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4 Title

5 Investigating on relationship between effective quantum efficiency and
6 irradiance

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31 Investigating on relationship between effective quantum efficiency and 32 irradiance*

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41 **Highlight** A model of the relationship between effective quantum efficiency of PS II (Φ_{PSII}) and irradiance (I)
42 has been developed. Using this new model it was found that Φ_{PSII} decreased with increasing I due to the
43 decrease in the effective absorption cross-section of photosynthetic pigments molecules.

44

45 **Abstract** Models describing the relationship between effective quantum efficiency of PS II (Φ_{PSII}) and irradiance
46 (I) are routinely used to determine how irradiance influences effective quantum efficiency and photosynthetic
47 electron transport rate (ETR). However, with no single model one can accurately describe the relationship
48 between Φ_{PSII} and I , and explain the interdependence between Φ_{PSII} and biophysical properties of photosynthetic
49 pigments, especially in plants growing under low level irradiances. Basing on the mechanistic model of
50 photosynthetic electron transport rate we have developed the model of the relationship between Φ_{PSII} and I . The
51 new model reveals that Φ_{PSII} increases with photochemistry (k_P) and heat dissipation (k_D). Furthermore, the
52 values of key parameters calculated using the new model were compared with the values calculated with two
53 other empirical models. The new model was perfectly fitted to the light-response curves of Φ_{PSII} . The key
54 calculated photosynthetic parameters: maximum Φ_{PSII} , maximum ETR and their corresponding saturation
55 irradiance were close to the measured values. In addition, our model associates Φ_{PSII} with intrinsic features of
56 photosynthetic pigments. We concluded that Φ_{PSII} decreased with increasing I due to the decrease in the effective
57 absorption cross-section of photosynthetic pigments molecules.

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59 **Keywords:** Chlorophyll fluorescence, effective quantum efficiency, irradiance, photosynthetic electron
60 transport rate, photosynthetic pigments, photosynthesis

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* These authors contributed equally to this work.

63 **Abbreviations**

64 ETR Electron transport rate

65 ETR_{\max} Maximum electron transport rate

66 F Steady-state fluorescence

67 F_m' Maximum fluorescence in the light

68 F_v Variable fluorescence yield of the dark-adapted leaf

69 g_i Degeneration of energy level of photosynthetic pigment molecules in the ground state i

70 g_k Degeneration of energy level of photosynthetic pigment molecules in the excited state k

71 I Irradiance

72 NPQ Non-photochemical quenching

73 N_0 Total light-harvesting pigment molecules

74 PAR_{sat} Saturation irradiance corresponding to ETR_{\max}

75 k_p Rate of photochemical reaction

76 k_D Rate of non-radiative heat dissipation

77 PS II Photosystem II

78 a_e Initial slope of light-response curve of electron transport rate

79 α' Fraction of light absorbed by PS II

80 β' Leaf absorptance

81 ξ_1 Probability of photochemistry

82 ξ_2 Probability of non-radiative heat dissipation

83 ξ_3 Probability of fluorescence

84 σ_{ik} Eigen-absorption cross-section of photosynthetic pigment from ground state i to excited state k due to

85 light illumination

86 σ'_{ik} Effective optical absorption cross-section of photosynthetic pigment molecule from ground state i to

87 excited state k due to light illumination

88 φ Exciton-use efficiency in PS II

89 τ Average lifetime of the photosynthetic pigment molecules in the lowest excited state

90 Φ_{PSII} Effective quantum efficiency of PS II

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96 Introduction

97 Light reactions of photosynthesis have been characterized by means of using the measurements
98 of chlorophyll fluorescence as a useful and informative indicator (Krause & Weis, 1984; van
99 Kooten & Snel, 1990; Rascher *et al.*, 2000; van der Tol *et al.*, 2014; Kalaji *et al.*, 2016). The key
100 photosynthetic parameters are: maximum quantum efficiency of photosystem II (F_v/F_m), effective
101 quantum efficiency of photosystem II (Φ_{PSII}), photosynthetic electron transport rate (ETR) and
102 other chlorophyll fluorescence parameters (e.g. non-photochemical quenching, NPQ , coefficient of
103 photochemical quenching, qL). Genty *et al.* (1989) proposed that Φ_{PSII} at the steady state could be
104 calculated from the ratio of the variable to maximum fluorescence in the light. It is a breakthrough
105 concept of using fluorescence and irradiance (I) or the flux of photosynthetically active radiation
106 (PAR) absorbed by leaf to estimate ETR , which is the most widely used to assess the efficiency of
107 plants photochemistry in different environments (Genty *et al.*, 1989; Majláth *et al.*, 2016; Moin *et*
108 *al.*, 2016). Φ_{PSII} represents the proportion of photons of incident light that are actually used to drive
109 photochemistry (Maxwell & Johnson, 2000). Φ_{PSII} is directly associated with ETR ($=\alpha' \times \beta$
110 $\times \Phi_{PSII} \times I$, where α' is a distribution coefficient of absorption of the light energy by PS II and PS I,
111 β' is leaf absorptance, I is irradiance) (Krall & Edward, 1992). Under controlled conditions, this
112 parameter linearly relates with quantum efficiency of CO_2 assimilation (Genty *et al.*, 1989).
113 However, in natural environment where stressors are likely to affect photosynthesis alternative
114 processes to CO_2 assimilation, such as photorespiration or Mehler reaction may cause discrepancy
115 between Φ_{PSII} and CO_2 assimilation (Fryer *et al.*, 1998).

116 According to the definition of Φ_{PSII} [$\Phi_{PSII}=(F_m'-F)/F_m'$, where F_m' is maximum fluorescence in
117 the light, F is steady-state fluorescence], it is clear that Φ_{PSII} is closely linked with the closure and
118 opening of PS II in photosynthetic primary reactions as well as fluorescence emission of
119 light-harvesting pigment molecules because chlorophyll fluorescence mainly come from these
120 photosynthetic pigments (Baker, 2008). Photosynthesis research has long focused on Φ_{PSII} and
121 ETR due to photosystem II (PS II) given its core role in photosynthesis, but also since PS II
122 activity can be conveniently assayed via bio-optical techniques, e.g. chlorophyll fluorescence (e.g.
123 Buckley & Farquhar, 2004; Robakowski, 2005; Baker, 2008; Suggett *et al.*, 2010; Pavlovič *et al.*,
124 2011). Numerous studies have used these fluorescence techniques to determine Φ_{PSII} and ETR

125 (see the references above) and found Φ_{PSII} to decrease nonlinearly with increasing irradiance
126 (Robakowski, 2005; Pavlovič *et al.*, 2011; van der Tol *et al.*, 2014; Córdoba *et al.*, 2016).
127 However, no single model is currently able to describe satisfactorily the relationship between
128 Φ_{PSII} and *PAR*, and reveal the action of intrinsic characteristics of light-harvesting pigments in
129 Φ_{PSII} .

