1	Humans strategically shift decision bias by flexibly
2	adjusting sensory evidence accumulation in visual cortex
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- 25 Abstract

26 Decision bias is traditionally conceptualized as an internal reference against which 27 sensory evidence is compared. Here, we show that individuals are able to 28 strategically shift this internal reference depending on current task demands by 29 changing the rate of sensory evidence accumulation in visual cortex. Participants 30 performed a target detection task during EEG recordings. We experimentally 31 manipulated participants' decision criterion for reporting target-present using different 32 stimulus-response reward contingencies, inducing liberal and conservative biases in 33 different conditions. Drift diffusion modeling revealed that a strategic liberal bias shift 34 specifically biased sensory evidence accumulation towards target-present choices. 35 In visual cortex, the liberal bias suppressed pre-stimulus 8-12 Hz (alpha) power, 36 which in turn mediated output activity of visual cortex, as expressed in 59-100 Hz 37 (gamma) power. These findings show that observers can intentionally control cortical 38 excitability to strategically bias evidence accumulation towards the decision bound 39 that maximizes reward within a given ecological context.

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41 Introduction

Perceptual decisions arise not only from the evaluation of sensory evidence, but are often biased towards one or another choice alternative by environmental factors, perhaps as a result of task instructions and/or stimulus-response reward contingencies (White & Poldrack, 2014). The ability to willfully control decision bias could potentially enable the behavioral flexibility required to survive in an everchanging and uncertain environment. But despite its important role in decision making, the neural mechanisms underlying decision bias are not fully understood.

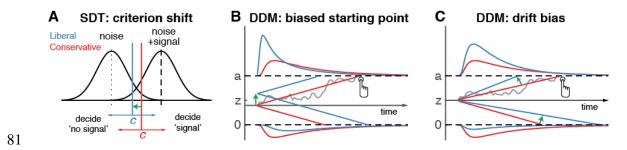
The traditional account of decision bias comes from signal detection theory (SDT) (Green & Swets, 1966). In SDT, decision bias is quantified by estimating the

relative position of a decision point or 'criterion' in between sensory evidence distributions for noise and signal (see Figure 1A). In this framework, a more liberal decision bias arises by moving the criterion closer towards the noise distribution (see green arrow in Figure 1A). Although SDT has been very successful at quantifying decision bias, how exactly bias affects decision making and how it is reflected in neural activity remains unknown.

57 One reason for this lack of insight may be that SDT does not have a temporal 58 component to track how decisions are reached over time (Fetsch, Kiani, & Shadlen, 59 2014). As an alternative to SDT, the drift diffusion model (DDM) conceptualizes 60 perceptual decision making as the accumulation of noisy sensory evidence over time 61 into an internal decision variable (Bogacz, Brown, Moehlis, Holmes, & Cohen, 2006; 62 Gold & Shadlen, 2007; Ratcliff & McKoon, 2008). A decision in this model is made 63 when the decision variable crosses one of two decision bounds corresponding to the 64 choice alternatives. After one of the bounds is reached, the corresponding decision 65 can subsequently either be actively reported, for example by means of a button 66 press indicating a detected signal, or it could remain without behavioral report when 67 no signal is detected (Ratcliff, Huang-Pollock, & McKoon, 2016). Within this 68 framework, a strategic decision bias imposed by the environment can be modelled in 69 two different ways: either by moving the starting point of evidence accumulation 70 closer to one of the boundaries (see green arrow in Figure 1B), or by biasing the rate 71 of the evidence accumulation process itself towards one of the boundaries (see 72 green arrow in Figure 1C). In both the SDT and DDM frameworks, decision bias 73 shifts have little effect on the sensitivity of the observer when distinguishing signal 74 from noise; they predominantly affect the relative response ratios (and in the case of 75 DDM the speed with which one or the other decision bound is reached). There has

been some evidence to suggest that decision bias induced by shifting the criterion is
best characterized by a drift bias in the DDM (Urai, de Gee, & Donner, 2018; White &
Poldrack, 2014). However, the drift bias parameter has as yet not been related to a
well-described neural mechanism.

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82 Figure 1 | Theoretical accounts of decision bias. A. Signal-detection-theoretic account of decision 83 bias. Signal and noise+signal distributions are plotted as a function of the strength of internal sensory 84 evidence. The decision point (or criterion) that determines whether to decide signal presence or 85 absence is plotted as a vertical criterion line c, reflecting the degree of decision bias. c can be shifted 86 left- or rightwards to denote a more liberal or conservative bias, respectively (green arrow indicates a 87 shift towards more liberal). B, C: Drift diffusion model (DDM) account of decision bias, in which 88 decisions are modelled in terms of a set of parameters that describe a dynamic process of sensory 89 evidence accumulation towards one of two decision bounds. When sensory input is presented, 90 evidence starts to accumulate (drift) over time after initialization at the starting point z. A decision is 91 made when the accumulated evidence either crosses decision boundary a (signal presence) or 92 decision boundary 0 (no signal). After a boundary is reached, the corresponding decision can be 93 either actively reported by a button press (e.g. for signal-present decisions), or remain implicit, without 94 a response (for signal-absent decisions). The DDM can capture decision bias through a shift of the 95 starting point of the evidence accumulation process (panel B) or through a shift in bias in the rate of 96 evidence accumulation towards the different choices (panel C). These mechanisms are dissociable 97 through their differential effect on the shape of the reaction time (RT) distributions, as indicated by the 98 curves above and below the graphs for target-present and target-absent decisions, respectively. 99 Panels B. and C. are modified and reproduced with permission from Urai, de Gee, & Donner (2018).

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101 Regarding the neural underpinnings of decision bias, there have been a 102 number of reports about a correlational relationship between cortical population 103 activity measured with EEG and decision bias. For example, spontaneous trial-totrial variations in pre-stimulus oscillatory activity in the 8-12 Hz (alpha) band have 104 105 been shown to correlate with decision bias and confidence (lemi, Chaumon, Crouzet, 106 & Busch, 2017; Limbach & Corballis, 2016; Samaha, Iemi, & Postle, 2017). Alpha 107 oscillations, in turn, have been proposed to be involved in the gating of task-relevant 108 sensory information (Jensen & Mazaheri, 2010), possibly encoded in high-frequency 109 (gamma) oscillations in visual cortex (Ni et al., 2016; Popov, Kastner, & Jensen, 110 2017). Although these reports suggest links between pre-stimulus alpha 111 suppression, sensory information gating and decision bias, they do not uncover 112 whether pre-stimulus alpha plays an instrumental role in decision bias and how 113 exactly this might be achieved. For example, it is unknown whether an 114 experimentally induced shift in decision bias is implemented in the brain by willfully 115 adjusting pre-stimulus alpha in sensory areas.

116 Here, we explicitly investigate these potential mechanisms by employing a 117 task paradigm in which shifts in decision bias were experimentally induced within 118 participants through (a) instruction and (b) asymmetries in stimulus-response reward 119 contingencies during a visual target detection task. By applying drift diffusion 120 modeling to the participants' choice behavior, we show that strategically adjusting 121 decision bias specifically affects the rate of sensory evidence accumulation towards 122 one of the two decision bounds. Further, we demonstrate that this drift bias is 123 achieved by flexibly up- and down-regulating pre-stimulus alpha as well as the output 124 activity of visual cortex, as reflected in gamma power modulation. Critically, we show

that gamma activity accurately predicts the strength of the evidence accumulation bias within participants, providing a direct link between the proposed mechanism and decision bias. Together, these findings identify the neural mechanism by which intentional control of cortical excitability is applied to strategically bias perceptual decisions in order to maximize reward in a given context.

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131 **Results**

132 Manipulation of decision bias affects sensory evidence accumulation

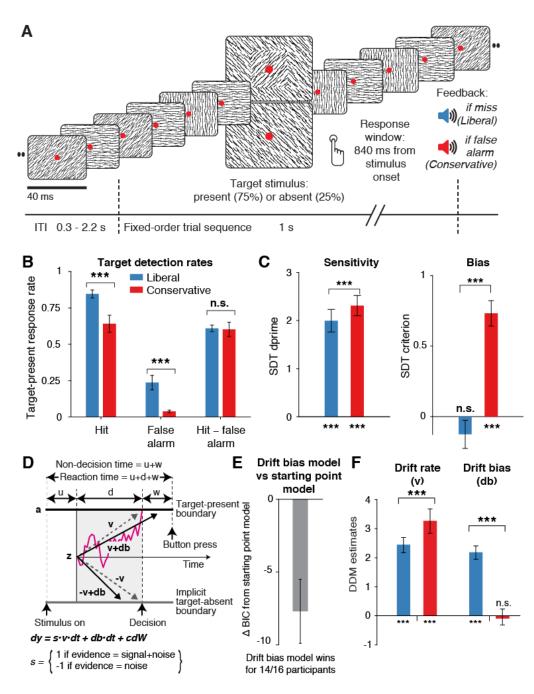
133 In three EEG recording sessions, human participants (N = 16) viewed a continuous 134 stream of horizontal, vertical and diagonal line textures alternating at a rate of 25 135 textures/second. The participants' task was to detect an orientation-defined square 136 presented in the center of the screen and report it via a button press (Figure 2A). 137 Trials consisted of a fixed-order sequence of textures embedded in the continuous 138 stream (total sequence duration 1 second). A square appeared in the fifth texture of 139 a trial in 75% of the presentations (target trials), while in 25% a homogenous 140 diagonal texture appeared in the fifth position (nontarget trials). Although the onset of 141 a trial within the continuous stream of textures was not explicitly cued, the similar 142 distribution of reaction times in target and nontarget trials suggests that participants 143 used the temporal structure of the task even when no target appeared (Figure 2-144 figure supplement 1A). Consistent and significant EEG power modulations after trial 145 onset (even for nontarget trials) further confirm that subjects registered trial onsets in 146 the absence of an explicit cue, plausibly using the onset of a fixed order texture 147 sequence as an implicit cue (Figure 2—figure supplement 1B).

