**Running head:** Bacterial diversity and productivity

# Microhabitats shape diversity-productivity relationships in freshwater bacterial communities

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**Abstract**

Eukaryotic communities commonly display a positive relationship between biodiversity and ecosystem function (BEF). Based on current studies, it remains uncertain to what extent these findings extend to bacterial communities. An extrapolation from eukaryotic relationships would predict there to be no BEF relationships for bacterial communities because they are generally composed of an order of magnitude more taxa than the communities in most eukaryotic BEF studies. Here, we sampled surface water of a freshwater, estuarine lake to evaluate BEF relationships in bacterial communities across a natural productivity gradient. We assessed the impact of habitat heterogeneity - an important factor influencing eukaryotic BEFs - on the relationship between species richness, evenness, phylogenetic diversity, and heterotrophic productivity by sampling co-occurring free-living (more homogenous) and particle-associated (more heterogeneous) bacterial habitats. Diversity measures, and not environmental variables, were the best predictors of particles-associated heterotrophic production. There was a strong, positive, linear relationship between particle-associated bacterial richness and heterotrophic productivity that was strengthened when considering evenness. There were no observable BEF trends in free-living bacterial communities. In contrast, per-capita but not community-wide heterotrophic productivity increased across both habitats as communities were composed of taxa that were more phylogenetically clustered. This association indicates that communities with more phylogenetically related taxa have higher per-capita heterotrophic production than communities of phylogenetically distantly related taxa. Our findings show that lake heterotrophic bacterial productivity can be positively affected by evenness and richness, negatively by phylogenetic diversity, and that BEF relationships are contingent on microhabitats. These results

provide a stepping stone to compare biodiversity-productivity theory developed for Eukarya to bacterial ecosystems.

**Keywords**: diversity-productivity, biodiversity-ecosystem function, bacterial communities, microhabitats, particle-associated, limnology, heterotrophic productivity

## Introduction

Our planet is currently experiencing an extreme species extinction event (Thomas et al., 2004; Wake & Vredenburg, 2008). Concern about such declines in biodiversity has resulted in hundreds of studies evaluating the relationship between biodiversity and ecosystem functions (BEF), with a large focus on terrestrial plant ecosystems. BEF relationships are generally positive and asymptotic and thus biodiversity loss causes a small change in ecosystem function at first and then, at some tipping point, a dramatic decrease in function (Cardinale et al., 2012, 2012; Tilman et al., 2014). While the focus of local and global diversity loss is typically on eukaryotic organisms, bacterial biodiversity has also been shown to be decreasing at local scales within the human gut (Blaser, 2014) and terrestrial ecosystems (Singh et al., 2014). Of particular concern is the loss of diversity of bacterial guilds responsible for key geochemical transformations, such as methane oxidation (Levine et al., 2011) that controls rates of methane emissions. Yet, the study of BEF relationships has been more limited for Bacteria and Archaea.

Based on the asymptotic BEF relationships observed for eukaryotic communities of up to 20 species, the large range of species richness observed in natural bacterial communities (hundreds to thousands) may suggest an absence of bacterial BEF relationships. Several studies have indicated no BEF relationships with broad processes such as heterotrophic respiration or biomass production that are performed by many taxa (see figure 5 in Levine et al., 2011;

61 Langenheder et al., 2006; Delgado-Baquerizo et al., 2016). Yet, other studies on denitrification
62 (Philippot et al., 2013) and on narrow metabolic processes that are catalyzed by few bacterial
63 taxa, such as methanotrophy (Levine et al., 2011), and the degradation of triclosan and
64 microcystin (Delgado-Baquerizo et al., 2016) found evidence of bacterial BEF relationships.

65 Beyond the impact of the number of species, phylogenetic relatedness is predicted to
66 influence BEF relationships based on the phylogenetic limiting similarity hypothesis. The
67 phylogenetic limiting similarity hypothesis posits that distantly related organisms will have more
68 dissimilar niches and therefore reduced competition and a higher likelihood of coexistence
69 (Violle et al., 2011). Therefore, it predicts that communities will have high phylogenetic
70 diversity due to competitive exclusion of closely related species. Indeed, some papers show
71 relationships across different ecosystems between phylogenetic diversity and ecosystem
72 functions (Cadotte et al., 2008; Jiang et al., 2010; Violle et al., 2011). However, studies with
73 freshwater green algae (Fritschie et al., 2014; Venail et al., 2014) did not find this relationship. A
74 recent study found the opposite result by showing that closely related green algal species had
75 weaker competition and more facilitation than distantly related species (Narwani et al., 2017).
76 While relationships between phylogenetic relatedness among community members and
77 ecosystem function have been assessed in bacterial systems (Tan et al., 2012; Galand et al.,
78 2015; Roger et al., 2016), most work has focused on low-diversity, experimentally-assembled
79 communities with bacteria that can be grown in culture. We need to expand these findings to
80 communities with richness levels typically found in natural communities.

81 The nature of BEF relationships and the mechanism(s) that underpins them may depend
82 on habitat structure or heterogeneity. Increasing habitat heterogeneity has been found to enhance
83 the strength of BEF relationships (Tylianakis et al. 2008), presumably due to a greater role for

84 niche complementarity effects in heterogeneous environments (Cardinale 2011). While habitat
85 heterogeneity contributes to increased diversity within bacterial populations and communities
86 (Zhou et al., 2008; Shade et al., 2008), the influence of habitat heterogeneity on BEF
87 relationships remains unknown for bacterial systems.

