

1 **Cause and consequences of genome duplication in haploid yeast populations**

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6

7 **ABSTRACT**

8 **Whole genome duplications (WGD) represent important evolutionary events that shape future**
9 **adaptation. WGDs are known to have occurred in the lineages leading to plants, fungi, and**
10 **vertebrates. Changes to ploidy level impact the rate and spectrum of beneficial mutations and**
11 **thus the rate of adaptation. Laboratory evolution experiments initiated with haploid**
12 ***Saccharomyces cerevisiae* cultures repeatedly experience WGD. We report recurrent genome**
13 **duplication in 46 haploid yeast populations evolved for 4,000 generations. We find that WGD**
14 **confers a fitness advantage, and this immediate fitness gain is accompanied by a shift in**
15 **genomic and phenotypic evolution. The presence of ploidy-enriched targets of selection and**
16 **structural variants reveals that autodiploids utilize adaptive paths inaccessible to haploids. We**
17 **find that autodiploids accumulate recessive deleterious mutations, indicating an increased**
18 **capacity for neutral evolution. Finally, we report that WGD results in a reduced adaptation rate,**
19 **indicating a trade-off between immediate fitness gains and long term adaptability.**

20 INTRODUCTION

21 The natural life cycle of budding yeast alternates between haploid and diploid phases. Both
22 ploidies can be stably propagated asexually through mitotic division. Both theory and experimental work
23 show that haploids adapt faster than diploids, likely due to recessive beneficial mutations (Orr and Otto
24 1994; Zeyl, Vanderford, Carter 2003). Curiously, however, repeated attempts at evolving experimental
25 haploid populations have resulted in recurrent whole genome duplications yielding populations of
26 autodiploids (Gerstein *et al.* 2006; Hong and Gresham 2014; Voordeckers *et al.* 2015); see **Table 1** for
27 additional). Proposed explanations of this phenomenon include artifacts of strain construction
28 (Venkataram *et al.* 2016), unintended mating events (Voordeckers *et al.* 2015), and an adaptive
29 advantage of diploidy (Gerstein *et al.* 2006).

30 Whole genome duplication (WGD) in asexual haploid populations could provide a fitness
31 advantage in several different ways. Cell size scales with DNA content in yeast (Gregory 2001), and
32 increased cell size may facilitate more rapid metabolism and increased growth rate. Indeed, increased
33 cell volume has been reported in laboratory-evolved microbial populations (Lenski and Travisano
34 1994). Gene expression patterns also vary with ploidy (Galitski *et al.* 1999), and diploid-specific gene
35 regulation may be optimal. “Ploidy drive” has been used to describe the phenomenon by which ploidy
36 changes in evolving fungi favor restoration of the historical ploidy state (Gerstein *et al.* 2017). Natural
37 *Saccharomyces cerevisiae* isolates are typically diploid (Liti 2015) and occasionally polyploid (Ezov *et al.*
38 2006). If most selection has occurred on these higher ploidy states, then gene regulation and cell
39 physiology of diploids should be better optimized relative to haploids.

40 Despite the recurrence of diploidization events in haploid-founded yeast lineages, the nature of
41 the fitness advantage of diploidy remains unclear. Some studies detect a fitness benefit (Gorter *et al.*
42 2017; Venkataram *et al.* 2016), while no advantage is detected in others (Gerstein and Otto 2011; Hong
43 and Gresham 2014). A survey of the effect of ploidy on growth rate in otherwise isogenic strains
44 indicates that the benefit of ploidy varies across conditions and optimal ploidy states are contingent on
45 environment (Zörgö *et al.* 2013). In environments where duplication does not confer a direct fitness
46 advantage, it may afford indirect benefits that are then themselves acted upon by selection. Diploidy
47 may protect evolving lineages from purifying selection through buffering the effects of deleterious
48 recessive mutations. Indeed, 15% of viable single gene deletions in haploids exhibit growth defects in
49 rich media, while 97% of heterozygous gene deletions show no detectable phenotype in the absence of
50 perturbation (Deutschbauer *et al.* 2005). This “masking” hypothesis also has experimental support from
51 mutagenesis studies (Mable and Otto 2001), and this effect could be advantageous in populations in
52 which the deleterious mutation rate is sufficiently high.

53 Autodiploids could invade haploid populations due to increased access to beneficial mutations.
54 Ploidy-dependent mutations are known to arise in experimental evolution (Gerstein 2013; Marad and
55 Lang 2017), and a favorable shift in the distribution of fitness effects may follow genome duplication.
56 Structural variants - deletions, amplifications, and translocations - have repeatedly been shown to be
57 adaptive in experimentally evolving yeast populations (Dunham *et al.* 2002; Gresham *et al.* 2008).
58 Diploids have a greater tendency to form copy number variants (CNVs), especially large deletions
59 (Zhang *et al.* 2013). Likewise, aneuploidies accumulate at a significantly higher rate in diploids in the
60 absence of selection (N. Sharp, *personal communication*, Aug. 2017). If structural variants are more
61 frequent, more variable, and more tolerable in diploids, genome duplication may enable access to novel
62 adaptive paths. Given the repeated observation of displacement of haploids by diploids (**Table 1**), and
63 the absence of clear evidence for instantaneous fitness advantages of isogenic diploidy that is broadly
64 applicable across experiments, it is possible that selection for and maintenance of diploidy is a complex
65 process involving both direct selection on ploidy state and second order selection, or selection for
66 indirect fitness benefits associated with higher ploidy.

67 Here we show recurrent WGD in 46 haploid-founded populations during 4,000 generations of
68 laboratory evolution in rich media. We track the dynamics of genome duplication across the haploid-
69 founded populations, revealing that autodiploids fix by generation 1,000 in all 46 populations.
70 Competitive fitness assays show that WGD provides a 3.6% fitness benefit in the selective
71 environment. We find that the immediate fitness gain is accompanied by a loss of access to recessive
72 beneficial mutations. As a consequence, the rate of adaptation of autodiploids slows. Sequencing of the
73 evolved genomes indicates that autodiploids have increased access to structural variants and largely
74 utilize a different spectrum of mutations to adapt compared to haploids. Finally, we show that
75 autodiploids are buffered from the effects of recessive deleterious mutations, consistent with a long-
76 term benefit to maintaining a diploid genome and loss of redundancy following WGD.

77

78 **RESULTS**

79 **Sequenced genomes indicate early and recurrent fixation of autodiploids**

80 Two clones were sequenced from each of 46 haploid-founded populations after 4,000
81 generations of evolution, revealing over 5,100 *de novo* mutations distributed uniformly across the
82 genome, representing the largest dataset of mutations identified in *S. cerevisiae* experimental evolution
83 to date (**Fig. S1**; **Dataset 1**). Mutations are normally distributed across clones (one-sample
84 Kolmogorov-Smirnov test, $\alpha=0.05$) with a mean of 91 ± 20 (**Fig. S2A**). Most mutations in the sequenced
85 clones were called at ~ 0.5 (implying heterozygosity), a surprising result given that the populations were
86 founded by a haploid ancestor. Recurrent WGD events were suspected given that each clone

87 maintained its ancestral mating type allele. Further, this hypothesis of WGD was supported by the
88 observation that clones are not heterozygous at polymorphic sites that differed between the *MATa* and
89 *MAT α* ancestors. Finally, evolved autodiploids are mating competent, pointing to duplication of haploid
90 genotypes.

91 **Autodiploids are detected early, sweep quickly, and exhibit a fitness advantage**

92 We determined the fitness effect of genome duplication by directly competing *MATa/a*
93 autodiploids against an otherwise isogenic haploid *MATa* reference. To control for possible artifacts of
94 construction, we independently constructed and competed 10 *MATa/a* diploids. All 10 *MATa/a*
95 autodiploid reconstructions exhibit a relative fitness advantage significantly higher than a control
96 haploid strain (Welch's t-test, $t=16.28$ $df=19$, $p<.001$). Genome duplication alone in the absence of any
97 other variation provides a mean fitness benefit of 3.6% in these experimental conditions (**Fig. 1A**).

98 To determine the timing of duplication events, we performed time-course DNA content staining
99 on cryoarchived samples for 16 populations (8 of each mating-type). Autodiploids arise quickly in all 16
100 populations, fixing by generation 1,000 in all but 2 populations (**Fig. 1B**, **Fig. S3**, **Fig. S4**). Diploids are
101 present at 2% - 11% in 11/16 populations at generation 60, the earliest time point available for assay.
102 Some populations appear to show clonal interference by fit haploids, with autodiploid fractions briefly
103 decreasing between some time points. Aside from such slight variations, patterns of emergence and
104 spread of autodiploids display show similar dynamics for all 16 populations examined.