148 In alternating nine-minute blocks of trials, we actively biased participants' 149 perceptual decisions by instructing them either to report as many targets as possible 150 ("Detect as many targets as possible!"; liberal condition), or to only report high-151 certainty targets ("Press only if you are really certain!"; conservative condition). 152 Participants were free to respond at any time during a block whenever they detected 153 a target. A trial was considered a target present response when a button press 154 occurred before the fixed-order sequence ended (i.e. within 0.84 s after onset of the 155 fifth texture containing the (non)target, see Figure 2A). We provided auditory 156 feedback and applied monetary penalties following missed targets in the liberal 157 condition and following false alarms in the conservative condition (Figure 2A; see 158 Methods for details). The median number of trials for each SDT category across 159 participants was 1206 hits, 65 false alarms, 186 misses and 355 correct rejections in 160 the liberal condition, and 980 hits, 12 false alarms, 419 misses and 492 correct 161 rejections in the conservative condition.

162 Participants reliably adopted the intended decision bias shift across the two 163 conditions, as shown by both the hit rate and the false alarm rate going down in 164 tandem as a consequence of a more conservative bias (Figure 2B). The difference 165 between hit rate and false alarm rate was not significantly modulated by the 166 experimental bias manipulations (p = 0.81, two-sided permutation test, 10,000 167 permutations, see Figure 2B). However, target detection performance computed 168 using standard SDT d' (perceptual sensitivity, reflecting the distance between the 169 noise and signal distributions in Figure 1A)(Green & Swets, 1966) was slightly higher 170 during conservative (liberal: d' = 2.0 (s.d. 0.90), versus conservative: d' = 2.31 (s.d. 171 (0.82), p = 0.0002, see Figure 2C, left bars). We quantified decision bias using the 172 standard SDT criterion measure c, in which positive and negative values reflect

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conservative and liberal biases, respectively (see the blue and red vertical lines in Figure 1A). This uncovered a strong experimentally induced bias shift from the conservative to the liberal condition (liberal: c = -0.13 (s.d. 0.4), versus conservative: c = 0.73 (s.d. 0.36), p = 0.0001, see Figure 2C), as well as a conservative average bias across the two conditions (c = 0.3 (s.d. 0.31), p = 0.0013).



179 Figure 2 | Strategic decision bias shift towards liberal biases evidence accumulation. A. 180 Schematic of the visual stimulus and task design. Participants viewed a continuous stream of full-181 screen diagonally, horizontally and vertically oriented textures at a presentation rate of 40 ms (25 Hz). 182 After random inter-trial intervals (range 0.3-2.2 s), a fixed-order sequence (duration 1 s) was 183 presented, embedded in the stream. The fifth texture in each sequence either consisted of a single 184 diagonal orientation (target absent), or contained an orthogonal orientation-defined square (either 45° 185 or 135° orientation). Participants decided whether they had just seen a target, reporting detected 186 targets by button press within 840 ms after target onset. Liberal and conservative conditions were 187 administered in alternating nine-minute blocks by penalizing either misses or false alarms, 188 respectively, using aversive tones and monetary deductions. Depicted square and fixation dot sizes 189 are not to scale. **B.** Average detection rates (hits and false alarms) during both conditions. Miss rate is 190 equal to 1 – hit rate since both are computed on stimulus present trials, and correct-rejection rate as 1 191 - false alarm rate since both are computed on stimulus absent trials, together yielding the four SDT 192 stimulus-response categories C. SDT parameters for sensitivity and criterion. D. Schematic and 193 simplified equation of drift diffusion model accounting for reaction time distributions for actively 194 reported target-present and implicit target-absent decisions. Decision bias in this model can be 195 implemented by either shifting the starting point of the evidence accumulation process (Z), or by 196 adding an evidence-independent constant ('drift bias', db) to the drift rate. See text and Figure 1 for 197 details. Notation: dy, change in decision variable y per unit time dt; v.dt, mean drift (multiplied with 1 198 for signal + noise (target) trials, and -1 for noise-only (nontarget) trials); db-dt, drift bias; and cdW, 199 Gaussian white noise (mean = 0, variance = $c2 \cdot dt$). **E.** Difference in Bayesian Information Criterion 200 (BIC) goodness of fit estimates for the drift bias and the starting point models. A lower delta BIC value 201 indicates a better fit, showing superiority of the drift bias model to account for the observed results. F. 202 Estimated model parameters for drift rate and drift bias in the drift bias model. Error bars, SEM across 203 16 participants. ***p < 0.001; n.s., not significant. Panel D. is modified and reproduced with 204 permission from (de Gee et al., 2017). 205 The following source data and figure supplements are available for Figure 2:

206 Source data 1. This csv table contains the data for Figure 2 panels B, C, E and F.

Figure supplement 1. Behavioral and neurophysiological evidence that participants were sensitive to
 the implicit task structure.

Figure supplement 2. Signal-detection-theoretic behavioral measures during both conditions
 correspond closely to drift diffusion modeling parameters.

Figure supplement 3. Single-participant drift diffusion model fits for the drift bias model for both conditions.

213 Because the SDT framework is static, we further investigated how bias 214 affected various components of the dynamic decision process by fitting different drift 215 diffusion models (DDMs) to the behavioral data (Figure 1B, C) (Ratcliff & McKoon, 216 2008). The DDM postulates that perceptual decisions are reached by accumulating 217 noisy sensory evidence towards one of two decision boundaries representing the 218 choice alternatives. Crossing one of these boundaries can either trigger an explicit 219 behavioral report to indicate the decision (for target-present responses in our 220 experiment), or remain implicit (i.e. without active response, for target-absent 221 decisions in our experiment). The DDM captures the dynamic decision process by 222 estimating parameters reflecting the rate of evidence accumulation (drift rate), the 223 separation between the boundaries, as well as the time needed for stimulus 224 encoding and response execution (non-decision time)(Ratcliff & McKoon, 2008). The 225 DDM is able to estimate these parameters based on the shape of the RT 226 distributions for actively reported (target-present) decisions along with the total 227 number of trials in which no response occurred (i.e. implicit target-absent decisions) 228 (Ratcliff et al., 2016).

We tested two different DDMs that can potentially account for decision bias: one in which the starting point of evidence accumulation moves closer to one of the decision boundaries ('starting point model', Figure 1B) (Mulder, Wagenmakers, Ratcliff, Boekel, & Forstmann, 2012), and one in which the drift rate itself is biased towards one of the boundaries (de Gee et al., 2017) ('drift bias model', see Figure

234 1C, referred to as drift criterion by Rattclif and McKoon (2008)). The drift bias 235 parameter is determined by estimating the contribution of an evidence-independent 236 constant added to the drift (Figure 2D). In the two respective models, we freed either 237 the drift bias parameter (db, see Figure 2D) for the two conditions while keeping 238 starting point (z) fixed across conditions (for the drift bias model), or vice versa (for 239 the starting point model). Permitting only one parameter at a time to vary freely 240 between conditions allowed us to directly compare the models without having to 241 penalize either model for the number of free parameters. These alternative models 242 make different predictions about the shape of the RT distributions in combination 243 with the response ratios: a shift in starting point results in more target-present 244 choices particularly for short RTs, whereas a shift in drift bias grows over time, 245 resulting in more target-present choices also for longer RTs (de Gee et al., 2017; 246 Ratcliff & McKoon, 2008; Urai et al., 2018). The RT distributions above and below 247 the evidence accumulation graphs in Figure 1B and 1C illustrate these different 248 effects. In both models, all of the non-bias related parameters (drift rate v, boundary 249 separation a and non-decision time u+w, see Figure 2D) were also allowed to vary 250 by condition.

251 We found that the starting point model provided a worse fit to the data than 252 the drift bias model (starting point model, Bayesian Information Criterion (BIC) = 253 10287; drift bias model, BIC = 10279, Figure 2E, see Methods for details). 254 Specifically, for 14 out of the 16 participants, the drift bias model provided a better fit 255 than the starting point model, for 10 of which delta BIC > 6, indicating strong 256 evidence in favor of the drift bias model. Finally, we compared these models to a 257 model in which both drift bias and starting point were fixed across the conditions, 258 while still allowing the non-bias-related parameters to vary per condition. This model

provided the lowest goodness of fit (delta BIC > 6 for both models for all
participants). See Figure 2—figure supplement 3 for model fits of the drift bias model
for each participant.

262 Given the superior performance of the drift bias model, we further 263 characterized decision making under the bias manipulation using parameter 264 estimates from this model. Drift rate, reflecting the participants' ability to discriminate 265 targets and nontargets, was somewhat higher in the conservative compared to the 266 liberal condition (liberal: v = 2.39 (s.d. 1.07), versus conservative: v = 3.06 (s.d. 267 1.16), p = 0.0001, permutation test, Figure 2F, left bars). Almost perfect correlations 268 across participants in both conditions between DDM drift rate and SDT d' provided 269 strong evidence that the drift rate parameter captures perceptual sensitivity (liberal, r = 0.97, p = $1.7e^{-10}$; conservative, r = 0.95, p = $1.4e^{-8}$, see Figure 2—figure 270 271 supplement 2A).

272 Regarding the DDM bias parameters, the condition-fixed starting point 273 parameter in the drift bias model was smaller than half the boundary separation (i.e. 274 closer to the target-absent boundary (z = 0.24 (s.d. 0.06), p < 0.0001, tested against 275 0.5)), indicating an overall conservative starting point across conditions (Figure 2-276 figure supplement 2D), in line with the overall positive SDT criterion (see Figure 2C, 277 right panel). Strikingly, however, whereas the drift bias parameter was on average 278 not different from zero in the conservative condition (db = -0.04 (s.d. 1.17), p = 279 0.90), drift bias was strongly positive in the liberal condition (db = 2.08 (s.d. 1.0), p = 280 0.0001; liberal vs conservative: p = 0.0005; Figure 2F, right bars). The overall 281 conservative starting point combined with a condition-specific neutral drift bias 282 explained the conservative decision bias (as quantified by SDT criterion) in the 283 conservative condition (Figure 2C). Likewise, in the liberal condition, the overall

conservative starting point combined with a condition-specific positive drift bias (pushing the drift towards the target-present boundary) explained the neutral bias observed with SDT criterion (*c* around zero for liberal, see Figure 2C).

