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3	Microhabitats shape diversity-productivity
4	relationships in freshwater bacterial communities
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17 Abstract

18 Eukaryotic communities commonly display a positive relationship between biodiversity and 19 ecosystem function (BEF). Based on current studies, it remains uncertain to what extent these 20 findings extend to bacterial communities. An extrapolation from eukaryotic relationships would 21 predict there to be no BEF relationships for bacterial communities because they are generally 22 composed of an order of magnitude more taxa than the communities in most eukaryotic BEF 23 studies. Here, we sampled surface water of a freshwater, estuarine lake to evaluate BEF 24 relationships in bacterial communities across a natural productivity gradient. We assessed the 25 impact of habitat heterogeneity - an important factor influencing eukaryotic BEFs - on the 26 relationship between species richness, evenness, phylogenetic diversity, and heterotrophic 27 productivity by sampling co-occurring free-living (more homogenous) and particle-associated (more heterogeneous) bacterial habitats. Diversity measures, and not environmental variables, 28 29 were the best predictors of particles-associated heterotrophic production. There was a strong, 30 positive, linear relationship between particle-associated bacterial richness and heterotrophic 31 productivity that was strengthened when considering evenness. There were no observable BEF 32 trends in free-living bacterial communities. In contrast, per-capita but not community-wide 33 heterotrophic productivity increased across both habitats as communities were composed of taxa 34 that were more phylogenetically clustered. This association indicates that communities with 35 more phylogenetically related taxa have higher per-capita heterotrophic production than 36 communities of phylogenetically distantly related taxa. Our findings show that lake heterotrophic 37 bacterial productivity can be positively affected by evenness and richness, negatively by 38 phylogenetic diversity, and that BEF relationships are contingent on microhabitats. These results

provide a stepping stone to compare biodiversity-productivity theory developed for Eukarya tobacterial ecosystems.

41 Keywords: diversity-productivity, biodiversity-ecosystem function, bacterial communities,
42 microhabitats, particle-associated, limnology, heterotrophic productivity

43 Introduction

44 Our planet is currently experiencing an extreme species extinction event (Thomas et al., 2004; Wake & Vredenburg, 2008). Concern about such declines in biodiversity has resulted in 45 46 hundreds of studies evaluating the relationship between biodiversity and ecosystem functions 47 (BEF), with a large focus on terrestrial plant ecosystems. BEF relationships are generally 48 positive and asymptotic and thus biodiversity loss causes a small change in ecosystem function at 49 first and then, at some tipping point, a dramatic decrease in function (Cardinale et al., 2012, 50 2012; Tilman et al., 2014). While the focus of local and global diversity loss is typically on 51 eukaryotic organisms, bacterial biodiversity has also been shown to be decreasing at local scales 52 within the human gut (Blaser, 2014) and terrestrial ecosystems (Singh et al., 2014). Of particular 53 concern is the loss of diversity of bacterial guilds responsible for key geochemical 54 transformations, such as methane oxidation (Levine et al., 2011) that controls rates of methane 55 emissions. Yet, the study of BEF relationships has been more limited for Bacteria and Archaea. Based on the asymptotic BEF relationships observed for eukaryotic communities of up to 56 57 20 species, the large range of species richness observed in natural bacterial communities 58 (hundreds to thousands) may suggest an absence of bacterial BEF relationships. Several studies have indicated no BEF relationships with broad processes such as heterotrophic respiration or 59 60 biomass production that are performed by many taxa (see figure 5 in Levine et al., 2011;

61 Langenheder et al., 2006; Delgado-Baquerizo et al., 2016). Yet, other studies on denitrification 62 (Philippot et al., 2013) and on narrow metabolic processes that are catalyzed by few bacterial 63 taxa, such as methanotrophy (Levine et al., 2011), and the degradation of triclosan and 64 microcystin (Delgado-Baquerizo et al., 2016) found evidence of bacterial BEF relationships. 65 Beyond the impact of the number of species, phylogenetic relatedness is predicted to 66 influence BEF relationships based on the phylogenetic limiting similarity hypothesis. The 67 phylogenetic limiting similarity hypothesis posits that distantly related organisms will have more dissimilar niches and therefore reduced competition and a higher likelihood of coexistence 68 69 (Violle et al., 2011). Therefore, it predicts that communities will have high phylogenetic 70 diversity due to competitive exclusion of closely related species. Indeed, some papers show 71 relationships across different ecosystems between phylogenetic diversity and ecosystem 72 functions (Cadotte et al., 2008; Jiang et al., 2010; Violle et al., 2011). However, studies with 73 freshwater green algae (Fritschie et al., 2014; Venail et al., 2014) did not find this relationship. A 74 recent study found the opposite result by showing that closely related green algal species had 75 weaker competition and more facilitation than distantly related species (Narwani et al., 2017). 76 While relationships between phylogenetic relatedness among community members and 77 ecosystem function have been assessed in bacterial systems (Tan et al., 2012; Galand et al., 78 2015; Roger et al., 2016), most work has focused on low-diversity, experimentally-assembled 79 communities with bacteria that can be grown in culture. We need to expand these findings to 80 communities with richness levels typically found in natural communities. 81 The nature of BEF relationships and the mechanism(s) that underpins them may depend

