

1 **Comparison of Small Gut and Whole Gut Microbiota of First-Degree Relatives with Adult**

2 **Patients with Celiac Disease and Controls**

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20 **Abstract**

21 **Objectives:** Gut microbiota gets altered in patients with celiac disease (CeD) and whether these
22 microbiota changes are the cause or effect of the disease is not well understood to date. The first
23 degree relatives (FDRs) of CeD patients are genetically susceptible and may represent a pre-
24 diseased state. Therefore, understanding differences in duodenal and faecal microbiota
25 composition between the FDR and CeD subjects is of interest. To investigate this, we
26 characterised the microbiota in duodenal biopsies and faeces of CeD patients (n = 23), FDRs (n =
27 15) and control subjects (DC, n= 24) by 16S rRNA gene sequencing.

28 **Results:** Duodenal biopsies showed more diverse pattern in microbial community composition
29 and structure than faecal samples. In duodenal biopsies, 52 OTUs and 41 OTUs were
30 differentially abundant between the FDR and DC group, and between the FDR and CeD group
31 respectively ($P < 0.01$). In faecal samples, 30 OTUs were differentially abundant between FDR
32 and DC, and 81 between FDR and CeD ($P < 0.01$). Predicted metagenomes from duodenal
33 microbiomes of FDR and CeD showed a lower genetic potential for metabolizing gluten as
34 compared to controls.

35 **Conclusions:** The microbial communities of FDR and CeD groups are more similar to each
36 other than to the control groups. Significant differences at OTU level suggest that specific
37 bacterial taxa may be important for pathogenesis of CeD. Moreover, the predicted differences in
38 gluten metabolism potential by the FDR and CeD microbiota point towards the need for
39 investigating functional capabilities of specific bacterial taxa in healthy FDR and CeD patients.

40 **Key words**

41 Gluten, Microbiota, Malabsorption, *Acinetobacter*, *Lactobacillus*.

42

43 **Background**

44 Celiac disease (CeD) is a common, chronic immune mediated enteropathy of the small
45 intestine which affects approximately 0.7% of the global population (1). Once thought to be
46 uncommon in Asia, CeD is now prevalent in many Asian countries including India (2). CeD is
47 caused by the consumption of gluten proteins present in cereals such as wheat, barley and rye in
48 genetically susceptible individuals (3). While many genes are involved in the development of
49 CeD, thus far only the presence of HLA-DQ2 or DQ8 haplotype is considered to be essential (4).
50 Additional factors that contribute to pathogenesis include other co-genetic factors (genome wide
51 association studies have identified several markers), wheat-related factors (age of ingestion, type
52 and quantity of wheat) and the way gluten is metabolized in the intestine (5,6). About 30-40% of
53 the gluten protein consists of glutamine and proline. Since humans are unable to enzymatically
54 break the molecular bonds between these two amino-acids, many immunogenic peptides are
55 produced (5). There remains a possibility that enzymes secreted by the small intestinal
56 microbiota convert some of these immunogenic peptides to non-immunogenic peptides.

57 While 20-30% of individuals in many countries including India are genetic susceptibility to
58 develop CeD and the majority of them are exposed to wheat, only 1% of them develop CeD.
59 This brings forth the role of other factors such as the gut microbiota in the pathogenesis of CeD
60 (7). Recently, numerous studies have highlighted the potential role of gut microbiota in
61 inflammatory gastro-intestinal diseases (4,8–12,12–29).

62 Whether these changes in the microbial community structure and function in patients with CeD
63 are cause or effect of the disease state however remains unclear to date. In order to answer this
64 question, one has to examine the status of the gut microbiota in the pre-disease state. Such

65 observations have not yet been possible due to the lack of well-established animal models for
66 CeD. While 70-80% percent of first-degree relatives (FDRs) of patients with CeD have
67 HLA-DQ2/DQ8 haplotype (compared to 30% in the general population); only approximately
68 8.5% of FDRs develop CeD (30). Thus, the question arises; Why do only few FDRs develop
69 CeD and what is the role of the gut microbiome in disease protection? Indirect evidence of
70 altered microbiota in relatives of patients with CeD is suggested by significantly lower levels of
71 acetic and total short chain fatty acids, and higher fecal tryptic activity (31). Nevertheless, to date
72 there is no information on the gut microbial composition and function in FDRs of patients with
73 CeD, especially using the latest sequencing approaches. Additionally, it is important to explore
74 the status of the microbiota in both the small intestine, the site of the disease, and faeces, as
75 representative of whole gut microbiome.

76 To test the hypothesis that gut microbiome of FDR is different from CeD and could potentially
77 play an important role in the pathogenesis of CeD, we explored the composition of both small
78 intestinal and the whole gut microbiome using Illumina MiSeq in a subset of patients with CeD,
79 first degree relatives and controls. We further investigated the potential microbial functions that
80 are characteristic of FDR and CeD microbiota.

81

82 **Patients and Methods**

83 **Human subjects, duodenal biopsies and faecal sample collection**

84 A total of 62 subjects participated in this study including 23 treatment naïve patients with
85 CeD [all HLA-DQ2/DQ8+, having high titre of anti-tissue transglutaminase antibodies (tTG Ab)
86 and having villous abnormalities of modified Marsh grade 2 or more], 15 healthy first-degree
87 relatives of patients with CeD [having normal titre of anti-tTG Ab and having no villous

88 abnormalities of modified Marsh grade 0 or 1], and 24 controls (patients with Hepatitis B Virus
89 carriers or those having functional dyspepsia; having normal titre of anti-tTG Ab and having no
90 villous abnormalities) (Table 1). Duodenal biopsies and faecal samples were collected from each
91 of the above mentioned subjects at All India Institute of Medical Sciences, New Delhi, and sent
92 to National Centre for Cell Sciences, Pune for microbiome analysis. The ethics committees of
93 All India Institute of Medical Sciences, New Delhi, and National Centre for Cell Sciences, Pune,
94 India approved the study. Informed and written consent was obtained from all the participants.
95 Further details of patients and controls have been provided in the (Additional file 1: Table S1.

96 **DNA extraction and 16S rRNA gene sequencing**

97 Total DNA was extracted from duodenal biopsies using QIAGEN DNeasy Blood and
98 Tissue kit (QIAGEN, Germany) and faecal samples using the QIAamp fast DNA stool Mini Kit
99 (QIAGEN, Germany) according to the manufacturer's instructions. We used Illumina MiSeq
100 sequencing to determine the bacterial composition of the duodenal biopsies and faecal samples.
101 PCR was set up in 50 µl reaction using AmpliTaq Gold PCR Master Mix (Life Technologies,
102 USA) and with 16S rRNA V4 variable region specific bacterial primers 515F (5'-
103 GTGCCAGCMGCCGCGGTAA-3') and 806R (5'-GGACTACHVGGGTWTCTAAT-3')

104 **Bacterial community analysis**

105 QIIME (v1.8.0) was used to process the raw data files from the sequencer and construct
106 the OTU table (32). Closed reference based OTU picking was used to cluster reads into OTUs at
107 97% sequence similarity using the UCLUST algorithm and a representative sequence from each
108 OTU was selected for downstream analysis. All OTUs were assigned to the lowest possible
109 taxonomic rank by utilizing RDP Classifier 2.2 and Greengenes database 13.8 with a confidence
110 score of at least 80% (33). The OTU table was filtered to remove OTUs belonging to

111 Mitochondria, Chloroplasts and Archaea. Alpha diversity and beta diversity analysis and
112 composition analysis was done using the R-package phyloseq (v1.21) (34) and microbiome R
113 package (v1.1.10008) (35). The alpha diversity measures were tested for significance using the
114 Wilcoxon test for pairwise comparisons. OTU counts were transformed to relative abundance
115 and Analysis of Similarities (ANOSIM) on Bray-Curtis distances was used to test for similarities
116 in bacterial communities between sample types and diagnosis groups. Canonical correspondence
117 analysis (CCA) was done separately on $\log_{10}(1+X)$ transformed microbial count data for faecal
118 and duodenal biopsy samples to test for differences between the different diagnosis groups.
119 PERMANOVA was carried out using the adonis function in vegan package (v 2.4-4) to calculate
120 significance of PCoA clustering based on the Bray-Curtis distances (36). We used DESeq2
121 (v1.18.0) for identifying differentially abundant OTUs in pairwise comparisons between
122 diagnosis groups (37). All OTUs that were significantly ($\alpha = 0.01$) different in abundance
123 between the diagnosis groups were reported and were adjusted for multiple comparisons using
124 the Benjamini-Hochberg, false discovery rate procedure. Data was visualized using ggplot2 (v
125 2.2.1) in R (34). All scripts and files to reanalyse microbiota profiling data from this study are
126 available at <https://github.com/microsud/Gut-microbiota-Celiac-disease>.

127 **Predicted metagenome analysis:** We used PICRUSt to infer community gene content from 16S
128 rRNA gene amplicon sequencing data, followed by STAMP to get significantly different
129 predicted genes in diagnosis groups. For comparison of taxa contributions to the inferred
130 metagenomes we used a recently developed tool, BURRITO (Browser Utility for Relating
131 micRobiome Information on Taxonomy and functiOn) available from ([https://elbo-](https://elbo-spice.gs.washington.edu/shiny/burrito/)
132 [spice.gs.washington.edu/shiny/burrito/](https://elbo-spice.gs.washington.edu/shiny/burrito/)).

133 **Results**

134 **Characteristics of the study cohort:**

135 The characteristics of the study subjects have been summarized in the Table 1.

136 **Comparison of faecal and duodenal microbial community in the study cohort**

137 Having both duodenal biopsies and faecal samples provided the opportunity to
138 investigate differences in both site-specific and whole gut bacterial diversity and community
139 structure in patients with CeD, FDRs and controls. The microbial community was significantly
140 different between the faecal and duodenal biopsies irrespective of whether they were from CeD,
141 FDR or DC groups (Fig. 1a, Analysis of similarities; Anosim test; R-statistic = 0.45, P-value =
142 0.001). Hence, further analyses were carried out separately for faecal and duodenal samples in
143 different groups.

144 Analysis of alpha diversity using Shannon index between the sampling sites suggested
145 significant differences between the sampling sites (Wilcoxon test $P < 0.05$). The duodenal
146 biopsies showed larger inter-individual variation and consisted of samples with high and low
147 diversity communities in all groups (Fig. 1b).

148 **Site specific bacterial community structure in FDRs, CeD and controls**

149 *Duodenal and faecal microbiota composition and structure is distinct in FDRs, CeD and*
150 *control groups:*

151 To investigate if patients with CeD, FDRs or DC had site specific dissimilarities in
152 microbiota composition, we tested duodenal and faecal samples separately. Bray-Curtis distance
153 for microbiota in duodenal biopsy was not different between CeD, FDRs or DC (Analysis of
154 similarities; Anosim test; R-statistic = 0.02192, $p = 0.202$). To analyse whether CeD and FDR
155 have differences in microbial community, we performed PERMANOVA on ordination excluding

156 DC. Although there was no significant difference in total community ($R^2 = 0.0608$, $Pr(>F) =$
157 0.225), we identified the top ten variable OTUs between CeD and FDR microbiota (Additional
158 file 2: Figure S1). These included four OTUs classified as *Acinetobacter*, of which two (OTU-
159 562618, OTU-543942) were associated with CeD, while the other two (OTU-1009894, OTU-
160 988314) were associated with FDR. In addition, *Pseudomonas* (two OTUs), and
161 *Stenotrophomonas* (one OTU) were associated with FDR. *Corynebacterium*, *Commamonas* and
162 *Novosphingobium* were differentiating genera in CeD. Canonical correspondence analysis (CCA)
163 constrained for diagnosis status revealed that the clustering of subjects was marginally different
164 in the duodenal bacterial community from FDRs, CeD and controls ($F = 1.185$, $Pr(>F) = 0.031$,
165 Fig. 2a). Bray-Curtis distances for microbiota in faeces were not different between CeD, FDRs
166 or DC (Analysis of similarities; Anosim test; R-statistic = 0.032, $p = 0.125$). In addition, the
167 CCA analysis suggested no significant differences between faecal microbiota of FDRs, CeD and
168 controls ($F = 1.0704$, $Pr(>F) = 0.154$, Fig. 2b).

