suggests, that the urban environment (including clinics)
237 and river are connected with wastewater treatment plants in between.

238
239 **Composition of phylogroups.** It is interesting to compare the breakdown into phylogenetic
240 groups of wastewater *Escherichia coli* to compare samples from human and animal
241 environments. It is, e.g., known that the phylogenetic group B2 is more abundant among
242 commensal *Escherichia coli* from human faeces (43%) than from farm animals (11%)²⁹.
243 Therefore, the composition of wastewater *Escherichia coli* as shown in Fig. 4 resembles
244 commensal *Escherichia coli* from farm animals more closely. Similarly, Tenailon *et al.* find that
245 groups A and B1 make up one third in human faeces²⁹, whereas we find two thirds. This
246 suggests that animal feces play an important role for resistance also of urban wastewater
247 treatment plants and this is probably part of the explanation for the high observed genomic
248 diversity.

249
250 **Random sampling and novel resistance mechanisms.** The initial 1178 isolates were sampled
251 randomly over different times of the year, from two different inflows and the outflow of the
252 wastewater treatment plant. In contrast, the 103 sequenced isolates were chosen in such way
253 that all of the phenotypes encountered were represented (see methods). Within a phenotype
254 group isolates were chosen randomly. This random, but representative choice and the
255 subsequent link from genotype to phenotype is an example of high-throughput hypothesis-free
256 analysis. And although, there was no pre-defined resistance mechanism, which we aimed to hit,
257 many of the well-known resistance genes were ranked high. This supports the hope that high-
258 throughput, hypothesis-free methods such as deep sequencing will help to uncover novel
259 resistance mechanisms and in particular that some of the candidate resistance genes will prove
260 to have a causal link to resistance. The results show that the here outlined computational
261 approach to correlate genomic and phenotypic information for wastewater *Escherichia coli*
262 significantly assists to identify a larger part of the existing resistome of *Escherichia coli*.

263

264 **Conclusion**

265 Overall, we have shown for the first time that *Escherichia coli* isolates from wastewater have a
266 surprisingly large pan-genome, which harbors virulence genes, known and novel candidate
267 resistance genes. We developed a computational approach based on genomic and phenotypic
268 correlation for *Escherichia coli* and show that applying this to wastewater will discover novel parts
269 of the resistome in *Escherichia coli*. Finally, together with the estimates on absolute *Escherichia*
270 *coli* abundance, we could demonstrate that there is a considerable pathogenic potential in the
271 outflow of a wastewater treatment plant. Using *Escherichia coli* as an example, this study
272 demonstrates the importance of investigating wastewater with modern bioinformatics and strain
273 specific genomic analysis in order to estimate the extent of genomic variation and resistance
274 determinants for bacteria with clinical relevance present in the environment.

275

276 **Methods**

277 **Collection.** 1178 samples were collected from the municipal wastewater treatment plant

278 Dresden, Germany. Samples were collected on 11/4/2012 (Spring 2012), 30/7/2012 (Summer

279 2012), 21/1/2013 (Winter 2012), 27/3/2013 (Spring 2013), 6/8/2013 (Summer 2013), 14/10/2013

280 (Autumn 2013), and 17/12/2013 (Winter 2013). Samples were collected either at the outflow

281 (OUT) or at one of two inflow locations (Altstadt ALT and Neutstadt NEU), representing the area

282 south and north of the river Elbe).

283 **Isolation.** *Escherichia coli* and total coliforms bacteria were enumerated via serial fold dilution

284 plating of the original wastewater (triplicate samples). Wastewaters were diluted in double distilled

285 water, until the enumeration of bacterial colonies was possible. *Escherichia coli* and coliform

286 counts were always performed in triplicates. The *Escherichia coli* colonies were selected and

287 picked after overnight growth at 37°C on a selective chromogenic media (OXOID Brilliance

288 *Escherichia coli*/Coliform Selective Agar, Basingstoke, England). To minimize the risk of colony

289 contamination, picked colonies were spiked a second time on the same selective media and pure

290 single colonies were grown overnight on LB media at 37°C and stored on glycerol stock at -80° C.

291 **Resistance phenotyping.** Antibiotic resistance phenotypes were determined by the agar

292 diffusion method using 20 antibiotic discs (OXOID, England) according to EUCAST (or CLSI

293 when EUCAST was not available)^{7,8}. The selected drugs belong to the most commonly

294 prescribed antibiotics for diseases caused by bacteria according to the German health insurance

295 AOK Plus: piperacillin (100µg), nalidixic acid (30µg), chloramphenicol (30µg), imipenem (10µg),

296 cefotaxime (30µg), cephalotin (30µg), kanamycin (30µg), tetracycline (30µg), gentamicin (10µg),

297 amikacin (30µg), ciprofloxacin (5µg), fosfomycin (50µg), doxycycline (30µg), cefepime (30µg),

298 ceftazidime (10µg), levofloxacin (5µg), meropenem (10µg), norfloxacin (10µg), cefuroxime sod.