on habitat structure or heterogeneity. Increasing habitat heterogeneity has been found to enhance
the strength of BEF relationships (Tylianakis et al. 2008), presumably due to a greater role for

84 niche complementarity effects in heterogeneous environments (Cardinale 2011). While habitat 85 heterogeneity contributes to increased diversity within bacterial populations and communities 86 (Zhou et al., 2008; Shade et al., 2008), the influence of habitat heterogeneity on BEF 87 relationships remains unknown for bacterial systems. 88 In this study, we hypothesized that bacterial diversity would be positively correlated with 89 bacterial heterotrophic production, and that this relationship would be stronger in more 90 heterogeneous environments. We simultaneously surveyed free-living and particle-associated 91 surface water bacterial communities. Particulate matter comprises a variety of types and sizes of 92 particles with each particle also harboring physicochemical gradients (Simon et al., 2002), and 93 hence represents a more heterogeneous habitat than the surrounding water. We tested BEF 94 relationships using a variety of diversity metrics including observed richness, species dominance, 95 and phylogenetic diversity. We focused on heterotrophic bacterial production as our measure of ecosystem function, as it is a key process affecting freshwater bacterial growth that in turn fuels 96 97 the macroscopic food web through their recycling of nutrients bound in organic matter (Cotner & 98 Biddanda, 2002).

99 Methods

100 Lake sampling and sample processing

Surface water samples were collected at 1 meter depth from 4 long-term sampling stations
(Steinman et al., 2008) in mesotrophic Muskegon Lake (Figure S1), which is a freshwater
estuarine lake connecting the Muskegon River and Lake Michigan. These stations included the
mouth of the Muskegon River (43.250133,-86.2557), the channel to Bear Lake (43.238717,-

105	86.299283; a hypereutrophic lake), channel to Lake Michigan (43.2333,-86.3229; oligotrophic
106	lake), and the deepest basin of Muskegon Lake (43.223917,-86.2972; max depth = 24 m).
107	Samples were collected during the morning to early afternoon of 3 days in 2015 (May 12,
108	July 21, & September 30) aboard the R/V W.G. Jackson. All water samples were collected with
109	vertical Van Dorn samplers. Additionally, a vertical profile of temperature (T), pH, specific
110	conductivity (SPC), oxidation-reduction potential (ORP), chlorophyll (Chla), total dissolved
111	solids (TDS), and dissolved oxygen (DO) was constructed at each station to characterize the
112	water column using a calibrated YSI 6600 V2-4 multiparameter water quality sonde (Yellow
113	Springs Instruments Inc.). Total Kjeldahl nitrogen (TKN), ammonia (NH ₃), total phosphorus
114	(TP), and alkalinity (Alk) were processed from whole water while nitrate (NO ₃), phosphate
115	(PO ₄), and chloride (Cl ⁻) were hand filtered using a 60 mL syringe fitted with Sweeny filter
116	holder with a 13 mm diameter 0.45 μm pore size nitrocellulose filters (Millipore) and were
117	determined by standard wet chemistry methods in the laboratory (EPA, 1993).

118 Bacterial abundance by epifluorescence microscopy

119 Lake surface water samples were processed within 2-6 hours of their collection for determination 120 of heterotrophic bacterial abundance. Samples (5 mL) were preserved with 2% formalin and 1 121 mL subsamples were stained with acridine orange stain and filtered onto black 25 mm $0.2 \,\mu m$ 122 pore size polycarbonate filters (Millipore) at a maximum pressure of 0.1 Bar or 1.5 PSI. Prepared 123 slides were stored frozen until enumeration by standard epifluorescence microscopy at 1000x 124 magnification under blue light excitation (Hobbie et al. 1977). Bacteria within the field of view 125 $(100 \ \mu m \ x \ 100 \ \mu m)$ that were not associated with any particles were counted as free-living 126 bacteria, whereas bacteria that were on particles were counted as particle-associated. Sample 127 filtration may bias counts due to free-living or particle-associated cells being hidden on the

underside of particles, , free-living bacteria settling on top of particles, or particle-associated
cells dislodging. In the absence of any quantitative studies that have rigorously addressed this
issue, we have assumed the net effect of these opposing methodological biases to be negligible in
the present study.

