

1 Function of BriC Peptide in the Pneumococcal Competence and Virulence 2 Portfolio

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5 **Running Title:** Competence-induced peptide regulates biofilms and *in vivo* colonization

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33

34 **Abstract**

35 *Streptococcus pneumoniae* (pneumococcus) is an opportunistic pathogen that causes
36 otitis media, sinusitis, pneumonia, meningitis and sepsis. The progression to this pathogenic
37 lifestyle is preceded by asymptomatic colonization of the nasopharynx. This colonization is
38 associated with biofilm formation; the competence pathway influences the structure and
39 stability of biofilms. However, the molecules that link the competence pathway to biofilm
40 formation are unknown. Here, we describe a new competence-induced gene, called *briC*, and
41 demonstrate that its product promotes biofilm development and stimulates colonization in a
42 murine model. We show that expression of *briC* is induced by the master regulator of
43 competence, ComE. Whereas *briC* does not substantially influence early biofilm development
44 on abiotic surfaces, it significantly impacts later stages of biofilm development. Specifically,
45 *briC* expression leads to increases in biofilm biomass and thickness at 72h. Consistent with the
46 role of biofilms in colonization, *briC* promotes nasopharyngeal colonization in the murine
47 model. The function of BriC appears to be conserved across pneumococci, as comparative
48 genomics reveal that *briC* is widespread across isolates. Surprisingly, many isolates, including
49 strains from clinically important PMEN1 and PMEN14 lineages, which are widely associated
50 with colonization, encode a long *briC* promoter. This long form captures an instance of
51 genomic plasticity and functions as a competence-independent expression enhancer that may
52 serve as a precocious point of entry into this otherwise competence-regulated pathway.
53 Moreover, overexpression of *briC* by the long promoter fully rescues the *comE*-deletion
54 induced biofilm defect *in vitro*, and partially *in vivo*. These findings indicate that BriC may
55 bypass the influence of competence in biofilm development and that such a pathway may be
56 active in a subset of pneumococcal lineages. In conclusion, BriC is a part of the complex
57 molecular network that connects signaling of the competence pathway to biofilm development
58 and colonization.

59 Introduction

60 Bacteria form sessile communities termed biofilms, where they interact with each other
61 to engage in collaborative and/or competitive behaviors (1). In *Streptococcus pneumoniae*
62 (pneumococcus), these cell-cell interactions are commonly mediated by secreted peptides that
63 interact with both producing and neighboring cells of the same species, and induce changes in
64 gene regulation that result in altered phenotypes (2). These dynamic pneumococcal biofilms
65 occur in chronic otitis media, chronic rhinosinusitis and nasopharyngeal colonization (3–8).

66 The ability to form biofilms is a critical component of pneumococcal disease (9). Biofilms
67 serve as reservoirs for acute infections (10). In the middle ear, cells released from a biofilm are
68 thought to be responsible for recurrent episodes of infection (4). Bacterial cells released from
69 nasopharyngeal biofilms can seed pneumococcal transmission between individuals by being
70 incorporated into nasal shedding. Alternatively, these cells can disseminate to tissues causing
71 mild to severe diseases, such as otitis media, pneumonia, and sepsis (10). Pneumococcal
72 cells released from biofilms display increased virulence relative to their planktonic or biofilm
73 counterparts, suggesting that chronic biofilms set the stage for the stimulation of a virulence
74 program activated upon the dispersal of cells (11). Moreover, pneumococci in a biofilm display
75 decreased susceptibility to antibiotics, and are recalcitrant to treatment (6). Thus, biofilms are
76 an important component of pneumococcal epidemiology in transmission, maintenance of
77 asymptomatic colonization, and development of disease.

78 The transcriptional program required for the initiation and the growth of pneumococcal
79 biofilms has been the subject of numerous investigations. It is clear that at least two quorum
80 sensing (QS) signal transduction pathways are critical for biofilm development: competence
81 and Lux (7,12–15). The competence pathway has been the subject of intense investigation for
82 decades (16–28). Competence is activated by a classic two-component system where the
83 extracellular competence stimulating peptide (CSP, encoded by *comC*) binds to the surface

84 exposed ComD histidine kinase receptor, inducing its autophosphorylation and the subsequent
85 transfer of the phosphate group to its cognate regulator, ComE (20,29). Activation of the
86 competence pathway leads to increased expression of 5-10% of the pneumococcal genome in
87 two main waves of gene expression (18,23). The first wave of induction is carried out directly
88 by ComE; it upregulates a subset of competence genes (early genes) that include *comAB*,
89 *comCDE*, as well as the alternative sigma factor, *comX*. The second wave of competence
90 induction is regulated by ComX; it leads to an increase in the levels of at least 80 genes (late
91 genes), that subsequently modulate important phenotypes such as transformation,
92 metabolism, fratricide and biofilm formation (16,23,30). This competence program is
93 upregulated during biofilm mode of growth *in vitro*, during interactions with human epithelial
94 cells, and in lungs and brain after intranasal and intracranial challenges respectively in murine
95 infection models (7,12,31). Importantly, in cell culture models, *comC* is required for biofilm
96 development (12,14). Thus, activation of the competence pathway is important for productive
97 biofilm formation and critical for pneumococcal infection and adaptation.

98 The Lux QS system also plays a role in biofilm formation. In this system, Lux QS is
99 controlled by the AI-2 autoinducer, which is secreted and sensed by both Gram-positive and
100 Gram-negative species. LuxS is a node in the regulation of competence, fratricide, and biofilm
101 development (15,32). Lux upregulates competence via ComD and ComX (13). It contributes to
102 bactericidal activity via upregulation of the choline binding murein hydrolase (CbpD). Through
103 lysis, this bacteriocidal activity increases the levels of extracellular DNA, which is a key
104 ingredient in the extracellular polymeric substance (EPS) that makes up the biofilm. Thus, the
105 competence and Lux systems provide the molecular framework to coordinate multi-cellular
106 bacterial communities to form and develop robust biofilms during infection.

107 Whereas the role of competence signaling in biofilm development is well established,
108 the molecules that connect competence to biofilms are poorly understood (3,7,15,33). In this

109 study, we identify one such molecule that links competence and biofilms. We characterize the
110 gene encoding BriC (**B**iofilm **r**egulating peptide **i**nduced by **C**ompetence), a novel colonization
111 factor in the competence pathway. Levels of *briC* are regulated by ComE, and *briC* promotes
112 biofilm development and nasopharyngeal colonization. Further, genomic analysis of *briC*
113 reveals polymorphisms in its promoter, where a subset of strains encode a RUP (for **r**epeat
114 **u**nit of **p**neumococcus) sequence, which leads to additional and CSP-independent expression
115 of *briC*.

116

117 **Results**

118 **Identification of a competence-regulated Gly-Gly peptide**

119 We have identified the gene encoding a putative secreted peptide that is co-regulated
120 with competence (*spd_0391* (D39); *spr_0388* (R6); *sp_0429* (TIGR4)). Based on the results
121 presented in this manuscript, we have termed it **B**iofilm-**r**egulating peptide **i**nduced by
122 **C**ompetence (BriC). BriC was identified in our previously described *in silico* screen designed to
123 capture cell-cell communication peptides in the pneumococcal genome (34). The known
124 double glycine (Gly-Gly) streptococcal peptides are exported and proteolytically processed by
125 dedicated ABC transporters that recognize N-terminal sequences with the Gly-Gly leader
126 peptide (LSXXELXXIXGG)(20). In our previous work, we identified novel secreted
127 pneumococcal peptides using a computational analysis to search for proteins with N-termini
128 that contain a Gly-Gly leader. Our input set consisted of the alleles of two exported Gly-Gly
129 peptides, the signaling molecule CSP and the bacteriocin BIP (20,35). Our output consisted of
130 a position dependent probability matrix that captures the length and positional variability at
131 each residue of the Gly-Gly motif. When we searched for this motif in a database of sixty
132 streptococcal genomes, we defined a predicted secretome consisting of twenty-five sequence
133 clusters, one of which corresponds to BriC (34).

134 To identify genes co-regulated with *briC*, we performed transcriptional studies using a
135 NanoString probe set that reports on the abundance of the *briC* transcript as well as transcripts
136 encoding a subset of pneumococcal regulators and cell wall proteins. We assessed the levels
137 of *briC* transcript *in vitro* and *in vivo*. *In vitro* expression was measured by screening RNA
138 extracted from mid-log planktonic cultures of a laboratory strain (R6-derivative (R6D)). *In vivo*
139 expression was evaluated by analysis of middle-ear effusions recovered from chinchillas
140 infected with a clinical PMEN1 strain. The mRNA levels of the *briC* were positively associated
141 with *comC* and *comE* *in vitro* (strain R6D: $R^2=0.61$ and 0.79 , respectively) and *in vivo* (strain
142 PN4595-T23: $R^2=0.92$ and 0.88 , respectively). It is noteworthy that changes in the expression
143 of genes in this locus were observed in the studies that first documented the competence
144 regulon (18,23). Specifically, Peterson and colleagues observed changes in *briC* levels,
145 however the association between *briC* and CSP was below the statistical threshold (23).
146 Further, Dagkessamanskaia and colleagues observed an upregulation in the gene
147 downstream of *briC*, predicted to be in the same operon (18). Given that in strains R6, R6D,
148 and D39, this downstream gene is truncated, this study does not explore the function of the
149 downstream gene. In summary, our gene expression analysis suggests that *briC* is induced by
150 competence.

151 To directly test whether *briC* is a competence-regulated peptide, we employed a fusion
152 of the *briC* promoter to the *lacZ* reporter (R6 *PbriC-lacZ*). Stimulation of the signal transduction
153 system that initiates competence by addition of CSP1 led to an induction of the β -
154 galactosidase activity by about twenty-five-fold (**Fig. 1**). Induction of the *briC* promoter was
155 specific to the CSP phenotype encoded by strain R6. The β -galactosidase activity was
156 observed upon addition of CSP1, the CSP phenotype from strain R6, but not upon addition of
157 the non-cognate CSP2 phenotype (**Fig. 1**). Thus, we conclude that *briC* is a competence-
158 responsive gene.

159

160 **Levels of *briC* transcripts are directly regulated by ComE**

161 Our *in silico* analysis of the *briC* promoter in strains R6 and R6D revealed the presence
162 of a ComE-binding site. ComE binds a well-defined sequence consisting of two imperfect direct
163 repeats of nine nucleotides separated by a gap of twelve or thirteen base pairs (36). Our
164 analysis of the putative *briC* promoter across pneumococcal strains revealed an excellent
165 match to the ComE-binding box (**Fig. 2A**). To further investigate the association between
166 ComE and *briC*, we tested whether CSP-induction of *briC* requires ComE. We compared the
167 CSP-induction of *briC* in a wild-type (R6D WT) strain to that of an isogenic *comE*-deletion
168 mutant (R6D Δ *comE*), using qRT-PCR analysis. In WT cells, the addition of CSP triggered a
169 significant increase in levels of *briC* at 10 minutes post-addition, with levels slowly decreasing
170 by 15 minutes (**Fig. 2B**). This trend follows the temporal pattern observed for the levels of
171 *comE* that has been associated with genes under direct controls of ComE (18,23). In contrast,
172 the transcript levels of *briC* were unaffected by CSP addition in the Δ *comE* strain, indicating
173 that the expression of *briC* requires ComE (**Fig. 2B**). These results strongly suggest that *briC*
174 is directly regulated by ComE. In addition, ComE plays a critical role in controlling
175 transformation, thus we investigated whether *briC* impacts transformation efficiency
176 (**Supplementary Results and Fig. S1**). We find that absence of *briC* leads to only a minor
177 decrease in transformation efficiency.

178

179 **BriC plays a key role in biofilm development**

180 To investigate whether expression of *briC* plays a role in biofilm development, we
181 compared biofilm formation across WT (R6D WT), *briC* deletion mutant (R6D Δ *briC*), and *briC*
182 complemented (R6D Δ *briC*::*briC*) strains grown on an abiotic surface at 24h and 72h post-
183 seeding. No difference was observed in biofilm biomass and thickness at 24h post-seeding,

184 suggesting that expression of *briC* does not contribute to early stages of biofilm formation (**Fig.**
185 **3A, B**). In contrast, at 72h post-seeding, Δ *briC* biofilms displayed significantly reduced
186 biomass and thickness when compared to WT (**Fig. 3C, D**). Further, biofilms with Δ *briC*::*briC*
187 cells restored the WT phenotype at this time-point (**Fig. 3C, D**). The indistinguishable biofilm
188 parameters of WT and Δ *briC* cells at 24h post-seeding suggests that there is no fitness-related
189 growth difference between the strains and indicates that the biofilm defect is biologically
190 relevant. These findings suggest that *briC* contributes to late biofilm development.

191 192 ***briC* is widely distributed across pneumococcal strains**

193 To investigate the prevalence of *briC*, we investigated its distribution across the
194 genomes of pneumococcus and related streptococci. To place the distribution in the context of
195 phylogeny, we used a published species tree generated from a set of fifty-five genomes
196 (34,38) (**Table S1**). The genomes encompass thirty-five pneumococcal genomes that span
197 twenty-nine multi-locus sequence types as well as eighteen serotypes and nontypeable
198 strains; eighteen genomes from related streptococcal species that also colonize the human
199 upper respiratory tract, namely *S. pseudopneumoniae*, *S. mitis*, and *S. oralis*; and finally, two
200 distantly related *S. infantis* strains as an outgroup. In this set, all the pneumococcal genomes
201 encode *briC*, and there are two highly similar alleles (labeled allele 1A and 1B, **Fig 4A, B**).
202 Further, we identified four additional alleles in the related streptococci (**Fig. 4A, File S1**). Next,
203 we extended this analysis to a set of 4,034 pneumococcal genomes available in the pubMLST
204 database (these correspond to all the genomes with at least 2Mb of sequence) (39). In total,
205 98.5% (3,976 out of 4,034) of these genomes encode a *briC* allele, suggesting *briC* is highly
206 prevalent across pneumococcal strains. We find that alleles 1A and 1B are prominent in this
207 larger set, with 1,824 and 1,187 representatives respectively. After manual curation, we
208 retrieved nineteen distinct *briC* alleles across these pneumococcal genomes (**Fig. 4B**). Six of

209 the polymorphic residues are located in the putative leader sequence. The conserved region of
210 the leader sequences corresponds to the amino acids preceding the Gly-Gly (20,34), thus the
211 polymorphisms at the N-terminal end of the BriC sequence are not predicted to influence
212 export. One polymorphic residue replaces the glycine in the Gly-Gly motif with a serine; it
213 seems probable that this variation may influence processing and/or export. In addition, position
214 -2 from the C-terminus encodes either an alanine or a threonine. This variation is at the C-
215 terminus, predicted to be the functional end of the molecule, such that the difference in size
216 and polarity at this residue is likely to impact structure or binding of BriC to its targets. The *briC*
217 gene is induced by competence, so we investigated whether there is a correlation between
218 CSP phenotypes and alleles encoding BriC. However, we did not find these to be associated
219 (**Table S2**). Finally, to investigate the distribution of *briC* in other species and genera, we used
220 BLASTp to search the non-redundant database (40). We find that BriC homologs are present
221 in strains of related streptococci, *S. pseudopneumoniae*, *S. mitis* and *S. oralis*, but we did not
222 identify homologues in more distant species. The phylogenetic distribution of *briC* supports a
223 conserved role across pneumococci and a subset of related streptococcal species.

