One problem, too many solutions: How costly is honest signalling of need?

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21 Abstract

22 The "cost of begging" is a prominent prediction of costly signalling theory, suggesting that offspring begging has to be costly in order to be honest. More specifically, it predicts that there 23 24 is a single cost function for the offspring (depending on e.g. offspring quality) that maintains 25 honesty and it must be proportional to parent's fitness loss. Here we show another 26 interpretation of the cost. We demonstrate that cost, proportional to the fitness gain of the 27 offspring, also results in honest signalling. Since the loss of the parent does not necessarily 28 coincide with the gain of the offspring, it is provable that any linear combination of the two cost 29 functions (one proportional to parent's loss, one to offspring's gain) also leads to honest signalling. Our results, applied for a specific model, support the previous general conclusion that 30 signalling games have different cost functions for different equilibria. Consequently, costly 31 32 signalling theory cannot predict a unique equilibrium cost in signalling games especially in case 33 of parent-offspring conflicts. As an important consequence, any measured equilibrium cost in 34 real cases has to be compared both to the parent's fitness loss and to the offspring's fitness gain 35 in order to provide meaningfully interpretation.

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- 37 **Keywords**: parent-offspring conflict, communication, honesty, costly signalling, cost of begging
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39 Background

Parent-offspring communication is a hotly debated topic, continuously in the forefront of 40 behavioural sciences [1-4]. Its appeal stems from the seemingly controversial interests of 41 involved parties. Despite the obvious conflict of interest between parent and offspring [5], 42 43 offspring frequently solicit food from the parents. In general, this solicitation is found to be 44 honest as more needy offspring begs more intensively [6]. Game theoretical explanations of 45 begging behaviour have gained much attention over the years [7-16]. Most of these game 46 theoretical models predicted costly signalling [7], which became the dominant expectation in 47 past decades.

Nöldeke and Samuelson [17] offered an enlightening account of the cost of honest 48 49 signalling of need. They have demonstrated that at equilibrium (where honest signalling 50 exists), the signalling cost of the offspring is proportional to the fitness loss of the parent 51 resulting from the transfer of resources. They also showed that the factor of proportionality 52 is solely determined by the degree of relatedness between parent and offspring. Consequently, they claimed that the offspring's condition (and its expected benefit due to the 53 54 received resource) influences the signalling cost only to the extent that it influences the 55 parent's loss of fitness. Here we extend their model and prove that their solution is not unique 56 and that there is another equilibrium with honest signalling where their claim does not apply, but which can be readily derived from their equations [17]. At this second equilibrium, the 57 58 cost of signalling is proportional to the expected fitness benefit of the offspring, and 59 (analogously to the other case) the parent's fitness loss affects the signalling cost only to the extent it affects the offspring's gain. Moreover, any linear combination of these two cost 60 61 functions provides an equilibrium with honest signalling. Thus, there is an infinite number of 62 distinct equilibria where honest signalling exists.

63 Methods

Nöldeke and Samuelson [17] designed their model based on the seminal work of Godfray [7].
They have calculated the fitness functions of the two parties, parent and offspring. The parent is
interested in the condition of the offspring to transfer the least amount of resource to maximize
its own inclusive fitness (all future offspring included) whereas the offspring is interested in

receiving the most amount of resource possible to maximize its own inclusive fitness (all future 68 69 siblings included). The offspring's condition is described by a strictly positive continuous variable (c). The requirement for signalling stems from the fact that the parent cannot asses this condition 70 71 directly. The offspring, however, can opt to engage in communication with a (costly) signal (x). 72 In the original model of Nöldeke and Samuelson, x denoted both the level (intensity) and the cost of the signal [17]. Here, we introduce function f(x) as the cost of the signal, and reserve x to 73 74 denote only the intensity of the signal (depending on the condition c) in order to avoid potential 75 confusion.

