Toward Machine Learning-based Data-driven Functional Protein Studies: Understanding Colour Tuning Rules and Predicting the Absorption Wavelengths of Microbial Rhodopsins

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Abstract

2	The light-dependent ion-transport function of microbial rhodopsin has
3	been widely used in optogenetics for optical control of neural activity. In
4	order to increase the variety of rhodopsin proteins having a wide range of
5	absorption wavelengths, the light absorption properties of various wild-
6	type rhodopsins and their artificially mutated variants were investigated
7	in the literature. Here, we demonstrate that a machine-learning-based
8	(ML-based) data-driven approach is useful for understanding and predict-
9	ing the light-absorption properties of microbial rhodopsin proteins. We
10	constructed a database of 796 proteins consisting of microbial rhodopsin
11	wild types and their variants. We then proposed an ML method that
12	produces a statistical model describing the relationship between amino-
13	acid sequences and absorption wavelengths and demonstrated that the
14	fitted statistical model is useful for understanding colour tuning rules and
15	predicting absorption wavelengths. By applying the ML method to the
16	database, two residues that were not considered in previous studies are
17	newly identified to be important to colour shift.

18 1 Introduction

1

Microbial rhodopsin is a photoreceptive membrane protein of microbial species, 19 such as eubacteria, archaea, fungi, and algae. The functions of microbial rhodopsin 20 are very diverse. Light-driven ion (proton, chloride, sodium, and so on) pumps, 21 light-gated cation and anion channels, photochromatic gene regulator and light-22 regulated enzymes have been reported for various species¹. The light-dependent 23 ion-transport function of microbial rhodopsin is widely used in optogenetics 24 for optical control of neural activity in the brain network². Most microbial 25 rhodopsins bind a common chromophore, all-trans retinal, via a protonated 26 Schiff-base linkage in the center of the hepta-transmembrane scaffold (Fig. 1). 27 Each microbial rhodopsin exhibits a variety of specific visible absorption wave-28 lengths of their retinal. While the protonated all-trans retinal Schiff-base shows 29

the absorption peak at ~450 nm in organic solvents³, the wavelengths of absorption maxima of retinal (λ_{max} s) in microbial rhodopsin range from 436 nm of channel-rhodopsin from *Tetraselmis striata* (*Ts*ChR)⁴ to 587 nm of sensory rhodopsin I⁵. This wide-range colour tuning of the retinal in rhodopsin is considered to be achieved by optimizing the steric and/or electrostatic interaction with surrounding amino-acid residues.

Increasing the variety of absorption wavelengths enables simultaneous optical 36 control by different colours of light. Furthermore, the microbial rhodopsin hav-37 ing highly red-shifted absorption maximum is strongly demanded for optogenetic 38 application, because of the lower phototoxicity and higher tissue-penetration 39 length of longer-wavelength light⁴. As such, various rhodopsin genes have been 40 screened in order to find additional colour-shifted proteins^{4,6}. While many 41 blue-absorbing rhodopsin at $\lambda < 500$ nm have been reported⁷ and even ap-42 plied to optogenetics⁴, the longer absorption maxima are limited in < 600 nm. 43 Thus, further artificial molecular modifications of protein were needed in order 44 to achieve greater red-shifted absorption. Random and/or semi-empirical point 45 mutations identify the types of amino-acid mutation that are effective for colour 46 tuning^{8,9}. Although numerous mutations causing bathochromic shift without 47 disrupting protein function were identified in this way, the degree of shift is 48 insufficient for application, and comprehensive screening is difficult because of 49 the large number of possible mutations (> 20^{200}). Although more rational 50 molecular design is expected for quantum chemical calculation to estimate the 51 absorption energy¹⁰, its high calculation cost makes application to wide-range 52 screening difficult. An alternative technique for expanding the absorption range 53 is the incorporation of natural or artificial retinal analogues¹¹. For optogenetic 54 application, however, a tissue-directed delivery method of these analogues must 55 be developed. 56

In the present paper, we report the results of a data-driven approach for studying the light-absorption properties of microbial rhodopsin proteins by machine learning (ML). We constructed a database of 796 proteins consisting of microbial rhodopsin wildtypes and their variants, some of which were previously

reported in the literature and others of which are newly reported herein (see 61 Supplementary Table 1). Each entry of the database consists of the amino-acid 62 sequence and absorption wavelength $\lambda_{\rm max}$ of a rhodopsin. We introduce an ML 63 method for constructing a statistical model describing the relationship between 64 amino-acid sequences and absorption wavelengths. The goal of the present paper is to demonstrate the effectiveness of ML-based data-driven approaches for 66 functional protein studies. By constructing a database based on past experi-67 mental results and applying an ML method to the database, a statistical model 68 describing the relationship between amino-acid sequences and molecular prop-69 erties can be constructed. In the context of microbial rhodopsin studies, we 70 illustrate the utility of such a statistical model by demonstrating that it can 71 be effectively used for understanding the colour tuning rules and predicting the 72 absorption wavelength (see Fig. 2). 73

