1	NanoAmpli-Seq: A workflow for amplicon sequencing for mixed microbial communities on
2	the nanopore sequencing platform.
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32 Abstract

Background: Amplicon sequencing on Illumina sequencing platforms leverages their deep
sequencing and multiplexing capacity, but is limited in genetic resolution due to short read lengths.
While Oxford Nanopore or Pacific Biosciences platforms overcome this limitation, their
application has been limited due to higher error rates or smaller data output.

37 **Results**: In this study, we introduce an amplicon sequencing workflow, i.e., NanoAmpli-Seq, that 38 builds on Intramolecular-ligated Nanopore Consensus Sequencing (INC-Seq) approach and demonstrate its application for full-length 16S rRNA gene sequencing. NanoAmpli-Seq includes 39 40 vital improvements to the aforementioned protocol that reduces sample-processing time while 41 significantly improving sequence accuracy. The developed protocol includes chopSeq software 42 for fragmentation and read orientation correction of INC-Seq consensus reads while nanoClust 43 algorithm was designed for read partitioning-based *de novo* clustering and within cluster consensus 44 calling to obtain full-length 16S rRNA gene sequences.

45 Conclusions: NanoAmpli-Seq accurately estimates the diversity of tested mock communities with 46 average sequence accuracy of 99.5% for 2D and 1D2 sequencing on the nanopore sequencing 47 platform. Nearly all residual errors in NanoAmpli-Seq sequences originate from deletions in 48 homopolymer regions, indicating that homopolymer aware basecalling or error correction may 49 allow for sequencing accuracy comparable to short-read sequencing platforms.

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- 51
- 52 Keywords: amplicon sequencing, nanopore sequencing, *de novo* analyses, sequencing accuracy
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56 Background

57 Amplicon sequencing, particularly sequencing of the small subunit rRNA (SSU rRNA) gene and 58 internal transcribed spacer (ITS) regions, is widely used for profiling of microbial community 59 structure and membership [1-4]. The wide-scale application of amplicon sequencing has been driven mainly by the ability to multiplex 100's of samples on a single sequencing run and obtain 60 61 millions of sequences of target communities on high-throughput sequencing platforms [1, 4]. The 62 primary limitation of these commonly used technologies (i.e., Illumina's MiSeq, Ion Torrent PGM, 63 etc.) is that their read lengths are short, ranging from 150-400 bp [5]. While excellent at bulk 64 profiling of microbial communities through multiplexed deep sequencing, short read lengths are 65 limited in the taxonomic resolution of sequenced reads and more so, are not amenable to robust phylogenetic analyses to assess the relationship between sequences originating from unknown 66 67 microbes with those in publicly available databases. An important effect of the proliferation in short read sequencing applications has been a decrease in the rate at which long higher quality 68 69 sequences, particularly of SSU rRNA genes, are being deposited in public databases. This effect 70 is to some extent being mitigated through assembly and curation of near full-length SSU rRNA 71 genes from metagenomic datasets [6-9] and will continue to be mitigated with novel approaches 72 for SSU rRNA sequencing using synthetic long read approaches [10].

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The introduction of long-read single molecule sequencing platforms, such as PacBio's singlemolecule real-time sequencing (SMRT) and single molecule sensing technologies on the Oxford Nanopore Technologies (ONT) MinIONTM platform, has opened the possibility of obtaining ultralong reads [5, 11]. While sequencing throughput and raw data quality of long read single molecule sequencing approaches are yet to rival that of short read platforms, the ability to obtain ultra-long

reads can overcome several limitations of the latter [12]. For instance, long-read sequencing combined with various error correction approaches [13, 14] has been used to obtain high-quality single contig microbial genomes [14] or increase assembly quality of previously sequenced but fragmented eukaryotic genomes assemblies [15, 16], which was not feasible using short-read approaches. Long-read sequencing capabilities have also been recently leveraged to sequence near full-length SSU rRNA genes (e.g., 16S rRNA) [17-21] or even the entire *rrn* operon [20, 22].

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A majority of the studies utilizing either the SMRT or nanopore sequencing platforms have limited 86 87 data their analyses efforts to sequence classification, due to the fact that widely used sequence 88 classifiers are tolerant of high sequencing error rates [23, 24]. However, these classification-only 89 approaches are limited in their ability to differentiate between closely related sequences, risks false 90 detections (i.e., read incorrectly classified at the family or genus levels due to high error rates), 91 and are unable to identify organisms that are not represented in the reference databases. In contrast, 92 some studies have gone beyond sequence classification by using consensus sequence construction 93 to improve overall sequence accuracy. The consensus sequence creation efforts thus far can be 94 categorized into two approaches. The first approach involves mapping raw, noisy reads to custom 95 or publicly available reference databases (i.e., SILVA) [25]. Subsequently, reads mapping to the 96 same reference sequence are then used for the semi-automated or manual construction of a 97 consensus sequence using overlapping alignments [20, 22]. While this approach does result in 98 improved accuracy of the consensus sequence, clustering of reads based on mapping of noisy reads 99 to reference databases has significant limitations. First, incorrect read mapping to a reference is prevalent due to high error rates of raw reads. Second, the reliance on a reference database ensures 100 101 that reads originating from organisms not represented in the reference database are ignored. The

more robust alternative towards high accuracy consensus sequence generation would be a
 completely *de novo* approach, i.e., generation of a consensus sequence without the use of any
 reference database.

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106 To our knowledge, there are three reports of *de novo* data processing to reduce error rate from long 107 read sequencing of amplicons from mixed microbial communities [17, 21, 26]. Both Singer et al 108 [26] and Schloss et al [17] utilized the circular consensus sequencing approach of SMRT 109 sequencing coupled with a range of quality filtering (i.e., mismatches to primer, quality scores) 110 and sequence clustering (i.e., pre-cluster) to generate consensus sequences from reads clustered 111 into operational taxonomic units (OTUs) and achieved error rates of 0.5% [26] and 0.027% [17] 112 for full-length 16S rRNA gene sequencing libraries. For the later effort [17], the number of 113 operational taxonomic units (OTUs) of the processed data were also highly similar to the 114 theoretical number of OTUs in tested mock communities, indicating that the application of this 115 protocol for naturally derived mixed microbial communities is likely to result in robust diversity 116 estimates. Li et al. [21] developed Intramolecular-ligated Nanopore Consensus Sequencing (INC-117 Seq) protocol for consensus-based error correction of nanopore sequencing reads with a median 118 accuracy of 97-98%. The INC-Seq workflow involves amplicon concatermization to link multiple 119 identical copies of the same amplicon on a single DNA molecule, sequencing of the 120 concatamerized molecules using 2D sequencing chemistry on the nanopore sequencing platform, 121 followed by consensus-based error correction after aligning the physically linked concatemers on 122 each sequenced DNA strand. By using this approach, Li et al [21] were able to increase the median 123 sequence accuracy of processed reads to 97-98%. While this significant improvement allowed for

taxonomic classification of sequences to the species level, it did not allow for sequence clustering
for diversity estimation due to residual median error rates of approximately 2-3%.

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127 In this study, we leverage and expand on the INC-Seq protocol developed by Li et al [21] to 128 provide a complete workflow for amplicon sequencing and *de novo* data processing, NanoAmpli-129 Seq, applied to near full-length 16S rRNA gene of mock communities that results in high-quality 130 sequences with a mean sequence accuracy of 99.5±0.08%. The current version of NanoAmpli-131 Seq includes modifications to the library preparation protocol for INC-Seq and fixes a key issue 132 with INC-Seq consensus sequences while adding a novel read partitioning-based sequence 133 clustering approach which results in an accurate estimation of diversity of mixed microbial 134 communities and results in higher sequence accuracy by allowing within OTU sequence alignment 135 and consensus calling. Further, we demonstrate that NanoAmpli-Seq works equally well on the 136 (now obsolete) 2D sequencing chemistry and the recently released 1D2 sequencing chemistry on the MinIONTM device. While important limitations such as suboptimal re-construction of 137 138 community structure and error rate of $\sim 0.5\%$ remain, the proposed approach may be used for 139 sequencing of long amplicons from complex microbial communities to assess community 140 membership with cautious utilization of sequences from low abundance OTUs due to likely lower 141 sequence accuracy ranging from 99-99.5% accuracy.

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143 **Results**

Experimental design and workflow. The NanoAmpli-Seq protocol was developed and validated
 using amplicon pools consisting of near full-length 16S rRNA gene of a single organism (*Listeria monocytogens*) or an equimolar amplicon pool of near full-length 16S rRNA genes from 10

147 organisms (Supplementary Table S1). The amplicon pools were generated by PCR amplifying near 148 full-length 16S rRNA genes from genomic DNA of the target organism(s) using primers and PCR 149 reaction conditions as described in the materials and methods section. The respective amplicon 150 pools were subsequently prepared for sequencing using the INC-Seq workflow as outlined in 151 Figure 1, with a few significant modifications. Briefly, the amplicon pools were self-ligated to 152 form plasmid-like structures which was followed by digestion with plasmid-safe DNAse to remove 153 the remaining non-ligated linear amplicons. The DNA pool consisting of plasmid-like structures 154 was subject to rolling circle amplification (RCA) using random hexamer-free protocol using a 155 combination of primase/polymerase (PrimPol) and hi-fidelity Phi29 DNA polymerase [27]. The 156 RCA product was subject to two rounds of T7 endonuclease I debranching and g-TUBE 157 fragmentation followed by gap filling and DNA damage repair. Description of the protocol 158 including reagent volumes and incubation conditions is provided in the materials and methods and 159 a step-by-step protocol is provided in the supplementary text. The prepared amplicon pools for 160 both single organism and 10 organism mock community samples were then subject to library 161 preparation using the standard 2D (SQK-LSK208) (Runs 1 and 2) and 1D2 (SQK-LSK308) (Runs 3 and 4) kits using ONT specifications and sequenced on the MinIONTM MK1b device followed 162 163 by basecalling using Albacore 1.2.4.