224

225 **Inter-strain differences in the *briC* promoter are associated with diverse regulation of** 226 ***briC* in clinically important lineages**

227 Our analysis of the promoter region of *briC* in our curated set of genomes reveals that a
228 subset of strains encode for a 107 bp insertion within the region upstream of *briC* (**Fig. 4**). The
229 additional nucleotides are located after the ComE-binding site and before the transcriptional
230 start site, and correspond to a repeat unit in pneumococcus (RUP) sequence (41,42). RUP is
231 an insertion sequence derivative with two clear variants, which may still be mobile (42). The
232 RUP sequence upstream of *briC* corresponds to RUPB1.

233 In our curated genomes, the long RUP-encoding promoter is present in multiple strains,
234 including those from the clinically important PMEN1 and PMEN14 lineages (**Fig. 4A**). Using
235 our expanded database of 4,034, we determined that the vast majority of the PMEN1 and
236 PMEN14 genomes encode a long promoter. The high prevalence of the long promoter in these
237 lineages suggests that this form was present in the ancestral strains from these lineages
238 and/or provides a fitness advantage in these genomic backgrounds.

239 To investigate how this genomic difference influences *briC* expression, we generated a
240 LacZ reporter strain. The 263bp upstream of *briC* from the PMEN1 strain, PN4595-T23, were
241 fused to *lacZ* to produce the *PbriC_{long}-lacZ* reporter, and its reporter activity was compared to
242 that of the *PbriC-lacZ* generated with the fusion of 159bp upstream of *briC* obtained from strain
243 R6. To control for the possibility that the influence of the RUP sequence might be strain-
244 dependent, we tested these reporter constructs in the R6 and the PMEN1 backgrounds, in
245 both the absence and presence of CSP treatment (**Fig. 5A, B**). The presence of RUP
246 dramatically increased the basal levels of *briC* in the absence of CSP, and this increase was
247 observed in both R6 and PMEN1. Furthermore, both constructs were induced upon the
248 addition of CSP. These findings suggest that the RUP sequence serves as an expression
249 enhancer; it increases the levels of *briC* transcripts and this increase is CSP-independent.
250 Thus, in some lineages, *briC* appears to be under the control of both CSP-dependent and
251 CSP-independent regulation.

252

253 **Expression of *briC* driven by the long promoter bypasses the impact of competence** 254 **induction in biofilm development**

255 Next, we investigated the biological impact of the natural variations in the *briC* promoter
256 on biofilm development. It has been well established that competence promotes biofilm
257 development. Specifically, deletion of the *comC* (encodes CSP) and *comD* (encodes histidine

258 kinase of competence TCS) genes lead to a reduction in *in vitro* biofilms in strains D39 and
259 TIGR4 (7,12). In this study, we have established that *briC* also promotes biofilm development
260 (**Fig. 3B**), and that the RUP-containing long promoter serves as an expression enhancer (**Fig.**
261 **5**). Thus, we hypothesized that expression of *briC* from the long promoter may bypass the
262 impact of competence in biofilm development.

263 First and in concurrence with previous work, we observed that a strain with a *comE*
264 deletion (R6D Δ *comE*) displays a reduction in biofilm biomass and thickness relative to the WT
265 strain (**Fig. 6A, B**). ComE influences the expression of numerous genes. To determine
266 whether the biofilm defects were primarily due to its impact on *briC* induction, we
267 tested a construct with a disruption of the ComE-binding box in the *briC* promoter
268 (Δ *briC*::*PbriC*_{Shuffled ComE-box}-*briC*). This strain displays a significant reduction in biofilm biomass
269 and thickness relative to the WT strain (**Fig. 6A, B**). Moreover, no difference was observed in
270 the biofilm parameters for both of these mutants, suggesting that the absence of *briC*
271 expression is a contributor to the *in vitro* biofilm defect in the *comE* deletion mutant. Next, we
272 determined that a strain with increased basal levels of *briC* driven by the RUP-containing long
273 promoter (R6D Δ *comE*::*PbriC*_{long}-*briC*) fully rescued the biofilm defect observed in R6D Δ *comE*
274 (**Fig. 6A, B**). In addition, increased expression of *briC* in the wild type background (R6D
275 WT::*PbriC*_{long}-*briC*) did not lead to a significant increase in biofilm biomass and thickness
276 relative to the wild type (**Fig. 6A, B**). Together, these data strongly suggest that *briC* is a
277 critical molecular link between competence and biofilm formation, and that natural variations in
278 the *briC* promoter are physiologically relevant.

279 **ComAB plays a role in secretion of the protein encoded by *briC***

281 Since BriC is associated with the competence pathway and is able to rescue the biofilm
282 defects associated with competence signaling, we investigated whether competence

283 associated transporters play a role in exporting BriC. In pneumococcus, the ComAB and
284 BlpAB C39-peptidase transporters export peptides with a Gly-Gly leader (43–45). These
285 transporters recognize the N-terminal leader of target sequences, and cleave these sequences
286 at the Gly-Gly motif (45,46). In strains R6 and R6D, BlpAB is not functional due to a frameshift
287 mutation that leads to an early stop codon (47). Thus, we hypothesized that as a Gly-Gly
288 peptide co-expressed with genes of the competence pathway, BriC may be exported via the
289 ComAB transporter. We tested this hypothesis in two ways. First, we measured whether
290 deletion of *comAB* influences the ability of a strain with competence-independent expression of
291 *briC* to rescue the $\Delta comE$ -biofilm defect. Second, we compared secretion of a BriC reporter
292 construct in a WT strain with that in a *comAB* deletion mutant.

293 Our biofilm data suggests that ComAB plays a role in transporting BriC. At 72h post-
294 seeding, a *comE/comAB*-double deletion mutant strain expressing *briC* from the RUP-
295 encoding long promoter ($R6D\Delta comE\Delta comAB::P_{briC_{long}}-briC$) displayed a biofilm with reduced
296 biomass and thickness when compared to the equivalent construct in a *comE*-deletion
297 background ($R6D\Delta comE::P_{briC_{long}}-briC$) (**Fig. 7A, B**). However, the biofilm levels were not
298 reduced to the levels observed in the $\Delta comE$ strain. These results suggest that under these
299 conditions, ComAB may not be the only transporter that contributes to the export of BriC.

300 To further elucidate the role of ComAB in the export of BriC, we employed the HiBiT tag
301 detection system, which was recently used to detect secretion of BlpI (44). The HiBiT tag
302 corresponds to an 11-residue peptide. The assay works by addition of an inactive form of
303 luciferase (LgBit) to the extracellular milieu. When both LgBit and HiBiT combine, they
304 generate bioluminescence (48,49). To study BriC transport, we fused the putative BriC leader
305 sequence to the HiBiT tag and expressed this reporter under the control of the native (short)
306 *briC* promoter in WT and $\Delta comAB$ R6-strains. We measured the extracellular bioluminescence

307 produced by this reporter both in the presence and absence of CSP (**Fig. 7C, Table S3**). In the
308 absence of CSP, the levels of secreted HiBiT resembled that of background (WT cells without
309 HiBiT), consistent with very low expression of N-terminal BriC-HiBiT as well as low expression
310 of the ComAB transporter. In the WT background, upon addition of CSP, N-terminal BriC-HiBiT
311 is induced and the extracellular level of HiBiT is significantly increased, consistent with HiBiT
312 export. In contrast, in the $\Delta comAB$ background, upon addition of CSP, N-terminal BriC-HiBiT is
313 induced but the extracellular levels of HiBiT do not substantially increase, consistent with lack
314 of HiBiT export. Combined, these results strongly suggest that ComAB serves as a transporter
315 for BriC.

316

317 **BriC is important for *in vivo* colonization**

318 During nasopharyngeal colonization, pneumococci form biofilms and upregulate the
319 competence pathway. Thus, we investigated the role of *briC* in nasopharyngeal colonization
320 using an experimental murine colonization model. Our *in vitro* investigations have been
321 performed using strain R6D strain, which is defective in colonization due to the absence of a
322 capsule. Thus, we performed colonization experiments with the serotype 2 strain, D39, which
323 is the ancestor of strain R6 (50). Mice were colonized with D39 WT, the *briC*-deletion mutant
324 ($D39\Delta briC$) or the *briC*-complemented ($D39\Delta briC::briC$) strains. Comparison of the number of
325 bacteria in nasal lavages immediately after inoculation revealed that mice in the three cohorts
326 received the same number of bacteria. In contrast, nasal lavages at three and seven days
327 post-inoculation revealed decreased levels of $D39\Delta briC$ relative to WT in the nasal wash (**Fig.**
328 **8A**). Furthermore, the WT levels were restored in the complemented strain (**Fig. 8A**). These
329 findings indicate that *briC* encodes a novel colonization factor.

330 In *in vitro* biofilms, *briC* links competence to biofilms. First, disruption of the ComE-
331 binding box in the *briC* promoter led to a biofilm defect similar to that observed in a $\Delta comE$

332 strain. Second, overexpression of *briC* driven by the long version of the promoter was found to
333 restore the competence-dependent defect in *in vitro* biofilm development. Thus, we
334 investigated the behavior of these strains in the pneumococcus colonization model. We found
335 that the strain with a disruption of the ComE-binding box ($\Delta briC::P briC_{Shuffled\ ComE\text{-}box}\text{-}briC$)
336 within the *briC* promoter was defective for colonization, the decreased bacterial counts
337 resembled those in the $\Delta comE$ strain (**Fig. 8B**). These findings suggest that *briC* is a
338 substantial mediator of the role of ComE on colonization. Further, addition of this long *briC*
339 promoter to $\Delta comE$ cells ($D39\Delta comE::P briC_{long}\text{-}briC$) partially rescues the colonization defect
340 of the $D39\Delta comE$ strain. That is, the numbers of bacterial cells of strain $D39\Delta comE::P briC_{long}\text{-}$
341 *briC* recovered from the nasal lavages at both three and seven days post-inoculation were
342 significantly higher than the numbers of $D39\Delta comE$ cells recovered, but less than that of the
343 $D39$ WT (**Fig. 8B**). Finally, the overexpression of *briC* in the WT background ($D39$
344 $WT::P briC_{long}\text{-}briC$) does not impact colonization. Thus, we conclude that BriC is a contributor
345 to the competence-induced stimulation of nasopharyngeal colonization observed in strain $D39$.
346 Further, natural variations leading to a long *briC* promoter appear to dampen the impact of
347 competence in colonization.

348

349 Discussion

350 An important component of pneumococcal pathogenesis is its ability to form complex
351 biofilm structures. Pneumococci in a biofilm mode of growth display decreased sensitivity to
352 antibiotics and increased resistance to host immune responses (6). These properties make the
353 bacteria recalcitrant to treatment and highlight the need to better understand the molecular
354 mechanisms that drive biofilm development. Activation of the competence pathway is critical
355 for biofilm development. Previous *in vitro* studies have demonstrated that while cell-adherence
356 and early biofilm formation are competence-independent, an intact competence system is

357 required in the later stages of biofilm development. It was shown that the competence pathway
358 positively influences structure and stability of late stage biofilms (12). However, the molecules
359 downstream of competence activation by ComDE that regulate biofilm development remain
360 poorly understood. In this study, we present BriC, a previously uncharacterized peptide, that
361 we show is regulated by competence and plays a role in promoting biofilm development and
362 nasopharyngeal colonization.

363 We have presented extensive evidence that *briC* is a competence regulated gene. We
364 have shown that induction of *briC* is triggered by addition of CSP and requires ComE. Further,
365 we have also shown that the *briC* promoter encodes the consensus ComE-binding box, and
366 that *briC* expression follows the temporal pattern described for genes directly regulated by
367 ComE. Previous studies have used microarray analysis to identify pneumococcal genes
368 differentially regulated upon CSP stimulation (18,23) and have categorized these genes into
369 two main categories - early genes regulated by ComE or late genes regulated by the
370 alternative sigma factor, ComX. In their study, *briC* was found to be upregulated in a pattern
371 consistent with early genes. However, the upregulation was not found to be statistically
372 significant, and this study is the first validation of *briC* as a competence-regulated peptide.

373 We have provided evidence that *briC* stimulates biofilm development on abiotic surfaces
374 and promotes nasopharyngeal colonization in a murine model. These findings are consistent
375 with studies that show that pneumococcal biofilms contribute to colonization. Colonization of
376 the upper respiratory tract is a requisite for pneumococcal dissemination to distant anatomical
377 sites and subsequent disease (10). These sessile communities serve as a source of
378 pneumococcal cells with an activated virulence-associated transcription program. That is,
379 when compared to cells originating from a planktonic mode of growth, those originating from a
380 biofilm mode of growth are more likely to cause disease upon infecting other tissues (11). In
381 this manner, increased biofilm development likely heightens the risk for disease. Biofilms and

382 competence are also associated with transformation efficiency. We have observed a mild but
383 significant decrease in the transformation efficiency of *briC*-deletion mutants relative to WT
384 R6D cells (**Fig. S1**). Finally, colonization of the upper respiratory tract is also a reservoir for
385 pneumococcal transmission. Transmission occurs when cells migrate from the nasopharynx of
386 one host to that of another. Thus, BriC's contribution to colonization may influence both
387 disease severity and transmission.

388 While it has been established that CSP contributes to biofilm development, the
389 competence-dependent genes that regulate biofilm development are not well understood
390 (7,12). Our finding that increased levels of *briC* can fully rescue biofilm defects from a *comE*
391 deletion mutant *in vitro*, and partially rescue its colonization defects *in vivo* suggests that *briC*
392 expression may bypass the requirement for competence in biofilm development. ComE is a
393 key regulator of competence whose activity is required to regulate approximately 5-10% of the
394 genome, and as such deletion of *comE* is expected to have substantial global consequences
395 (18,23). In this context, it is noteworthy that overexpression of one gene (*briC*) in the *comE*-
396 deletion mutant was able to improve colonization in the murine model. These findings strongly
397 suggested that BriC is a molecular link between competence, biofilm development, and
398 colonization.