We consider the following hypothetical scenario for the purpose of demon-74 stration. The database is divided into two sets: a target protein set and a 75 training protein set. The target set contains KR2 wild-type rhodopsin and its 76 variants (which, in the present study, are assumed to be uninvestigated as of 77 yet), whereas the training set contains the remaining proteins in the database. 78 We constructed an ML model using only the proteins in the training set. The 79 constructed model was then applied to the proteins in the target set for pre-80 dicting the absorption wavelengths of KR2 and its variants. This scenario is 81 interpreted as a hypothetical situation where a researcher is interested in pre-82 dicting the absorption wavelengths of a new group of rhodopsin proteins based 83 on previously reported data on other groups of rhodopsin proteins. 84

Among the various available ML methods, we used a group-wise sparse learning approach^{12,13,14}. The advantages of group-wise sparse learning approaches are not only predictability but also interpretability of the constructed models. As we report later herein, by using a group-wise sparse learning approach, the absorption wavelengths of KR2 and its variants could be predicted from their amino-acid sequences with an average error of ± 7.8 nm. The residues affecting the absorption wavelength were also identified, and their strength for colour

shift and the effect of mutation were quantitatively investigated. Through this 92 analysis, the positions of BR Glu161 and Ala126, the effects for colour shift 93 of which were not reported in previous studies, were newly shown to signifi-94 cantly affect the absorption wavelengths. Furthermore, the model constructed 95 by a group-wise sparsity learning approach enables the identification of *active* residues, i.e., residues for which the choice of the amino-acid species has a great 97 influence on the absorption wavelength. Although we herein focus on the pre-98 diction of absorption wavelengths of rhodopsin proteins, the same ML approach 99 can be used to predict other molecular properties in other types of functional 100 proteins. 101

$_{102}$ 2 Results

Microbial rhodopsin database In order to demonstrate the effectiveness 103 of ML-based data-driven approaches for microbial rhodopsin studies, we con-104 structed a database. The database is composed of amino-acid sequences and 105 absorption wavelengths $\lambda_{\rm max}$ of 519 proteins previously reported in the liter-106 ature and 277 proteins investigated by our group without previous report (see 107 Supplementary Table 1). As reported in a previous study¹⁵, for data-driven 108 approaches such as the present study, it is important to construct a database 109 containing not only reported experimental results but also unreported results. 110 We applied alignment algorithm ClustalW to these amino-acid sequences and 111 obtained aligned sequences of 475 residues, among which we extracted the trans-112 membrane region, resulting in 210 residues. For the purpose of demonstration, 113 we divided the dataset into a target protein set and a training protein set (see 114 Fig. 3). 115

The target set consists of 119 rhodopsin proteins in the KR2 group (KR2 wildtype and its 118 variants), whereas the training set consists of the remaining 677 rhodopsin proteins (see Figs. 1 and 3). We applied an ML method to the training set and constructed a statistical model describing the relationship between the amino-acid sequences and absorption wavelengths. The statistical ¹²¹ model was then applied to the rhodopsin proteins in the target set in order ¹²² to predict their absorption wavelengths. This scenario assumes a hypotheti-¹²³ cal situation in which a researcher is interested in investigating a new group ¹²⁴ of rhodopsin proteins based on previously reported data on other groups of ¹²⁵ rhodopsin proteins.

Machine learning method In order to handle amino-acid sequences in the 126 ML framework, we introduced a binary representation, as depicted in Fig. 4(a). 127 Let M = 20 be the number of different amino-acid species, and let N = 210 be 128 the number of residues considered herein. Then, an amino-acid sequence is rep-129 resented by $M \times N = 4,200$ binary variables, which we denote as $x \in \{0,1\}^{MN}$. 130 We consider a linear model for such MN-dimensional variables with an intercept 131 parameter β_0 and MN coefficient parameters $\beta_{i,j}$, $i = 1, \ldots, M, j = 1, \ldots, N$ 132 (see Fig. 4(b)). These 1 + MN parameters are fitted based on the training set 133 so that the output of the model f(x) can predict the absorption wavelength of 134 the rhodopsin protein for which the amino-acid sequence is coded as x. Since 135 this model has so many parameters, it is difficult to interpret the fitted model 136 if we simply use conventional methods such as the least-squares method. We 137 thus introduced the group-wise sparsity mechanism (See the Method section and 138 the Supplemental information for details). Using this mechanism, the fitted co-139 efficient parameters $\beta_{i,j}$ have residue-wise sparsity. Here, M = 20 coefficient 140 parameters corresponding to the choice of an amino-acid species in each residue 141 is considered as a group. After we fitted the model, in many groups, all of the 142 M coefficient parameters become zero, indicating that the choice of an amino-143 acid species in these residues does not affect the colour tuning property. On the 144 other hand, a small number of residues at which the coefficient parameters are 145 NOT zero are called *active residues*, i.e., the choice of the amino-acid species 146 in these residues is expected to play an important role in colour tuning. Figure 147 4(c) illustrates the fitted coefficient parameters using the group-wise-sparsity 148 mechanism. If a parameter $\beta_{i,j}$ is positive/negative, then the *i*-th amino-acid 149 species in the *i*-th residue has a red-shifting/blue-shifting effect on the light 150