130 In photosynthesis, antenna pigment molecules absorb light energy to change the state of the
131 pigments from the ground state to the excited state. Then, the excitation energy is mainly used by
132 three inter-competing paths, i.e. photochemistry, heat dissipation and chlorophyll fluorescence
133 emission (Müller *et al.*, 2001; Oxborough, 2004; Baker, 2008). This competition among three
134 de-excited paths (Oxborough, 2004; Baker, 2008) directly affects photosynthetic electron
135 transport rates and formation of assimilation force (i.e. NADPH and ATP). Furthermore, light
136 energy absorption, quantum state change, exciton resonant transfer among antenna pigment
137 molecules and fluorescence emission in primary reaction are determined by intrinsic
138 characteristics of the antenna pigment molecules (Govindjee, 2002; Baker, 2008; Richter *et al.*,
139 2008 Panitchayangkoon *et al.*, 2010; Sarovar *et al.*, 2010). However, quantifying the nature of
140 processes from light absorption to water splitting is still extremely limited but remains a key goal
141 to improve models for predicting values of Φ_{PSII} from only light absorption measurements (Renk
142 *et al.*, 2000; Buckley & Farquhar, 2004).

143 As the research shows, methodologically, Φ_{PSII} can be directly modelled by several empirical
144 models (Laws *et al.*, 2002; Smyth *et al.*, 2004; Ritchie, 2008; Ritchie & Bunthawin, 2010; Silsbe &
145 Kromkamp, 2012) and is considered as an equivalent to *ETR*. Although these models can fit well
146 light-response curves of electron transport rate (*ETR-I*) and light-response curves of effective
147 quantum efficiency of PS II ($\Phi_{\text{PSII-I}}$) under normal conditions, models simulating *ETR-I* and $\Phi_{\text{PSII-I}}$
148 in plants under low light intensities in greenhouse or under a forest trees' canopy are yet to be
149 developed. Modelling of these curves under such irradiance environmental conditions is necessary
150 for the evaluation of the generality of the models. Moreover, no previous model neither explains
151 the reasons why Φ_{PSII} decreased nonlinearly with increasing irradiance (Robakowski, 2005;
152 Pavlovič *et al.*, 2011; van der Tol *et al.*, 2014), nor helps to understand the way intrinsic
153 characteristics of light-harvesting pigment molecules affect Φ_{PSII} and determine light harvesting,
154 light energy conversion as well as subsequent productivity (*ETR*) through PS II.

155 In the present study, we hypothesized that: (1) Φ_{PSII} should be closely related to the intrinsic

156 properties of light-harvesting pigment molecules, closure and opening of PS II and photosynthetic
157 enzyme kinetics of plants, (2) Φ_{PSII} depends on the competition of three paths of de-excitation, thus
158 if more excitation energy is distributed to photochemistry, and less to heat dissipation, or
159 chlorophyll fluorescence emission, Φ_{PSII} will be higher, and (3) behavior of the effective light
160 absorption cross-section of light-harvesting pigment molecules will determine changes of Φ_{PSII} .

161 In this study, we firstly developed a model of the relationship between Φ_{PSII} and I based on
162 fundamental properties of light absorption and transfer of energy to the reaction centers via
163 photosynthetic pigment molecules (see Ye *et al.* 2013a, 2013b). Firstly, here we adopt a novel
164 approach to determine the interdependence between the properties of photosynthetic pigments and
165 Φ_{PSII} of plants under different light conditions. Secondly, we present the development of this
166 model as applied to mung bean (*Vigna radiata* L.) under different light environments, and
167 compare the fitted results with two empirical models which were introduced by Webb *et al.*,
168 (1974), Ritchie, (2008), and Smyth *et al.*, (2004). Thirdly, we have evaluated these models for the
169 relationship between Φ_{PSII} and I through comparing the fitted values of PAR_{sat} and ETR_{max} .
170 Finally, we have investigated the impact of the intrinsic characteristics of light-harvesting
171 pigment molecules on Φ_{PSII} .

172

173 MATERIALS AND METHODS

174 Study site and plants

175 At the end of June 2016, seeds of mung bean *Vigna radiata* [(L.) R. Wilczek] were soaked for
176 3 h and sowed on potted trays which were filled with matrixes (Scotts Miracle-Gro) consisted of
177 turfy earth, coconut tree branny, perlite and vermiculite and contained about $2.4 \text{ g} \cdot \text{kg}^{-1}$ of total
178 nitrogen, $0.95 \text{ g} \cdot \text{kg}^{-1}$ of P_2O_5 , $1.27 \text{ g} \cdot \text{kg}^{-1}$ of K_2O and about $0.71 \text{ g} \cdot \text{kg}^{-1}$ of trace elements. Seeds
179 were germinated at the air temperature of $28 \text{ }^\circ\text{C}$, the relative humidity of 80 % and light intensity
180 of $125 \text{ } \mu\text{mol photons m}^{-2} \cdot \text{s}^{-1}$ (12h-day) and grown to the height of 7~10 cm. A total of 44
181 seedlings (healthy and uniform) were transplanted into $100 \text{ mm} * 85 \text{ mm} * 95 \text{ mm}$ plastic pots,
182 and divided randomly into four groups with 11
183 plants per group. They were cultured in phytotron with the day temperature of $25\sim 28^\circ\text{C}$ and the

184 night temperature of 20~24°C. Three light regimes were established: LL (low light with 100 μmol
 185 photons m⁻² · s⁻¹), ML (middle light with 220 μmol photons m⁻² · s⁻¹), and HL (high light with 430
 186 μmol photons m⁻² · s⁻¹). Plants were watered every morning up to the full substrate capacity. After
 187 20 days, 5 healthy plants were randomly selected from each light treatment to measure their
 188 chlorophyll fluorescence parameters. When the plant height was about 20 cm, the third youngest
 189 fully expanded leaf from the top to bottom was used for fluorescence measurements ($n = 5$, $n -$
 190 number of replications).

