Modelling microbiome recovery after antibiotics using a

stability landscape framework

Running title: Modelling microbiome recovery after antibiotics

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19 **Abstract**

Treatment with antibiotics is one of the most extreme perturbations to the human

microbiome. Even standard courses of antibiotics dramatically reduce the microbiome's

diversity and can cause transitions to dysbiotic states. Conceptually, this is often described

as a 'stability landscape': the microbiome sits in a landscape with multiple stable equilibria,

and sufficiently strong perturbations can shift the microbiome from its normal equilibrium to

another state. However, this picture is only qualitative and has not been incorporated in

previous mathematical models of the effects of antibiotics. Here, we outline a simple

quantitative model based on the stability landscape concept and demonstrate its success

on real data. Our analytical impulse-response model has minimal assumptions with three

parameters. We fit this model in a Bayesian framework to data from a previous study of

the year-long effects of short courses of four common antibiotics on the gut and oral

microbiomes, allowing us to compare parameters between antibiotics and microbiomes,

and further validate our model using data from another study looking at the impact of a

combination of last-resort antibiotics on the gut microbiome. Using Bayesian model

selection we find support for a long-term transition to an alternative microbiome state after

courses of certain antibiotics in both the gut and oral microbiomes. Quantitative stability

landscape frameworks are an exciting avenue for future microbiome modelling.

Keywords

Introduction

Stability and perturbation in the microbiome

The human microbiome is a complex ecosystem. While stability is the norm in the gut microbiome, disturbances and their consequences are important when considering its impact on health (1). A course of antibiotics is a major perturbation, typically leading to a marked reduction in species diversity before subsequent recovery (2). Aside from concerns about the development of antibiotic resistance, even a brief course can result in long-term effects on community composition (3). However, modelling the recovery of the microbiome is challenging, due to the difficulty of quantifying the *in vivo* effects of antibiotics on the hundreds of co-occurring species that make up microbial communities within the human body.

Artificial perturbation experiments are widely used to explore the underlying dynamics of macro-ecological systems (4). In the context of the gut microbiome, the effects of antibiotics have previously been investigated (5–7). However, despite interest in the application of ecological theory to the gut microbiome (8), the nature of this recovery after antibiotics remains unclear. While responses can appear highly individualized (7) this does not preclude the possibility of generalized models applicable at the population level.

Applying mathematical models to other ecological systems subject to perturbation can give useful insight (9–11). Crucially, it allows the comparison of different hypotheses about the system using model selection. Developing a consistent mathematical framework for quantifying the long-term effects of antibiotic use would facilitate comparisons between

different antibiotics and different regimens, with the potential to inform approaches to

antibiotic stewardship (12).

Previous modelling approaches

A great deal of modelling work has focused on the gut microbiome's response to antibiotic

perturbation. We mention a few important examples here. Bucci et al. (13) used a two-

compartment density model with species categorised as either antibiotic-tolerant or

antibiotic-sensitive, and fitted their model to data from Dethlefsen and Relman (7). In a

later review, Bucci and Xavier argued that models of wastewater treatment bioreactors

could be adapted for the gut microbiome, with a focus on individual-based models (14).

The most commonly used individual-based model is the multispecies Generalized Lotka-

Volterra (GLV) model, which describes pairwise interactions between bacterial species (or

other groupings). In a pioneering work, Stein et al. (15) extended a GLV model to include

external perturbations, and fitted their model to a study where mice received clindamycin

and developed *Clostridium difficile* infection (CDI) (16). The same approach was also

subsequently applied to human subjects in, identifying a probiotic candidate for treating

CDI (17). Bucci et al. (18) have combined and extended their previous work into an

integrated suite of algorithms (MDSINE) to infer dynamical systems models from time-

series microbiome data.

While all of these models have provided useful insights into microbiome dynamics, to

make meaningful inference they require dense temporal sampling and restriction to a small

number of species. For example, the examples of application of MDSINE had "26–56 time

points" for accurate inference of dynamics, measurements of relative concentrations of

bacteria, and frequent shifts of treatment — for these reasons the *in vivo* experiments

were conducted in gnotobiotic mice (18). Similarly, Stein et al. restricted their analysis of

CDI to the ten most abundant bacterial genera (15). Such restrictions reduce the suitability

of these methods for opportunistic analysis of existing 16S rRNA gene datasets from the

human microbiome, which currently comprise the majority of clinically relevant datasets.

GLV models can undoubtedly be extremely useful for simple synthetic consortia, as shown

by Venturelli et al. who inferred the dynamics of a 12-species community (19). However, it

has been shown that even for very small numbers of species, pairwise microbial

interaction models do not always accurately predict future dynamics, suggesting that

pairwise modelling has its own limitations (20).