169 Alpha diversity measures were independently calculated for duodenal biopsies and faecal
170 samples and compared between FDRs, CeD and controls (Fig. 3a and 3b). Pairwise comparisons
171 of alpha diversity in duodenal biopsies between FDRs, CeD and controls suggested no
172 significant differences (Fig. 3a). In faecal samples, pairwise comparison of alpha diversity
173 demonstrated significant differences between FDR and CeD subjects (Wilcoxon test, FDR
174 adjusted $p = 0.029$) (Fig. 3b).

175 ***Taxonomic differences in microbiota from duodenal biopsies of FDRs CeD, and controls:***

176 At phylum level, there were no statistically significant differences in the major phyla
177 (Proteobacteria, Firmicutes, Bacteroidetes and Verrucomicrobia) in the duodenal biopsies of
178 FDRs, CeD and controls (Additional file 3: Figure S2), however, inter-individual variation

179 within the diagnosis groups was detected. At OTU level, after filtering out for low abundance
180 OTUs (less than 5 counts in 50% of the samples in each group), CeD and FDR shared 124
181 OTUs, CeD and DC shared 25 OTUs, FDR and DC shared 23 OTUs and a total of 447 were
182 shared by all three groups (Additional file 4: Figure S3).

183 To further investigate OTUs that are different between the diagnosis groups, FDR vs DC,
184 CeD vs DC and FDR vs CeD, we used the DESeq2 default parameters. The enriched OTUs
185 described in the following section were significantly different between the groups (BH adjusted,
186 $P < 0.01$).

187 *Difference in OTUs between FDRs and DC in the duodenal biopsies*

188 In total, 52 OTUs belonging to 15 genera and one unclassified genera were identified as
189 differentially abundant in between FDR and DC. Of these, four (*Streptococcus*, *Pseudomonas*,
190 *Parvimonas* and *Acinetobacter*) were differentially abundant in FDR and 12 (*Sporosarcina*,
191 *Planomicrobium*, *Planococcus*, *Lysinibacillus*, unclassified genus from Planococcaceae,
192 *Enhydrobacter*, *Lactobacillus*, *Comamonas*, *Desemzia*, *Bacillus*, *Anoxybacillus*, and an
193 unclassified genus were differentially abundant in DC (Fig. 4a).

194 *Difference in OTUs between CeD and DC in the duodenal biopsies*

195 Comparison of duodenal microbiota of CeD with that of the controls identified 106
196 differentially abundant OTUs associated with 22 genera. Amongst these, several OTUs from the
197 genera *Acinetobacter*, Lactobacillaceae, *Corynebacter*, and one OTU each for *Prevoella* and
198 *Pseudomoans* were abundant in CeD (Fig.4b).

199 *Difference in OTUs between FDRs and CeD in the duodenal biopsies*

200 Comparison of FDR with CeD identified 41 OTUs belonging to 12 genera that were
201 significantly different (Fig.4c). These included OTUs classified as genus *Streptococcus*,
202 *Stenotrophomonas*, *Acinetobacter*, *Mogibacterium*, Enterococcaceae, *Atopobium*, unclassified
203 Coriobacteriaceae, *Brevundimonas*, *Bacillus*, *Actinomyces* and *Parvimonas*, were abundant in
204 FDR, other OTUs within *Acinetobacter* were abundant in CeD group. In addition, CeD had
205 higher abundance of *Corynebacterium* and OTUs members of *Lactobacillaceae*.

206 ***Taxonomic differences in the faecal microbiota in patients with CeD, FDRs and controls***

207 Similar to duodenal biopsies, at phylum level, there was not statistically significant
208 difference in the major phyla (Proteobacteria, Firmicutes, Bacteroidetes and Verrucomicrobia) in
209 fecal samples of patients with CeD, FDRs and controls. (Additional file 5: Figure S4). At OTU
210 level, after filtering for low abundance OTUs (counts less than 5 in 50% of the samples in each
211 group), CeD and FDR shared 1 OTU, CeD and DC shared 7 OTUs, FDR and DC shared 101
212 OTUs and a total of 110 were shared by all three groups (Additional file 6: Figure S5).

213 ***Differences in OTUs between FDRs and DC in faeces***

214 A total of 30 OTUs from 12 genera were differentially abundant between FDRs and DC.
215 Among these, *Streptococcus*, *Prevotella* and *Acinetobacter* were abundant in DC, while
216 *Ruminococcus*, unclassified Ruminococcaceae, *Pseudomonas*, *Lysinibacillus*, unclassified
217 Planococcaceae, *Lactobacillus*, *Enterococcus*, unclassified Enterobacteriaceae, unclassified
218 Coriobacteriaceae, *Comamonas*, *Bacteroides*, *Bacillus* and an unclassified genus were abundant
219 in FDR (Fig. 5a).

220 ***Differences in OTUs between CeD and DC in faeces***

221 Comparison of CeD and DC groups revealed a total of 86 OTUs from 12 genera that
222 were differentially abundant. The OTUs classified to genus unclassified Planococcaceae
223 *Weissella*, unclassified Coriobacteriaceae, unclassified Christensenellaceae, *Bacteroides* and
224 *Bacillus* were abundant only in CeD. On the contrary, unclassified Clostridiaceae, unclassified
225 Enterobacteriaceae *Coprococcus*, *Acinetobacter*, unclassified Peptostreptococcaceae,
226 *Trabulsiella*, *Turicibacter*, unclassified Ruminococcaceae and unclassified genus were abundant
227 in in DC (Fig. 5b).

228 *Difference in OTUs between FDRs and CeD in faeces*

229 A total of 81 OTUs belonging to 13 genera including one unclassified genus were
230 differentially abundant between FDR and CeD. Genus *Streptococcus*, *Prevotella*, unclassified
231 Leuconostoceaceae, *Lactobacillus*, , unclassified Lachnospiraceae, *Eubacterium*
232 (Erysipelotrichaceae), *Enterococcus*, unclassified Enterococcaceae, unclassified
233 Enterobacteriaceae, *Collinsella*, *Bacteroides* and were abundant in CeD, while *Comamonas*,
234 *Lysinibacillus*, *Serratia*, *SMB53*, *Trabulsiella*, unclassified Peptostreptococcaceae and
235 unclassified Ruminococcaceae were abundant in FDR (Fig. 5c).

236 **Imputed metagenome of FDR and CeD duodenal microbiome shows reduced proportion of** 237 **genes involved in gluten metabolism in comparison to that of the controls**

238 In addition to differentially abundant microbial taxa, different study groups might have
239 altered microbial community functions by enriching or depleting taxa that encode specific
240 metabolic modules. Of specific interest were the enzymes related to peptidases as they play a
241 role in the breakdown of gliadin residues. For an overview of taxon contributions to this class of
242 enzymes, we used a recently developed tool called BURRITO (Browser Utility for Relating
243 micRobiome Information on Taxonomy and functiOn) (<https://elbo->

244 spice.gs.washington.edu/shiny/burrito/). This tool employs PICRUSt to infer metagenome
245 predictions using 16S rRNA gene data (38). The differential abundances of *Acinetobacter* and
246 *Pseudomonas* were also correlated to the enrichment of peptidases in the total community (Fig.
247 6).

248 The proportion of pyroglutamyl peptidase [3.4.19.3], subtilisin [3.4.21.62] and x-pro
249 dipeptidase [3.4.13.9] genes which are involved in gluten degradation were reduced in FDRs and
250 CeD as compared to controls (Fig. 7a,b,c). Moreover, aminopeptidase [3.4.11] was also reduced
251 in FDRs and CeD (Fig. 7d).

252 Genes for beta-N-acetylhexosaminidase [3.2.1.52] and lysozyme [3.2.1.17] were
253 predicted to occur in higher abundance in CeD than that in FDRs and DC (Additional file 7:
254 Table S2). Higher proportions of beta-N-acetylhexosaminidase enzymes might cause or be the
255 reflection of a weak mucosal barrier and indicate an enriched, mucolytic bacterial population.

256

257 **Discussion**

258 The aim of the present study was to investigate differences in the duodenal and faecal microbiota
259 of FDRs compared to CeD and controls. The FDR group was included for two main reasons: 1)
260 They represent a population which is genetically-susceptible to develop CeD; 2) They provide a
261 unique opportunity to identify features of the host as well as of the associated microbiota that
262 may be involved in the protection against developing CeD. We collected both duodenal biopsies
263 and fecal samples to investigate both local and overall changes in the microbiota in FDR,
264 patients with CeD and controls.

265 The differences in microbial diversity and community structure between the small
266 intestinal microbiome represented by biopsy samples and whole gut microbiome represented by
267 faecal samples were significant. To the best of our knowledge, reports on site specific microbiota
268 patterns in patients with CeD remain scarce, and no results on both site specific and whole gut
269 microbiome on FDRs have been reported to date. Present study provides an overall view on
270 differences of both site-specific changes as well as changes in the faecal microbiota of FDRs,
271 CeD and DC.

272 The duodenal microbial community structure of FDR is more similar to CeD than that of
273 DC. In addition, both FDR and CeD individuals have high abundances of *Acinetobacter* and
274 *Pseudomonas*. In addition, inferred genus-function relationship demonstrated these two genera to
275 contribute to increased peptidases in the duodenal microbial community. Both *Acinetobacter* and
276 *Pseudomonas* include opportunistic pathogenic species that are linked to inflammation (39,40).
277 *Pseudomonas* is reported to be higher in children with CeD (41). On the other hand, the duodenal
278 biopsies of FDR showed lower numbers of OTUs of *Lactobacillus* compared to DC and CeD. In
279 a previous study, higher abundance of *Lactobacillus* was observed with higher glutenase activity
280 in oral microbiome of patients with CeD (14). A lower number of *Lactobacillus* in FDRs in the
281 present study may indicate their reduced ability to breakdown gluten into pro-inflammatory
282 peptides in their small intestine. This is also supported to some extent by the observation of
283 lower abundance of pyro-glutamyl peptidase [EC 3.4.19.3] in the predicted metagenome of the
284 duodenal biopsies of FDR in the present study.

