- 1 Title: A simple test identifies selection on complex traits in breeding and experimentally-evolved
- 2 populations
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30 Abstract: Important traits in agricultural, natural, and human populations are increasingly being shown 31 to be under the control of many genes that individually contribute only a small proportion of genetic 32 variation. However, the majority of modern tools in quantitative and population genetics, including 33 genome wide association studies and selection mapping protocols, are designed to identify individual 34 genes with large effects. We have developed an approach to identify traits that have been under 35 selection and are controlled by large numbers of loci. In contrast to existing methods, our technique 36 utilizes additive effects estimates from all available markers, and relates these estimates to allele 37 frequency change over time. Using this information, we generate a composite statistic, denoted  $\hat{G}$ , 38 which can be used to test for significant evidence of selection on a trait. Our test requires pre- and post-39 selection genotypic data but only a single time point with phenotypic information. Simulations demonstrate that  $\hat{G}$  is powerful for identifying selection, particularly in situations where the trait being 40 41 tested is controlled by many genes, which is precisely the scenario where classical approaches for 42 selection mapping are least powerful. We apply this test to breeding populations of maize and chickens, 43 where we demonstrate the successful identification of selection on traits that are documented to have 44 been under selection.

## 45 Introduction

46 Quantitative traits encompass an inexhaustible number of phenotypes that vary in populations, from characters such as height (Yang et al. 2010) to weight (Barsh et al. 2000) to disease resistance (Poland et 47 48 al. 2009). These types of traits are so essential for agriculture and human health that the entire field of 49 quantitative genetics revolves around their study (Plomin et al. 2009; Wallace et al. 2014). However, the 50 nature of quantitative traits makes it difficult to study their genetic basis; for nearly a century, scientists 51 have modeled quantitative traits by assuming that their underlying control involves many loci each 52 contributing a very small proportion to genetic variance (Fisher 1918), the so-called 'infinitesimal 53 model'. Therefore, conducting studies with enough power to identify a substantial proportion of the loci 54 that contribute to a quantitative trait requires a massive sample size, imposing financial and logistical 55 barriers. However, this model of quantitative trait variation does an excellent job when predicting 56 important characteristics such as response to selection (Visscher et al. 2008). For instance, genomic 57 prediction methodologies (Meuwissen et al. 2001) allow the breeding value and/or phenotype of individuals to be predicted with remarkable precision from genomic information alone. 58

59 The models of quantitative genetics have had a less dramatic impact on studies of evolutionary 60 adaptation, where genomes are often scanned to identify adaptive loci with large effects (Akey 2009). 61 Positive selection on such loci leaves behind pronounced signatures, deemed "selective sweeps". There 62 is an abundance of evidence for such sweeps in humans (Sabeti et al. 2007), natural populations 63 (Schweizer et al. 2016), livestock (Qanbari and Simianer 2014), and crops (Hufford et al. 2012; Qanbari 64 and Simianer 2014; Schweizer et al. 2016). However, alternative forms of selection, including purifying 65 selection against new mutations (Lawrie et al. 2013), selection on standing variation (Garud et al. 2015), or selection on many loci of small effect (Turchin et al. 2012), rarely leave these discernible signatures at 66 67 individual loci. Evidence of these forms of selection can be difficult to identify. When they can be found, 68 it is often through the pooling of weak evidence at individual loci into a stronger signal across a class of 69 loci. For example, Beissinger et al (2016) demonstrated the importance of purifying selection during 70 maize evolution by combining evidence from all maize genes. An approach implemented by Berg and 71 Coop (2014) tests for evidence of selection on a quantitative trait by evaluating allele frequencies at all 72 loci that have previously been implicated by genome-wide association studies (GWAS) as putatively 73 associated with that trait. This approach has since been used to test for selection on multiple human 74 traits, including height (Mathieson et al. 2015) and telomere length (Hansen et al. 2016).

75 In studies of model organisms or agricultural species, large collections of previously identified "GWAS hits" are not as abundant as in humans, on which the Berg and Coop (2014) method depends. 76 77 This is due in part to the more modest sample sizes that tend to be used in experimental settings 78 compared to clinical studies, often combined in large-scale meta-analyses (Evangelou and Ioannidis 79 2013). Conversely, genotypic data across at least two time points are often readily available for model 80 and agricultural species. Due to improving technologies for sequencing ancient DNA (Mathieson et al. 81 2017; Berg et al. 2017), and/or by leveraging populations that have benefitted from excellent historical 82 record-keeping (Kong et al. 2017), genetic data with a temporal component is increasingly available in 83 humans. We have developed a test for selection on complex traits that leverages such genotype-over-84 time data. Our test depends on the relationship between the change in allele frequency between two 85 generations and the estimated additive effect of the same allele, computed for every genotyped locus. 86 We use these values to compute an estimate of the direction of genetic gain, which can be shown to be 87 additive across all loci considered. Our estimate lends itself to a simple permutation-based test for 88 significance that avoids many of the demographic history and population structure related caveats that complicate determining significance when testing for selection (de Villemereuil et al. 2014). The method 89 90 utilizes additive effects estimates for each locus calculated simultaneously, using shrinkage-based 91 methods that have been honed over the past 15 years for the purpose of genomic selection and 92 prediction (Campos et al. 2013). Therefore, this test can be considered analogous to reverse genomic 93 selection; rather than using predictions of breeding value to drive selection and hence future changes in 94 allele frequency, we use the same data coupled with knowledge of past changes in allele frequency to 95 make inferences regarding which traits were effectively under selection in the past. Interestingly, we 96 find by simulation that this approach is most powerful for identifying selection on traits controlled by 97 many loci of small effect, which is exactly the situation where other tests for selection and/or 98 association are least powerful.

99 Herein, we first motivate and describe our test for selection on complex traits, which we call  $\hat{G}$ . 100 Then, we perform simulations demonstrating the validity of the method and explore the situations 101 where it is most and least powerful. Finally, we apply the method to breeding populations of maize and 102 chicken. In both of these experimental situations, we successfully identify the traits that are known to 103 have been selected. Collectively, our results demonstrate that this approach may be leveraged to 104 identify novel traits or component-traits that may be used to inform future breeding decisions and/or

for enhanced historical, ecological, and basic scientific understanding. Software for implementing this
 test can be found in the accompanying Github repository, <u>github.com/timbeissinger/ComplexSelection</u>.

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# 108 <u>Results</u>

### 109 **Theoretical Motivation:**

Assume that a trait is fully controlled by additive di-allelic loci j = 1, ... m. Then the genotypic value,  $a_j$ , of an allele at locus j, is equal to its gene substitution effect,  $\alpha_j$ . Based on this equivalency, the mean phenotypic effect,  $M_j$ , attributable to the locus is given by  $M_j = \alpha_j(2p_j-1)$ , where  $p_j$  is the frequency of the reference allele at this locus. It follows that the change in the population mean resulting from selection on this locus, what we may consider the locus-specific response to selection, is given by

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$$R_j = M_{j1} - M_{j0} = \alpha_j (2p_{j1} - 1) - \alpha_j (2p_{j0} - 1) = 2\alpha_j (p_{j1} - p_{j0})$$

116 where  $p_{j0}$  is the allele frequency before selection and  $p_{j1}$  is the allele frequency after selection. Define  $\Delta_j$ 117 =  $(p_{j1}-p_{j0})$ , leading to  $R_j = 2 \Delta_j \alpha_j$ . Based on our earlier assumption of complete additivity, summing over all 118 m loci provides a genome-wide estimate of the response to selection (Falconer and Mackay 1996):

$$\hat{R} = 2\sum_{j=1}^{m} \Delta_j \alpha_j \tag{1}$$

Strictly speaking, since relative effect sizes may change each generation with changing allele frequencies throughout the genome, (1) is applicable for a single generation. However, under the assumption of many loci affecting a trait, (1) may approximately apply for many generations of selection. This estimate of selection response also naturally arises from the logic of random regression BLUP (RRBLUP) (Meuwissen *et al.* 2001). Here, a model is used

$$y = Xb + Zs + e , \qquad (2)$$

where y is a vector of length n containing phenotypes for a specific trait, b are fixed effects,  $s \sim N(0, I\sigma_s^2)$  is the vector of length m containing additive SNP effects at m loci;  $e \sim N(0, I\sigma_e^2)$  is the vector of random residual terms, and  $\sigma_s^2$  and  $\sigma_e^2$  are the corresponding variance components. X and Zare incidence matrices linking observations in y to the respective levels of fixed effects in b and random SNP effects in s. In more detail, Z is an  $n \times m$  matrix where element  $z_{ij}$  contains the genotype of individual *i* at SNP locus *j*. Since such models are invariant with respect to linear transformations of the allele coding (Strandén *et al.* 2011), we may use the notation  $z_{ij} = 0, \frac{1}{2}, or 1$ , standing for zero, one, or two copies of the reference allele. Note that with this coding,  $s_j$  is equivalent to  $2\alpha_j$  in the coding above, since it reflects the contrast between the two homozygous genotypes at locus *j*. Due to the equivalence of genomic BLUP (GBLUP; VanRaden 2008) and RRBLUP (Endelman 2011), it is possible to calculate genomic breeding values of the genotyped individual as  $\hat{u} = Z\hat{s}$ , where  $\hat{s}$  are the solutions for the SNP effects obtained using RRBLUP with model (2).