132 Heterotrophic bacterial production measurements

Community-wide heterotrophic bacterial production was measured using [³H] leucine
incorporation into bacterial protein in the dark (Kirchman et al. 1985; Simon and Azam, 1989).

135 At the end of the incubation with $[^{3}H]$ -leucine, cold trichloroacetic acid-extracted samples were

filtered onto 3 µm filters that represented the leucine incorporation by particle-associated

137 bacteria (>3.0 μ m). Each filtrate was collected and filtered onto 0.2 μ m filters and the activity

138 therein represented incorporation of leucine by free-living bacteria (>0.2 μ m-<3 μ m). Measured

139 leucine incorporation during the incubation was converted to bacterial carbon production rate

140 using a standard theoretical conversion factor of 2.3 kg C per mole of leucine (Simon and Azam,

141 1989). Per-capita heterotrophic production was estimated by dividing heterotrophic production

142 by the cell counts measured in each fraction.

143 Preservation of bacterial filters in the field

144 Microbial biomass for the particle-associated (> $3 \mu m$) fraction and the free-living ($3-0.22 \mu m$)

fraction) bacterial fraction was collected by sequential in-line filtration on 3 µm isopore

146 polycarbonate (TSTP, 47 mm diameter, Millipore, Billerica, MA, USA) and 0.22 µm Express

- 147 Plus polyethersulfone membrane filters (47 mm diameter, Millipore, MA, USA). We used 47
- 148 mm polycarbonate in-line filter holders (Pall Corporation, Ann Arbor, MI, USA) and an E/S
- 149 portable peristaltic pump with an easy-load L/S pump head (Masterflex®, Cole Parmer

Instrument Company, Vernon Hills, IL, USA). The total volume filtered varied from 0.8–2.2 L
with a maximum filtration time of 16 minutes per sample. Filters were submerged in RNAlater
(Ambion) in 2 mL cryovials, frozen in liquid nitrogen and transferred to a -80°C freezer until
DNA extraction.

154 DNA extraction, sequencing and processing

155 DNA extractions were performed using an optimized method based on the AllPrep

156 DNA/RNA/miRNA Universal kit (Qiagen; McCarthy et al., 2015; details in supplementary

methods). Extracted DNA was sequenced using Illumina MiSeq V2 chemistry 2×250 (500

158 cycles) of dual index-labelled primers that targeted the V4 hypervariable region of the 16S rRNA

159 gene (515F/806R) (Caporaso et al., 2012; Kozich et al., 2013) at the Microbial Systems

160 Laboratories at the University of Michigan Medical School in July 2016. RTA V1.17.28 and

161 MCS V2.2.0 software were used to generate data. Fastq files were submitted to NCBI sequence

162 read archive under BioProject accession number PRJNA412984. We analyzed the sequence data

using MOTHUR V.1.38.0 (seed = 777; Schloss et al., 2009) based on the MiSeq standard

164 operating procedure accessed on 3 November 2015 and modified with time (see data

165 accessibility and supplemental methods). For classification of operational taxonomic units

166 (OTUs), a combination of the Silva Database (release 123; Quast et al., 2013) and the freshwater

167 TaxAss 16S rRNA database and pipeline (Rohwer et al., 2017, accessed August 18, 2016). All

168 non-bacterial and chloroplast sequences were pruned out of the dataset and replicate samples

169 were merged by summing sample sequencing read counts using the *merge_samples* function

170 (phyloseq). A batch script for our protocol can be found in this project's GitHub page at

171 <u>https://github.com/DenefLab/Diversity_Productivity/blob/master/data/mothur/mothur.batch.taxa</u> 172 <u>ss</u>.

173 Estimating Diversity

174 To get the best estimate of each diversity metric, each sample was subsampled to 6,664 175 sequences (the smallest library size) with replacement and were averaged over 100 trials. 176 Observed richness, Shannon entropy, and inverse Simpson's index were calculated using the 177 diversity function within the vegan (Oksanen et al., 2013) R package via the estimate richness 178 function in the phyloseq (McMurdie and Holmes, 2013) R package. Simpson's Evenness was 179 calculated by dividing the inverse Simpson's index by the observed richness (Magurran, 2004). To calculate phylogenetic diversity, we first removed OTUs that had a count of 2 sequences or 180 181 less throughout the entire dataset, as these are more prone to be artefacts originating from 182 sequencing errors or the OTU clustering algorithm. Representative sequences of each of the 183 1,891 remaining OTUs were collected from the aligned fasta file produced within mothur, and 184 header names in the mothur output fasta file were modified using bbmap (Bushnell, 2016) to 185 only include the OTU name. A phylogenetic tree was created with FastTree using the GTR+CAT 186 (general time reversible) model (Price et al., 2010). Mismatches between the species community 187 data matrix and the phylogenetic tree were checked with the match.phylo.comm command 188 (picante). Finally, both abundance-unweighted and -weighted phylogenetic diversity was 189 estimated using specifications described in the next paragraph with the picante R package. 190 The most common phylogenetic diversity (PD) measure is Faith's PD (Faith, 1992), 191 however, this metric is very strongly correlated with species richness (Figure S2). Instead, the 192 mean pairwise phylogenetic distance (or MPD) was calculated (ses.mpd function in the Picante 193 R package (Kembell et al., 2010), null.model = "independentswap"). The MPD measures the