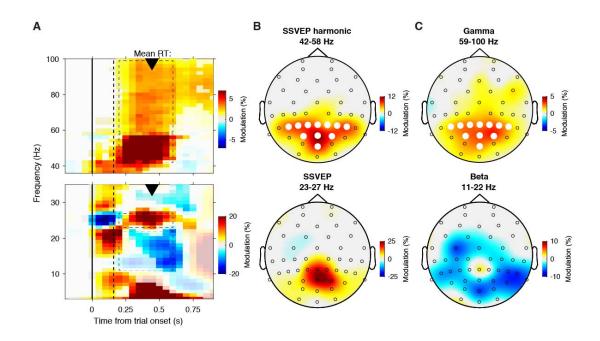
287 Convergent with these modelling results, drift bias was strongly anti-correlated 288 across participants with both SDT criterion (liberal, r = -0.83; conservative, r = -0.79) 289 and reaction times (liberal, r = -0.66; conservative, r = -0.76, all p-values < 0.005, 290 see Figure 2-figure supplement 2B and 2C). The strong correlations between drift 291 rate and d' on the one hand, and drift bias and c on the other, provide converging 292 evidence that the SDT and DDM frameworks capture similar underlying 293 mechanisms, while the DDM additionally captures the dynamic nature of perceptual 294 decision making by linking the decision bias manipulation to the evidence 295 accumulation process itself.

296 Finally, the bias manipulation also affected two other parameters in the drift 297 bias model that were not directly related to sensory evidence accumulation: 298 boundary separation was slightly but reliably higher during the liberal compared to 299 the conservative condition (p < 0.0001), and non-decision time (comprising time 300 needed for sensory encoding and motor response execution) was shorter during 301 liberal (p < 0.0001) (Figure 2—figure supplement 2D). In conclusion, a drift diffusion 302 model of choice behavior implementing a bias in sensory evidence accumulation 303 best explained how participants adjusted to the decision bias manipulations. In the 304 next sections, we used spectral analysis of the concurrent EEG recordings to identify 305 a plausible neural mechanism that implements biased sensory evidence 306 accumulation.

307

Task-relevant textures induce stimulus-related responses in visual cortex

309 Sensory evidence accumulation in a visual target detection task presumably relies 310 on stimulus-induced signals processed in visual cortex. Such stimulus-induced 311 signals are typically reflected in cortical population activity exhibiting a rhythmic 312 temporal structure (Buzsáki & Draguhn, 2004). Specifically, bottom-up processing of 313 visual information has previously been linked to increased high-frequency (> 40 Hz, 314 i.e. gamma) electrophysiological activity over visual cortex (Bastos et al., 2015; 315 Michalareas et al., 2016; Popov et al., 2017; van Kerkoerle et al., 2014). Figure 3A 316 shows time-frequency representations of EEG power modulations over posterior 317 cortex for the low- and high-frequency bands, normalized with respect to the 318 condition-specific pre-stimulus baseline period. We observed a total of four distinct 319 stimulus-induced power modulations after trial onset: two in the high-frequency 320 range (> 36 Hz, Figure 3A, top panel) and two in the low-frequency range (< 36 Hz, 321 Figure 3A, bottom panel). First, we found a spatially focal modulation in a narrow 322 frequency range around 25 Hz reflecting the steady state visual evoked potential 323 (SSVEP) arising from entrainment by the visual stimulation frequency of our 324 experimental paradigm (Figure 3B, lower panel). A second modulation from 42-58 325 Hz (Figure 3B, top panel) comprised the first harmonic of the SSVEP, as can be 326 seen from their similar topographic distributions (Figure 3B, compare top and lower 327 panel).



328

329 Figure 3 | Task-relevant textures induce stimulus-induced responses in visual cortex. A. Time-330 frequency representations of high- (top) and low-frequency (bottom) EEG power modulations with 331 respect to the condition-specific pre-stimulus period (-0.4 to 0 s). Saturated colors indicate clusters of 332 significant modulation, cluster threshold p < 0.05, two-sided permutation test across participants, 333 cluster- \exists corrected; N = 15). Solid and dotted vertical lines respectively indicate the onset of the trial 334 and the target stimulus. B. Scalp maps showing topography of the steady-state visual evoked 335 potential (SSVEP) power modulation around 25 Hz (bottom) and its harmonic from 42 - 58 Hz (top), 336 \exists from 0.2 – 0.6 s after trial onset. **C.** 59 – 100 Hz gamma power modulation from 0.2 – 0.6 s (top) 337 and concurrent low frequency ('beta') power suppression from 11 - 22 Hz (bottom); see dashed 338 outlines] on time-frequency representations in A. White dots indicate electrodes used for the time-339 frequency representations in A, and which were selected for further analysis. 340 The following source data is available for Figure 3:

341 **Source data 1.** MATLAB .mat file containing the data used in panel A.

Figure 3 – Figure supplement 1. Liberal – conservative EEG power modulation contrast across
 space, time and frequency.

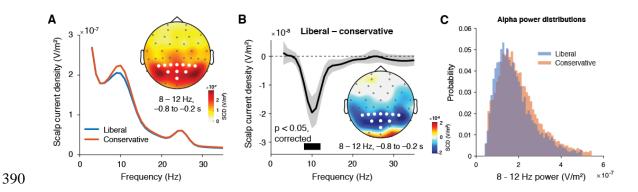
345 Third, we observed a 59–100 Hz gamma power modulation (Figure 3C, top 346 panel), after carefully controlling for high-frequency EEG artifacts due to small 347 fixational eye movements (microsaccades) by removing microsaccade-related 348 activity from the data (Hassler, Trujillo-Barreto, & Gruber, 2011; Hipp & Siegel, 2013; 349 Yuval-Greenberg, Tomer, Keren, Nelken, & Deouell, 2008), and by suppressing non-350 neural EEG activity through scalp current density (SCD) transformation (Melloni, 351 Schwiedrzik, Wibral, Rodriguez, & Singer, 2009; Perrin, Pernier, Bertrand, & 352 Echallier, 1989) (see Methods for details). Importantly, the topography of the 353 observed gamma modulation was confined to posterior electrodes (electrodes 354 highlighted in Figures 3B and 3C, top panels), in line with the role of gamma in 355 bottom-up processing in visual cortex (Ni et al., 2016). Finally, we observed 356 suppression of low-frequency beta (11-22 Hz) activity in posterior cortex, which 357 typically occurs in parallel with enhanced stimulus-induced gamma activity (Donner 358 & Siegel, 2011; Kloosterman et al., 2015; Meindertsma, Kloosterman, Nolte, Engel, 359 & Donner, 2017; Werkle-Bergner et al., 2014)(Figure 3A and 3C, lower panels). 360 Taken together, we observed several different stimulus-induced power modulations 361 in posterior cortex. In the next section, we used the topographies of the high-362 frequency poststimulus effects in visual cortex (Figures 3B and 3C, top panels) to 363 identify a pre-stimulus neural mechanism that could explain the observed biased 364 evidence accumulation resulting from the experimental decision bias manipulation.

365

366 Adopting a liberal decision bias suppresses pre-stimulus alpha power

Next, we tested whether our bias manipulation affected the amplitude of pre-stimulus
8–12 Hz (alpha) oscillations in visual cortex. To this end, we examined the raw, lowfrequency spectral power in the pre-stimulus interval in which a link between

370 spontaneous alpha fluctuations and decision bias has previously been reported (0.8 371 to 0.2 s before trial onset) (lemi et al., 2017). We focused this analysis on cortical 372 regions processing visual information by selecting the electrode pooling that showed 373 stimulus-induced high-frequency gamma power modulation (see Figures 3B and 374 3C). Spectral power averaged across the two conditions indeed uncovered a highly 375 specific modulation around 10 Hz, which we confirmed to be strongest in the same 376 electrodes that showed strong modulation in the gamma range (Figure 4A, white 377 dots indicate electrodes showing stimulus-induced gamma modulation). Crucially, 378 the liberal – conservative difference between conditions revealed a statistically 379 significant cluster of suppressed frequencies precisely in the 8-12 Hz frequency 380 range (p < 0.05, cluster-corrected for multiple comparisons), which again showed a 381 posterior topography (Figure 4B). This small but highly consistent shift in the range in 382 which alpha occurs during the liberal compared to the conservative condition is 383 depicted in Figure 4C. Taken together, these findings show that a strategic liberal 384 bias shift suppresses pre-stimulus alpha power, suggesting that alpha modulations 385 are a hallmark of strategic bias adjustment rather than a mere correlate of 386 spontaneous shifts in decision bias. Importantly, this finding implies that humans are 387 able to actively control pre-stimulus alpha power in visual cortex, plausibly acting to 388 bias sensory evidence accumulation towards the response alternative that 389 maximizes rewards.



391 Figure 4 | Adopting a liberal decision bias suppresses pre-stimulus alpha power. A. Low-392 frequency raw power spectra of pre-stimulus neural activity for both conditions based on the 393 electrodes that show large poststimulus power modulations in Figures 3B and 3C (top panels). Inset, 394 scalp map of raw pre-stimulus EEG alpha power (8 - 12 Hz neural activity between 0.8 and 0.2 s 395 before trial onset), pooled over conditions. White symbols indicate visual cortical electrodes used for 396 the raw power spectra in A. and B. B. Liberal – conservative raw power spectrum. Black horizontal 397 bar indicates statistically significant frequency range (p < 0.05, cluster-corrected for multiple 398 comparisons, two-sided). Error bars, SEM across participants (N = 15). Inset, corresponding liberal -399 conservative scalp map of the pre-stimulus raw power difference between conditions. SCD, scalp 400 current density. C. Probability density distributions of single trial alpha power values for both 401 conditions, averaged across participants.