Starting from broader ecological principles allows quantitative investigation of high-level

statements and hypotheses about microbiome dynamics. For example, Coyte et al. built

network models based on principles from community ecology to show that competitive

interactions in the gut microbiome are associated with stable states of high diversity (21).

More recently, Goyal et al. took inspiration from the 'stable marriage problem' in

economics and showed that multiple stable states in microbial communities can be

explained by nutrient preferences and competitive abilities (22). There is therefore great

value in exploring alternative modelling approaches to GLV models as well as continuing

to refine and extend them.

A stability landscape approach

In a popular schematic picture taken from classical ecology, the state of the gut

microbiome is represented by a ball sitting in a stability landscape (1,23–25). Perturbations

can be thought of as forces acting on the ball to displace it from its equilibrium position

(25) or as alterations of the stability landscape (26). While this image is usually provided

only as a conceptual model to aid thinking about the complexity of the ecosystem, we use

it here to derive a mathematical model.

We model the effect of a brief course of antibiotics on the microbial community's

phylogenetic diversity as the impulse response of an overdamped harmonic oscillator

(Figure 1; see Methods), and compare parameters for four widely-used antibiotics by fitting

to empirical data previously published by Zaura et al. (3). This model is significantly less

complicated than previous models developed for similar purposes, but still captures some

of the essential emergent features of such a system while avoiding the computational

difficulties of fitting hundreds of parameters to a sparse dataset. After demonstrating the

effectiveness of this modelling approach for the gut and oral microbiome, we also show

that the framework can easily be used to test hypotheses about microbiome states. We

compare a model variant which allows a transition to a new equilibrium, and find that this

model is better supported for clindamycin and ciprofloxacin, allowing us to conclude that

these antibiotics can produce state transitions across different microbiomes. We also find

a transition to a new equilibrium when reanalyzing a separate dataset from Palleja et al.

(27), using a different diversity metric that had already been calculated by the authors

(richness) based on applying a different sequencing approach (shotgun metagenomics) to

an different antibiotic regimen (meropenem, gentamicin and vancomycin). This modelling

approach can therefore be easily applied to sparse datasets from different human

microbiomes, antibiotics, and sequencing approaches, providing a simple but consistent

foundational framework for quantifying the *in vivo* impacts of antibiotics.

Results

Ecological theory motivates a simplified representation of the microbiome

Taking inspiration from classical ecological theory, the microbiome can be considered as

an ecosystem existing in a stability landscape: it typically rests at some equilibrium, but

can be displaced (Figure 1A). The shape of this landscape is determined by the extrinsic

environmental factors – a change in these could produce a (reversible) change in the

shape of the landscape e.g. shifts in the human gut microbiome when an individual

temporarily relocates from the USA to Southeast Asia, which are reversed on return (28).

Here we assume that these environmental factors remain approximately constant at the

timescales considered (< 1 year), based on previous observations e.g. that the oral

microbiome can exhibit stability over periods of at least three months (29), and the gut

microbiome for up to five years (30). Any quantitative model of the microbiome based on

this concept then requires a definition of equilibrium and displacement. While earlier

studies sought to identify a equilibrium core set of 'healthy' microbes, disturbances of

which would quantify displacement, it has become apparent that this is not a practical

definition due to high inter-individual variability in taxonomic composition (25). More recent

concepts of a healthy 'functional core' appear more promising, but characterization is

challenging, particularly as many gut microbiome studies use 16S rRNA gene sequencing

rather than shotgun sequencing.

For these reasons, we choose a metric that offers a proxy for the general functional

potential of the gut microbiome: phylogenetic diversity (25). Diversity is commonly used as

a summary statistic in microbiome analyses and higher diversity in the gut microbiome has

previously been generally associated with health (31) and temporal stability (32). Of

course, describing the microbiome using only a single number loses a great deal of

information. Furthermore, there is no general relationship between 'diversity' and 'stability':

the relationship depends on the specific definitions of both quantities. We are interested in

the scenario of fixed-length perturbations, where 'stability' can be quantified in terms of the

rate of return to equilibrium after perturbation – this stability has been empirically observed

to increase with greater species diversity (33). If we are seeking to build a general model

of microbiome recovery after perturbation, it seems appropriate to consider a simple metric

first to see how such a model performs before developing more complicated definitions of

equilibrium, which may generalise poorly across different niches and individuals. Other

scenarios might require different definitions and correspondingly different assumptions.

We assume the equilibrium position to have higher diversity than the points immediately

surrounding it i.e. creating a potential well (Figure 1B). However, there may be alternative

stable states (Figure 1B) which perturbations may move the microbiome into (Figure 1C).