¹⁵¹ absorption properties of rhodopsin proteins.

Understanding colour tuning rules By applying the above ML method 152 to the training set containing pairs of the amino-acid sequence and absorption 153 wavelength for 677 rhodopsin proteins, we fitted a linear model with 1 + MN =154 4,201 parameters. A complete list of the fitted parameters is presented in Sup-155 plementary Table 2. Figure 5 shows the fitted coefficient parameters at 20 active 156 residues in decreasing order of $s_j := \sqrt{\sum_{i=1}^M \beta_{i,j}^2}, j = 1, \dots, N$, where the score 157 s_i quantifies the *activeness* of the *i*-th residue. Here, red and blue indicate that 158 the corresponding parameters are positive and negative, respectively, whereas 159 grey indicates that the parameters were zero. In other words, red and blue sug-160 gest that having the amino-acid species in the residue would have a red-shifting 161 and a blue-shifting effect, respectively. The results in Supplementary Table 2 162 and Fig. 5 can be interpreted as a comprehensive statistical description of the 163 colour tuning rules of rhodopsin proteins based on previously investigated ex-164 perimental results for 677 rhodopsin proteins (Supplementary Figure 1 shows 165 the same results obtained using all 796 rhodopsin proteins, including those in 166 the KR2 group). 167

¹⁶⁸ Predicting absorption wavelengths of KR2 rhodopsin and its variants

Using the statistical model fitted based on the training set (containing all of the 169 rhodopsin proteins except for the KR2 group), the absorption wavelengths of 170 the 136 rhodopsin proteins in the target set (containing KR2 group rhodopsin 171 proteins) were predicted. Figures 6(a) and 6(b) show examples of predicted 172 (green lines) and observed (blue lines) wavelengths for red-shifted KR2 mu-173 tants. For the KR2 NTQ/F72G mutant (Fig. 6(a)), the difference between 174 the predicted (546.44 nm) and experimentally observed (543 nm) wavelengths 175 is only 3.44 nm. In contrast, we observed a larger discrepancy (8.51 nm) for 176 the predicted (556.49 nm) and experimentally observed (565 nm) wavelengths 177 for KR2 D116N. This means that the precision of ML prediction differs for each 178 type of mutation. Examples of blue-shifted mutants are shown in Figs. 6(c) 179

(KR2 N112E) and 6(d) (KR2 DTD/D102N). The differences between the pre-180 diction and the observation were 7.34 and 19.92 nm for the former and latter. 181 respectively. Figure 6(e) summarizes the prediction results for KR2 and all of 182 its mutants, where the horizontal axis represents the observed absorption wave-183 lengths measured in the experiments, whereas the vertical axis represents the 184 predicted absorption wavelengths obtained by the ML model. The red points 185 indicate the KR2 group rhodopsin proteins in the target set, whereas the black 186 points indicate other rhodopsin proteins in the training set. Note that the pre-187 diction performance in the training set (black points) is slightly better than that 188 in the target set (red points). This is because the former is used for fitting the 189 ML model itself, whereas the latter is completely new to the fitted model. This 190 phenomenon is known as *over-fitting* in the literature of machine learning. The 191 absorption wavelengths of KR2 and its variants could be predicted from their 192 amino-acid sequences with average errors of ± 7.8 nm. The histogram in Fig. 193 6(b) shows the distribution of the prediction errors in the KR2 group rhodopsin 194 proteins in the target set. 195