402 The following source data is available for Figure 4:

403 **Source data 1.** MATLAB .mat file containing the data used in panel B.

404

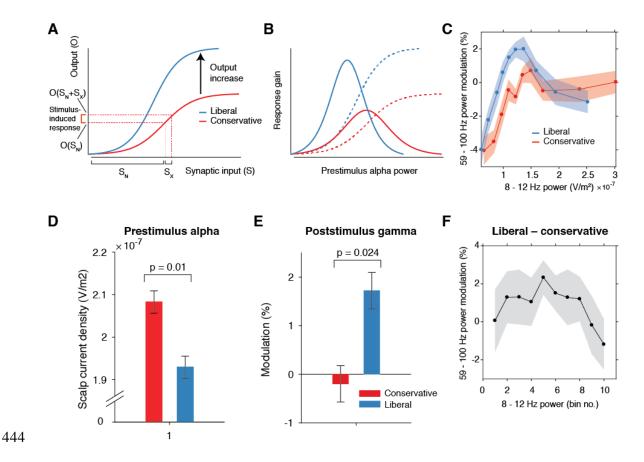
405 **Pre-stimulus alpha power mediates cortical gamma responses**

406 How could suppression of pre-stimulus alpha activity bias the process of sensory 407 evidence accumulation? One possibility is that alpha suppression influences 408 evidence accumulation by modulating the susceptibility of visual cortex to sensory 409 stimulation, a phenomenon dubbed 'neural excitability' (lemi et al., 2017; Jensen & 410 Mazaheri, 2010). We explored this possibility using a theoretical response gain 411 model coined by Rajagovindan and Ding (2011). This model postulates that the 412 relationship between the total synaptic input activity that a neuronal ensemble 413 receives and the total output activity it produces is characterized by a sigmoidal 414 function (red line in Figure 5A) - a notion that is biologically plausible (Destexhe, 415 Rudolph, Fellous, & Sejnowski, 2001; Freeman, 1979). In this model, sensory input 416 (i.e. due to sensory stimulation) and ongoing fluctuations in endogenously generated 417 (i.e. not sensory-related) neural activity together comprise the synaptic input into

418 visual cortex. In our experiment, the sensory input into visual cortex can be assumed 419 to be identical across trials, because the same sensory stimulus was presented in 420 each trial (see Figure 2A). The endogenous input, in contrast, varies from trial to trial 421 reflecting fluctuations in top-down cognitive processes such as attention, and is 422 assumed to be reflected in alpha power. Given the combined constant sensory and 423 variable endogenous input in each trial (see horizontal axis in Figure 5A), the 424 strength of the output responses of visual cortex are largely determined by the trial-425 to-trial variation caused by endogenous activity (see vertical axis in Figure 5A). 426 Furthermore, the sigmoidal shape of the input-output function results in an effective 427 range in the center of the function's input side which yields the strongest stimulus-428 induced output responses since the sigmoid curve there is steepest. Mathematically, 429 the effect of endogenous input on stimulus-induced output responses (see marked 430 interval in Figure 5A) can be expressed as the first order derivative or slope of the 431 sigmoid in Figure 5A, which is referred to as the response gain by Rajagovindan and 432 Ding (2011). This derivative is plotted in Figure 5B (red line) across levels of pre-433 stimulus alpha power, predicting an inverted-U shaped relationship between alpha 434 and response gain in visual cortex.

Regarding our experimental conditions, the model not only predicts that the suppression of pre-stimulus alpha observed in the liberal condition reflects a shift in the operational range of alpha (see Figure 4C), but also that it increases the maximum output of visual cortex (a shift from the red to the blue line in figure 5A). Thus, as the operational range of alpha shifts leftwards from conservative to liberal, the upper asymptote in Figure 5A moves upwards such that the total maximum output activity increases. This in turn affects the inverted-U-shaped relationship

- 442 between alpha and gain in visual cortex (blue line in Figure 5B), leading to a steeper
- response curve in the liberal condition resembling a Gaussian (bell-shaped) function.



445 Figure 5 | Pre-stimulus alpha power mediates cortical gamma responses. A. Theoretical 446 response gain model describing the transformation of stimulus-induced and endogenous input activity 447 (denoted by S_x and S_N respectively) to the total output activity (denoted by $O(S_x + S_N)$) in visual cortex 448 by a sigmoidal function. Different operational alpha ranges are associated with input-output functions 449 with different slopes due to corresponding changes in the total output. B. Alpha-mediated output 450 responses (solid lines) are formalized as the first derivative (slope) of the sigmoidal functions (dotted 451 lines), resulting in inverted-U (Gaussian) shaped relationships between alpha and gamma, involving 452 stronger response gain in the liberal than in the conservative condition C. Corresponding empirical 453 data showing gamma modulation (same percent signal change units as in Figure 3) as a function of 454 alpha bin. The location on the x-axis of each alpha bin was taken as the median alpha of the trials 455 assigned to each bin and averaged across subjects. D-F. Model prediction tests. D. Raw pre-stimulus 456 alpha power for both conditions, averaged across subjects. E. Post-stimulus gamma power

457	modulation for	both conditions	averaged acros	s the two	middle alph	a hins (5	and 6) in	nanel C	F
T J /				3 110 100					

458 Liberal – conservative difference between the response gain curves shown in panel C, centered on

- 459 alpha bin. Error bars, within-subject SEM across participants (N = 14).
- 460

461 The following source data is available for Figure 5:

462 **Source data 1.** SPSS .sav file containing the data used in panels C, E, and F.

463

464 To investigate sensory response gain across different alpha levels in our data, 465 we used the post-stimulus gamma activity (see Figure 3) as a proxy for alpha-466 mediated output gain in visual cortex (Bastos et al., 2015; Michalareas et al., 2016; 467 Ni et al., 2016; Popov et al., 2017; van Kerkoerle et al., 2014). We exploited the large 468 number of trials per participant per condition (range 543 to 1391 trials) by sorting 469 each participant's trials into ten equal-sized bins ranging from weak to strong alpha, 470 separately for the two conditions. We then calculated the average gamma power 471 modulation within each alpha bin and finally plotted the participant-averaged gamma 472 across alpha bins in Figure 5C (see Methods for details). This indeed revealed an 473 inverted-U shaped relationship between alpha and gamma, with a steeper curve for 474 the liberal condition.

475 To assess the model's ability to explain the data, we statistically tested three 476 predictions derived from the model. First, the model predicts overall lower average 477 pre-stimulus alpha power for liberal than for conservative due to the shift in the 478 operational range of alpha. This was confirmed in Figure 5D (p = 0.01, permutation 479 test, see also Figures 4B and 4C). Second, the model predicts a stronger gamma 480 response for liberal than for conservative around the peak of the gain curve (the 481 center of the effective alpha range, see Figure 5B), which we indeed observed (p =482 0.024, permutation test on the average of the middle two alpha bins)(Figure 5E).

483 Finally, the model predicts that the difference between the gain curves (when they 484 are aligned over their effective ranges on the x-axis using alpha bin number, as 485 shown in Figure 5 – figure supplement 1A, also resembles a Gaussian curve (Figure 5 - figure supplement 1B). Consistent with this prediction, we observed an 486 487 interaction effect between condition (liberal, conservative) and bin number (1-10) 488 using a standard Gaussian contrast in a 2-way repeated measures ANOVA (F(1,13) 489 = 4.6, p = 0.051, partial η^2 = 0.26). Figure 5F illustrates this finding by showing the 490 difference between the two curves in Figure 5C as a function of alpha bin number 491 (see Figure 5 – figure supplement 1C for the curves of both conditions as a function 492 of alpha bin number). Subsequent separate tests for each condition indeed 493 confirmed a significant U-shaped relationship between alpha and gamma in the liberal condition with a large effect size (F(1,13) = 7.7, p = 0.016, partial $n^2 = 0.37$), 494 495 but no significant effect in the conservative condition with only a small effect size (F(1,13) = 1.7, p = 0.22, partial η^2 = 0.12, one-way repeated measures ANOVA's 496 497 with factor alpha bin, Gaussian contrast).

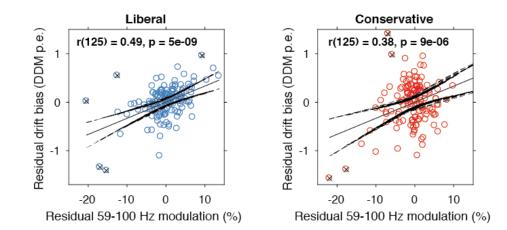
Taken together, these findings suggest that the alpha suppression observed in the liberal compared to the conservative condition boosted stimulus-induced activity in the liberal condition, which in turn might have indiscriminately biased sensory evidence accumulation towards the target-present decision boundary. In the next section, we investigate a direct link between drift bias and stimulus-induced activity as measured through gamma.