These states may be either higher or lower in diversity; for our purposes, all we assume is

that they are separated from the initial equilibrium by a potential barrier of diversity i.e. a

decrease of diversity is required to access them, which helps to keep the microbiome at

equilibrium under normal conditions. Our strong assumption here is obviously influenced

by the specific perturbation scenario: we know *a priori* that antibiotics decrease diversity in

the short-term, so we are not attempting to model other types of transition. However,

considering the empirical evidence and the consensus view among ecologists that a

diversity of species with a range of sensitivities to different environmental conditions

should lead to greater stability (33,34), we feel this rule may hold in a range of other

scenarios: ecosystems may often exist at or near a (local) maximum value of diversity. An

equilibrium does not have to be believed in as a true and eternal state; we attempt here to

use insights from theoretical communities with well-defined mathematical equilibria to

move to modelling empirical communities where they are approximate concepts, valid over

some given time period.

The model

Mathematically, small displacements of a mass from an equilibrium point can be

approximated as a simple harmonic oscillator (35) for any potential function (continuous

and differentiable). This approximation comes naturally from the first terms in the Taylor

expansion of a function (36), and can be extremely accurate for small perturbations. By

assuming the local stability landscape of the microbiome can be reasonably approximated

as a harmonic potential, we are assuming a 'restoring' force proportional to the

displacement x from the equilibrium position $(-kx)$ and also a 'frictional' force acting

against the direction of motion $(-b\dot{x})$. The system is a damped harmonic oscillator with the

following equation of motion:

(1) $\frac{d^2x}{dt^2} + b\frac{dx}{dt} + kx = 0$

Additional forces acting on the system — perturbations —appear on the right-hand side of

this equation. Consider a course of antibiotics of duration τ. If we are interested in

timescales of $T \gg \tau$ (e.g. the long-term recovery of the microbiome a year after a week-

long course of antibiotics) we can assume that this perturbation is of negligible duration.

This assumption allows us to model it as an impulse of magnitude D acting at time $t = 0$:

(2) $\frac{d^2x}{dt^2} + b\frac{dx}{dt} + kx = D\delta(t)$

This second-order differential equation can be solved analytically and reparameterised

(see Methods) to give a model with three parameters, with a general qualitative shape of

sudden change followed by slower recovery (Model 1, Figure 1C):

(3) $x_1(t) = \frac{De^{\phi_1}e^{\phi_2}}{e^{\phi_2}-e^{\phi_1}} \cdot \left(e^{-e^{\phi_1}t} - e^{-e^{\phi_2}t}\right)$

Fitting the model to empirical data

We fit the model to published data from a paper from Zaura et al. (3) where individuals

received a ten-day course of either a placebo or one of four commonly-used antibiotics

(Table 1). Faecal and saliva samples were taken at baseline (i.e. before treatment), then

subsequently directly after treatment, then one month, two months, four months, and one

year after treatment. Zaura et al. conducted pairwise comparisons between timepoints and

comprehensively reported statistical associations, but did not attempt any explicit

modelling of the time-response over the year. This dataset provides an ideal test case for

our model. Not only does it allow us to simultaneously model the recovery of both the gut

and oral microbiomes after different antibiotics, but it also demonstrates how our modelling

framework permits further conclusions beyond the scope of the initial study. We

additionally fit our model to a shotgun metagenomics dataset from a recent antibiotic

perturbation experiment from Palleja et al. (27).

A stability landscape framework successfully describes initial microbiome

dynamics

Although individual microbiome responses were personalized (Supplementary Figure 1),

normalized diversity trajectories followed the same general pattern for each antibiotic

(Supplementary Figure 2). We used a Bayesian approach to fit the model to each

treatment group and microbiome separately, successfully capturing the main features of

the initial response to antibiotics (Figure 2). Diversity decreased (i.e. displacement from

equilibrium increased) before a slow return to equilibrium. Despite large variability between

samples from the same treatment group, reassuringly the placebo group clearly did not

warrant an impulse response model, whereas data from individuals receiving antibiotics

was qualitatively in agreement with the model. Even without the model, it is apparent that

clindamycin and ciprofloxacin represent greater disturbances to the microbiome than

minocycline and amoxicillin, but a consistent model allows comparison of the values of

various parameters (see below).

In their original analysis, Zaura et al. noted significantly ($p < 0.05$) reduced Shannon

diversity in individuals receiving ciprofloxacin comparing samples after a year to baseline.