285 Interestingly, *Corynebacterium* was abundant in patients with CeD compared to both the
286 FDR and DC (Fig. 4b and c). However, in the comparison between DC and FDR, abundance of
287 *Corynebacterium* was not detected to be differentially abundant (Fig. 4a). Previously, the genus

288 *Corynebacterium* was reported to be present in high abundance in infants with higher risks for
289 developing CeD (42). This suggests the need to further investigate the role of bacteria from this
290 genus in CeD. Another observation is the higher abundance of OTUs of *Streptococcus* in FDR
291 when compared to both the DC and CeD. Both *Lactobacillus* and *Streptococcus* are lactic acid
292 bacteria and known to have peptidase activity to breakdown gliadin peptides (43). Further,
293 mechanistic investigations will be necessary to ascertain, if there is a trade-off for abundance of
294 specific strains of *Lactobacillus* or *Streptococcus* in subjects genetically susceptible to
295 developing CeD. Another important difference between FDR and CeD is the higher abundance
296 of *Actinomyces* in FDR. Specific strains of *Actinomyces* are shown to breakdown the highly
297 immunogenic α -gliadin 33-mer peptide (44). These observations suggest that the FDR and CeD
298 duodenal microbiota differs in the bacterial composition and that loss or gain of specific bacteria
299 capable of creating immunogenic or non-immunogenic gliadin peptides may be crucial.

300 Recently, a serine endopeptidase, subtilisin a novel class of gluten-degrading enzyme
301 belonging to the S8 family of peptidases has been described. This enzyme is able to cleave and
302 abolish gluten immunogenic epitopes (45). In this study, the gene proportion for this enzyme was
303 found to be depleted in FDR as compared to the control. Moreover, genes coding for Xaa-Pro
304 dipeptidase (EC:3.4.13.9) (prolidase) which assist in gluten degradation by splitting dipeptides
305 with a prolyl residue in the carboxyl terminal position was reduced in FDR in comparison to that
306 in controls. Also, gene proportion for aminopeptidase which may help in degradation of gluten
307 was found to be decreased proportion in FDRs. In summary, results from differential taxa
308 abundance analysis and predicted metagenome suggest that the microbiome in the small intestine
309 of FDR might be different from that of CeD and DC in the way gluten is metabolized.

310 In the faecal samples, the alpha diversity indices of FDR and DC were more similar to
311 each other compared to that of CeD. Higher diversity was observed in FDR compared to CeD (p
312 = 0.034). In patients with active CeD, there is high inflammation in the intestine and such an
313 environment is known to be strongly associated with reduced richness of microbial community
314 (46,47). Higher diversity is often linked to higher resilience of the intestinal microbiome and a
315 barrier to invasion by pathogenic species (47,48). The comparable and higher diversity of faecal
316 microbiota in FDR and controls may suggest that the intestinal microbiota may act as a barrier to
317 invasion of pro-inflammatory bacteria in FDRs.

318 In contrast to observations of duodenal biopsies, both FDR and CeD had lower
319 abundance of *Acinetobacter* compared to DC in the faeces. However, *Pseudomonas* was
320 abundant in FDR compared to DC. In addition, OTUs for *Bacillus* and *Bacteroides* were
321 abundant in FDR and CeD. However, one specific OTU (OTU-535375) classified as *Bacteroides*
322 *fragilis* was significantly abundant in CeD compared to FDR. Previously in *in-vitro* condition
323 nine different strains of *B. fragilis* showed gliadin-hydrolysing activity and some of them
324 generated immunogenic peptides that increased inflammatory cytokine production and showed
325 increased ability to permeate through Caco-2 cell cultures (18). These observations suggest the
326 need for investigating the role higher abundance of specific *Bacteroides fragilis* strains in CeD.

327 The FDR and DC do not show differences in abundance of many known and predicted
328 butyrate, acetate and propionate producing bacteria from family Ruminococcaceae and
329 Lachnospiraceae. However, CeD fecal microbiota has significantly low abundance of these
330 compared to DC group. Reduction in butyrate producing bacteria was previously reported in
331 inflammatory bowel disease (49).

332 This present study was conducted to investigate if the duodenal and faecal microbiota of
333 FDR of CeD patients are different from that of controls and CeD. At microbial community level,
334 the structure of FDR was more similar to CeD than that of DC. In fecal samples the FDR
335 microbiota was characterised by higher species diversity. Several OTUs could differentiate
336 microbiota of FDRs from that of CeD and DC. These OTUs belong to genera that are known to
337 have different abilities to breakdown gluten as well as some with ability to produce butyrate.

338 We used 16S rRNA gene sequencing which gives sufficient power to obtain the
339 microbial community profile (50). To investigate potential functions (specifically those related to
340 gluten metabolism), we use predictive metagenomics (38). Our observations from beta diversity
341 (Additional file 1: Figure S1) and differential abundance (Figure 4 and 5) suggests variation at
342 taxonomic levels lower than genus. These potential strain level variations and functional aspects
343 using metagenomics and functional omics need to be investigated in follow-up studies. However,
344 metagenomics studies of biopsy samples remain a challenge because of high proportion of host
345 DNA. Thus, predictive metagenomics using 16S rRNA gene as a practical solution was
346 employed for biopsies. In this initial exploratory study, we investigated the gut microbiome with
347 respect to the disease status only and future studies considering other confounding factors such
348 as diet, body mass index age, sex, frequency and quantity of gluten intake among others will be
349 required for a better understanding the gut microbiome in CeD and FDRs. Nonetheless, the study
350 provides support to the emerging view that the gut microbiome and its function could possibly
351 have a pivotal role in the etiopathogenesis of CeD.

352 In summary, present study highlights the specific differences in the microbiota of FDR
353 compared to that in patients with CeD and controls. Difference in FDR microbiota in both the
354 faecal and duodenal biopsy samples compared to CeD and DC suggests microbiota of FDR have

355 unique features. These unique features should be addressed in future mechanistic studies to
356 understand etiopathogenesis of CeD.

357

358 **List of abbreviations**

359 **CeD**: Celiac disease, **DC**: Diseased controls (dyspeptic), **FDR**: First degree relatives. **OTU**:
360 Operational taxonomic unit, **PERMANOVA**: Permutational multivariate analysis of variance,
361 **rRNA**: Ribosomal Ribonucleic acid, **PCoA**: Principal coordinates analysis, **CCA**: Canonical
362 correspondence analysis.

363 **Declarations**

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374 **Availability of data and materials**

375 Sequence data generated in this study is available from the NCBI Sequence Read Archive
376 within the Bioproject ID accession PRJNA385740.
377 (<https://www.ncbi.nlm.nih.gov/bioproject/?term=PRJNA385740>) and to reproduce the analysis

378 done in R, the R Markdown file and required data are available at
379 <https://github.com/microsud/Gut-microbiota-Celiac-disease>.

380 **Conflicts of interest:** All the authors disclose no conflict of interest

381 **Authors Contributions:**

382 The research study was conceptualized, designed and supervised by GKM, YSS and VA. Patient
383 recruitment, diagnosis and endoscopic examination was done by GKM; HLA testing was done
384 by GK; biological sample collection (duodenal biopsy/stool) storage and maintenance was done
385 by AKV, KB and AM. The extraction of genomic DNA was done by RB and PP. DKB, BPS and
386 RCP were involved in amplicon sequencing. Bioinformatics analysis for amplicon data was done
387 by SAS, DPD and RB. Data acquisition, data interpretation and drafting of the manuscript was
388 done by SAS and GKM. YSS, DPD and VA critically reviewed the manuscript. All authors have
389 read and approved the final manuscript.

390 **Ethics approval and consent to participate**

391 The Ethics Committees of All India Institute of Medical Sciences, New Delhi, and National
392 Centre for Cell Sciences, Pune, India approved the study. Informed and written consent was
393 obtained from all the participants.

394 **Consent for publication**

395 Not applicable.

396 **Competing interests**

397 The authors declare that they have no competing interests.

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- 519
520
521

522 **Table 1: Demographic characteristics on study subjects**

Features		Groups		
		CeD	FDR	DC
Number of subjects		23	15	24
Age (mean±S.D.)		23.4±9.5	31.6±10.8	30.6±12.3
Gender	Male	10	6	22
	Female	13	9	2
Sampling site	Faecal samples	21	15	23
	Biopsy samples	16	13	14
Villous abnormalities (as per Modified Marsh criteria)	0	-	15	22
	1	-	-	2
	3a	2	-	-
	3b	7	-	-
	3c	14	-	-
HLA DQ2/DQ8+		23	13	6
tTG Titre (mean±S.D.)		199.9±72.1	4.36±2.6	4.09±2.8

523

524 **Abbreviations:** CeD: Celiac disease patients; FDR: First degree relatives of CeD; DC: control

525 subject; tTG: tissue transglutaminase.

526

527 **Figure Legends**

528 **Figure 1: Community wide differences in beta and alpha diversity. a.** Principal coordinates
529 analysis (PCoA) of bacterial diversity based on Bray–Curtis distance. **b.** Comparison of alpha
530 diversity measures between sampling sites (Wilcoxon test was used for pairwise comparisons).

531

532 **Figure 2: Constrained ordination of individuals using CCA with diagnosis as constraint a.**
533 Duodenal biopsies. **b.** Faecal samples.

534

535 **Figure 3: Comparison of alpha diversity between diagnosis groups. a.** Duodenal biopsy. **b.**
536 Faecal samples. Wilcoxon test was used for statistical comparison.

537

538 **Figure 4: Comparison of differential abundance of bacterial taxa between the diagnosis**
539 **groups in biopsy samples. a.** Differential abundance DC vs FDR **b.** Differential abundance
540 CeD vs DC **c.** Differential abundance CeD vs FDR. Only genera with significant differences ($P <$
541 0.01) in \log_2 fold change are depicted.

542

543 **Figure 5: Comparison of differential abundance of bacterial taxa between the diagnosis**
544 **groups in faecal samples. a.** Differential abundance DC vs FDR. **b.** Differential abundance
545 CeD vs DC. **c.** Differential abundance CeD vs FDR. Only genera with significant differences ($P <$
546 0.01) in average \log_2 fold change are depicted.

547

548 **Figure 6: Inferred genus-function relationships across duodenal biopsies demonstrating higher**
549 contribution of *Acinetobacter* and *Pseudomonas* to peptidase abundances in the total community.

550 **Figure 7: Comparison of proportion of sequence percentage of specific genes related to**
551 **gluten metabolism by bacteria inferred from predicted metagenome for duodenal biopsy. a.**
552 **pyroglutamyl peptidase [EC 3.4.19.3] b. Subtilisin [3.4.21.62] c. X-Pro dipeptidase [3.4.13.9] d.**
553 **Aminopeptidase [EC 3.4.11].**

554

555 **Additional file, Figure S1:** Coefficients for the top OTUs separating CeD and FDR microbiota
556 (PERMANOVA, $R^2 = 0.03063$, $\Pr(>F) = 0.45$). Top half (Red) are OTUs characteristics of CeD
557 group while bottom half (lavender) are OTUs characteristics of FDR group.

558

559 **Additional file, Figure S2:** Comparison of top four bacterial phyla in duodenal biopsy.
560 Wilcoxon test was used for statistical comparison.