Assume now further that individuals in the vector y can be assigned to g discrete generations and that the individuals of the oldest generation come first and the individuals of the last generation come latest. We then can define a  $g \times n$  matrix

$$L = \begin{bmatrix} l_1 & \cdots & 0\\ \vdots & \ddots & \vdots\\ 0 & \cdots & l_g \end{bmatrix},$$

140 where  $l_p$  is a row vector of length  $n_p$ , which is the number of individuals in generation p, of which all elements are  $1/n_p$ . With this, a vector  $\bar{u}$  of length g reflecting average breeding values per generation 141 can be calculated as  $\bar{u} = L\hat{u}$ , and estimated selection response results as  $\hat{R} = \bar{u}_a - \bar{u}_1$ . Now, 142  $\bar{u} = L\hat{u} = LZ\hat{s}$ , where LZ is a  $g \times m$  matrix in which element p, j reflects the average allele frequency of 143 144 the reference allele at SNP j in generation p. The allele frequency change between generation 1 and 145 generation g can be obtained as a linear contrast between the first and the last row of this matrix as  $\Delta = k'LZ$  where k is a vector of length g with  $k_1 = -1$ ,  $k_q = 1$ , and all other elements are zero. Finally, 146 147 the selection response can be written as  $\hat{R} = \Delta \hat{s}$ , which is identical to equation (1), given that s is equivalent to  $2\alpha$ . 148

149 Furthermore, theory suggests that under the assumption that selection intensity is equal for all 150 loci across the genome, the change of allele frequency  $\Delta_i$  should be approximately proportional to the allele effect  $\alpha_i$ , such that for a trait under selection a non-zero correlation between allele frequency 151 152 change and the additive effect of alleles on that trait is expected (Wright 1937). Alternatively stated, (1) emphasizes the temporal component of the Breeder's Equation,  $R = h^2 S$ , where  $h^2$  is the narrow-sense 153 154 heritability of a trait and S is the selection differential. Given a population of individuals with two timepoints of genotypic data, it is simple to compute  $\Delta_i$  for every genotyped locus. Furthermore, the 155 shrinkage methods of genomic prediction (Campos et al. 2013), including Ridge Regression (Endelman 156

157 2011) and GBLUP (VanRaden 2008) allow additive effects,  $\alpha_{j}$ , to be approximated for every genotyped 158 position. For this, a set of individuals genotyped and phenotyped in at least one generation is needed.

A notable benefit of the estimator in (1) is that by leveraging pre- and post-selection data from genotypes rather than from phenotypes, it only requires one generation of phenotyping. Additionally, this suggests that if we consider R a random variable, then given the distribution of R in a scenario without selection, a test of whether or not  $\hat{R}$  is different from zero may be performed. Since  $\hat{R}$  is the genomic response to selection, this is equivalent to testing whether or not a trait has been under selection during the timeframe under study.

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# 166 **Test Statistic and Significance Testing:**

We implemented a permutation-based strategy to test whether or not  $\hat{R}$  is significantly different 167 168 from zero. Genetic drift and selection jointly determine changes in allele frequency,  $\Delta_i$ , but without 169 selection these changes in frequency should not be related to effect size or direction. The reverse is also 170 true; effect sizes,  $\alpha_i$ , are estimated based on a genomic prediction model applied to phenotypes 171 measured in a single panel of individuals, and therefore they are not correlated with changes in allele 172 frequency. While a correlation between minor allele frequency and the magnitude of SNP effects is 173 possible due to estimation error during genomic prediction, without ongoing selection allele frequency 174 should not correlate with the direction of SNP effects. This suggests that a null distribution for  $\hat{R}$  in a no-175 selection scenario may be generated via a permutation approach. Assuming no linkage disequilibrium 176 (LD) between markers, a simple shuffling of  $\Delta_i$  and  $\alpha_i$  can be implemented to generate the desired null distribution. However, LD between markers compromises the applicability of this simplified approach 177 178 for most populations—such an approach overestimates the sample size of the permutation test by 179 treating each marker as an independent observation, while in reality any level of LD between markers 180 leads to fewer independent observations than markers. Therefore, we have employed a semi-181 parametric approach that scales the variance of the permutation test statistic according to the realized 182 extent of LD to alleviate this discrepancy.

183 Let  $\hat{G} = \sum_{j=1}^{m} \Delta_j \alpha_j$ , which is proportional to  $\hat{R}$  as defined in (1). This value, colloquially "*G*-hat", 184 serves as our test statistic. The summation is over all m genotyped markers, and effect sizes are 185 estimated based on genomic prediction using available phenotypes with corresponding genotypes from

any generation. Often, phenotypes from the most recent generation will be the most readily available, 186 but individuals with phenotypes scored in any generation may suffice. To test whether or not the 187 188 observed value of  $\hat{G}$  can be significantly attributed to selection, define **p** to be a vector of length m that is a permutation of the vector  $\mathbf{J} = [1,..,m]$ . A permuted value of  $\hat{G}$  may be obtained via  $\hat{G}_{perm} =$ 189  $\sum_{i}^{m} \Delta_{j} \alpha_{p_{i}}$ . Because  $\Delta_{j}$  and  $\alpha_{p_{i}}$  are no longer indexed to the same locus,  $\hat{G}_{perm}$  does not reflect 190 selection, but instead captures genetic drift over time ( $\Delta_i$  terms) as well as the genetic architecture of 191 192 the underlying trait ( $\alpha_i$  terms). Generating repeated values of  $\hat{G}_{perm}$  through repeated permutations of J therefore generates a null distribution for  $\hat{G}$  which assumes no selection and complete linkage 193 194 equilibrium.

195 The Central Limit Theorem dictates that realizations of  $\hat{G}_{perm}$  are normally distributed with 196 approximate mean  $\overline{\hat{G}_{perm}}$  and standard deviation  $SE(\hat{G}_{perm})$ . Therefore,  $\sigma$ , the underlying standard 197 error of a single-locus estimate for  $\hat{G}_{perm}$  is given by  $\sigma = SE(\hat{G}_{perm})\sqrt{m}$ , where  $SE(\hat{G}_{perm})$  is the 198 observed standard error of  $\hat{G}_{perm}$ . Consider the quantity  $m_{ind}$ , representing the effective number of 199 independent loci. If the standard deviation of  $\hat{G}_{perm}$  were calculated using  $m_{ind}$  independent markers, its 200 expectation would be  $SE_{ind}(\hat{G}_{perm}) = \frac{\sigma}{\sqrt{m_{ind}}}$ . Plugging in the estimate for  $\sigma$  obtained above,

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$$SE_{ind}(\hat{G}_{perm})$$
 becomes  $SE_{ind}(\hat{G}_{perm}) = SE(\hat{G}_{perm}) \sqrt{m/m_{ind}}$ .

In practice, the above implies that to test for selection,  $\hat{G} = \sum_{j=1}^{m} \Delta_j \alpha_j$  may be calculated from data, and then a permuted null distribution for  $\hat{G}$  that assumes linkage equilibrium can be generated. This permutation distribution may then be approximated with a normal distribution, whose variance can be scaled according to the effective number of independent markers,  $m_{ind}$ . We show in the following section that  $m_{ind}$  can be efficiently estimated based on LD-decay. Ultimately, significance may be evaluated by comparing  $\hat{G}$  to a normal distribution with mean  $\overline{\hat{G}_{perm}}$  and standard deviation  $SE(\hat{G}_{perm})\sqrt{\frac{m}{m_{ind}}}$ .