88 In this study, we hypothesized that bacterial diversity would be positively correlated with
89 bacterial heterotrophic production, and that this relationship would be stronger in more
90 heterogeneous environments. We simultaneously surveyed free-living and particle-associated
91 surface water bacterial communities. Particulate matter comprises a variety of types and sizes of
92 particles with each particle also harboring physicochemical gradients (Simon et al., 2002), and
93 hence represents a more heterogeneous habitat than the surrounding water. We tested BEF
94 relationships using a variety of diversity metrics including observed richness, species dominance,
95 and phylogenetic diversity. We focused on heterotrophic bacterial production as our measure of
96 ecosystem function, as it is a key process affecting freshwater bacterial growth that in turn fuels
97 the macroscopic food web through their recycling of nutrients bound in organic matter (Cotner &
98 Biddanda, 2002).

## 99 Methods

### 100 *Lake sampling and sample processing*

101 Surface water samples were collected at 1 meter depth from 4 long-term sampling stations
102 (Steinman et al., 2008) in mesotrophic Muskegon Lake (**Figure S1**), which is a freshwater
103 estuarine lake connecting the Muskegon River and Lake Michigan. These stations included the
104 mouth of the Muskegon River (43.250133,-86.2557), the channel to Bear Lake (43.238717,-

105 86.299283; a hypereutrophic lake), channel to Lake Michigan (43.2333,-86.3229; oligotrophic
106 lake), and the deepest basin of Muskegon Lake (43.223917,-86.2972; max depth = 24 m).
107 Samples were collected during the morning to early afternoon of 3 days in 2015 (May 12,
108 July 21, & September 30) aboard the R/V W.G. *Jackson*. All water samples were collected with
109 vertical Van Dorn samplers. Additionally, a vertical profile of temperature (T), pH, specific
110 conductivity (SPC), oxidation-reduction potential (ORP), chlorophyll (Chl*a*), total dissolved
111 solids (TDS), and dissolved oxygen (DO) was constructed at each station to characterize the
112 water column using a calibrated YSI 6600 V2-4 multiparameter water quality sonde (Yellow
113 Springs Instruments Inc.). Total Kjeldahl nitrogen (TKN), ammonia ($NH_3$), total phosphorus
114 (TP), and alkalinity (Alk) were processed from whole water while nitrate ($NO_3$), phosphate
115 ($PO_4$), and chloride ($Cl^-$) were hand filtered using a 60 mL syringe fitted with Sweeny filter
116 holder with a 13 mm diameter 0.45 µm pore size nitrocellulose filters (Millipore) and were
117 determined by standard wet chemistry methods in the laboratory (EPA, 1993).

118 ***Bacterial abundance by epifluorescence microscopy***

119 Lake surface water samples were processed within 2-6 hours of their collection for determination
120 of heterotrophic bacterial abundance. Samples (5 mL) were preserved with 2% formalin and 1
121 mL subsamples were stained with acridine orange stain and filtered onto black 25 mm 0.2 µm
122 pore size polycarbonate filters (Millipore) at a maximum pressure of 0.1 Bar or 1.5 PSI. Prepared
123 slides were stored frozen until enumeration by standard epifluorescence microscopy at 1000x
124 magnification under blue light excitation (Hobbie et al. 1977). Bacteria within the field of view
125 (100 µm x 100 µm) that were not associated with any particles were counted as free-living
126 bacteria, whereas bacteria that were on particles were counted as particle-associated. Sample
127 filtration may bias counts due to free-living or particle-associated cells being hidden on the

128 underside of particles, , free-living bacteria settling on top of particles, or particle-associated
129 cells dislodging. In the absence of any quantitative studies that have rigorously addressed this
130 issue, we have assumed the net effect of these opposing methodological biases to be negligible in
131 the present study.

132 ***Heterotrophic bacterial production measurements***

133 Community-wide heterotrophic bacterial production was measured using [$^3$H] leucine
134 incorporation into bacterial protein in the dark (Kirchman et al. 1985; Simon and Azam, 1989).
135 At the end of the incubation with [$^3$H]-leucine, cold trichloroacetic acid-extracted samples were
136 filtered onto 3 µm filters that represented the leucine incorporation by particle-associated
137 bacteria (>3.0 µm). Each filtrate was collected and filtered onto 0.2 µm filters and the activity
138 therein represented incorporation of leucine by free-living bacteria (>0.2 µm-<3 µm). Measured
139 leucine incorporation during the incubation was converted to bacterial carbon production rate
140 using a standard theoretical conversion factor of 2.3 kg C per mole of leucine (Simon and Azam,
141 1989). Per-capita heterotrophic production was estimated by dividing heterotrophic production
142 by the cell counts measured in each fraction.

143 ***Preservation of bacterial filters in the field***

144 Microbial biomass for the particle-associated (> 3 µm) fraction and the free-living (3–0.22 µm
145 fraction) bacterial fraction was collected by sequential in-line filtration on 3 µm isopore
146 polycarbonate (TSTP, 47 mm diameter, Millipore, Billerica, MA, USA) and 0.22 µm Express
147 Plus polyethersulfone membrane filters (47 mm diameter, Millipore, MA, USA). We used 47
148 mm polycarbonate in-line filter holders (Pall Corporation, Ann Arbor, MI, USA)and an E/S
149 portable peristaltic pump with an easy-load L/S pump head (Masterflex®, Cole Parmer

150 Instrument Company, Vernon Hills, IL, USA). The total volume filtered varied from 0.8–2.2 L
151 with a maximum filtration time of 16 minutes per sample. Filters were submerged in RNAlater
152 (Ambion) in 2 mL cryovials, frozen in liquid nitrogen and transferred to a −80°C freezer until
153 DNA extraction.