194 average phylogenetic distance between all combinations of two taxa pulled from the observed 195 community and compares it with a null community of equal richness pulled from the gamma 196 diversity of all the samples (see supplemental methods for more details). Values higher than zero 197 indicate phylogenetic evenness or overdispersion (higher phylogenetic diversity) while values less than zero indicate phylogenetic clustering (lower diversity) or that species are more closely 198 199 related than expected according to the null community (Kembel, 2009). Thus, this phylogenetic 200 metric is relative. From here, we will refer to the SES_{MPD} as the "phylogenetic diversity" for 201 simplicity and clarity.

202 Statistical analysis

203 Further analysis of sequence data was performed in R version 3.4.2 (R Core Team 2017; 204 see supplemental methods for more details). To test which variable(s) were the best predictors of 205 community and per-capita heterotrophic production, we performed variable selection via a lasso 206 regression (using the *glmnet* R package, alpha = 1, and lambda.1se as the tuning parameter 207 (Friedman et al., 2010)) on all of the environmental, biodiversity, and principal component 208 variables. To further validate the lasso regression results, we performed ordinary least squares 209 (OLS) regressions on all variables, including the principal components (PCA) of the euclidean 210 distances of the environmental data. We used the Akaike information criterion (AIC) (accessed 211 with the *broom::glance()* command) to select the best performing OLS regression model.

212 Data and code availability

Original fastq files can be found on the NCBI sequence read archive under BioProject accession
number PRJNA412984. Processed data and code can be found on the GitHub page for this

- 215 project at https://deneflab.github.io/Diversity Productivity/ with the main analysis at
- 216 https://deneflab.github.io/Diversity Productivity/Final Analysis.html.
- 217 Results

218 Free-living communities had more cells and higher community-wide heterotrophic production

219 but particle-associated communities had higher per-capita heterotrophic production

- 220 We observed an order of magnitude more cells per milliliter ($p = 1 \times 10^{-6}$, Figure 1A) and
- ~ 2.5 times more community-wide heterotrophic production in the free-living fraction (p = 0.024,
- Figure 1B). However, when calculated per-capita, particle-associated bacteria were on average
- an order of magnitude more productive than free-living bacteria ($p = 7 \times 10^{-5}$, Figure 1C).
- 224 Particle-associated and free-living cell abundances in samples taken from the same water sample
- did not correlate (Figure S3A). Heterotrophic production between corresponding free-living and
- 226 particle-associated fractions from the same water sample were positively correlated for both
- community (Adjusted $R^2 = 0.40$, p = 0.017; Figure S3B) and per-capita production rates
- 228 (Adjusted $R^2 = 0.60$, p = 0.003; Figure S3C).

Particle-associated communities are more diverse in terms of observed richness and Shannon Entropy while free-living communities are more phylogenetically diverse

Across all samples, particle-associated bacterial communities were more diverse than free-living communities when considering richness and Shannon entropy (Figures 2A & S4A), but similar in the inverse Simpson's index and Simpson's evenness (Figure 2B & S4B).

Particle-associated bacterial community richness was always higher than in free-living
communities and was maintained across the four sampling stations in the lake (Figure S5A).

236 Particle-associated samples at the river and Bear lake stations were on average more OTU-rich 237 than the outlet to Lake Michigan and the Deep stations. Additionally, the river station had almost 238 twice the inverse Simpson's value as compared with all other lake stations (Mean inverse 239 Simpson Indices: Outlet = 23.6; Deep = 23.7; Bear = 35.3; River = 59.1; Figure S5A). 240 Particle-associated communities were more phylogenetically clustered than free-living 241 communities based on unweighted phylogenetic diversity (p = 0.01, Figure 3A). Compared to 242 other particle-associated samples, the outlet station that connects to oligotrophic Lake Michigan 243 had a much larger unweighted phylogenetic diversity, indicating phylogenetic overdispersion 244 (Figure S5A). Nevertheless, no sample across the entire dataset differed significantly from the 245 null model with a significance threshold p-value of 0.05. There was no difference between 246 weighted phylogenetic diversity in particle-associated versus free-living communities (Figure 247 S5A).