The parent has control over Z amount of resource that it has to divide between the offspring and itself, where offspring receives part z of Z and parent retains part y = Z - z. The inclusive fitness functions of offspring and parent (v and u, respectively, after [17]) are:

79

$$v(c, x, z) = h(c, z) - f(x) + \psi g(Z - z),$$
(Eq. 1)

 $u(c, x, z) = \gamma(h(c, z) - f(x)) + g(Z - z),$ (Eq. 2)

81 where h(c, z) and g(Z - z) are the direct fitness gains of offspring and parent, respectively, when 82 *z* amount of resource is transferred to offspring. Both *h* and *g* are assumed to be continuously 83 differentiable and increasing functions (accordingly strictly decreasing with *z*). ψ is the coefficient 84 of relatedness between current offspring (and any future siblings from the parent); and γ is the 85 coefficient of relatedness of the parent to its current (and future) offspring. The offspring 86 strategy is the level of solicitation (*x*) as function of the offspring's quality (*c*), whereas the 87 parental strategy is the level of shared resource (*z*) as a function of offspring solicitation (*x*).

88

89 Conditions of the honest signalling equilibrium

A stable equilibrium of honest signalling requires three conditions to be met: (i) signals must be 90 91 honest, (ii) parents have to respond to signals and (iii) the equilibrium must be evolutionarily stable. The latter condition implies that there is a pair of optimal parent and offspring strategies 92 93 $(z^*(x), x^*(c))$ from which it does not worth departing unilaterally for any of the participants [17]. 94 At an honest equilibrium, parents know the condition of the offspring as their signal of need 95 directly corresponds to their level of need. Thus, the parent's equilibrium strategy has to 96 maximize the parent's inclusive fitness u for any given c, i.e. the following inequality must hold 97 [17]:

98
$$u(c, x^*(c), z^*(x^*(c))) \ge u(c, x^*(c), z(x^*(c))),$$
 (Eq. 3)

99 where x^* is the equilibrium signal by the offspring, depending on its own quality and z^* is the 100 parent's equilibrium transfer depending on offspring's signal intensity. Substituting Eq. 2 Into 101 Eq. 3 gives the following condition:

102
$$h(c, z^*(x^*(c))) - f(x^*(c)) + \psi g(Z - z^*(x^*(c))) \ge h(c, z) - f(x^*(c)) + \psi g(Z - z).$$
 (Eq. 4)

103 Analogously to parent, offspring's equilibrium strategy is to maximize its own inclusive 104 fitness v given the parental equilibrium strategy $z^*(x)$ and the condition of the offspring c. 105 Thus, the following condition must hold for any c and x [17]:

106
$$v(c, x^*(c), z^*(x^*(c))) \ge v(c, x, z^*(x)).$$
 (Eq. 5)

107 Substituting into Eq. 1 gives the following condition:

108
$$\gamma \left(h\left(c, z^{*}(x^{*}(c))\right) - f(x^{*}(c)) \right) + g\left(Z - z^{*}(x^{*}(c))\right) \ge \gamma \left(c, z^{*}(x) - f(x)\right) + g(Z - z^{*}(x))$$
 (Eq. 6)

109 In a signalling equilibrium, the parent's transfer must satisfy [17]:

110 $z^*(x^*(c)) = \tilde{z}(c),$ (Eq. 7)

111 where x^* denotes the offspring's equilibrium signal intensity, z^* the parent's equilibrium transfer, 112 and $\tilde{z}(c)$ the parent's optimal transfer.

113 Results

The argument of Nöldeke and Samuelson [17] is as follows: the cost of signal at equilibrium has to dispense the conflict of interest between parent and offspring. Accordingly, the two solution functions of h and g of the optimization problems of parent and offspring have to give the same result (see [18] for more general results). In the absence of signalling cost, at the maximum of the offspring's inclusive fitness, the following conditions must be met:

119
$$h_z(c,z) - \psi g_y(Z-z) = 0,$$
 (Eq. 8)

120
$$h_z(c,z) - \frac{1}{\gamma}g_y(Z-z) = 0,$$
 (Eq. 9)

where subscripts denote derivatives with respect to the variable. At the optimum, the derivatives of the two components of the fitness gain must equal:

123 $h_z(c,z) = \psi g_y(Z-z),$ (Eq. 8a)

124
$$h_z(c,z) = \frac{1}{\gamma} g_y(Z-z).$$
 (Eq. 9a)