561

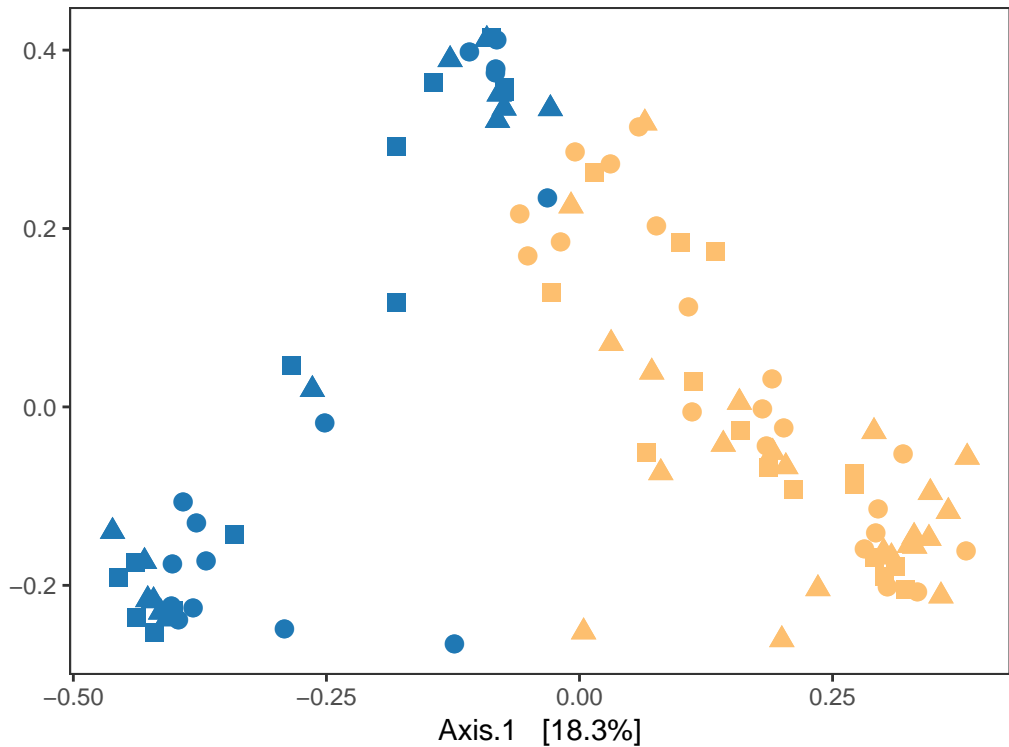
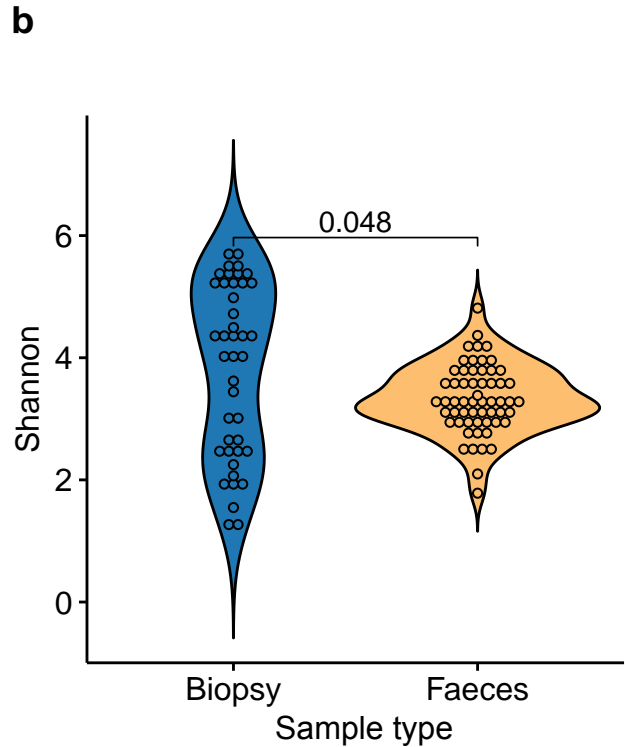
562 **Additional file, Figure S3:** Venn Diagram depicting shared and unique OTUs in duodenal
563 biopsies between FDR, CeD and DC.

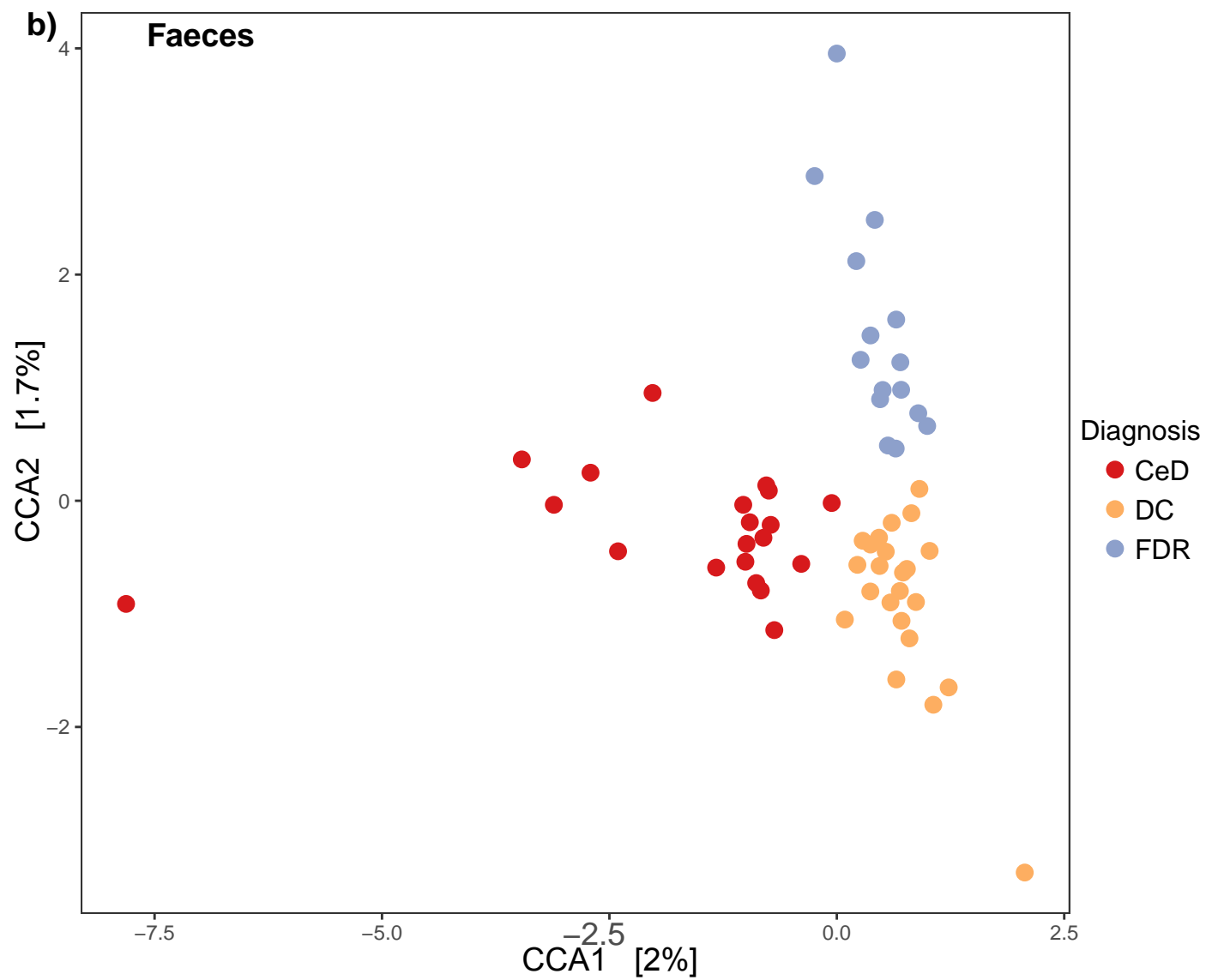
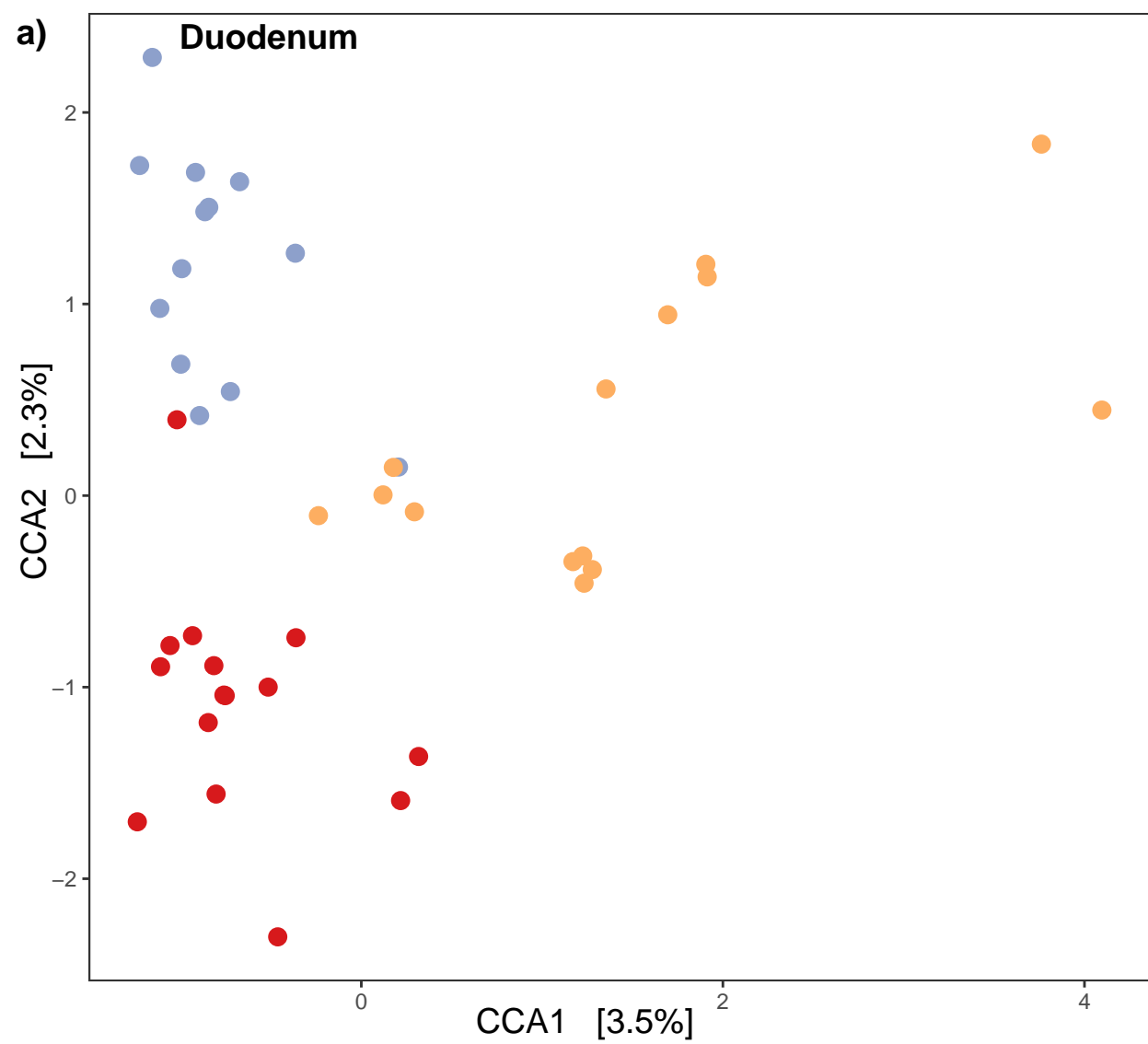
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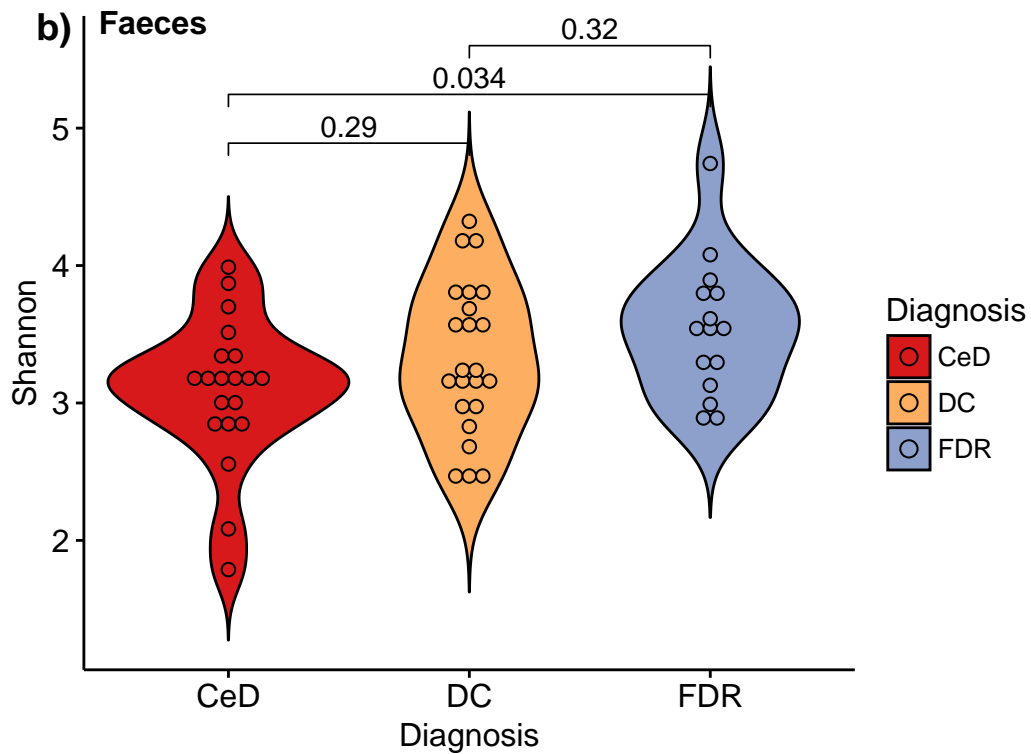
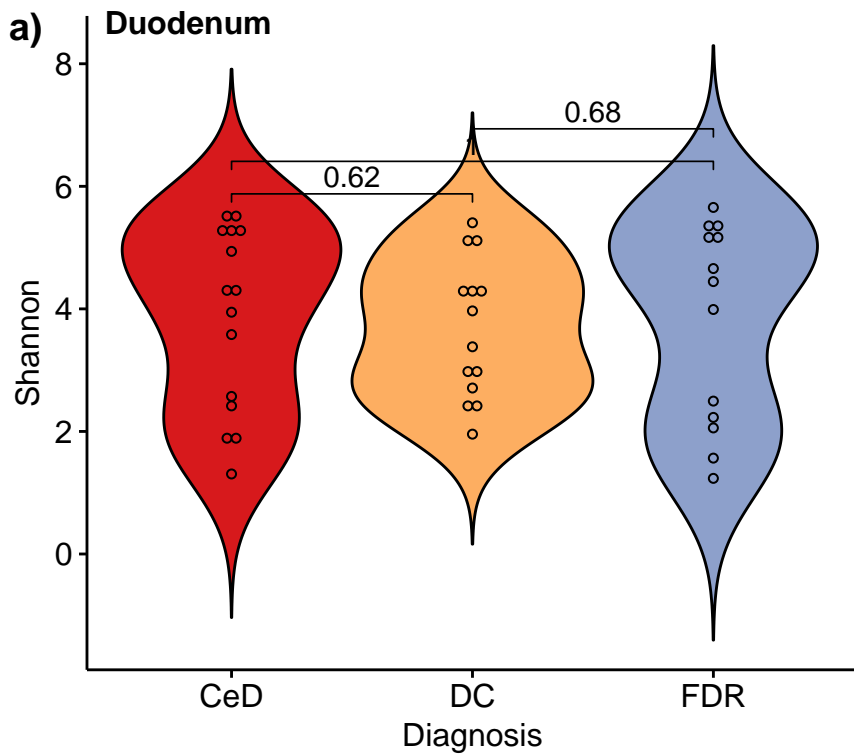
565 **Additional file, Figure S4:** Comparison of top four bacterial phyla in faeces. Wilcoxon test was
566 used for statistical comparison.