191 Chlorophyll fluorescence measurements

192 Chlorophyll *a* fluorescence was measured on intact leaves using a chlorophyll fluorescence
 193 measuring system (Dual PAM-100, Walz, Effeltrich, Germany) with DUAL-E and DUAL-DB
 194 measuring heads. The initial level (F_0) of fluorescence was detected after 25 min of dark adaptation.
 195 The maximal fluorescence level (F_m) of the dark- and light- (F_m') adapted leaves were determined
 196 by applying saturating flashes (15.000 μmol photons m⁻² s⁻¹) lasting 1 s, to promote the closure of
 197 the PS II reaction centers, according to the method described by Maxwell & Johnson (2000). The
 198 fluorescence parameters were calculated as described by van Kooten & Snel (1990) and
 199 Klughammer & Schreiber (2008). Maximum quantum efficiency of PS II, $F_v/F_m = (F_m - F_0)/F_m$;
 200 non-photochemical quenching, $NPQ = (F_m - F_m')/F_m'$. ETR was obtained as $ETR = \alpha' \times \beta' \times \Phi_{PSII} \times I$,
 201 where α' is distribution coefficient of absorption light energy by PS II and PSI to be assumed
 202 typically 0.5 (Maxwell & Johnson, 2000; Major & Dunton, 2002; Evans, 2009), leaf absorptance (β
 203 ') is measured using an integrating sphere with a value typically returned of 0.86 (Ehleringer, 1981).
 204 Leaves were incrementally exposed to 14 irradiance levels (range 0 to 1450 μmol photons m⁻² s⁻¹)
 205 at 30 s intervals.

207 Model 1

208 The photosynthetic electron transport rate via PS II can be described with Eq. 1 (Ye *et al.*
 209 2013a, 2013b),

$$210 \quad ETR = \frac{\alpha' \beta' N_0 \sigma_{ik} \phi}{S} \times \frac{1 - \frac{(1 - g_i/g_k) \sigma_{ik} \tau}{\xi_3 + (\xi_1 k_p + \xi_2 k_D) \tau} I}{1 + \frac{(1 + g_i/g_k) \sigma_{ik} \tau}{\xi_3 + (\xi_1 k_p + \xi_2 k_D) \tau} I} \quad (1)$$

211 where φ is exciton-use efficiency in PS II, N_0 is total photosynthetic pigment molecules of the
 212 measured leaf, S is the leaf area (m^2), g_i and g_k are the degeneration of energy levels of
 213 photosynthetic pigments in the ground state (i) and excited state (k), respectively. k_P and k_D are
 214 rates of the photochemical reaction and thermal deactivation, respectively. ζ_1 , ζ_2 and ζ_3 are the
 215 occupation probability of photochemistry, non-radiation heat dissipation, and fluorescence
 216 emission, respectively. σ_{ik} is the eigen-absorption cross-section of photosynthetic pigments from
 217 the ground state i to excited state k via light exposure, τ is the average lifetime of the
 218 photosynthetic pigments in the lowest excited state k .

219 According to Ye et al., (2013a, 2013b), σ_{ik} , τ , ζ_1 , ζ_2 , ζ_3 , g_i , g_k , k_P and k_D (Eq. 1) are inherently
 220 specific but have different values depending on species and environmental conditions (e.g. light,
 221 temperature, CO_2 concentration and relative humidity). Therefore, for a given species and at given
 222 environmental conditions, we can assume that $\alpha_e = \frac{\alpha' \beta' N_0 \sigma_{ik} \varphi}{S}$ ($\mu\text{mol electrons } (\mu\text{mol photons})^{-1}$) is

223 the initial slope of light-response curve of electron transport rate, $\beta_e = \frac{(1 - g_i/g_k) \sigma_{ik} \tau}{\zeta_3 + (\zeta_1 k_P + \zeta_2 k_D) \tau}$ ($\text{m}^2 \text{ s } (\mu\text{mol}$
 224 $\text{photons})^{-1}$) is the dynamical down-regulation term of PS II, and $\gamma_e = \frac{(1 + g_i/g_k) \sigma_{ik} \tau}{\zeta_3 + (\zeta_1 k_P + \zeta_2 k_D) \tau}$ ($\text{m}^2 \text{ s } (\mu\text{mol}$
 225 $\text{photons})^{-1}$) is the saturation term of photosynthesis. Eq. 1 can be simplified as,

$$226 \quad ETR = \alpha_e \frac{1 - \beta_e I}{1 + \gamma_e I}, \quad (2)$$

227 PAR_{sat} is calculated from Eq. 3,

$$228 \quad PAR_{\text{sat}} = \frac{\sqrt{(\beta_e + \gamma_e)/\beta_e} - 1}{\gamma_e}, \quad (3)$$

229 Thus PAR_{sat} depends on σ_{ik} , τ , k_D , k_P , g_i , g_k , ζ_1 , ζ_2 and ζ_3 , but it is independent of N_0 .

230 The maximum value for ETR (ETR_{max}) = $\alpha_e \frac{1 - \beta_e \cdot PAR_{\text{sat}}}{1 + \gamma_e \cdot PAR_{\text{sat}}} PAR_{\text{sat}}$, and it can be simplified as,

$$231 \quad ETR_{\text{max}} = \alpha_e \left(\frac{\sqrt{\beta_e + \gamma_e} - \sqrt{\beta_e}}{\gamma_e} \right)^2, \quad (4)$$

232 Moreover, compared Eq. 1 with $ETR = \alpha' \times \beta' \times \Phi_{\text{PSII}} \times I$ (Krall & Edward, 1992), the
 233 relationship between Φ_{PSII} and I is described by Eq. 5,

$$234 \quad \Phi_{\text{PSII}} = \frac{N_0 \sigma_{\text{ik}} \varphi}{S} \times \frac{1 - \frac{(1 - g_i/g_k) \sigma_{\text{ik}} \tau}{\xi_3 + (\xi_1 k_p + \xi_2 k_D) \tau} I}{1 + \frac{(1 + g_i/g_k) \sigma_{\text{ik}} \tau}{\xi_3 + (\xi_1 k_p + \xi_2 k_D) \tau} I}, \quad (5)$$

235 Eq. 5 demonstrates that Φ_{PSII} is closely related with intrinsic characteristics of light-harvesting
 236 pigment molecules, it does not only depend on I , but also on N_0 , σ_{ik} , τ , φ , k_p , k_D , g_i , g_k , ξ_1 , ξ_2 and ξ_3 .
 237 In particular, Eq. 5 reveals that Φ_{PSII} increases with increasing k_p or k_D , and decreases with
 238 increasing τ . In addition, Eq. 5 can be simplified as:

$$239 \quad \Phi_{\text{PSII}} = \frac{\alpha_c}{\alpha \beta} \frac{1 - \beta_c I}{1 + \gamma_c I}, \quad (6)$$

240 Eq. 6 shows clearly that Φ_{PSII} decreases non-linearly with increasing I at given
 241 environmental conditions (e.g. air temperature, CO₂ concentration and relative humidity).