299 (30µg), tobramycin (10µg)³⁰. After 24 hours of incubation at 37°C, the resistance diameters were

300 measured. Clustering of antibiotics and of isolates was performed using the R function heatmap.2

301 from the R library³¹ Heatplus and hierarchical clustering of matrices based on Euclidean

302 distances between isolates and between antibiotics.

303

304 **Sequencing.** To select isolates representative of phenotype, we clustered isolates according to
305 the diameters of inhibition zone against the 20 antibiotics using k-means clustering based on
306 Euclidean distances between isolates (vectors of 20 inhibition zone diameters). The analysis and
307 graphs were produced using R version 3.2.4³¹. As clusters may be highly skewed in number of
308 cluster members, we tested all cluster numbers from 1 to 100 and plotted within class sum of
309 squares against k . At $k = 47$, the sum of squares tails off and there is a steep local decrease, so
310 that $k = 47$ was fixed as k-means parameter. We obtained 103 isolates, which were subsequently
311 used for sequencing and further analysis. To further validate the choice, we plotted the average
312 number of resistances against number of isolates and antibiotics vs. number of isolates for the
313 total 1178 and the selected 103 isolates (see Supp Fig. 1) and concluded that both distributions
314 are roughly similar. 3000ng DNA were extracted from each of the 103 selected isolates using
315 MasterPure extraction kit (Epicentre) according to the manufacturer's instructions. Sequencing
316 was performed using Illumina Flex GL.

317

318 **Assembly.** Genomes were assembled with Abyss (version 1.5.2)³². In order to optimize k for the
319 best assembly, k-mer values had to be empirically selected from the range of 20-48 (see Supp.
320 Fig. 2) on a per sample basis to maximize contiguity³. To determine the k-mer length that
321 achieved highest contiguity, the 28 assemblies per draft genome/isolate were compared based on
322 $N50$ values. 11 assemblies with an $N50$ statistic of less than 5×10^4 bp were excluded³³.

323

324 **Genes.** Reference gene clusters were computed from 58 complete *Escherichia coli* genomes
325 (see Table 2) available in June 2015 from NCBI. Genes were identified in wastewater and
326 reference genomes using Prokka (version 1.11)³⁴. Genes were clustered at 80% using CD-HIT³⁵
327 (version 4.6.3, arguments -n 4 -c 0.8 -G 1 -aL 0.8 -aS 0.8 -B 1). Genes with over 90% sequence
328 identity, but only 30% coverage, as well as genes with 80% or greater identity and covered to
329 phage and virus sequences³⁶ were discarded. A gene cluster is defined to be present in an
330 isolate if there is a Prokka gene in the genome, which is longer than 100 amino acids and has
331 over 80% sequence identity and coverage against the gene cluster representative.

332
333 **Pan- and core-genome.** To generate the pan- and core-genome size graph we followed the
334 procedure in^{3,16}. We had 92 genomes available. We varied i from one to 92. At each subset size
335 i , we randomly selected i genomes and computed the sizes of the union (pan) and intersection
336 (core) of gene clusters. This random selection was carried out 2000 times in each step.

337
338 **Gene clusters to rank genes by correlation to phenotype.** Prokka genes were identified in all
339 isolate genomes and then clustered with CD-HIT at 60% sequence identity and 50% coverage
340 (arguments -n 4 -c 0.6 -G 1 -aL 0.8 -aS 0.5 -B 1). A 80% identity cutoff was also tried but
341 dismissed, because the 60% threshold yielded 25% less clusters while adequately clustering
342 homologous gene sequences with lower sequence similarity. This threshold value is also
343 supported by the widespread default use of the BLOSUM62 matrix, the basis of which is
344 sequences clustered by 62% sequence identity.

345
346 **Tree.** The phylogenetic tree of 92 isolates was built following the procedure of^{37,38} using FastTree
347 version 2.1³⁹. Sequence reads were aligned to *Escherichia coli* K12 MG 1665 and single
348 nucleotide variant calling was carried out using GATK⁴⁰. Quality control for variant calling was
349 performed; variants supported by more than ten reads or likelihood score greater than 200 were
350 always in the range of 84 – 99% of variants called per isolate with the exception of 2 isolates
351 where only 59% and 60% of the variants were above the threshold for quality and supporting
352 reads. FastTree 2.1³⁹ was then used to build the maximum likelihood tree based on the

353 sequences derived from variant calling.