399 Our data suggests that many strains have multiple inputs to the regulation of *briC*.
400 Shared across all strains is the regulation by ComE, the key regulator of the competence
401 pathway. Competence is responsive to environmental cues, such as changes in cell density,
402 pH, mutational burden in cells, and exposure to antibiotics (16,51–53). Conversely,
403 competence is inhibited by the degradation of CSP via the activity of the CiaHR TCS and the
404 serine protease, HtrA (54,55). Factors altering competence will also alter *briC* levels due to its
405 competence-dependent induction. Our comparative genomics suggest that a subset of
406 pneumococcal lineages may encode an additional *briC*-regulatory element. Specifically, the

407 *briC* promoter differs across strains, in that a subset of lineages encodes a long promoter with
408 a RUP sequence ($P_{briC_{long}}$) and has higher basal levels of *briC* expression. This long promoter
409 is constitutively active, even when competence is off.

410 The long promoter is encoded in the vast majority of strains from the PMEN1 lineage
411 (Spanish-USA) and the PMEN14 (Taiwan-19F) lineages. These lineages are prominent in the
412 clinical setting; they are multi-drug resistant and pandemic (56–58). This additional
413 competence-independent regulation of the long promoter may provide promoter-binding sites
414 for additional regulators or reflects consequences of positional differences for the existing
415 promoter binding sites. Our biofilm and colonization experiments suggest that encoding the
416 long *briC* promoter has functional consequences. We conclude that the response of *briC* to
417 competence is ubiquitous, but that additional lineage-specific factors influence *briC* regulation
418 and downstream phenotypic consequences.

419 We propose a model where *briC* encodes a signaling molecule with a role in biofilm
420 development and colonization. First, the transcription of *briC* is induced by ComE through
421 competence signal transduction pathway in all lineages, and possibly by additional regulator(s)
422 in a subset of lineages. Once this Gly-Gly peptide is produced, we propose that it is exported
423 through ABC transporters, a process in which ComAB plays a prominent role. Based on a
424 bioinformatic comparison with other Gly-Gly peptides we suggest that BriC is cleaved into its
425 active form (BRIC) during export. It is tempting to speculate that BRIC is a new member of the
426 expanding set of pneumococcal secreted peptides that signal to neighboring cells promoting
427 population-level behaviors. In this era of emerging antibiotic resistance, it is imperative that we
428 test the potential of alternative strategies to inhibit bacterial carriage and disease. One such
429 strategy is to specifically target bacterial communities and population-level behaviors. In that
430 regard, molecules such as BriC present promising alternatives to be used as targets for
431 discovery of novel drugs and therapeutic interventions.

432

433 **Materials & Methods**

434 **Bacterial strains & growth conditions**

435 Three wild-type (WT) *Streptococcus pneumoniae* strains were used for this
436 experimental work. The majority of studies were performed on a penicillin-resistant derivative
437 of R6 (R6D); this strain was generated from a cross where parental strain R6 was recombined
438 with Hungary19A and the recombinant was selected for penicillin resistance (59). The *briC*
439 allele in R6D is identical to the allele present in the parental R6. This laboratory strain is non-
440 encapsulated and does not colonize mice, thus mice colonization experiments were performed
441 with the serotype 2 D39 strains (GenBank CP000410)(60). The D39 strain contains the same
442 *briC* allele as is present in the R6D strain, which has been used for most of the work in this
443 study. Finally, for a representative of PMEN1, we used the carriage isolate, PN4595-T23
444 (GenBank ABXO01) graciously provided by Drs. Alexander Tomasz and Herminia deLancastre
445 (61).

446 Colonies were grown from frozen stocks by streaking on TSA-II agar plates
447 supplemented with 5% sheep blood (BD BBL, New Jersey, USA). Colonies were then used to
448 inoculate fresh Columbia broth (Remel Microbiology Products, Thermo Fisher Scientific, USA)
449 and incubated at 37°C and 5% CO₂ without shaking. When noted, colonies were inoculated
450 into acidic Columbia broth prepared by adjusting the pH of Columbia broth to 6.6 using 1M
451 HCl. Acidic pH was used to inhibit the endogenous activation of competence.

452

453 **Construction of mutants**

454 The mutant strains (R6D Δ *briC* and PN4595 Δ *briC*) were constructed by using site-
455 directed homologous recombination to replace the region of interest with erythromycin-
456 resistance gene (*ermB*) or kanamycin-resistance gene (*kan*), respectively (**Table S4**). *Kan* and

457 spectinomycin-resistance gene (*aad9*) were used to construct $\Delta comE$ strains in R6D and
458 PN4595-T23 respectively. Briefly, the transformation construct was generated by assembling
459 the amplified flanking regions and antibiotic resistance cassettes. ~2kb of flanking regions
460 upstream and downstream of the gene of interest was amplified from parental strains by PCR
461 using Q5 2x Master Mix (New England Biolabs, USA). The antibiotic resistance genes, *kan*
462 and *aad9* were amplified from *kan-rpsL* Janus Cassette and pR412, respectively (provided by
463 Dr. Donald A. Morrison), and *ermB* was amplified from *S. pneumoniae* SV35-T23. SV35-T23 is
464 resistant to erythromycin because of the insertion of a mobile element containing *ermB* (61).
465 These PCR fragments were then assembled together by sticky-end ligation of restriction
466 enzyme-cut PCR products. The deletion mutant in R6D is an overexpressor of the downstream
467 peptide (*spr_0389*).

468 The *briC* complemented and overexpressor strains were generated using constructs
469 containing the CDS of *briC* along with either its entire native promoter region or overexpressing
470 promoter respectively, ligated at its 3' end with a kanamycin resistance cassette. The
471 promoters used to overexpress *briC* included either the constitutive *amiA* promoter, or
472 *PbriC_{long}*. These were assembled with the amplified flanking regions by Gibson Assembly
473 using NEBuilder HiFi DNA Assembly Cloning Kit. The construct was introduced in the genome
474 of R6D downstream of the *bga* region (without modifying *bga*), a commonly employed site (62).
475 Primers used to generate the constructs are listed in **Table S5**. Like R6D $\Delta briC$,
476 R6D $\Delta briC::briC$ is also an overexpressor of the downstream peptide (*spr_0389*), which is
477 annotated as a pseudogene in strains R6D, R6 and D39 (**Fig. 2A**). The expression of
478 *spr_0389* remains unchanged in the mutant and the complement (data not shown from qRT-
479 PCR).

480 The R6D $\Delta comE::PbriC_{long}-briC$ strain was constructed by replacing *comE* with
481 spectinomycin resistant cassette in the R6D *PbriC_{long}-briC* strain. *comAB*-deletion mutant in a

482 *briC* overexpressor R6D genomic background strain (R6D Δ *comAB*::*PbriC*_{long}-*briC*) was
483 constructed by transforming the R6D::*PbriC*_{long}-*briC* strain with the genomic DNA of ADP226.
484 ADP226 is a strain from the D39 genomic background with *comAB* replaced by erythromycin
485 resistance cassette. To make the construct, the flanking regions and erythromycin
486 resistance cassette were amplified, and then assembled together by sticky-end ligation of
487 restriction enzyme-cut PCR products. The construct was then transformed into D39 ADP225
488 (unpublished) and selected on Columbia blood agar supplemented with
489 0.25 $\mu\text{g mL}^{-1}$ erythromycin.

490 The *briC* promoter region was modified by shuffling the ComE-binding box
491 (R6D Δ *briC*::*PbriC*_{Shuffled ComE-box}-*briC*). The ComE-binding box was shuffled using PCR by
492 amplifying from R6D Δ *briC*::*briC* and introducing the shuffled sequence
493 (CAGACCAGTTAGTCTAGGATAGAGCTTAAG) into the primers. The resulting amplicons
494 were assembled using Gibson Assembly. The modified construct was transformed into
495 R6D Δ *briC* strain in the region downstream of the *bgaA* gene.

496 The D39 *briC* deletion mutant (D39 Δ *briC*), *briC* complemented (D39 Δ *briC*::*briC*), *comE*
497 deletion mutant (D39 Δ *comE*), *briC* overexpressor in *comE* deletion background
498 (D39 Δ *comE*::*PbriC*_{long}-*briC*), and *briC* expressed from a promoter with a shuffled ComE-
499 binding box (R6D Δ *briC*::*PbriC*_{Shuffled ComE-box}-*briC*) strains were generated by transformation
500 with the corresponding constructs amplified from R6D into strain D39.

501

502 **Construction of *lacZ* fusions**

503 Chromosomal transcriptional *lacZ*-fusions to the target promoters were generated to
504 assay promoter activity. These *lacZ*-fusions were generated via double crossover homologous
505 recombination event in the *bgaA* gene using modified integration plasmid pPP2. pPP2 was

506 modified by introducing *kan* in the multiple cloning site, in a direction opposite to *lacZ*. The
507 modified pPP2 was transformed into *E. coli* TOP10. The putative *briC* promoter regions were
508 amplified from R6 and PN4595-T23 strains, and modified to contain KpnI and XbaI restriction
509 sites, which were then assembled in the modified pPP2 plasmid by sticky-end ligation of the
510 enzyme digested products. These plasmids were transformed into *E. coli* TOP10 strain, and
511 selected on LB (Miller's modification, Alfa Aesar, USA) plates, supplemented with ampicillin
512 (100µg/ml). These plasmids were then purified by using E.Z.N.A. Plasmid DNA Mini Kit II
513 (OMEGA bio-tek, USA), and transformed into pneumococcal strains R6 and PN4595-T23 and
514 selected on Columbia agar plates supplemented with kanamycin (150µg/ml).

515

516 **Bacterial transformations**

517 For all bacterial transformations to generate mutants, target strains (R6D or D39) were
518 grown in acidic Columbia broth, and 1µg of transforming DNA along with 125µg/mL of CSP1
519 (sequence: EMRLSKFFRDFILQRKK; purchased from GenScript, NJ, USA) was added to them
520 when the cultures reached an OD₆₀₀ of 0.05, followed by incubation at 37°C. After 2 hours, the
521 treated cultures were plated on Columbia agar plates containing the appropriate antibiotic;
522 erythromycin (2µg/ml), or kanamycin (150µg/ml). Resistant colonies were cultured in selective
523 media, and the colonies confirmed using PCR. Bacterial strains generated in this study are
524 listed in **Table S4**.

525 For transformation efficiency experiments, R6D strain was grown in acidic Columbia
526 broth until it reached an OD₆₀₀ of 0.05. At this point, number of viable cells was counted by
527 plating serial dilutions on TSA-blood agar plates. Transformations were carried out by adding
528 either 100ng or 500ng of transforming DNA in the media supplemented with 125µg/mL of
529 CSP1 and incubated at 37°C for 30mins. For transforming DNA, we used either genomic DNA
530 or PCR products. The donor DNA contained spectinomycin-resistance gene (*aad9*) in the inert

531 genomic region between *spr_0515* and *spr_0516*. This construct was generated in PN4595-
532 T23, spec^R, followed by its amplification and transformation into R6D and Taiwan-19F strains
533 (Sp3063-00). The genomic DNA was extracted from Taiwan-19F, spec^R strain. The purified
534 linear DNA was an amplicon of the region from R6D. After 30 minutes, the cultures were
535 plated on Columbia agar plates containing spectinomycin (100µg/ml), incubated overnight, and
536 colonies were counted the next day.

537

538 **RNA extraction**

539 RNA extraction consists of sample collection, pneumococcal cell lysis, and purification
540 of RNA. For qRT-PCR analysis, the strains (R6D and R6D Δ *comE*) were grown to an OD₆₀₀ of
541 0.3 in acidic Columbia broth, followed by CSP1 treatment for 0, 10, or 15 minutes. For *in vitro*
542 transcriptomic analysis using NanoString Technology, the R6D strain was grown to an OD₆₀₀
543 of 0.1 in Columbia broth (in one experimental set, the samples were grown in sub-lethal
544 concentration of penicillin (0.8µg/ml) for an hour). RNA was collected in RNALater (Thermo
545 Fisher Scientific, USA) to preserve RNA quality and pelleted. For the *in vivo* experiments, the
546 RNA was extracted from middle-ear chinchilla effusions infected with PN4595-T23 and
547 PN4595-T23 Δ *comE* strains, and preserved by flash freezing the effusion. In all the samples,
548 the pneumococcal cell lysis was performed by re-suspending the cell pellet in an enzyme
549 cocktail (2mg/ml proteinase K, 10mg/ml lysozyme, and 20µg/ml mutanolysin), followed by
550 bead beating with glass beads (0.5mm Zirconia/Silica) in FastPrep-24 Instrument (MP
551 Biomedicals, USA). Finally, RNA was isolated using the RNeasy kit (Genesee Scientific, USA)
552 following manufacturer's instructions. For analysis with the NanoString, which does not require
553 pure DNA, the output from the RNeasy kit was loaded on the machine without further
554 processing. For analysis using qRT-PCR, contaminant DNA was removed by treating with
555 DNase (2U/µL) at 37°C for at least 45 mins. The RNA concentration was measured by

556 NanoDrop 2000c spectrophotometer (Thermo Fisher Scientific, USA) and its integrity was
557 confirmed on gel electrophoresis. The purity of the RNA samples was confirmed by the
558 absence of a DNA band on an agarose gel obtained upon running the PCR products for the
559 samples amplified for *gapdh*.

560

561 **NanoString Technology for transcriptional analysis**

562 nCounter Analysis System from NanoString Technology provides a highly sensitive
563 platform to measure gene expression both *in vitro* and *in vivo*, as previously described (63).
564 Probes used in this study were custom-designed by NanoString Technology, and included
565 housekeeping genes *gyrB* and *metG*, which were used as normalization controls. 5 μ L of
566 extracted RNA samples were hybridized onto the nCounter chip following manufacturer's
567 instructions. RNA concentration ranged from 80-200ng/ μ L for *in vivo* samples, and between
568 60-70ng total RNA for *in vitro* samples. A freely available software from manufacturers,
569 nSolver, was used for quality assessment of the data, and normalization. The RNA counts
570 were normalized against the geometric mean of *gyrB* and *metG* (64,65). The 16S rRNA gene
571 is not optimal for normalization in the NanoString, as the high abundance of this transcript
572 packs the field of view. Pearson's Correlation Coefficient was used to estimate correlation in
573 the expression levels of different genes.