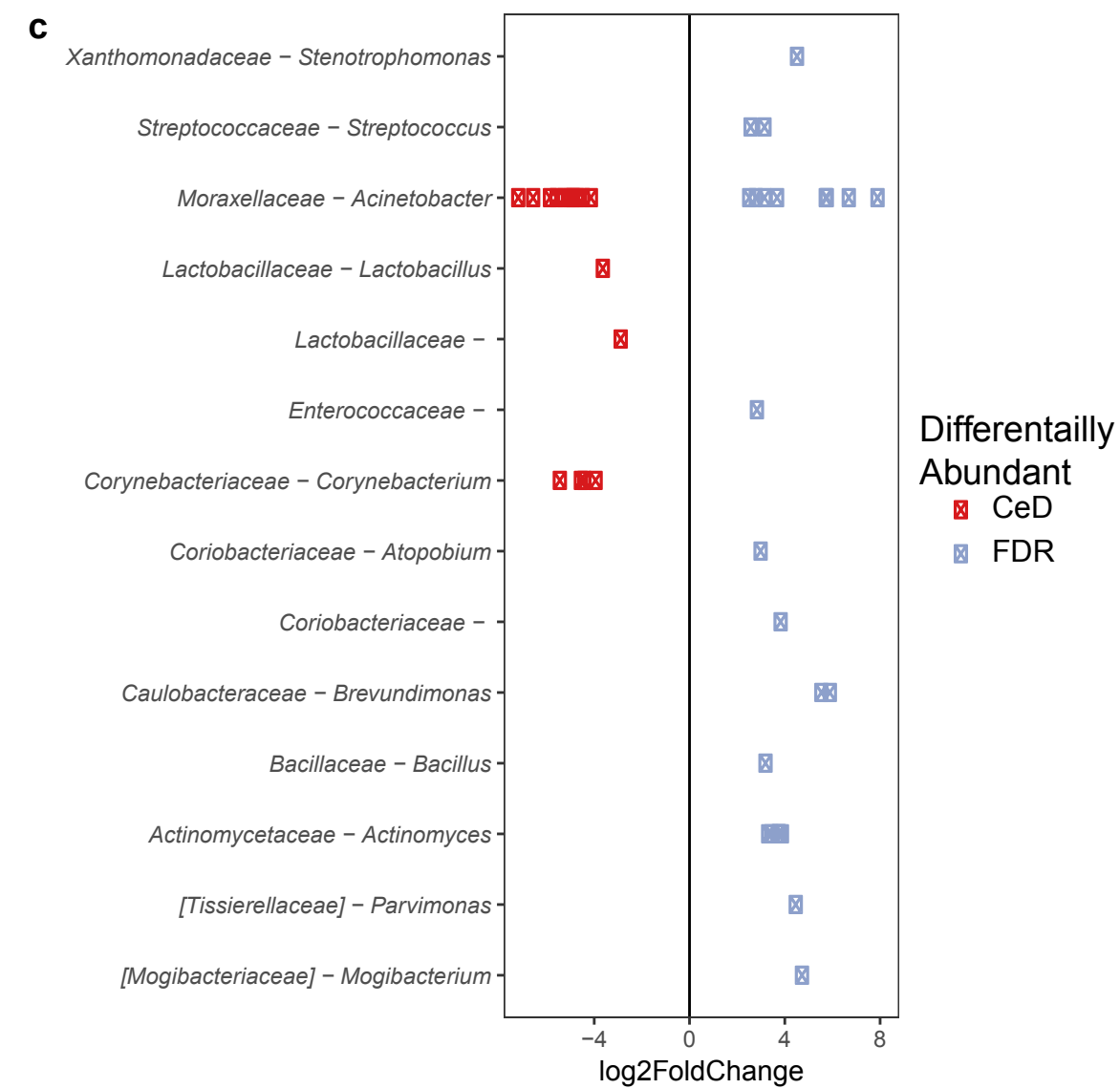
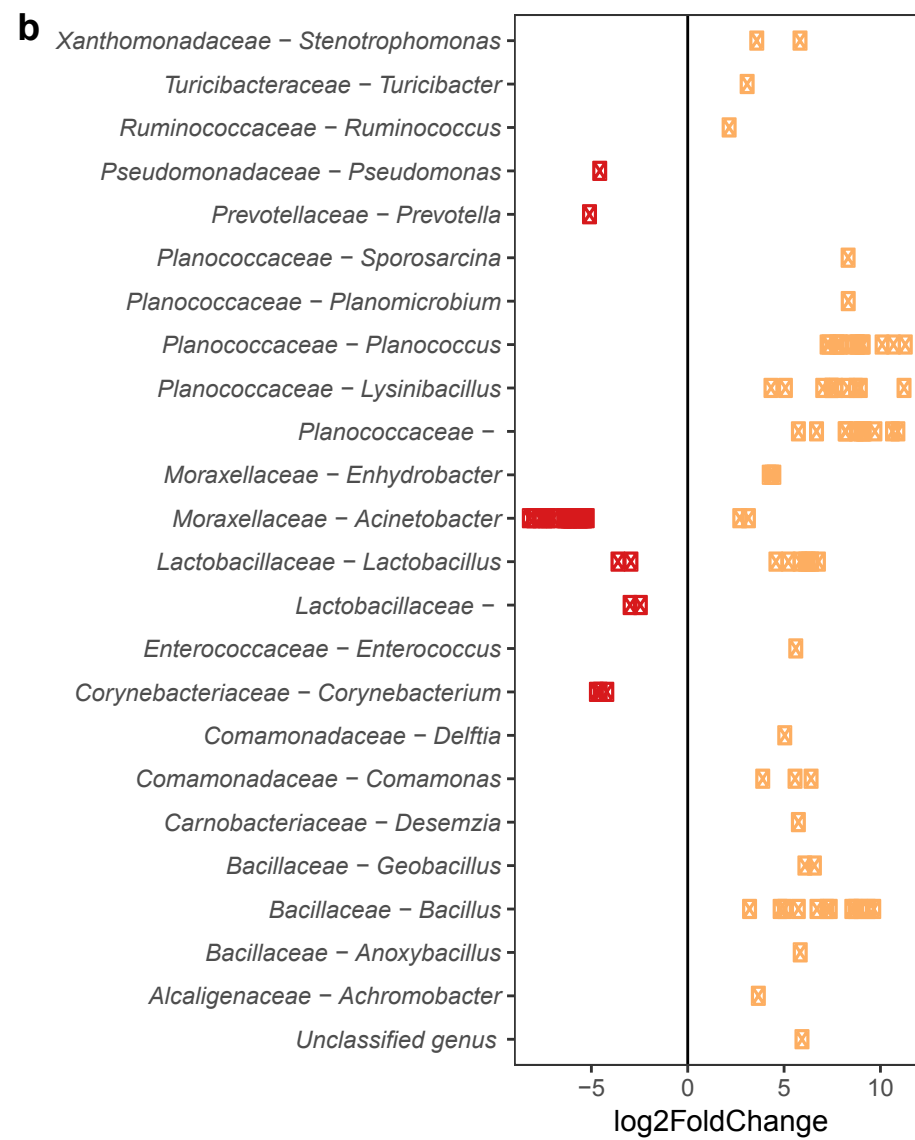
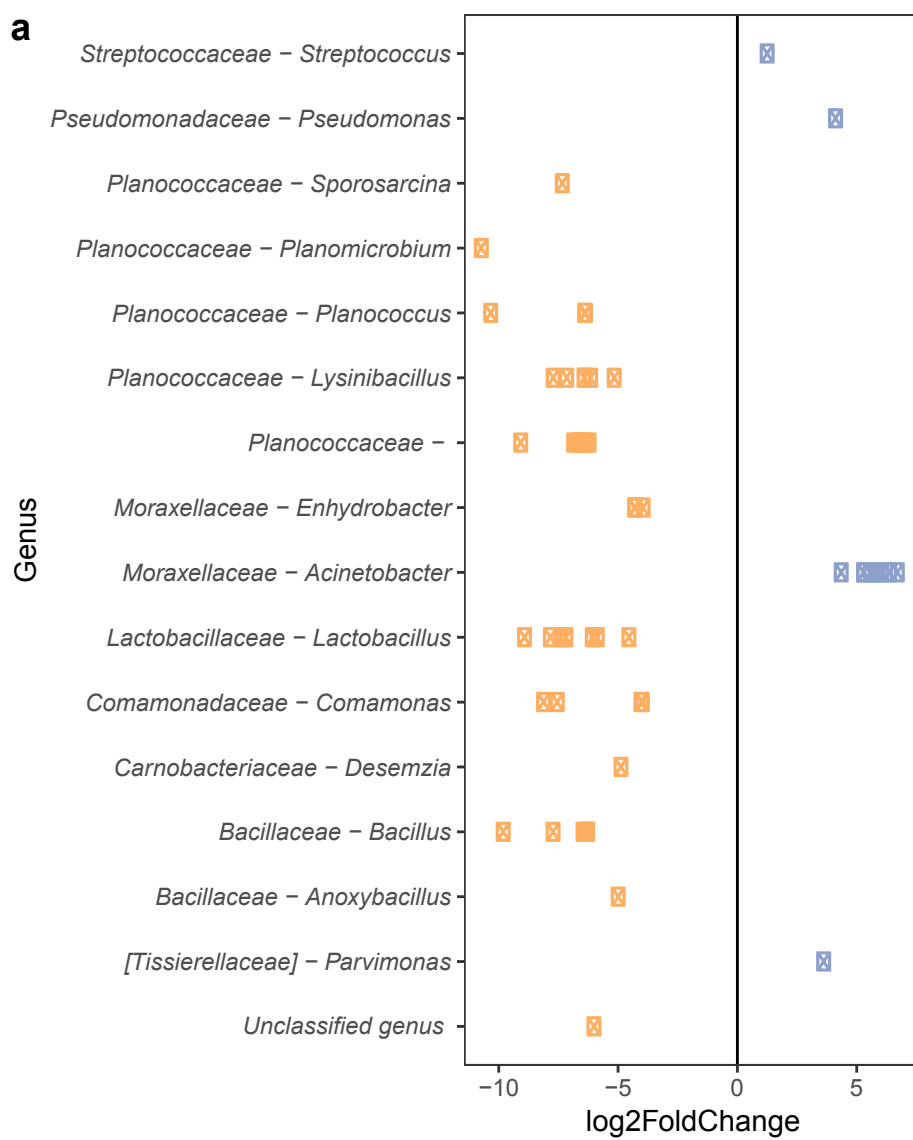
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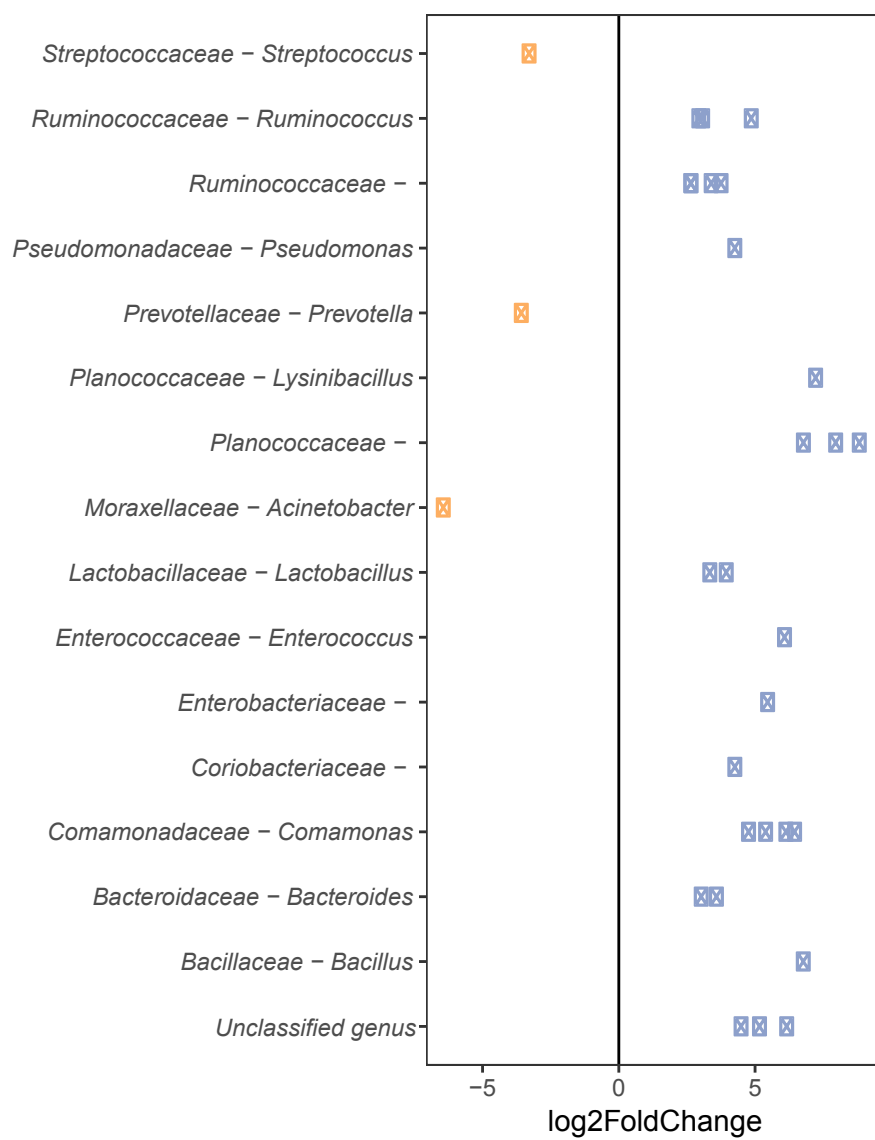
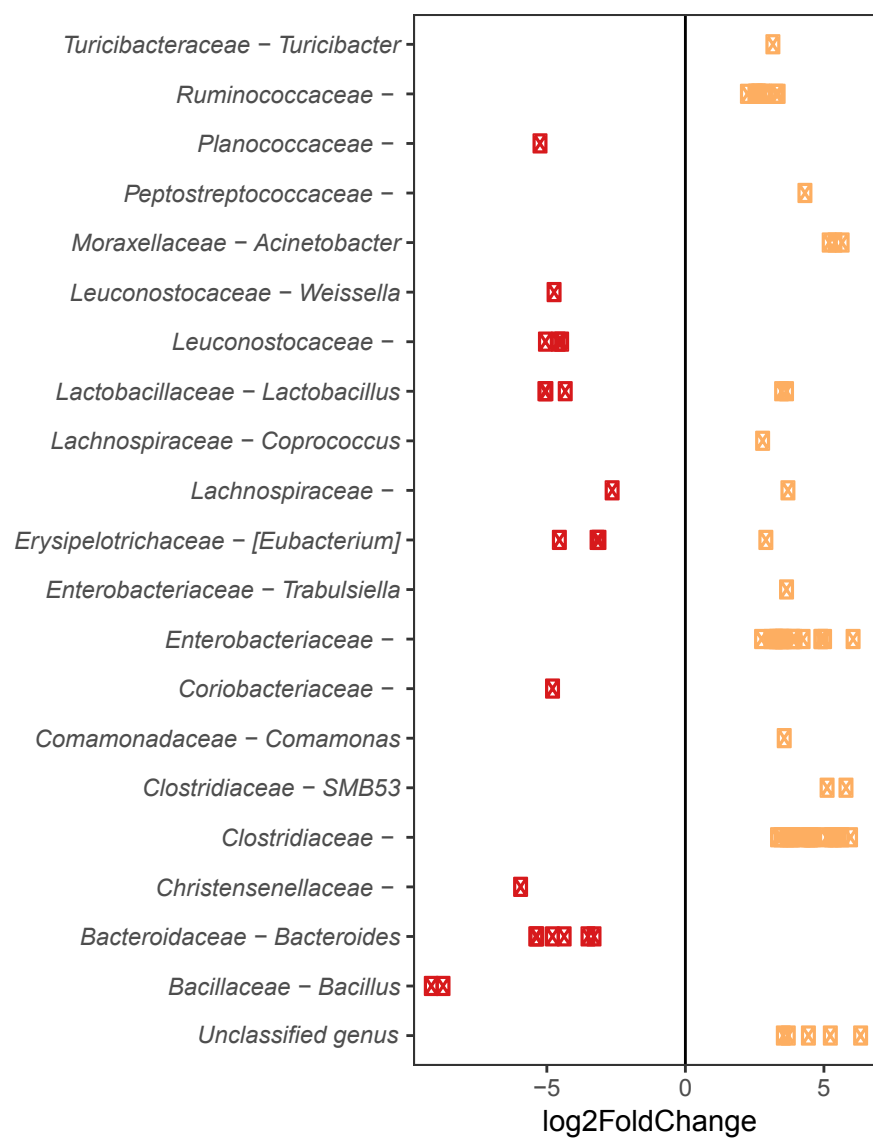
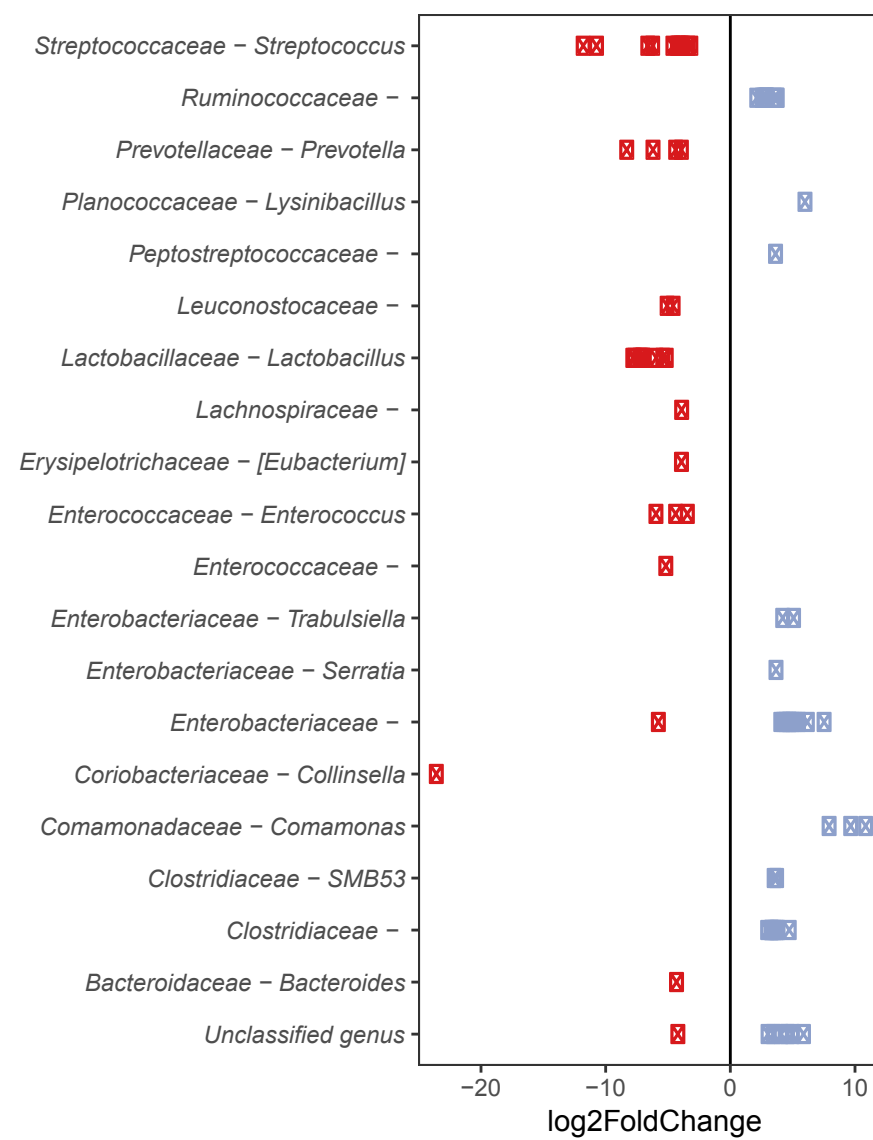
568 **Additional file, Figure S5:** Venn Diagram depicting shared and unique OTUs in faeces between
569 FDR, CeD and DC.