This reduced diversity could in principle merely be due to slow reconstitution and return to

the original equilibrium. However, by taking into account each individual's temporal

response with a model rather than pairwise comparisons it appears that slow reconstitution

cannot be the whole story. Instead, the skewed distribution of residuals after a year, when

the response has flattened off, indicates that the longer-term dynamics of the system do

not obey the same impulse response as the short-term dynamics. A scenario involving a

long-term transition to an alternative stable state is consistent with this observation (Figure

1). We therefore developed a variant of the model to take into account alternative

equilibria, aiming to test the hypothesis that the microbiome had transitioned to an

alternative stable state.

239 Support for the existence of antibiotic-induced state transitions

In our approach, a transition to an alternative stable state means that the value of diversity

displacement from the original equilibrium asymptotically tends to a non-zero value. There

are many options for representing this mathematically; for reasons of simplicity, we add a

single parameter *A* and a term that asymptotically grows over time (Model 2, Figure 1C):

(4) $x_2(t) = \frac{De^{\phi_1}e^{\phi_2}}{e^{\phi_2}-e^{\phi_1}} \cdot \left(e^{-e^{\phi_1}t} - e^{-e^{\phi_2}t}\right) + A \cdot \left(1 - e^{-e^{\phi_1}t}\right)$

Qualitatively, this slightly more complex model gave a similar fit (Figure 3) but some

treatment groups had a clear non-zero final displacement from equilibrium, corresponding

to an alternative stable state. We compared models with the Bayes factor BF, where $BF >$

1 indicates support for a state transition (Table 2). A state transition was supported in the

ciprofloxacin and clindamycin treatment groups for both the gut ($BF_{cipro} = 3.06$, $BF_{clinda} =$

10.94) and oral ($BF_{cipro} = 16.87$, $BF_{clinda} = 7.47$) microbiomes. The posterior estimates for

the asymptote parameter in the gut microbiome were positively skewed (Figure 4),

providing evidence of a transition to a state with lower phylogenetic diversity.

Contrastingly, in the oral microbiome the asymptote parameter was negatively skewed,

suggesting a transition to a state with greater phylogenetic diversity. Strikingly, these are

the respective states associated with poorer health in both microbiomes.

256 Comparison of parameters between antibiotics

Comparing the posterior distribution of parameters for model 2 fits allows quantification of

ecological impact of different antibiotics (Table 3, Figure 4). Unsurprisingly, greater

perturbation is correlated with the transition to an alternative stable state. We can also

consider the ecological implications of the parameters we observe. The damping ratio $\zeta =$

$b/(2\sqrt{k})$ summarises how perturbations decay over time, and is considered as an inherent

property within the model. Therefore, if our modelling framework and ecological

assumptions were valid we would expect to find a consistent damping ratio across both the

clindamycin and ciprofloxacin groups in the gut microbiome. This is indeed what we

observed with median (95% credible interval) damping ratios of ζ_{clinda}=1.07 (1.00-1.65)

and ζ_{cipro}=1.07 (1.00-1.66), substantially different from the prior distribution, supporting the

view that the gut microbiome dynamics can be approximated by a damped harmonic

oscillator.

Application to other sequencing approaches and diversity metrics

In a recent paper, Palleja et al. (27) used deep shotgun metagenomic sequencing to

characterize the gut microbiomes of twelve healthy men for 180 days after a four-day

course of meropenem, gentamicin and vancomycin. They observed that while there were

no significant differences in the Shannon diversity between baseline and day 180, there

was a significant difference in species richness. Furthermore, they noted that

compositional changes between day 42 and day 180 were not significantly different when

compared to paired samples in Human Microbiome Project controls. Both these two pieces

of information suggest a state transition, although Palleja et al. do not use this terminology

and instead refer to a return to "stable composition". Reanalyzing this species richness

data with our model (Supplementary File 10) shows that the gut microbiomes of these

individuals had transitioned to a new alternative state (Supplementary Figure 3; Table 4),

strengthening Palleja et al.'s conclusion that some bacteria may have been permanently

lost (27). Other future metagenomic datasets could also be analyzed using our model in

this way.

Connection to generalized Lotka-Volterra models

We sought to establish a link between our framework and the conventional 'bottom-up'

approach of GLV models. We investigated the behaviour of a 3-species Lotka-Volterra

system to establish if perturbation to an alternative state was possible in this simple case

(see Methods). We found that only 0.079% of 3-species Lotka-Volterra systems exhibit the

behaviour required by our two-state model – because we assume that diversity is

continuous, this model is unrealistic for small species numbers. However, for larger

numbers, theoretical ecology gives strong justification for our assumptions. As the number

of species n increases, the number of fixed points which are stable increases (37), and the

proportion of simulations from random parameters that have multiple fixed points also

increases e.g. with $n = 400$, this proportion is >97% (38). This suggests that the

overwhelming majority of *mathematically possible* systems at relevant numbers of species

exhibit multiple fixed points; the fraction of *biologically possible* systems exhibiting this

behaviour is likely even higher. Furthermore, when the realistic assumption of resource

competition is incorporated, all these fixed points become stable or marginally stable (38).