504

505 Visual cortical gamma activity predicts strength of evidence accumulation bias

506 The findings presented so far suggest that behaviorally, a liberal decision bias shifts 507 evidence accumulation towards target-present responses (drift bias in the DDM), 508 while neurally it suppresses pre-stimulus alpha while enhancing poststimulus gamma 509 responses. In a final analysis, we asked whether alpha-binned gamma modulation is 510 directly related to a stronger drift bias. To this end, we again applied the drift bias 511 DDM to the behavioral data of each participant, but now freed the drift bias 512 parameter not only for the two conditions, but also for the ten alpha bins for which we 513 calculated gamma modulation (see Figure 5C). We directly tested the 514 correspondence between DDM drift bias and gamma modulation using repeated 515 measures correlation (Bakdash and Marusich, (2017), which takes all repeated 516 observations across participants into account while controlling for non-independence 517 of observations collected within each participant (see Methods for details). Gamma 518 modulation was indeed correlated with drift bias in both conditions (liberal, r(125) =519 0.49, p = 5e-09; conservative, r(125) = 0.38, p = 9e-06). We tested the robustness of 520 these correlations by excluding the data points that contributed most to the 521 correlations (as determined with Cook's distance) and obtained qualitatively similar 522 results, indicating these correlations were not driven by outliers (Figure 6, see 523 Methods for details). As a final control, we also performed this analysis for the 524 SSVEP (23-27 Hz) power modulation (see Figure 3B, bottom) and found a similar 525 inverted-U shaped relationship between alpha and the SSVEP for both conditions 526 (Figure 6 – figure supplement 1A), but no correlation with drift bias (Figure 6 – figure 527 supplement 1B). This suggests that the SSVEP is similarly coupled to alpha as the 528 stimulus-induced gamma, but is unaffected by the experimental conditions and not 529 predictive of decision bias shifts. Taken together, these results suggest that gamma 530 modulation underlies biased sensory evidence accumulation.





532

533 Figure 6 | Alpha-binned gamma modulation correlates with evidence accumulation bias. 534 Repeated measure correlation between gamma modulation and drift bias for the two conditions. Each 535 circle represents a participant's gamma modulation within one alpha bin. Drift bias and gamma 536 modulation scalars were residualized by removing the average within each participant and condition, 537 thereby removing the specific range in which the participants values operated. Crosses indicate data 538 points that were most influential for the correlation, identified using Cook's distance. Correlations 539 remained qualitatively unchanged when these data points were excluded (liberal, r(120) = 0.43, p =540 8e-07; conservative, r(121) = 0.32, p = 0.0003) Error bars, 95% confidence intervals after averaging 541 across participants.

542 The following source data and figure supplements are available for Figure 6:

543 **Source data 1.** MATLAB .mat file containing the data used.

544 **Figure supplement 1.** Alpha-binned post-stimulus SSVEP modulation.

545

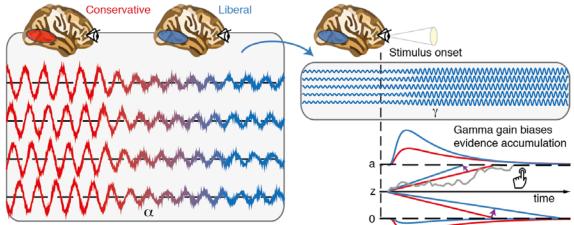
546 **Discussion**

Traditionally, bias has been conceptualized in SDT as a criterion threshold that is positioned at an arbitrary location between noise and signal-embedded-in-noise distributions of sensory evidence strengths. The ability to strategically shift decision bias in order to flexibly adapt to stimulus-response reward contingencies in the environment presumably increases chances of survival, but to date such strategic bias shifts as well as their neural underpinnings have not been demonstrated. Here, we used a DDM drift bias model to show that an experimentally induced bias shift affects the process of sensory evidence accumulation itself, rather than shifting a threshold entity as SDT implies. Moreover, we reveal the neural signature of drift bias by showing that a liberal decision bias increases alpha suppression (neural excitability) of visual cortex, and enhancing gamma activity by increasing response gain.

559 Although previous studies have shown correlations between suppression of 560 pre-stimulus alpha (8–12 Hz) power and a liberal decision bias during spontaneous 561 fluctuations in alpha activity (lemi et al., 2017; Limbach & Corballis, 2016), these 562 studies have not established the effect of experimentally induced bias shifts within 563 person. In the current study, by experimentally manipulating stimulus-response 564 reward contingencies we show for the first time that pre-stimulus alpha can be 565 actively modulated by a participant to achieve changes in decision bias. Further, we 566 show that alpha suppression in turn modulates gamma activity, in part by increasing 567 the gain of cortical responses. Critically, gamma activity accurately predicted the 568 strength of the drift bias parameter in the DDM drift bias model, thereby linking our 569 behavioral and neural findings directly. Together, these findings show for the first 570 time that humans are able to actively implement decision biases by flexibly adapting 571 neural excitability to strategically shift sensory evidence accumulation towards one of 572 two decision bounds.

573 Based on our results, we propose that decision biases are implemented by 574 flexibly adjusting neural excitability in visual cortex. Figure 7 summarizes this 575 proposed mechanism graphically by visualizing a hypothetical transition in neural 576 excitability following a strategic liberal bias shift, as reflected in visual cortical alpha

577 suppression (left panel). This increased excitability translates into stronger gamma-578 band responses following stimulus onset (right panel, top). These increased gamma 579 responses finally bias evidence accumulation towards the target-present decision 580 boundary during a liberal state, resulting in more target-present responses, whereas 581 target-absent responses are decimated (blue RT distributions; right panel, bottom). 582 Our experimental manipulation of decision bias in different blocks of trials suggests 583 that decision makers are able to control this biased evidence accumulation 584 mechanism willfully by adjusting excitability, as reflected in alpha.



585 Hypothetical state transition from conservative to liberal

Figure 7 | Illustrative graphical depiction of the excitability state transition from conservative to liberal, and subsequent biased evidence accumulation under a liberal bias. The left panel shows the transition from a conservative to a liberal condition block. The experimental induction of a liberal decision bias causes alpha suppression in visual cortex, which increases neural excitability. The right top panel shows increased gamma gain for incoming sensory evidence under conditions of high excitability. The right bottom panel shows how increased gamma-gain causes a bias in the drift rate, resulting in more 'target present' responses than in the conservative state.

593

594 A neural mechanism that could underlie bias-related alpha suppression may 595 be under control of the catecholaminergic neuromodulatory systems, consisting of

596 the noradrenaline-releasing locus coeruleus (LC) and dopamine systems (Aston-597 Jones & Cohen, 2005). These systems are able to modulate the level of arousal and 598 neural gain, and show tight links with pupil responses (de Gee et al., 2017; de Gee, 599 Knapen, & Donner, 2014; Joshi, Li, Kalwani, & Gold, 2015; McGinley, David, & 600 McCormick, 2015). Accordingly, pre-stimulus alpha power suppression has also 601 recently been linked to pupil dilation (Meindertsma et al., 2017). From this 602 perspective, our results reconcile previous studies showing relationships between a 603 liberal bias, suppression of spontaneous alpha power and increased pupil size. 604 Consistent with this, a recent monkey study observed increased neural activity 605 during a liberal bias in the superior colliculus (Crapse, Lau, & Basso, 2018), a mid-606 brain structure tightly interconnected with the LC (Joshi et al., 2015). Taken together, 607 a more liberal within-person bias (following experimental instruction and/or reward) 608 might activate neuromodulatory systems that subsequently increase cortical 609 excitability and enhance sensory responses for both stimulus and 'noise' signals in 610 visual cortex, thereby increasing a person's propensity for target-present responses 611 (lemi et al., 2017).

612 We note that although the gain model is consistent with our data as well as 613 the data on which the model was conceived (see Rajagovindan & Ding, 2011), we do 614 not provide a plausible mechanism that could bring about the steepening in the U-615 curved function observed in Figures 5C and 5F. Although Rajagovindan and Ding 616 report a simulation in their paper suggesting that increased excitability could indeed 617 cause increased gain, this shift could in principle either be caused by the alpha 618 suppression itself, by the same signal that causes alpha suppression, or it could 619 originate from an additional top-down signal from frontal brain regions. To investigate 620 this latter possibility, we performed a control analysis contrasting the conditions

simultaneously across space, time and frequency to test whether any frontal brain region shows differences between conditions (see Figure 3 – figure supplement 1). We did not find any such regions, even when using a less stringent test by omitting the required correction for multiple comparisons. Thus, how exactly the gain enhancement comes about remains an open question that should be addressed in future research.

627 Whereas we report a unique link between alpha-mediated gamma modulation 628 and decision bias through the gain model, several previous studies have reported a 629 link between alpha and objective performance instead of bias, particularly in the 630 phase of alpha oscillations (Busch, Dubois, & VanRullen, 2009; Mathewson, Gratton, 631 Fabiani, Beck, & Ro, 2009). Our findings can be reconciled with those by considering 632 that detection sensitivity in many previous studies was often quantified in terms of 633 raw stimulus detection rates, which do not dissociate objective sensitivity from 634 response bias (see Figure 2B) (Green & Swets, 1966). Indeed, our findings are in 635 line with recently reported links between decision bias and spontaneous fluctuations 636 in excitability (lemi et al., 2017; lemi & Busch, 2017; Limbach & Corballis, 2016), 637 suggesting an active role of neural excitability in decision bias.

638 Further, one could ask whether the observed change in cortical excitability 639 may reflect a change in target detection sensitivity (drift rate) rather than an 640 intentional bias shift. This is unlikely because that would predict effects opposite to 641 those we observed. We found increased excitability in the liberal condition compared 642 to the conservative condition; if this were related to improved detection performance, 643 one would predict higher sensitivity in the liberal condition, while we rather found 644 higher sensitivity in the conservative condition (compare drift rate to drift bias in both 645 conditions in Fig. 2C). This finding convincingly ties cortical excitability in our

paradigm to decision bias, as opposed to detection sensitivity. Convergently, other
studies also report a link between pre-stimulus low-frequency EEG activity and
subjective perception, but not objective task performance (Benwell et al., 2017; Iemi
& Busch, 2017).

650 In summary, our results suggest that stimulus-induced responses are boosted 651 during a liberal decision bias due to increased cortical excitability, in line with recent 652 work linking alpha power suppression to response gain (Peterson & Voytek, 2017). 653 Future studies can now establish whether this same mechanism is at play in other 654 subjective aspects of decision-making, such as confidence and meta-cognition 655 (Fleming, Putten, & Daw, 2018; Samaha et al., 2017) as well as in a dynamically 656 changing environment (Norton, Fleming, Daw, & Landy, 2017). Explicit manipulation 657 of cortical response gain during a bias manipulation (by pharmacological 658 manipulation of the noradrenergic LC-NE system; (Servan-Schreiber, Printz, & 659 Cohen, 1990)) or by enhancing occipital alpha power using transcranial brain 660 stimulation (Zaehle, Rach, & Herrmann, 2010) could further establish the underlying 661 neural mechanisms involved in decision bias.