105 We examined whether the degree of parallelism observed in ploidy dynamics can be attributed
106 to ancestral ploidy polymorphisms present at the onset of the experiment. Three lines of evidence
107 support the independent origin of autodiploidy in this experiment. First, the cultures were initiated from
108 two starting strains (*MATa* and *MAT α*). There is no significant difference in autodiploid frequency
109 between mating-types at any generation (**Fig. S3**), meaning if autodiploids did, in fact, arise in both
110 independent inoculating cultures, they would have had to achieve roughly the same frequency, which is
111 highly unlikely. Second, no diploids were detected by DNA content staining in any populations at
112 generation 0, indicating autodiploids were not present in the inocula above our detection limit of 1%.
113 Third, computational simulations show that low frequency autodiploids are insufficient to explain the
114 recurrent observation of autodiploid fixation events in all 46 replicate populations. Autodiploids with a
115 3.6% fitness advantage starting at a frequency of 0.01, the highest frequency we modeled, have a
116 probability of fixation an a given population of 0.88 and therefore the chance of fixation in all 46
117 populations would be 2.5×10^{-3} (**Fig. S5**). Taken together, this argues that, while ancestral autodiploids
118 may have swept in some populations, ancestral ploidy variation is insufficient to explain autodiploid
119 fixation in all 46 populations. Therefore independent, parallel WGD events during the evolution
120 experiment are necessary to explain the recurrent fixation reported here.

121 **Autodiploids adapt more slowly than haploids**

122 To examine how the shift to diploidy impacted the dynamics of adaptive evolution, we measured
123 population fitness for all populations at ~300-generation intervals. Mean time-course fitness estimates
124 show a change in slope following 1,000 generations. This corresponds roughly to the time that
125 autodiploids have fixed in most focal populations and are high frequency in the remaining populations
126 (**Fig. 1B**). We compared the rate of adaptation before and after the fixation of diploids in 13 focal
127 populations for which quality fitness data was available. Because many factors, including epistasis,
128 could explain a change in adaptation rate over time, we used a repeated measures ANOVA to compare
129 the effect of ploidy on adaptation rate using time-course fitness data from diploid-founded populations
130 that were evolved in parallel (Marad and Lang 2017) (**Fig. 1C**). The interaction of founding ploidy and
131 generation has a significant effect ($F(1, 49)=78.04$, $p<.001$, $\eta_p^2 = 0.614$). Post hoc comparisons using a
132 Bonferroni correction indicate that rates of adaptation are significantly higher in haploid-founded
133 populations than diploids ($p<.001$), and that adaptation rate does not differ once autodiploids fix
134 ($p=.38$). These data corroborate previous findings regarding the effect of ploidy on adaptation rate
135 (Gerstein *et al.* 2011; Marad and Lang 2017) and show that autodiploidy provides an immediate fitness
136 gain at the expense of slowing subsequent adaptation.

137 **Autodiploid genomes harbor autodiploid specific mutations**

138 Duplication of a haploid genome affects both cell physiology and the phenotypic consequences
139 of new mutations. Therefore, the selective pressure on a gene may vary depending on ploidy state. To
140 understand how genome evolution is driving adaptation in the autodiploid populations, we utilize a
141 recurrence approach that accounts for both the number of mutations observed in a gene and the
142 expectation that the observed number of mutations of a given gene occurred by chance alone
143 controlling for gene length. The resulting probabilities were used to identify 20 common genic targets of
144 selection (**Fig. 2A**). There is a median of 4 recurrent targets per clone with only 1 population containing
145 no common target mutations. GO-component term analysis indicates common targets are enriched for
146 genes whose protein products localize to the cell periphery ($p = 0.001$). Cell periphery targets include
147 *CCW12* and *KRE6*, which both appear to be under extremely strong selective pressure when using the
148 probability metric as a proxy for strength of selection. Interestingly, a tRNA gene, tL(GAG)G, was also
149 identified as a common target of selection (**Fig. S6**). This is the first evidence of adaptive tRNA
150 mutations in laboratory yeast evolution.

151 To better understand the functional basis of adaptation, we examined the distribution of
152 mutations within each gene (**Fig. 2B**). Three broad patterns emerge. First, we observe selection for
153 loss-of-function alleles, e.g. 9 of 11 mutations in *WHI2* are high impact (frameshift or nonsense).
154 Adaptive loss-of-function alleles are common in experimental microbial evolution (Cooper *et al.* 2001;

155 Kvittek and Sherlock 2013; Venkataram *et al.* 2016). We also observe selection for change-of-function
156 alleles. For example, only missense and synonymous mutations are seen in *PDR5*. Finally, we observe
157 mutations in common targets that cluster within specific domains. This is illustrated by the clustering of
158 mutations in the C-terminus of both *KRE6* (n=21) and *STE4* (n=6).

159 We compared the common targets of selection identified in autodiploid clones to those identified
160 with the same approach in a comparable haploid dataset (Lang *et al.* 2013) (**Fig. S7**). We identify
161 several haploid- and autodiploid-enriched targets (**Fig. 2C**). Ploidy-enriched targets include genes
162 mutated more often in one ploidy (e.g. *CCW12* and *KRE6* in autodiploids; *YUR1* and *ROT2* in haploids)
163 or exclusively in one ploidy (e.g. *PHO81*, *YTA7*, *IRC8* in autodiploids; *STE12* in haploids).

164 **Loss of heterozygosity hotspots occur on Chromosomes VII and XV**

165 Homozygous mutations, while the minority, are common. Clones contain between 0 and 17
166 homozygous mutations, with an average of 5.4. Homozygous mutations could either represent
167 mutations that arose before duplication events or loss of heterozygosity (LOH) of heterozygous
168 mutations. We find that the homozygous mutations are not distributed randomly throughout the
169 genome; instead, they tend to cluster in particular regions of the genome (**Fig. 3**). These clusters,
170 located on the right arms of Chr. XII and Chr. XV, account for 55% of all homozygous mutations. This
171 clustering implies that most homozygous variants result from recombination events. By removing
172 homozygous mutations occurring in these regions from analysis, the average number of homozygous
173 mutations per clone drops to 2.4. This indicates that only a few mutations arose in a haploid
174 background. Most genome evolution, therefore occurred after WGD, and thus genome duplications
175 occurred early in the 4,000 generation evolution experiment.

176 Mutations in the common targets of selection are observed in various states zygosity. Most
177 genes (12/20) are found mutated in both heterozygous and homozygous states across clones,
178 indicating partial or full dominance of fitness effects. Seven genes only ever contain heterozygous
179 mutations (*ANP1*, *LCB2*, *LTE1*, *PHO4*, *SIM1*, *STE4*, *YTA7*). These mutations are candidates for
180 overdominant effects (Sellis *et al.* 2011). Finally, only one gene, *CTS1*, is never found mutated in a
181 heterozygous state. A reasonable hypothesis would be that the *cts1* mutations are recessive; however,
182 we have previously identified *cts1* mutations in evolved diploid populations and found it to be close to
183 fully dominant (Marad and Lang 2017). Instead, the position of *CTS1* on the right arm of Chr. XII, a
184 LOH hotspot, could explain why it is only observed in a homozygous state (**Fig. 3**).

185

186 **Structural variants are common to autodiploids**

187 In addition to changing the genetic targets of selection, genome duplication permits access to
188 structural variants not accessible to haploid genomes. We analyzed aneuploidies and CNVs in

189 autodiploid genomes as well as previously sequenced haploid populations (Lang *et al.* 2013) (**Figs. 4 &**
190 **S8; Datasets 2 & 3**). Two types of aneuploidies are observed in autodiploids: trisomy III (which fixes in
191 five populations) and trisomy VIII (which fixes in one) (**Table 2**). CNVs are common in autodiploid
192 genomes. Of the 46 autodiploid populations, CNVs appear in 19 and fix in 14. The 19 independently
193 occurring autodiploid CNVs fall into 10 groups based on genomic position (**Table 2**). Autodiploid CNVs
194 consist of both amplifications (n=4) and deletions (n=6). In contrast, no aneuploidies and only two
195 amplifications are detected amongst the 40 haploid populations. These two amplifications are also
196 observed in autodiploids.