#### 209 Simulations:

We conducted a series of simulations to evaluate the power of the  $\hat{G}$  statistic for identifying selection on complex traits. Genotypic data were simulated with the software program QMSim (Sargolzaei and Schenkel 2009). An overview of our simulation strategy at the most general level is that we simulated

213 selection in a generic species with 1,000 QTL dispersed along ten 100 cM chromosomes, with a total of 214 100,000 equally-spaced markers (10,000 per chromosome). In the first step of each simulation, the total 215 population was established based on 10,000 individuals randomly mating for 5,000 generations. Then, 216 500 males and 500 females were randomly chosen to establish a base population that would undergo 217 selection for 20 generations. Each generation, 1,000 individuals (500 males and 500 females) were 218 permitted to mate out of a population of 5,000, providing a selection proportion of 20%. For each 219 simulation, heritability was set to 0.5. This general scheme encapsulates characteristics of most plant 220 and animal breeding populations, including the large number of progeny typical of plants and the 221 truncation selection protocol often associated with animal breeding and/or selection in the wild. 222 Additional details regarding the simulated population are included in Supplemental Table 1. In the 223 following subsections, we describe how varying parameters from the generic scenario described here affected the power of  $\hat{G}$  to identify selection. All simulation scripts can be found at 224 225 github.com/timbeissinger/ComplexSelection.

## 226 Number of QTL

227 We simulated variable numbers of additive QTL controlling traits, from 10, representing a simple trait controlled by large-effect QTL, to 10,000, representing a highly quantitative trait controlled nearly 228 229 infinitesimally. QTLs were evenly spaced along each chromosome and QTLs themselves were not 230 included in the marker set for analysis. One hundred simulations were performed for each level of trait 231 complexity. First, we used these simulations to establish the appropriate number of independent markers, mind as defined above, for this test. We calculated how distant two markers must be to have an 232 233 expected LD level of  $R^2 \leq 0.03$ . Then we counted the total number of blocks of this size genome-wide. 234 The 0.03 level was established by performing a grid-search of potential values and tuning the false 235 positive rate (Supplemental Figure 1). An LD cutoff that is too high leads to a high false-positive rate, 236 while one that is too low weakens the power of the test. For populations similar to those discussed 237 herein, we observe that requiring  $R^2 \leq 0.03$  will be appropriate.

238 When we tested for selection in our simulated data, we observed a direct relationship between 239 the number of QTL controlling a trait and the power of  $\hat{G}$  to identify selection on that trait.  $\hat{G}$  powerfully 240 identifies selection on highly polygenic traits, but is not powerful for identifying selection on traits 241 controlled by a small number of QTLs. Analyses of the same simulations using  $F_{sT}$ -based selection 242 mapping, which involves mapping loci that have been previously subjected to selection (Wisser *et al.* 

243 2008), showed that traits controlled by a small number of QTLs can be mapped using traditional 244 selection mapping approaches. However, as traits become increasingly polygenic, our simulations 245 demonstrate that the ability to map individual selected genes diminishes (Figure 1). These findings 246 demonstrate how  $\hat{G}$  and traditional selection mapping can be complementary depending on the 247 underlying genetic architecture of a trait. Table 1 depicts detection and false positive rates for  $\hat{G}$  and  $F_{ST^-}$ 248 based mapping under different genetic architectures.

Genetic Architecture	10 QTL	50 QTL	100 QTL	1,000 QTL	10,000 QTL
Ĝ			·		
True positive rate	0.04	0.54	0.94	1.0	1.0
False positive rate	0.03	0.03	0.02	0.03	0.04
<b>F</b> <sub>sT</sub> -based Selection Mapping					
Mean # true positives (rate)	5.6 (56%)	22 (44%)	39 (39%)	187 (18.7%)	1,676 (16.8%)
Mean # false positives	52	280	715	1,745	-

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250Table 1: Detection and false positive rates for Ĝ and selection mapping. One Ĝ test is conducted per251simulation, so true and false positive rates are shown. For selection mapping, one test is conducted252per marker in each simulation, so the mean number of markers that were declared true and false253positives is shown. A marker was declared a false positive in selection mapping if it exceeded a 5%254simulation-based experiment-wide significance threshold but was not within a .1 cM region around a255simulated QTL. Note that there are no selection mapping false positives in the 10,000 QTL simulation256because every marker was within 0.1 cM of a simulated QTL.

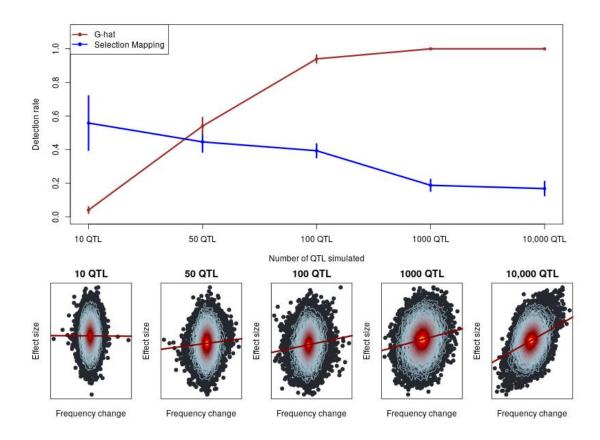


Figure 1: The power of Ĝ to identify selection. Top: The detection rate of Ĝ compared to Fst-based selection mapping. Vertical lines indicate one standard deviation. Standard deviations for selection mapping were estimated empirically. Standard deviations for Ĝ were estimated based on the binomial distribution. Bottom: Exemplary heat plots depicting individual-SNP allelic effect estimates linearly regressed on allele frequency change over time. Each point represents a SNP, while the contour lines indicate the density of SNPs. From the regression line, observe that a stronger relationship between frequency change and effect size corresponds to increasing polygenicity.

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## 267 Number of generations

268 Simulations showed an interesting relationship between the number of generations of selection and the power of  $\hat{G}$ . We observed a definite sweet-spot from ~10 to just under 50 generations for which 269  $\hat{G}$  was most powerful. Conversely, if selection took place for 100 generations or only for a single 270 generation,  $\hat{G}$  became dramatically less powerful (Table 2). We suspect that two forces interact to 271 272 reduce the power of  $\hat{G}$  in the case of a large number of generations of selection. First, over the course of 273 many generations, our simulated populations became highly inbred, which notably increased LD and 274 therefore reduced  $M_{ind}$ . Since  $\hat{G}$  is summed over markers and then scaled by  $M_{ind}$ , this substantially 275 reduces power. Secondly, our simulations involved a predetermined number of QTL with fixed effects at

276 the onset of selection, but as selection persisted these QTL could be lost to fixation, or as allele 277 frequencies change, their effects could decrease (Sargolzaei and Schenkel 2009). Since we estimated 278 SNP effects based on phenotypes in the final generation (but see the section on phenotyping 279 generation, below), power could be reduced by the fixation of a lost QTL that previously had an effect. 280 Although these issues weakened  $\hat{G}$  in our simulations, it is unclear whether or not they would have the 281 same impact in a real application, and it is likely unlikely that the powerful sweet-spot would be the 282 same. Regarding the weak power of  $\hat{G}$  to identify selection after only one generation, this is not unexpected, since for quantitative traits a single generation is rarely long-enough to appreciably shift 283 284 allele frequencies.

We also investigated how the power of  $\hat{G}$  is affected by temporary selection. Specifically, we simulated 20 generations of selection followed by different numbers of generations without selection. We observe that  $\hat{G}$  remains powerful for at least 20 generations post-selection, but after 100 generations without selection, the ability of  $\hat{G}$  to identify selection is lost. Like above, this loss of power can likely be attributed to inbreeding and the fixation of QTL.