248 Diversity-Productivity relationships are only observed in particle-associated communities

249 There was a strong, positive, linear BEF relationship between community-wide (Figures 250 2C-D & S4C-D) and per-capita (Figures 2E-F & S4E-F) heterotrophic productivity and all 251 richness and evenness diversity metrics in the particle-associated communities, while no BEF 252 relationships were observed for the free-living communities. The inverse Simpson's index explained the most amount of variation in community-wide (Figure 2D; Adjusted $R^2 = 0.69$. p =253 5 x 10⁻⁴) and per-capita (Figure 2F; Adjusted $R^2 = 0.69$, p = 0.001) heterotrophic production. 254 255 These results are robust across a range of minimum OTU abundance filtering thresholds (see 256 Sensitivity Analysis of Rare Taxa in the supplemental methods and Figure S6) and hold up for all threshold levels in Inverse Simpson and for richness until removal of 25 counts (community-257 258 wide heterotrophic production) and 15 counts (per-capita heterotrophic production). When the

259 particle-associated and free-living samples were combined together into one linear model to test 260 an overall relationship between diversity and productivity, there was no relationship (richness: p = 0.86; Shannon: p = 0.99; Inverse Simpson: p = 0.36), with the exception of a weak correlation 261 for Simpson's Evenness (Adjusted $R^2 = 0.12$, p = 0.054). However, when particle-associated and 262 263 free-living samples were combined together into one linear model to test an overall relationship 264 between diversity and productivity, there was a strong relationship with observed richness (Adjusted $R^2 = 0.63$, $p = 3 \times 10^{-6}$), which broke down as evenness was weighed more (Figure S7: 265 Shannon: Adjusted $R^2 = 0.52$, 6 x 10⁻⁵; Inverse Simpson: Adjusted $R^2 = 0.48$, $p = 2 \times 10^{-4}$; 266 267 Simpson's Evenness: p = 0.48).

268 Phylogenetic diversity correlated with per-capita heterotrophic production but not with 269 community-wide production

Abundance-weighted phylogenetic diversity was not correlated with community or percapita heterotrophic production (Figure S8C - S8D) and therefore no further analyses were
performed with this diversity metric.

273 There was a moderate, negative, linear relationship when particle-associated and free-274 living samples were combined together into one linear model to test an overall relationship 275 between unweighted phylogenetic diversity and observed richness (Figure 3B; Adjusted $R^2 =$ 276 0.35, p = 0.001). To further validate this trend, randomized communities were generated with an 277 equal richness as the samples but with OTUs randomly picked across the dataset. The 278 unweighted phylogenetic diversity was then calculated and regressed against each the randomized richness and there was no relationship (Figure S9: Adjusted $R^2 = -0.02$. p = 0.44). 279 280 verifying the negative relationship in the actual samples. When particle-associated and free-281 living samples were individually run in separate linear models to test for habitat-specific

relationships between unweighted phylogenetic diversity and observed richness, no trend was found in either particle-associated or free-living models (Figure 3B; Particle: Adjusted $R^2 = 0.14$, p = 0.12; Free = Adjusted $R^2 = -0.10$, p = 0.97). In other words, particle-associated and freeliving diversities did not have individual effects on community-wide or per-capita heterotrophic production but rather, all samples were necessary for a correlation between per-capita heterotrophic production and unweighted phylogenetic diversity. There was no correlation between phylogenetic diversity and community-wide

heterotrophic production (Figure 3C). However, a negative correlation was found when particleassociated and free-living samples were combined into one linear model to test an overall relationship between unweighted phylogenetic diversity and per-capita heterotrophic production (Figure 3D; $R^2 = 0.42$, $p = 5 \times 10^{-4}$). Therefore, these two results in combination indicated that communities composed of more phylogenetically similar OTUs had a higher per-capita heterotrophic production rate.