125 Clearly, the marginal fitness gain of the offspring (in the absence of signal cost) is different from

126 the offspring's point of view (Eq. 8a) than from the parent's point of view (Eq. 9a), hence they 127 maximize different functions. Thus, there is a clear conflict of interest between parent and 128 offspring. An illustration of this conflict and the corresponding trade-off can be seen on Figure 1. 129 The shape of these trade-offs is different since the weights of the parental fitness component (g) and the offspring fitness component (h) are different for the offspring and the parent. The 130 fitness components of the inclusive fitness of the offspring and the parents change alongside the 131 blue and yellow curve respectively with increasing value of z. The trade-off implies that one 132 133 component cannot be increased without the loss of fitness in the other component. Blue and yellow star represents optimal resource allocation and blue and yellow dot indicates the position 134 135 (fitness) of the offspring and the parent respectively when the resource allocation is optimal for 136 the other party. Clearly the dots do not overlap with the stars, hence the optimal resource 137 allocation of one party is not optimal for the other.

Nöldeke and Samuelson [17] proposed that the cost of signals should resolve this conflict in the
honest signalling equilibrium. They [17] proposed the following cost function:

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$$L_1(z) = g(Z - z^0) - g(Z - z),$$
 (Eq. 10)

141 where z° is the resource requirement of the offspring in the least needy condition, that is $z^{\circ} =$ 142 min $\tilde{z}(c)$ [17]. The cost at equilibrium is:

143
$$f_1(x^*(c)) = \left(\frac{1}{\gamma} - \psi\right) \left(g(Z - z^0) - g(Z - \tilde{z}(c))\right) = \left(\frac{1}{\gamma} - \psi\right) L_1(\tilde{z}(c)), \quad (\text{Eq.11})$$

144 where $\frac{1}{\gamma} - \psi$ defines the magnitude of the parent-offspring conflict. In equilibrium, $z = \tilde{z}(c)$. 145 The relationship between f(x) and L(*) discussed further in *ESM Appendix 1*.

So far, we have followed the design of Nöldeke and Samuelson [17]. However, starting from the same equations (Eqs. 8 and 9), a different cost function of signalling can also be obtained. Instead of providing the optimality conditions to calculate the offspring's marginal fitness gain, one can similarly rearrange Eqs. 8 and 9 to calculate the parental marginal fitness gain, from the offspring's point of view (without signal cost):

151 $g_z(Z-z) = -\frac{1}{\psi}h_z(c,z),$ (Eq. 12)

and from the parent's point of view:

153 $g_z(Z-z) = -\gamma h_z(c,z).$ (Eq. 13)

154 Clearly, in the absence of signal cost, the marginal fitness gain of the parent (as a function of

155 resource allocation) is different from the offspring's point of view (Eq. 11) than from the parent's 156 point of view (Eq. 12). This still implies the conflict of interest. Following the same logic as above, 157 at the honest signalling equilibrium, these equations have to provide the same results. That is, 158 the parent's optimum has to be the same, viewed either from the offspring's or from the parent's 159 aspect. Thus, just as before, the difference between the right-hand sides of Eqs. 11 and 12 gives the cost that has to be subtracted from the offspring fitness so that the two equations result in 160 the same optimum. The cost function we propose is: 161

 $L_2(c,z) = h(c,z) - h(c,z^0),$ 162 (Eq. 14)

163 and the cost at equilibrium is:

164
$$f_2(x^*(c)) = (1 - \gamma \psi) \left(h(c, \tilde{z}(c)) - h(c, z^0) \right) = (1 - \gamma \psi) L_2(c, \tilde{z}(c)). \quad (Eq.15)$$

165 The existence of the signalling equilibrium can be proved as before (see ESM Appendix 2).

So far, we have proved that there are two honest signalling equilibria corresponding to 166 two different cost functions. Since each of these cost functions can remove the conflict of 167 168 interest between parent and offspring, it follows that any linear combination of the functions 169 is also a solution to the optimization problem. Thus, the general cost function of the optimum 170 strategies is as follows:

