# Fatigue influences the recruitment, but not structure, of muscle synergies

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#### 15

#### 16 Abstract

17 The development of fatigue elicits multiple adaptations from the neuromuscular system. Muscle 18 synergies are common patterns of neuromuscular activation that have been proposed as the building 19 blocks of human movement. We wanted to identify possible adaptations of muscle synergies to the 20 development of fatigue in the upper limb. Recent studies have reported that synergy structure remains 21 invariant during the development of fatigue, but these studies did not examine isolated synergies. We 22 propose a novel approach to characterize synergy adaptations to fatigue by taking advantage of the 23 spatial tuning of synergies. This approach allows improved identification of changes to individual 24 synergies that might otherwise be confounded by changing contributions of overlapping synergies. 25 To analyse upper limb synergies we applied non-negative matrix factorization to 14 EMG signals 26 from muscles of 11 participants performing isometric contractions. A preliminary multidirectional 27 task was used to identify synergy directional tuning. A subsequent fatiguing task was designed to 28 fatigue the participants in their synergies' preferred directions. Both tasks provided virtual reality 29 feedback of the applied force direction and magnitude, and were performed at 40% of each 30 participant's maximal voluntary force. Five epochs were analysed throughout the fatiguing task to 31 identify progressive changes of EMG amplitude, median frequency, synergy structure, and activation 32 coefficients. Three to four synergies were sufficient to account for the variability contained in the 33 original data. Synergy structure was conserved with fatigue, but interestingly synergy activation 34 coefficients decreased on average by 24.5% with fatigue development. EMG amplitude did not 35 change systematically with fatigue, whereas EMG median frequency consistently decreased across 36 all muscles. These results support the notion of a neuromuscular modular organization as the building 37 blocks of human movement, with adaptations to synergy recruitment occurring with fatigue. When 38 synergy tuning properties are considered, the reduction of activation of muscle synergies may be a 39 reliable marker to identify fatigue.

#### 40 **1** Introduction

Fatigue has major implications for motor behaviour and task performance, with adaptations to fatigue occurring at central and peripheral levels of the neuromuscular system (Gandevia, 2001). Muscle synergies, which depend on covariations between levels of muscle activation, have been proposed as stable building blocks of human movement (d'Avella et al., 2003), but how their structure and recruitment are affected by adaptation to fatigue has yet to be determined.

46 One definition of fatigue is a decrease in muscle force that will lead to task failure (Enoka and 47 Duchateau, 2008). The neuromuscular manifestations of fatigue reflect central and peripheral 48 adaptations which can be jointly quantified by EMG during sustained muscle contractions (Bigland-49 Ritchie et al., 1979). Fatigue-related changes in myoelectric properties involve decreases of muscle 50 conduction velocity (Enoka and Duchateau, 2008) and frequency of discharge of motor units 51 (Dideriksen et al., 2011). In the EMG signal, fatigue reliably produces a decrease of the mean frequency 52 (Bigland-Ritchie et al., 1981; Merletti et al., 1991) but has a variable effect on amplitude depending on 53 a number of factors including the specific muscle involved and the level of contraction (Gerdle et al., 54 2000).

55 Changes of patterns of activation across muscles have been proposed as a central strategy to reduce the 56 effects of fatigue (Enoka et al., 2011; Enoka and Duchateau, 2008). Four adaptations to muscle 57 activation patterns have been described: activity alternation across synergistic muscles for low level 58 contractions (< 5% MVC) (Kouzaki et al., 2002), co-activation with antagonists for moderate 59 contractions (< 60% MVC) (Levenez et al., 2005), contralateral muscle activation (Todd et al., 2003), 60 and increased variability of activation within the task parameters (Baudry et al., 2007). Motor control 59 theory is currently lacking a unifying principle that explains these different adaptations.

There is a growing body of evidence that the central nervous system (CNS) controls the muscular system using a low-dimensional structure, composed of modules known as muscle synergies. A muscle synergy is a fixed pattern of co-activation of muscles that are driven by a common time-varying signal called the activation coefficient. Muscle synergies may be a strategy of the CNS to deal with the problem of motor redundancy (d'Avella et al., 2003). Muscle synergies have been found to be consistent across different natural movements in human and animal models (Bizzi et al., 2008a; Cheung et al., 2005).

The effect of fatigue on muscle synergies is not fully understood. For muscle synergies to be the building blocks of motor control, they must remain intact and well-defined across many different states of the motor system, including in the presence of fatigue. The aim of our study was to identify the adaptations of muscle synergies during the development of fatigue. To support the notion of synergies as building blocks of movement, we hypothesize that synergy structure is conserved with the development of fatigue. Consequently, to explain the differences in muscle activations during fatigue, adaptations should occur in the activation coefficients of muscle synergies. We studied adaptations to

- 76 fatigue by comparing synergy structure and activation coefficients, as well as during the performance
- 77 of fatiguing isometric upper limb contractions in humans.