Estimating the effect of point mutations The effect of a point mutation on the absorption wavelength shift can be estimated based on the coefficient parameters $\beta_{i,j}$, i = 1, ..., M, j = 1, ..., N. Let $\boldsymbol{x}^{(\text{KR2})} \in \{0,1\}^{MN}$ be the binary vector representation of the KR2 wild-type sequence. The difference in the predicted absorption wavelengths between KR2 wildtype and a variant having amino-acid sequence $\boldsymbol{x}^{(\text{Var})} \in \{0,1\}^{MN}$ is written as

$$f(\boldsymbol{x}^{(\text{Var})}) - f(\boldsymbol{x}^{(\text{KR2})}) = \sum_{i=1}^{M} \sum_{j=1}^{N} \beta_{i,j} x_{i,j}^{(\text{Var})} - \sum_{i=1}^{M} \sum_{j=1}^{N} \beta_{i,j} x_{i,j}^{(\text{KR2})}.$$

 $_{202}$ The colour-shifting effect of point mutation at the *j*-th residue is written as

$$\sum_{i=1}^{M} \beta_{i,j} \left(x_{i,j}^{(\text{Var})} - x_{i,j}^{(\text{KR2})} \right).$$
 (1)

For example, if the i_1 -th amino-acid species in the KR2 wildtype is replaced by the i_2 -th amino-acid species, the colour-shifting effect of the point mutation

is $\beta_{i_2,j} - \beta_{i_1,j}$. Figure 7 shows a portion of the amino-acid sequences of KR2 205 wildtype and its variants along with their observed and predicted absorption 206 wavelengths. In Fig. 7, red and blue indicate red-shifting and blue-shifting ef-207 fects, respectively, in Eq. (1) estimated by the trained statistical model. Figure 208 7(a) suggests that point mutation at BR residue number 89 would have red-209 shifting effects. On the other hand, Fig. 7(b) suggests that point mutation at 210 BR residues 85 and 122 would have blue-shifting effects. These results indicate 211 that the estimated colour-shifting effects are consistent with the actual observed 212 wavelength shifts caused by the mutation. 213

²¹⁴ **3** Discussion

Colour tuning rules in the estimated statistical models by ML Ten 215 residues showing the highest β -values were overlaid on the X-ray crystallo-216 graphic structure of BR (PDB code: 1BM1) (see Fig. 8). Eight of these 217 residues are located around retinal within < 5 Å(BR Thr89, Ala215, Gly122, 218 Leu93, Asp85, Asp212, Met118, and Trp86 in the order of degree of activeness). 219 Thr89 showed the highest degree of activeness. This is a member of the DTD-220 motif, which represents the type of functional determining three residues in the 221 third transmembrane helix (helix-C) for each ion-pump rhodopsin. The DTD-222 motif is typical for the outward H⁺ pump and is composed of Asp85, Thr89, 223 and Asp96 for BR¹⁶. While this threenine is conserved among most microbial 224 rhodopsins, it is replaced with an aspartate for sodium pump rhodopsin (NaR), 225 which has the NDQ-motif rather than the DTD-motif^{17,16,18}. The position of 226 BR Thr89 is close to RSB (the distance between BR Thr89C γ and the nitrogen 227 atom of RSB is 3.4 Å). The third and seventh active residues are BR Gly122 228 and Met118, respectively. These residues are highly conserved among various 229 microbial rhodopsins. Their mutation causes the rotation of the C6-C7 bond of 230 retinal and the shortening of the π -electron conjugation between the β -ionone 231 ring and the polyene chain^{19,20}. The largest coefficient parameters are obtained 232 for glycine and methionine for the former and latter positions. This implies 233

any type of mutation of these residues results in the blue-shift of λ_{max} and is consistent with previous experimental reports ^{18,19}.

The residues of BR Ala215 and Leu93 exhibit the second and fourth highest 236 degrees of activeness. Both BR Ala215 and Leu93 are well known to have a 237 role in colour-tuning switching for various rhodopsins in nature. Shimono et 238 al. reported that, whereas green-to-orange absorbing archeal rhodopsins (BR. 239 halorhodopsin and sensory rhodopsin I) conserve an alanine at the position 240 of BR Ala215, blue-absorbing rhodopsins, such as pharaonis phoborhodopsin 241 (ppR, which is also referred to as *pharaonis* sensory rhodopsin II) has a serine 242 or threenine at this position²¹. The difference of coefficient parameter values is 243 approximately 11.8, which is close to the reported λ_{max} shift of ppR T204A (8-244 nm red-shift)²¹ and the BR homolog of Haloquadratum walsbyi (HwBR) A223T 245 (13-nm blue-shift)²². BR Leu93 corresponds to Leu120 of green-absorbing pro-246 teorhodopsin (GPR). This residue is replaced with a glutamine in blue-absorbing 247 proteorhodopsin (BPR), and this type of colour regulation is known as "L/Q-248 switching"²³. The lowest coefficient parameter (-11.2) was obtained for a glu-249 tamine. This suggests that glutamine is most effective to achieve blue-shift 250 absorption and is considered to be optimized in natural evolution in the deep-251 ocean environment²³. Ozaki et al. reported that mutations to value or bulky 252 residues (lysine, phenylalanine, tyrosine, and tryptophan) cause a large red-253 shift²⁴ of λ_{max} . Their larger coefficient parameters are consistent with previous 254 experimental results (Fig. 5). 255