## 290 Phenotyping generation

291 In practical applications, we predict that phenotypes will typically be more readily available from 292 later generations of selection than early generations. However, since this generalization will not always apply, we explored how the power of  $\hat{G}$  is affected by the generation in which individuals are 293 294 phenotyped. We observed the highest power when phenotypes were scored in recent time-points or 295 midway through selection, but power was still high (0.86) when phenotypes were scored in generation 296 0, at the onset of selection (Table 2). As discussed above in the section on the number of generations of 297 selection, changing QTL effects as allele frequencies change during evolution are likely to explain this 298 drop in power. We explored whether or not the generation of phenotyping can lead to bias by 299 evaluating the false positive rate for simulations where phenotypes were scored at different time-300 points, out of 20 generations of selection. False positive rates were respectively 0.02, 0.08, and 0.0, 301 when phenotyping occurred in generation 20, 10, and 0.

## 302 Intensity of selection

The intensity of selection, or the proportion of individuals that reproduce each generation, directly impacts the efficacy of a selection regime. Therefore, we explored the ability of  $\hat{G}$  to identify selection across several selection intensities representing realistic values observed in experimental and

306 agricultural selection programs (Table 2). To achieve this, in our simulations we varied the total number 307 of progeny each generation rather than altering the total number of individuals reproducing, as a 308 reduced number of individuals would rapidly lead to high levels of inbreeding. For intermediate to 309 strong selection intensities, from 50% to 5% of individuals reproducing, we observed that  $\hat{G}$  was highly 310 effective for identifying selection, with power at or near 1.0. Only in the case of very strong selection, 311 when 1% of individuals reproduced each generation, did we observe a minor reduction in the power of  $\hat{G}$ . Despite our attempts to minimize inbreeding in these simulations, in the case of 1% selection 312 intensity inbreeding was likely still generated via a large number of progeny originating from the same 313 314 combination of superior parents. We suspect this is what resulted in the reduction in power.

315 Sample size

Since the accuracy of estimated marker effects depends on sample size, we explored the impact that the number of phenotyped individuals has on the power of  $\hat{G}$ . Unsurprisingly, as sample size decreases so does the power of  $\hat{G}$  to identify selection (Table 2). However, it is notable that even with sample sizes as small as 250 individuals, power remains above 0.8. Even with only 50 phenotyped individuals, selection can be identified in one out of five scenarios. Together, these observations emphasize that the power of  $\hat{G}$  comes from its accumulation of information across markers rather than from a small number of highly-informative markers.

Parameter Varied	Tested Values				
No. individuals phenotyped	1,000	500	250	100	50
Power	1	0.99	0.83	0.4	0.21
Selection intensity	1%	5%	20%	50%	-
Power	0.95	0.99	1.0	1.0	-
No. Gens. of selection	100	50	20	10	1
Power	0	0.81	1.0	1.0	0.18
Phenotyping generation	20	10	0	-	-
Power	1	1	0.86	-	-
No. Gens. post-selection	5	20	50	100	-
Power	1	1	0.26	0	-

323 **Table 2:** Power of  $\hat{G}$  as simulation parameters vary. Aside from whichever parameter was being

explored, simulations assumed 20 generations of selection with a selection intensity of 0.2, a genetic

architecture of 1,000 QTL, a selection population consisting of 500 males and 500 females, and the additional parameters of our "generalize" selection scenario are given in Supplementary Table 1.

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#### 328 Selection on maize silage traits:

329 We re-analyzed data from a previous study that tested for selection in a decades-long breeding program 330 for maize silage quality (Lorenz et al. 2015). Very briefly, a selection index comprised of experimentally-331 measured traits related to silage quality was used to perform reciprocal recurrent selection for breeding 332 improved maize. Traits comprising the index included acid detergent fiber (ADF), protein content, starch 333 content, in-vitro digestibility, and yield (www.cornbreeding.wisc.edu). In total, 648 individuals from 334 various stages of selection were genotyped. Between 240 and 300 of these individuals were also phenotyped, depending on the trait. Selection mapping was previously performed utilizing simulations 335 336 of drift to scan for selection, but the analysis did not identify any loci that showed significant evidence of 337 selection. This is in spite of quantifiable improvement of the population and demonstrated heritability of 338 the index-composing traits (Lorenz et al. 2015). We re-analyzed the same data to evaluate evidence for 339 polygenic selection on the measured traits, which included NDF, *in-vitro* digestibility, crude protein 340 content, starch content, yield, and dry matter. After filtering for quality, but not minor allele frequency, 341 these data consisted of 10,023 polymorphic markers. Genomic prediction for these traits was generally 342 effective (Supplemental Figure 2). Due to the relatively small population size and recurrent selection 343 breeding scheme, we expect slow LD decay and therefore for most of the genome to be represented 344 with this marker set. Further analysis of LD to determine the value of  $m_{ind}$  to utilize in our test for 345 selection confirms this (Supplemental Figure 3).

346 Figure 2 depicts the maize patterns of selection that were observed in our analysis. In these 347 plots, the histogram shows the null distribution of  $\hat{G}$  that was observed from a permutation test, while the vertical line depicts the observed value of  $\hat{G}$  when applied to the experimental data. We observed 348 349 that with the exception of protein, for the traits where we had an *a priori* expectation of selection, we 350 not only identified that selection did occur, but we correctly estimated the direction of selection 351 (positive or negative) from the data. One of the traits measured was silage dry matter (DM), which was 352 not a part of the selection index. We did not identify evidence of selection on DM, as was expected. To 353 ensure that the existence of a single individual with a high breeding value does not lead to spurious false 354 positives, we re-analyzed the maize data after removing all SNPs with minor allele frequency less than 355 0.05. This did not lead to any appreciable change in the results (Supplemental Figure 6).

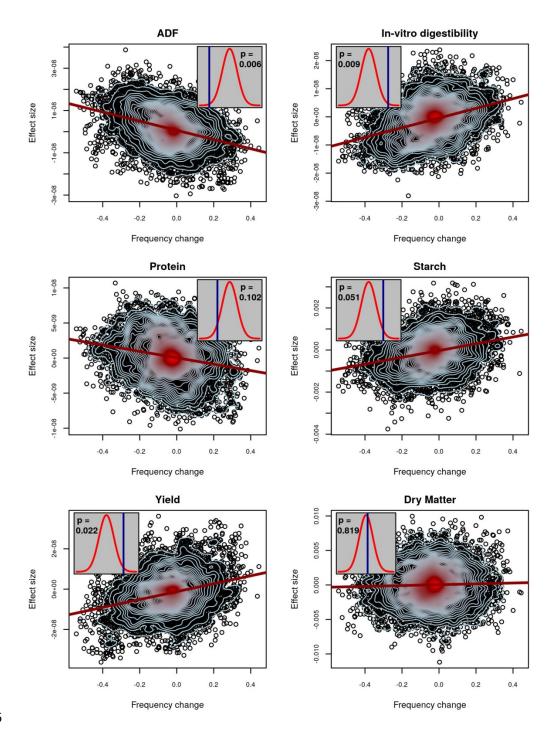




Figure 2: Evidence of selection for maize silage traits. For six traits, the relationship between estimated allelic effects at individual SNPs and the change in allele frequency over generations is plotted. The red line is a regression of effect size on allele frequency change. Contour lines indicate the density of points, with blue contours indicating fewer points than red. Inset plots depict observed values of Ĝ (blue lines) and their statistical significance based on a comparison to permuted null

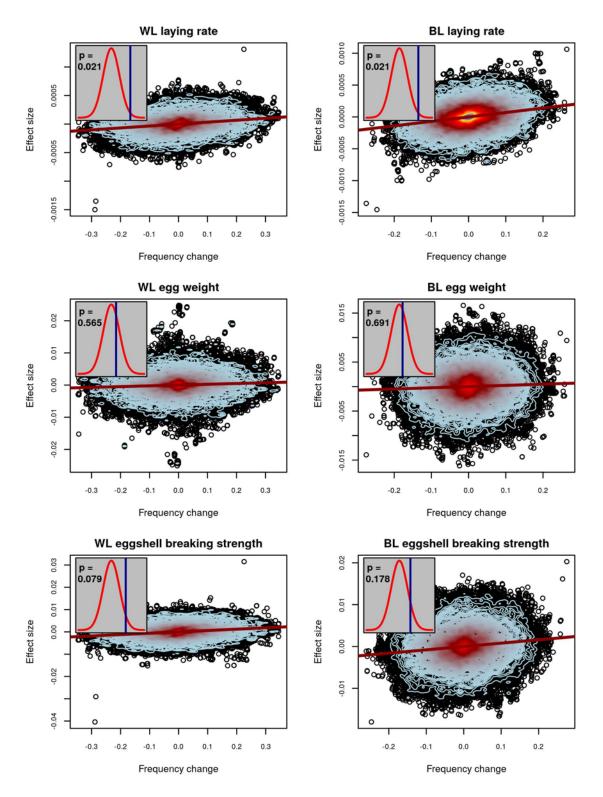
distributions (red densities) for no-selection scenarios. An exact two-sided p-value is given within each inset. Significant values of Ĝ above the permuted mean indicate selection operated in the positive direction, while significant values below the permutation mean indicated selection operated in the negative direction.