154 ***DNA extraction, sequencing and processing***

155 DNA extractions were performed using an optimized method based on the AllPrep
156 DNA/RNA/miRNA Universal kit (Qiagen; McCarthy et al., 2015; details in supplementary
157 methods). Extracted DNA was sequenced using Illumina MiSeq V2 chemistry 2 × 250 (500
158 cycles) of dual index-labelled primers that targeted the V4 hypervariable region of the 16S rRNA
159 gene (515F/806R) (Caporaso et al., 2012; Kozich et al., 2013) at the Microbial Systems
160 Laboratories at the University of Michigan Medical School in July 2016. RTA V1.17.28 and
161 MCS V2.2.0 software were used to generate data. Fastq files were submitted to NCBI sequence
162 read archive under BioProject accession number PRJNA412984. We analyzed the sequence data
163 using MOTHUR V.1.38.0 (seed = 777; Schloss et al., 2009) based on the MiSeq standard
164 operating procedure accessed on 3 November 2015 and modified with time (see data
165 accessibility and supplemental methods). For classification of operational taxonomic units
166 (OTUs), a combination of the Silva Database (release 123; Quast et al., 2013) and the freshwater
167 TaxAss 16S rRNA database and pipeline (Rohwer et al., 2017, accessed August 18, 2016). All
168 non-bacterial and chloroplast sequences were pruned out of the dataset and replicate samples
169 were merged by summing sample sequencing read counts using the *merge_samples* function
170 (phyloseq). A batch script for our protocol can be found in this project's GitHub page at

171 https://github.com/DenefLab/Diversity_Productivity/blob/master/data/mothur/mothur.batch.taxa
172 ss.

173 ***Estimating Diversity***

174 To get the best estimate of each diversity metric, each sample was subsampled to 6,664
175 sequences (the smallest library size) with replacement and were averaged over 100 trials.
176 Observed richness, Shannon entropy, and inverse Simpson's index were calculated using the
177 *diversity* function within the vegan (Oksanen et al., 2013) R package via the *estimate_richness*
178 function in the phyloseq (McMurdie and Holmes, 2013) R package. Simpson's Evenness was
179 calculated by dividing the inverse Simpson's index by the observed richness (Magurran, 2004).
180 To calculate phylogenetic diversity, we first removed OTUs that had a count of 2 sequences or
181 less throughout the entire dataset, as these are more prone to be artefacts originating from
182 sequencing errors or the OTU clustering algorithm. Representative sequences of each of the
183 1,891 remaining OTUs were collected from the aligned fasta file produced within mothur, and
184 header names in the mothur output fasta file were modified using bbmap (Bushnell, 2016) to
185 only include the OTU name. A phylogenetic tree was created with FastTree using the GTR+CAT
186 (general time reversible) model (Price et al., 2010). Mismatches between the species community
187 data matrix and the phylogenetic tree were checked with the *match.phylo.comm* command
188 (picante). Finally, both abundance-unweighted and -weighted phylogenetic diversity was
189 estimated using specifications described in the next paragraph with the picante R package.
190 The most common phylogenetic diversity (PD) measure is Faith's PD (Faith, 1992),
191 however, this metric is very strongly correlated with species richness (**Figure S2**). Instead, the
192 mean pairwise phylogenetic distance (or MPD) was calculated (*ses.mpd* function in the *Picante*
193 R package (Kembell et al., 2010), null.model = "independentswap"). The MPD measures the

194 average phylogenetic distance between all combinations of two taxa pulled from the observed
195 community and compares it with a null community of equal richness pulled from the gamma
196 diversity of all the samples (*see supplemental methods for more details*). Values higher than zero
197 indicate phylogenetic evenness or overdispersion (higher phylogenetic diversity) while values
198 less than zero indicate phylogenetic clustering (lower diversity) or that species are more closely
199 related than expected according to the null community (Kembel, 2009). Thus, this phylogenetic
200 metric is relative. From here, we will refer to the $SES_{MPD}$ as the "phylogenetic diversity" for
201 simplicity and clarity.

202 ***Statistical analysis***

203 Further analysis of sequence data was performed in R version 3.4.2 (R Core Team 2017;
204 *see supplemental methods for more details*). To test which variable(s) were the best predictors of
205 community and per-capita heterotrophic production, we performed variable selection via a lasso
206 regression (using the *glmnet* R package, alpha = 1, and lambda.1se as the tuning parameter
207 (Friedman et al., 2010)) on all of the environmental, biodiversity, and principal component
208 variables. To further validate the lasso regression results, we performed ordinary least squares
209 (OLS) regressions on all variables, including the principal components (PCA) of the euclidean
210 distances of the environmental data. We used the Akaike information criterion (AIC) (accessed
211 with the *broom::glance()* command) to select the best performing OLS regression model.

212 ***Data and code availability***

213 Original fastq files can be found on the NCBI sequence read archive under BioProject accession
214 number PRJNA412984. Processed data and code can be found on the GitHub page for this

project at https://deneflab.github.io/Diversity_Productivity/ with the main analysis at https://deneflab.github.io/Diversity_Productivity/Final_Analysis.html.

## Results

### *Free-living communities had more cells and higher community-wide heterotrophic production but particle-associated communities had higher per-capita heterotrophic production*

We observed an order of magnitude more cells per milliliter ($p = 1 \times 10^{-6}$, Figure 1A) and ~2.5 times more community-wide heterotrophic production in the free-living fraction ($p = 0.024$, Figure 1B). However, when calculated per-capita, particle-associated bacteria were on average an order of magnitude more productive than free-living bacteria ($p = 7 \times 10^{-5}$, Figure 1C). Particle-associated and free-living cell abundances in samples taken from the same water sample did not correlate (Figure S3A). Heterotrophic production between corresponding free-living and particle-associated fractions from the same water sample were positively correlated for both community (Adjusted $R^2 = 0.40$, $p = 0.017$; Figure S3B) and per-capita production rates (Adjusted $R^2 = 0.60$, $p = 0.003$; Figure S3C).

### *Particle-associated communities are more diverse in terms of observed richness and Shannon Entropy while free-living communities are more phylogenetically diverse*

Across all samples, particle-associated bacterial communities were more diverse than free-living communities when considering richness and Shannon entropy (Figures 2A & S4A), but similar in the inverse Simpson's index and Simpson's evenness (Figure 2B & S4B).