Goyal et al. recently showed that multiple resilient stable states can exist in microbial

communities if microbes utilize nutrients one at a time (22). We can therefore state

confidently that: the gut microbiome can be treated as having multiple stable equilibria; its

community composition is history-dependent; and perturbations lead to transitions

between the multiple possible stable states. These assumptions are the basis of the

simplistic coarse-grained model we describe here, which effectively takes these high-level

emergent properties of multi-species Lotka-Volterra models to build a substantially simpler

model based on a single, commonly-used metric: diversity.

Discussion

Starting from a conceptual picture of the microbiome resting in a stability landscape, we

have developed a mathematical model of its response to antibiotic perturbation. Our

framework, based on phylogenetic diversity, successfully captures the dynamics of a

previously published 16S rRNA gene dataset for four common antibiotics (3), providing

quantitative support for these simplifying ecological assumptions. Using model selection,

our framework provides additional insight — we find that the effects of clindamycin persist

for a year after exposure, and also identify a state transition in the oral microbiome with

clindamycin, neither of which was not detected by the initial authors. We also demonstrate

the flexibility of our model by fitting it to data from a recent shotgun metagenomics

antibiotic perturbation experiment (27).

While pairwise comparisons of diversity can still identify differences in microbiome state,

they provide no information on dynamics. Our framework therefore gives additional insight

in this regard. Zaura et al. observed that the lowest diversity in the gut microbiome was

observed after a month rather than immediately after treatment (3). This cannot be due to

a persistence of the antibiotic effect, as all antibiotics used have short half-lives of the

order of hours (39,40). Within our framework, this can be understood as an overdamping

effect: we found a consistent damping ratio for both ciprofloxacin and clindamycin. This is

not in itself a complete explanation (it does not identify a mechanistic biological process

behind the 'damping') but it gives us insight that such a process may exist.

We have also demonstrated how our framework could be used to compare different

hypotheses about the long-term effects of antibiotic perturbation by fitting different models

and using Bayesian model selection. Our model provides an additional line of evidence

that while short-term restoration obeys a simple impulse response, the underlying long-

term community state can be fundamentally altered by a brief course of antibiotics, as

suggested previously (7), raising concerns about the long-term impacts of antibiotic use.

While this state transition may not necessarily equate to any negative health impacts for

the host (none of the participants involved in the original study (3) reported any

gastrointestinal disturbance), the transition to a new state with reduced diversity in the gut

microbiome may increase the risk of colonisation and overgrowth of pathogenic species. It

has been shown experimentally in *Drosophila* that invasion and subsequent colonization is

a stochastic process that is reduced by a more diverse gut (41). Conversely, in the oral

microbiome the state transition was to a state with *increased* diversity, which is

correspondingly associated with a greater risk of disease in the oral cavity (42). We

believe this makes sense within a stability landscape framework. Even if only marginal,

when considered at a population level, such effects may mean that antibiotics have

substantial negative health consequences, which could support reductions in the length of

antibiotic courses independently of concerns about antibiotic resistance (43). Modelling the

long-term impact on the microbiome of different doses and courses could help to influence

antibiotic use in routine clinical care. Our sample size is small, so the precise posterior

estimates for parameters that we obtain should not be over-interpreted, but comparing

antibiotics using these parameter estimates represents another practical application.

Our framework lends itself naturally to comparing different dynamical models. We see our

two variant models as a starting point for stability landscape approaches, and would hope

that better models can be constructed. Hierarchical mixed effects models may offer an

improved fit, particularly if they take into account other covariates; we lacked the

necessary metadata on the participants from the original study (Table 1) to explore such

models. Furthermore, diversity as a single metric clearly fails to capture all the complexity

of the microbial community and its interactions, and there are multiple issues with

calculating it accurately. Nevertheless, the observation that treating phylogenetic diversity

as the key variable in the stability landscape captures microbiome dynamics supports

observations of functional redundancy in the microbiome (31). An interesting extension of

this work would be to systematically fit the model to a variety of diversity metrics or other

summary statistics and assess the model fit to see which metric (or combination of

metrics) is most appropriately interpreted as the state variable parameterising the stability

landscape. A complementary approach could consider the 'resistome', which should

conversely rise in diversity after antibiotic treatment (44).