197 **Autodiploids are buffered from deleterious mutations**

198 To determine the extent to which an increase in ploidy buffers diploid lineages against the
199 effects of deleterious mutations, we compared the frequency of mutations in essential genes in
200 autodiploids with those of *MATa* haploids described previously (Lang *et al.* 2013). We specifically
201 analyzed frameshift and nonsense mutations that would likely phenocopy the null mutants used to
202 characterize genes as essential. Sixty-three of 66 high impact mutations in essential genes are
203 heterozygous. For the remaining three mutations, zygosity is inconclusive due to low coverage (**Fig.**
204 **S2B**). We find high impact mutations in essential genes to be exceptionally rare in haploids, with only a
205 single case observed (**Fig. 5A**). In contrast, autodiploids contain a significantly higher proportion of high
206 impact mutations in essential genes ($\chi^2(1) = 20.32, p < 0.0001$). As expected, the proportion of low
207 impact mutations within essential genes is consistent across ploidies ($\chi^2(1) = 0.909, p = 0.339$).
208 Essential genes are also present within two of the large deletions observed in autodiploids (**Table 2**).

209 To experimentally validate that recessive lethal mutations accumulate in autodiploids, we
210 sporulated three *MATa/a* from three different populations and performed tetrad dissections. Clones
211 A02a, B01a, and C03b were selected because they contain no identifiable aneuploidies that would
212 complicate measures of spore viability. Out of 20 total dissected tetrads (80 total spores) per clone,
213 spore viability ranged from 4% to 66% in evolved autodiploid clones (**Fig. 5B**). Further, a substantial
214 fraction of germinated spores developed morphologically small colony sizes relative to controls. We
215 compared observed spore viability to expected viability based on the number of high impact mutations
216 in genes annotated as essential. The only clone for which we observed four-spore viable tetrads, B01a,
217 is also the only clone with no predicted recessive lethal mutations. Nonetheless, both A03a and B01a
218 have significantly lower spore viability than expected (**Fig. 5B**). This in part may be due a genetic load
219 imposed by segregating deleterious alleles. Consistent with our sequencing data, these data indicate
220 that diploidy permits the accumulation of recessive lethal and deleterious mutations on a relatively short
221 time scale.

222

223 DISCUSSION

224 Whole genome duplications (WGDs) are significant evolutionary events that have profound
225 impacts on genome evolution. Evidence of ancient whole-genome duplication events is found within
226 lineages ancestral to most extant eukaryotic taxa (Jaillon *et al.* 2004; Meyer and Van de Peer 2005;
227 Tang *et al.* 2008), including at least two WGDs in the vertebrate lineage (Dehal and Boore 2005), and a
228 WGD approximately 100 mya in the *Saccharomyces* lineage (Kellis, Birren, Lander 2004; Wolfe and
229 Shields 1997). In addition, the existence of numerous contemporary polyploid taxa suggests that
230 genome duplication plays a role in short-term adaptive evolution (Van de Peer, Maere, Meyer 2009).
231 Here, we show that experimental evolution of haploid *Saccharomyces cerevisiae* results in rapid and
232 recurrent WGD. Clones with duplicated genomes arise early in all 46 populations and fix rapidly. We
233 show that the fixation of autodiploids is due to a high rate of occurrence and a large fitness effect
234 conferred by WGD.

235 Although the invasion and subsequent fixation of autodiploids in haploid-founded lineages has
236 been reported before in yeast (see **Table 1**), a clear fitness advantage to diploidy has not always been
237 evident. By employing a competitive growth assay, we demonstrate a relatively large fitness effect of a
238 duplicated genome in our selective environment. A 3.6% fitness effect is substantial: in a recent study
239 we quantified fitness effects of over 116 mutations from 11 evolved lineages in the same conditions,
240 and only 9 conferred a fitness benefit greater than 3.6% (Buskirk, Peace, Lang 2017). The biological
241 basis of this fitness advantage is unclear. However, there are several strong possibilities. Increased cell
242 size, differential gene regulation, and a diploid-specific proteome (De Godoy *et al.* 2008; Galitski *et al.*
243 1999) may all contribute to the adaptive advantage of diploidy. More generally, environmental
244 robustness is often associated with increases in ploidy (Van de Peer, Maere, Meyer 2009).

245 The recurrent and remarkably parallel manner in which autodiploids arise and fix points to not
246 only a large fitness effect, but a high rate of occurrence. Our previous work has shown that parallel
247 evolution is evident at the level of genetic pathway and even gene (Buskirk, Peace, Lang 2017; Marad
248 and Lang 2017). However, the extent of the convergence observed here – where all 46 populations
249 evolve to be autodiploids – is unprecedented in our experimental system. While it cannot be dismissed
250 that some autodiploids were present in the founding inoculum, they are below our 1% detection limit.
251 Autodiploids at this low of a frequency in the inoculum is not sufficient to explain the extent of fixation
252 observed (**Fig. S5**). Simulations indicate the probability of an autodiploid lineage at 1% fixing in 46 out
253 of 46 replicate populations is 2.5×10^{-3} . Furthermore, given the common dynamics observed in
254 populations of both mating types, autodiploids would have had to arise in “jackpot” fashion and reach
255 a similar frequency in the inocula of both mating-types. These data strongly support independent WGD
256 events in replicate populations, suggesting a high background rate of duplication. This is consistent

257 with the observation of frequent WGD in mutation accumulation lines (Lynch *et al.* 2008). Using a
258 barcode-enrichment assay, Venkataram *et al.* (2016) found that roughly half of all evolved clones with
259 increased fitness that arose in a short-term enrichment experiment possessed no mutation apart from a
260 WGD. The rate of WGD, therefore, is likely several-fold higher than the per base pair mutation rate.

261 Given the prevalence of autodiploids in the present evolution experiment, it is worth asking why
262 autodiploids were not reported in a previous haploid evolution experiment in which ostensibly the
263 identical strain and conditions were used (Lang *et al.* 2013). It is possible that in the prior experiment
264 autodiploids did not fix or they could have fixed but were not detected. Despite conscious efforts to
265 maintain identical selective environments, subtle differences in the conditions may exist given that
266 evolution experiments were conducted years apart in different facilities. Indeed, inconsistency in the
267 appearance of WGD across experiments and conditions is common in the field (Gorter *et al.* 2017;
268 Voordeckers *et al.* 2015). Even subtle differences in the evolution conditions could shift the selective
269 benefit of autodiploidy and yield population dynamics different from those seen here. Alternatively, it is
270 possible that autodiploids did fix in the previous haploid evolution experiment but went undetected. The
271 populations analyzed in the haploid study were part of a larger ~600 population experiment, and the 40
272 focal populations were selected based on the presence of a sterile phenotype. Mutations producing
273 sterile phenotypes are predominantly adaptive and recessive loss-of-function (Lang, Murray, Botstein
274 2009). The presence of such beneficial mutations would have biased the selection of populations
275 towards those retaining haploidy. We analyzed a subset of the remaining ~560 populations by DNA
276 content staining and find that ~30% (3 of 10) of them appear autodiploid at generation 1,000, though
277 this is still less than we report here. Further at least one of the forty sequenced populations (RMS1-
278 E09, Lang *et al.*, 2013) which appeared to be an autodiploid based on the presence of a large number
279 of mutations present at a frequency of 0.5, was confirmed as 2N through ploidy-staining.

280 The consequences of WGD are apparent on both the phenotypic and genotypic level. One such
281 consequence is the susceptibility of autodiploids to Haldane's sieve, resulting in a "depleted" spectrum
282 of beneficial mutations. We find a decline in adaptation rate following WGD, which mirrors findings from
283 studies that directly compare the rates of haploid population adaptation with that of diploids (Gerstein *et al.*
284 *et al.* 2011; Marad and Lang 2017). This implies a fitness tradeoff in the shift from 1N to 2N, wherein the
285 fixation of a large-effect beneficial genotype comes at the cost of eliminating access to future recessive
286 beneficial mutations. This tradeoff associated with genome duplication is predicted when population
287 size is large and most beneficial mutations are partially or fully recessive (Otto 2007), conditions that
288 are met in our populations (Lang *et al.* 2013; Marad and Lang 2017).