574

575 **qRT-PCR for transcriptional analysis**

576 High quality RNA was used as a template for first-strand cDNA synthesis SuperScript
577 VILO synthesis kit (Invitrogen). The resulting product was then directly used for qRT-PCR
578 using PerfeCTa SYBR Green SuperMix (Quantabio, USA) in an Applied Biosystems 7300
579 Instrument (Applied Biosystems, USA). 16S rRNA counts were used for normalization. The raw
580 data was then run through LinregPCR for expression data analysis, where the output

581 expression data is displayed in arbitrary fluorescence units (N_0) that represent the starting
582 RNA amount for the test gene in that sample (66,67). Fold-change relative to WT was then
583 calculated for each individual experiment.

584

585 **β -galactosidase assay**

586 β -galactosidase assays were performed as previously described (68) using cells that
587 were grown in acidic Columbia broth to exponential phase. Cells were either left untreated, or
588 independently treated with CSP1 (EMRLSKFFRDFILQRKK) or CSP2 (EMRISRILDFLFLRKK)
589 (Genscript, USA) for 30 minutes and processed for analysis.

590

591 **Biofilm formation assay**

592 Pneumococcal cultures grown in Columbia broth were used to seed biofilms on abiotic
593 surfaces. When the cultures reached an OD_{600} of 0.05, each bacterial strain was seeded on
594 35MM glass bottom culture dishes (MatTek Corporation, USA). To promote biofilm growth, the
595 plates were incubated at 37°C and 5% CO_2 . Every 24 hours, the supernatant was carefully
596 aspirated, followed by addition of the same volume of pre-warmed Columbia broth at one-fifth
597 concentration. The biofilm samples were fixed at two time-points: 24 and 72 hrs. For fixing, the
598 supernatants were carefully aspirated, and biofilms were washed thrice with PBS to remove
599 non-adherent and/or weakly adherent bacteria. Subsequently, biofilms were fixed with 4% PFA
600 (Electron Microscopy Sciences), washed three times with PBS, and prepared for confocal
601 microscopy.

602

603 **Confocal microscopy & quantification of biofilms**

604 Fixed biofilms were stained with SYTO59 Nucleic Acid Stain (Life Technologies, USA)
605 for 30 minutes, washed three times, and preserved in PBS buffer for imaging. Confocal

606 microscopy was performed on the stage of Carl Zeiss LSM-880 META FCS, using 561nm
607 laser line for SYTO59 dye. Stack were captured every 0.46 μm , imaged from the bottom to the
608 top of the stack until cells were visible, and reconstructed in Carl Zeiss black edition and
609 ImageJ. The different biofilm parameters (biomass, maximum thickness, and average
610 thickness over biomass) were quantified using COMSTAT2 plug-in available for ImageJ (69).
611 For depiction of representative reconstructed Z-stacks, empty slices were added to the images
612 so the total number of slices across all the samples were the same. These reconstructed
613 stacks were pseudo-colored according to depth using Carl Zeiss black edition. The color levels
614 of the images being used for representation purposes were adjusted using GNU Image
615 Manipulation Program (GIMP).

616

617 **Testing peptide secretion using the Nano-Glo HiBiT extracellular detection system**

618 HiBiT constructs were designed by fusing the C-terminus of the region of interest with
619 the 11-amino acid HiBiT peptide using a 10-amino acid linker. The region of interest was the
620 putative secretion signal (until the double glycine) of the *briC* gene. The expression of these
621 constructs was designed to be controlled by the *briC* promoter region. The construct was
622 introduced in the genome of R6D and R6D Δ *comAB* strains downstream of the *bgaA* gene
623 (without modifying *bgaA*).

624 R6D strains containing HiBiT constructs were started from overnight blood agar plates
625 into acidic Columbia broth (pH 6.6) and incubated at 37°C and 5% CO₂ without shaking.
626 Cultures were grown to an OD₆₀₀ of ~0.2. Cultures were either left untreated or treated with
627 125 $\mu\text{g}/\text{mL}$ of CSP1 for 30 minutes, followed by measuring optical density at 600nm. Cells were
628 pelleted by centrifuging the cultures for 5 minutes at 3700 \times g. The resulting supernatants were
629 removed and filtered through 0.2 μm syringe filters. The cell pellets were resuspended in
630 equal volume of PBS. To obtain cell lysate, triton X-100 was added to 1ml of the

631 resuspended cells to a final concentration of 1%. Additionally, to minimize non-specific
632 binding, triton X-100 was also added to 1ml of the filtered supernatant to a final
633 concentration of 1%. 75µl of the supernatant, whole cells, lysates, were added to a Costar96
634 well flat white tissue culture treated plates and mixed with an equal volume of the Nano-Glo
635 Extracellular Detection System reagent as specified in the manufacturer's instructions.
636 Additionally, media and PBS samples were used as controls. Reactions were incubated at
637 room temperature for 10 minutes followed by measuring luminescence on a Tecan Spark with
638 an integration time of 2000 milliseconds.

639

640 ***In vivo* transcriptomic analysis using chinchilla OM model**

641 All chinchilla experiments were conducted with the approval of Allegheny-Singer
642 Research Institute (ASRI) Institutional Animal Care and Use Committee (IACUC) A3693-
643 01/1000. Research grade young adult chinchillas (*Chinchilla lanigera*) weighing 400-600g were
644 acquired from R and R Chinchilla Inc., Ohio. Chinchillas were maintained in BSL2 facilities and
645 experiments were done under subcutaneously injected ketamine-xylazine anesthesia
646 (1.7mg/kg animal weight for each). Chinchillas were infected with 100 CFUs in 100µL of *S.*
647 *pneumoniae* PN4595-T23 by transbullar inoculation within each middle ear. For RNA
648 extraction, chinchillas were euthanized 48h post-inoculation of pneumococcus, and a small
649 opening was generated through the bulla to access the middle ear cavity. Effusions were
650 siphoned out from the middle ear and flash frozen in liquid nitrogen to preserve the bacterial
651 RNA. Animals were euthanized by administering an intra-cardiac injection of 1mL potassium
652 chloride after regular sedation.

653

654 **Murine colonization model**

655 The role of *briC* in experimental pneumococcal colonization was assessed as previously
656 described (70,71). For this, 10 weeks old female CD1 mice (Charles River), weighing
657 approximately 30-35 g were anesthetized with 2.5% isoflurane over oxygen (1.5 to 2 liter/min),
658 and administered intranasally with approximately 1×10^5 CFU/mouse in 20 μ l PBS. At
659 predetermined time intervals, a group of 5 mice were euthanized by cervical dislocation, and
660 the nasopharyngeal lavage of each animal was obtained using 500 μ l PBS. The pneumococci
661 in nasopharyngeal wash were enumerated by plating the serial dilutions onto blood agar
662 plates.

663

664 **Statistical tests**

665 The statistical differences among different groups were calculated by performing
666 ANOVA followed by Tukey's post-test, unless stated otherwise. p-values of less than 0.05
667 were considered to be statistically significant.

668

669 **Distribution of *briC* across streptococcal strains**

670 To identify *briC* homologs we used tBLASTn with default parameters on the RAST
671 database to search the genome sequences of all fifty-five strains. Predicted protein sequences
672 were downloaded as well as nucleotide sequences for the *briC* homolog and 1500-bp flanking
673 regions surrounding the *briC* homolog. Predicted protein sequences for BriC were aligned
674 using NCBI Cobalt (72) and visualized using Jalview (73). One sequences (CDC3059-06)
675 appeared to have a frame-shift after a string of guanines. Given that sequencing technologies
676 are often inaccurate after a string of identical bases, we curated this sequence in the dataset.
677 The sequences were translated in Jalview, and organized based on polymorphisms in the
678 translated sequences.

679 The *briC* alleles were then organized in the context of the species tree. For this we used
680 a published phylogenetic tree (34,38). As previously described, the whole genome sequence
681 (WGS) for these strains were aligned using MAUVE (74,75), the core region was extracted and
682 aligned using MAFFT (FFT-NS-2) (76). Model selection was performed using MODELTEST
683 (77), and the phylogenetic tree was built with PhyML 3.0 (78), model GTR+I(0.63) using
684 maximum likelihood and 100 bootstrap replicates. On the visualization, each allelic type is
685 shape-coded, and the visualization was generated using the Interactive Tree of Life (iTOL)
686 (79).

687 Next, we expanded the search to a set of 4,034 genomes. These correspond to the
688 genomes within pubMLST, with at least 2Mb of genomic data (Genome IDs are listed in **Table**
689 **S6**). We used BLASTn to search for genomes that encode sequences that are at least 70%
690 identical over 70% of their length to *briC* alleles 1A or 1B. The 3,976 hits were organized to
691 parse out and enumerate the unique sequences using Python. Next, the hits were visualized
692 and further annotated using Jalview (73). As in the smaller genomic set, one allele
693 representative appeared to have a frame-shift after a string of guanines and was curated in the
694 dataset. Next the DNA sequences were translated, and the predicted protein sequences were
695 organized to display the unique alleles. The resulting 19 coding sequence were colored in
696 Jalview based on percent identity to highlight the variability (**Fig. 2B**). To search for *briC* in
697 related species, we performed a BLASTp analysis in NCBI. We used alleles 1A and 1B as
698 query sequences, default parameters, and the non-redundant database excluding
699 *Streptococcus pneumoniae* (taxid: 1313).

700

701 **Analysis of *briC* promoter region**

702 In order to examine the structure of the promoter region upstream of the *briC* gene, a
703 1500-bp flanking region on both sides of the *briC* gene was pulled from the RAST database

704 (80). Sequences were aligned using Kalign (81) and then visualized with Jalview (73). The
705 alignment revealed two clear groups within the dataset: those with the RUP insertion and those
706 without. We also noted that CDC1087-00 may have an additional mobile element inserted
707 within the RUP. However, given that the RUP and this mobile element exist in multiple places
708 in the genome, we cannot determine whether this is real or an artifact of assembly without the
709 isolate. Thus, we opted not to use the promoter sequence for the consensus in Figure 2A, and
710 we did not mark this genome as having a long promoter in Figure 4A. We marked the species
711 tree with allelic variants that contain the RUP insertion. We observed that RUP was present in
712 the representative isolates from two clinically important lineages PMEN1 and PMEN14. To
713 check the distribution of the long promoter in a larger set strains, we used PubMLST (39) to
714 inspect 4,034 sequences with complete genomes. The sequence IDs for these 4,034
715 sequences are listed in **Table S6**. This set includes 198 ST81 (PMEN1), as well as 104 ST236
716 (PMEN14) and 15 ST320 (PMEN14) strains. For analysis of the ComE-binding box, the ComE
717 consensus sequence was extracted from the promoter regions of the pneumococcal strains
718 and aligned with Jalview. The logo was generated using WebLogo (82).

719

720 **Ethics statement**

721 Mouse experiments were performed at the University of Leicester under appropriate
722 project (permit no. P7B01C07A) and personal licenses according to the United Kingdom Home
723 Office guidelines under the Animals Scientific Procedures Act 1986, and the University of
724 Leicester ethics committee approval. The protocol was agreed by both the U.K. Home Office
725 and the University of Leicester ethics committee. Where specified, the procedures were carried
726 out under anesthetic with isoflurane. Animals were housed in individually ventilated cages in a
727 controlled environment, and were frequently monitored after infection to minimize suffering.
728 Chinchilla experiments were performed at the Allegheny-Singer Research Institute (ASRI)

729 under the Institutional Animal Care and Use Committee (IACUC) permit A3693-01/1000.
730 Chinchillas were maintained in BSL2 facilities, and all experiments with chinchillas were done
731 under subcutaneously injected ketamine-xylazine anesthesia (1.7mg/kg animal weight for
732 each). All chinchillas were maintained in accordance with the applicable portions of the Animal
733 Welfare Act, and the guidelines published in the DHHS publication, Guide for the Care and
734 Use of Laboratory Animals.

735

736 **Acknowledgements**

737 We thank Drs. Alexander Tomasz and Herminia deLancastre for the PMEN1 strain PN4595-
738 T23 and the R6D strain used in this study. We would also like to thank Dr. Donald A. Morrison
739 for *kan-rpsL* Janus cassette and the plasmid pR412. We thank Anagha Kadam for help on
740 data analysis, Rolando A. Cuevas for help analyzing biofilm images, and Emilio I. Rodriguez
741 for his support with experiments. We truly appreciate the insight provided by Dr. Suzanne
742 Dawid and Charles Wang regarding the HiBiT assay to test the role of ComAB in BriC export.

743

744 **Supplementary Result**

745 **Examining the role of *briC* in transformation**

746 One of the main phenotypic consequences of the competence pathway is
747 transformation. Since ComE regulates the expression of *briC*, we investigated whether *briC*
748 plays a role in regulating transformation efficiency. To this end, we added different amounts
749 (100ng or 500ng) of exogenous DNA (genomic or amplified linear fragments) to pneumococcal
750 cells. We found a minor decrease in the transformation efficiency of the *briC*-deletion mutant
751 cells (R6D Δ *briC*) relative to the WT cells (**Fig. S1**). A strain with complemented *briC*
752 (R6D Δ *briC*::*briC*) exhibited a partial restoration of this defect. A strain with overexpression of
753 *briC* (R6D Δ *briC*::*briC*-OE) displayed a greater restoration of the transformation efficiency, as

754 compared to R6DΔ*briC*::*briC* cells, but not a full rescue (**Fig. S1B**). These results suggest that
755 *briC* may play a role in regulating transformation efficiency.

756

757 **Supplementary Tables**

758 Table S1: Strains used in genomic comparisons and phylogenetic tree.

759 Table S2: CSP phenotypes and *briC* alleles for pneumococcal genomes in figure 4A.

760 Table S3: HiBiT assay measuring bioluminescence of the construct containing BriC leader
761 fused with HiBiT, both in the absence and presence of CSP in supernatants, whole cell
762 surfaces and lysates.

763

764 Table S4: Strains used in this experimental work.

765 Table S5: Primers used in this study.

766 Table S6: List of the 4,034 isolates used in this study. These numbers correspond to isolate
767 IDs of strains in pubMLST.

768

769 **Supplementary Files**

770 File S1: Representative *briC* alleles from *Streptococcus* sp.

771 File S2: Representative sequences of the short and long *briC* promoter regions with the RUP

772 highlighted in bold.