BR Asp85 and Asp212 are generally deprotonated and work as counterions 256 to protonated RSB. The electrostatic interaction between their negative charges 257 and the π -electron of retinal destabilizes the energy level of the electronically 258 excited state. This results in the blue-shift of λ_{\max}^{25} . Whereas the aspartate at 259 the position of BR Asp85 has the second lowest coefficient value (-19.5) among 260 all of the residues investigated herein, the value of the position of BR Asp212 is 261 moderate (-3.2). This result suggests that the former has a much stronger effect 262 on colour tuning, despite the symmetric location of these two residues relative 263 to RSB. (The distances from Asp85 and Asp212 to the N atom of RSB are 3.4 264

²⁶⁵ and 3.5 Å, respectively.)

The eighth largest coefficient parameter was the position of BR Trp86. 266 This tryptophan is one of the most highly conserved residues among micro-267 bial rhodopsins. It forms a part of the binding pocket by direct contact with 268 the extracellular side of the polyene chain of retinal1. This strong interaction 269 with retinal is consistent with the high degree of activeness of this residue and 270 the coefficient parameter of tryptophan is a large positive value (12.0). This 271 suggests that this tryptophan has a role in shifting the absorption wavelength 272 to be longer in many rhodopsins. 273

The positions of BR Glu161 and Ala126 are relatively far from retinal (having 274 the 9-th and 10-th largest coefficient parameters). To our knowledge, there 275 are no previous studies focused on the colour-tuning effects of these residues. 276 For the position of BR Glu161, larger red- and blue shifts are expected for 277 valine and tyrosine. In fact, sensory rhodopsin I (SRI), which is a positive 278 phototactic sensor, has a value at this position and exhibits relatively longer 279 absorption maxima (e.g., the SRI of Halobacterium salinarum (HsSRI): 587 280 nm; SRI of Haloarcula vallismortis (HvSRI): 545 nm). In contrast, a tyrosine 281 is conserved among various channelrhodopsins (ChRs), which generally have 282 short absorption wavelengths (e.g., the ChR1 of Chlamydomonas reinhardtii 283 (CrChR1): 453 nm; ChR1 of Dunaliella salina (DChR1): 475 nm; ChR2 of 284 Proteomonas sulcata (PsChR2): 444 nm). The results of ML analysis suggest 285 the position of BR Glu161 is important for the colour tuning of these rhodopsins 286 in nature. The position of BR Ala126 exhibited a large coefficient value for glutamic acid (10.5). Actually, *Gloeobacter* rhodopsin (GR), the outward H⁺ 288 pump rhodopsin of cyanobacterium, Gloeobacter violaceus PCC 7421, has a 289 glutamic acid at this position (GR Glu166), and the mutation of this residue 290 exhibited a blue-shift of 1 to 22 nm (Supplementary Table 1). Thus, GR Glu166 291 works as an active residue for the colour tuning in GR. 292

These results imply the usefulness of ML analysis in identifying active residues located far from retinal, which are generally of less concern in experimental research on the colour tuning mechanism from a structural point of view. The effects on the absorption wavelength by the mutation of these residues have not yet been reported. However, we expect that they will be experimentally verified in the near future.

Toward Experimental Design The fitted linear model parameters $\beta_{i,j}$, 200 $i = 1, \ldots, M, j = 1, \ldots, N$ can be also used as a guide for new functional 300 protein design. For example, suppose that a researcher wants to construct a 301 rhodopsin mutant, the absorption wavelength of which is as long as possible for 302 opt-genetics application. Note that positive/negative coefficient parameter val-303 ues indicate that the amino-acid species at the residue have a red-shifting/blue-304 shifting effect, respectively, on the light-absorption properties of rhodopsin pro-305 teins. Consider a residue j at which there exists i_1 and i_2 such that $\beta_{i_1,j} < \beta_{i_2,j}$. 306 If there exists a rhodopsin protein having the i_1 -th amino-acid species at the 307 *j*-th residue, by replacing this species with the i_2 -th amino-acid species, the new 308 protein is expected to have a longer wavelength than the original protein. This 309 means that, the basic experimental design strategy for the above-mentioned re-310 searcher would be to replace the amino-acid species having a smaller coefficient 311 parameter with that having a larger coefficient parameter. Although many other 312 factors, such as protein stability and functionality, must be taken into account in 313 new functional protein design, the above discussion suggests that the ML-based 314 data-driven approach enables systematic design of experiments without relying 315 on the intuition or heuristics of researchers. 316