289 Autodiploids share physiological traits with both haploid and diploid cell types. Like their haploid
290 founders, autodiploids possess only a single mating-type allele and will readily mate with cells of the

291 opposite mating-type, indicating haploid-specific regulation of mating-pathway genes. As with diploids,
292 autodiploids possess a 2N genome and exhibit larger cell size (Galitski *et al.* 1999). Consequently, we
293 observe some overlap in the spectrum of beneficial mutations. We have identified targets of selection
294 shared between haploids and autodiploids along with targets specific to autodiploids. While several
295 targets were mutual to haploids and autodiploids, the extent of recurrence varied by gene. For example,
296 *IRA1* mutations were common to both ploidies but enriched in haploids. In contrast, there were five
297 ploidy-specific genes that were targets in autodiploids but never mutated in haploids. These genes
298 (*PHO81*, *YTA7*, *PHO4*, *IRC8*, and *PSA1*) represent targets of selection that are specifically enriched in
299 autodiploids, suggesting that WGD may expose adaptive pathways that are not easily accessible to
300 either haploids or diploids.

301 Genome duplication also has consequences on genome stability and the evolution of structural
302 variation. Across our 46 populations we identify 6 independently evolved aneuploidies and 20
303 independently evolved structural variants. Structural variants are more frequent in autodiploid genomes
304 than in evolved haploid genomes of the same background, even after accounting for length of
305 evolution. Haploids are constrained: whereas the structural variants observed in haploids always result
306 in a net gain of genetic material, autodiploid structural variants include both amplifications and
307 deletions. The ability to generate a greater degree of structural variation could provide a secondary
308 advantage to WGD. Aneuploidies, large rearrangements, and CNVs have been shown to arise and
309 confer an advantage in experimentally evolving yeast populations (Chang *et al.* 2013; Selmecki *et al.*
310 2015). Of note, several of the recurrent structural arrangements described in the present study,
311 including trisomy III and a 317 kb deletion on Chr. III, are described as beneficial in Sunshine *et al.*
312 (2015). The observation of both gain and loss of genetic material from Chr. III may indicate complex
313 selection on phenotypes unachievable through point mutations.

314 Loss of heterozygosity (LOH) provides a means of overcoming the masking effect of ploidy in
315 autodiploids allowing recessive beneficial mutations to become homozygous. Analysis of the
316 distribution of homozygous mutations across evolved autodiploid genomes reveals LOH frequently
317 occurs in two locations: on the right arm of Chr. XII and the right arm of Chr. XV. The right arm of Chr.
318 XII has been characterized as a hotspot for LOH in experimental and natural populations (Magwene *et al.*
319 *et al.* 2011; Marad and Lang 2017) mediated by a high rate of recombination at the rDNA repeats (Keil
320 and Roeder 1984). To our knowledge, a mitotic recombination hotspot on Chr. XV has not been
321 described. Recurrent LOH may have substantial evolutionary implications as the affected regions
322 may experience different rates of genome evolution and divergence than the rest of the genome. On
323 one hand, adaptation may be slow due to the periodic purging of variation and exposure of deleterious
324 mutations to selection. On the other hand, the rate of adaptation may be increase by providing access

325 to recessive beneficial mutations that would otherwise be masked by Haldane's sieve. Theory predicts
326 that sufficient mitotic recombination may allow asexual populations to circumvent Haldane's sieve
327 (Mandegar and Otto 2007). While we only show prevalence of LOH and not functional evidence of
328 adaptive LOH, such events have been repeatedly observed in adapting yeast populations (Gerstein,
329 Kuzmin, Otto 2014; Smukowski Heil *et al.* 2017). Further, the LOH on Chr. XV was not detected
330 previously in diploids (Marad and Lang 2017), an observation that is more easily explained by selection
331 than a change in the rate of occurrence.

332 The same masking effect that stifles recessive beneficial mutations is also predicted to permit
333 the accumulation of deleterious mutations in diploids (Mable and Otto 2001). In evolved haploid
334 populations few if any deleterious mutations fix: previously only 1 of 116 evolved mutations was
335 characterized as putatively deleterious (Buskirk, Peace, Lang 2017). We show that, in contrast to
336 haploid genomes, evolved autodiploid genomes harbor an abundance of putative recessive lethal
337 mutations (**Fig. 5A**). We sporulated autodiploids with normal 2N karyotypes by complementing the
338 *MAT α* information on a plasmid. We find evidence of the accumulation of both lethal and deleterious
339 mutations as indicated by a large number of inviable and slow-growing haploid spores (**Fig. 5B**). The
340 accumulation of recessive deleterious mutations in the genomes of clonal diploids may have long-term
341 effects on adaptation. With each successive recessive deleterious mutation that fixes, genetic
342 redundancy is eliminated, causing a shift in the distribution of fitness effects and an increase in the
343 target size for lethal or deleterious mutations. Interestingly, loss of redundancy occurred rapidly
344 following the historical yeast WGD (Scannell *et al.* 2006). Here we show that recessive deleterious and
345 lethal mutations can accumulate shortly after WGD. On a population level, the increased target size for
346 neutral mutations may increase standing variation between selective sweeps and may explain
347 populations with deeply diverging clones (**Fig. S8**).

348 The ancient WGD in the *Saccharomyces* lineage is thought to have occurred by alloduplication
349 followed by LOH at the mating-type locus to restore fertility (Marcet-Houben and Gabaldón 2015; Wolfe
350 2015), and therefore would have gone through an intermediate asexual 'duplicated' diploid state, similar
351 to the *MAT a/a* and *MAT α/α* populations investigated here. We demonstrate that this cell type has a
352 direct fitness advantage over an isogenic haploid cell type. The immediate fitness gain of WGD is
353 accompanied by several evolutionary tradeoffs that impact future adaptability including a reduced rate
354 of adaptation, shifted distribution of beneficial mutations, karyotype changes, and the accumulation of
355 recessive deleterious and lethal mutations that reduces redundancy in the duplicated genome.

356

357 **METHODS**

358 **Strain construction**

359 *MATa/a* strains were constructed for fitness assays by converting yGIL701, a fluorescently
360 labeled *MATa/α* diploid isogenic to our ancestral haploid background, to *MATa/a*. yGIL701 was struck
361 out and 10 separate clones were selected. Clones were transformed with pGIL088, which encodes a
362 gal-inducible *HO* and a *MATa* specific *HIS3* marker. 5 ml cultures of YPD were inoculated with a single
363 transformant for each starting clone. Cultures were grown for 48 hours, allowing for glucose to be
364 depleted and catabolite repression of *GAL* genes to be lifted. After 48 hours 100 μl of each culture was
365 plated to SD –his. Histidine prototrophs were screened in α-Factor (Sigma) for shmoos. Confirmed
366 strains were used in competition assays.

367 **Evolution experiment**

368 Experimental populations were founded with 130 μl of isogenic W303 ancestral culture; 22 with
369 yGIL432 (*MATa*, *ade2-1*, *CAN1*, *his3-11*, *leu2-3,112*, *trp1-1*, *URA3*, *bar1Δ::ADE2*, *hmlaΔ::LEU2*,
370 *GPA1::NatMX*, *ura3Δ::PFUS1-yEVENUS*), and 24 with yGIL646, a *MATα* strain otherwise isogenic to
371 yGIL432. Populations analyzed here were evolved in separate wells of a 96-well plate. Ancestral strains
372 were grown as 5 ml overnight cultures from single colonies prior to 96 well plate inoculation. This
373 founding plate was propagated forward and then immediately frozen down.

374 All populations analyzed here were evolved in rich glucose (YPD) medium. Cultures were grown
375 in unshaken 96-well plates at 30°C and were propagated every 24 hours via serial dilutions of 1:1024.
376 Approximately every 60 generations, populations were cryogenically archived in 15% glycerol.

377 **Fitness assays**

378 Fitness assays were performed as described previously (Buskirk, Peace, Lang 2017). Evolved
379 autodiploid populations were mixed 1:1 with a version of the ancestral strain (yGIL432 or yGIL646,
380 genotypes listed above) labeled with ymCitrine at *URA3*. Cultures were propagated in a 96-well plate in
381 an identical fashion to the evolution experiment for 40 generations. Every 10 generations, saturated
382 cultures were sampled for flow cytometry. Analysis of flow cytometry data was done using FlowJo 10.3.
383 Selective coefficient was calculated as the slope of the change in the natural log ratio between query
384 and reference strains. Assays were performed for all 46 evolved populations at 16 time points between
385 generations 0 and 4,000.