773

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Figure 1

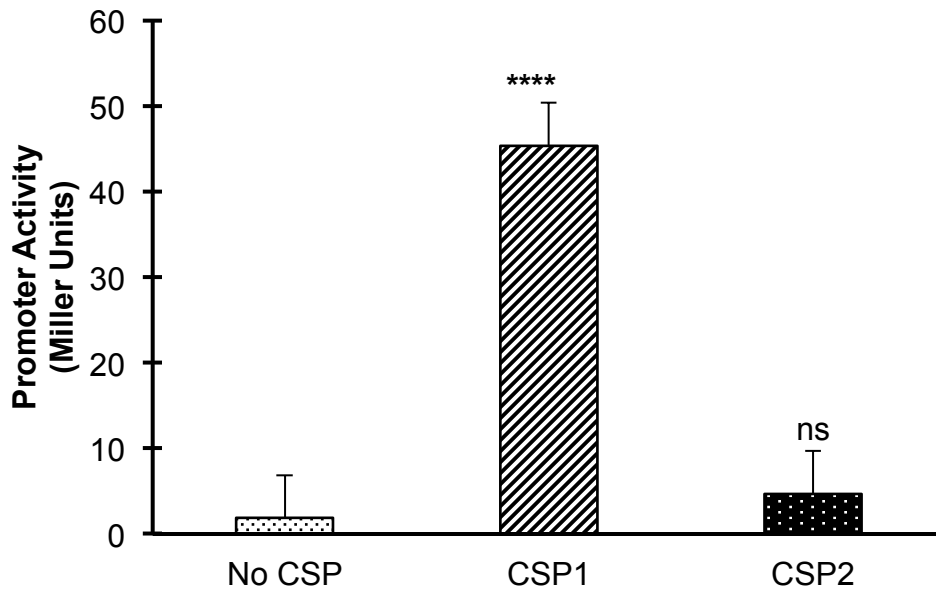


Fig. 1. Expression of *briC* is induced by cognate CSP. β -galactosidase assay measuring *PbriC-lacZ* activity in pneumococcal R6 cells grown to exponential phase in Columbia Broth at pH 6.6 followed by no treatment or treatment with CSP1 or CSP2 for 30 minutes. Y-axis denotes *PbriC-lacZ* expression levels in Miller Units. Activity is expressed in nmol p-nitrophenol/min/ml. Error bars represent standard error of the mean for biological replicates (at least $n=3$); **** $p<0.0001$ using ANOVA followed by Tukey's post-test.

Figure 2

(A)

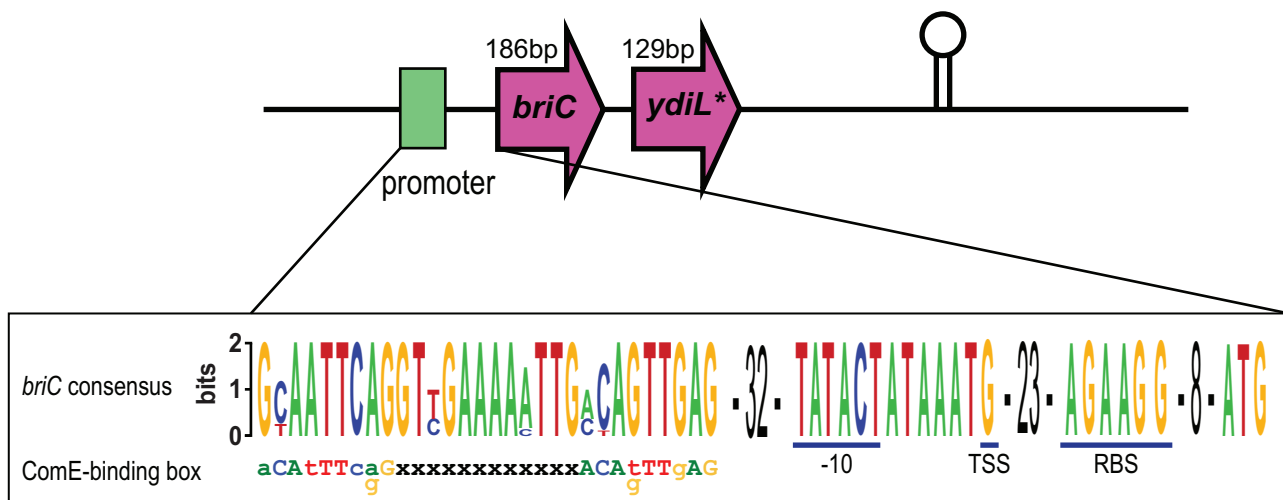


Figure 2

(B)

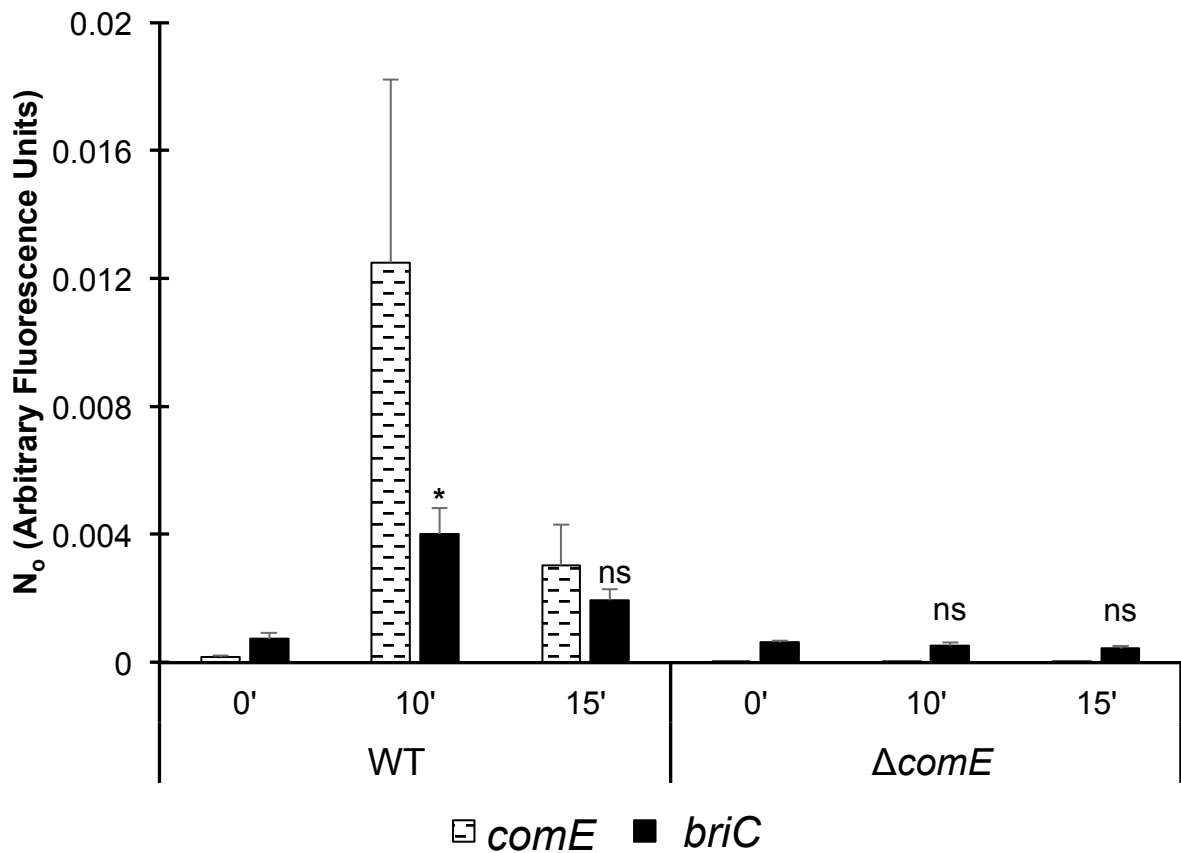
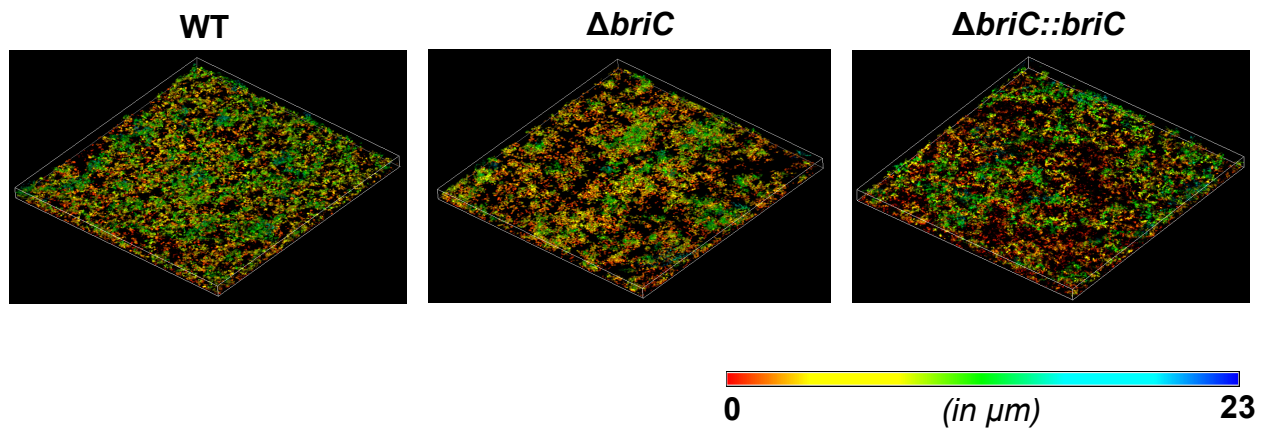


Fig. 2. **CSP-induction of *briC* is ComE-dependent.** (A) Genomic organization of the *briC* locus, displaying a ComE-binding box. Green: ComE-binding box within the *briC* promoter region. The expanded region denotes a logo of ComE-binding box generated from thirty-four pneumococcal genomes represented in Figure 4A. This consensus is aligned with the published ComE-binding box consensus sequence (Ween et al., 1999). The putative -10 region, the transcription start site (TSS) as determined by Cappable-Seq (Slager et al., 2018), the ribosome binding site (RBS) and the transcriptional terminator are labeled. The downstream gene is predicted to be a pseudogene in R6D, R6 and D39. In TIGR4, this region encodes two coding sequences (SP_0430 and SP_0431). The R6D sequence corresponds to the C-terminal of SP_0430. (B) mRNA transcript levels of *briC* (solid black) and *comE* (dashed black lines) as measured by qRT-PCR in R6D WT & R6D $\Delta comE$ cells. Cells were grown in Columbia broth at pH 6.6 to an OD₆₀₀ of 0.3, and then treated with CSP1 for either 0', 10' or 15'. Data was normalized to 16S rRNA levels. Y-axis denotes normalized concentrations of mRNA levels in arbitrary fluorescence units as calculated from LinRegPCR. Error bars represent standard error of the mean calculated for biological replicates ($n=3$); 'ns' denotes non-significant, * $p<0.05$ using ANOVA followed by Tukey's post-test relative to the respective 0' CSP treatment. Further, *briC* levels are also significantly higher in WT relative to $\Delta comE$ cells for the same time points post-CSP treatment ($p<0.05$).

Figure 3

(A)



(B)