295 Diversity, and not environmental variation, is the best predictor of particle-associated

296 *heterotrophic production*

297 To identify variables that best predicted community-wide and per-capita heterotrophic 298 production (i.e. remove variables that were correlated with each other and/or uninformative 299 variables), we performed lasso regression with all samples and individually with particle-300 associated and free-living samples. For prediction of community-wide heterotrophic production, 301 only the inverse Simpson's index was selected for particle-associated samples whereas pH and 302 PC5 were selected for free-living samples, and no variables were selected when all samples were 303 included in the lasso regression. In contrast, for per-capita heterotrophic production, temperature 304 and the inverse Simpson's index were selected for particle-associated samples whereas pH was

the only predictor for free-living samples, and observed richness was the only predictor for all
samples (plotted in Figure S7A). Therefore, the best model for particle-associated microhabitats *always* included inverse Simpson's index whereas free-living samples only included
environmental variables, such as pH.
To further verify that there were no confounding impacts of seasonal and environmental
variables on community-wide and per-capita heterotrophic production, we performed ordinary

311 least square (OLS) regressions and a dimension-reduction analysis of the environmental

312 variables through a principal components analysis (Table S1 & S2; Figure S10). Specifically, the

313 first 2 environmental axes explained \sim 70% of the environmental variation in the sampling sites

314 (Figure S10). Next, we predicted community-wide and per-capita heterotrophic production with

all environmental variables and the first six principal components as predictor variables with

316 individual particle-associated and free-living samples, and combined (*i.e.* all samples) models

317 (Table S1 & S2). The best single predictor of community-wide heterotrophic production was

318 Inverse Simpson for particle-associated samples (AIC = 74.34; $R^2 = 0.69$), pH for the free-living

samples (AIC =98.43; $R^2 = 0.49$, p = 0.006), and pH for all samples (AIC = 192.16; $R^2 = 0.35$)

320 (Table S1). Whereas, the best single predictor of per-capita heterotrophic production was Inverse

321 Simpson for particle-associated samples (AIC = 8.29; $R^2 = 0.69$), pH for the free-living samples

322 (AIC = -2.39; $R^2 = 0.78$), and observed richness for all samples (AIC = 24.72; $R^2 = 0.63$) (Table

323 S2). Thus, the OLS regressions are in agreement with the lasso regressions.

324 Discussion

We examined bacterial biodiversity-ecosystem function (BEF) relationships in relation to two microhabitats within freshwater lakes: particulate matter and the surrounding water. First, we found that community-wide and per-capita heterotrophic productivity of particle-associated but not free-living bacterial communities showed a positive, linear BEF relationship with both
richness and evenness contributing. Second, particle-associated heterotrophic production was
better explained by diversity (*i.e.* inverse Simpson's index) than by environmental parameters.
Third, across both particle-associated and free-living communities, higher richness was
associated with lower phylogenetic diversity which, in turn, was associated with higher percapita heterotrophic bacterial production but not associated with community-wide heterotrophic
production.

335 Microbes have a large diversity of metabolisms and the choice of which to focus on may 336 inherently affect the BEF relationship. Indeed, "narrow" metabolic processes that are catalyzed 337 by a small subset of taxa within bacterial communities, such as nitrogen and sulfur cycling, have 338 been found to display BEF relationships (Levine et al., 2011; Delgado-Baquerizo et al., 2016). In 339 contrast, for "broad" processes that are performed by the majority of taxa within a bacterial 340 community, such as heterotrophic production (focus of the present study) and respiration, 341 functional redundancy appears to weaken or remove the presence of BEF relationships (Griffiths 342 et al., 2000; Langenheder et al., 2006; Wertz et al., 2006; Levine et al., 2011; Peter et al., 2011, 343 Galand et al, 2015). These findings are in line with the absence of a BEF relationship for free-344 living bacterial communities in our study.

However, the above results and hypotheses surrounding narrow and broad processes are in conflict with the strong BEF relationship we observed in particle-associated bacterial communities. As such, our study signifies that microhabitats or habitat heterogeneity can influence bacterial BEF relationships, in agreement with previous research in eukaryotic systems across a variety of ecosystems (Tylianakis et al., 2008; Cardinale 2011; Zeppilli et al., 2016). A study using controlled stream mesocosms by Cardinale (2011) found that niche complementarity

effects are particularly important in more heterogeneous environments. In more heterogeneous
streams, algal populations used different nutrients and avoided direct competition for resources,
resulting in unique species occupying distinct and local microhabitats.

Our observational study could not directly test the role of niche complementarity effects. However, support for niche complementarity alone or in combination with species selection as the mechanism underlying the BEF relationship in particle-associated habitats is provided by the inverse Simpson's index being the strongest predictor of community-wide heterotrophic production. As the inverse Simpson's index represents a measure of species dominance, it is strongly affected by the evenness of abundant species. Communities that are more even have an increased likelihood for complementary species to neighbor each other.