171
$$L(c,z) = \alpha \left(\frac{1}{\gamma} - \psi\right) L_1(z) + (1-\alpha)(1-\gamma \psi) L_2(c,z).$$
(Eq. 16)

172 The cost at equilibrium is:

173
$$f(x^*(c)) = \alpha \left(\frac{1}{\gamma} - \psi\right) L_1(\tilde{z}(c)) + (1 - \alpha)(1 - \gamma \psi) L_2(c, \tilde{z}(c)).$$

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Finally, we provide a numerical example using Godfray's [7] equations. Godfray used the 175 176 following equations for the offspring's and parent's fitness contributions, respectively [7]:

- $h(c,z) = U(1 \exp(-cz)),$ 177 (Eq. 15)

178
$$g(Z-z) = G(Z-z),$$
 (Eq. 16)

179 where U and G are constants. From now on, we use the values provided by Godfray [7]: U = 1, G = 0.08. Figure 1 shows the actual inclusive fitness values for parent and offspring (u of Eq. 2) 180 181 and v of Eq. 1, respectively) when there is no cost of signalling, as functions of the quality of the 182 offspring c and parental resource allocation z. Figure 2 also shows the equilibrium transfer function for parent and for offspring (red curve), which corresponds to the optimal resource 183

allocation for the offspring and the parent, respectively (as a function of *c*). Figure 2 clearly
demonstrates that the optima are at different *z* values for the two parties.

Substituting Godfray's equation (Eqs. 15, 16) into the cost function defined by L_1 (Eq. 10) results in:

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$$L_1(z) = \left(\frac{1}{\gamma} - \psi\right) G(z - z^0).$$
 (Eq. 17)

189 Substituting the same equations into the cost function defined by L_2 (Eq. 13), results in:

190 $L_2(c,z) = (1 - \gamma \psi) U(\exp(-cz^0) - \exp(-cz)).$ (Eq. 18)

Figure 3 show the same trade-off as Figure 1 just with cost function added to the offspring 191 192 inclusive fitness (Eqs 1,2). Figure 3A show the new cost function, Figure 3C shows the cost 193 function proposed by Nöldeke and Samuelson [17], while Figure 3B shows a linear 194 combination of the two functions (α =0.5). The dots overlap with the stars, hence these cost 195 functions indeed remove the conflict of interest between parent and offspring. We provide 196 an interactive version in the *Electronic Supplementary Material* (ESM_interactive_figure.nb 197 and ESM_interactive_figure.cdf) that can be used to explore parameter ranges with or without signal cost as well as different linear combinations of these cost functions 198 199 (ESM interactive figure video.mp4 shows examples).

200 Figure 4 shows the actual values for the different cost functions L_1 (Figure 4B), L_2 (Figure 201 4D) and their linear combination (Figure 4C), when using Godfray's equation (Eqs. 15, 16). Red, yellow and green curves show the signal cost along the equilibrium path $(f_1(x^*(c)))$ and 202 $f_2(x^*(c))$). This cost can be calculated by substituting z with the amount of optimal parental 203 investment $\tilde{z} = \ln\left(\frac{\gamma U c}{c}\right)/c$ into Eqs. 17 and 18. Figure 4A shows how these equilibrium costs 204 compare to each other as a function of offspring quality c. Note, while the absolute value of 205 206 the equilibrium signal cost is different for each cost function but the partial derivative with 207 respect of z is the same along the equilibrium path (see Figure 4F, G and H). Figure 4E 208 illustrates this effect.

209 Discussion

According to Nöldeke and Samuelson [17] (and Eq. 13), based on Godfray's original differential benefit model [7], the cost of honest signalling should be proportional to parent's fitness loss. But is it the only solution that yields honest signalling in equilibrium? While the 213 existence of infinite costly equilibria is known in general [18], no other equilibrium has been 214 calculated yet in terms of Godfray's model. Here, we show that a second extremum exists when 215 the cost is proportional to offspring's fitness gain, which also yields a signalling equilibrium with 216 costly signals. Furthermore, we have demonstrated, that any linear combination of the two extreme cost functions is an equilibrium itself, which effectively proves that an infinite number 217 of honest, evolutionarily stable costly signalling equilibria exist for Godfray's model. While we 218 219 specifically derived the second extremum cost function for Godfray's model, our results have 220 important theoretical and empirical implications.