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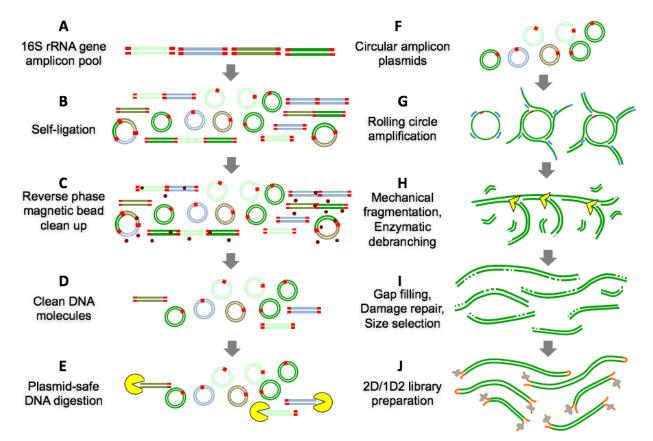
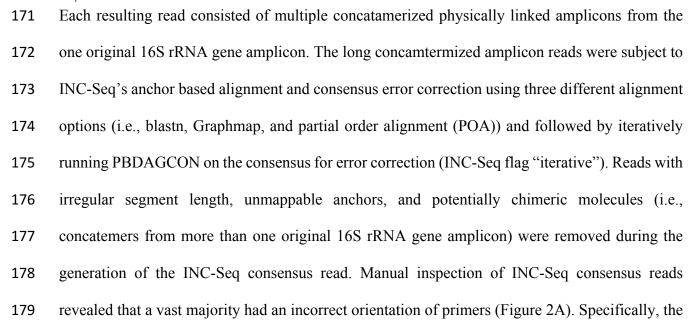


Figure 1: Overview of the sample preparation protocol for 16S rRNA gene pool preparation, plasmid-like structure preparation, enzymatic debranching and mechanical fragmentation, and 2D and 1D2 library preparation including intermediate clean-up steps.



forward and reverse primers did not occur at the ends of the INC-Seq consensus reads but rather were co-located at varying positions along the length of each read. Efforts to manually split INC-Seq reads and re-orient the forward and reverse splits based on primer orientation revealed the presence of tandem repeats of nearly identical sequences, which affected efforts to merge the forward and reverse read splits (Figure 2B, 2C).

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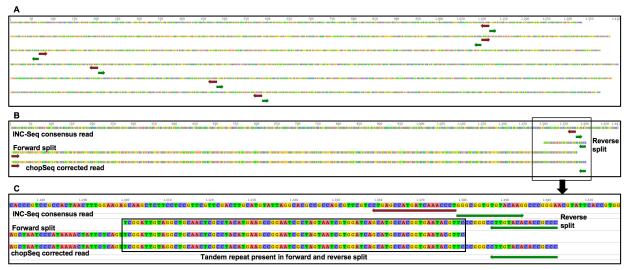


Figure 2: (A) Example of INC-Seq consensus reads showing the improper orientation with forward (maroon) and reverse (green) primers co-located and incorrectly oriented. (B) Manual splitting and re-orientation of the reads revealed the presence of tandem repeats in the forward and reverse splits which were identified and removed using chopSeq. (C) An expanded view of tandem repeat region in Figure 2B.

187	To this end, we developed the chopSeq algorithm as part of the NanoAmpli-Seq workflow. The
188	chopSeq algorithm uses pairwise2 open source library from Biopython package to identify user
189	provided primers (forward "-f", reverse "-r") sequences including degenerate bases in the INC-
190	Seq consensus reads. Primer detection is carried out in different orientations and primer match
191	scores for each orientation are generated. Subsequently, primer sequences in the INC-Seq
192	consensus read with the highest mean score are re-oriented and any overhang is removed. Re-
193	orienting reads using primer orientation resulted in the identification of insertions consisting of
194	repeated sequence patterns, i.e., tandem repeats. These tandem repeats were identified using

195 etandem algorithm from **EMBOS** open software package source 196 (http://emboss.bioinformatics.nl/cgi-bin/emboss/etandem) and various features of these repeats 197 were delineated, i.e. tandem minimum repeat, tandem maximum repeat, and mismatch rate. The 198 percent identify between tandem repeat is estimated iteratively measuring the sequence similarity 199 between co-occurring segments using window size ranging from 10 bp to 350 bp with diminishing 200 sequence similarity threshold with increasing window size. The sequence similarity threshold with 201 increasing window size was applied as longer tandem repeats tend to have lower similarity to each 202 other compared to shorter. After completing re-orientation of reads in the removal of tandem 203 repeats, the forward and reverse splits are merged into a single read, and any read that does not 204 match prescribed length threshold (i.e., 1300-1450 bp) is discarded. This process of primer 205 identification and tandem repeat removal can also be visualized by turning on verbosity mode (flag 206 = -v) and the results can be exported in fasta format.

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208 To enable fully reference-free analyses, we developed the nanoClust algorithm which takes the 209 fasta file of chopSeq corrected reads as input and then performs read-partitioning based *de novo* 210 clustering using VSEARCH [28] to delineate OTUs at a user-specified sequence similarity 211 threshold (i.e., 97% in this study) followed by within OTU read alignment and consensus calling 212 for each OTU. The nanoClust algorithm is written in python and requires Biopython packages such as Seq, SeqIO, AlignIO, aligninfo and pairwise2. This algorithm was explicitly designed for 213 214 de novo clustering because standard de novo clustering approaches such as VSEARCH [28] and 215 the clustering approaches available in mothur [29, 30] vastly overestimated the richness of the 216 mock community when using chopSeq corrected reads (see details below). The nanoClust 217 algorithm takes chopSeq corrected reads in fasta format, splits the reads into partitions based on

user-defined partition size, implements VSEARCH [28] for dereplication, chimera detection and 218 219 removal in each partition, and clustering for each partition to identify the partition category with 220 optimal (i.e., maximum) number of OTUs (not counting singleton OTUs) and (3) discards 221 singletons. Following this, nanoClust extracts read IDs for each OTU bin from the best performing 222 partition, the extracted read IDs for each OTU bin are then used to obtain full-length chopSeq 223 corrected reads, a subset of reads that fall within 10% of the average full-length read distribution 224 within each OTU bin are aligned using Multiple Alignment using Fast Fourier Transform 225 (MAFFT) [31, 32] with G-INS-i option, followed by consensus calling to obtain full-length 226 representative sequence for each OTU. The entire data processing workflow is shown in Figure 3.

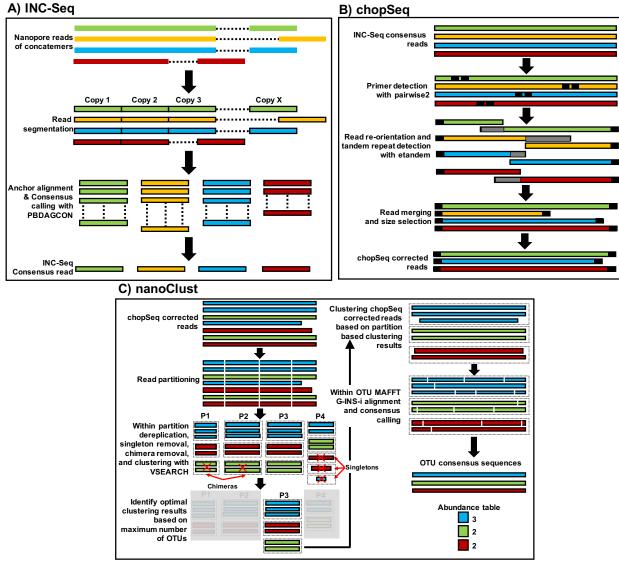


Figure 3: (A) Overview of the INC-Seq based anchor alignment and iterative consensus calling using PBDAGCON. **(B)** INC-Seq consensus reads were subject to chopSeq based read reorientation followed by tandem repeat removal and size selection to retain reads between 1300-1450bp. **(C)** chopSeq corrected reads are subject to partitioning followed by VSEARCH based binning to identify optimal binning results using partition that generates maximum number of OTUs (without singletons). MAFTT-G-INS-i was then used for sequence alignment of a subset of full length reads from each OTU bin for the best performing partition and the alignment was used to create the OTU consensus read.

228 Modifications to the original INC-Seq protocol significantly reduces time required for 229 amplicon concatemer pool preparation. While the proposed DNA preparation protocol is based 230 on the previously developed INC-Seq approach [21], it contains multiple improvements that allow 231 for faster and more efficient library preparation. These modifications include reduced incubation times for self-ligation step and plasmid-safe DNAse digestion process. More importantly, the 232 233 current protocol utilizes Tth PrimPol [27] and Phi29 DNA polymerase enzymes for RCA which 234 minimizes the formation of unspecific products that may occur when using random hexamers. 235 Similarly, the NanoAmpli-Seq protocol utilizes T7 endonuclease I enzyme for enzymatic 236 debranching of RCA product combined with mechanical fragmentation step involving use of the g-TUBE. Thus, while our protocol increases the number of intermediate steps for sample 237 238 preparation, by optimizing each step, it reduces the overall time required for sample DNA 239 preparation to 6 hours (approximately 70% reduction compared to the original INC-Seq protocol). 240 These improvements not only result in analyses of near full-length 16S rRNA gene (i.e., twice the 241 amplicon size of the previously developed INC-Seq approach), but the combination of the 242 improved protocol with appropriate data processing modifications resulted in significant increase 243 in high-quality data post-processing.