361 In our study, there are several reasons why heterogeneity of particulate matter may allow 362 for niche complementarity effects to occur and result in BEF relationships. First, particles have a 363 two-fold layer of heterogeneity as they (A) may be composed of different substrates such as 364 organic matter from terrestrial or aquatic environments and either heterotrophically or 365 photosynthetically derived (Grossart, 2010), and (B) each particle may comprise 366 physicochemical gradients as well (Simon et al., 2002). Second, microbial interactions are more 367 likely to occur between cells aggregated on particles as the interaction distances are usually 368 much shorter (Cordero & Datta, 2016) compared to free-living bacterial cells. In fact, genes 369 mediating social interactions, such as motility, adhesion, cell-to-cell transfer, antibiotic 370 resistance, mobile element activity, and transposases, have been found to be more abundant in 371 marine particles than compared to the surrounding water (Ganesh et al., 2014). 372 The importance of niche complementarity in microbial communities can also be deduced

373 from recent findings in the field of microbiology, which have shown widespread metabolic

374 interdependence among bacterial community members. First, a 2016 study that reconstructed 375 2,540 draft genomes of microbes found that most bacteria specialize in one particular step in 376 sulfur and nitrogen pathways and "hand-off" their metabolic byproducts to nearby organisms 377 (Anantharaman et al., 2016). It is likely that metabolic hand-offs, a specific form of bacterial 378 facilitation, will occur more in particle-associated compared to free-living communities. Indeed, 379 Datta and Cordero's (2016) work on model marine particles found that taxa that are incapable of 380 breaking down particles and instead rely on carbon produced by primary degraders thrive in later 381 phases of particle degradation. Second, Lilja and Johnson (2016) demonstrated that different 382 microbial cell types eliminate inter-enzyme competition by cross feeding, which increases 383 substrate consumption by allowing intracellular resources to go towards a single enzyme, rather 384 than having two enzymes that perform two separate reactions compete for nutrients within a cell. 385 Third, some bacteria are unable to grow in laboratory cultures unless they are in co-culture with 386 other organisms, which may be due to metabolic hand-offs or to growth factors such as 387 siderophores or catalases (Stewart, 2012).

388 Taking into account that (i) closely related taxa share more genes and metabolic 389 pathways than distantly related bacterial taxa (Konstantinidis & Tiedje, 2005; Kim et al., 2014) 390 and (ii) bacteria commonly have incomplete metabolic pathways, we propose that closely related 391 bacteria may be most likely to hand-off their metabolic byproducts. This may be why we found 392 that new taxa added to the community represented taxonomic clades similar to or already present 393 in the community, and that these communities with lower phylogenetic diversity (relative to 394 expected) had higher productivities. This result is in line with a recent study using freshwater 395 algae and vascular plants that reject predictions from the phylogenetic limiting similarity 396 hypothesis (Narwani et al., 2017). However, recent bacteria-focused studies from Russel et al.

397 (2017) and Venail and Vives (2013) found higher levels of antagonism (Russel et al., 2017) or 398 more bacterial productivity (measured through colony forming units per mL; Venail and Vives, 399 2013) with more distantly related taxa. Both of these studies were performed in the lab with r-400 selected (*i.e.* copiotrophic) species grown in stable, warm, aerobic, agar plate conditions. Thus, 401 Venail and Vives (2013) and Russel et al. (2017) inherently break up potential interdependent 402 relationship between bacteria either by creating artificial communities or evaluating pairwise 403 interactions and remove the natural effect of spatial heterogeneity, environmental fluctuations, 404 and the rest of the bacterial community. As a result, future studies on bacterial interactions and 405 the role of phylogenetic diversity will need to maintain natural structure and complexity in 406 bacterial communities.

407 Previous studies on bacterial BEF relationships have used three approaches to manipulate 408 bacterial diversity (Krause et al., 2014): (1) dilution to extinction in which complex communities 409 are diluted to more simple communities (Wertz et al., 2006; Peter et al., 2011; Philippot et al., 410 2013; see Roger et al., 2016 for a review of this approach), (2) manually assembled communities 411 in culture (Tan et al., 2012; Salles et al., 2009), or (3) natural or manipulated environmental 412 communities (Griffiths et al., 2000; Levine et al., 2011; Galand et al., 2015). In this study, we 413 took the latter approach. In contrast to the other two approaches, this had the benefit of (1)414 maintaining high diversity with both abundant and rare taxa, (2) including both r- and k-selected 415 organisms, (3) allowing natural environmental and ecological forcings to shape the community, 416 and (4) evaluating BEF relationships in diversity and productivity ranges that reflect natural 417 communities. Admittedly, three inherent weaknesses to our approach were that (1) we cannot 418 measure all the potential variables that influence heterotrophic productivity, (2) we only have 24 419 samples for a 12 versus 12 study, and (3) our analysis is correlational and we cannot manipulate

the system to unequivocally separate causes and consequences of bacterial production. For
example, strong correlations with heterotrophic production and pH in the free-living samples
(Table S1 & S2) may point to pH being a consequence of rather than a cause of varying
production levels. This is because bacterial production and bacterial respiration are positively
correlated (del Giorgio & Cole, 1998) and with increased respiration, pH may decrease due to
CO₂ dissolution into the water.