242 In addition, the effective absorption cross-section of light-harvesting pigment molecules (σ'_{ik})
 243 can also be expressed as a function of I (Ye *et al.*, 2013a, 2013b). Namely,

$$244 \quad \sigma'_{\text{ik}} = \frac{\sigma_{\text{ik}}}{1 + \frac{(1 + g_i/g_k) \sigma_{\text{ik}} \tau I}{\xi_3 + (\xi_1 k_p + \xi_2 k_D) \tau}} \left[1 - \frac{(1 - g_i/g_k) \sigma_{\text{ik}} \tau I}{\xi_3 + (\xi_1 k_p + \xi_2 k_D) \tau} \right] \quad (7)$$

245 Eq. 7 shows that σ'_{ik} increases with k_p , k_D , ξ_1 , ξ_2 , ξ_3 and $1/\tau$ but decreases with I . $\sigma'_{\text{ik}} = \sigma_{\text{ik}}$
 246 when $I = 0$. As such, the light absorption cross-section is not a constant under any given
 247 irradiance (excluding $I = 0$).

248 Compared Eq. 5 with Eq. 7, the relationship between Φ_{PSII} and σ'_{ik} is described by Eq. 8

$$249 \quad \Phi_{\text{PSII}} = \frac{\alpha_c}{\alpha \beta} \times \frac{\sigma'_{\text{ik}}}{\sigma_{\text{ik}}} \quad (8)$$

250 Under given environmental conditions, the values of α_c , σ_{ik} , α' and β' are the constants.
 251 Therefore, Eq. 8 demonstrates that Φ_{PSII} is directly proportional to σ'_{ik} and it changes as a function
 252 of σ'_{ik} .

253

254 Model 2

255 Effective quantum efficiency of PSII (Φ_{PSII}) ranges from 0 to 1 (the maximum is not usually
 256 higher than 0.85). It has been found experimentally that Φ_{PSII} usually follows a simple

257 exponential decay function (Webb *et al.*, 1974; Ritchie, 2008 ; Ritchie *et al.*, 2010), namely,

$$258 \quad \Phi_{\text{PSII}} = \Phi_{\text{PSIImax}} \times e^{-k_w I} \quad (9)$$

259 Φ_{PSIImax} is the maximum effective quantum efficiency which means the effective quantum
260 efficiency at theoretical zero irradiance, k_w is a scaling constant, and I is the irradiance. The
261 Φ_{PSIImax} can be obtained by Eq. 9.

262 Substituting Eq. 9 into $ETR = \alpha' \times \beta' \times \Phi_{\text{PSII}} \times I$ (Krall & Edward, 1992), we get the following
263 expression for ETR :

$$264 \quad ETR = \alpha' \times \beta' \times I \times \Phi_{\text{PSIImax}} \times e^{-k_w I} \quad (10)$$

265 Using Eq. 10, we can calculate saturation irradiance ($PAR_{\text{sat}} = 1/k_w$) and maximum electron
266 transport rate ($ETR_{\text{max}} = \alpha' \times \beta' \times PAR_{\text{sat}} \times \Phi_{\text{PSIImax}} e^{-1}$).

267 Here we take both Eqs. 9 and 10 as model 2.

268 **Model 3**

269 After Webb *et al.* (1974) introduced an exponential function, Smyth *et al.* (2004) and Silsbe
270 & Kromkamp (2012) used this function to fit light-response curves of Φ_{PSII} (Eq. 11).

$$271 \quad \Phi_{\text{PSII}} = \frac{F_v}{F_m} \times \frac{PAR_{\text{sat}}}{I} [1 - \exp(-I/PAR_{\text{sat}})], \quad (11)$$

272 F_v/F_m is the 'dark-adapted' maximum operating efficiency of PS II and PAR_{sat} is the saturation
273 irradiance (Smyth *et al.*, 2004). The F_v/F_m and PAR_{sat} can be obtained by Eq. 11.

274 Similarly, substituting Eq. 11 into $ETR = \alpha' \times \beta' \times \Phi_{\text{PSII}} \times I$ (Krall & Edward, 1992), we get the
275 following expression for ETR ,

$$276 \quad ETR = \alpha' \times \beta' \times \frac{F_v}{F_m} PAR_{\text{sat}} [1 - \exp(-I/PAR_{\text{sat}})], \quad (12)$$

277 The maximum ETR can be calculated by the following formula,

$$278 \quad ETR_{\text{max}} = \alpha' \times \beta' \times \frac{F_v}{F_m} PAR_{\text{sat}} [1 - \exp(-1)] \quad (13)$$

279 Similarly, here we take Eqs. 12 and 13 as model 3.

280

281 **Chlorophyll determination**

282 Leaf discs from control areas were rapidly frozen in liquid nitrogen and ground to powder.
283 Then the chlorophyll (Chl) was extracted with 80% (v/v) acetone and quantified with

284 spectrophotometer (*UVICON-930*, Kontron Instruments, Zürich, Switzerland). Chl *a* was
285 determined at wavelength 663 nm, Chl *b* at 646 nm and carotenoids at 470 nm (Lichtenthaler 1987).
286 Total chlorophyll content was determined as described by Porra, Thompson & Kriedermann
287 (1989).

288

289 **Statistical analysis**

290 All variables are expressed as mean values (\pm SE) from five samples for each species. Data
291 were analyzed with one-way analysis of variance (ANOVA) and then the values of ETR_{\max} and
292 PAR_{sat} estimated by three models were compared using a paired-sample *t* test at $\alpha < 0.05$ (α -
293 significance level) using the SPSS 18.0 statistical software (SPSS, Chicago, IL). In addition, to
294 compare the advantages and disadvantages of the study models, we took the
295 Akaike's information criterion (*AIC*) and determination coefficient (R^2) as two indicators to
296 assess the fitting results of the three models. *AIC* was calculated by reference to Akaike's method
297 (1973), and R^2 was given directly by SPSS12.5 after fitting the data.