366

## 367 Selection on chicken traits:

368 We tested for evidence of selection in two panels of commercial lines of laying hens: one white layer 369 (WL) and one brown layer (BL). Both closed lines have been selected over decades with a similar 370 composite breeding goal, comprised of laying rate, body weight and feed efficiency, egg weight, and egg 371 quality, among other objectives. The respective weights applied to the different traits varied between 372 lines and over time. Traits analyzed included laying rate, egg weight, and breaking strength of eggs. 373 Genotypes were available only for the post-selection population, so initial allele frequencies were 374 inferred based on pedigree data (Gengler et al. 2007). Mind was determined based on separate 375 evaluations of LD in the WL (Supplemental Figure 4) and BL (Supplemental Figure 5) populations.

376 Among the traits evaluated, we observed significant evidence of selection for increased laying 377 rate in both WLs (p = 0.021) and BLs (p = 0.021). Tests were also suggestive of selection for increased 378 eggshell breaking strength in WLs (p < 0.1; one-sided p < 0.05), while there was no evidence of directed 379 selection for egg weight (Figure 3). To verify that these results were not driven by a small number of 380 SNPs with high estimated effect sizes, we repeated the analysis with the 10 largest effect-size SNPs 381 removed and saw virtually identical results (Supplemental Figure 7). The result for egg weight can be 382 seen as a 'negative control' since for this trait an optimum value is already achieved and maintained by stabilizing selection. The fact that we were not able to detect significant evidence of selection in a trait 383 384 such as eggshell breaking strength in both lines (although a tendency can be observed) may be due to 385 the fact that improving those traits is part of a complex multi-objective breeding program, or simply that 386 our test was underpowered for these traits. The unavailability of experimentally-estimated initial 387 frequencies and our alternative use of pedigree-inferred initial allele frequencies likely weakened the 388 power of the test as compared to the more complete data available for maize and in the simulations.



389

Figure 3: Evidence of selection for chicken traits. For three traits in white (left column) and brown (right column) laying hens, the relationship between estimated allelic effects at individual SNPs and the change in allele frequency over generations is plotted. The red line is a regression of effect size on

allele frequency change. Contour lines indicate the density of points, with blue contours indicating fewer points than red. Inset plots depict observed values of Ĝ (blue lines) and their statistical significance based on a comparison to permuted null distributions (red densities) for no-selection scenarios. An exact two-sided p-value is given within each inset. Significant values of Ĝ above the permuted mean indicate selection operated in the positive direction, while significant values below the permutation mean indicated selection operated in the negative direction.

399

## 400 Discussion

We have defined a test statistic,  $\hat{G}$ , that combines phenotypic and genotypic information to test for 401 402 selection on traits controlled by many loci of small effect. The approach utilizes estimated effect sizes for 403 individual loci and allele frequency changes across two time-points reflecting possible selection on those 404 loci. Therefore,  $\hat{G}$  is most applicable in experimental or breeding populations, where both pieces of information are readily available via genotyping individuals from multiple generations. However, 405 406 phenotypic information for estimating allelic effects is only required from a single time-point, so this 407 approach can be applied post-hoc using DNA samples from previous generations even if phenotyping is no longer possible. As the practice of sequencing ancient DNA from archeological sites, museum 408 409 samples, or other sources becomes progressively commonplace (Orlando et al. 2015), it will be 410 interesting to explore whether or not this approach may prove applicable for ecological questions, 411 evolutionary studies, and for human research. However, simulations showed a decrease in power as the 412 number of post-selection generations increased, so there is a limit to how far back our test statistic can 413 be fruitfully applied.

#### 414 **Powerful for highly quantitative traits**

Methods for mapping genes associated with important traits or for identifying loci that are under selection are most powerful for large-effect genes. A simple explanation for the disappointing number of associations that have been uncovered to date through GWAS is that complex traits are often controlled by many genes of small effect (Yang *et al.* 2011). If this is the case, enormous sample sizes are required to map loci regardless of the methodological enhancements that can be applied. Human geneticists have had success studying complex traits by utilizing extremely large sample sizes (Rietveld *et al.* 2013; Wood *et al.* 2014). But, sample sizes of this magnitude are not yet achievable within resource

422 limitations for most species, and, arguably, will never be. Conversely, population genetic studies aiming 423 to scan for selection have been most successful at identifying hard sweeps, where a new mutation of 424 large effect rapidly rises to fixation as a result of selection (Pritchard et al. 2010). Only few 425 methodologies with limited power exist for mapping soft sweeps, when the beneficial allele is already 426 at intermediate frequency at the start of selection (Garud et al. 2015); Ma et al. 2015). A likely 427 explanation for the presence of soft sweeps is that they often result from loci of small effect increasing 428 in frequency slowly in a population and therefore existing on multiple distinct haplotypes or mutating 429 multiple times before fixation. In an agricultural context, many soft sweeps may be due to newly defined 430 breeding goals which put selection pressure on genes that previously were segregating in the populations, but were selectively neutral. The  $\hat{G}$  statistic does not attempt to map specific genes— 431 432 instead it pools information from all SNPs to test for selection on specific traits. This approach 433 completely avoids the question of which loci are associated with a trait. Instead of testing each SNP, we 434 perform one test based on information from all SNPs. Therefore, a strong statistical signal arises when a 435 large proportion of SNPs behave similarly but not when a few SNPs portray strong signals on their own. 436 That said, researchers are often interested in identifying selected traits whether they correspond to 437 selection on many genes at once or simply a few large-effect genes. In this case, the implementation of 438 our  $\hat{G}$  test in conjunction with a traditional selection-mapping approach aimed at identifying selected 439 loci will likely together be powerful for identifying selection regardless of the underlying genetic 440 architecture (Figure 1).

441 It was recently argued that most complex disease traits in humans are controlled by small-effect 442 genes dispersed throughout the genome (Boyle et al. 2017). Likewise, many important traits in 443 agricultural animal and plant species tend to be quantitative in nature and are presumably controlled by 444 small-effect genes (Goddard and Hayes 2009; Wallace et al. 2014). For these agricultural organisms, 445 geneticists and breeders have long recognized the benefits that can be achieved by predicting breeding 446 values and/or phenotypes based on models that use all SNPs simultaneously (Meuwissen et al. 2001; 447 Heffner et al. 2009; Goddard and Hayes 2009). In fact, the development of these models has led to 448 dramatic re-designs of modern breeding protocols (Schaeffer 2006; Cabrera-Bosquet et al. 2012). The  $\hat{G}$ statistic represents one avenue to leverage information from all measured SNPs to gain an 449 450 understanding of the evolutionary history of a population. This approach is analogous to genomic 451 selection/prediction as utilized by animal and plant breeders, with an important distinction: instead of 452 predicting breeding values to determine which individuals should be selected for the future, it utilizes

genotypic frequencies over time coupled with phenotypic information to unravel the history of selectionin the past.

#### 455 **Genotypes from base population provide high power:**

456 Compared to other methods that test for selection on quantitative traits (Berg and Coop 2014; 457 Zeng et al. 2017),  $\hat{G}$  leverages genotypic information from multiple time points and that it incorporates information from all SNPs instead of restricting to a previously identified set of SNPs from one or 458 459 multiple independent GWAS's. With the exception of a few traits in heavily studied species, such as 460 human height (Wood et al. 2014), few species, if any, provide the enormous sample sizes required to 461 implicate a large number of loci for any quantitative traits. This includes situations where scientists are 462 reasonably certain that a genetic architecture consisting of small-effect loci persists. Importantly,  $\hat{G}$  is 463 powerful because of the independence of the estimation of allele frequency changes across generations 464 and effect sizes, respectively. Even when allelic effects and/or allele frequency changes are small, they 465 cumulatively generate a powerful test since they can be compared across all genotyped loci. However, 466 our analysis of the chicken data suggested that the power of the test can be reduced through noisy 467 estimation of allele frequency change. Our reliance on pedigree data to derive initial allele frequencies was not as precise as the direct measurement of initial allele frequencies that was conducted for maize. 468 469 Although we were still able to find evidence of selection on traits including laying rate, which was almost 470 certainly under the strongest selection, there were selected traits we did not detect potentially due to 471 this noise.