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NanoAmpli-Seq data yield for 2D and 1D2 experiments. Runs 1, 2, 3, and 4 resulted in 29420, 59490, 142233, and 301432 raw records with post basecalling read lengths ranging from 5bp to 43kbp and 5bp to 234kbp for 2D and 1D2 sequencing protocols (Supplementary Figure S1). The pass reads to total raw reads ratio ranged from 28% for 2D and 7-9% for the 1D2 experiments (Table 1). It is unclear if the low yield of pass reads, particularly for the 1D2 experiments, were due to the concatemerization process, DNA damage during enzymatic debranching and 251 mechanical fragmentation that was unrepaired in the subsequent steps, or due to basecalling issues. All pass reads were subjected to INC-Seq processing to allow for consensus-based error correction 252 253 using reads with a minimum of three concatemers per read (i.e., reads with less than three concatemers were excluded for any subsequent analyses) as compared to six concatemer threshold 254 255 used by Li et al [21]. The number of concatemers per read passing INC-Seq threshold ranged from 256 3 to 21 and 3 to 42 for 2D and 1D2 data (Supplementary Figure S1). The total number of reads 257 passing the three concatemer threshold ranged from 36-75% of the base called reads depending on 258 the experiment, sequencing protocol, and alignment approach during INC-Seq processing (Table 259 1). This was significantly higher than those reported by Li et al [21], primarily due to the use of 260 three compared to six concatemer threshold recommended previously.

261 **Table 1:** Summary of the total number of reads and median read lengths at each step of the data 262 processing workflow for all experiments.

	Number of Reads Median Read Length								
Protocol	2D	1D2	2D	1D2	2D	1D2	2D	1D2	
Experiment	One	One	Ten	Ten	One	One	Ten	Ten	
	organism	organism	organism	organism	organism	organism	organism	organism	
Run	1	4	2	3	1	4	2	3	
Raw records	29420	301432	59490	142233	-	-	-	-	
Pass reads	8108	20888	16403	12011	4868	7305	5401	6418	
INC-Seq aligner	Blastn								
INC-Seq	3911	7618	7011	9081	1394	1433	1396	1413	
chopSeq	3911	7618	7011	9081	1383	1387	1377	1374	
chopSeq-size									
select	3748	7288	5186	7265	1384	1388	1377	1375	
nanoClust	2997	6153	3677	5465	1396	1398	1384	1386	
INC-Seq aligner	Graphmap								
INC-Seq	3902	7631	7004	9169	1400	1439	1401	1420	
chopSeq	3902	7631	7004	9169	1384	1388	1378	1377	
chopSeq-size									
select	3765	7399	5190	7496	1384	1389	1377	1377	
nanoClust	2981	6179	4141	5490	1397	1396	1384	1386	
INC-Seq aligner	POA								
INC-Seq	3913	7643	7025	9191	1414	1457	1415	1443	
chopSeq	3913	7643	7025	9191	1394	1396	1386	1387	
chopSeq-size									
select	3779	7088	5076	6954	1394	1396	1385	1386	
nanoClust	3184	5993	3916	5622	1398	1389	1384	1386	

264 INC-Seq processed reads demonstrated incorrect read orientation and presence of tandem 265 repeats. While the median read lengths for post INC-Seq were generally in the expected range 266 (i.e., 1350-1450 bp) (Table 1) and similar for all three alignment methods used (Supplementary 267 Figure 2), manual inspection of the reads revealed several instances of incorrect read orientation (Figure 2). The amplicon pool preparation protocol relies on RCA of plasmid-like structures 268 269 constructed through self-ligation of linear amplicons followed by a combination of enzymatic debranching and mechanical fragmentation to generate linear molecules with multiple 270 271 concatemers. Considering the fragmentation and debranching steps are not driven by sequence specificity, it would be reasonable to assume that the resulting linear amplicon is unlikely to have 272 273 the correct orientation, i.e. 16S rRNA gene-specific forward and reverse primers do not flank the 274 entire amplified region. Indeed, we found a vast majority of the 2D and 1D2 INC-Seq consensus 275 reads were incorrectly oriented for the single organism sequencing runs, with forward and reverse 276 primers not located at the ends of the reads. As a result, the reads were chopped at the primer sites 277 and re-oriented to allow for the forward and reverse primers to be correctly oriented. However, 278 during the process of read re-orientation, we also discovered the presence of inserts in the form of 279 tandem repeats. Additional inspection of these inserts revealed that they were composed of 280 multiple repetitive sequences, with the length of these inserts ranging from 10 bp to in excess of 281 1500 bp (for rare cases) with median tandem repeat size ranging from 12 to 62 bp. The proportion 282 of INC-Seq processed reads with tandem repeats varied from 60-75% but did not reveal any significant effect of type of aligner used during INC-Seq consensus calling or the sequencing 283 chemistry itself. Interestingly, however, the length distribution for the tandem repeats was strongly 284 285 associated with the sequencing chemistry (Figure 4).

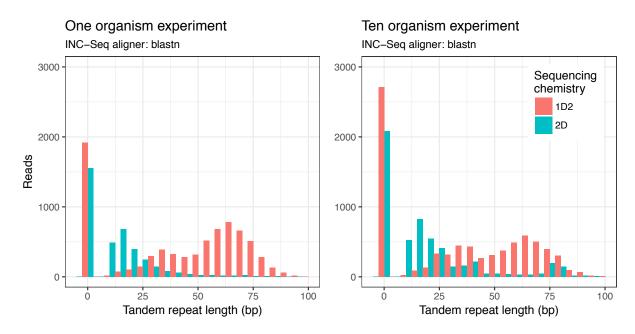


Figure 4: Histogram of tandem repeat length distribution of the INC-Seq processed reads did not show any effect of the aligner
 used during the INC-Seq process, but rather a marked effect of the sequencing chemistry. Results are only shown for INC-Seq
 consensus reads generated using blastn aligner.

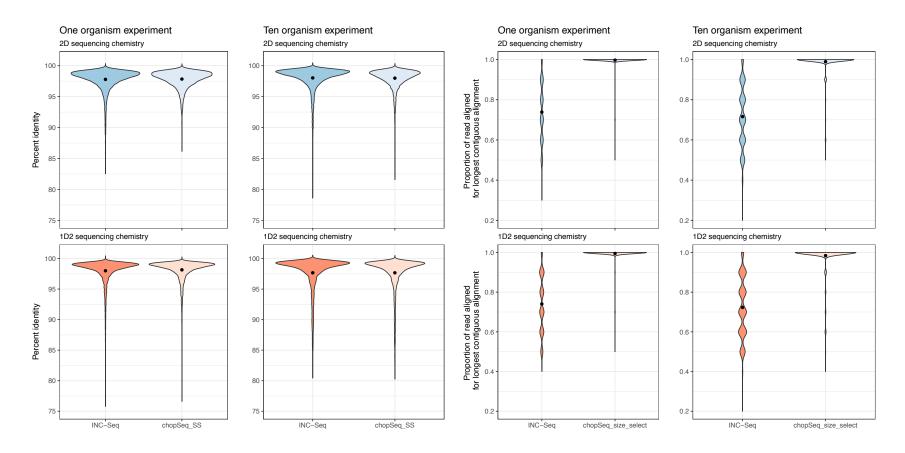
Specifically, the 1D2 reads had longer tandem repeats as compared to the 2D reads and demonstrated a bimodal distribution of tandem repeat lengths as compared to the 2D data which showed a unimodal tandem repeat length distribution (Figure 4). While the template and complements in the 2D sequencing chemistry are physically linked by a hairpin adapter, they are not physically linked in the 1D2 sequencing chemistry; this could likely be the cause of differences in tandem repeat length distribution between 2D and 1D2 experiments.

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298 Read re-orientation and tandem repeat removal significantly improves sequence quality.

BLASTn analyses of INC-Seq reads against reference database composed of 16S rRNA gene sequences of one (Run1 and Run4), or ten organisms (Run 2 and 3) revealed that a combination of incorrect read orientation and presence of tandem repeats significantly affected overall sequence quality. While the average sequence similarity between INC-Seq consensus reads and the reference 303 sequence was $97\pm0.37\%$, the portion of the INC-Seq consensus read demonstrating a contiguous 304 alignment to the reference sequence varied significantly (Figure 5); the remaining section of the 305 read typically resulted in shorter secondary alignments with similar sequence similarity to that of 306 the primary alignment. However, post chopSeq the average proportion of the read aligning to the 307 reference sequences increased to 96±2.3% with additional step of discarding reads less than 1300 308 bp and greater than 1450 bp increasing the average proportion of the read aligned to 98.4±0.7% 309 while the sequence similarity between chopSeq (97.5±0.42%) and chopSeq followed by size 310 selection (chopSeq_SS) (98±0.23%) remained similar to or slightly better than INC-Seq processed 311 read. This demonstrates that read reorientation and tandem repeat removal resulted in 312 reconstruction of reads with a high level of similarity to the reference sequences (Figures 5).

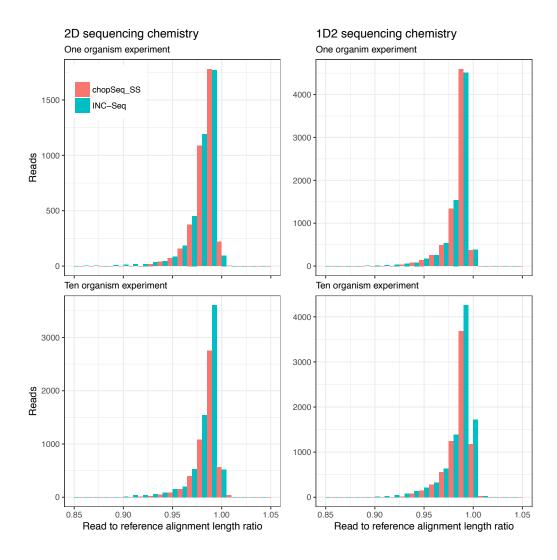


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Figure 5: While the distribution of percent identities of INC-Seq and chopSeq processed reads (chopSeq_SS) to reference sequences was on average 97-98%, variable lengths of the INC-Seq processed reads aligned to the reference sequences. In contrast, nearly the entire length of the chopSeq processed reads aligned to the reference sequence without

317 affecting overall sequence similarity. Results are only shown for INC-Seq reads generated using blastn aligner.