Expanding the stability landscape approach to other microbiome datasets might require re-

examining our assumptions. A non-diversity metric might well be superior in situations

where the 'ideal' state of the microbiome can be clearly defined: for example, in a

bioreactor where experimental conditions can be maintained to consistently produce a

given equilibrium community composition, one could define displacement using ecological

distance from this well-defined state. However, even a single microbial strain under

constant conditions can exhibit astonishing and unpredictable complexity over time (45),

so we feel that diversity is a good starting point. As a general guide for experiments,

because an impulse response model for a perturbation of length τ assumes an experiment

length $T \gg \tau$, we suggest the appropriate sampling effort for microbiomes undergoing

perturbation should be to have samples immediately before and after, as well as samples

out to $T > 30\tau$. We recommend more dense sampling at longer times to firmly establish

whether a new equilibrium state has been reached.

We would not expect the behaviour of the microbiome after longer or repeated courses of

antibiotics to be well-described by our model, which assumes a course of negligible

duration. Nevertheless, it would be possible to use the stability landscape framework given

here to obtain an analytic form for the possible system response by convolving any given

perturbation function with the impulse response, although the model might break down in

such circumstances. If the model fails to give a good fit, the inclusion of higher-order terms

in the Taylor expansion of the chosen potential function about equilibrium (and

correspondingly modifying eq. 1) could prove useful.

As we have demonstrated, while the precise nature of the gut microbiome's response to

antibiotics is individualized, a general model still captures important dynamics. We believe

it would be a mistake to assume that our model is 'too simple' to provide insight on a

complex ecosystem. At this stage of our understanding, creating a comprehensive inter-

species model of the hundreds of members of the gut microbiome is intractable; it may

also be unnecessary if the aim is to inform clinical treatment based on sparse data. We

believe there is a place for both fine-grained models using pairwise interactions —

particularly for systems of reduced complexity — and coarse-grained models built from

high-level ecological principles, as we have demonstrated here. Shade has argued that

microbiome diversity is "the question, not the answer" (46); diversity can still be an

extremely useful quantity for modelling, but the patterns we report here warrant more

mechanistic investigation. We have argued that a 'top-down' framework with multiple

stable states of different diversities is consistent with the emergent behaviour of a

multispecies Lotka-Volterra model. Further mathematical work to connect these two

extremes would be worthwhile.

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modelling framework presented here.

Authors' contributions: LPS conceived the model, performed analyses, and wrote the

paper. LPS, CPB, HB, and FB conceived the analysis of the Lotka-Volterra system, which

was performed by HB. All authors contributed to the discussion and development of the

model, gave comments, and read and approved the final manuscript.

Competing interests

411 The authors declare that they have no competing interests.

Data availability

413   The original sequencing dataset from Zaura et al. (3) used in this paper is available in the

414   Short Read Archive (SRA Accession: SRP057504). Full code and reanalyzed datasets

415   supporting the conclusions of this article are included as Supplementary Information. A full

416   archive of main analyses including cached model fits is available in figshare

417   (https://figshare.com/s/d62d6e90f96dc63c2769.)

418   **Materials and methods**

Mathematical model of trajectories in the potential landscape

Treating the microbiome as a unit mass resting in a stability landscape parameterised by

phylogenetic diversity leads to a second-order differential equation. To solve this equation,

we assume that $b^2 > 4k$ (the 'overdamped' case) based on the lack of any oscillatory

behaviour previously observed in the microbiome. Then, subject to the initial conditions

$x(t = 0) = 0$ and $\dot{x}(t = 0) = D$ we obtain the following equation describing the system's

trajectory:

(5) $$x(t) = \frac{D}{2 \cdot \sqrt{\left(\frac{b}{2}\right)^2 - k}} \left(e^{-\left(\frac{b}{2} - \sqrt{\left(\frac{b}{2}\right)^2 - k}\right)t} - e^{-\left(\frac{b}{2} + \sqrt{\left(\frac{b}{2}\right)^2 - k}\right)t} \right)$$

Fitting the model therefore requires fitting three parameters: b (the damping on the

system), k (the strength of the restoring force), and D (how strong the perturbation is). For

the purposes of fitting the model, where parameters having allowed values of real numbers

$(-\infty, +\infty)$ is preferable to only positive numbers $(0, +\infty)$, we choose to reparameterise the

model using the following definitions:

(6) $b = e^{\phi_1} + e^{\phi_2}$

(7) $k = e^{\phi_1 + \phi_2}$

This results in Model 1 (eq. 3, Figure 1C).

Antibiotics may lead not just to displacement from equilibrium, but also state transitions to

new equilibria (2). To investigate this possibility, we also consider a model where the value

of equilibrium diversity asymptotically tends to a new value A. To minimise model

complexity, we add a single parameter with a term that asymptotically grows over time,

resulting in Model 2 (eq. 4, Figure 1C).