Particle-associated bacterial community richness was always higher than in free-living communities and was maintained across the four sampling stations in the lake (Figure S5A).

236 Particle-associated samples at the river and Bear lake stations were on average more OTU-rich
237 than the outlet to Lake Michigan and the Deep stations. Additionally, the river station had almost
238 twice the inverse Simpson's value as compared with all other lake stations (Mean inverse
239 Simpson Indices: Outlet = 23.6; Deep = 23.7; Bear = 35.3; River = 59.1; Figure S5A).
240 Particle-associated communities were more phylogenetically clustered than free-living
241 communities based on unweighted phylogenetic diversity ($p = 0.01$, Figure 3A). Compared to
242 other particle-associated samples, the outlet station that connects to oligotrophic Lake Michigan
243 had a much larger unweighted phylogenetic diversity, indicating phylogenetic overdispersion
244 (Figure S5A). Nevertheless, no sample across the entire dataset differed significantly from the
245 null model with a significance threshold p-value of 0.05. There was no difference between
246 weighted phylogenetic diversity in particle-associated versus free-living communities (Figure
247 S5A).

248 ***Diversity-Productivity relationships are only observed in particle-associated communities***

249 There was a strong, positive, linear BEF relationship between community-wide (Figures
250 2C-D & S4C-D) and per-capita (Figures 2E-F & S4E-F) heterotrophic productivity and all
251 richness and evenness diversity metrics in the particle-associated communities, while no BEF
252 relationships were observed for the free-living communities. The inverse Simpson's index
253 explained the most amount of variation in community-wide (Figure 2D; Adjusted $R^2 = 0.69$, $p =$
254 $5 \times 10^{-4}$) and per-capita (Figure 2F; Adjusted $R^2 = 0.69$, $p = 0.001$) heterotrophic production.
255 These results are robust across a range of minimum OTU abundance filtering thresholds (see
256 *Sensitivity Analysis of Rare Taxa* in the supplemental methods and Figure S6) and hold up for all
257 threshold levels in Inverse Simpson and for richness until removal of 25 counts (community-
258 wide heterotrophic production) and 15 counts (per-capita heterotrophic production). When the

259 particle-associated and free-living samples were combined together into one linear model to test
260 an overall relationship between diversity and productivity, there was no relationship (richness: p
261 = 0.86; Shannon: p = 0.99; Inverse Simpson: p = 0.36), with the exception of a weak correlation
262 for Simpson's Evenness (Adjusted $R^2$ = 0.12, p = 0.054). However, when particle-associated and
263 free-living samples were combined together into one linear model to test an overall relationship
264 between diversity and productivity, there was a strong relationship with observed richness
265 (Adjusted $R^2$ = 0.63, p = 3 x $10^{-6}$), which broke down as evenness was weighed more (Figure S7:
266 Shannon: Adjusted $R^2$ = 0.52, 6 x $10^{-5}$; Inverse Simpson: Adjusted $R^2$ = 0.48, p = 2 x $10^{-4}$;
267 Simpson's Evenness: p = 0.48).

268 ***Phylogenetic diversity correlated with per-capita heterotrophic production but not with***
269 ***community-wide production***

270 Abundance-weighted phylogenetic diversity was not correlated with community or per-
271 capita heterotrophic production (Figure S8C - S8D) and therefore no further analyses were
272 performed with this diversity metric.

273 There was a moderate, negative, linear relationship when particle-associated and free-
274 living samples were combined together into one linear model to test an overall relationship
275 between unweighted phylogenetic diversity and observed richness (Figure 3B; Adjusted $R^2$ =
276 0.35, p = 0.001). To further validate this trend, randomized communities were generated with an
277 equal richness as the samples but with OTUs randomly picked across the dataset. The
278 unweighted phylogenetic diversity was then calculated and regressed against each the
279 randomized richness and there was no relationship (Figure S9; Adjusted $R^2$ = -0.02, p = 0.44),
280 verifying the negative relationship in the actual samples. When particle-associated and free-
281 living samples were individually run in separate linear models to test for habitat-specific

282 relationships between unweighted phylogenetic diversity and observed richness, no trend was
283 found in either particle-associated or free-living models (Figure 3B; Particle: Adjusted $R^2 = 0.14$,
284 $p = 0.12$; Free = Adjusted $R^2 = -0.10$, $p = 0.97$). In other words, particle-associated and free-
285 living diversities did not have individual effects on community-wide or per-capita heterotrophic
286 production but rather, all samples were necessary for a correlation between per-capita
287 heterotrophic production and unweighted phylogenetic diversity.

288 There was no correlation between phylogenetic diversity and community-wide
289 heterotrophic production (Figure 3C). However, a negative correlation was found when particle-
290 associated and free-living samples were combined into one linear model to test an overall
291 relationship between unweighted phylogenetic diversity and per-capita heterotrophic production
292 (Figure 3D; $R^2 = 0.42$, $p = 5 \times 10^{-4}$). Therefore, these two results in combination indicated that
293 communities composed of more phylogenetically similar OTUs had a higher per-capita
294 heterotrophic production rate.

295 ***Diversity, and not environmental variation, is the best predictor of particle-associated***
296 ***heterotrophic production***

297 To identify variables that best predicted community-wide and per-capita heterotrophic
298 production (*i.e.* remove variables that were correlated with each other and/or uninformative
299 variables), we performed lasso regression with all samples and individually with particle-
300 associated and free-living samples. For prediction of community-wide heterotrophic production,
301 only the inverse Simpson's index was selected for particle-associated samples whereas pH and
302 PC5 were selected for free-living samples, and no variables were selected when all samples were
303 included in the lasso regression. In contrast, for per-capita heterotrophic production, temperature
304 and the inverse Simpson's index were selected for particle-associated samples whereas pH was

305 the only predictor for free-living samples, and observed richness was the only predictor for all
306 samples (plotted in Figure S7A). Therefore, the best model for particle-associated microhabitats
307 *always* included inverse Simpson's index whereas free-living samples only included
308 environmental variables, such as pH.