298

299 **Results**

300 **Light-response curves of electron transport rate**

301 Representative *ETR-I* curves (fitting the model 1, 2 and 3) for mung bean under three light
302 environments are given in Fig. 1. In LL, *ETR* initially increased (almost linearly) with *I* towards
303 saturation and subsequently, beyond the saturation irradiances exhibited a fast decline, suggesting
304 dynamic down-regulation of PS II or photoinhibition (Fig. 1a). Under ML and HL, beyond PAR_{sat} ,
305 *ETR* values exhibited a little decline with increasing *I* (Fig. 1b and 1c). When the values of PAR_{sat}
306 were compared among all the light environments, they ranged from 150 to 417 $\mu\text{mol photons m}^{-2}$
307 s^{-1} . ETR_{\max} followed a similar trend as PAR_{sat} and the values of ETR_{\max} ranged from 7.36 to 26.04
308 $\mu\text{mol electrons m}^{-2} \text{s}^{-1}$ given the little difference in initial slope of *J-I* curves ranging from 0.456
309 to 0.586 (Table 2). Moreover, it should be noted that under LL ETR_{\max} ($7.20 \pm 0.52 \mu\text{mol electrons}$
310 $\text{m}^{-2} \text{s}^{-1}$), PAR_{sat} ($149.56 \pm 8.81 \mu\text{mol photons m}^{-2} \text{s}^{-1}$) and the initial slope of *ETR-I* curve [ca. 0.456
311 $\mu\text{mol electrons} (\mu\text{mol photons})^{-1}$] were lowest among three light environments. This result
312 indicates that the capacity of light energy conversion of plants growing in LL is lower than in ML
313 and in HL.

314 We used models 1, 2 and 3 to simulate light-response curves of electron transport rate of mung
315 bean under three light environments. Fitted results showed that models 2 and 3 differed markedly
316 from the measured data (Fig. 1). Under three light environments (LL, ML and HL), the coefficients
317 of determination (R^2) for model 1 were 0.914, 0.828 and 0.982; for model 2 R^2 is 0.712, 0.223 and
318 0.592; for model 3 R^2 is 0.239, 0.792 and 0.955, respectively. Comparing the goodness of fit of the
319 models, model 1, generally, had the best fit and showed greatest *AIC* values.

320 Under three light environments, the values of ETR_{\max} and PAR_{sat} estimated by model 1 were in
321 agreement with the measured data, whereas model 2 overestimated ETR_{\max} and PAR_{sat} , and model 3
322 greatly underestimated ETR_{\max} and PAR_{sat} (Table 1). ETR_{\max} and PAR_{sat} , which are estimated by
323 models 1, 2 and 3, R^2 and *AIC* derived from $ETR-I$ curves (Fig. 1) are given in Table 1. Model 1
324 simulated well $ETR-I$ curves, while models 2 and 3 fitted poorly $ETR-I$ curves (Fig.1).

325

326 **Light-response curves of Φ_{PSII}**

327 Mung bean under three light environments exhibited a characteristic initial decrease of Φ_{PSII}
328 with irradiance (Fig. 1). In LL, the decline of Φ_{PSII} differed significantly from HL and decreased
329 more abruptly compared with the other light environments (Fig. 2). It indicates that plants growing
330 in LL had the lowest light energy use efficiency to drive the photochemistry. Moreover, the
331 simulations of the relationship between Φ_{PSII} and irradiance by model 1 are in perfect agreement
332 with the experimental data ($R^2 \geq 0.985$) (Fig. 2).

333 In addition, the values of PAR_{sat} calculated by Eqs. 3 were not significantly different at a given
334 light intensity (Table 2). Φ_{PSII} (and PAR_{sat}) calculated by Eqs. 9 (and 10) showed significant
335 differences in each light environment (Table 3). Furthermore, Φ_{PSII} (and PAR_{sat}) calculated by Eqs.
336 11 and 12 differed significantly in each light environment (Table 4). For example, PAR_{sat} estimated
337 by Eqs. 11 and 12 were 11.38 and 24.69 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ in LL, respectively. However, the
338 measured value of PAR_{sat} was about 150 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$.

339

340 **Light-response curves of non-photochemical quenching (NPQ)**

341 Representative $NPQ-I$ curves for mung bean under three light environments are given in Fig.
342 3. Under LL and ML NPQ initially increased (almost linearly) with I and subsequently, at the
343 middle irradiances (about 250 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$), NPQ stabilized and then it increased with I .

344 In HL, NPQ increased fast when irradiances are beyond $250 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ (Fig.3c).
345 According to Eqn. (1), plants growing in HL have higher ETR than in LL and ML.

346

347 **Light-response curves of effective light energy absorption cross-section**

348 The potential for light-harvesting pigments to absorb light energy is reflected by the value of
349 σ_{ik} which increases with the amount of light energy absorbed. For example, in LL, ML and in HL,

350 σ_{ik} for mung bean calculated by $\sigma_{ik} = \frac{S\alpha_e}{\alpha' \beta' \phi N_0}$ (where S is the measured leaf area, α_e is the initial

351 slope of the light response of photosynthetic electron transport rate, α' is the fraction of light

352 absorbed by photosystem II, β' is leaf absorptance, ϕ is excitation efficiency of PS II, i.e. for

353 charge separation of P680) is $(7.62 \pm 0.27) \times 10^{-21} \text{ m}^2$, $(9.85 \pm 0.46) \times 10^{-21} \text{ m}^2$ and

354 $(11.65 \pm 0.68) \times 10^{-21} \text{ m}^2$, respectively; these values are all significantly different from one another

355 ($n = 5$, one-way ANOVA followed by Tukey's test, $\alpha < 0.05$). Eq. 7 shows that σ_{ik}' increases with

356 k_p or k_D , but decreases (non-linearly) with increasing values of I , and/or τ . Therefore, the

357 competition for each exciton amongst photochemistry, heat dissipation and fluorescence emission

358 directly affects the effective light energy absorption cross-section of light-harvesting pigments

359 (σ_{ik}') (Fig. 4). At a given irradiance in $\sigma_{ik}'-I$ curves, the higher σ_{ik}' is, the more light energy it

360 absorbs. For example, in Fig. 4 at $I = 600 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ σ_{ik}' is $0.12 \times 10^{-21} \text{ m}^2$, 0.26×10^{-21}

361 m^2 and $0.36 \times 10^{-21} \text{ m}^2$ under LL, ML and HL. It indicated that under HL mung bean had a higher

362 ability to absorb light energy than under LL and ML.

363 **Discussion**

364 We have built a new model of the relationship between Φ_{PSII} and I based on light absorption
365 and energy transfer to the reaction centers via photosynthetic pigments. It includes all relevant
366 processes involving light energy absorption and conversion and transfer to the reaction centers of
367 PS II (Ye *et al.*, 2013a, 2013b).