317 4 Methods

³¹⁸ **Construction of a dataset of amino-acid sequences and** λ_{max} **s** For ML ³¹⁹ analysis, we constructed a database (Supplementary Table 1) composed of the ³²⁰ amino-acid sequences and the previously and newly reported λ_{max} s of microbial ³²¹ rhodopsins and their variants. Previously reported λ_{max} s were collected from ³²² 102 reports (listed in Supplementary Information 2). Newly reported λ_{max} s ³²³ were experimentally determined in our group by the hydroxylamine bleaching ³²⁴ method for *E. coli* membrane expressing rhodopsins²⁶ or purified protein by ³²⁵ Ni- or Co-NTA chromatography¹⁷, as described previously. The method used ³²⁶ to determine each rhodopsin is also listed in Supplementary Table 1.

Details of the ML method with group-wise sparsity regularization 327 Our data contains a larger number of variables (4,200 binary variables) than 328 the number of instances (677 rhodopsin proteins). In this case, classical least-329 squares methods may cause over-fitting of the training data, which results in 330 poor prediction accuracy for the target data. Sparse modeling 12,13 is a stan-331 dard approach to this problem setup so that only a small subset of coefficient 332 parameters is automatically selected. In particular, we use a group-wise sparsity 333 method¹⁴ to analyze the residue-wise effect on the absorption wavelength. Let 334 $x_{i,j} \in \{0,1\}$ be a binary variable that indicates the existence of the *i*-th amino-335 acid species in the *j*-th residue, where i = 1, ..., M and j = 1, ..., N. Here, 336 each i = 1, ..., M of $x_{i,j}$ corresponds to one of M = 20 amino-acid species. 337

We consider predicting the absorption wavelength based on a linear model:

$$f(\boldsymbol{x}) = \beta_0 + \sum_{i=1}^{M} \sum_{j=1}^{N} \beta_{i,j} x_{i,j}$$

where β_0 and $\beta_{i,j}$ for i = 1, ..., M and j = 1, ..., N are parameters. Suppose that we have K pairs of an amino-acid sequence and its absorption wavelength $\{(\boldsymbol{x}^{(k)}, \lambda_{\max}^{(k)})\}_{k=1}^{K}$, where $\boldsymbol{x}^{(k)} \in \mathbb{R}^{MN}$ is the binary representation of the aminoacid sequence aligned as a vector, and $\lambda_{\max}^{(k)} \in \mathbb{R}$ is the absorption wavelength of the k-th rhodopsin protein. The parameters are fitted by solving the following penalized least-squares problem:

$$\min_{\beta_0,\beta} \sum_{k=1}^{K} \left(\lambda_{\max}^{(k)} - \beta_0 - \sum_{i=1}^{M} \sum_{j=1}^{N} \beta_{i,j} x_{i,j}^{(k)} \right)^2 + \gamma \sum_{j=1}^{N} \sqrt{\sum_{i=1}^{M} \beta_{i,j}^2},$$

where $\gamma > 0$ is a tuning parameter. This formulation is called *group LASSO*¹⁴, in which the first term is the sum of the squared prediction errors, and the second term is the group-wise penalty for the parameters. For each residue j = 1, ..., N, we define the M = 20 coefficient parameters $(\beta_{1,j}, ..., \beta_{M,j})$ as a

group. If the training set indicates that the choice of the amino-acid species at 349 the *j*-th residue does not affect the colour tuning property, then the group-wise 350 sparsity penalty forces all of the M = 20 parameters $(\beta_{1,j}, \ldots, \beta_{M,j})$ to be ex-351 actly zero. We can easily identify a set of important residues for determining the 352 absorption wavelength by this effect, called *group-wise sparsity*, because usually 353 only a small subset of the residues have non-zero coefficient parameters. In 354 our experiment, the parameter γ was objectively chosen by the cross-validation 355 procedure within the training set. 356

³⁵⁷ Code availability Our program code of the group LASSO for wavelength
 ³⁵⁸ prediction is available at http://...¹

Data availability The database of the amino-acid sequences and their wave lengths is provided in Supplementary Table 1.

 $^{^{1}}$ The site will be public after acceptance. The code is attached to our submission.