Inspections of the read to reference alignment length ratio indicated that the primary source of sequence error for both INC-Seq and chopSeq corrected reads originated from deletions; i.e., the majority of reads had a read to reference alignment ratio lower than 1. While deletions in reads were also strongly associated with sequence accuracy for post chopSeq and size selected reads, a small proportion of chopSeq corrected reads showed read to reference alignment ratios of greater than 1 (Figure 6), suggesting that insertions were less prominent than deletions.



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Figure 6: The ratio of the alignment length of INC-Seq and chopSeq corrected reads to that of the corresponding reference sequences was consistently lower than 1, suggesting that deletions in the INC-Seq and chopSeq corrected reads were the primary cause of dissimilarity with the reference sequences. Results are only shown for INC-Seq reads generated using blastn aligner.

329 De novo clustering of chopSeq corrected sequences followed by within cluster consensus 330 calling significantly enhances sequence accuracy. The overall sequence accuracy increased to an average of 97.9±0.23% following chopSeq read correction and size selection with 98±0.23% 331 332 of the read aligning to the reference (Figure 5). However, approximately 5 and 10% of reads for the 2D and 1D2 runs, respectively, exhibited sequence accuracy of less than 95% with some 333 334 sequences aligning over less than 50% of the read length even after chopSeq correction and size 335 selection. These poor-quality reads could not be selectively filtered out based on any commonly 336 used quality filtering criteria (e.g., maximum homopolymers length, primer mismatches, etc.) and 337 significantly affected clustering of reads into OTUs. For instance, VSEARCH based clustering of 338 full length post chopSeq and size selected reads (INC-Seq aligner: blastn) at a 97% sequence 339 similarity threshold resulted in 817 (with 777 singletons) and 1301 (with 1238 singletons) for 2D 340 and 1D2 data for single organism experiment and 2122 (with 1742 singletons) and 2725 (with 341 2447 singletons) for 2D and 1D2 data for 10 organism experiments. We hypothesized that accrual 342 of residual errors over the entire read length hampered the accuracy of the OTU clustering and that 343 accurate clustering was more likely over shorter regions of the reads due to fewer absolute errors. 344 To this end, we developed nanoClust which utilizes partitioning of reads in user-defined lengths, followed by application of VSEARCH within each partition for dereplication, singleton removal, 345 346 chimera detection and removal, clustering at user-defined sequence similarity threshold (i.e., 97% 347 sequence similarity in this study), followed by within cluster sequence clustering and consensus 348 calling.

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We tested the effect of the choice of partition length on the estimation of the richness of the mock communities (i.e., number of observed OTUs) and overall sequence accuracy post within-OTU MAFFT-G-INS-i alignment and consensus sequence construction. To this effect, we varied the number of partitions from one (i.e., partition length of 1300 bp) to seven (i.e., partition length 180 bp). With increasing number of partitions (i.e., decreasing partition length), the number of OTUs being detected were significantly inflated above the theoretical threshold while at the same time the average sequence accuracy decreased (Figure 7).

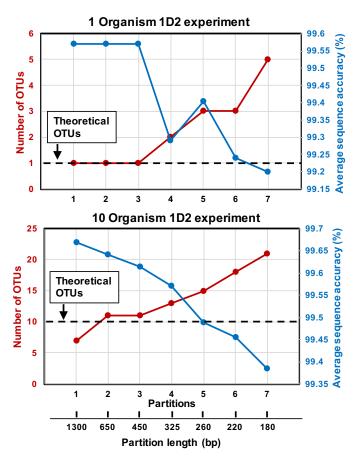


Figure 7: Increasing the number of partitions (and decreasing partition length) during nanoClust processing results in inflation in the number of OTUs observed and a decrease in overall sequence accuracy.

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This was consistent for both the one organism and 10 organism experiments for both 1D2 and 2D experiments (Figure 7). As the partition size decreased the number of OTUs decreased and the overall sequence accuracy increased. The highest average sequence was observed with a single partition, but the number of OTUs was lower than theoretical for the 10-organism experiment. 362 Further, using a single partition approach also resulted in discarding a significant number of 363 sequences that were deemed singletons prior to OTU clustering. Specifically, while three less 364 OTUs were detected in the single partition approach the total number of sequences retained post 365 clustering were 20% lower as compared to when two or three partition approach was used. 366 Considering the tradeoff between sequencing depth (and the resultant impact on detection of lower 367 abundance OTUs), the extent of deviation from the theoretical number of OTUs and overall 368 sequence accuracy, we recommend using either the two or three partition approach which result in 369 similar outcomes on all three metrics.

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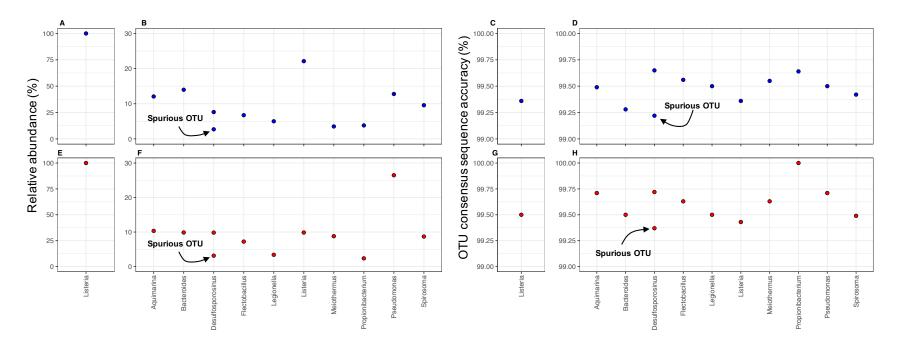
Table 2: Number of OTUs detected and consensus sequence accuracy for all experiments using the 371 272 nanoClust for OTU clustering and consensus calling approach.

372	nanoui

	Number of OTUs				Average Consensus Accuracy (%)			
Protocol	2D	1D2	2D	1D2	2D	1D2	2D	1D2
Experiment	One	One	Ten	Ten	One	One	Ten	Ten
	organism	organism	organism	organism	organism	organism	organism	organism
Run	1	4	2	3	1	4	2	3
Theoretical	1	1	10	10			_	
INC-Seq aligner	Blastn							
OTUs detected	1	1	11	11	99.36	99.5	99.47	99.61
Spurious OTUs	0	0	1	1	-	-	99.22	99.37
Non-Detect	0	0	0	0	-	-	-	-
INC-Seq aligner	Graphmap							
OTUs detected	1	1	11	11	99.43	99.43	99.44	99.61
Spurious OTUs	0	0	1	1	-	-	99.29	99.5
Non-Detect	0	0	0	0	-	-	-	-
INC-Seq aligner	POA							
OTUs detected	1	2	10	12	99.5	99.61	99.60	99.52
Spurious OTUs	0	1	0	2	-	99.57	-	98.67
Non-Detect	0	0	0	0	-	-	-	-

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374 The nanoClust approach with three partitions was far superior to the direct clustering of full-length 375 reads and resulted in an accurate determination of the number of OTUs, with one-two spurious 376 OTUs (when using 3 concatemers threshold for INC-Seq) and no false negatives (Table 2) 377 depending on the type of experiment and INC-Seq aligner used. MAFFT-G-INS-i alignment of 378 50 reads from each OTU resulted in consensus reads with the entire read aligned to the reference, and average consensus read of 99.5% and accuracy values for individual OTUs ranging from 99.2 379 to 100%. Nearly all errors in the nanoClust consensus reads originate from single base pair 380 381 deletions in a few homopolymers regions (homopolymers > 4 bp) and no detectable insertions, 382 with one-two mismatches associated with the spurious OTUs (Figure 8). While accurate OTU 383 estimation allowed for single OTUs to be detected in the one organism experiment, the overall 384 community structure deviated from the theoretical community structure for the ten organism 385 experiments (Figure S4) and thus additional protocol optimization is essential to ensure levels of deviation from theoretical community structure does not exceed what may be seen from PCR 386 biases [33]. Phylogenetic analyses of the consensus sequences demonstrated close placement of 387 388 the OTU consensus sequences with their corresponding references, with excellent pairwise 389 alignment between the two (Supplementary Figure S3).



390

Figure 8: Relative abundance of OTUs for one (A, E) and ten organism experiments (B, F) for 2D (blue data points) and 1D2 (red data points) experiments post nanoClust when

using blastn algorithm during INC-Seq. nanoClust clustering and consensus sequence generation resulted in few spurious OTUs and average similarity to the reference sequence
 of ~ 99.5%. Results are shown for one (C, G) and ten organism experiments (D, H) for 2D (blue data points) and 1D2 (red data points) experiments with the use of blastn during

394 INC-Seq. The results were similar for Graphmap and POA alignment methods used during INC-Seq.

395 The nanoClust implementation in this study included a specified threshold of a maximum of 50 396 reads per OTU to generate OTU consensus sequences. This was feasible because our study 397 focusses on a single organism and even mock community of ten organisms. Thus, the process of 398 consensus construction was not limited by the number of reads that could be recruited. However, 399 it would be critical to determine the potential for poor quality consensus sequence due to fewer reads with an OTU in naturally derived mixed microbial communities. To this end, we varied the 400 number of reads used for consensus sequence construction from 5 to 100 for 2D and 1D2 data 401 from the one organism experiment (Figure 9). 402

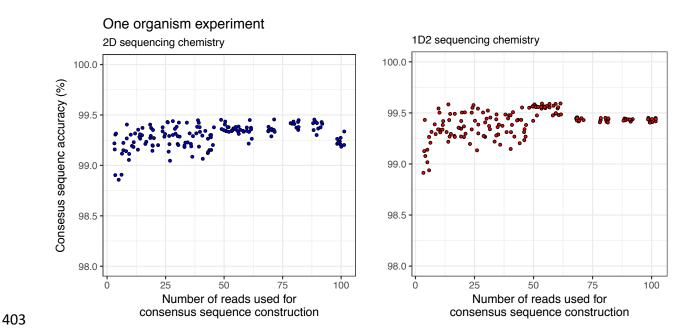


Figure 9: Consensus sequence accuracy plateaus with the use of 10-15 reads for MAFFT-G-INS-i alignment and consensus calling. However, with increasing number of reads used for consensus calling the variability in consensus sequence accuracy from repeated sampling of data diminishes significantly for both 2D and 1D2 sequencing chemistry. Data is shown for one organism experiment where the blastn aligner was used during INC-Seq.