309 To further verify that there were no confounding impacts of seasonal and environmental
310 variables on community-wide and per-capita heterotrophic production, we performed ordinary
311 least square (OLS) regressions and a dimension-reduction analysis of the environmental
312 variables through a principal components analysis (Table S1 & S2; Figure S10). Specifically, the
313 first 2 environmental axes explained ~70% of the environmental variation in the sampling sites
314 (Figure S10). Next, we predicted community-wide and per-capita heterotrophic production with
315 all environmental variables and the first six principal components as predictor variables with
316 individual particle-associated and free-living samples, and combined (*i.e.* all samples) models
317 (Table S1 & S2). The best single predictor of community-wide heterotrophic production was
318 Inverse Simpson for particle-associated samples (AIC = 74.34; $R^2 = 0.69$), pH for the free-living
319 samples (AIC =98.43; $R^2 = 0.49$, $p = 0.006$), and pH for all samples (AIC = 192.16; $R^2 = 0.35$)
320 (Table S1). Whereas, the best single predictor of per-capita heterotrophic production was Inverse
321 Simpson for particle-associated samples (AIC = 8.29; $R^2 = 0.69$), pH for the free-living samples
322 (AIC = -2.39; $R^2 = 0.78$), and observed richness for all samples (AIC = 24.72; $R^2 = 0.63$) (Table
323 S2). Thus, the OLS regressions are in agreement with the lasso regressions.

324 **Discussion**

325 We examined bacterial biodiversity-ecosystem function (BEF) relationships in relation to
326 two microhabitats within freshwater lakes: particulate matter and the surrounding water. First,
327 we found that community-wide and per-capita heterotrophic productivity of particle-associated

328 but not free-living bacterial communities showed a positive, linear BEF relationship with both
329 richness and evenness contributing. Second, particle-associated heterotrophic production was
330 better explained by diversity (*i.e.* inverse Simpson's index) than by environmental parameters.
331 Third, across both particle-associated and free-living communities, higher richness was
332 associated with lower phylogenetic diversity which, in turn, was associated with higher per-
333 capita heterotrophic bacterial production but not associated with community-wide heterotrophic
334 production.

335 Microbes have a large diversity of metabolisms and the choice of which to focus on may
336 inherently affect the BEF relationship. Indeed, "narrow" metabolic processes that are catalyzed
337 by a small subset of taxa within bacterial communities, such as nitrogen and sulfur cycling, have
338 been found to display BEF relationships (Levine et al., 2011; Delgado-Baquerizo et al., 2016). In
339 contrast, for "broad" processes that are performed by the majority of taxa within a bacterial
340 community, such as heterotrophic production (focus of the present study) and respiration,
341 functional redundancy appears to weaken or remove the presence of BEF relationships (Griffiths
342 et al., 2000; Langenheder et al., 2006; Wertz et al., 2006; Levine et al., 2011; Peter et al., 2011,
343 Galand et al, 2015). These findings are in line with the absence of a BEF relationship for free-
344 living bacterial communities in our study.

345 However, the above results and hypotheses surrounding narrow and broad processes are
346 in conflict with the strong BEF relationship we observed in particle-associated bacterial
347 communities. As such, our study signifies that microhabitats or habitat heterogeneity can
348 influence bacterial BEF relationships, in agreement with previous research in eukaryotic systems
349 across a variety of ecosystems (Tylianakis et al., 2008; Cardinale 2011; Zeppilli et al., 2016). A
350 study using controlled stream mesocosms by Cardinale (2011) found that niche complementarity

351 effects are particularly important in more heterogeneous environments. In more heterogeneous
352 streams, algal populations used different nutrients and avoided direct competition for resources,
353 resulting in unique species occupying distinct and local microhabitats.

354 Our observational study could not directly test the role of niche complementarity effects.
355 However, support for niche complementarity alone or in combination with species selection as
356 the mechanism underlying the BEF relationship in particle-associated habitats is provided by the
357 inverse Simpson's index being the strongest predictor of community-wide heterotrophic
358 production. As the inverse Simpson's index represents a measure of species dominance, it is
359 strongly affected by the evenness of abundant species. Communities that are more even have an
360 increased likelihood for complementary species to neighbor each other.

361 In our study, there are several reasons why heterogeneity of particulate matter may allow
362 for niche complementarity effects to occur and result in BEF relationships. First, particles have a
363 two-fold layer of heterogeneity as they (A) may be composed of different substrates such as
364 organic matter from terrestrial or aquatic environments and either heterotrophically or
365 photosynthetically derived (Grossart, 2010), and (B) each particle may comprise
366 physicochemical gradients as well (Simon et al., 2002). Second, microbial interactions are more
367 likely to occur between cells aggregated on particles as the interaction distances are usually
368 much shorter (Cordero & Datta, 2016) compared to free-living bacterial cells. In fact, genes
369 mediating social interactions, such as motility, adhesion, cell-to-cell transfer, antibiotic
370 resistance, mobile element activity, and transposases, have been found to be more abundant in
371 marine particles than compared to the surrounding water (Ganesh et al., 2014).

372 The importance of niche complementarity in microbial communities can also be deduced
373 from recent findings in the field of microbiology, which have shown widespread metabolic

374 interdependence among bacterial community members. First, a 2016 study that reconstructed
375 2,540 draft genomes of microbes found that most bacteria specialize in one particular step in
376 sulfur and nitrogen pathways and "hand-off" their metabolic byproducts to nearby organisms
377 (Anantharaman et al., 2016). It is likely that metabolic hand-offs, a specific form of bacterial
378 facilitation, will occur more in particle-associated compared to free-living communities. Indeed,
379 Datta and Cordero's (2016) work on model marine particles found that taxa that are incapable of
380 breaking down particles and instead rely on carbon produced by primary degraders thrive in later
381 phases of particle degradation. Second, Lilja and Johnson (2016) demonstrated that different
382 microbial cell types eliminate inter-enzyme competition by cross feeding, which increases
383 substrate consumption by allowing intracellular resources to go towards a single enzyme, rather
384 than having two enzymes that perform two separate reactions compete for nutrients within a cell.
385 Third, some bacteria are unable to grow in laboratory cultures unless they are in co-culture with
386 other organisms, which may be due to metabolic hand-offs or to growth factors such as
387 siderophores or catalases (Stewart, 2012).