221 There are six major theoretical outcomes concerning the signalling of need, which apply 222 generally: (i) the population is not in equilibrium [16]; (ii) there is, on average, a shared 223 interest between parent and offspring, hence partially honest pooling equilibria can exist with 224 cost-free signals [11, 13]; (iii) there is an honest signalling equilibrium in a differential benefit 225 model [7], where the cost of signalling is proportional to the parent's fitness loss [17]; (iv) as 226 we have shown, there exists an honest signalling equilibrium in a differential benefit model 227 [7], where the cost of signalling is proportional to the offspring's expected fitness gain; (v) 228 there is an infinite number of honest signalling equilibria where the cost of signalling is 229 proportional to the linear combination of the cost functions of the previous two cases 230 including equilibria where the cost of signalling is smaller – even negative for some signallers 231 - than in any other equilibria; and finally (vi) it is possible, that a differential cost model offers 232 a better fit for parent-offspring communication (marginally mentioned in [19]. This could open up possibilities for other cost-free [20-22] or even negative cost equilibria [21]. 233

234 Another important implication of our results and the above considerations is that it is not 235 possible to decide in case of a real population (based on game theoretical models alone) 236 which one of the infinite numbers of costly honest equilibria will be achieved (provided that an honest separating equilibrium exists). In order to answer questions of which evolutionary 237 238 trajectory will be played out (or have been taken), a more dynamic approach is needed [10]. Godfray and Johnstone [10] calculate the fitness advantage of the signalling equilibrium to 239 240 the non-signalling equilibrium using the cost function of Nöldeke and Samuelson [17]. Our 241 results could significantly change the outcome of these types of calculations, affecting 242 seriously the evolutionary consequences. This is left for future work.

243 Since the publication of Godfray's [7] influential model, a lot of empirical research has been

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carried out to measure the "cost of begging". It was realized very early that the metabolic cost 244 245 of begging is not unreasonably high [23-25], and thus it probably does not fit the predictions of 246 costly signalling theory. Attempts to try to measure the cost of increased begging on growth 247 provided mixed results [26-28]. However, several types of other costs were proposed, like 248 predation risk [29-31], immunological [32-34] or oxidative costs [35]; for a review, see [36]. We must emphasize, that measuring any cost in absolute value is not enough [22, 37]: the measured 249 250 costs have to be *compared* to something, i.e. only relative measures are informative. One of the 251 reasons why the current empirical results are inconclusive is that we don't have any information 252 about how these costs relate to the benefits of the parties, though see Moreno-Rueda and 253 Redondo [34] for an exception. The results of Nöldeke and Samuelson [17] and the results 254 presented here, alongside with other theoretical results [21, 22], give us a guide how one can 255 meaningfully compare the costs of the different parties involved. It follows, that researchers of the field have to take into account (that is: measure) both the potential fitness loss of the parent 256 257 and the potential fitness gain of the offspring in parent-offspring communication when testing 258 the predictions of costly begging models.

259 Author's contributions

Sz.Sz. conceived the idea; Sz.Sz., D.C. and I.Z. analysed the model; I.Z. analysed the numerical
examples and created the figures; all three authors contributed to the writing of the paper.

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- 273 in life".
- 274
- 275 Electronic Supplementary Material
- ESM Appendix 1-2
- 277 ESM Interactive Figure Mathematica notebook
- 278 ESM Interactive Figure Mathematica interactive computable document format
- 279 ESM Interactive Figure Video
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281 Figure legends