354 **Phylogrouping.** For phylogrouping, the classification system established by Clermont *et al.*²¹
355 based on the genes *chuA* and *yjaA* and the DNA fragment TspE4.C2 was used. Blast was
356 performed to check each genome assembly for presence or absence of the aforementioned
357 elements with an identity cutoff $\geq 90\%$.

358

359 **MLST.** Concerning epidemiology and Multi-Locus Sequence Typing, we used the webserver at
360 <https://cge.cbs.dtu.dk/services/MLST/> that follows the MLST scheme in⁴¹ for predicting MLSTs
361 from whole genome sequence data⁴². 92 Draft genome assemblies were submitted and results
362 were obtained; 5 isolates were unidentified demonstrating novel sequence types.

363

364 **Virulence factors.** Virulence factors protein sequences were downloaded from VFDB: Virulence
365 Factors database^{19,43}. 2000 sequences, which are *Escherichia coli* related, were chosen.
366 Sequences were then clustered at 80% sequence identity using CD-HIT (version 4.6.3,
367 arguments -n 4 -c 0.8 -G 1 -aL 0.8 -aS 0.8 -B 1). A virulence factor was considered present in an
368 isolate's genome if there is a Prokka gene in the genome that has over 80% sequence identity
369 and coverage against the virulence factor cluster representative.

370

371 **ExPEC classification.** There are intra- and extra-intestinal pathogenic *Escherichia coli*, which
 372 can be classified from the presence of virulence factors⁴⁴⁻⁴⁷. InPEC are characterised by the
 373 virulence factors stx1, stx2, escV, and bfpB. They are ExPEC if they contain over 20 of 58
 374 virulence factors afa/draBC, bmaE, gafD, iha cds, mat, papEF, papGII, III, sfa/foc, etsB, etsC, sitD
 375 ep, sitD ch, cvaC MPIII, colV MPIX, eitA, eitC, iss, neuC, kpsMTII, ompA, ompT, traT, hlyF, GimB,
 376 malX, puvA, yqi, stx1, stx2, escV, bfp, feob, aatA, csgA, fimC, focG, nfaE, papAH, papC, sfaS,
 377 tsh, chuA, fyuA, ireA, iroN, irp2, iucD, iutA, sitA, astA, cnf1, sat, vat, hlyA, hlyC, ibeA, tia, and pic.
 378

379 Data availability statement

380 Genome assemblies of the analyzed isolates that support the findings of the study will be made
 381 available on the NCBI upon paper publication.