368 The present study was focused on the relationships between Φ_{PSII} , ETR , σ_{ik} and I in mung bean
369 seedlings growing in one of three light environments. Our main results were the Eq. 1 and 2 used to

370 determine ETR in function of I and explain the interdependence between ETR and biophysical
371 parameters, such as σ'_{ik} . What is most important, we found that the Φ_{PSII} decrease is caused by the
372 reduction of σ'_{ik} with increasing I . This finding is of fundamental importance to understand the
373 molecular and biophysical mechanisms of variation in Φ_{PSII} under the changing light environment.
374 In our study Φ_{PSII} not only depends on N_0 , σ_{ik} and φ when environmental factors (e.g. irradiance,
375 CO_2 concentration and temperature) are constant, but it depends also on τ , k_P , k_D , g_i , g_k , ζ_1 , ζ_2 and ζ_3 ,
376 specially on τ , k_P and k_D which are closely associated with processes of light energy absorption,
377 excitons production and excitation energy transfer to reaction centers. In Eq. 5 Φ_{PSII} increases with
378 k_P (Fig. 1) and k_D (Fig. 3) when they increase with light intensity, but Φ_{PSII} decreases with τ .

379 We used models 1, 2 and 3 to simulate $ETR-I$ and $\Phi_{PSII}-I$ curves of mung bean in three light
380 environmental conditions. There was evidence that model 2 and model 3 did not satisfactorily fit
381 $ETR-I$ and $\Phi_{PSII}-I$ curves. Generally, the values of PAR_{sat} and ETR_{max} estimated by model 2 were
382 higher than the measured values (Table 2), while the values of PAR_{sat} and ETR_{max} estimated by
383 model 3 were lower than the measured values (Table 2). These results were similar to Laws *et al.*
384 (2002), Smyth *et al.* (2004), Silsbe & Kromkamp (2012). The values of PAR_{sat} and ETR_{max}
385 estimated by model 1 were very close to the measured values (Table 2). Thus, we concluded that
386 models 2 and 3 simulate poorly $ETR-I$ and $\Phi_{PSII}-I$ curves, especially under high level of actinic
387 light inducing dynamic down-regulation of PS II.

388 Mathematical models are not only useful to reproduce and explain the observed plants behavior,
389 but also to make predictions and attempt to answer more fundamental questions. For example,
390 model 1 (Eq. 5) forecasts increases in Φ_{PSII} with k_P and k_D , and decreases with increasing τ . Our
391 results confirm that Φ_{PSII} increases with k_P and k_D . In HL k_P (reflected in ETR) and k_D (reflected in
392 NPQ) (Table 2 and Fig. 3) were higher compared with LL and ML. What is of great importance,
393 based on Eq. 5 or 8, the reason that Φ_{PSII} decreases with increasing I is the decrease in σ'_{ik} . In this
394 study, in HL, mung bean shows the most abrupt decrease in σ'_{ik} compared with LL and ML (Fig. 4).

395 So far we have deliberately excluded that the environmental factors influence Φ_{PSII} to focus
396 on properties of photosynthetic pigments and PSII which may have a significant effect on Φ_{PSII} .
397 With the model presented here, it is straightforward to include equations representing $ETR-I$ and

398 $\Phi_{\text{PSII}}-I$ which are associated with light energy absorption, excitons production and its transfer to
399 photochemical reaction centers, which may answer why LL mung bean has the lowest Φ_{PSII} ,
400 ETR_{max} and PAR_{sat} values, and also explain why its $ETRs$ decline fastest compared with ML and
401 HL plants. If the model of the relationship between Φ_{PSII} and I is combined with Eq. 1 (Ye *et al.*
402 2013a), much information on physical and biochemical parameters of photosynthetic pigments
403 could be obtained. Additionally, the model of the relationship between Φ_{PSII} and I indicates Φ_{PSII}
404 to be proportional to the product of N_0 , φ and σ_{ik} besides τ , k_{P} , k_{D} , g_{i} , g_{k} , ζ_1 , ζ_2 and ζ_3 . Therefore,
405 plants with a higher product of N_0 , φ and σ_{ik} have a higher initial value of Φ_{PSII} .

406 In conclusion, the proposed model provides the means to predict and simulate the $\Phi_{\text{PSII}}-I$
407 curves. The Φ_{PSII} decrease with increasing I stems from the decrease in effective light energy
408 absorption cross-section of photosynthetic pigments (see Ye *et al.*, 2013a, 2013b). When the
409 presented model is combined with the mechanistic model of $ETR-I$, they could become an
410 effective tool towards identifying novel mechanistic properties by which plants modify their light
411 harvesting properties and show photoacclimation (Dubinsky & Stambler, 2009), photoprotection
412 (Takahashi & Badger, 2011; Niyogi & Truong, 2013), dynamic down-regulation of PSII (Ralph &
413 Gademann, 2005) or photoinhibition (Govdjee, 2002) in response to environmental stressors. A
414 cutting-edge next step will be to investigate more species adapted to different environmental
415 conditions along geographical gradients to distinguish between genetic and environmental factors
416 effects on Φ_{PSII} .

417

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Tables

Table 1. Measured data and results fitted by three models for *ETR-I* curves of mung bean under three light environments. ETR_{max} and PAR_{sat} estimated by three models were compared using a paired-sample *t* test at $\alpha < 0.05$ (α - significance level); the values followed by the different superscript letters are significantly different among three models in each light environment. All values indicate the mean \pm SE ($n = 5$) except measured data.

Photosynthetic parameters	100 $\mu\text{mol photons m}^{-2}\text{s}^{-1}$				200 $\mu\text{mol photons m}^{-2}\text{s}^{-1}$				340 $\mu\text{mol photons m}^{-2}\text{s}^{-1}$			
	Model 1	Model 2	Model 3	Measured data	Model 1	Model 2	Model 3	Measured data	Model 1	Model 2	Model 3	Measured data
PAR_{sat} ($\mu\text{mol photons m}^{-2}\text{s}^{-1}$)	149.56 $\pm 8.81^b$	191.86 $\pm 13.26^a$	11.38 $\pm 1.13^c$	≈ 150	249.98 $\pm 12.56^b$	304.62 $\pm 10.05^a$	21.11 $\pm 1.04^c$	≈ 250	447.01 $\pm 20.80^b$	470.89 $\pm 11.72^a$	52.88 $\pm 1.10^b$	≈ 437
ETR_{max} ($\mu\text{mol electrons m}^{-2}\text{s}^{-1}$)	7.20 $\pm 0.52^b$	9.37 $\pm 0.70^a$	3.02 $\pm 0.30^c$	≈ 7.36	9.90 $\pm 0.32^b$	13.53 $\pm 0.41^a$	5.60 $\pm 0.28^c$	≈ 10.25	26.07 $\pm 1.17^b$	32.89 $\pm 1.36^a$	13.84 $\pm 0.26^c$	≈ 26.04
R^2	0.914 ± 0.009	0.712 ± 0.008	0.239 ± 0.005	-	0.948 ± 0.004	0.223 ± 0.009	0.792 ± 0.002	-	0.982 ± 0.005	0.592 ± 0.024	0.955 ± 0.004	-
<i>AIC</i>	-18.51	-20.23	-13.17		-9.92	-0.15	-33.73		16.70	17.34	-19.10	

Table 2 Measured data and results fitted by model 1 for $ETR-I$ and Φ_{PSII-I} of mung bean under three light environments. The values of ETR_{max} and PAR_{sat} estimated by model 1 were compared using a paired-sample t test at $\alpha < 0.05$ (α - significance level); the values followed by the different superscript letters are significantly different in each light environment. All values indicate the mean \pm SE ($n = 5$) except measured data.