In the end, although one may be unaware, every decision we make is influenced by biases that operate on one's noisy evidence accumulation process. Understanding how these biases affect our decisions is crucial to enable us to control or invoke them adaptively (Pleskac, Cesario, & Johnson, 2017). Pinpointing the neural mechanisms underlying bias in the current elementary perceptual task may pave the way for understanding how more abstract and high-level decisions are modulated by decision bias (Tversky & Kahneman, 1974).

669

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671 The authors thank Timothy J. Pleskac for discussion.

672

673 **Declaration of Interests**

The authors declare no competing interests.

675

676 Data and code sharing

677 The data analyzed in this study are publicly available on Figshare (Kloosterman et

al., 2018). Analysis scripts are publicly available on Github(https://github.com/nkloost1/critEEG).

680

681 Source data and figure supplements

- 682 The following source data and figure supplements are included in this article:
- Figure 2 Figure supplements 1, 2 and 3.
- Figure 2 Source data 1. (source_Figure2.csv)
- 685 Figure 3 Source data 1. (source_Figure3.mat.zip)
- 686 Figure 3 Figure supplement 1
- 687 Figure 4 Source data 1. (source_Figure4.mat.zip)
- Figure 5 Source data 1. (source_Figure5.sav.zip)
- 689 Figure 6 Source data 1. (source_Figure6.mat.zip)

690 Figure 6 – Figure supplement 1.

691

692 Figure supplement legends

693 Figure 2-figure supplement 1 | Behavioral and neurophysiological evidence that participants were 694 sensitive to the implicit task structure. A. Participant-average RT distributions for hits and false alarms 695 in both conditions. The presence of similar RT distributions for false alarms and hits indicates that 696 participants were sensitive to trial onset despite the fact that trial onsets were only implicitly signaled. 697 Error bars, SEM. B. Time-frequency representations of low-frequency EEG power modulations with 698 respect to the pre-stimulus period (-0.4 - 0 s), pooled across the two conditions. Significant low-699 frequency modulation occurred even for nontarget trials without overt response (correct rejections), 700 indicating that participants detected the onset of a trial even when neither a target was presented nor 701 a response was given. Saturated colors indicate clusters of significant modulation, cluster threshold p 702 < 0.05, two-sided permutation test across participants, cluster- \exists corrected; N = 15). Solid and dotted 703 vertical lines respectively indicate the onset of the trial and the target stimulus. M, power modulation. 704 705 Figure 2—figure supplement 2 | Signal-detection-theoretic (SDT) behavioral measures during 706 both conditions correspond closely to drift diffusion modeling (DDM) parameters. A. Across-707 participant Pearson correlation between d' and drift rate for the two conditions. Each dot represents a 708 participant. B. As A. but for correlation between criterion and DDM drift bias. The correlation is 709 negative due to a lower criterion reflecting a stronger liberal bias. C. Left panel, mean reaction times 710 (RT) for hits and false alarms for the two conditions. Middle and right panels, As A. but for correlation 711 between RT for hits and drift bias. D. Parameter estimates in the drift bias DDM not related to 712 evidence accumulation (drift rate). ***p < 0.001; n.s., not significant.

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Figure 2—figure supplement 3 | Single-participant drift diffusion model fits for the drift bias
 model for both conditions. Pink bars, number of implicit target-absent choices: Green bars, RT

model for both conditions. Pink bars, number of implicit target-absent choices; Green bars, RT
 distribution quantiles for target-present choices; dotted lines, model fits for the drift bias model.

718 Figure 3 – figure supplement 1 | Liberal – conservative contrast of EEG power modulations

719 **across space, time and frequency.** The two conditions were contrasted across space-time-

720 frequency bins using paired t-tests performed at each bin. Single bins were subsequently thresholded

- 721 at p < 0.05 and clusters of contiguous bins were determined. Cluster significance was assessed using
- a cluster-based permutation procedure (1000 permutations). For visualization purposes, we

integrated (using the matlab trapz function) power modulation in the time-frequency representations

- 724 (TFR's, left panels) across the highlighted electrodes in the topographies (right panels). For the
- topographies, modulation was integrated across the saturated time-frequency bins in the TFR's.
- 726 Saturated colors indicate bins that are part of clusters. p-values above the topographies indicate

727 cluster significance level tested across participants; N = 14). A. We found one significant cluster of 728 positive sign (p = 0.005) located in the most occipital electrodes (11, 12, and 1z, extending towards 729 parietal regions) spanning the complete high-frequency range (> 20 Hz), reflecting enhanced broad-730 band gamma activity in the liberal condition. Note that our selected electrode pooling (see Figure 2) 731 did not include these electrodes. B. Further, we observed one marginally significant negative cluster 732 (p = 0.11) comprising the pre-stimulus alpha-suppression in the liberal condition (as reported in the 733 manuscript) that was connected across time with alpha-beta band activity over motor cortex around 734 the time of report (~0.5 s). Note that all participants responded with their right hand, yielding stronger 735 left-lateralized motor-related activity. C. Finally, we observed a transient positive cluster around 10 Hz 736 from 0.4 s post-trial onset with a spatial topography similar to the cluster in A, which was not 737 significant (p = 0.35). This cluster possibly reflects either a stronger event-related potential, or 738 stronger transient enhancement of theta oscillations (4-8 Hz) in the liberal condition around the time 739 of the response. Topographies in all panels appear quite similar due to the strong modulation of the 740 cluster depicted in panel A. However, the cortical locations of clusters in each panel are indicated by 741 the thick black dots that indicate electrodes that are part of the cluster. Taken together, we observe no 742 strong evidence for a frontal cluster that could potentially underlie the steepened inverse-U shape 743 during the liberal condition observed in visual cortex.

744

745 Figure 5 – figure supplement 1 | Gain model predictions and corresponding empirical data 746 plotted as a function of pre-stimulus alpha bin number. A. Model predictions for both conditions. 747 The gain curve for the liberal condition is steeper than for the conservative condition. Binning trials 748 based on alpha within each condition directly maps the peaks of the gain curves onto each other. B. 749 Model prediction for liberal - conservative as a function of alpha bin number. The difference gain 750 curve between the two conditions is again an inverted-U shaped function. C. Corresponding empirical 751 data. The plot is identical to Figure 5C, except that the bin number is plotted instead of the actual 752 alpha power for each condition. 753 754 Figure 6 – figure supplement 1 | Alpha-binned post-stimulus SSVEP modulation. A. Inverted-U

- shaped relationship between alpha and SSVEP modulation, computed as the percent signal change
 23 27 Hz power modulation with respect to the pre-stimulus baseline. **B.** Correlations between
 SSVEP modulation and drift bias for both conditions. These non-significant correlations are overall
 weaker than for gamma (see Figure 6).
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976 Materials and Methods

977 **Participants** Sixteen participants (eight females, mean age 24.1 years, ± 1.64) took 978 part in the experiment, either for financial compensation (EUR 10, - per hour) or in 979 partial fulfillment of first year psychology course requirements. Each participant 980 completed three experimental sessions on different days, each session lasting ca. 2 981 hours, including preparation and breaks. One participant completed only two 982 sessions, yielding a total number of sessions across subjects of 47. Due to technical 983 issues, for one session only data for the liberal condition was available. One 984 participant was an author. All participants had normal or corrected-to-normal vision 985 and were right handed. Participants provided written informed consent before the 986 start of the experiment. All procedures were approved by the ethics committee of the 987 University of Amsterdam.

Regarding sample size, our experiment consisted of 16 biological replications (participants) and either two (one participant) or three (fifteen participants) technical replications (i.e. experimental sessions). The sample size was determined based on two criteria: 1) obtaining large amounts of data per participant (thousands of trials), which is necessary to perform robust drift diffusion modelling of choice behavior and obtain reliable EEG spectral power estimates for each of the ten bins of trials that 994 were created within participants, and 2) obtaining data from a sufficient number of 995 participants to leverage across-subject variability in correlational analyses. Thus, we 996 emphasized obtaining many data points per participant relative to obtaining many 997 participants, while still preserving the ability to perform correlations across 998 participants.

All participants were included in the signal-detection-theoretical and drift diffusion modeling analyses (Figure 2). One participant was excluded from the prestimulus alpha analysis (Figures 3 and 4) due to excessive noise (EEG power spectrum opposite of 1/frequency). One further participant was excluded from the gamma analyses (Figures 4, 5 and 6) because the liberal-conservative difference in gamma power in this participant was > 3 standard deviations away from the other participants.