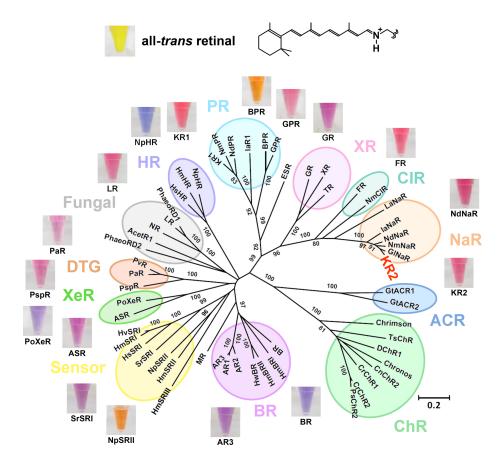


Figure 1: The chemical structure of all-*trans* retinal (upper) and phylogenetic tree of microbial rhodopsins (lower). The bootstrap values > 80% are shown for the corresponding branches. The photographs of the DMSO solution of all-*trans* retinal and detergent solubilized rhodopsins were aligned to show representative colours. The abbreviations of rhodopsin proteins are listed in Supplementary Information 1. In the present paper, we construct a machine-learning-based (ML-based) statistical model that describes the relationship between amino-acid sequences and absorption wavelengths of microbial rhodopsins based on past experimental data.

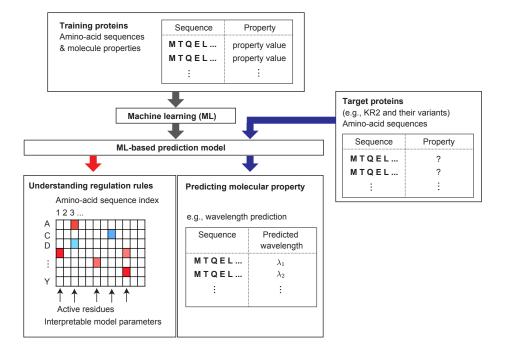
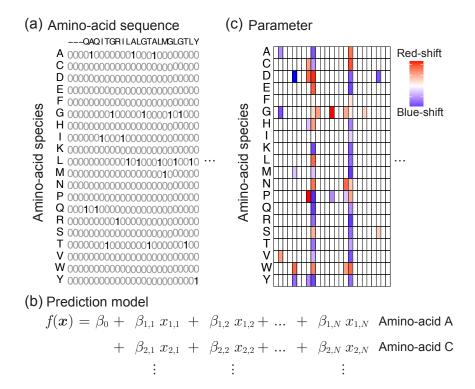


Figure 2: An overview of the machine-learning-based (ML-based) datadriven approach introduced in the present paper for functional protein studies. Using past experimental data, a *training protein set* containing pairs of amino-acid sequence and molecular properties is first constructed. Then, an ML method is applied to the training set, and an ML-based statistical model is constructed. The obtained ML model can be used in understanding the relationship between amino-acid sequences and molecular properties, such as the colour tuning rules in the case of microbial rhodopsins. The ML model can also be used to predict the molecular properties of new uninvestigated proteins. We refer to the set of new proteins as the *target protein set*. In the present paper, for the purpose of demonstration, we regard KR2 wildtype and its 118 variants as target proteins and other 677 rhodopsin proteins in the database as the training proteins.



Figure 3: Structure of the database used in the present study. The database is composed of the sequences and λ_{max} s of 519 previously reported proteins and 277 newly reported proteins. We used 677 rhodopsin proteins other than KR2 and their variants as the training proteins (red rectangle) and 119 proteins in KR2 group as the target proteins (blue rectangle), respectively.

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 $\begin{array}{c} + \ \beta_{M,1} \ x_{M,1} + \ \beta_{M,2} \ x_{M,2} + \ \dots \ + \ \beta_{M,N} \ x_{M,N} \ \ \text{Amino-acid Y} \\ \text{Residue } 1 \quad \ \text{Residue } 2 \qquad \ \text{Residue } N \end{array}$

Figure 4: (See next page for the caption)

Figure 4: A schematic description of the ML method introduced in the present paper for functional protein studies. (a) Binary sequence representation of an amino-acid sequence. Let M = 20 be the number of aminoacid species, and let N be the number of residues considered in the present study. Then, the amino-acid sequence of a protein is represented by $M \times N$ binary variables, each of which represents the amino-acid species at each residue. (b) By writing the MN binary variables as $x_{i,j}$, $i = 1, \ldots, M$, $j = 1, \ldots, N$, we consider an MN-dimensional linear model. The linear model has an intercept parameter β_0 and MN coefficient parameters $\beta_{i,j}$, $i = 1, \ldots, M$, $j = 1, \ldots, N$. (c) When the linear model is fitted, a group-wise sparsity constraint is introduced. Then, in many residues, all of the corresponding M coefficients would be fitted to zero, and only a small number of residues have nonzero coefficient parameters. The latter residues are called *active residues*. The choice of amino-acid species in these active residues is expected to play an important role in determining molecular properties such as absorption wavelength.