#### 472 Future directions and conclusions:

The use of  $\hat{G}$  to test for selected traits avoids the requirement of preliminarily identifying candidate genes or regions. Therefore, the approach is particularly applicable in experimental, agricultural, and natural populations for which available resources dictate limited sample sizes for conducting massive mapping studies for such preliminary identification. In contrast to purely population-genetic analyses, which rely solely on genotypic information, the method requires that phenotypic data be collected from at least one time-point of genotyped individuals. Additionally, two time-points of genotypic information are needed, either directly or through pedigree-based imputation.

480 While the  $\hat{G}$  statistic is most directly applicable for the discovery of traits that have been 481 previously under selection during recent evolution, it may have additional applications. Recent studies

482 have demonstrated that distinct physical regions of the genome, such as individual chromosomes, often 483 contribute a disproportionate amount to trait variance (Bernardo and Thompson 2016). Rather than 484 applying the  $\hat{G}$  statistic genome-wide, future research should be done to determine whether it can be 485 applied across any collections of loci such as individual chromosomes, pathways, gene-families, functional classes, or other categories to test if these show evidence of selection on a quantitative trait. 486 487 This would represent a process allowing researchers to map significant features as opposed to individual genes. Likewise, thus far we have estimated the direction of selection (positive or negative) from  $\hat{G}$ , but 488 489 not the magnitude. Further research should be performed to determine whether or not this or a similar 490 statistic can be used to recapitulate the selection gradient.

As it stands, using  $\hat{G}$  simply to identify traits that have been under selection in the past may prove enormously useful. Whether agricultural, experimental, or natural, it is often difficult to determine all of the traits that are advantageous in a population or respond to natural or anthropogenic selection, including undesired selection responses. The application of the  $\hat{G}$  statistic genome-wide allows this determination, which may help scientists select the right traits for maximum agricultural production, determine inadvertently selected lab traits impacting experimental outcomes, and establish ecologically important traits for survival in the wild.

498

## 499 Materials and methods

#### 500 **Simulations**:

Each simulation started with a random mating historical population. After 5 thousand generations, selection began and simulations proceeded with more control over each generation. Truncation selection was performed based on high phenotype. Drift simulations were identical to selection simulations in terms of genome layout and genetic basis of the trait, but individuals were selected randomly. Simulations were performed with QMSim (Sargolzaei and Schenkel 2009). Parameters for our generic simulation model are provided in full in Supplemental Table 1. We varied specific parameters as follows:

508 *Number of QTL:* Genetic architectures with 10, 50, 100, 1,000, or 10,000 QTL were simulated.

509 *Number of individuals phenotyped*: After selection was simulated, the phenotypes from a subset 510 included 1,000, 500, 250, 100, or 50 individuals were sampled and used for estimating SNP effects.

511 *Selection intensity:* The number of males and females reproducing each generation was always 512 simulated to be 500, respectively. To vary selection intensity, we simulated litter sizes of 4, 20, 40, and 513 200.

514 *Number of generations of selection:* Selection simulations were conducted for 1, 10, 20, 50, and 100 515 generations.

516 *Phenotyping generation:* For 20-generation simulations, phenotypes were analyzed from pre-selection 517 individuals (generation 0), mid-selection individuals( generation 10), and post-selection individuals 518 (generation 20).

519 *Number of generations after selection:* After 20 generations of selection, we evaluated whether  $\hat{G}$  was 520 still significant after 5, 20, 50, or 100 generations without selection.

#### 521 Selection mapping in simulations:

522 For the set of simulations where number of QTL were varied, pre- and post-selection simulated allele frequencies were output from QMSim. These were used to calculate marker-specific  $F_{sT}$  values, as was 523 performed by (Lorenz *et al.* 2015).  $F_{sT}$  was computed according to  $F_{ST} = \frac{s^2}{\bar{p}(1-\bar{p})+s^2/2}$ , where  $s^2$  is the 524 525 sample variance of allele frequency between pre- and post-selection populations, and  $\bar{p}$  is the mean allele frequency (Weir and Cockerham 1984). Experiment-wide 5% significance threshold were identified 526 based on the 95%  $F_{sT}$  quantile observed from drift simulations. These thresholds were applied to  $F_{sT}$ 527 528 values obtained from selection simulations to determine detection and false positive rates. Simulated 529 QTL were declared detected if a significant marker was identified within a .1 cM window surrounding 530 the QTL. False positives were defined as markers that were not within a .1 cM window surrounding any 531 simulated QTL.

#### 532 Maize data:

All maize data were previously published and described by Lorenz et al. (2015). In brief, a selection index comprised of silage-quality traits was used to perform reciprocal recurrent selection. Traits comprising the index were yield, dry matter content, neutral detergent fiber (NDF), protein content, starch content, and *in-vitro* digestibility (<u>www.cornbreeding.wisc.edu</u>). Phenotypic data included five cycles of selection,
encompassing approximately 20 generations in total. Tens to hundreds of individuals were sampled
from each cycle of selection to be genotyped. Genotyping was performed with the MaizeSNP50
BeadChip, which includes 56,110 markers in total (Ganal *et al.* 2011). After removing monomorphic
SNPs, redundant SNPs, quality filtering, and imputing, as described in Lorenz (2015), 10,023 informative
SNPs remained.

542 Allele frequencies were computed for each cycle of selection. Because only 5 and 11 individuals 543 from cycles 0 and 1 were genotyped, respectively, allele frequency change from cycle 2 (n = 163) to cycle 544 5 (n = 211) was computed for each SNP. Since all SNPs were di-allelic, the frequency of only one allele 545 was tracked, and the frequency change for that allele perfectly mirrored the change for the other allele. 546 For the tracked allele only, allelic effects were estimated using the R package RR-BLUP (Endelman 2011). 547 Phenotypic information was available from individuals representing selection cycles 1 through 4, and 548 since population size was small we used all phenotyped individuals to estimate SNP effects. To accomplish this without biasing effect estimates due to drift, a fixed effect for cycle was included in our 549 550 model. Our exact analysis scripts are available at github.com/timbeissinger/ComplexSelection.

## 551 Chicken data:

552 Data were available for one white layer (WL) and one brown layer (BL) line from a commercial breeding 553 program. Both closed lines have been selected over decades with a similar composite breeding goal, 554 comprising, among others, laying rate, body weight and feed efficiency of the hens, as well as egg 555 weight and egg quality, where the respective weights of the different traits varied between lines and 556 over time. In total, 673 (743) WL (BL) individuals were genotyped, of which > 80% were from the last 557 generation and the remaining animals were parents, grand-parents, and great-grandparents of the 558 actual birds. For all genotyped individuals, complete pedigree data were available comprising 2109 559 (1879) individuals and going 13 (9) generations back in WL (BL). The oldest generation was defined as 560 the base population and comprised 111 (64) ungenotyped individuals being separated from the majority 561 of genotyped individuals by 12 (8) generations.

562 Current individuals were genotyped with the Affymetrix Axiom<sup>®</sup> Chicken Genotyping Array 563 which initially carries 580K SNPs. This data were pruned by discarding sex chromosomes, unmapped 564 linkage groups, and SNPs with minor allele frequency (MAF) lower than 0.5% or genotyping call rate 565 smaller than 97%. Individuals with call rates smaller than 95% were also discarded. Subsequently,

566 missing genotypes at the remaining loci were imputed with Beagle version 3.3.2 (Browning and 567 Browning 2009), resulting in sets of 277,522 (334,143) SNPs for the WL (BL) individuals.

568 To calculate the allele frequency change in the chicken populations, the allele frequency in the 569 base population individuals had to be reconstructed by statistical means. This was done with the 570 approach of Gengler et al. (2007), which, in short, considers the allele frequency in an individual as a 571 quantitative and heritable trait and uses a mixed model approach to obtain a best linear unbiased 572 prediction (BLUP) for the allele frequency of all un-genotyped individuals. This is done by linking the 573 genotyped offspring to the un-genotyped ancestors via the pedigree information (for details, see 574 Gengler et al. 2007). This required solving 277,522 (334,143) linear equation systems of dimension 2109 575 (1879) for the WL (BL) data set. Next,  $\Delta_i$  for locus *i* was calculated as the difference of the observed 576 allele frequency of the genotyped individuals in the current and the 3 ancestral generations and the 577 average estimated allele frequency of the 111 (64) base population individuals 12 (8) generations back.