Main experimental dataset

To apply our framework, we fitted both models to two empirical datasets (see

Supplementary Text 1). Zaura et al. (3) conducted a study on the long-term effect of

antibiotics on the gut and oral microbiomes, where individuals were randomly assigned to

one of five treatment groups: placebo, clindamycin, ciprofloxacin, minocycline, or

amoxicillin (Table 1). Samples were collected at baseline (before treatment), immediately

after exposure, then at one month, two months, four months, and one year after treatment.

Phylogenetic diversity

There are many possible diversity metrics that could be used to compute the displacement

from equilibrium. Because of our assumptions (see 'Ecological theory motivates a

simplified representation of the microbiome'), we chose to use Faith's phylogenetic

diversity (47) (see Supplementary Text 1).

Bayesian model fitting

We used a Bayesian framework to fit our basic model 1 (eq. 3) using Stan (48) and RStan

(49) to the gut and oral microbiome samples for the five separate groups: placebo,

ciprofloxacin, clindamycin, minocycline, and amoxicillin (i.e. n=2x5=10 fits). In brief, our

approach used 4 chains with a burn-in period of 1,000 iterations and 9,000 subsequent

iterations, verifying that all chains converged ($\hat{R}$ = 1) and the effective sample size for each

parameter was sufficiently large (*n_eff* > 1,000). We additionally fitted model 2 with a

possible state transition (eq. 4) to all non-placebo groups (n=2x4=8 fits).

We used non-informative priors for all parameters in the original model 1 without a state

transition (eq. 3). For all groups, we used the same uniformly distributed prior for D

(positive i.e. decrease in diversity) and uniform priors for ϕ_1, ϕ_2. For fitting model 2, we

used an additional uniform prior centred at zero for the new equilibrium value A and the

same priors for other parameters. In summary, the priors are as follows:

(8.1) $D \sim \mathrm{uniform}(0, 10)$

(8.2) $\phi_1 \sim \mathrm{uniform}(-1.99, 1, 99)$

(8.3) $\phi_2 \sim \mathrm{uniform}(-2, 2)$

(8.4) $A \sim \mathrm{uniform}(-2, 2)$

We compared models 1 and 2 (Supplementary Files 8 and 9). for each antibiotic treatment

group using the Bayes factor (50,51) after extracting the model fits using bridge sampling

with the bridgesampling R package v0.2-2 (52). A prior sensitivity analysis (not shown)

showed that choice of priors did not affect our conclusions about model selection, although

the strength of the Bayes factor varied.

Full code for fitting the models to empirical data and reproducing figures is available with

this article (Supplementary Files 5—9).

Shotgun metagenomics dataset

For fitting to the dataset released by Palleja et al. (27), we used the published species

richness data with an arbitrary scaling factor (see Supplementary Text 1).

Lotka-Volterra simulations

We numerically simulated $5^9 = 1\,953\,125$ parameter sets of the GLV model with n=3

species and investigated their behaviour and stable states. For more details see the

corresponding supplementary discussion (Supplementary Text 2) and Mathematica

notebook (Supplementary File 12).

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Figure legends

Figure 1. A stability landscape framework for antibiotic perturbation to the microbiome. We represent the gut microbiome as a unit mass on a stability landscape, where height corresponds to phylogenetic diversity. (A) The healthy human microbiome can be conceptualised as resting in the equilibrium of a stability landscape of all possible states of the microbiome. Perturbations can displace it from this equilibrium value into alternative states (adapted from Lloyd-Price et al. (25)). (B) Choosing to parameterise this stability landscape using diversity, we assume that there are just two states: the healthy baseline state and an alternative stable state. (C) Perturbation to the microbiome (e.g. by antibiotics) is then modelled as an impulse, which assumes the duration of the perturbation is short relative to the overall timescale of the experiment. We consider the form of the diversity time-response under two scenarios: a return to the baseline diversity; and a transition to a different value of a diversity (i.e. an alternative stable state).

Figure 2. The model captures the dynamics of recovery for the gut and oral microbiomes after antibiotics. Bayesian fits for participants taking either a placebo (blue; n=21/22 for gut/oral), ciprofloxacin (green; n=9), clindamycin (red; n=9), minocycline (purple; n=10), and amoxicillin (orange; n=12). The mean phylogenetic diversity from 100 bootstraps for each sample (black points) and median and 95% credible interval from the posterior distribution (bold and dashed coloured lines, respectively). The grey line indicates the equilibrium diversity value, defined on a per-individual basis relative to the mean baseline diversity. The biased skew of residuals after a year in certain treatment

groups suggests the possibility of a transition to an alternative stable state with a different

value of diversity.