The consensus sequence accuracy surpasses 99% with the use of more than five reads for consensus sequence construction and plateaus at approximately 10-15 reads. However, the variability in accuracy with repeated random sampling of data was much more pronounced when fewer than 50 reads were used for both 2D and 1D2 data. This suggests that consensus sequence accuracy is reliably high only for OTUs where a minimum of 50 reads are available for use in
constructing the consensus sequence. This would have an impact on sequence quality of low
abundance OTUs.

415

416 NanoAmpli-Seq based improvements in sequence accuracy are not primarily associated with 417 changes in nanopore sequencing chemistry. The INC-Seq study [21] utilized data generated 418 from flow cells with R7 pores, while the present study used R9.4 and 9.5 pores with reported 419 higher sequencing accuracy. Improvements in sequencing chemistry and basecalling allowed us 420 to reduce the concatemer threshold for INC-Seq from six to three, which significantly increased the amount of data used for analysis. The second significant improvement of the updated 421 422 sequencing chemistry is the much higher sequencing output. However, neither of these 423 improvements result in improved data quality post INC-Seq processing alone (Figure 5). Thus, the 424 chopSeq and nanoClust algorithms are critical for obtaining 99.5% sequence accuracy.

425

426 To demonstrate this, we re-processed the "Ladder replicate" data made available through the 427 original INC-Seq study [21] using the NanoAmpli-Seq workflow. While re-analyzing R7 chemistry generated data from Li et al [21], we detected tandem repeats and incorrect primer 428 429 orientation issue highlighted in this study. chopSeq was successfully able to remove tandem 430 repeats and re-orient reads such that nearly the entire length of the read was now correctly aligned 431 to the reference sequence for most of the reads. Thus, while INC-Seq reaches a median sequence accuracy of 97-98% (as described in the Li et al. [21]), post-processing by chopSeq improves read 432 quality through read re-orientation and tandem repeat removal (Figure 10). 433

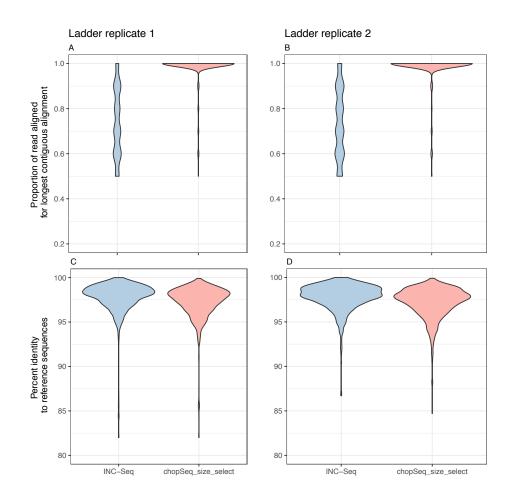


Figure 10: chopSeq based read re-orientation and tandem repeat removal allowed for nearly the entire length of the read to be aligned to the reference sequences (A, B) while maintaining the median sequence accuracy of INC-Seq consensus reads to ~97-98%.

Furthermore, nanoClust based clustering and consensus calling results in an average sequence accuracy of 99.5% for the data generated by Li et al [21]. The data generated by Li et al. [21] included the V3-V6 region of the 16S rRNA gene from 10-organism mock community with a staggered community structure including closely related organisms. This resulted in a theoretical number of 8 OTUs at 97% sequence similarity. Specifically, *Staphylococcus aureus* and *Staphylococcus epidermis* clustered into a single OTU at 97% sequence similarity (their 16S rRNA gene V3-V6 hypervariable regions are 98.8% similar to each other) and *Klebsiella pneumoniae*

. . .

457 and Salmonella typhimurium clustered into a single OTU at 97% sequence similarity (their 16S rRNA gene V3-V6 hypervariable regions are 97.6 % similar to each other). Further, the Li et al. 458 459 [21] only generated 2100 INC-Seq consensus reads combined for the two replicate sequencing 460 runs. As a result, two of the low abundance OTUs with a relative abundance of 0.2% (*Neisseria*), and 0.1% (Faecalibacterium) were not detected after processing with chopSeq and nanoClust. This 461 462 non-detection of low abundance OTUs is primarily a function of low sequencing depth rather than of the NanoAmpli-Seq workflow. Further, the sequence accuracy of most of the detected OTUs 463 was in excess of 99.5%, while that of a single OTU (i.e., Fusobacterium) was 98.75% (Figure 11). 464 Thus, we conclude that incorporating chopSeq correction of INC-Seq consensus reads followed 465 by nanoClust based clustering and consensus calling was vital for improved sequence accuracy, 466 467 irrespective of the changes in the sequencing chemistry.

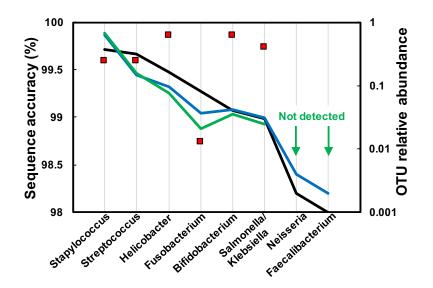


Figure 11: Sequence accuracy of detected OTUs post nanoClust for combined ladder replicate was ~99.5% (red squares). Two OTUs were not detected post nanoClust processing (green line) due to their low abundance (<0.5%) in the constructed mock community (black line). The blue line shows the relative abundance as reported by Li et al.

468

469

471 Discussion and conclusions

472 The current study uses mock communities to develop and validate the NanoAmpli-Seq workflow 473 for long amplicon sequencing on the nanopore sequencing platform. While this study focusses on 474 the near full-length 16S rRNA gene, in principle the approach outlined by the NanoAmpli-Seq workflow should be amenable to amplicons generated from PCR amplification of any target gene 475 476 irrespective of target gene length. While leveraging the previously described INC-Seq protocol, 477 NanoAmpli-Seq adds several novel components which significantly enhances the amplicon 478 sequencing workflow for the nanopore platform. The improvements over the previously described 479 INC-Seq protocol involve modifications to both library preparation (i.e. PrimPol based primer 480 synthesis for RCA, debranching and fragmentation, shorter protocol length) and the data analyses. 481 Specifically, we identify and fix the issues associated with incorrect read-orientation and presence 482 of tandem repeats in INC-Seq consensus reads, thus allowing for nearly the entire length of the 483 chopSeq corrected reads to be aligned to the reference with accuracies (97-98%) similar to those described by Li et al [21]. While the original INC-Seq protocol prescribed a concatemer threshold 484 485 of six, we halved the concatemer threshold to three. Thus, more than doubling the number of INC-486 Seq consensus reads available as a proportion of the base called reads. We could use a lower INC-Seq concatemer threshold due to both enhances in basecalling and sequencing accuracy of the 487 488 nanopore platform [34] and the ability to perform another round of alignment and consensus calling 489 during the nanoClust step.

490

491 The correction of INC-Seq consensus reads using chopSeq did not allow for sequences with high 492 enough quality for direct OTU clustering using VSEARCH. However, the read partitioning-based 493 sequence clustering allowed for accurate determination of the number of OTUs in the mock 494 community. Further, *de novo* sequence clustering using nanoClust provided the opportunity to 495 significantly increase the number of sequences used for consensus calling. In this study, we used 496 50 reads for consensus calling (i.e. 150x coverage considering three concatemer threshold set for 497 INC-Seq) which resulted in average sequence accuracy of 99.5%. The use of more than 50 reads for consensus calling in nanoClust did not improve sequence accuracy while reducing the number 498 499 of reads resulted in reduced precision. This threshold of 50 reads for both the 2D and 1D2 500 sequencing data suggests that the OTUs with fewer than 50 reads are likely to have sequence 501 quality lower than those OTUs with greater than 50 reads. It should however be noted that using a 10 read threshold (i.e., 30x coverage when including three concatemer threshold for INC-Seq) 502 consistently allowed for sequence accuracy consistently higher than 99% and thus sequence 503 504 classification to species level using any of the current sequence classification approaches [35, 36] 505 (i.e., RDP classifier) would be reliable even for lower abundance OTUs.