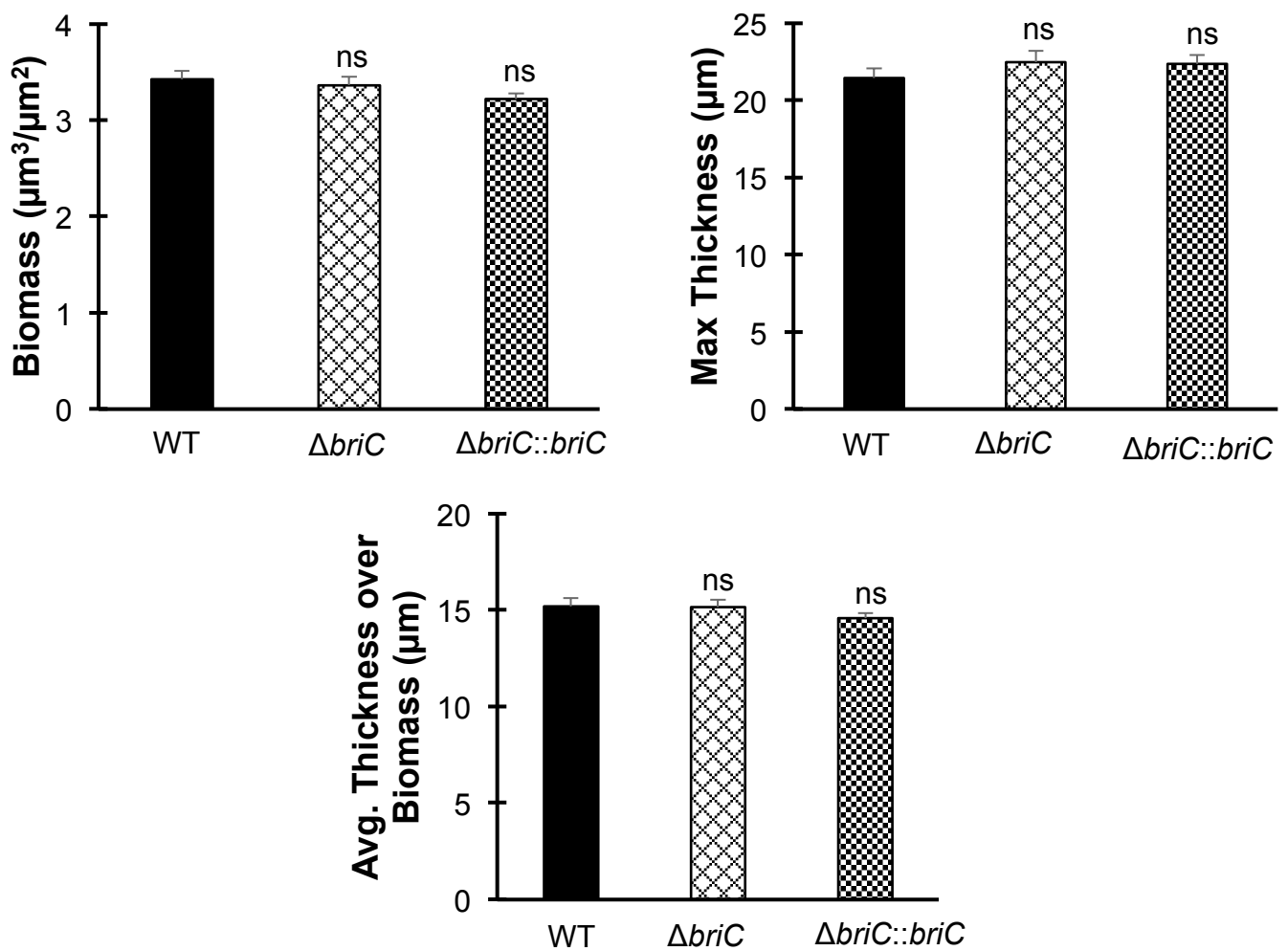


Figure 3

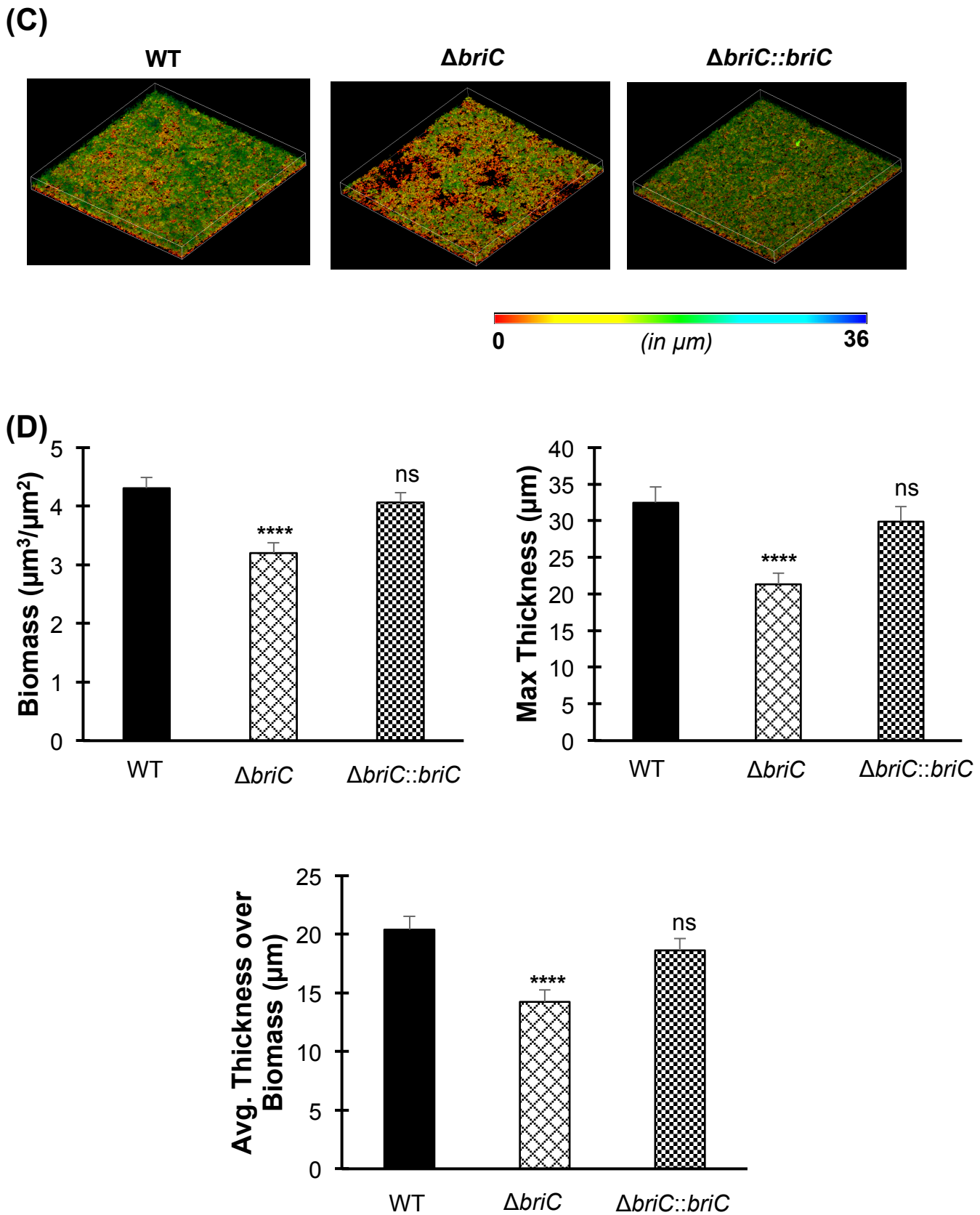
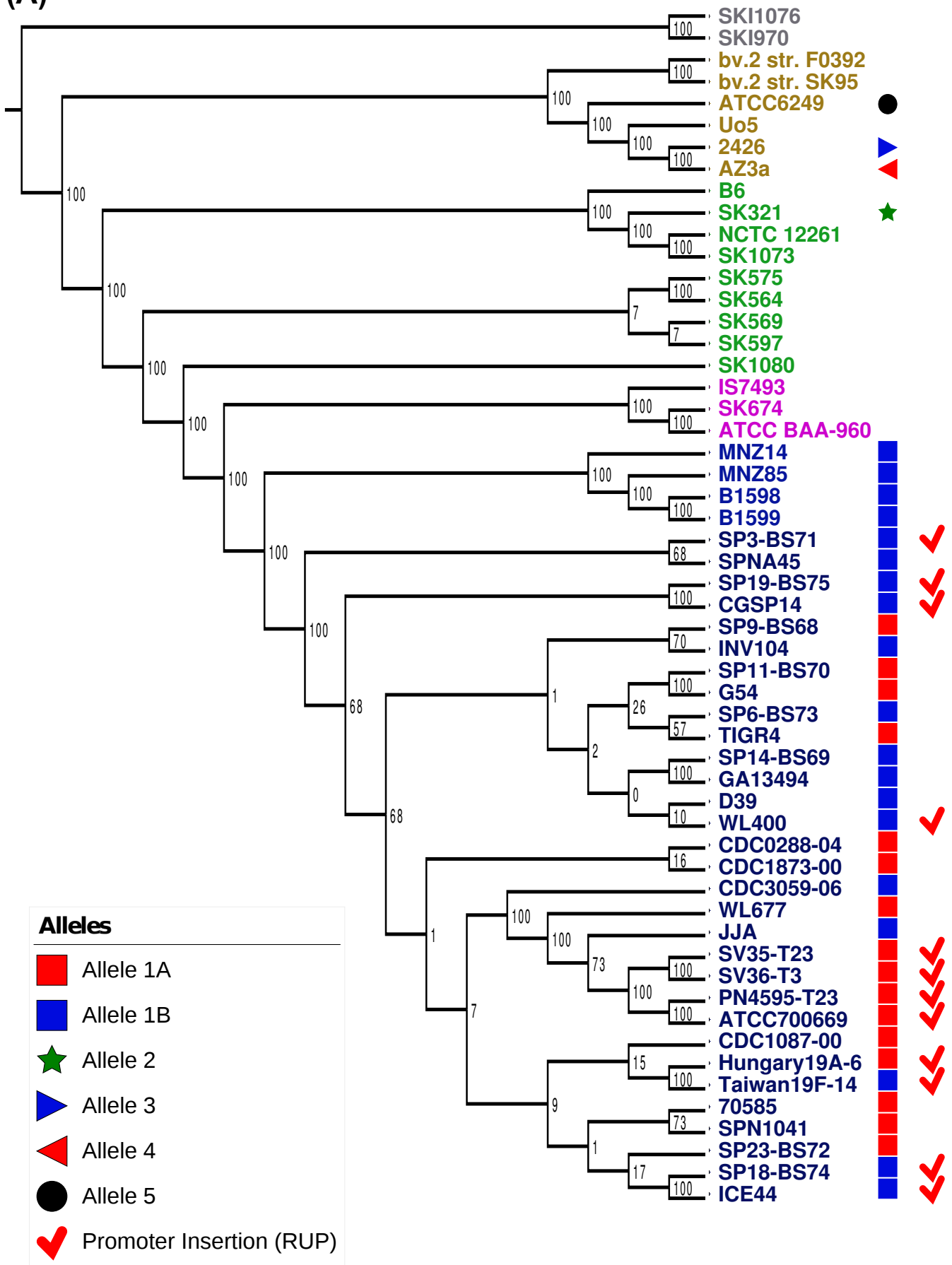


Fig. 3. BriC stimulates late biofilm development. Representative confocal microscopy images showing top view of the reconstructed biofilm stacks of WT, $\Delta briC$ and $\Delta briC::briC$ cells of strain R6D stained with SYTO59 dye at **(A)** 24-hr, and **(C)** 72-hr. Images are pseudo-colored according to depth (scales shown). COMSTAT2 quantification of **(B)** 24-hr, and **(D)** 72-hr biofilm images. Y-axis denotes units of measurement: $\mu\text{m}^3/\mu\text{m}^2$ for biomass, and μm for maximum thickness and average thickness over biomass. Error bars represent standard error of the mean calculated for biological replicates ($n=3$); “ns” denotes non-significant comparisons, **** $p<0.0001$ using ANOVA followed by Tukey’s post-test.

Figure 4

(A)



(B)

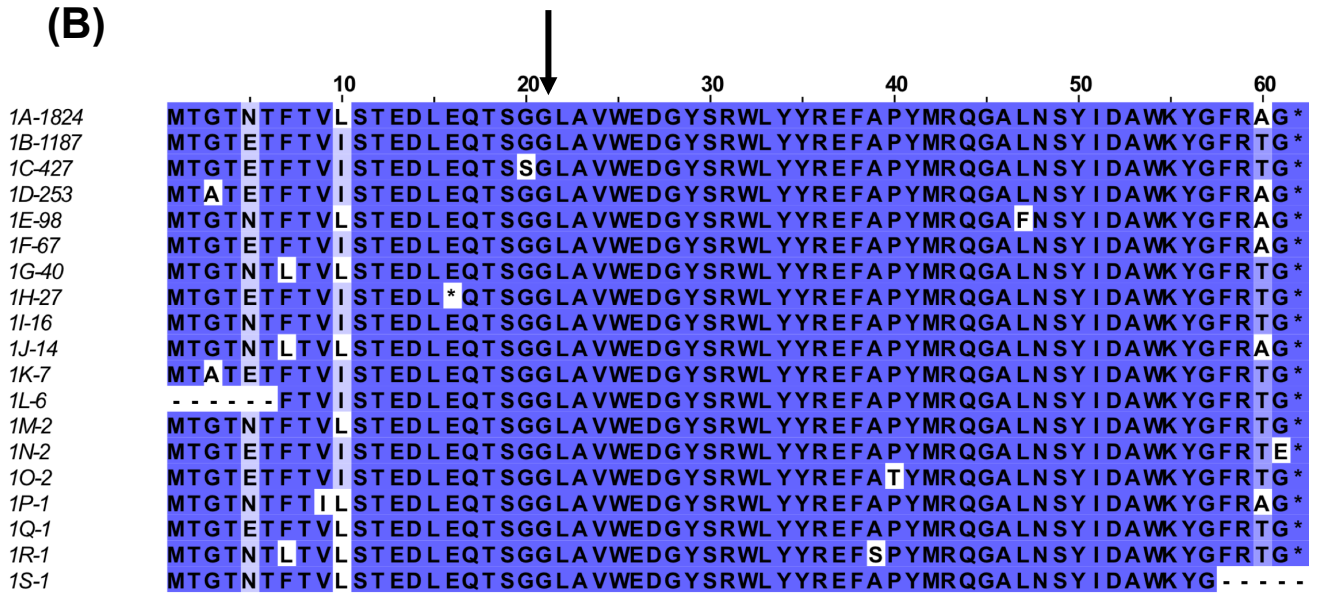
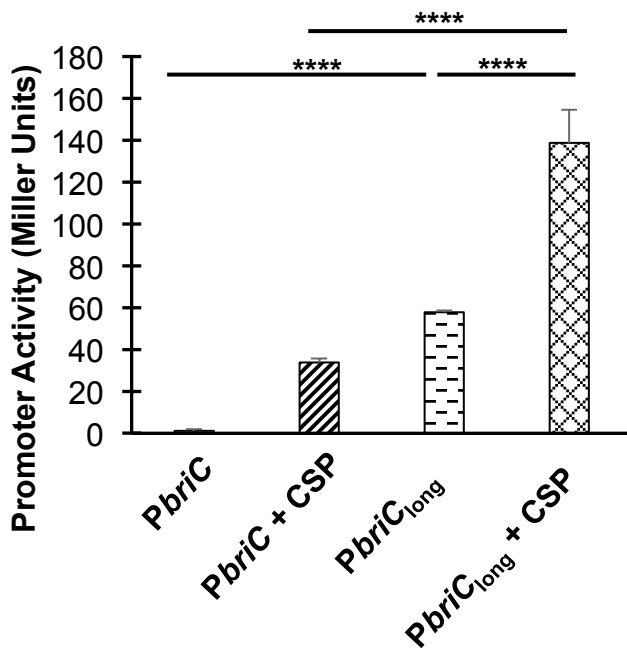


Fig. 4. Distribution of the genomic region encoding BriC across streptococcal strains. (A) Distribution of *briC* alleles in fifty-five streptococcal genomes. The *briC* alleles are visualized against a maximum likelihood tree of streptococcal genomes generated from the core genome, where the numbers on the branches represent bootstrap values. Different species in the tree are color-coded as follows: *S. pneumoniae* (blue), *S. pseudopneumoniae* (pink), *S. mitis* (green), *S. oralis* (beige), and *S. infantis* (grey). The shapes at the tip of the branches illustrate *briC* alleles. Types 1A and 1B represent variants of the alleles widespread across pneumococcal strains; types 3-5 denotes alleles outside the species. The red tick denotes strains that have a long *briC* promoter due to a RUP insertion. In PMEN1 strains, this variant leads to increase in basal levels of *briC* in a CSP-independent manner. (B) Alignment of 19 BriC alleles identified in the database of 4,034 pneumococcal genomes. Alleles are labeled 1A-1S followed by the number of representatives in the database (total 3,976). Sequences are colored based on percent identity to highlight the variability between alleles. Black arrow denotes the predicted cleavage site.