578 For each genotyped individual, conventional (non-genomic) BLUP breeding values and the 579 respective reliabilities for a wide set of traits were available. SNP effects were estimated in a two-step 580 procedure: first, for each trait in each line genomic breeding values were estimated via genomic BLUP 581 (GBLUP), followed by a back-solution of estimated SNP effects. In the GBLUP step, the model  $y = 1\mu +$ 582 Zg + e, was solved, where y is the vector of de-regressed proofs [DRPs] of genotyped individuals for a 583 specific trait;  $\mu$  is the overall mean; g is the vector of additive genetic values (i.e. genomic breeding 584 values) for all genotyped chickens; e is the vector of residual terms; 1 is a vector of 1s and Z is a squared 585 design matrix assigning DRPs to additive genetic values with dimension number of all genotyped individuals. Residual terms were assumed to be distributed  $e \sim N(0, R\sigma_e^2)$ , where **R** is a diagonal matrix 586 with diagonal elements  $R_{ii} = \frac{[c+(1-r_{DRPi}^2)/r_{DRPi}^2]h^2}{1-h^2}$  (Garrick *et al.* 2009) for an individual *i* in the 587 training set, where  $r_{DRPi}^2$  is the reliability of DRP for individual *i*,  $\sigma_e^2$  is the residual variance, using *c* set to 588 0.1. The distribution of additive genetic values is assumed to be  $g \sim N(0, G\sigma_q^2)$ , where  $\sigma_q^2$  is the additive 589 590 genetic variance and G is a realized genomic relationship matrix which was constructed according to 591 (VanRaden 2008). Estimation of variance components and genomic breeding values was done with 592 ASReml 3.0 (Gilmour et al., 2009).

593

Next, estimated SNP effects  $\hat{s}$  were obtained following Strandén and Garrick (2009) as

$$\hat{\boldsymbol{s}} = \frac{1}{2\sum_{i=1}^{m} p_i (1-p_i)} \boldsymbol{M}^T \boldsymbol{Z}^T \hat{\boldsymbol{g}}$$

where **M** is a matrix of dimension number of genotyped individuals x number of genotyped SNPs with entry  $m_{ij} = x_{ij} - 2p_j$  where  $x_{ij}$  is the genotype of individual *i* at locus *j* (coded as 0, 1, or 2 which are counts of the reference allele) and  $p_j$  is the population frequency of the reference allele at SNP *j*.

#### 597 Computational Resources:

598 Computation was performed using the University of Missouri Informatics Core Research Facility 599 BioCluster (https://bioinfo.ircf.missouri.edu/). Computational nodes where simulations were performed 600 had 64 cores and 512 GB of RAM. Analysis of maize and chicken data was performed on a mediocre 601 laptop with 8 GB of RAM.

#### 602 Data availability:

Maize data are available in from Lorenz et al. (2015). Chicken data, including allele frequency change and estimated SNP effects, are available at Figshare with DOI 10.6084/m9.figshare.5899267. All scripts used for simulations and analysis are available at github.com/timbeissinger/ComplexSelection.

606

## 607 Acknowledgements

We thank Natalia de Leon, Aaron Lorenz, and Lohmann GMBH for generating the maize and chicken
biological data used in this study. We are grateful for helpful discussions with Emily Josephs and Aaron
Lorenz. This research was supported by the USDA Agricultural Research Service, CRIS project number
5070-21000-038-00-D.

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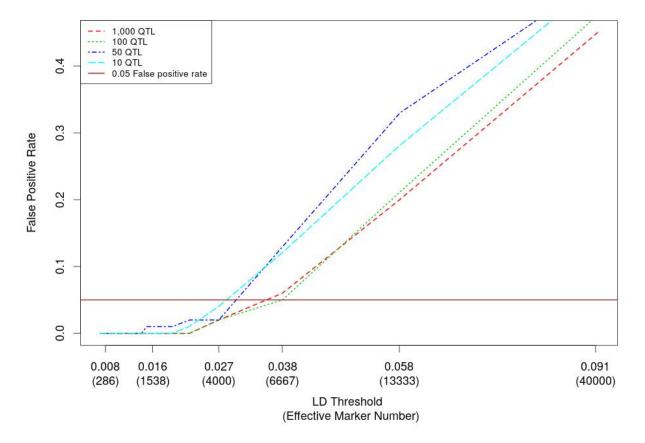
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# 729 Supplemental Table 1: Simulation parameters.

Parameter	Value(s)
Genetic basis of the trait	
Heritability	0.5
QTL Heritability	0.5 (all heritability attributable to QTL)
Phenotypic variance	1
Historical Population	
Population size	10,000
Number of generations	5,000
Marker mutation rate (only historical gens)	2.5e-5
QTL mutation rate (only historical gens)	2.5e-5
Breeding (selected) Population	
Number of selected males/generation	500
Number of selected females/generation	500
Litter size	10
Number of generations	20
Mating design	Random union of gametes, discrete
	generations
Genome	
Number of chromosomes	10
Chromosome size	100 cM
Markers/chromosome	10,000
Marker spacing	Even
Alleles/marker	2
Marker allele frequencies	Random (uniformly distributed)
Number of QTL	1,000
QTL spacing	Even
Alleles/QTL	2
QTL allele frequencies (in first gen)	Equal (0.5)
QTL allele effects	Random (uniformly distributed)

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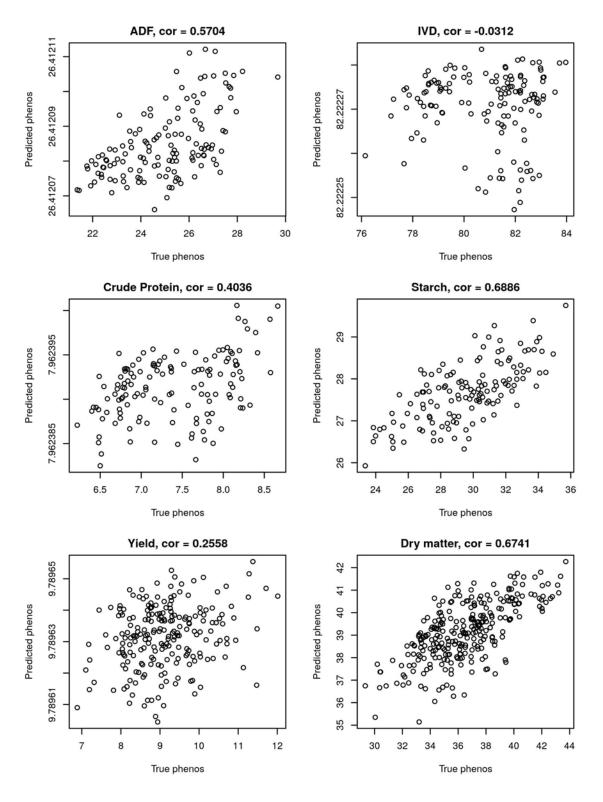
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**Supplemental Figure 1:** False positive rate depends on the *number of effective markers*. The y-axis of this plot shows the false positive rate for simulations of different genetic architectures that was realized with varying effective numbers of markers. The x-axis depicts the mean LD-threshold across simulations that corresponded to a particular effective number of markers. Simulations suggested that defining the effective number of markers as the number of genome-segments such that LD across each segment is expected to be in the interval  $R^2 \in [0.027, 0.038]$  appropriately controls false positive rate.