Bioproject	Biosample	Accession	strain
PRJNA380388	SAMN06641941	NBBP00000000	Escherichia coli Win2013_WWKa_OUT_3
PRJNA380388	SAMN06641940	NBBQ00000000	Escherichia coli Win2013_WWKa_OUT_29
PRJNA380388	SAMN06641933	NBBR00000000	Escherichia coli Win2013_WWKa_OUT_18
PRJNA380388	SAMN06641932	NBBS00000000	Escherichia coli Win2013_WWKa_OUT_24
PRJNA380388	SAMN06641931	NBBT00000000	Escherichia coli Win2013_WWKa_OUT_1
PRJNA380388	SAMN06641928	NBBU00000000	Escherichia coli Win2013_WWKa_NEU_65
PRJNA380388	SAMN06641927	NBBV00000000	Escherichia coli Win2013_WWKa_NEU_20
PRJNA380388	SAMN06641926	NBBW00000000	Escherichia coli Win2013_WWKa_NEU_60
PRJNA380388	SAMN06641901	NBBX00000000	Escherichia coli Win2013_WWKa_ALT_23
PRJNA380388	SAMN06641884	NBBY00000000	Escherichia coli Win2012_WWKa_OUT_49
PRJNA380388	SAMN06641883	NBBZ00000000	Escherichia coli Win2012_WWKa_OUT_8
PRJNA380388	SAMN06641882	NBCA00000000	Escherichia coli Win2012_WWKa_OUT_34
PRJNA380388	SAMN06641881	NBCB00000000	Escherichia coli Win2012_WWKa_OUT_35
PRJNA380388	SAMN06641880	NBCC00000000	Escherichia coli Win2012_WWKa_OUT_29
PRJNA380388	SAMN06641879	NBCD00000000	Escherichia coli Win2012_WWKa_OUT_26
PRJNA380388	SAMN06641878	NBCE00000000	Escherichia coli Win2012_WWKa_OUT_33
PRJNA380388	SAMN06641877	NBCF00000000	Escherichia coli Win2012_WWKa_OUT_21
PRJNA380388	SAMN06641876	NBCG00000000	Escherichia coli Win2012_WWKa_OUT_2
PRJNA380388	SAMN06641875	NBCH00000000	Escherichia coli Win2012_WWKa_NEU_7
PRJNA380388	SAMN06641874	NBCI00000000	Escherichia coli Win2012_WWKa_OUT_14
PRJNA380388	SAMN06641873	NBCJ00000000	Escherichia coli Win2012_WWKa_NEU_51
PRJNA380388	SAMN06641872	NBCK00000000	Escherichia coli Win2012_WWKa_NEU_31
PRJNA380388	SAMN06641871	NBCQ00000000	Escherichia coli Win2012_WWKa_NEU_37
PRJNA380388	SAMN06641870	NBCR00000000	Escherichia coli Win2012_WWKa_NEU_16
PRJNA380388	SAMN06641869	NBCS00000000	Escherichia coli Win2012_WWKa_NEU_19
PRJNA380388	SAMN06641868	NBCT00000000	Escherichia coli Win2012_WWKa_NEU_12
PRJNA380388	SAMN06641867	NBCU00000000	Escherichia coli Win2012_WWKa_ALT_65
PRJNA380388	SAMN06641866	NBCV00000000	Escherichia coli Win2012_WWKa_NEU_1
PRJNA380388	SAMN06641865	NBCW00000000	Escherichia coli Win2012_WWKa_ALT_49
PRJNA380388	SAMN06641864	NBCX00000000	Escherichia coli Win2012_WWKa_ALT_54
PRJNA380388	SAMN06641863	NBCY00000000	Escherichia coli Sum2013_WWKa_OUT_5
PRJNA380388	SAMN06641862	NBCZ00000000	Escherichia coli Sum2013_WWKa_OUT_39
PRJNA380388	SAMN06641861	NBDA00000000	Escherichia coli Sum2013_WWKa_OUT_49
PRJNA380388	SAMN06641860	NBDB00000000	Escherichia coli Sum2013_WWKa_OUT_3
PRJNA380388	SAMN06641859	NBDC00000000	Escherichia coli Sum2013_WWKa_OUT_31
PRJNA380388	SAMN06641858	NBDD00000000	Escherichia coli Sum2013_WWKa_OUT_2
PRJNA380388	SAMN06641857	NBDE00000000	Escherichia coli Sum2013_WWKa_OUT_21
PRJNA380388	SAMN06641856	NBDF00000000	Escherichia coli Sum2013_WWKa_NEU_53
PRJNA380388	SAMN06641855	NBDG00000000	Escherichia coli Sum2013_WWKa_NEU_46
PRJNA380388	SAMN06641854	NBDH00000000	Escherichia coli Sum2013_WWKa_NEU_39
PRJNA380388	SAMN06641853	NBDI00000000	Escherichia coli Sum2013_WWKa_ALT_44
PRJNA380388	SAMN06641852	NBDJ00000000	Escherichia coli Sum2013_WWKa_NEU_29
PRJNA380388	SAMN06641851	NBDK00000000	Escherichia coli Spr2013_WWKa_OUT_27
PRJNA380388	SAMN06641844	NBDL00000000	Escherichia coli Sum2013_WWKa_ALT_41
PRJNA380388	SAMN06641843	NBDM00000000	Escherichia coli Sum2013_WWKa_ALT_27
PRJNA380388	SAMN06641842	NBDN00000000	Escherichia coli Spr2013_WWKa_OUT_56
PRJNA380388	SAMN06641841	NBDO00000000	Escherichia coli Sum2013_WWKa_ALT_20