386 To measure the fitness effect of autodiploidy, fitness assays were performed as described
387 above, using instead a non-labeled version of yGIL432 as a reference. This strain was mixed 1:1 with
388 either a fluorescently-labeled version of the same strain or one of ten biological replicate fluorescently
389 labeled diploid strains. The fitness of each autodiploid reconstruction was calculated as the mean
390 fitness across 12 replicate competitions.

391 Adaptation rates for each autodiploidized lineage were calculated as the rate of change
392 between generation 0 and the time point at which diploids were present at over 98%. For comparison,

393 rate of adaptation was also calculated for 39 diploid-founded populations evolved in parallel (Marad and
394 Lang 2017). The median time point of autodiploid fixation was generation 600 for the haploid-founded
395 dataset. To generate a comparable dataset, rates of adaptation for diploids were calculated from
396 generations 0-600 and 600-4000. Rates were compared in SPSS using a repeated measures ANOVA
397 with two within subject factors (time) and two between subject factors (haploid-founded and diploid-
398 founded). Because some groups violated homogeneity assumptions, post-hoc analysis was done using
399 a Bonferroni correction.

400 **DNA content analysis**

401 Time-course ploidy states of 16 focal evolved populations were assayed through flow cytometry
402 analysis of DNA content as described in Gerstein and Otto (2011). Briefly, 10 μ l of each sample were
403 inoculated in 3 ml YPD and grown overnight. 100 μ l of saturated cultures were then diluted 1:50 into
404 YPD and grown to mid-log. To arrest in G1, 1 ml mid-log culture was transferred into 200 μ l 1M
405 hydroxyurea and incubated on a 30°C roller drum for 3 hours. Cultures were then fixed with 70%
406 ethanol, treated with RNase and proteinase K, stained with Cytox green (Molecular Probes), and
407 analyzed on a BD FACSCanto. Haploid and diploid frequencies were estimated using FlowJo v10.3 by
408 fitting data to Watson-Pragmatic cell cycle models. This method of estimation was validated with a
409 series of known ploidy mixtures (**Fig. S9**).

410 **Simulations**

411 Simulations of lineage trajectories were performed using a forward-time algorithm designed to
412 imitate the same conditions as our evolution experiment. Estimates for the distribution of fitness effects
413 (an exponential distribution with mean $\bar{s}=0.85\%$) and beneficial mutation rate ($U_b = 1.0 \times 10^{-4}$) were
414 described previously for our experimental conditions (Frenkel, Good, Desai 2014). This model assumes
415 the spectrum of mutations available to haploids is the same as the spectrum available to autodiploids.
416 Simulations were performed with constant inputs for DFE parameters, beneficial mutation rate,
417 inoculation time of the focal lineage (generation $t = 0$), and fitness advantage of the focal lineage (s_0
418 $= 3.6\%$). The initial frequency of the focal lineage was varied ($f_0 = 0.01\%-1.0\%$) for each set of
419 simulations, and a total of ten thousand simulations were performed for each f_0 .

420 **Sequencing**

421 Evolved clones were obtained by streaking evolved populations to singles on YPD and selecting
422 two clones per population. These clones were grown to saturation in 5 ml YPD and then spun down to
423 cell pellets and frozen at -20°C. Genomic DNA was harvested from frozen pellets via phenol-chloroform
424 extraction and precipitated in ethanol. Total genomic DNA was used in a Nextera library preparation.
425 The Nextera protocol was followed as described previously (Buskirk, Peace, Lang 2017). All individually

426 barcoded clones were pooled and sequenced on 2 lanes of an Illumina HiSeq 2500 sequencer by the
427 Sequencing Core Facility at the Lewis-Sigler Institute for Integrative Genomics at Princeton.

428 **Sequencing analysis**

429 Two lanes of raw sequence data were concatenated and then demultiplexed using a custom
430 python script (barcodesplitter.py) from L. Parsons (Princeton University). Adapter sequences were
431 trimmed using the fastx_clipper from the FASTX Toolkit. Trimmed reads were aligned to an S288c
432 reference genome version R64-2-1 (Engel and Cherry 2013) using BWA v0.7.12 (Li and Durbin 2009)
433 and variants were called using FreeBayes v0.9.21-24-381 g840b412 (Garrison and Marth 2012).
434 Roughly 10,000 polymorphisms were detected between our ancestral W303 background and the S288c
435 reference, and the corresponding genomic positions were removed from analysis. All remaining calls
436 were confirmed manually by viewing BAM files in IGV (Thorvaldsdóttir, Robinson, Mesirov 2013).
437 Zygosity was determined based on read depth and allele frequency (**Fig. S2B**). Mutations were
438 classified as fixed if present in all clones from a population. Clones were genotyped for *MAT* alleles by
439 identifying mating-type specific sequences within the demultiplexed FASTQ files.

440 Clone genomes were each independently queried for structural variants. Following BWA
441 alignment, coverage at each position across the genome was calculated. Aneuploidies were detected
442 by calculating median chromosome coverage and dividing this by median genome-wide coverage for
443 each chromosome, producing an approximate chromosome copy number relative to the duplicated
444 genome (**Fig. 4; Dataset 2**). CNVs were detected by visual inspection of chromosome coverage plots
445 created in R (**Fig. S10; Dataset 3**).

446 **Phylogenetic analysis**

447 Variants identified by SNPeff were used to infer a phylogeny based on 7,932 sites containing
448 4,742 variable sites, either SNPs or small indels (**Fig. S8**). Evolved and ancestral sequences (n=93)
449 were aligned with MUSCLE. A general time reversible substitution model with uniform rates (-lnL=
450 44803.45) was selected based on jModelTest. A maximum likelihood tree was then constructed and
451 rooted by the ancestor in MEGA. Subclades were found to be due to incomplete lineage sorting of
452 mitochondrial polymorphisms. After phylogenetic analysis it was evident that four clones were originally
453 attributed to incorrect populations. Tight clustering and short branch lengths suggests either very recent
454 contamination or an issue during colony isolation (populations were struck out two to a plate on
455 bisected YPD plates). In the text, these clones are identified by the suffix “c” and are attributed to the
456 population to which they are most phylogenetically similar.

457 **Identification of common targets and ploidy-enriched targets**

458 A recurrence approach was utilized to identify common targets of selection. A random
459 distribution of the 3,431 coding sequence (CDS) mutations across all 5,800 genes predicts only two

460 genes to be mutated more than five times by chance alone. We determined the probability that chance
461 alone explains the observed number of mutations of each gene by assuming a random distribution of
462 the 3,431 mutations across the 8,527,393 bp genome-wide CDS. Common targets of selection were
463 defined as genes with five or more CDS mutations and a corresponding probability of less than 0.1%
464 (**Fig. 2A**). Notably, analysis using only nonsynonymous mutations identified largely the same set of
465 common targets of selection as did analysis using all CDS mutations. To determine which targets of
466 selection are impacted by ploidy, our recurrence approach was used to analyze mutations in a
467 previously published *MATa* haploid dataset (**Fig. S7**) (Lang *et al.* 2013). We compared the probability of
468 the observed number of CDS mutations in each gene between ploidies (**Fig. 2C**). A gene was
469 considered ploidy-enriched if the ratio of probabilities was at least 10^5 .

470 **Evolved clone sporulation and tetrad dissection**

471 Three clones (A02a, B01a, C03b) for which genome sequence data revealed no aneuploidies
472 were selected for sporulation. Evolved *MATa/a* clones were transformed with pGIL071 which encodes
473 the $\alpha 2$ gene necessary for sporulation and a *URA3* marker for selection. Transformants were
474 sporulated in Spo++ -ura media. Following 72 hours, sporulation efficiency was calculated via
475 hemocytometer, cultures were digested with zymolyase, and tetrads were dissected on YPD agar
476 plates. Spores were incubated 48 hours and then assayed for germination. Control strain yGIL1039,
477 made by crossing yGIL432 to yGIL646 and converting the resulting diploid to *MATa/a* as described
478 above, was transformed and dissected in parallel.

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613

614 **AUTHOR CONTRIBUTIONS**

615 KJF, SWB, and GIL conceived of the project and designed experiments. KJF and SWB performed
616 library preparations and SWB performed sequencing analysis and bioinformatics. KJF performed the
617 experiments. KJF, DAM, and RCV collected time-course ploidy data. RCV performed simulations. DAM
618 collected time-course fitness data. KJF, SWB, and GIL analyzed data and wrote the manuscript.