506

507 While the NanoAmpli-Seq workflow represents a significant improvement in amplicon 508 sequencing on the nanopore platform, some fundamental limitations remain. For instance, the 509 NanoAmpli-Seq sequence accuracy is still lower than those reported for short amplicons [3] or 510 those generated from the assembly of SSU rRNA from metagenomic sequencing on the Illumina 511 Platform [7, 9]⁷ and the full-length 16S rRNA sequencing on the PacBio platform using the 512 approach described previously [17]. Our analysis shows that the sequence accuracy does not 513 improve with more than 50 sequences used in the nanoClust based consensus calling process. 514 Nearly all the errors in the OTU consensus sequences originate from single deletions at homopolymers regions, specifically for homopolymers greater than 4 bp. This homopolymer error 515 516 issue on the nanopore platform is well known [14, 37] and is likely to best resolved during the base

517 calling process rather than subsequent data processing or by processing signal data (rather than 518 base called data) all the way through clustering, followed by base calling as the final step. The 519 second limitation of our approach is the low data yield at the base calling step, i.e. base called 520 reads represents only a small portion (i.e., 7-9% for 1D2 data) of the raw records. This data loss is significant and could potentially deter the widespread use of the nanopore platform for amplicon 521 522 sequencing. While the precise cause of the low yield of pass reads post base calling is unclear, the 523 proportion of pass reads in our study are not significantly different from those reported elsewhere. 524 One current option would be to directly work with 1D rather than 1D2 data. However, the maximum sequence accuracy of 1D reads post INC-Seq consensus construction was only 94% and 525 526 unsuitable for processing with chopSeq and nanoClust. The NanoAmpli-Seq workflow includes a 527 de novo clustering step, and as long as the sequence accuracy post chopSeq is ~97% (3-10 528 concatemers required), the binning process should provide for sufficient coverage for consensus-529 based sequence correction to accuracies in excess of 99%. The final limitation of our approach is 530 that the nanoClust relies on generating consensus sequences from multiple DNA sequences and 531 thus there is the likelihood of clustering and generating a multi-species consensus from closely 532 related species, i.e. those within 97% sequence similarity to each other. While we did not find 533 evidence for this "multispecies consensus sequence" while analyzing data from Li et al. [21] which 534 included closely related organisms, this possibility cannot be ignored. And thus, we recommend 535 that researchers refrain from depositing NanoAmpli-Seq processed sequences in publicly available 536 references databases, but utilize this approach for rapid screening of mixed microbial communities 537 and limit the use of NanoAmpli-Seq processed data for within-study sample microbial community comparisons. Future improvement to avoid the likelihood of "multispecies consensus sequence" 538 539 would be to utilize primers with barcodes consisting of random N bases (i.e., unique molecular tags), similar to that used by Karst et al. This could allow clustering of reads originating from thesame original sequence using the unique molecular tags.

542

543 Methods

544 Mock community description and preparation

545 Two different mock communities were constructed for the experiments outlined in this study. First, 546 a single organism mock community was constructed by amplifying the near full-length of the 16S rRNA gene from genomic DNA of Listeria monocytogens using primers sets 8F (5'-547 AGRGTTTGATCMTGGCTCAG-3') and 1387R (5'-GGGCGGWGTGTACAAG-3'), both with 548 5' phosphorylated primers (Eurofins Genomics)²⁶. Phosphorylated ends are essential for the 549 550 subsequent self-ligation step. PCR reaction mix was prepared in 25µl volumes with use of 12.5µl 551 of Q5® High-Fidelity 2X Master Mix (New England BioLabs Inc., M0492L), 0.8µl of 10pmol of 552 each primer, 9.9µl of nuclease-free water, (Roche Ltd.) and 1ng of bacterial DNA in total followed by PCR amplification as described previously²⁶. PCR amplicons from replicate PCR reactions 553 554 were combined and purified with use of HighPrep[™] PCR magnetic beads (MagBio, AC-60050) 555 at 0.45x ratio. The ten organism mock community was constructed from purified near full-length 556 16S rRNA amplicons of 10 organisms. Briefly, genomic DNA from 10 bacteria were obtained 557 from DSMZ, Germany (Supplementary Table S1) and the aforementioned primers, PCR reaction 558 mix and thermocycling conditions were used to independently PCR amplify the near full-length 16S rRNA gene, followed by purification using HighPrep[™] PCR magnetic beads as detailed 559 560 above. The purified amplicons from each organism were quantified on the Qubit using dsDNA HS 561 kit, normalized to 4ng/µl, and combined to generate an amplicon pool consisting of an equimolar 562 proportion of the 16S rRNA gene amplicons of the 10 organisms.

563 DNA sequencing library preparation.

564 To circularize the linear amplicons into plasmid-like structures, 5µl of Blunt/TA Ligase Master 565 Mix (New England Biolabs, M0367L) was added to 55 ul of amplicon pool at a concentration of 566 $1ng/\mu l$ and incubated for 10min at 15°C then 10min at room temperature to (total time = 20 minutes). Not all linear amplicons self-ligate into plasmid-like structures, but some are likely to 567 568 cause long chimeric linear amplicons. These long chimeric structures were removed using 569 magnetic bead-based purification with the following modifications. HighPrep[™] PCR magnetic 570 beads were homogenized by vortexing followed by aliquoting 50µl into a sterile 2ml tube and 571 placed on a magnetic rack for 3min. A total of 25µl of the supernatant was carefully removed using 572 a sterile pipette to concentrate the beads to 2x its original concentration. The tube was removed 573 from the magnetic rack and vortexed vigorously to resuspend the beads. This concentrated bead 574 solution was used at a ratio of 0.35x to remove any amplicons greater than 2000 bp in the post-575 ligation reaction mix. Briefly, the post-ligation product was mixed with concentrated bead solution 576 at the 0.35x ratio by vortexing followed by incubation for three minutes at room temperature. The 577 tube was placed on the magnetic rack to separate the beads from solution, followed by transferring 578 of clear liquid containing DNA structures less than 2000 bp into new sterile tubes. Sample 579 containing short self-ligated molecules was subject to another round of concentration using 580 standard magnetic beads at 0.5x ratios according to manufacturer instructions and eluted in 15µl 581 of warm nuclease-free water. Concentrated and cleaned DNA pool consisting of plasmid-like structures and remaining linear amplicons was then processed with Plasmid-Safe[™] ATP-582 Dependent DNase (Epicentre, E3101K) reagents to digest linear amplicons using the mini-prep 583 protocol according to manufacturer instructions and was followed by another round of cleanup 584

with magnetic beads at 0.45x ratio as described before and then eluted in 15µl of warm nuclease-free water.

587

The pool containing plasmid-like structures was subject to RCA with use of TruPrimeTM RCA Kit 588 (Sygnis, 390100) random hexamer-free protocol. Samples were prepared in triplicate and 589 590 processed according to manufacturer protocol with all incubations performed in triplicate for 120-591 150 min depending on the assay efficiency. The progress of RCA was monitored by measuring the 592 concentration of DNA using Oubit® 2.0 Fluorometer at 90, 120 or 150 min time points. The negative control sample, consisting of reagents without any circularized plasmid-like amplicons, 593 were processed and analyzed concomitantly with the samples. The final concentration of the RCA 594 595 product after 150 minutes of incubation was typically 70 ng/µl when using a starting DNA 596 concentration of 0.35-0.4 ng/ μ l with no detectable unspecific product formation in the negative 597 control.

598

599 Replicates RCA products were combined (~4.5µg of DNA in total) and subject to de-branching 600 and fragmentation of post-RCA molecules to remove hyperbranching structures generated during RCA. The RCA product was first treated with T7 endonuclease I enzyme (New England BioLabs, 601 602 M0302S) by adding 2µl of the reagent to the 65µl of RCA product followed by vortexing and 603 incubation as recommended by the manufacturer. Subsequently, the reaction mix was transferred into a g-TUBE (Covaris, 520079) and centrifuged at 1800 rpm for 4min or until the entire reaction 604 mix passed through the fragmentation hole. The g-TUBE was reversed, and centrifugation process 605 606 was repeated. Post debranching and fragmentation, short fragments were removed using the 607 modified bead-based cleanup step using concentrated bead solution (see above for concentration

608 procedure). Concentrated beads were mixed with fragmented RCA product at a 0.35x ratio, 609 vortexed for 15sec, and incubated at room temperature for 3min then placed on a magnetic rack 610 until the beads separated and the supernatant was removed. The beads were subsequently washed 611 with 70% freshly prepared ethanol according to manufacturer protocols. Size-selected amplicons 612 bound to the beads were eluted in 41µl of warm nuclease-free water. Preliminary experiments 613 indicated that one round of de-branching did not completely resolve the hyperbranching structure, 614 which was inferred based on poor sequencing yield likely caused due to pore blocking by 615 hyperbranched DNA. As a result, a second round of enzymatic de-branching using T7 616 endonuclease I was added and the de-branched product was cleaned a second time using the bead-617 based clean-up step. Figure S4 shows an example BioAnalyzer traces of the RCA product post-618 debranching/fragmentation and post-cleanup using magnetic bead-based protocol.

619

620 Finally, the de-branched RCA product was treated with NEBNext® FFPE DNA Repair Mix (New 621 England BioLabs, M6630S) for gap filling and DNA damage repair caused during g-TUBE 622 fragmentation and T7 endonuclease I enzyme. All reagent components were combined with de-623 branched RCA product according to manufacturer recommendations and incubated at 12°C for 624 10min then at 20°C for another 10min. Post incubation, the repaired RCA product was cleaned 625 using standard magnetic beads at 0.5x ratio, washed with 70% ethanol, and eluted in 46µl of warm 626 nuclease-free water. The concentration of the DNA product was measured using Qubit and was 627 approximately 20-25 ng/ μ l with a total yield of ~1000 ng of DNA with product size typically 628 ranging from 1500bp to 20,000 bp. A total of 45µl DNA pool of concatamerized amplicons was prepared for sequencing using the standard 2D and 1D2 library preparation protocol by Oxford 629 630 Nanopore Technologies (SQK-LSK208, SQK-LSK308) according to manufacturer specifications

to obtain pre-sequencing mix. Moreover, the final concentration for prepared libraries was
determined using dsDNA HS kit on the Qubit instrument. A detailed step-by-step protocol is
provided in the supplementary text.

634

635 DNA sequencing.

The MinION MkIB was connected to Windows personal computer compatible with Oxford 636 637 Nanopore Technologies requirements. R9.4 (FLO-MIN106) and R9.5 (FLO-MIN107) flow cells were placed onto the MinION Mk1B (Oxford Nanopore Technologies). Platform quality control 638 639 was performed using MinKNOW software (v1.4.2 for 2D and v1.6.11 for 1D2 libraries). Only 640 flow cells containing above 1100 active pores were used in this study. Each flow cell was primed 641 twice according to ONT specifications using priming buffer consisting of equal parts of running 642 buffer (RBF1) and nuclease-free water with 10 min breaks between subsequent primes. The 643 loading mix was prepared with a 12µL pre-sequencing mix, 75µL of RBF1, and 63µL of nuclease-644 free water. Loading mix was sequenced with MinKNOW settings appropriate for 2D or 1D2 645 options and standard 48h processing time for every run. Albacore 1.2.4 was used to convert raw signals into HDF5 file format using switch options FLO-MIN106 and SQK-LSK208 for 2D data 646 647 and FLO-MIN107 and SQK-LSK308 for 1D2 data.