388 Taking into account that (i) closely related taxa share more genes and metabolic
389 pathways than distantly related bacterial taxa (Konstantinidis & Tiedje, 2005; Kim et al., 2014)
390 and (ii) bacteria commonly have incomplete metabolic pathways, we propose that closely related
391 bacteria may be most likely to hand-off their metabolic byproducts. This may be why we found
392 that new taxa added to the community represented taxonomic clades similar to or already present
393 in the community, and that these communities with lower phylogenetic diversity (relative to
394 expected) had higher productivities. This result is in line with a recent study using freshwater
395 algae and vascular plants that reject predictions from the phylogenetic limiting similarity
396 hypothesis (Narwani et al., 2017). However, recent bacteria-focused studies from Russel et al.

397 (2017) and Venail and Vives (2013) found higher levels of antagonism (Russel et al., 2017) or
398 more bacterial productivity (measured through colony forming units per mL;Venail and Vives,
399 2013) with more distantly related taxa. Both of these studies were performed in the lab with r-
400 selected (*i.e.* copiotrophic) species grown in stable, warm, aerobic, agar plate conditions. Thus,
401 Venail and Vives (2013) and Russel et al. (2017) inherently break up potential interdependent
402 relationship between bacteria either by creating artificial communities or evaluating pairwise
403 interactions and remove the natural effect of spatial heterogeneity, environmental fluctuations,
404 and the rest of the bacterial community. As a result, future studies on bacterial interactions and
405 the role of phylogenetic diversity will need to maintain natural structure and complexity in
406 bacterial communities.

407 Previous studies on bacterial BEF relationships have used three approaches to manipulate
408 bacterial diversity (Krause et al., 2014): (1) dilution to extinction in which complex communities
409 are diluted to more simple communities (Wertz et al., 2006; Peter et al., 2011; Philippot et al.,
410 2013; see Roger et al., 2016 for a review of this approach), (2) manually assembled communities
411 in culture (Tan et al., 2012; Salles et al., 2009), or (3) natural or manipulated environmental
412 communities (Griffiths et al., 2000; Levine et al., 2011; Galand et al., 2015). In this study, we
413 took the latter approach. In contrast to the other two approaches, this had the benefit of (1)
414 maintaining high diversity with both abundant and rare taxa, (2) including both r- and k-selected
415 organisms, (3) allowing natural environmental and ecological forcings to shape the community,
416 and (4) evaluating BEF relationships in diversity and productivity ranges that reflect natural
417 communities. Admittedly, three inherent weaknesses to our approach were that (1) we cannot
418 measure all the potential variables that influence heterotrophic productivity, (2) we only have 24
419 samples for a 12 versus 12 study, and (3) our analysis is correlational and we cannot manipulate

420 the system to unequivocally separate causes and consequences of bacterial production. For
421 example, strong correlations with heterotrophic production and pH in the free-living samples
422 (Table S1 & S2) may point to pH being a consequence of rather than a cause of varying
423 production levels. This is because bacterial production and bacterial respiration are positively
424 correlated (del Giorgio & Cole, 1998) and with increased respiration, pH may decrease due to
425 $CO_2$ dissolution into the water.

426 Finally, we acknowledge that the typical sampling of bacterial communities and analysis
427 using DNA sequencing reflects *all* bacteria present in the community and not necessarily only
428 the *active* members of the community contributing to a given ecosystem function. In freshwater
429 systems, up to 40% of cells from the total community have been shown to be inactive or dormant
430 (Jones and Lennon, 2010). If one were to sample plant communities in an analogous way to
431 bacterial systems, one would measure the diversity of all the above- and below-ground plant
432 biomass including seeds, pollen, and detrital biomass. In this context, it is interesting to reflect
433 on the richness in absence of function (i.e. x-intercept) of the observed BEF relationship which is
434 295. This could be interpreted as a baseline level of 295 inactive (either dead or dormant)
435 bacterial OTUs and in the case of particulate material, environmental DNA adhered the
436 substrate, in the community. This value represents 35-85% of the total particle-associated
437 communities and may obscure the *actual* diversity (and BEF relationship) of the bacterial
438 community (Carini et al., 2016).

439 In conclusion, we show that increased bacterial diversity, especially when measured by
440 the inverse Simpson's index, leads to increased community-wide and per-capita bacterial
441 heterotrophic production in particle-associated but not in free-living communities. As such, we
442 extend the validity of principles of the impact of microhabitat on BEF relationships from

Eukarya to Bacteria, contributing to current efforts to integrate ecological theories into the field of microbiology (Barberán et al., 2014). Additionally, we show that communities with low phylogenetic diversity have higher per-capita heterotrophic production rates, which we hypothesize to be related to genome evolutionary patterns specific to bacteria that result in the dependence on metabolic hand-offs. Differences between Bacteria and Eukarya in patterns of genome evolution and its ecological consequences, as well as in how active and dormant fractions of the community are measured need to be taken into account when trying to integrate BEF studies across all domains of life.