426 Finally, we acknowledge that the typical sampling of bacterial communities and analysis 427 using DNA sequencing reflects all bacteria present in the community and not necessarily only 428 the *active* members of the community contributing to a given ecosystem function. In freshwater 429 systems, up to 40% of cells from the total community have been shown to be inactive or dormant 430 (Jones and Lennon, 2010). If one were to sample plant communities in an analogous way to 431 bacterial systems, one would measure the diversity of all the above- and below-ground plant 432 biomass including seeds, pollen, and detrital biomass. In this context, it is interesting to reflect 433 on the richness in absence of function (i.e. x-intercept) of the observed BEF relationship which is 434 295. This could be interpreted as a baseline level of 295 inactive (either dead or dormant) 435 bacterial OTUs and in the case of particulate material, environmental DNA adhered the 436 substrate, in the community. This value represents 35-85% of the total particle-associated 437 communities and may obscure the actual diversity (and BEF relationship) of the bacterial 438 community (Carini et al., 2016).

In conclusion, we show that increased bacterial diversity, especially when measured by the inverse Simpson's index, leads to increased community-wide and per-capita bacterial heterotrophic production in particle-associated but not in free-living communities. As such, we extend the validity of principles of the impact of microhabitat on BEF relationships from

443	Eukarya to Bacteria, contributing to current efforts to integrate ecological theories into the field
444	of microbiology (Barberán et al., 2014). Additionally, we show that communities with low
445	phylogenetic diversity have higher per-capita heterotrophic production rates, which we
446	hypothesize to be related to genome evolutionary patterns specific to bacteria that result in the
447	dependence on metabolic hand-offs. Differences between Bacteria and Eukarya in patterns of
448	genome evolution and its ecological consequences, as well as in how active and dormant
449	fractions of the community are measured need to be taken into account when trying to integrate
450	BEF studies across all domains of life.
451	
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613 Figure 1: Bacterial counts, community-wide and per-capita heterotrophic production differ

614 between microhabitats. Particle-associated and free-living samples were taken from four

- 615 stations within Muskegon Lake during 2015 in May, July, and September. (A) Free-living
- bacteria were an order of magnitude (10^6 cells/mL) more abundant compared to particle-
- 617 associated bacteria. (B) Free-living bacteria were more heterotrophically productive compared to
- 618 particle-associated bacteria. (C) Particle-associated bacteria were disproportionately
- 619 heterotrophically productive per cell compared to free-living bacteria.
- 620

621 Figure 2: Richness and Inverse Simpson correlate with heterotrophic productivity. Top

622 **panel:** Differences in (A) the observed richness and (B) the inverse Simpson diversity metrics

623 between particle-associated (orange) and free-living (blue) habitats. Middle panel: Biodiversity

and community-wide heterotrophic production (ugC/L/day) relationships. The y-axis between

625 (C) and (D) is the same, however, the x-axis represents (C) richness and (D) Inverse Simpson.

- **Bottom panel:** Biodiversity and log₁₀(per-capita heterotrophic production) (ugC/cell/day)
- 627 relationships. The y-axis between (E) and (F) is the same, however, the x-axis represents (E)

628 richness and (F) Inverse Simpson's index. Solid lines represent ordinary least squares models for

629 the free-living (blue) and particle associated (orange) communities. All R^2 values represent the

- 630 adjusted R^2 from an ordinary least squares model.
- 631

632 Figure 3: The relationship between heterotrophic productivity and unweighted

633 **phylogenetic diversity** (SES_{MPD}; ses.mpd function in *picante* with null.model =

634 "independentswap"). Positive phylogenetic diversity values represent communities that are

635 phylogenetically diverse (*i.e.* overdispersed) while negative phylogenetic diversity values

636 represent communities that are phylogenetically less diverse (*i.e.* clustered) compared to a null

637 community with equal species richness. (A) Phylogenetic diversity was higher in free-living

638 communities compared to particle-associated communities. (B) Negative relationship between

- 639 observed richness and phylogenetic diversity. (C) Absence of phylogenetic diversity and
- 640 community bulk heterotrophic production (µgC/L/day) relationships. (**D**) Negative phylogenetic
- 641 diversity and per-capita heterotrophic production (µgC/cell/day) relationship. Linear models in
- 642 figure **B** and **D** represent trends over all samples.

644 Figure 1



Figure 2 646



649 Figure 3