648

649 Data processing

HDF5 raw signals from each sequencing run were converted to FASTQ format using Fast5-toFastq (https://github.com/rrwick/Fast5-to-Fastq) and then from FASTQ to FASTA with seqtk
(https://github.com/lh3/seqtk). The resultant data was subject to INC-Seq
(https://github.com/CSB5/INC-Seq) processing using blastn, Graphmap, and POA aligners with

654 concatemer threshold of 3 with the iterative flag for consensus error correction using 655 PBDAGCON. INC-Seq consensus reads were subject to chopSeq by specifying forward and 656 reverse primer sequences and upper (1450 bp) and lower (1300 bp) read size thresholds for size 657 selection (chopSeq SS). The chopSeq processed reads were subsequently processed using nanoClust with partition size limits (flag "-s") of 0,450,451,900,901,1300 which splits the reads 658 659 into three partitions of 450, 450, and 400 bp respectively prior to further processing. VSEARCH 660 was used for chimera removal (using uchime) followed by clustering of reads in each partition at 661 a hardcoded sequence similarity threshold of 97%. For the optimal binning results, nanoClust then outputs consensus sequence for each OTU based on MAFFT-G-INS-i pairwise alignment as 662 663 described previously and outputs an OTU table with reads corresponding to each OTU after 664 discarding singletons.

665

Fasta files from all stages (i.e. raw, INC-Seq, chopSeq, chopSeq SS, and OTU consensus 666 667 sequence) were analyzed for read lengths in R [38]. The number of concatemers on each raw read 668 was estimated by dividing the length of each raw read with the length of its corresponding INC-669 Seq consensus read. At each stage of processing, the reads were aligned to reference dataset using 670 blastn, and only the match with the highest bitscore was considered. The ratio of read to reference 671 alignment at appropriate points (as discussed above) was estimated based on blastn results. The 672 percent identity from the blastn results were used to measure consensus sequence accuracy for the 673 nanoClust output. All figures for the manuscript were generated in R using packages "ggplot2", 674 "gridExtra", and "cowplot" (https://github.com/wilkelab/cowplot), as appropriate. Neighbor-675 Joining tree construction (Figure S3 and S4) was performed after muscle alignment [39] (default parameters) and using Jukes-Cantor model was constructed in Geneious (version 8) using 100

677 bootstraps.

678

679 Availability and requirements

- 680 Project name: NanoAmpli-Seq
- 681 Project home page: <u>https://github.com/umerijaz/nanopore</u>
- 682 Operating system: Linux
- 683 Programming language: Python

684

- 685 Availability of supporting data
- 686 All data is available on European Nucleotide Archive (ENA) under primary accession number:

687 PRJEB21005.

688

689 Abbreviations:

690 ONT, Oxford Nanopore Technologies; RCA, Rolling circle amplification; SMRT, single

691 molecular real-time; INC-Seq, Intramolecular-ligated Nanopore Consensus Sequencing

692

693 **Declarations**

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697

698 Author contribution: STC, UZI, and AJP designed and developed the experiments. STC

- 699 performed the experiments. UZI wrote the code for chopSeq and nanoClust. STC, UZI, and AJP
- analyzed the data and contributed equally to writing this manuscript.
- 701
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NanoAmpli-Seq: A workflow for amplicon sequencing for mixed microbial communities on

the nanopore sequencing platform.

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Protocol for sample preparation for NanoAmpli-Seq workflow.

Consumables required:

- 1. Sterile Filtered pipette tips from any vendor
- 2. 0.2 ml PCR grade tubes from any vendor
- 3. Wide Bore Filtered pipette tips from any vendor
- 4. Qubit dsDNA HS Assay kit. Vendor: ThermoFisher Scientific. Catalog number: Q32851.
- 5. Primers for PCR amplification of 16S rRNA gene with phosphorylated 5' ends can be ordered from any provider:
 - a. Forward primer: 8F: [PHO] AGRGTTTGATCMTGGCTCAG
 - b. Reverse primer: 1387R: [PHO] GGGCGGWGTGTACAAGRC
- 6. The master mix for PCR amplification: Q5® High-Fidelity 2X Master Mix. New England Biolabs, Inc. Catalog number: M0492S
- 7. Nuclease-free Water. Vendor: New England Biolabs, Inc. Catalog number: B1500S
- 8. HighPrepTM PCR paramagnetic bead solution. Vendor: MAGBIO. Catalog number: AC-60050
- 9. 70% ethanol (prepared from denatured ethanol).
- 10. Magnetic stand for 1.5 ml tubes. MagStrip Magnet Stand 10. Vendor: MAGBIO. Catalog number: MBMS-10
- Blunt/TA Ligase Master Mix. Vendor: New England Biolabs, Inc. Catalog number: M0367S
- 12. DNA LoBind Tubes, 1.5 ml. Vendor: Eppendorf. Catalog number: 003018078
- 13. Plasmid-SafeTM ATP-Dependent DNAse. Vendor: Epicentre. Catalog number: E3101K.
- 14. TruePrimeTM RCA kit. Vendor: Expedeon. Catalog number: 390100
- 15. T7 endonuclease I. Vendor: New England Biolabs, Inc. Catalog number: M0302S.
- 16. g-TUBE. Vendor: Covaris. Catalog number: 010145
- 17. NEBNext® FFPE DNA Repair Mix. Vendor: New England Biolabs Inc. Catalog number: M6630S
- 18. Ligation Sequencing Kit 1D. Catalog number: SQK-LSK108 and/or Ligation Sequencing Kit 1D2. Catalog number: SQK-LSK308. Vendor: Oxford Nanopore Technologies
- 19. Flow Cell (R9.4) and/or Flow Cell (R9.5). Vendor: Oxford Nanopore Technologies

Equipment required:

- 1. PCR thermocycler from any vendor
- 2. PCR hood
- 3. Thermal mixer with appropriate blocks from any vendor
- 4. Pipettes from varying volumes range from any vendor
- 5. Centrifuge for 2 ml and 0.2 ml tubes from any vendor
- 6. MinIONTM Mk1b device and compatible personal computer

Step 1: PCR amplification of 16S rRNA gene.

- 1. Combine the following components using volumes below or as appropriate for your experiment in a PCR tube.
 - a. Q5® High-Fidelity 2X Master Mix: 12.5 µl
 - b. Forward primer (10 pmol): 0.8 µl
 - c. Reverse primer: (10 pmol): 0.8 µl
 - d. Template DNA: 1 µl
 - e. Nuclease-free water: 9.9 μ l.
- 2. Amplify PCR reaction mix at the following PCR conditions:

Segment	Temp (°C)	Time (sec)	Cycles
Initial denaturation	98	00:30	1
Denaturation	98	00:05	
Annealing	59	00:10	20
Extension	72	00:35	
Final extension	72	02:00	1
Hold	8	00:00	1

Step 2: PCR product clean up.

 Follow vendor instructions (MAGBIO) to clean PCR product at 0.45x bead ratio as described at the following URL: <u>http://www.magbiogenomics.com/image/data/Literature/Protocols/HighPrep%20PCR%2</u> <u>0Protocol.pdf</u>

Step 3: PCR product concentration estimation.

 Follow vendor instructions (ThermoFisher) to determine the concentration of cleaned PCR product using QubitTM dsDNA HS Assay kit as described at the following URL: <u>https://assets.thermofisher.com/TFS-</u> <u>Assets/LSG/manuals/Qubit_dsDNA_HS_Assay_UG.pdf</u>

Step 4: Self-ligation for the formation of plasmid-like structure.

- 1. Dilute PCR product from step 2 to 2-3 ng/µl using nuclease-free water
- 2. Mix 55 μl of diluted PCR product with 5 μl of Blunt/TA Ligase Master Mix in 0.2 ml PCR grade tubes
- 3. Gently mix by flicking the tube a few times
- 4. Centrifuge tube for 10 seconds
- 5. Incubate for 10 min at 15°C in a PCR thermocycler
- 6. Gently mix by flicking the tube a few times
- 7. Centrifuge tube for 10 seconds
- 8. Incubate for another 10 min at room temperature.

Step 5: Reverse phase cleanup.

- 1. Vortex HighPrepTM PCR paramagnetic bead solution
- 2. Transfer 50 µl of bead solution into clean DNA LoBind 1.5 ml tube

- 3. Place the tube on a magnetic rack until beads separate from the liquid
- 4. While the tube is on the magnetic rack, remove 25 μl of liquid from the tube using sterile pipette tip, taking care not to disturb the beads
- 5. Remove the tube from magnetic rack and gently vortex to resuspend the beads.
- 6. Add the self-ligation mix from step 4 to concentrated beads at a ratio of 0.35x bead ratio.
- 7. Incubate the mixture for 3 minutes at room temperature.
- 8. Place tube on a magnetic rack
- 9. Allow the beads to separate from the liquid. The beads contain long linear amplicons (potentially chimeric amplicons) while the liquid contains short linear amplicon and plasmid-like structures. Carefully remove the clear liquid from the tube and move it to step 6.

Step 6: Plasmid and short amplicon clean-up.

 Follow vendor instructions (MAGBIO) to clean plasmid and short amplicon mix at 0.45x bead ratio as described at the following URL: <u>http://www.magbiogenomics.com/image/data/Literature/Protocols/HighPrep%20PCR%2</u> <u>0Protocol.pdf</u>

Step 7: Removal of linear molecules from plasmid mix.