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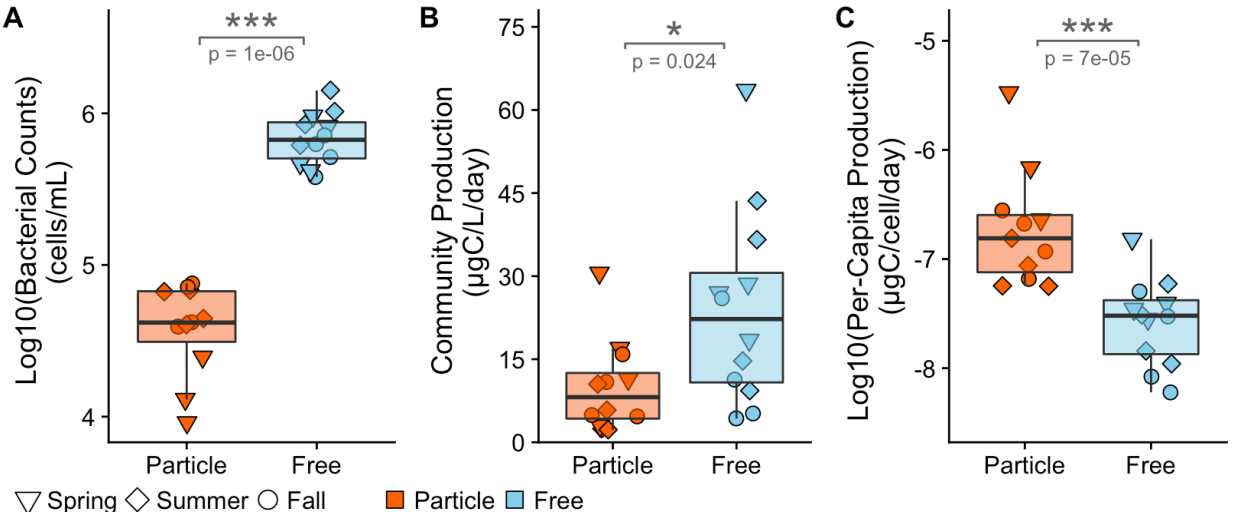
612

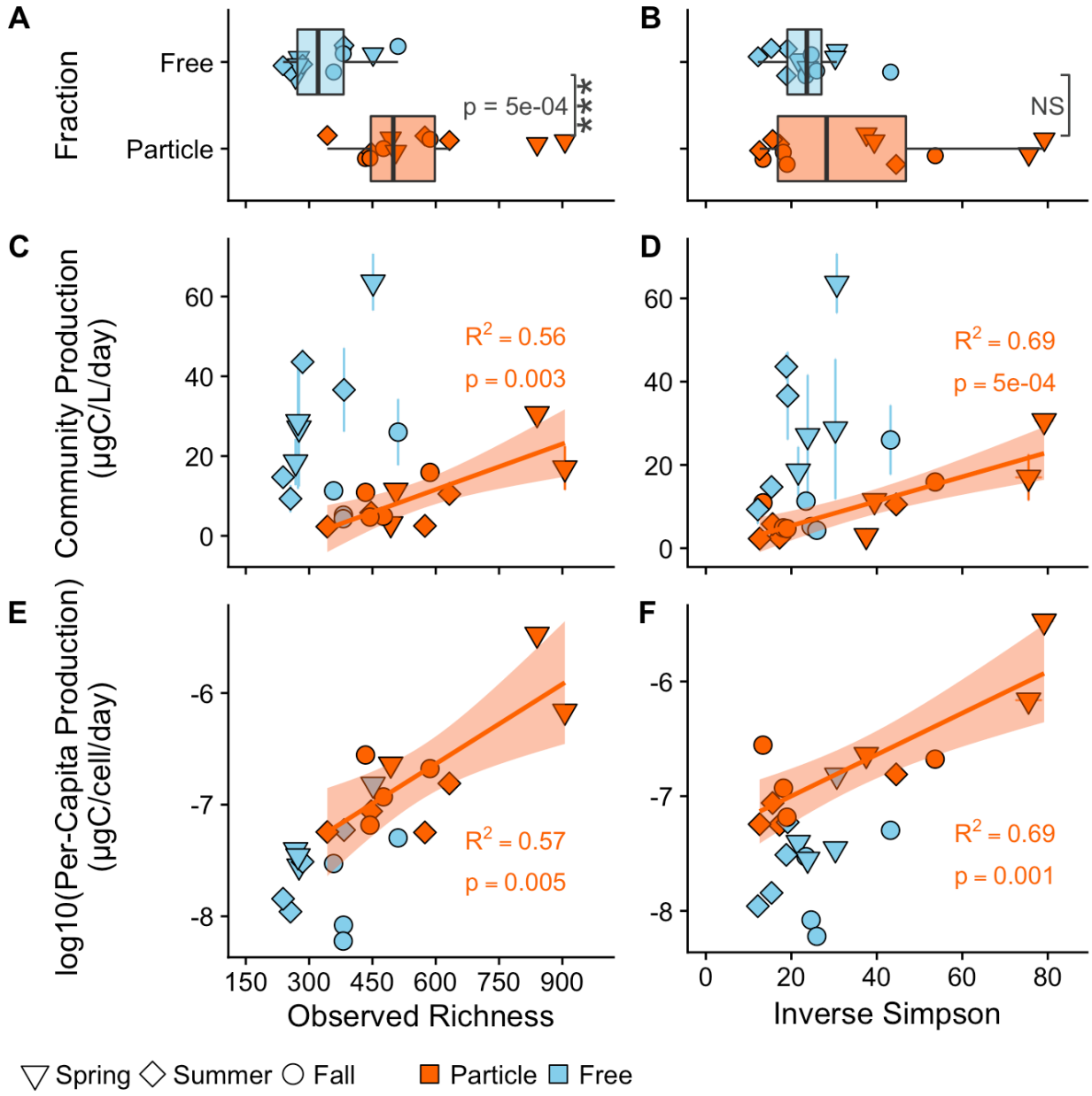
613 **Figure 1: Bacterial counts, community-wide and per-capita heterotrophic production differ**
614 **between microhabitats.** Particle-associated and free-living samples were taken from four
615 stations within Muskegon Lake during 2015 in May, July, and September. **(A)** Free-living
616 bacteria were an order of magnitude ($10^6$ cells/mL) more abundant compared to particle-
617 associated bacteria. **(B)** Free-living bacteria were more heterotrophically productive compared to
618 particle-associated bacteria. **(C)** Particle-associated bacteria were disproportionately
619 heterotrophically productive per cell compared to free-living bacteria.
620
621 **Figure 2: Richness and Inverse Simpson correlate with heterotrophic productivity. Top**
622 **panel:** Differences in **(A)** the observed richness and **(B)** the inverse Simpson diversity metrics
623 between particle-associated (orange) and free-living (blue) habitats. **Middle panel:** Biodiversity
624 and community-wide heterotrophic production (ugC/L/day) relationships. The y-axis between
625 **(C)** and **(D)** is the same, however, the x-axis represents **(C)** richness and **(D)** Inverse Simpson.
626 **Bottom panel:** Biodiversity and $\log_{10}$(per-capita heterotrophic production) (ugC/cell/day)
627 relationships. The y-axis between **(E)** and **(F)** is the same, however, the x-axis represents **(E)**
628 richness and **(F)** Inverse Simpson's index. Solid lines represent ordinary least squares models for
629 the free-living (blue) and particle associated (orange) communities. All $R^2$ values represent the
630 adjusted $R^2$ from an ordinary least squares model.
631
632 **Figure 3: The relationship between heterotrophic productivity and unweighted**
633 **phylogenetic diversity** ($SES_{MPD}$; ses.mpd function in *picante* with null.model =
634 "independentswap"). Positive phylogenetic diversity values represent communities that are
635 phylogenetically diverse (*i.e.* overdispersed) while negative phylogenetic diversity values
636 represent communities that are phylogenetically less diverse (*i.e.* clustered) compared to a null
637 community with equal species richness. **(A)** Phylogenetic diversity was higher in free-living
638 communities compared to particle-associated communities. **(B)** Negative relationship between
639 observed richness and phylogenetic diversity. **(C)** Absence of phylogenetic diversity and
640 community bulk heterotrophic production (µgC/L/day) relationships. **(D)** Negative phylogenetic
641 diversity and per-capita heterotrophic production (µgC/cell/day) relationship. Linear models in
642 figure **B** and **D** represent trends over all samples.
643