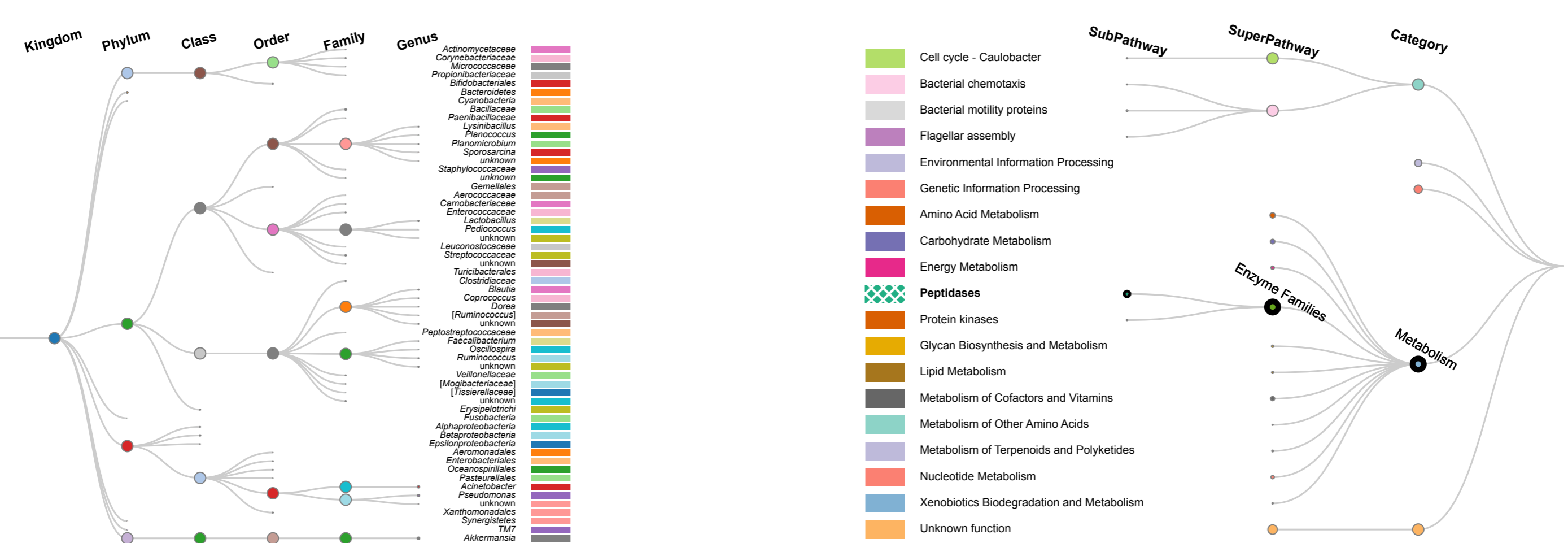
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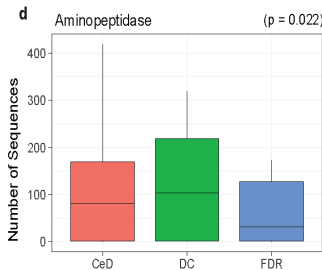
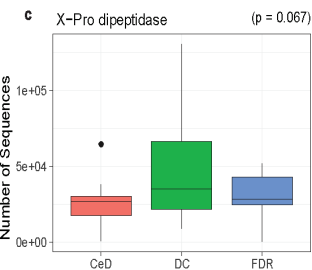
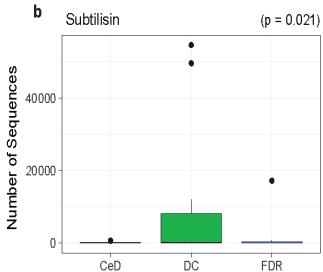
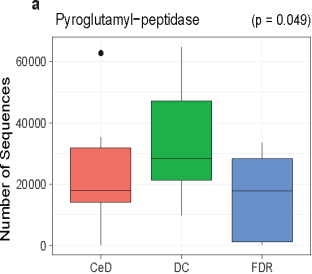