619

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624

625 **COMPETING INTERESTS**

626 The authors declare no competing interests.

627

628 **DATA DEPOSITION**

629 The short-read sequencing data reported in this paper have been deposited in the NCBI BioProject
630 database (accession no. PRJNA422100).

631

632 **SUPPLEMENTAL DATASETS**

633 **Dataset 1:** All 8,305 de novo mutations detected across the 46 autodiploid populations

634 **Dataset 2:** Aneuploidies detected by sequencing read depth

635 **Dataset 3:** Copy number variants detected by sequencing read depts

Table 1: Observations of autodiploidy in experimental studies

Study	Propagation	Evolution medium	Strain background	Mating-type
Current study	Batch culture, unshaken	YPD	W303	<i>MATa</i> & <i>MATα</i>
Kosheleva and Desai 2017	Batch culture, unshaken	YPD	Sk1-W303 hybrid	<i>MATa</i> & <i>MATα</i>
Gorter 2017	Batch culture, shaken	YPD with heavy metals	BY4743	<i>MATa</i>
Venkataram <i>et al.</i> 2016	Batch culture, shaken	Carbon limited glucose	BY4709	<i>MATa</i>
Voordeckers <i>et al.</i> 2015	Turbidostat	6-12% EtOH glucose	S288c derivative	<i>MATα</i>
Hong and Gresham 2014	Chemostat	Nitrogen limited glucose	S288c derivative	<i>MATa</i>
Oud <i>et al.</i> 2013	Anerobic batch culture in sequential bioreactor	1:1 glucose/galactose	CEN.PK113-7D	<i>MATa</i>
Gerstein <i>et al.</i> 2006	Batch culture, shaken	YPD	SM2185	<i>MATa</i>

Table 2: Structural variants in evolved autodiploids.

Chr.	Start (kb)	End (kb)	Length (kb)	Copy Number	Description	Type	Clones*
I	210	225	15	1N	CNV	loss	B01a, B01b, E11a, E11b
III	85	85	<10 kb	0N	CNV	loss	G01a, G01b, G01c
III	150	170	20	1N	CNV	loss	A02a, A02b, B10a, B10b, C11a, C11b, C11c, F10a
IV ²	900	1000	100	3N	CNV	gain	B12a, B12b, C03a, E12a, E12b
V ³	450	500	50	1N	CNV	loss	B11a, B11b, F10a, F10b
VIII	525	545	20	1N	CNV	loss	E11a, E11b
XIII ³	190	200	10	1N	CNV	loss	C10a, D10a, E10c, H12a
XIII ²	190	200	10	3N	CNV	gain	F02a, F02b
XIV	545	560	15	3N	CNV	gain	A12a, A12b
XV	900	1100	200	3N	CNV	gain	G02b
III	0	317	317	3N ¹	aneuploidy	gain	C01a, C01b, D01a, D01b, D03a, D03b, E12a, E12b¹, H02a, H02b,
VIII	0	924	924	3N	aneuploidy	gain	A11a, A11b

* Bolded clones indicate the CNV was found in all clones of the population

¹ Observed at 4N in one clone

² Also observed in one haploid

³ Contains essential genes

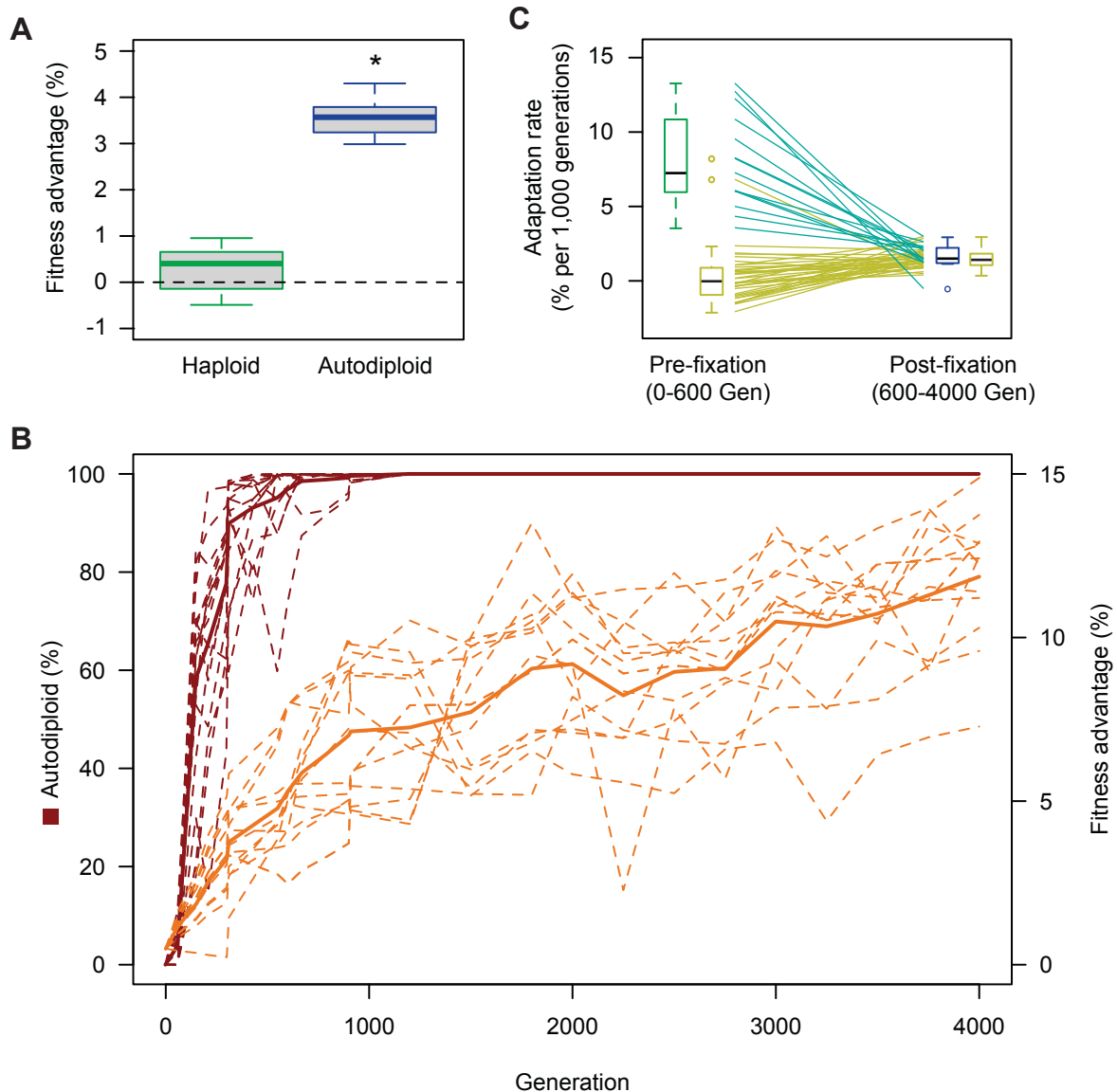


Fig. 1 Autodiploids sweep through haploid populations due to a direct fitness advantage. A) *MATa/a* diploids have a mean relative fitness advantage of 3.6% when competed against a haploid reference strain. Ten *MATa/a* diploids clones were constructed independently. Box plots reflect mean fitness of each clone. Autodiploids and control haploids were competed against the same haploid reference. Asterisk (*) indicates $p < 0.001$ (Welch's t-test, $df = 18.268$) B) Autodiploid frequency (red) and fitness advantage (orange) for focal populations (dashed lines). Solid lines indicate mean autodiploid frequency for 16 populations and mean fitness advantage for 13 populations. C) Haploid-founded populations demonstrate significantly higher rates of adaptation until autodiploids fix in the haploid-founded populations. From that point forward, haploid-founded (autodiploids) and diploid-founded populations adapt at the same rate. Lines indicate paired data points from the same population (teal: haploid-founded, yellow: diploid-founded). For each haploid-founded population, adaptation rate was calculated before and after autodiploid fixation, which occurred on average at generation 600. Adaptation rates for diploid-founded populations were calculated from Gen 0-600 and Gen 600-4000.