1006 Stimuli Stimuli consisted of a continuous semi-random rapid serial visual 1007 presentation (rsvp) of full screen texture patterns. The texture patterns consisted of 1008 line elements approx. 0.07° thick and 0.4° long in visual angle. Each texture in the 1009 rsvp was presented for 40 ms (i.e. stimulation frequency 25 Hz), and was oriented in 1010 one of four possible directions: 0°, 45°, 90° or 135°. Participants were instructed to 1011 fixate a red dot in the center of the screen. At random inter trial intervals (ITI's) 1012 sampled from a uniform distribution (ITI range 0.3 - 2.2 s), the rsvp contained a fixed 1013 sequence of 25 texture patterns, which in total lasted one second. This fixed 1014 sequence consisted of four stimuli preceding a (non-)target stimulus (orientations of 1015 45°, 90°, 0°, 90° respectively) and twenty stimuli following the (non)-target 1016 (orientations of 0°, 90°, 0°, 90°, 0°, 45°, 0°, 135°, 90°, 45°, 0°, 135°, 0°, 45°, 90°, 45°, 1017 90°, 135°, 0°, 135° respectively) (see Figure 2A). The fifth texture pattern within the 1018 sequence (occurring from 0.16 s after sequence onset) was either a target or a

1019 nontarget stimulus. Nontargets consisted of either a 45° or a 135° homogenous 1020 texture, whereas targets contained a central orientation-defined square of 2.42° 1021 visual angle, thereby consisting of both a 45° and a 135° texture. 50% of all targets consisted of a 45° square and 50% of a 135° square. Of all trials, 75% contained a 1022 1023 target and 25% a nontarget. Target and nontarget trials were presented in random 1024 order. To avoid specific influences on target stimulus visibility due to presentation of 1025 similarly or orthogonally oriented texture patterns temporally close in the cascade, no 1026 45° and 135° oriented stimuli were presented directly before or after presentation of 1027 the target stimulus. All stimuli had an isoluminance of 72.2 cd/m². Stimuli were 1028 created using MATLAB (The Mathworks, Inc., Natick, MA, USA) and presented using 1029 Presentation (Neurobehavioral systems, Inc., Albany, CA, USA).

Experimental design The participants' task was to detect and actively report targets by pressing a button using their right hand. Targets occasionally went unreported, presumably due to constant forward and backward masking by the continuous cascade of stimuli and unpredictability of target timing (Fahrenfort, Scholte, & Lamme, 2007). The onset of the fixed order of texture patterns preceding and following (non-)target stimuli was neither signaled nor apparent.

1036 At the beginning of the experiment, participants were informed they could 1037 earn a total bonus of EUR 30, -, on top of their regular pay of EUR 10, - per hour or 1038 course credit. In two separate conditions within each session of testing, we 1039 encouraged participants to use either a conservative or a liberal bias for reporting 1040 targets using both aversive sounds as well as reducing their bonus after errors. In 1041 the conservative condition, participants were instructed to only press the button 1042 when they were relatively sure they had seen the target. The instruction on screen 1043 before block onset read as follows: "Try to detect as many targets as possible. Only

1044 press when you are relatively sure you just saw a target." To maximize effectiveness 1045 of this instruction, participants were told the bonus would be diminished by ten cents 1046 after a false alarm. During the experiment, a loud aversive sound was played after a 1047 false alarm to inform the participant about an error. During the liberal condition, 1048 participants were instructed to miss as few targets as possible. The instruction on 1049 screen before block onset read as follows: "Try to detect as many targets as 1050 possible. If you sometimes press when there was nothing this is not so bad". In this 1051 condition, the loud aversive sound was played twice in close succession whenever 1052 they failed to report a target, and three cents were subsequently deducted from their 1053 bonus. The difference in auditory feedback between both conditions was included to 1054 inform the participant about the type of error (miss or false alarm), in order to 1055 facilitate the desired bias in both conditions. After every block, the participant's score 1056 (number of missed targets in the liberal condition and number of false alarms in the 1057 conservative condition) was displayed on the screen, as well as the remainder of the 1058 bonus. After completing the last session of the experiment, every participant was 1059 paid the full bonus as required by the ethical committee.

1060 Participants performed six blocks per session lasting ca. nine minutes each. 1061 During a block, participants continuously monitored the screen and were free to 1062 respond by button press whenever they thought they saw a target. Each block 1063 contained 240 trials, of which 180 target and 60 nontarget trials. The task instruction 1064 was presented on the screen before the block started. The condition of the first block 1065 of a session was counterbalanced across participants. Prior to EEG recording in the 1066 first session, participants performed a 10-minute practice run of both conditions, in 1067 which visual feedback directly after a miss (liberal condition) or false alarm 1068 (conservative) informed participants about their mistake, allowing them to adjust their

1069 decision bias accordingly. There were short breaks between blocks, in which

1070 participants indicated when they were ready to begin the next block.

1071 Behavioral analysis We calculated each participant's criterion c (Green & Swets,

1072 1966) across the trials in each condition as follows:

$$c = -\frac{1}{2} \left[Z(Hit - rate) + Z(FA - rate) \right]$$

where hit-rate is the proportion target-present responses of all target-present trials, false alarm (FA)-rate is the proportion target-present responses of all target-absent trials, and Z(...) is the inverse standard normal distribution. Furthermore, we calculated objective sensitivity measure d' using:

1077

$$d' = Z(Hit - rate) - Z(FA - rate)$$

1078

1079 as well as by subtracting hit and false alarm rates. Reaction times (RTs) were 1080 measured as the duration between target onset and button press.

1081 Drift diffusion modeling of choice behavior In order to be detected, the 40 ms-1082 duration figure-ground targets used in our study undergo a process in visual cortex 1083 called figure-ground segregation. This process has been well characterized in man 1084 and monkey (Fahrenfort, Scholte, & Lamme, 2008; Lamme, 1995; Lamme, Zipser, & 1085 Spekreijse, 2006; Super, Spekreijse, letters, 2003, 2003), and results from recurrent 1086 processing to extract the surface region in visual cortex. Figure-ground segregation 1087 is known to extend far beyond the mere presentation time of the stimulus, thus 1088 providing a plausible neural basis for the evidence accumulation process. Further, a 1089 central assumption of the drift diffusion model is that the process of evidence

1090 accumulation is gradual, independent of whether sensory input is momentary. 1091 Indeed, the DDM was initially developed to explain reaction time distributions during 1092 memory retrieval, in which evidence accumulation must occur through retrieval of a 1093 memory trace within the brain, in the complete absence of external stimulus at the 1094 time of the decision (Ratcliff, 1978). Our observed RT distributions show the typical 1095 features that occur across many different types of decision and memory tasks, which 1096 the DDM is so well able to capture, including a sharp leading edge and a long tail of 1097 the distributions (see Figure 2-supplement 3). The success of the DDM in fitting 1098 these data is consistent with previous work (e.g. Ratcliff (2006)) and might reflect the 1099 fact that observers modulate the underlying components of the decision process also 1100 when they do not control the stimulus duration (Kiani, Hanks, & Shadlen, 2008).

1101 We fitted the drift diffusion model to our behavioral data for each subject 1102 individually, and separately for the liberal and conservative conditions. We fitted the 1103 model using a G square method based on quantile RT's (RT cutoff, 200 ms, for 1104 details, see Ratcliff et al. (2016)), using a modified version of the HDDM 0.6.0 1105 package (Wiecki, Sofer, & Frank, 2013). The RT distributions for target-present 1106 responses were represented by the 0.1, 0.3, 0.5, 0.7 and 0.9 quantiles, and, along 1107 with the associated response proportions, contributed to G square. In addition, a 1108 single bin containing the number of target-absent responses contributed to G square. 1109 Fitting the model to RT distributions for target-present and target-absent choices 1110 (termed 'stimulus coding' in Wiecki et al. (2013)), as opposed to the more common 1111 fits of correct and incorrect choice RT's (termed 'accuracy coding' in Wiecki et al. 1112 (2013)), allowed us to estimate parameters that could have induced biases in 1113 subjects' behavior.

1114 Parameter recovery simulations showed that letting both the starting point of 1115 the accumulation process and drift bias (an evidence-independent constant added to 1116 the drift toward one or the other bound) free to vary with experimental condition is 1117 problematic for data with no explicit target-absent responses (data not shown). Thus, 1118 to test whether shifts in drift bias or starting point underlie bias we fitted three 1119 separate models. In the first model ('fixed model'), we allowed only the following 1120 parameters to vary between the liberal and conservative condition: (i) the mean drift 1121 rate across trials; (ii) the separation between both decision bounds (i.e., response 1122 caution): and (iii) the non-decision time (sum of the latencies for sensory encoding 1123 and motor execution of the choice). Additionally, the bias parameters starting point 1124 and drift bias were fixed for the experimental conditions. The second model ('starting 1125 point model') was the same as the fixed model, except that we let the starting point 1126 of the accumulation process vary with experimental condition, whereas the drift bias 1127 was kept fixed for both conditions. The third model ('drift bias model') was the same 1128 as the fixed model, except that we let the drift bias vary with experimental condition, 1129 while the starting point was kept fixed for both conditions. We used Bayesian 1130 Information Criterion (BIC) to select the model which provided the best fit to the data 1131 (Neath & Cavanaugh, 2012). The BIC compares models based on their maximized 1132 log-likelihood value, while penalizing for the number of parameters.

Distinguishing DDM drift bias and drift rate In our task, only target-present responses were coupled to a behavioral response (button-press), so we could measure reaction times only for these responses, whereas reaction times for targetabsent responses remained implicit. Thus, in our fitting procedure, the RT distributions for target-present responses were represented by the 0.1, 0.3, 0.5, 0.7 and 0.9 quantiles, and, along with the associated response proportions, contributed

1139 to G square. In addition, a single bin containing the number of target-absent 1140 responses contributed to G square. It has been shown that such a diffusion model 1141 with an implicit (no response) boundary can be fit to data with almost the same 1142 accuracy as fitting the two-choice model to two-choice data (Ratcliff et al., 2016). In a 1143 diffusion model with an implicit (no response) boundary, both an increase in drift rate 1144 and drift criterion would predict faster target-present responses. However, the key 1145 distinction is that an increase in drift additionally predicts more correct responses (for 1146 both target-present and target-absent responses), and an increase in drift criterion 1147 shifts the relative fraction of target-present and target-absent responses (decision 1148 bias). Because a single bin containing the number of target-absent responses 1149 contributed to G square, our fitting procedure can distinguish between decision bias 1150 versus drift rate.