Figure 5

A

S. pneumoniae R6



B

S. pneumoniae PN4595-T23

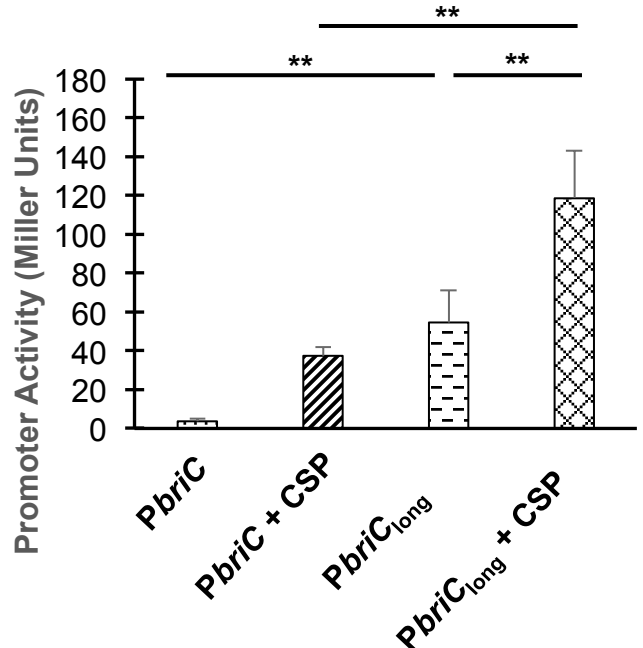
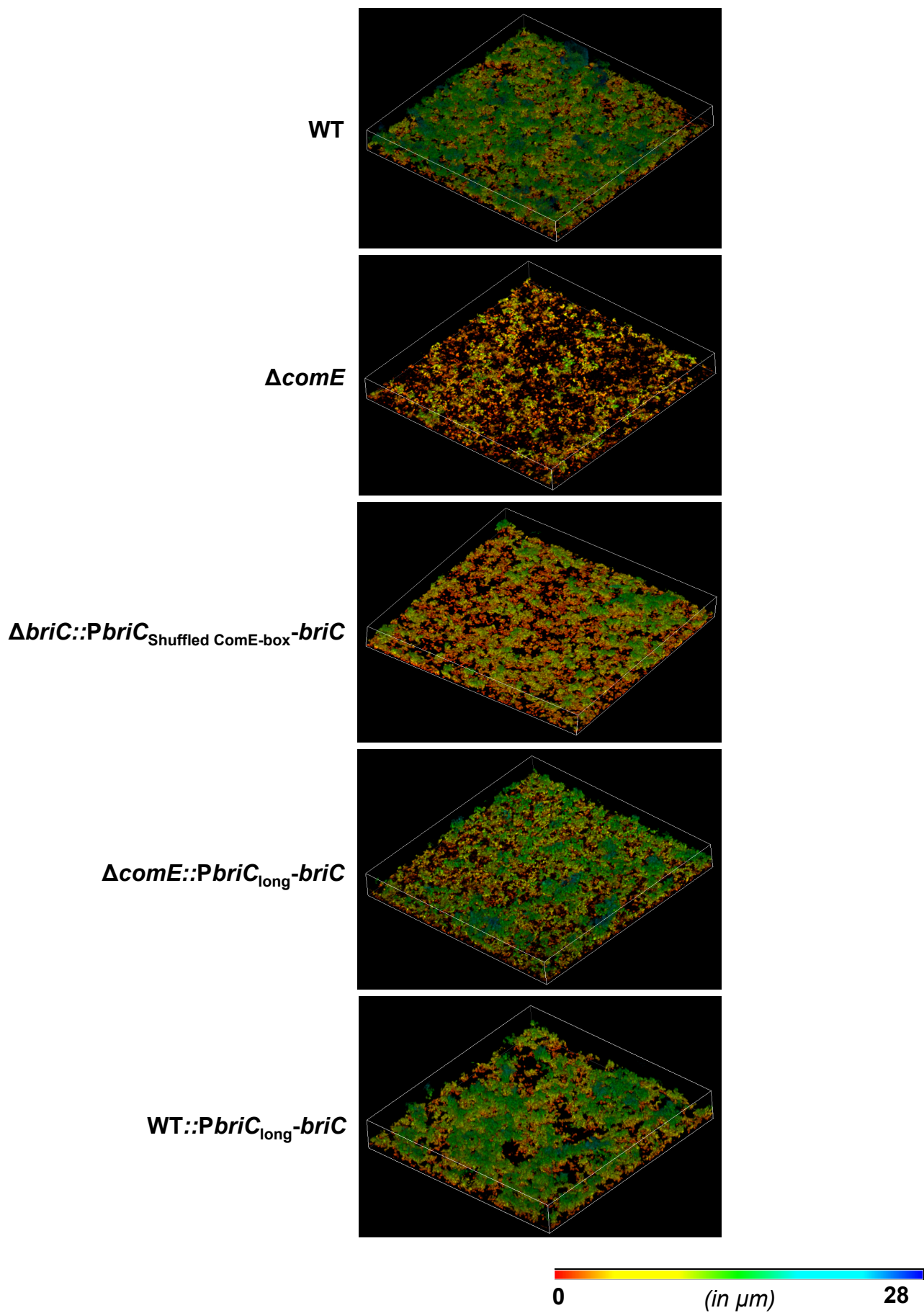


Fig. 5. Long *briC* promoter is associated with an increase in the basal levels of *briC*. β -galactosidase assay comparing the LacZ activity of the R6 (short promoter, *PbrIC-lacZ*) and PN4595-T23 (long promoter with RUP, *PbrIC_{long}-lacZ*) promoters. Both promoter activities were tested in (A) strain R6 and (B) strain PN4595-T23. Cells were grown in Columbia broth at pH 6.6 until mid-log phase, followed by either no treatment or treatment with CSP for 30 minutes. Y-axis denotes promoter activity in Miller Units expressed in nmol p-nitrophenol/min/ml. Error bars represent standard error of the mean for biological replicates ($n=3$); ** $p<0.01$, & **** $p<0.0001$ using ANOVA followed by Tukey's post-test.

Figure 6

(A)



(B)

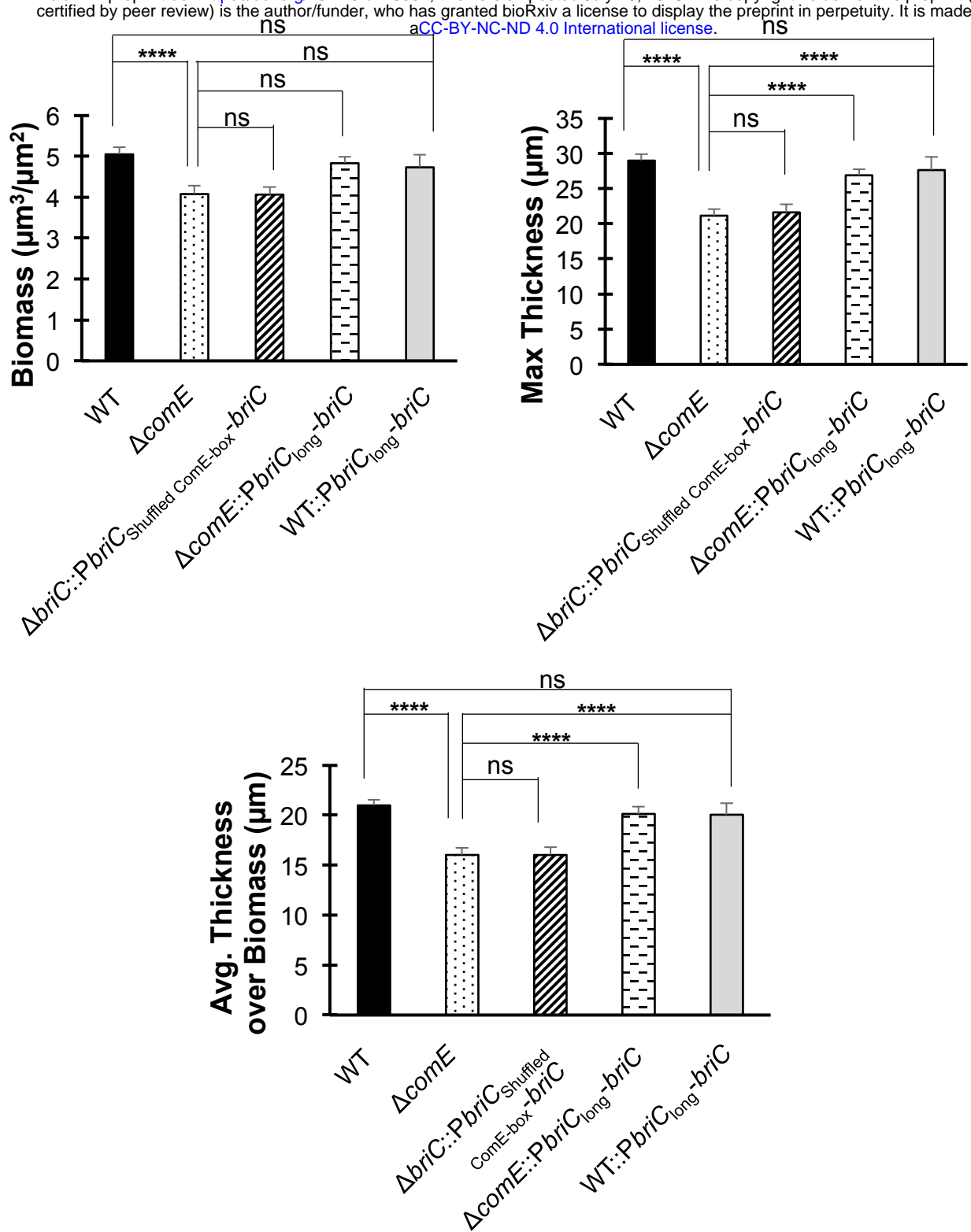
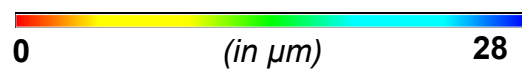
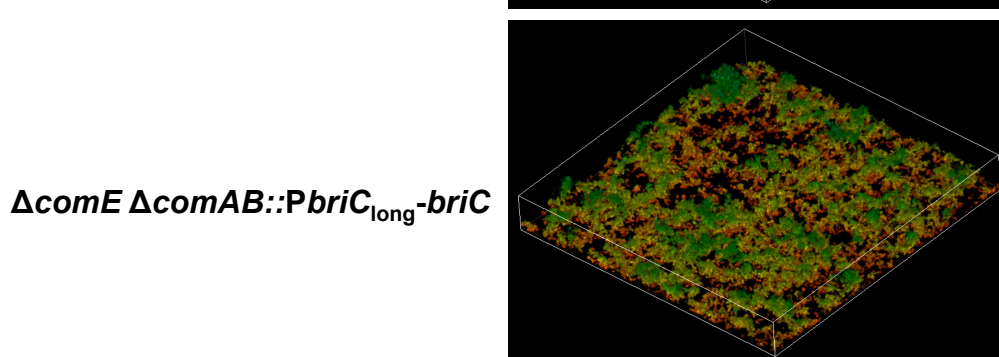
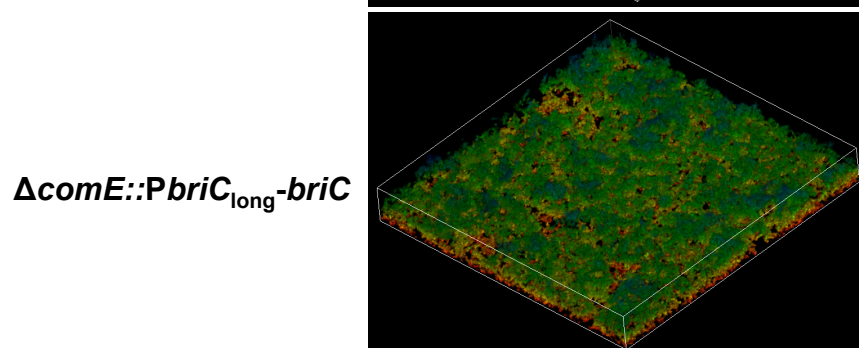
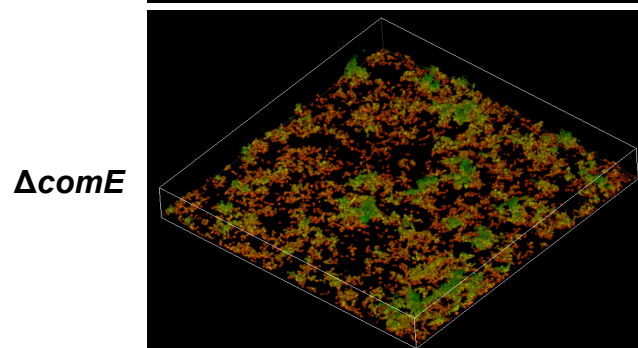
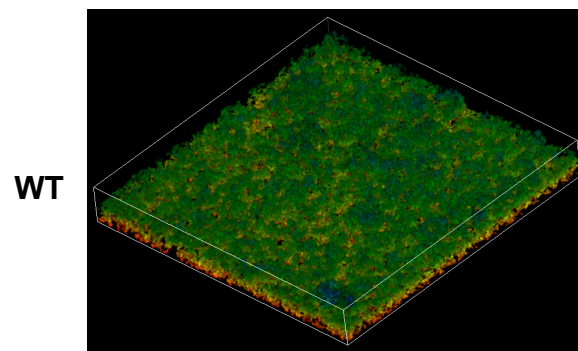