Photosynthetic parameters	100 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$			200 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$			340 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$		
	Model 1		Measured data	Model 1		Measured data	Model 1		Measured data
$\Phi_{PSII_{max}}$	0.742 ± 0.016	-	-	0.772 ± 0.012	-	-	0.756 ± 0.019	-	-
PAR_{sat}	161.19 $\pm 12.75^a$	149.56 $\pm 8.82^a$	≈ 150	285.06 $\pm 8.62^a$	249.98 $\pm 12.56^a$	≈ 250	396.36 $\pm 6.90^a$	447.01 $\pm 20.80^a$	≈ 437
ETR_{max}	-	7.20 ± 0.52	≈ 7.36	-	9.90 ± 0.32	≈ 10.25	-	26.07 ± 1.17	≈ 26.04
R^2	0.998 ± 0.005	0.914 ± 0.009	-	0.996 ± 0.004	0.948 ± 0.004	-	0.985 ± 0.013	0.954 ± 0.005	-

Table 3 Measured data and results fitted by model 2 for $ETR-I$ and Φ_{PSII-I} of mung bean under three light environments. ETR_{max} , PAR_{sat} and $\Phi_{PSII_{max}}$ estimated by model 2 were compared using a paired-sample t test at $\alpha < 0.05$ (α - significance level); the values followed by the different superscript letters are significantly different in each light environment. All values indicate the mean \pm SE ($n = 5$) except measured data.

Photosynthetic parameters	100 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$			200 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$			340 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$		
	Model 2		Measured data	Model 2		Measured data	Model 2		Measured data
$\Phi_{PSII_{max}}$	Φ_{PSII-I}	$ETR-I$	-	Φ_{PSII-I}	$ETR-I$	-	Φ_{PSII-I}	$ETR-I$	-
	0.703 $\pm 0.015^a$	0.316 $\pm 0.012^b$	-	0.759 $\pm 0.004^a$	0.288 $\pm 0.010^b$	-	0.758 $\pm 0.006^a$	0.454 $\pm 0.026^b$	-
PAR_{sat}	65.08 $\pm 10.05^b$	191.86 $\pm 13.26^a$	≈ 150	147.64 $\pm 5.47^b$	304.62 $\pm 10.05^a$	≈ 250	238.28 $\pm 12.91^b$	470.89 $\pm 11.72^a$	≈ 437
	-	9.37 ± 0.70	≈ 7.2	-	13.53 ± 0.41	≈ 10.25	-	32.89 ± 1.36	26.04
R^2	0.983 ± 0.011	0.776 ± 0.054	-	0.989 ± 0.005	0.226 ± 0.051	-	0.991 ± 0.003	0.652 ± 0.025	-

Table 4 Measured data and results fitted by model 3 for $ETR-I$ and Φ_{PSII-I} curves of mung bean under three light environments. ETR_{max} and PAR_{sat} estimated by model 3 were compared using a paired-sample t test at $\alpha < 0.05$ (α - significance level); the values followed by the superscript letters are significantly different in each light environment. All values indicate the mean \pm SE ($n = 5$) except measured data.

Photosynthetic parameters	100 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$			200 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$			340 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$		
	Model 3		Measured data	Model 3		Measured data	Model 3		Measured data
	$ETR-I$	Φ_{PSII-I}		$ETR-I$	Φ_{PSII-I}		$ETR-I$	Φ_{PSII-I}	
$\Phi_{PSIImax}$	-	-	-	-	-	-	-	-	-
PAR_{sat}	11.38 $\pm 1.13^b$	24.69 $\pm 1.41^a$	≈ 150	21.11 $\pm 1.04^b$	52.61 $\pm 1.84^a$	≈ 250	52.88 $\pm 1.10^b$	103.01 $\pm 4.49^a$	≈ 417
ETR_{max}	3.02 ± 0.030	-	≈ 7.2	5.60 ± 0.28	-	≈ 10.25	13.84 ± 0.26	-	≈ 26.04
R^2	0.301 ± 0.048	0.995 ± 0.008	-	0.795 ± 0.025	0.996 ± 0.003	-	0.959 ± 0.006	0.984 ± 0.016	-

Captions of figures

Figure 1. Light-response curves of electron transport rate of mung bean under three light environments (a, LL - 100, b, ML - 200 and c, HL - 340 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$) and the curves simulated by models 1, 2 and 3 .

Figure 2. Light-response curves of effective quantum efficiency (Φ_{PSII}) of mung bean under three light environments (a, LL - 100, b, ML - 200 and c, HL - 340 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$) and the curves simulated by models 1, 2 and 3.

Figure 3. Light-response curves of non-photochemical quenching ($NPQ-I$) of mung bean under three light environments (a, LL - 100, b, ML - 200 and c, HL - 340 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$).

Figure 4. Light-response curves of effective light energy absorption cross-section ($\sigma'_{ik} -I$) of mung bean under three light environments (a, LL - 100, b, ML - 200 and c, HL - 340 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$).