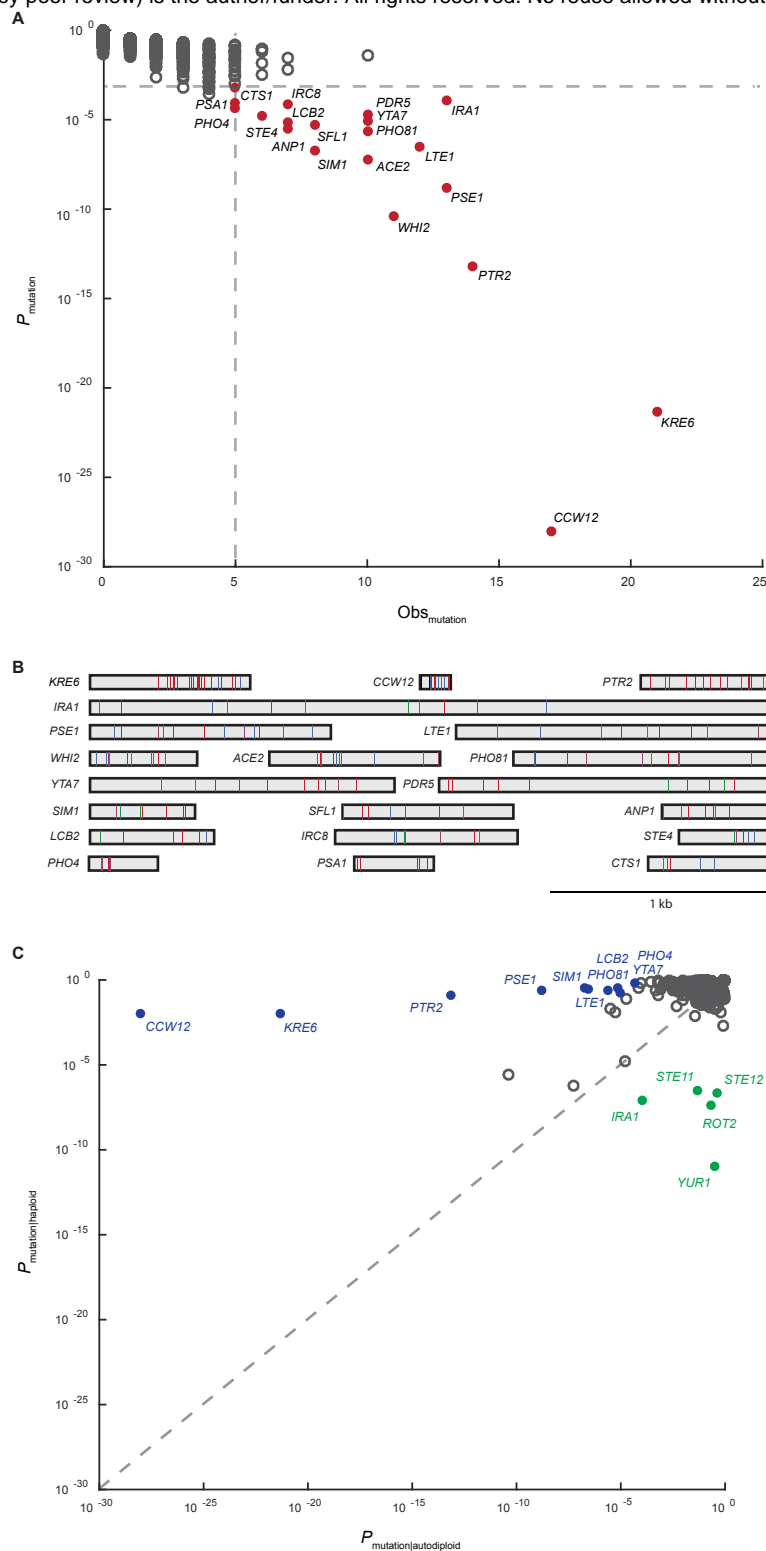


Fig. 2 Common targets of selection and ploidy-enriched genes. A) Plotted on the x-axis is the observed number of coding sequence (CDS) mutations in each of the 5800 genes in the S288c reference genome. On the y-axis is the probability that the observed number of CDS mutations in each gene occurred by chance. Common targets of selection (solid red circles) are genes with 5 or more CDS mutations and corresponding probability of less than 0.1%. B) Shown are all 188 mutations across the 20 common targets of selection. Genes are represented as rectangles and labelled by gene name. Mutations are colored by type: frameshift-purple, nonsense-blue, missense-red, synonymous-green, other-black. Both homozygous and heterozygous mutations are shown. C) Plotted is the probability that the observed number of CDS mutations in a gene occurred by chance in haploid populations versus autodioid populations. Genes were considered ploidy-enriched if the ratio of probabilities was greater than 10^5 . Haploid-enriched genes are indicated by solid green circles and autodioid-enriched genes as solid blue circles.

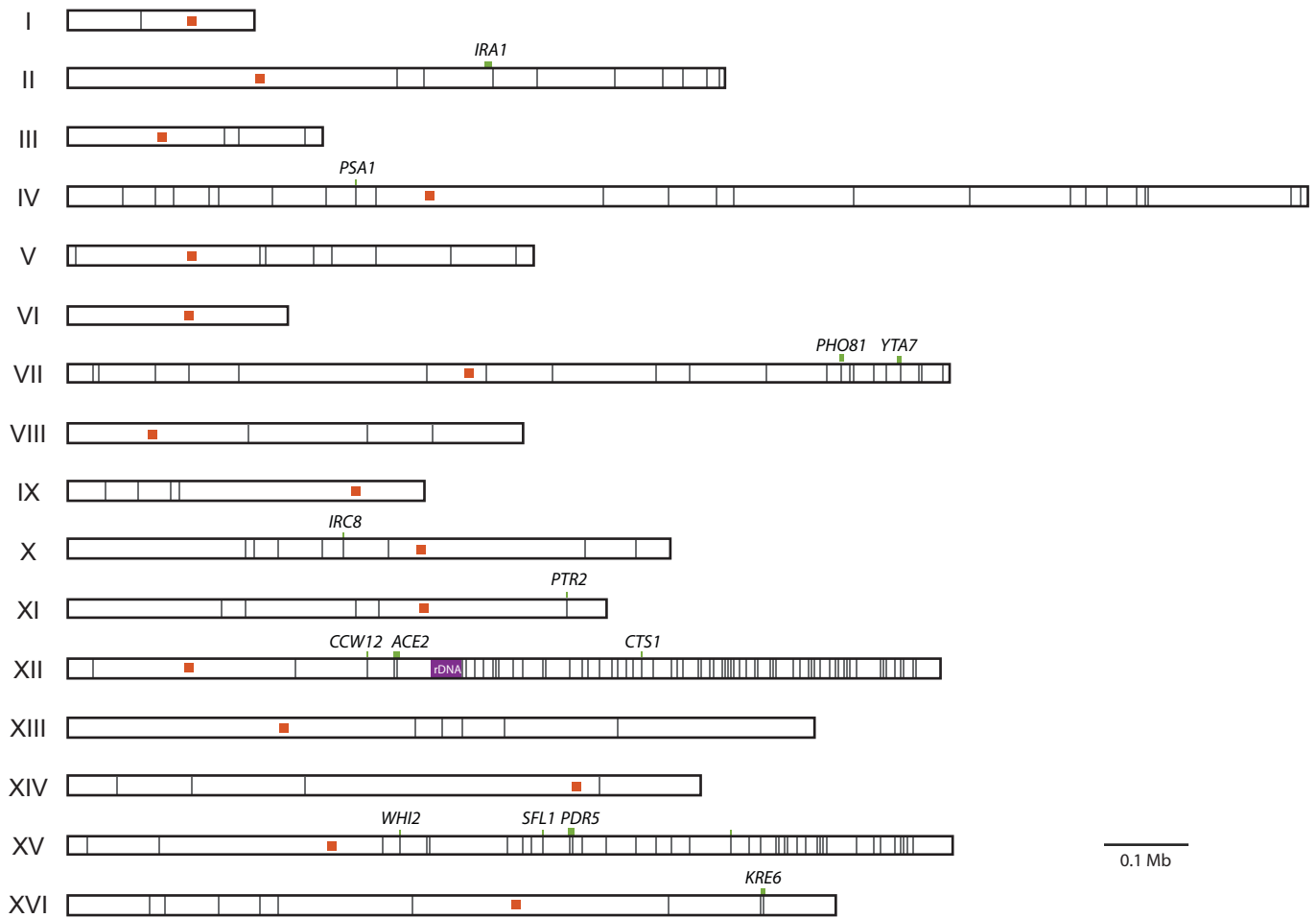


Fig. 3 Enrichment of homozygous mutations on the right arms of Chr. XII and Chr. XV. Shown in gray lines are the 256 homozygous mutations detected across the 92 evolved clones. Chromosomes are labeled by Roman numeral. Centromeres are shown as orange squares. Homozygous mutations in common targets of selection are marked by a green line (representing gene length) and labeled by gene name. The ribosomal DNA repeat region of Chr. XII, a known recombination hotspot, is shown in purple.