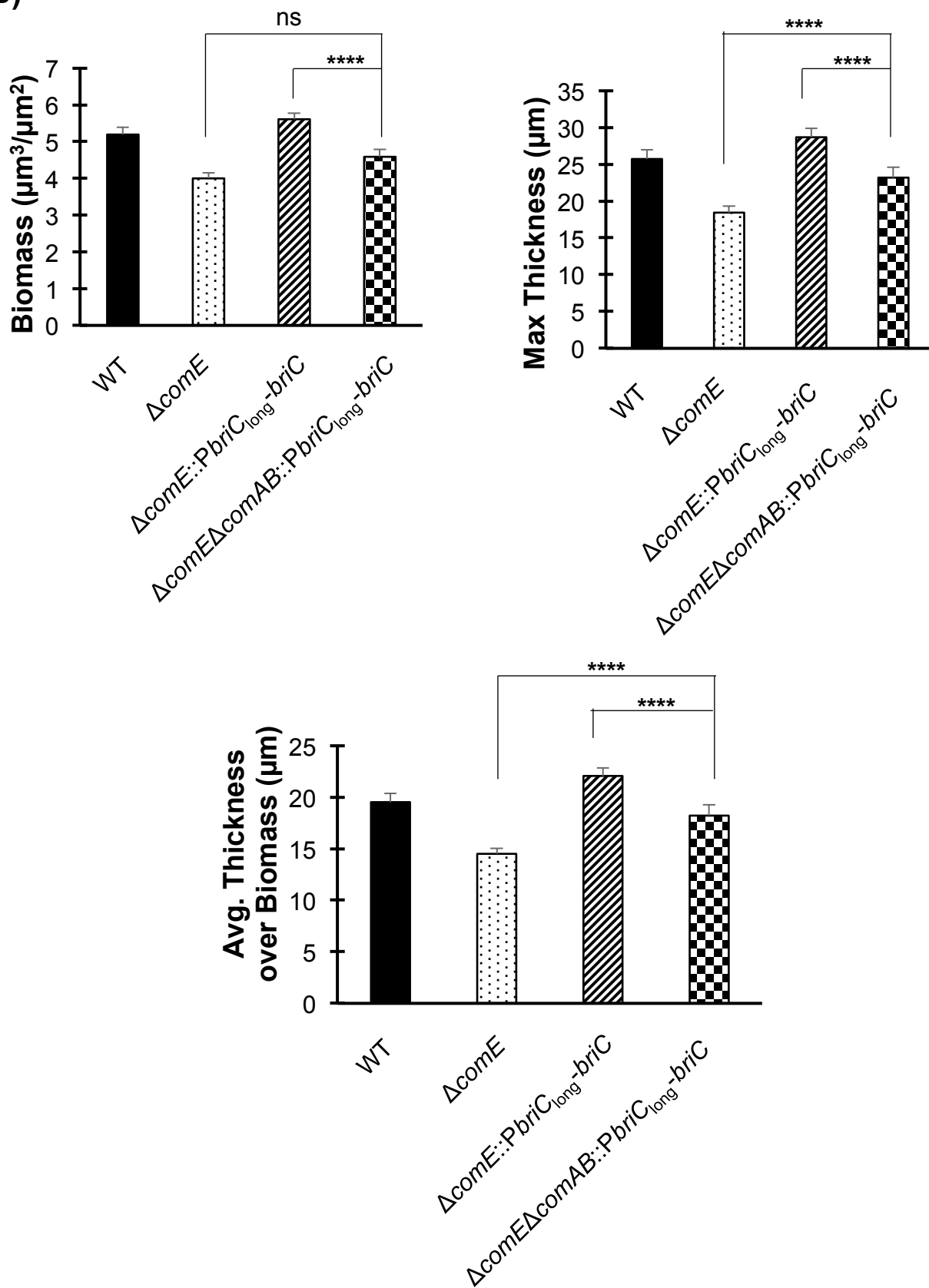
Fig. 6. BriC plays a pivotal role in regulating biofilm development. (A) Representative confocal microscopy images showing top view of the reconstructed biofilm stacks of WT, $\Delta comE$, $\Delta briC::PbriC_{Shuffled} ComE-box -briC$, $\Delta comE::PbriC_{long} -briC$ and $WT::PbriC_{long} -briC$ cells of strain R6D stained with SYTO59 dye at 72-hr. Images are pseudo-colored according to depth (scale shown). **(B)** COMSTAT2 quantification of 72-hr biofilm images. Y-axis denotes units of measurement: $\mu m^3/\mu m^2$ for biomass, and μm for maximum thickness and average thickness over biomass. Error bars represent standard error of the mean calculated for biological replicates (at least $n=3$); “ns” denotes non-significant comparisons, and **** $p < 0.0001$ using ANOVA followed by Tukey’s post-test.

Figure 7

(A)



(B)



(C)

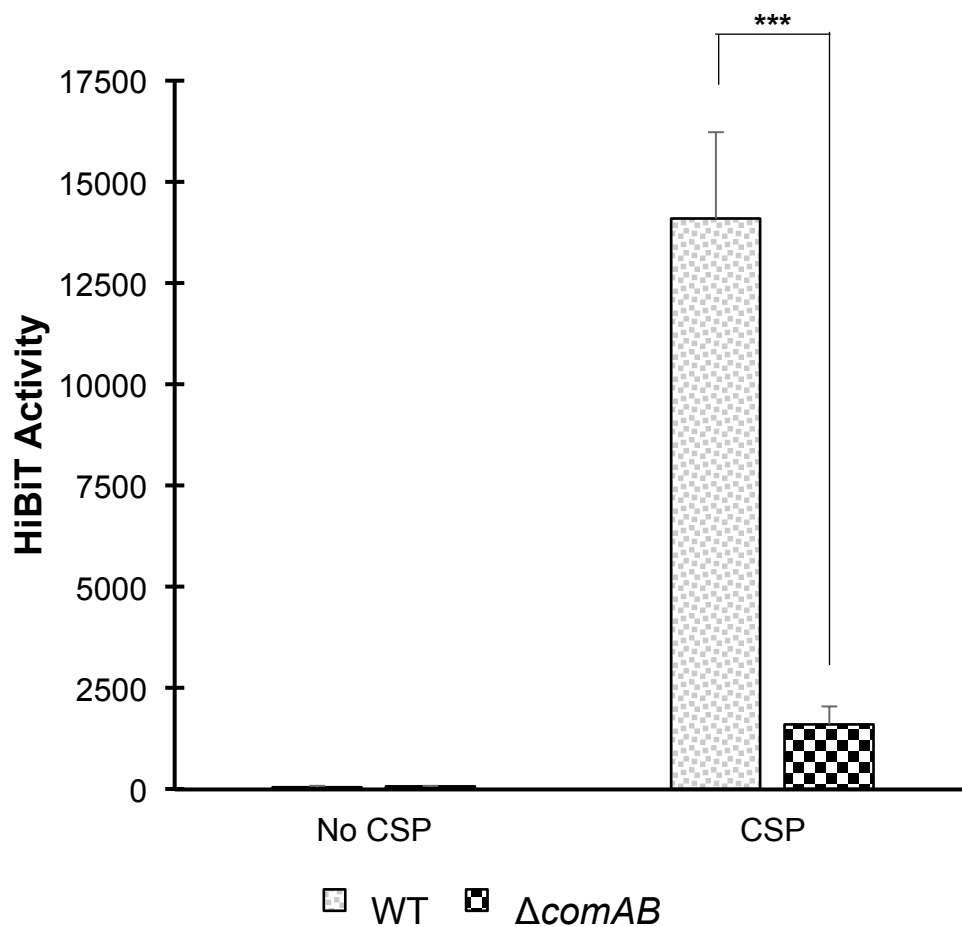


Fig. 7. ComAB plays a role in the export of BriC. (A) Representative confocal microscopy images showing top view of the reconstructed biofilm stacks of WT, $\Delta comE$, $\Delta comE::PbriC_{long}-briC$ and $\Delta comE\Delta comAB::PbriC_{long}-briC$ cells of strain R6D stained with SYTO59 dye at 72-hr. Images are pseudo-colored according to depth (scale shown). (B) COMSTAT2 quantification of 72-hr biofilm images. Y-axis denotes units of measurement: $\mu m^3/\mu m^2$ for biomass, and μm for maximum thickness and average thickness over biomass. Error bars represent standard error of the mean calculated for biological replicates (at least $n=3$). (C) Extracellular Nano-Glo HiBiT activity of the BriC reporter produced by WT and $\Delta comAB$ cells (whole cells). The HiBiT activity was measured by recording luminescence with an integration time of 2000 milliseconds. Error bars represent standard deviation calculated for biological replicates ($n=3$); “ns” denotes non-significant comparisons, *** $p<0.001$, and **** $p<0.0001$ using ANOVA followed by Tukey’s post-test.

Figure 8

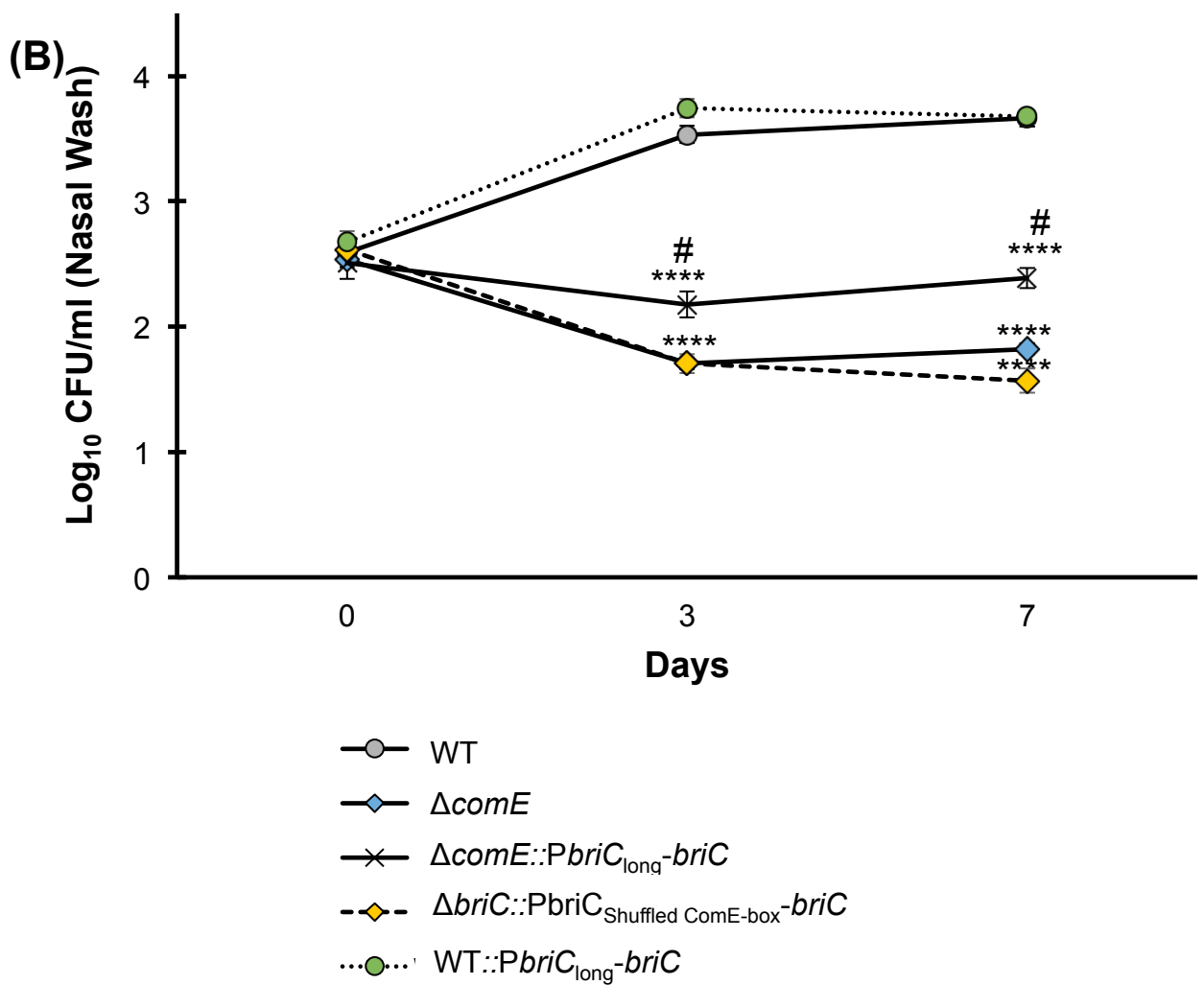
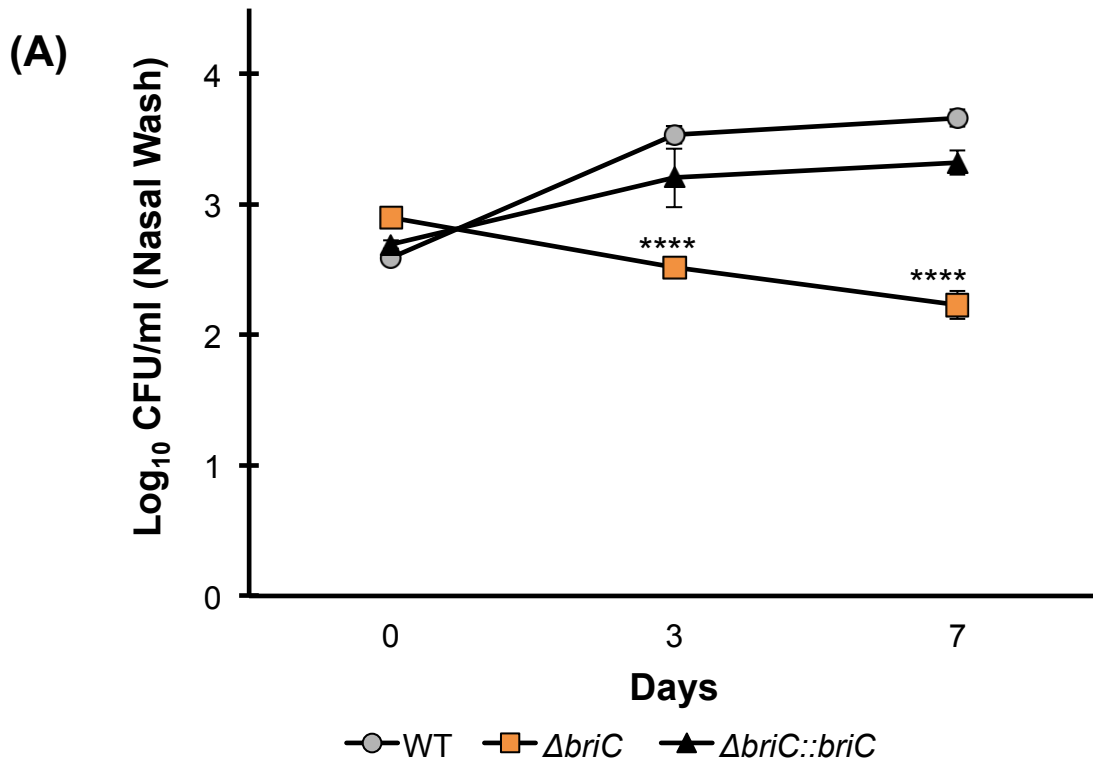


Fig. 8. BriC contributes to pneumococcal colonization of the mouse nasopharynx. CD1 mice were infected intranasally with 20 μ l PBS containing approximately 1×10^5 CFU of **(A)** WT (grey circles), $\Delta briC$ (orange squares), and $\Delta briC::briC$ (black triangles) **(B)** WT (grey circles), $\Delta comE$ (blue diamonds), and $\Delta comE::PbriC_{long}-briC$ (black crosses), $\Delta briC::PbriC_{Shuffled ComE-box}-briC$ (yellow triangles), and WT:: $PbriC_{long}-briC$ (green circles) cells of the pneumococcal strain D39. At predetermined time points (0, 3 & 7 days post-infection), at least five mice were culled, and the pneumococcal counts in the nasopharyngeal washes were enumerated by plating on blood agar. Y-axis represents Log_{10} counts of CFU recovered from nasal washes. X-axis represents days post-inoculation. Each data point represents the mean of data from at least five mice. Error bars show the standard error of the mean. **** $p < 0.0001$ relative to the WT strain, and # $p < 0.0001$ relative to the $\Delta comE$ strain, calculated using ANOVA and Tukey post-test.