- Combine 42 μl of clean product from step 6 (eluted in nuclease-free water) with 2 μl of 25 mM ATP solution, 5 μl of 10X reaction buffer and 1 μl of Plasmid-Safe DNASe in 0.2 ml PCR grade tube. The last three ingredients are provided with the Plasmid-SafeTM ATP-Dependent DNAse kit.
- 2. Incubate at 37°C for 15 minutes in a PCR thermocycler
- 3. Clean product as described in Step 2
- 4. Determine the concentration of DNA in the cleaned product as described in Step 3.

Step 8: Rolling Circle Amplification (RCA).

Perform RCA in triplicate for each sample and include negative controls using nuclease-free water instead of cleaned product from step 7. Below are reaction conditions and volumes for a single RCA reaction:

- Combine 2.5 μl of cleaned product from step 7 with 2.5 μl of Buffer D (provided with TruePrimeTM RCA kit) in 0.2 ml PCR grade tube and incubate at room temperature for 3-5 minutes
- While the sample is being incubated, prepare the amplification mix consisting of 9.3 μl of nuclease-free water, 2.5 μl of reaction buffer, 2.5 μl of dNTPs, 2.5 μl of Enzyme 1 and 2.5 μl of Enzyme 2. All ingredients are included in the TruePrimeTM RCA kit
- 3. After 10 minutes, add 2.5 µl of Buffer N (provided with TruePrimeTM RCA kit) and prepared amplification mix to the tube
- 4. Mix by pipetting and incubate tube at 30°C for 150 minutes.
- 5. Follow Step 3 to determine the concentration of DNA in samples and negative controls.

Step 9: Enzymatic de-branching.

- 1. Combine triplicate reactions from step 8 into a single 0.2 ml PCR grade tube
- 2. Mix thoroughly to prepare single RCA product per sample

3. Combine 65 µl of RCA product with 2 µl of T7 endonuclease I and incubate at room temperature for 5 minutes.

Step 10: Mechanical fragmentation.

- 1. Transfer enzymatically de-branched RCA product into g-TUBE using wide bore pipette tips
- 2. Centrifuge at 1800 rpm for 4 minutes or until entire reaction mix passes through the fragmentation hole
- 3. Reverse the g-TUBE and centrifuge again at 1800 rpm for 4 minutes or until entire reaction mix passes through the fragmentation hole
- 4. Clean product with as described in Step 5, with the exception that the clear liquid after bead separation is discarded and bead-bound DNA is eluted according to vendor outlined protocol
- 5. Repeat step 9 (i.e. Enzymatic de-branching) on the g-TUBE fragmented product.
- 6. Clean product as described in Step 5, with the exception that the clear liquid after bead separation is discarded and bead-bound DNA is eluted according to vendor outlined protocol.

Step 11: DNA Damage repair.

- Combine 53.5 μl of product from Step 10 with 6.5 μl of FFPE DNA Repair Buffer and 2 μl of NEBNext FFPE Repair mix in a 0.2 ml tube
- 2. Incubate at 20°C for 15 minutes
- 3. Clean product as described in Step 2
- 4. Quantify DNA concentration as described in Step 3.

Step 12: DNA library preparation and nanopore sequencing.

- 1. Prepare 2D or 1D2 libraries for nanopore sequencing according to the protocols described for the SQK-LSK108 or SQK-LSK308 kits by Oxford Nanopore Technologies.
- 2. Primer appropriate flow cell using protocols outlined by Oxford Nanopore Technologies.
- 3. Finally, sequence the prepare libraries on appropriate flow cells as outlined by Oxford Nanopore Technologies.

Table S1: Names and DSM catalog numbers of bacteria used to construct mock communities for the single organism and ten organism experiments and their corresponding accession numbers are shown below. The 16S rRNA genes were extracted from genome assemblies using RNAmmer¹ for bacteria for use in estimation of sequencing accuracy. Where genome assemblies were unavailable, the 16S rRNA gene sequence in Genbank was utilized.

Organism name	DSM catalog number	Genbank assembly accession number	16S rRNA gene accession number
One organism experiment			
Listeria monocytogenes	19094	HE999705.1	
Ten organism experiment			
Aquimarina intermedia	17527	-	AM113977
Bacteroides vulgatus	1447	CP000139	-
Desulfosporosinus orientis	765	CP003108	-
Flectobacillus major	103	ATXY00000000	-
Legionella pneumophila	7513	AE017354	-
Listeria monocytogenes	19094	HE999705.1	-
Meiothermus ruber	1279	CP001743	-
Propionibacterium acnes	16379	AE017283	-
Pseudomonas aeruginosa	1128	NC_009656	-
Spirosoma linguale	74	CP001769	-

1 Lagesen, K. *et al.* RNAmmer: consistent and rapid annotation of ribosomal RNA genes. *Nucleic Acids Research* **35**, 3100-3108, (2007). **Figure S1:** Violin plots showing the read length distribution of raw data (i.e., post base calling with Albacore 1.2.4), and the number of concatemers on each base called read estimated using read lengths from INC-Seq processing using the "blastn" alignment approach for all four experiments involving both 2D and 1D2 chemistry.

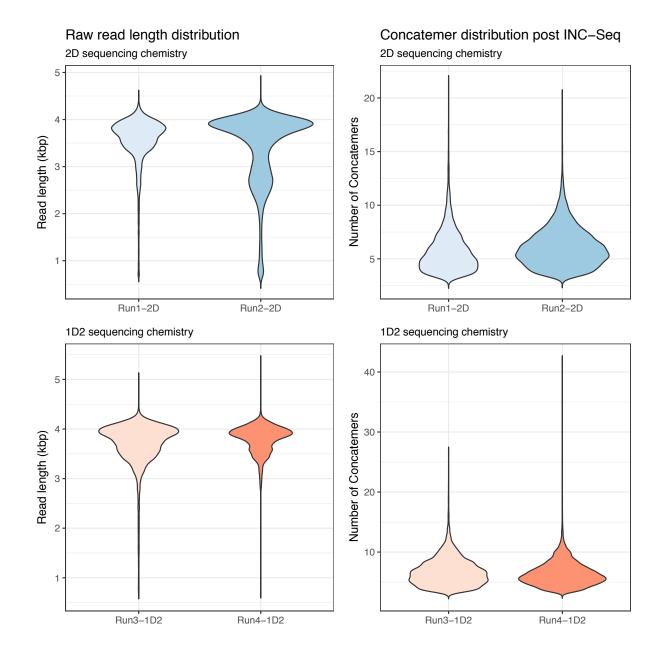


Figure S2: Violin plot of read length distributions for INC-Seq processed reads, chopSeq corrected reads after tandem repeat removal, and post-size-selection of chopSeq corrected reads and size selected reads (chopSeq_SS) for one organism experiments using both 2D and 1D2 sequencing chemistry.

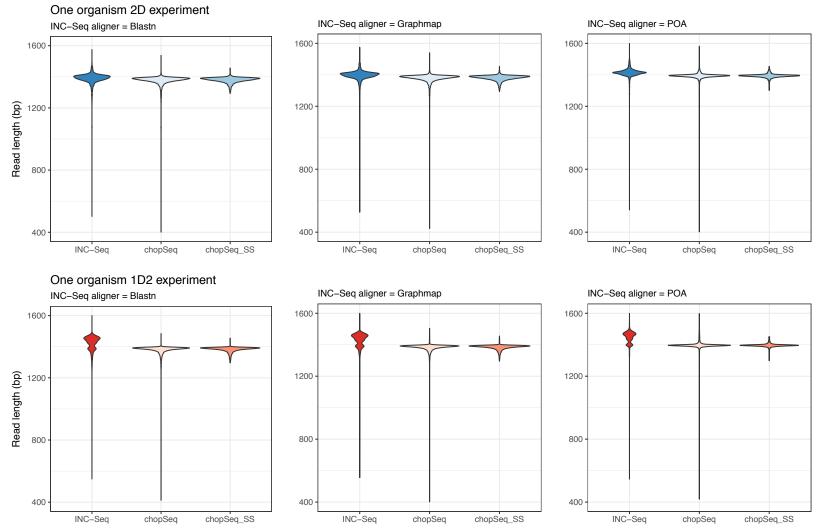


Figure S3: Consensus sequences from OTUs from Run 3 (1D2 sequencing chemistry, INC-Seq aligner: blastn) were combined with reference sequences and aligned using muscle (default parameters) and Neighbor-Joining tree using Jukes-Cantor model was constructed in Geneious (version 8) using 100 bootstraps. Reference sequences are labelled in green, and OTUs are labelled in red.

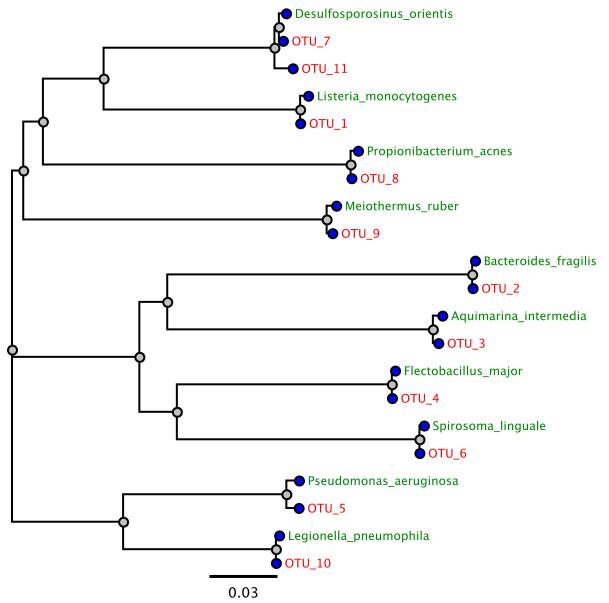


Figure S4: Example Bioanalyzer traces of de-branched product pre- and post-cleanup. The post-cleanup product was then treated for gap filling and DNA damage repair prior to being used for library preparation of sequencing according to ONT protocol for 2D and 1D2 chemistries.