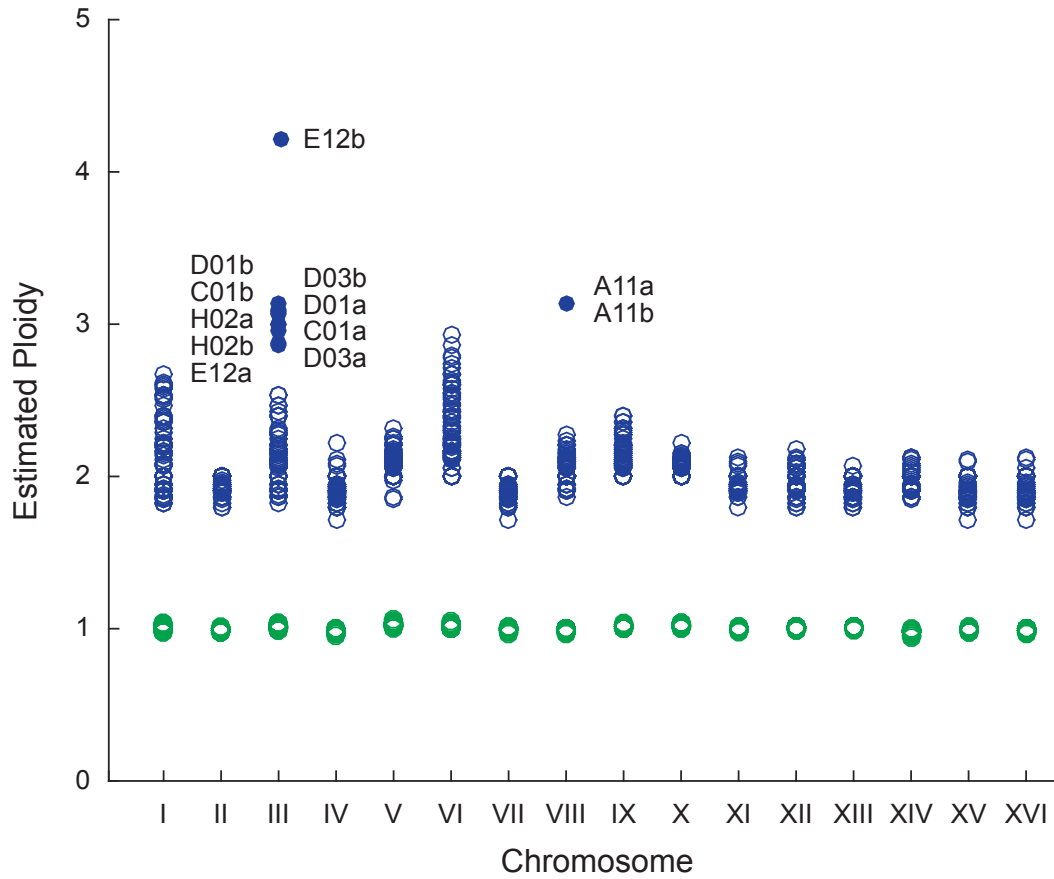


Fig. 4 Detection of Aneuploidies. For each sequenced sample, coverage across each chromosome was compared to genome-wide coverage. Based on DNA content staining, baseline ploidy was assumed to be 1N for haploids and 2N for autodiploids. Euploidy is indicated by empty circles: haploid - green, autodiploids - blue. Aneuploidies are shown as filled circles and labeled by clone.

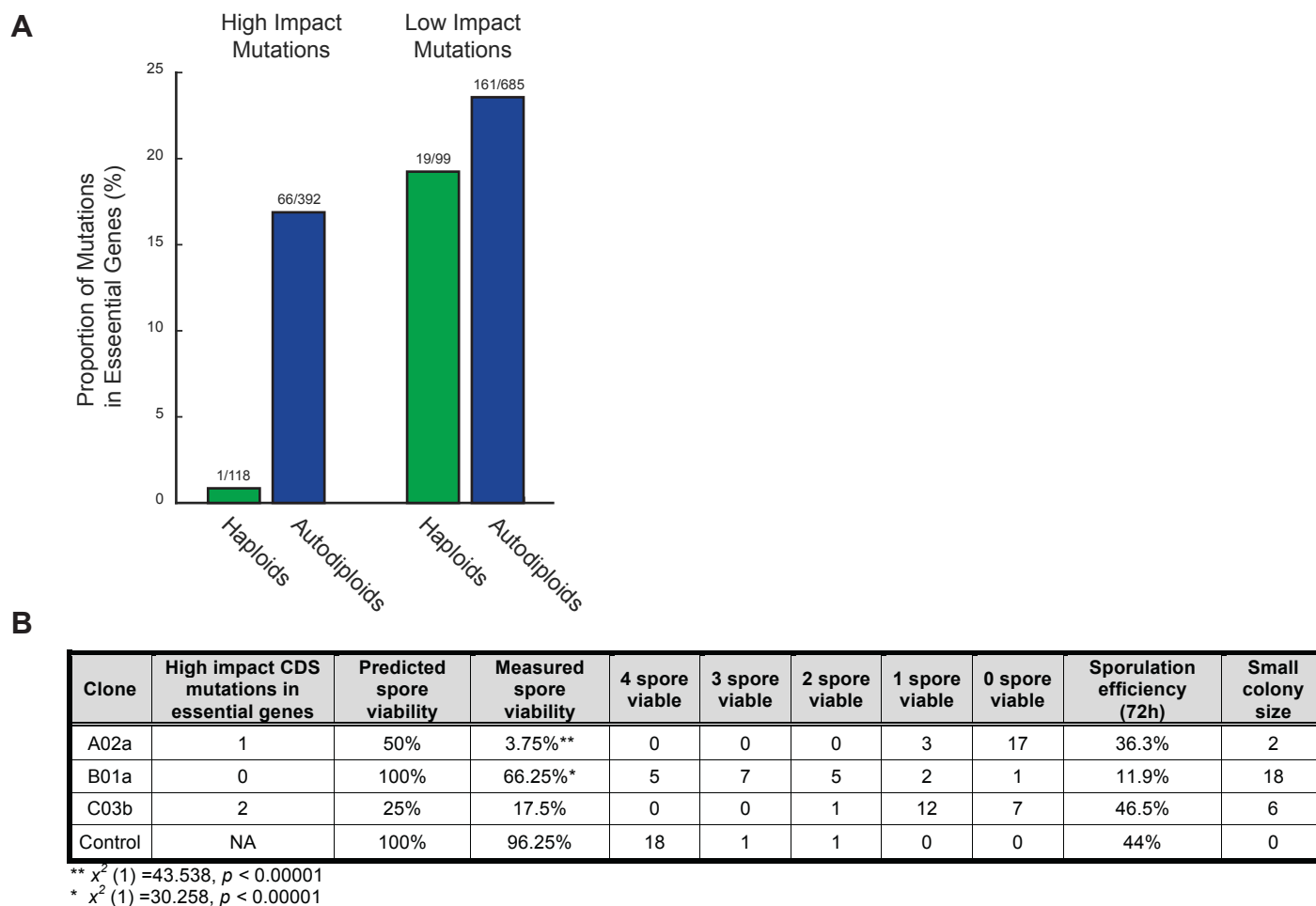


Fig. 5 Recessive deleterious and lethal mutations. A) Shown are the proportions of high impact mutations (frameshift, nonsense) and low impact mutations (synonymous, intronic) in essential genes in haploids (green) and autodiploids (blue). Above each bar is the ratio of mutations in essential genes to mutations in all genes. B) Clones from three evolved diploid populations were sporulated and dissected. Spore viability and small colony size reflect recessive lethal and recessive deleterious mutations, respectively.