Figure 3. A model with a possible state transition is better supported for

clindamycin and ciprofloxacin. Bayesian fits for participants taking either ciprofloxacin

(green; n=9), clindamycin (red; n=9), minocycline (purple; n=10), and amoxicillin (orange;

n=12). The mean phylogenetic diversity from 100 bootstraps for each sample (black

points) and median and 95% credible interval from the posterior distribution (bold and

dashed coloured lines, respectively). The grey line indicates the equilibrium diversity value,

defined on a per-individual basis relative to the mean baseline diversity. The non-zero-

centred asymptotes indicates support for a state transition in both the gut and oral

microbiomes after ciprofloxacin and clindamycin. See Table 2 for Bayes Factors

comparing model 2 to model 1.

Figure 4. Posterior parameter estimates for model with a possible transition to an

alternative stable state. The posterior distributions from Bayesian fits of model 2 (eq. 7)

to empirical data from the gut (solid) and oral microbiomes (dashed) of individuals who

received ciprofloxacin (green), clindamycin (red), minocycline (purple), and amoxicillin

(orange). The posterior probability distribution is a way of visualizing the uncertainty in

parameter values after model fitting (a tighter peak indicates more certainty about the

parameter value), and can be subsequently used to derive e.g. interval estimates.

Because the sum under the distribution is defined as being equal to one, the scale of the

y-axis depends on the range of the x-axis i.e. it has no absolute meaning.

Tables

689 **Table 1. Number of individuals in each treatment group.** Only individuals with a complete set of 6

690 samples with >1,000 reads in each were retained for model fitting. For demographic characteristics of the

691 complete treatment groups see Table 1 of Zaura et al. (3).

Antibiotic treatment group	*n* (gut microbiome)	*n* (oral microbiome)
Placebo	22	21
Ciprofloxacin	9	9
Clindamycin	9	9
Minocycline	10	10
Amoxicillin	12	12

692 **Table 2. Bayes factors for model comparisons for each antibiotic group.** The Bayes factor (BF) allows

693 model selection, here for model 2 (with a state transition) against model 1 (no state transition). Following

694 Kass and Raffery, we interpret BF>3 as positive evidence in favour of model 2 (51).

Antibiotic treatment group	Gut microbiome	Oral microbiome
Ciprofloxacin	**3.06**	**16.87**
Clindamycin	**10.94**	**7.47**
Minocycline	2.11	2.42
Amoxicillin	1.51	1.31

696   **Table 3. Median and 95% credible intervals for all model parameters for each treatment group.**

697   Results from Bayesian fitting of the full model (model 2) to each of the eight possible treatment groups (4

698   antibiotics x 2 microbiomes).

		D		A		Phi1		Phi2	
Microbiome	**Antibiotic**	Median	95% CI	Median	95% CI	Median	95% CI	Median	95% CI
Gut	Ciprofloxacin	7.9	(5.47--9.75)	0.8	(0.28--1.34)	-0.2	(-0.69--0.16)	0.41	(0.05--0.92)
	Clindamycin	8.45	(6.23--9.84)	0.84	(0.29--1.42)	0	(-0.46--0.34)	0.56	(0.23--1.11)
	Amoxicillin	1.34	(0.13--6.56)	-0.03	(-0.66--0.56)	-1.53	(-1.96--0.31)	0.09	(-1.58--1.83)
	Minocycline	2.74	(1.54--7.82)	-0.23	(-1.09--0.23)	0.33	(-1.44--1.29)	1.65	(1.01--1.97)
Oral	Ciprofloxacin	2.99	(1.86--5.23)	-0.63	(-1.21---0.19)	0.19	(-0.85--0.96)	1.56	(0.84--1.96)
	Clindamycin	3.56	(2.33--5.77)	-0.73	(-1.44---0.14)	0.66	(-0.33--1.37)	1.61	(1.02--1.96)
	Amoxicillin	4.24	(0.41--9.26)	-0.13	(-1.01--0.71)	-1.58	(-1.96---0.46)	-0.33	(-1.45--1.69)
	Minocycline	3.38	(0.70--8.85)	0.53	(-0.50--1.55)	-0.73	(-1.87--1.19)	1.27	(-0.63--1.94)

699   **Table 4. Median and 95% credible intervals for all model parameters for the Palleja et al. (2018)**

700   **dataset.** Results from Bayesian fitting of the full model (model 2) to the rescaled richness dataset. These

701   should not be directly compared to the fitting to the Zaura et al. dataset, as the diversity metrics used are

702   different. The estimated Bayes factor supporting model 2 over model 1 was $BF = 75.6$.

D		A		Phi1		Phi2	
Median	95% CI	Median	95% CI	Median	95% CI	Median	95% CI
2.24	(1.02—3.88)	0.60	(0.26—0.91)	0.39	(-0.37—1.23)	1.70	(0.99—1.99)