644 **Figure 1**




645

646 **Figure 2**

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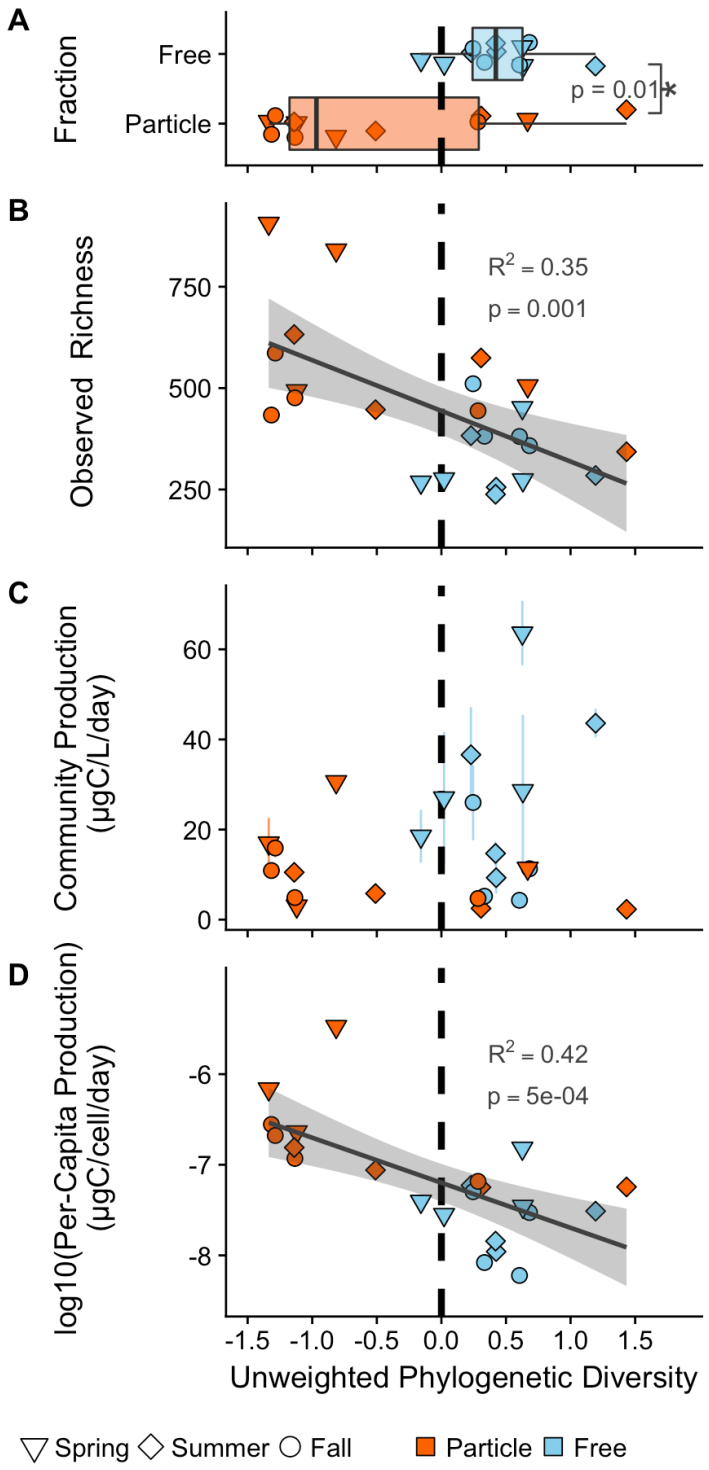
649 **Figure 3**

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