PRJNA380388	SAMN06641840	NBJM00000000	Escherichia coli Spr2013_WWKa_OUT_5
PRJNA380388	SAMN06641839	NBJN00000000	Escherichia coli Spr2013_WWKa_OUT_55
PRJNA380388	SAMN06641838	NBJO00000000	Escherichia coli Spr2013_WWKa_OUT_32
PRJNA380388	SAMN06641837	NBJP00000000	Escherichia coli Spr2013_WWKa_OUT_45
PRJNA380388	SAMN06641822	NBJQ00000000	Escherichia coli Spr2013_WWKa_OUT_15
PRJNA380388	SAMN06641821	NBJR00000000	Escherichia coli Spr2013_WWKa_OUT_29
PRJNA380388	SAMN06641820	NBJS00000000	Escherichia coli Spr2013_WWKa_NEU_6
PRJNA380388	SAMN06641819	NBJT00000000	Escherichia coli Spr2013_WWKa_OUT_11
PRJNA380388	SAMN06641818	NBJU00000000	Escherichia coli Spr2013_WWKa_NEU_15
PRJNA380388	SAMN06641817	NBJV00000000	Escherichia coli Spr2013_WWKa_NEU_37
PRJNA380388	SAMN06641816	NBJW00000000	Escherichia coli Spr2013_WWKa_ALT_63
PRJNA380388	SAMN06641815	NBJX00000000	Escherichia coli Spr2013_WWKa_ALT_71
PRJNA380388	SAMN06641814	NBJY00000000	Escherichia coli Spr2013_WWKa_ALT_51
PRJNA380388	SAMN06641813	NBJZ00000000	Escherichia coli Spr2013_WWKa_ALT_55
PRJNA380388	SAMN06641812	NBKA00000000	Escherichia coli Spr2013_WWKa_ALT_43
PRJNA380388	SAMN06641811	NBKB00000000	Escherichia coli Spr2013_WWKa_ALT_27
PRJNA380388	SAMN06641810	NBKC00000000	Escherichia coli Spr2013_WWKa_ALT_41
PRJNA380388	SAMN06641809	NBKD00000000	Escherichia coli Spr2012_WWKa_OUT_37
PRJNA380388	SAMN06641808	NBKE00000000	Escherichia coli Spr2012_WWKa_OUT_54
PRJNA380388	SAMN06641807	NBKF00000000	Escherichia coli Spr2012_WWKa_OUT_25
PRJNA380388	SAMN06641806	NBKG00000000	Escherichia coli Spr2012_WWKa_OUT_3
PRJNA380388	SAMN06641805	NBKH00000000	Escherichia coli Spr2012_WWKa_OUT_16
PRJNA380388	SAMN06641804	NBKI00000000	Escherichia coli Spr2012_WWKa_OUT_13
PRJNA380388	SAMN06641803	NBKJ00000000	Escherichia coli Spr2012_WWKa_NEU_74
PRJNA380388	SAMN06641802	NBKK00000000	Escherichia coli Spr2012_WWKa_OUT_12
PRJNA380388	SAMN06641801	NBKL00000000	Escherichia coli Spr2012_WWKa_NEU_31
PRJNA380388	SAMN06641800	NBKM00000000	Escherichia coli Spr2012_WWKa_NEU_51
PRJNA380388	SAMN06641799	NBKN00000000	Escherichia coli Spr2012_WWKa_NEU_24
PRJNA380388	SAMN06641798	NBKO00000000	Escherichia coli Spr2012_WWKa_ALT_27
PRJNA380388	SAMN06641797	NBKP00000000	Escherichia coli Spr2012_WWKa_ALT_35
PRJNA380388	SAMN06641796	NBKQ00000000	Escherichia coli Aut2013_WWKa_OUT_3
PRJNA380388	SAMN06641793	NBKR00000000	Escherichia coli Aut2013_WWKa_OUT_10
PRJNA380388	SAMN06641792	NBKS00000000	Escherichia coli Aut2013_WWKa_OUT_20
PRJNA380388	SAMN06641791	NBKT00000000	Escherichia coli Aut2013_WWKa_NEU_51
PRJNA380388	SAMN06641789	NBKU00000000	Escherichia coli Aut2013_WWKa_NEU_53
PRJNA380388	SAMN06641788	NBKV00000000	Escherichia coli Aut2013_WWKa_NEU_44
PRJNA380388	SAMN06641786	NBKW00000000	Escherichia coli Aut2013_WWKa_ALT_65
PRJNA380388	SAMN06641785	NBKX00000000	Escherichia coli Aut2013_WWKa_NEU_28
PRJNA380388	SAMN06641784	NBKY00000000	Escherichia coli Aut2013_WWKa_ALT_59
PRJNA380388	SAMN06641782	NBKZ00000000	Escherichia coli Aut2013_WWKa_ALT_48
PRJNA380388	SAMN06641780	NBLA00000000	Escherichia coli Aut2013_WWKa_ALT_45
PRJNA380388	SAMN06641779	NBLB00000000	Escherichia coli Aut2013_WWKa_ALT_30
PRJNA380388	SAMN06641778	NBLC00000000	Escherichia coli Aut2013_WWKa_ALT_17
PRJNA380388	SAMN06641777	NBLD00000000	Escherichia coli Aut2013_WWKa_ALT_13
PRJNA380388	SAMN06670745	NBNO00000000	Escherichia coli Win2012_WWKa_OUT_19

382 **Table 3:** Accession numbers of 92 de novo assembled wastewater *Escherichia coli* genomes.

383

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