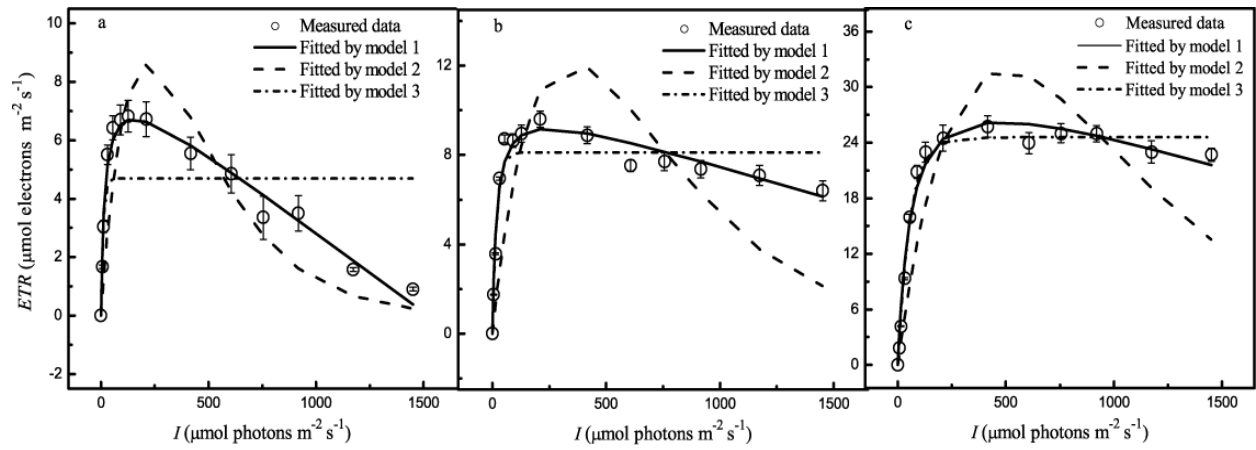


Figure 1

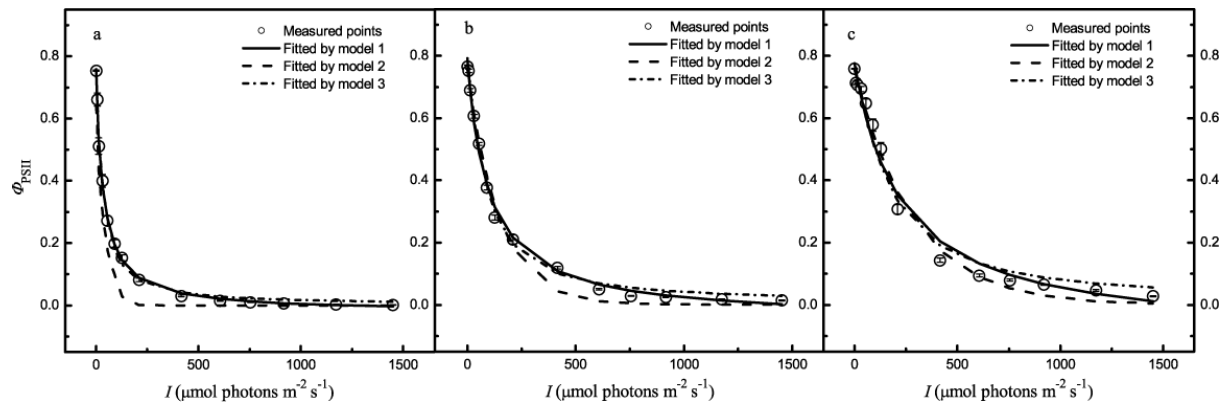


Figure 2

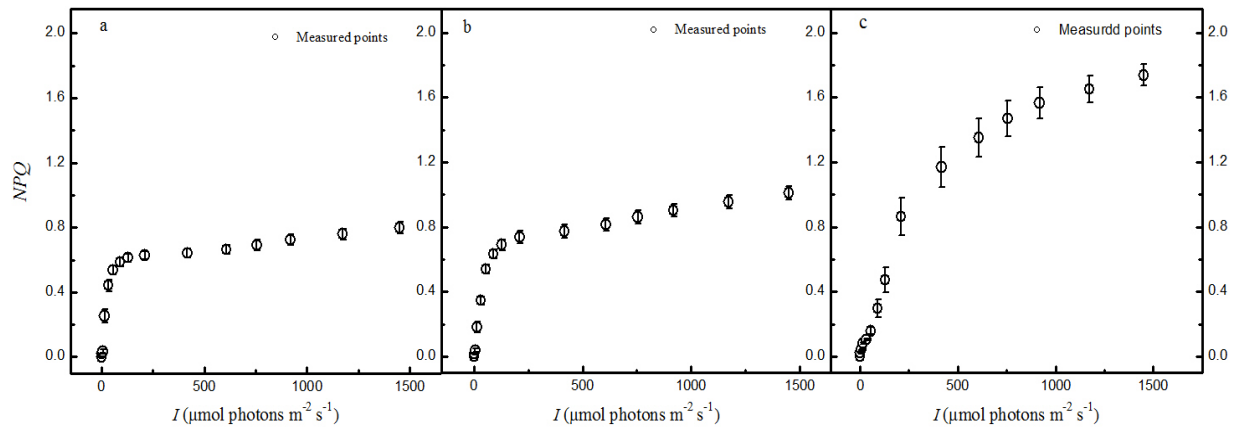


Figure 3

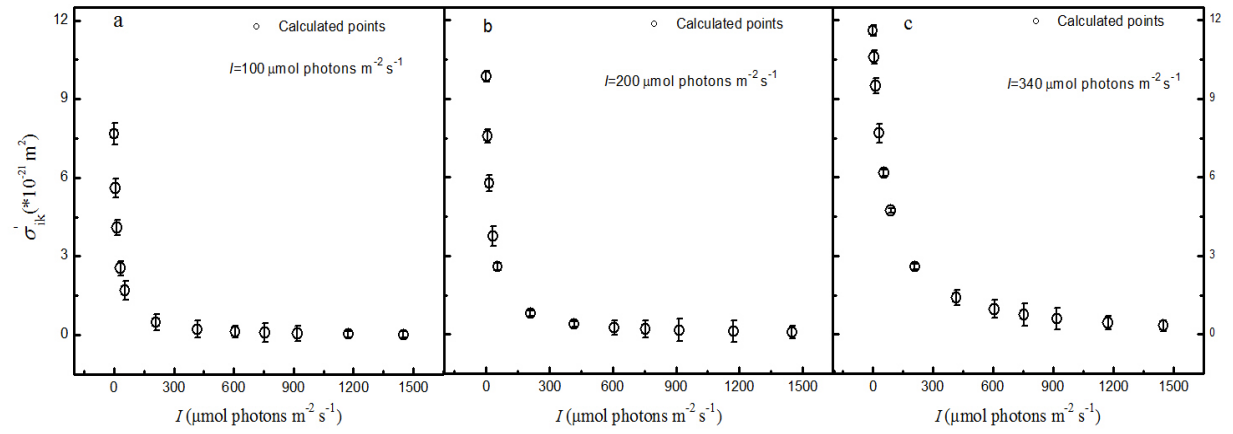


Figure 4