Figure 5: Coefficient parameters of the fitted statistical model. Coefficients for the top 20 active residues, where the activeness of each residue is defined as $s_j := \sqrt{\sum_{i=1}^M \beta_{i,j}^2}$, $j = 1, \ldots, N$. Here, red and blue indicate that the corresponding parameters are positive and negative, respectively, whereas grey indicates that the amino-acid species did not exist in the training data. The figure can be interpreted such that, if the value of a coefficient parameter $\beta_{i,j}$ is positive/negative (i.e., red/blue), then the existence of the *i*-th amino-acid species at the *j*-th residue has a red-shifting/blue-shifting effect.

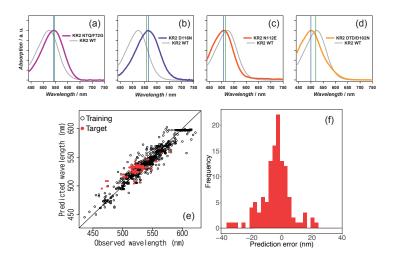


Figure 6: (See next page for the caption)

Figure 6: Absorption wavelength prediction results for KR2 wildtype and its 118 variants. (a)-(d) Absorption spectra of KR2 mutants ((a) KR2 NTQ/F72G, (b) D116N, (c) N112E, and (d) DTD/D102N) with their absorption maxima as predicted by ML analysis (green lines) and experimentally determined (blue lines). The spectrum of KR2 wildtype is indicated by the solid grey line. (e) The horizontal axis represents the experimentally observed absorption wavelengths, whereas the vertical axis represents the absorption wavelengths predicted by the ML model. The red points indicate the KR2 group rhodopsin proteins in the target set, whereas the black points indicate other rhodopsin proteins in the training set. (f) Histogram of the prediction errors for KR2 group proteins in the target set. bioRxiv preprint doi: https://doi.org/10.1101/226118; this version posted November 29, 2017. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

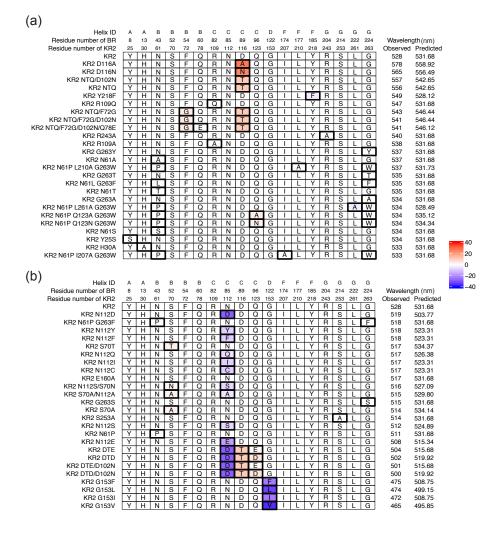


Figure 7: Lists of sequences for the KR2 wildtype and the variants with their observed and predicted absorption wavelengths. (a) KR2 and the 25 variants that have the longest observed wavelengths, and (b) KR2 and the 25 variants that have the shortest observed wavelengths. The residues shown here are replaced at least once among the 50 variants. Boxes with thick black lines indicate positions that have different amino-acid species from the KR2 wildtype. For these boxes, the colour indicates the wavelength change produced by the replacement of the *j*-th position, estimated by $\sum_{i=1}^{M} \beta_{i,j} \left(x_{i,j}^{(\text{Var})} - x_{i,j}^{(\text{KR2})} \right)$, where $x_{i,j}^{(\text{KR2})}$ and $x_{i,j}^{(\text{Var})}$ are the binary representation the KR2 wildtype and a variant, respectively.

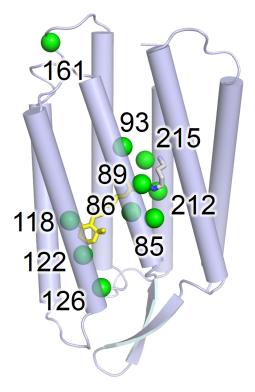


Figure 8: Top 10 active residues identified by the fitted statistical model. The positions of the active residues showing larger coefficient parameter values (green spheres) are mapped on the X-ray crystallographic structure of BR (blue, PDB code: 1BM1²⁷) with their numbers in the case of BR.

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373 Author contributions

 $_{\rm 374}$ $\,$ M.K. analyzed the data by machine learning. K.I. constructed the database and

 $_{\rm 375}$ $\,$ interpreted the results. H.K. and I.T. designed the entire research study.

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