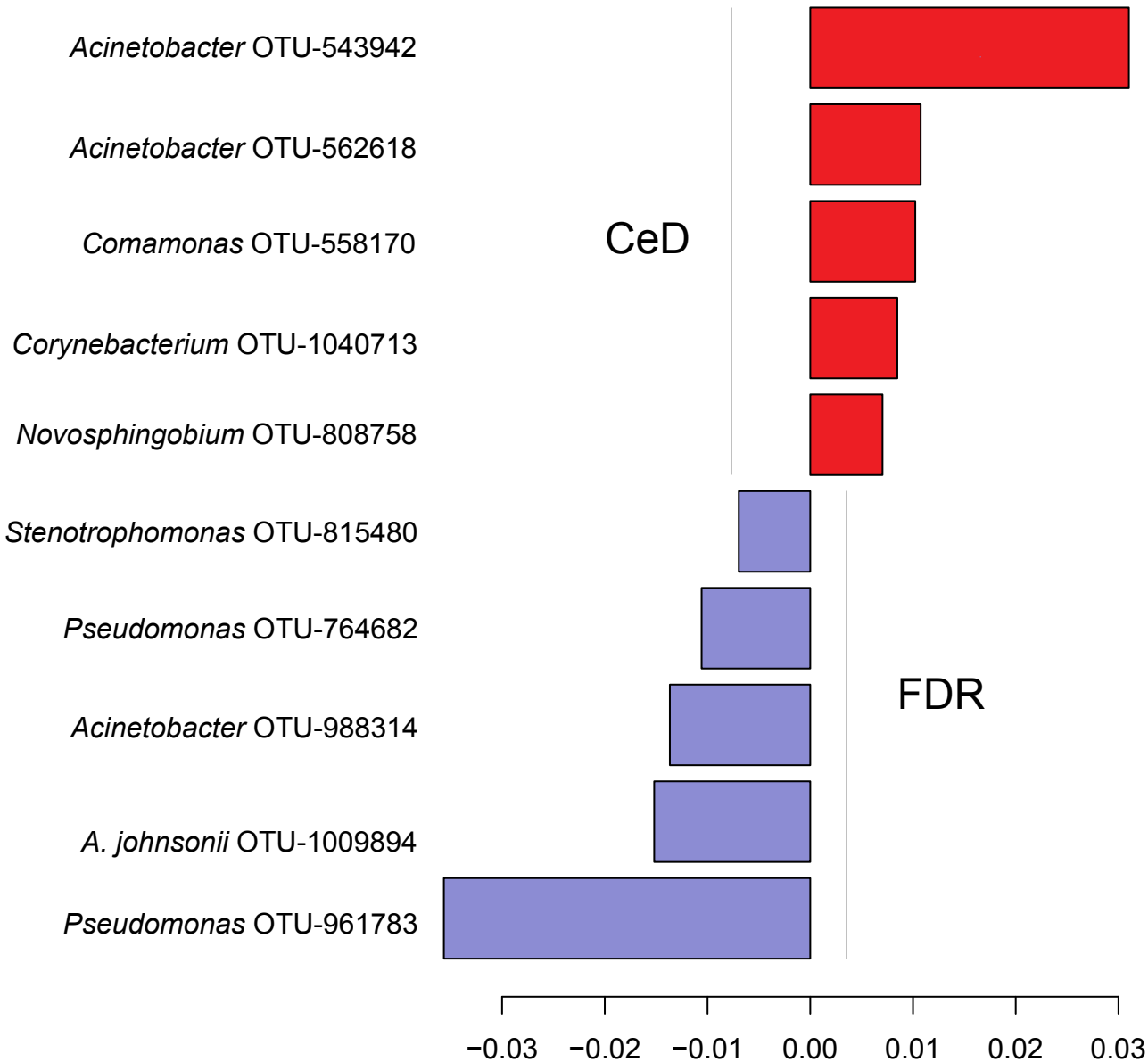
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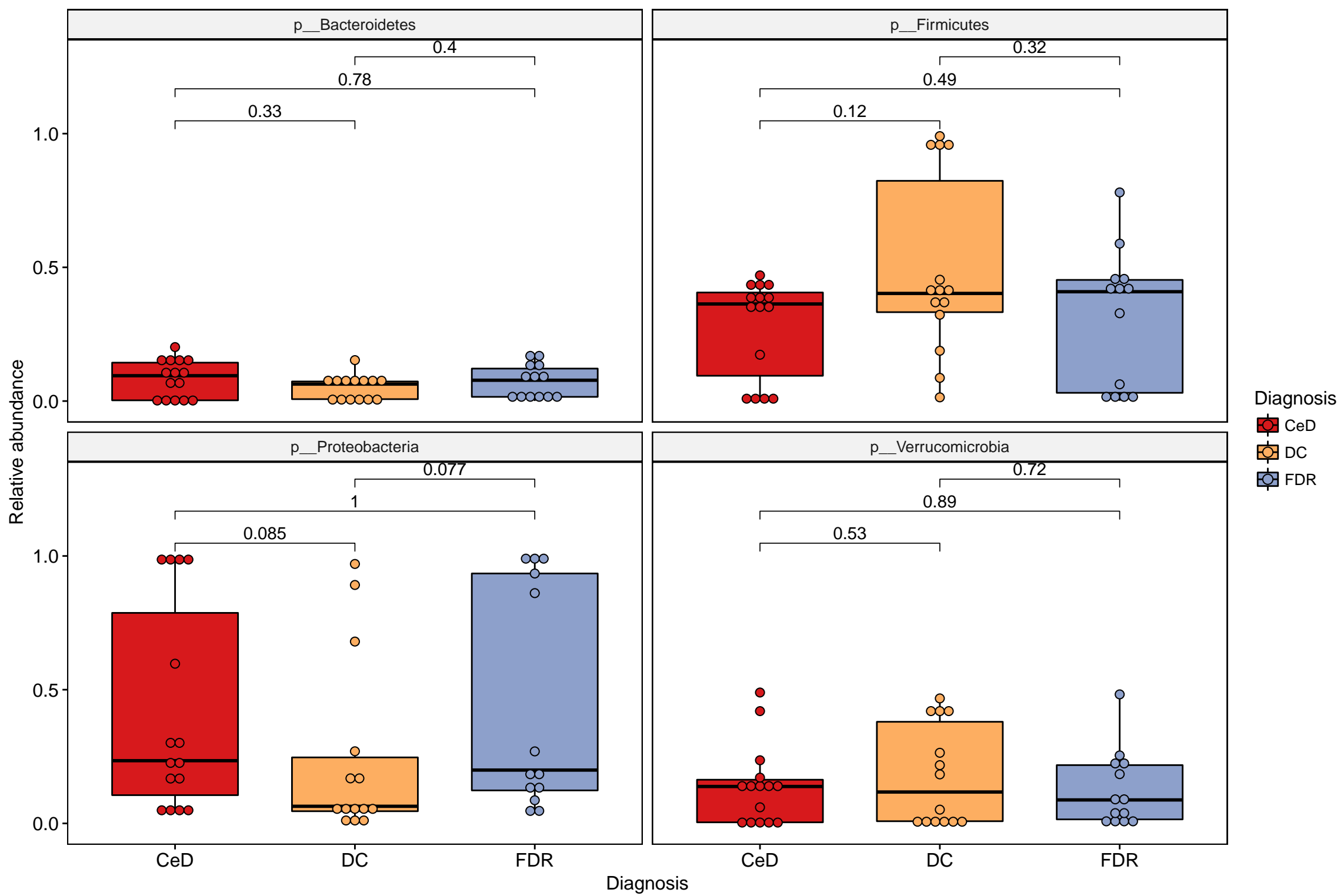