282 Figure 1. Inclusive fitness functions and optima without signalling cost. A: $G = \frac{1}{2}$ (it provides a better layout) ; B: G = 0.08 (as in [7]). Parent's (yellow curve, function u according to Eq. 2 283 284 without signal cost f(x) and offspring (blue curve, function v according to Eq. 1 without signal 285 cost f(x) inclusive fitness functions, parameterized by z. The x coordinate value of parent's curve 286 is the parent's own fitness contribution q(c, z), the y coordinate value is the fitness contribution the offspring ($\gamma h(c, z)$); similarly, the x value of the offspring's curve is the parent's contribution 287 $(\psi q(z))$, the y value is the offspring's own fitness h(c, z). The actual inclusive fitness value is the 288 289 sum of the appropriate coordinate values, both for parent and offspring. Parameters are Z = 2, $\gamma = 1/2$, $\psi = \frac{1}{2}$, U = 1, c = 3. Yellow and blue stars indicate parent's and offspring's optimum. 290 Dashed lines are the calculated derivative tangents that touch optima at 45°, indicating 291 292 maximum fitness. The optimum z value for parent and offspring are not identical: the yellow dot 293 indicates what parent's fitness at the offspring's optimum z; blue dot is the offspring's fitness in 294 case of parent's optimum z.

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Figure 2. Inclusive fitness depending on offspring condition *c* and parental investment *z*. **A**: parental fitness. **B**: offspring fitness. Red line connects the equilibrium *z* values where $\tilde{z} = \ln\left(\frac{\gamma U c}{c}\right)/c$ holds. Parameters are Z = 10, G = 0.08, U = 1, $\gamma = \frac{1}{2}$, $\psi = \frac{1}{2}$.

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300 Figure 3. Inclusive fitness functions and optima with signalling cost. Parent's (yellow curve, 301 function *u* according to Eq. 2) and offspring (blue curve, function *v* according to Eq. 1) inclusive fitness functions, parameterized by z. The cost function of Eq. 14 is used with various α and β 302 303 values. The x coordinate value of parent's curve is the parent's own fitness contribution g(c, z), 304 the y coordinate value is the fitness contribution of all future offspring (y h(c, z)); similarly, the x 305 value of the offspring's curve is the parent's contribution (minus cost) ($\psi g(z) - f(\alpha, c, z)$), the y 306 value is the offspring's own fitness h(c, z). The actual inclusive fitness value is the sum of the 307 appropriate coordinate values, both for parent and offspring. Parameters are Z = 2, $\gamma = \frac{1}{2}$, $\psi = \frac{1}{2}$, 308 $U = 1, G = \frac{1}{2}, c = 3$. Yellow and blue stars indicate parent's and offspring's optimum. Dashed lines 309 are the calculated derivative tangents that touch optima at 45°, indicating maximum fitness. The 310 optimum z value for parent and offspring are always identical, regardless of α and β values. A: Cost function f_1 of Nöldeke and Samuelson [17] (α = 1). **B**: Cost function f_2 introduced in this 311 paper (α = 0). **C**: Linear combination of the above two cost functions f_1 and f_2 (α = $\frac{1}{2}$). 312

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Figure 4. Signalling cost functions, depending on offspring condition c and parental transfer z. 314 **A**: equilibrium signal cost $(f(x^*(c)))$ for the different cost functions. **B**: Signal cost function L_1 315 (Eq. 10). **C**: Linear combination of $\frac{1}{2}L_1 + \frac{1}{2}L_2$ (Eq. 14) **D**: signal cost function L_2 introduced in this 316 paper (Eq. 13). Red, green and orange curves describe the signal cost $(f(x^*(c)))$ along the 317 318 equilibrium path (which describes the equilibrium transfer function $(\tilde{z}(c))$ for parent as function 319 of c), these curves are shown in panel A. E: the partial derivative of the signal cost functions with 320 respect to z along the equilibrium path as a function of c. F: the partial derivative of signal cost 321 function L_1 (Eq. 10) with respect to z. G: the partial derivative of the linear combination of $\frac{1}{2}L_1 + \frac{1}{2}L_2$ (Eq. 14) **H**: the partial derivative of signal cost function L_2 introduced in this paper 322 (Eq. 13) with respect to z. Red, green and orange curves describe the partial derivative of the 323 324 respective signal cost functions along the equilibrium path with respect to z, these curves are shown in panel **E**. Parameters are Z = 10, G = 0.08, U = 1, $\gamma = \frac{1}{2}$, $\psi = \frac{1}{2}$. 325

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