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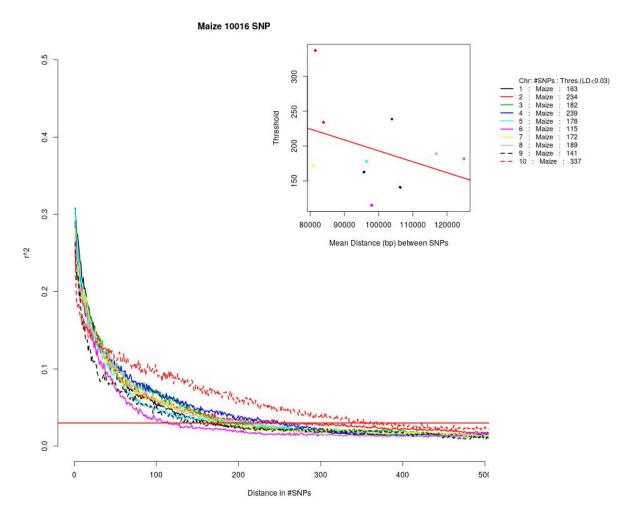
bioRxiv preprint doi: https://doi.org/10.1101/238295; this version posted February 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. This article is a US Government work. It is not subject to copyright under 17 USC 105 and is also made available for use under a CC0 license.



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Supplemental Figure 2: Correlation between predicted and observed phenotypes when RRBLUP was
 used for genomic prediction in the maize dataset.

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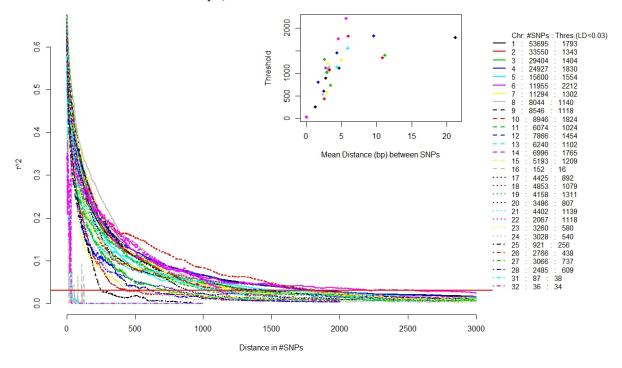
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**Supplemental Figure 3:** LD Decay by chromosome in the WQS maize population. For each chromosome, LD is plotted against the distance between SNPs (in number of markers). The effective number of independent markers ( $m_{ind}$ ) for our test was determined by dividing the total number of markers by the mean distance between markers such that  $R^2 \le 0.03$ .



# 754

#### White Layer; 277522 SNPs and 673 Individuals



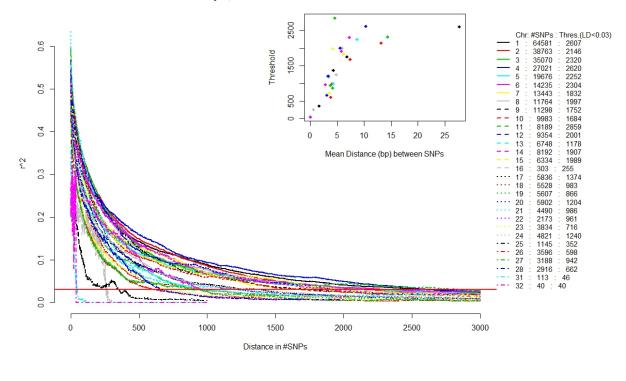
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**Supplemental Figure 4:** LD Decay by chromosome in the White Layer chicken population. For each chromosome, LD is plotted against the distance between SNPs (in number of markers). The effective number of independent markers ( $m_{ind}$ ) for our test was determined by dividing the total number of markers by the mean distance between markers such that  $R^2 \le 0.03$ .

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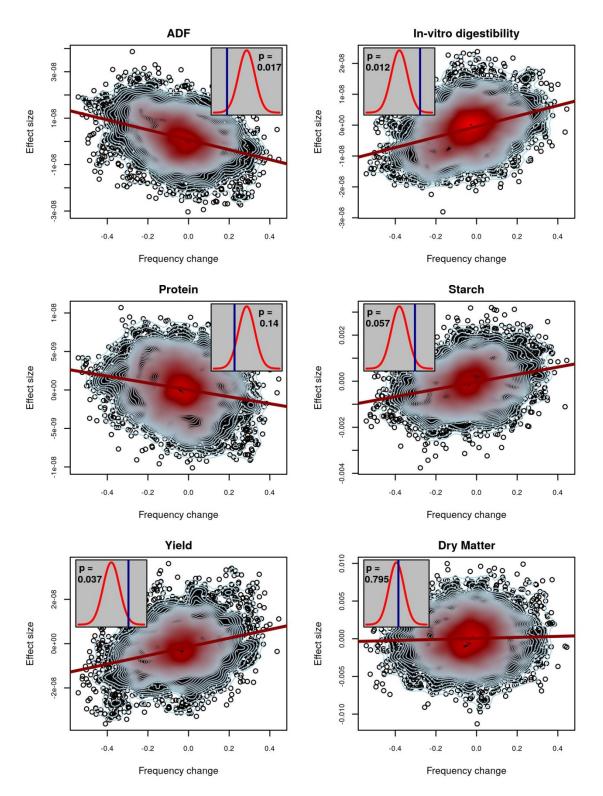
Brown Layer; 334143 SNPs and 743 Individuals





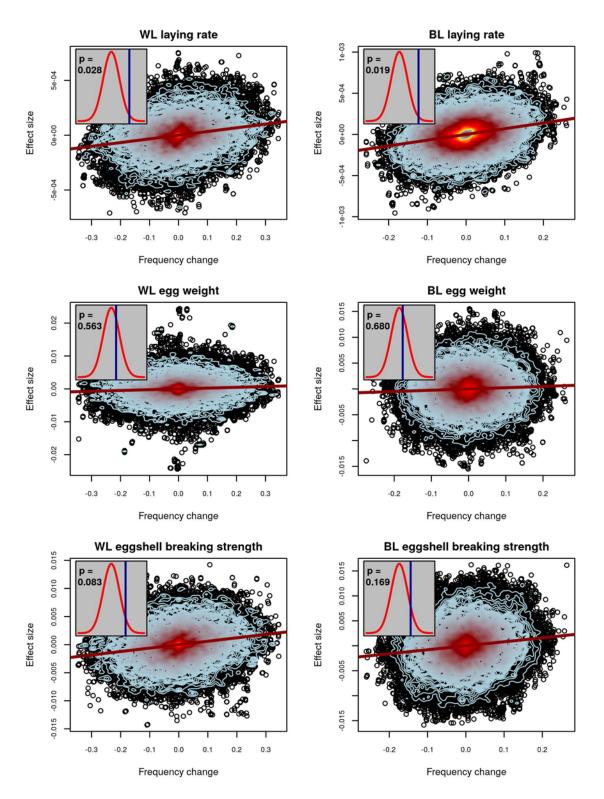
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**Supplemental Figure 5:** LD Decay by chromosome in the Brown Layer chicken population. For each chromosome, LD is plotted against the distance between SNPs (in number of markers). The effective number of independent markers ( $m_{ind}$ ) for our test was determined by dividing the total number of markers by the mean distance between markers such that  $R^2 \le 0.03$ .



Supplemental Figure 6: Evidence of selection for maize silage traits. SNPs with minor allele frequency
 <0.05 were removed for this analysis. For six traits, the relationship between estimated allelic effects</li>
 at individual SNPs and the change in allele frequency over generations is plotted. The red line is a

- regression of effect size on allele frequency change. Contour lines indicate the density of points, with
- blue contours indicating fewer points than red. Inset plots depict observed values of Ĝ (blue lines) and
- their statistical significance based on a comparison to permuted null distributions (red densities) for
- 777 no-selection scenarios. An exact two-sided p-value is given within each inset. Significant values of Ĝ
- above the permuted mean indicate selection operated in the positive direction, while significant
- values below the permutation mean indicated selection operated in the negative direction.



**Supplemental Figure 7:** Evidence of selection for chicken traits, with potential outliers removed. This plot demonstrates a reanalysis of the chicken data shown in Figure 3 after removing of the 10 SNPs with the largest-magnitude effect size for each trait. For three traits in white (left column) and brown (right column) laying hens, the relationship between estimated allelic effects at individual SNPs and the

change in allele frequency over generations is plotted. Contour lines indicate the density of points, with

787 blue contours indicating fewer points than red. Inset plots depict observed values of Ĝ (blue lines) and

their statistical significance based on a comparison to permuted null distributions (red densities) for no-

range relation scenarios. An exact two-sided p-value is given within each inset. Significant values of Ĝ above

the permuted mean indicate selection operated in the positive direction, while significant values below

the permutation mean indicated selection operated in the negative direction.