1151 **EEG recording** Continuous EEG data were recorded at 256 Hz using a 48-channel 1152 BioSemi Active-Two system (BioSemi, Amsterdam, the Netherlands), connected to a 1153 standard EEG cap according to the international 10-20 system. Electrooculography 1154 (EOG) was recorded using two electrodes at the outer canthi of the left and right 1155 eyes and two electrodes placed above and below the right eye. Horizontal and 1156 vertical EOG electrodes were referenced against each other, two for horizontal and 1157 two for vertical eye movements (blinks). We used the Fieldtrip toolbox (Oostenveld, 1158 Fries, Maris, & Schoffelen, 2011) and custom software in MATLAB R2016b (The 1159 Mathworks Inc., Natick, MA, USA) to process the data (see below). Data were re-1160 referenced to the average voltage of two electrodes attached to the earlobes.

Trial extraction and preprocessing We extracted trials of variable duration from 1 s before target sequence onset until 1.25 after button press for trials that included a button press (hits and false alarms), and until 1.25 s after stimulus onset for trials

1164 without a button press (misses and correct rejects). The following constraints were 1165 used to classify (non-)targets as detected (hits and false alarms), while avoiding the 1166 occurrence of button presses in close succession to target reports and button 1167 presses occurring outside of trials: 1) A trial was marked as detected if a response 1168 occurred within 0.84 s after target onset; 2) when the onset of the next target 1169 stimulus sequence started before trial end, the trial was terminated at the next trial's 1170 onset; 3) when a button press occurred in the 1.5 s before trial onset, the trial was 1171 extracted from 1.5 s after this button press; 4) when a button press occurred 1172 between 0.5 s before until 0.2 s after sequence onset, the trial was discarded. See 1173 Kloosterman et al. (2015) and Meindertsma et al. (2017) for similar trial extraction 1174 procedures. After trial extraction, channel time courses were linearly detrended and 1175 the mean of every channel was removed per trial.

1176 Artifact rejection Trials containing muscle artifacts were rejected from further 1177 analysis using a standard semi-automatic preprocessing method in Fieldtrip. This 1178 procedure consists of bandpass-filtering the trials of a condition block in the 110–125 1179 Hz frequency range, which typically contains most of the muscle artifact activity, 1180 followed by a Z-transformation. Trials exceeding a threshold Z-score were removed 1181 completely from analysis. We used as the threshold the absolute value of the 1182 minimum Z-score within the block, + 1. To remove eye blink artifacts from the time 1183 courses, the EEG data from a complete session were transformed using 1184 independent component analysis (ICA), and components due to blinks (typically one 1185 or two) were removed from the data. In addition, to remove microsaccade-related 1186 artifacts we included two virtual channels in the ICA based on channels Fp1 and 1187 Fp2, which included transient spike potentials as identified using the saccadic 1188 artefact detection algorithm from Hassler et al. (2011). This yielded a total number of

1189 channels submitted to ICA of 48 + 2 = 50. The two components loading high on 1190 these virtual electrodes (typically with a frontal topography) were also removed. Blinks and eye movements were then semi-automatically detected from the 1191 1192 horizontal and vertical EOG (frequency range 1–15 Hz; z-value cut-off 4 for vertical; 1193 6 for horizontal) and trials containing eye artefacts within 0.1 s around target onset 1194 were discarded. This step was done to remove trials in which the target was not 1195 seen because the eyes were closed. Finally, trials exceeding a threshold voltage 1196 range of 200 µV were discarded. To attenuate volume conduction effects and 1197 suppress any remaining microsaccade-related activity, the scalp current density 1198 (SCD) was computed using the second-order derivative (the surface Laplacian) of 1199 the EEG potential distribution (Perrin et al., 1989).

1200 Spectral analysis of EEG power We used a sliding window Fourier transform 1201 ((Mitra & Pesaran, 1999); step size, 50 ms; window length, 400 ms; frequency 1202 resolution, 2.5 Hz) to calculate time-frequency representations (spectrograms) of the 1203 EEG power for each electrode and each trial. We used a single Hann taper for the 1204 frequency range of 3-35 Hz (spectral smoothing, 4.5 Hz, bin size, 1 Hz) and the 1205 multitaper technique for the 36 – 100 Hz frequency range (spectral smoothing, 8 Hz; 1206 bin size, 2 Hz; five tapers). See Kloosterman et al. (2015) and Meindertsma et al. 1207 (2017) for similar settings.

Spectrograms were aligned to the onset of the stimulus sequence containing the (non)target. Power modulations during the trials were quantified as the percentage of power change at a given time point and frequency bin, relative to a baseline power value for each frequency bin (Figure 3). We used as a baseline the mean EEG power in the interval 0.4 to 0 s before trial onset, computed separately for each condition. If this interval was not completely present in the trial due to

1214 preceding events (see Trial extraction), this period was shortened accordingly. We 1215 normalized the data by subtracting the baseline from each time-frequency bin and 1216 dividing this difference by the baseline (x 100 %). For the analysis of raw pre-1217 stimulus power modulations, no baseline correction was applied on the raw scalp 1218 current density values. We focused our analysis of EEG power modulations around 1219 target onsets on those electrodes that processed the visual stimulus. To this end, we 1220 averaged the power modulations or raw power across eleven occipito-parietal 1221 electrodes that showed stimulus-induced responses in the gamma-band range (59-1222 100 Hz). See Kloosterman et al. (2015) and Meindertsma et al. (2017) for a similar 1223 procedure.

Condition-related raw EEG power change To test at which frequencies raw EEG power differed for the liberal and conservative conditions, we averaged raw power from 0.8 s up to 0.2 s before trial onset (i.e. up to half the window size used for spectral analysis, to avoid contamination of post- with pre-stimulus activity (lemi et al., 2017)). Then, we took the liberal – conservative difference at each frequency bin and statistically tested whether and at which frequency bins this signal differed from zero (Figure 4C) (see Statistical comparisons).

1231 Response gain model test To test the predictions of the gain model, we first 1232 averaged activity in the 8–12 Hz range from 0.8 to 0.2 s before trial onset (staying 1233 half our window size from trial onset, to avoid mixing pre- and poststimulus activity, 1234 also see lemi et al. (2017)), yielding a single scalar alpha power value per trial. If this 1235 interval was not completely present in the trial due to preceding events (see Trial 1236 extraction), this period was shortened accordingly. Trials in which the scalar was > 31237 standard deviations away from the participant's mean were excluded. We then 1238 sorted all single-trial alpha values for each participant and condition in ascending

1239 order and assigned them to ten bins of equal size, ranging from weakest to strongest 1240 alpha. Adjacent bin ranges overlapped for 50% to stabilize estimates. Then we 1241 averaged the corresponding gamma modulation of the trials belonging to each bin 1242 (consisting of the average power modulation within 59–100 Hz 0.2 to 0.6 s after trial 1243 onset, see Figure 3). Finally, we averaged across participants and plotted the 1244 median alpha value per bin averaged across participants against gamma 1245 modulation. See Rajagovindan and Ding (2011) for a similar procedure. To 1246 statistically test for the existence of inverted U-shaped relationships between alpha 1247 and gamma, we performed a one-way repeated measures ANOVA on gamma 1248 modulation with factor alpha bin (10 bins) to each condition separately and a two-1249 way repeated measures ANOVA with factors bin and condition for testing the liberal-1250 conservative difference (Figure 5F). Given the model prediction of a Gaussian-1251 shaped relationship between alpha and gamma, we constructed a Gaussian contrast 1252 using the normal Gaussian shape with unit standard deviation (contrast values: -1000, -991, -825, 295, 2521, 2521, 295, -825, -991, -1000, values were chosen to 1253 sum to zero). For plotting purposes (Figure 5C-F), we computed within-subject error 1254 1255 bars by removing within each participant the mean across conditions from the 1256 estimates.

Correlation between gamma modulation and drift bias To link DDM drift bias and cortical gamma power, we re-fitted the DDM drift bias model while freeing the drift bias parameter both for each condition as well as for the ten alpha bins, while freeing the other parameters (drift rate, boundary separation, non-decision time) for each condition and fixing starting point across conditions. We then used repeated measures correlation to test whether stronger gamma was associated with stronger bias. Repeated measures correlation determines the common within-individual

1264 association for paired measures assessed on two or more occasions for multiple 1265 individuals by controlling for the specific range in which individuals' measurements 1266 operate, and correcting the correlation degrees of freedom for non-independence of 1267 repeated measurements obtained from each individual. Specifically, the correlation 1268 degrees of freedom were 14 participants \times 10 observations – Number of participants 1269 -1 = 140 - 14 - 1 = 125. Repeated measures correlation tends to have much 1270 greater statistical power than conventional correlation across individuals because 1271 neither averaging nor aggregation is necessary for an intra-individual research 1272 question. Please see Bakdash and Marusich (2017) for more information. We 1273 assessed the impact of single observations on the correlations by excluding 1274 observations exceeding five times the average Cook's distance of all values within 1275 each condition (five observations for liberal and four for conservative) and 1276 recomputing the correlations.

1277 comparisons We used two-sided permutation tests (10,000 Statistical 1278 permutations) (Efron & Tibshirani, 1998) to test the significance of behavioral effects 1279 and the model fits. Permutation tests yield p = 0 if the observed value falls outside 1280 the range of the null distribution. In these cases, p < 0.0001 is reported in the 1281 manuscript. The standard deviation (s.d.) is reported as a measure of spread along 1282 with all participant-averaged results reported in the text. To quantify power 1283 modulations after (non-)target onset, we tested the overall power modulation for 1284 significant deviations from zero. For these tests, we used a cluster-based 1285 permutation procedure to correct for multiple comparisons (Maris & Oostenveld, 1286 2007). For time-frequency representations of power modulation, this procedure was 1287 conducted across all time-frequency bins. For frequency spectra, this procedure was 1288 performed across all frequency bins. To test the existence of inverted-U shaped

relationships between gamma and alpha bins, we conducted repeated measures ANOVA's and Gaussian shaped contrasts (see section Response gain model test for details) using SPSS 23 (IBM, Inc.). We used Pearson correlation to test the link between parameter estimates of the DDM and SDT frameworks and repeated measures correlation to test the link between gamma power and drift bias (see previous section).