Diagnosis:  CeD  DC  FDR

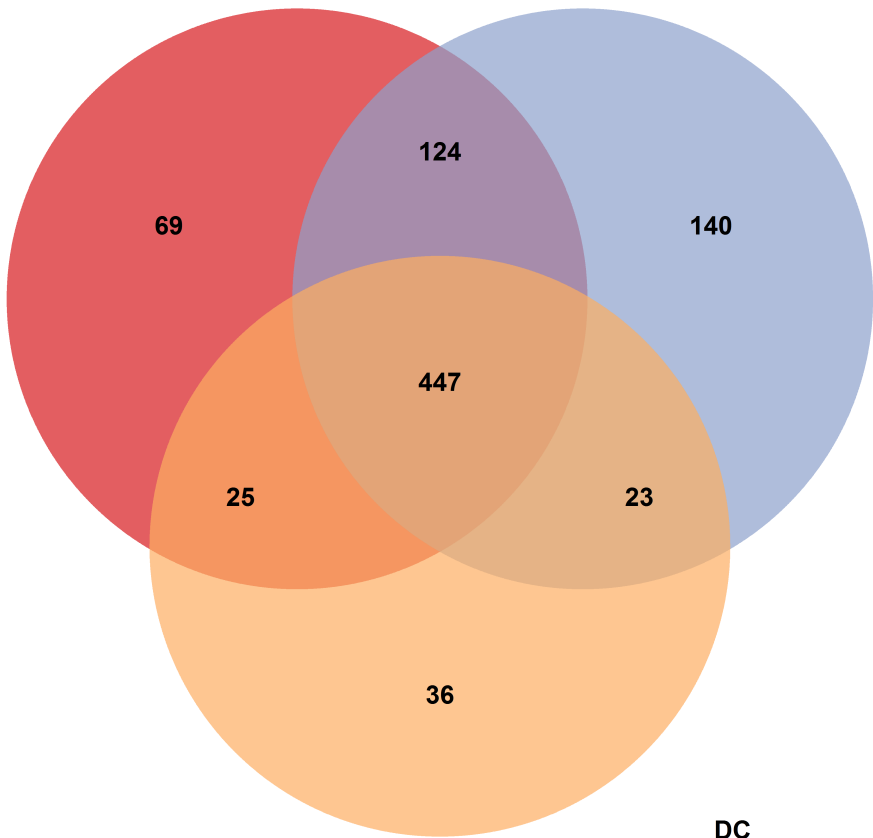
Top OTUs



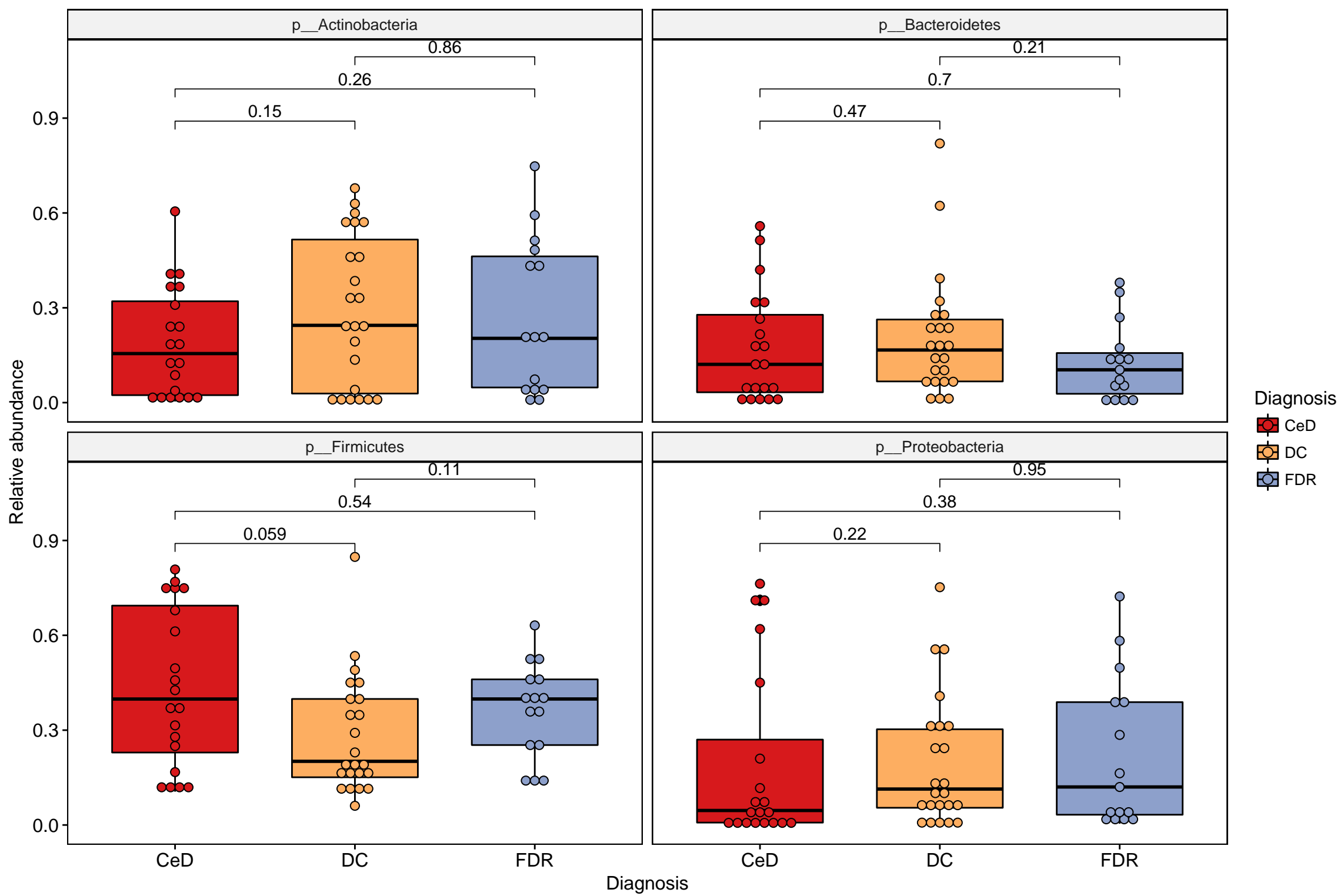


CeD

FDR

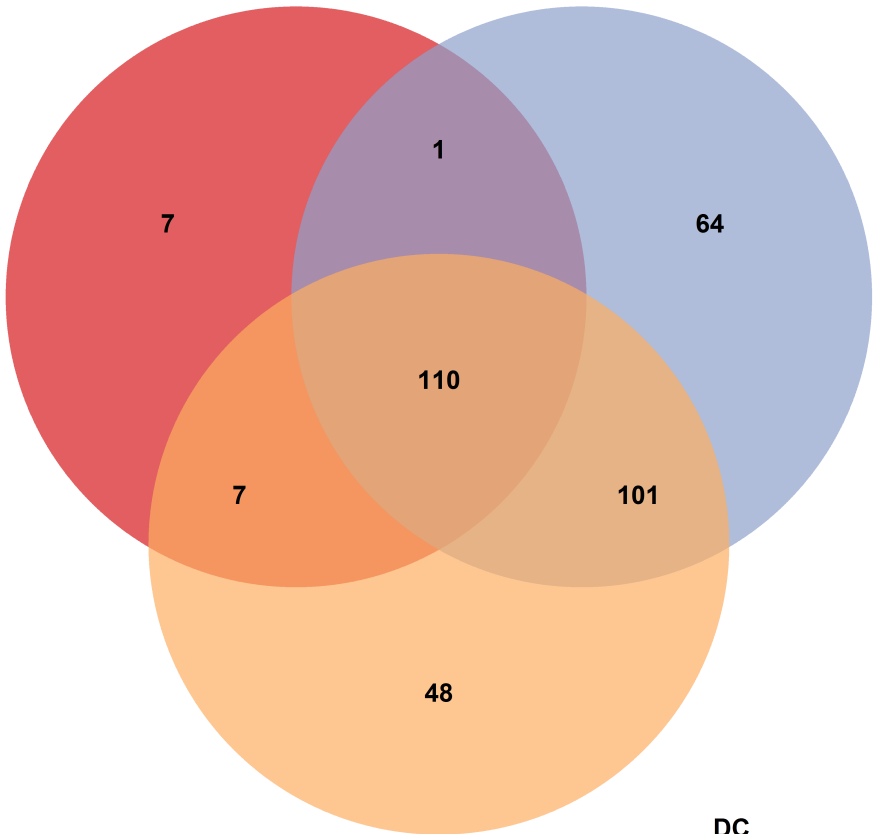


DC



CeD

FDR



DC