#### 78 2 Methods

#### 79 2.1 Participants

- 80 We recruited eleven volunteer participants (**Table 1**); participants were young and healthy without
- 81 any pathology that affected the upper limb, spine or posture. Volunteers were excluded if they
- 82 reported neck, shoulder or arm pain (> 2 in a 1-10 verbal scale) within the last three months. The
- 83 University of Auckland Human Participants Ethics Committee approved the research protocol and
- 84 methods of the study (ref. 013218) and informed consent was gained prior to participation.

#### 85 **Table 1**: Participant characteristics.

ID	Age	Height (cm)	Weight (kg)	Arm Length (cm)	MVC force (N)
Mean	25.8	170.9	67.4	65.8	74.9
Median	23.0	170.0	63.9	66.0	74.3
SD	4.7	7.9	12.9	4.6	22.1

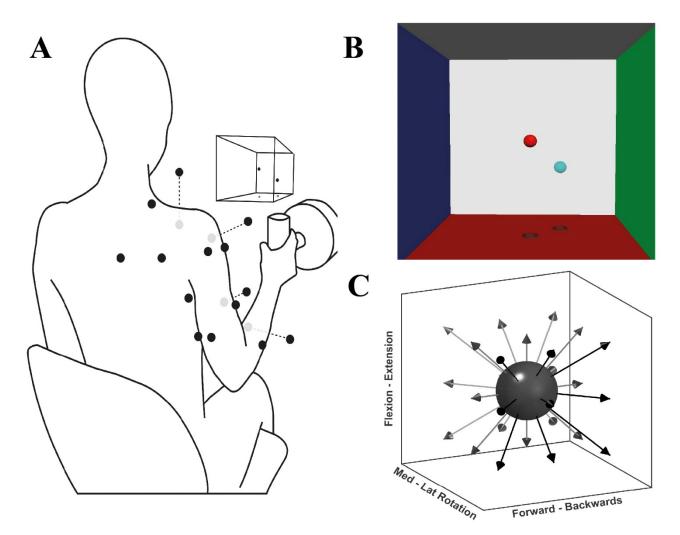
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#### 87 2.2 Equipment

88 Forces generated by the participants were recorded at a handle instrumented with a 6-axis force-

89 torque transducer (Omega160, ATI Industrial Automation, Apex, NC, USA) (Figure 1.a). Force was

90 sampled at 120 Hz using custom software based on Dragonfly acquisition system (Pittsburgh).



#### 91

Fig. 1: Experimental setup for the multidirectional and fatiguing tasks. (A): Schematic illustration
of EMG placement, VR feedback, and handle instrumented with a force transducer; black dots on
the silhouette represents the EMG sensor placement, grey dots are sensors placed ventrally. (B)
Screenshot of the VR feedback displayed on the screen in front of the participants, the centre of
each VRF wall represents 100 N of applied force. (C) Schematic representation of the target
directions during the multidirectional task.

98 Surface EMG signals were recorded with a wireless Trigno System (Delsys Inc., Boston, MA, USA).

99 EMG activity was recorded from 14 major muscles of the shoulder, arm and forearm of the

- 100 participant's dominant upper limb: superior (ST) and middle trapezius (MT), subscapular (Sb),
- 101 serratus anterior (SA), anterior (AD), middle (MD) and posterior deltoid (PD), pectoralis major (PM,
- 102 clavicular fibres), short (BS) and long (BL) head of biceps brachii, long (TL) and lateral (TLat) heads
- 103 of triceps brachii, extensor carpi radialis (WE), and flexor carpi radialis (WF). These muscles were
- 104 chosen for the likely large contribution to tasks' isometric contractions, as previously recommended
- 105 to most accurately reconstruct synergies from a subset of muscles (Steele et al., 2013). Participants'

106 skin was prepared by rubbing a medical abrasive conductive paste (NuPrep, Weaver). Finally,

107 electrode placement followed SENIAM and Cram's recommendation guidelines (Criswell, 2010;

108 Hermens et al., 1999). EMG signals were sampled at 2000 Hz, via a custom software interface.

#### 109 **2.3 Protocol**

110 Participants performed three tasks: maximal voluntary force (MVF), multidirectional trials, and 111 fatiguing trials. Each task consisted of isometric contractions of different time lengths and directions. 112 During the tasks, participants were seated on a stool and grasped the instrumented handle that was 113 positioned in front of their shoulder, at a distance of 40% of their respective arm length (Figure 1.a). 114 During the tasks, participants were instructed and encouraged to maintain their posture. To display 115 the direction in which force had to be exerted, a custom virtual reality feedback (VRF) was 116 developed (Figure 1.b). The VRF consisted of two spheres in a 3D force space: the position of one 117 sphere dynamically displaying the users applied force, and a fixed sphere serving as directional target 118 and force level cue. The movement of the former was proportional to the resultant force exerted at 119 the handle by the participant. The position of the target sphere was the desired vector direction with a 120 distance from the origin equivalent to 40% of the MVF. Initially, participants were trained on the use 121 of the force transducer-VRF interface by practising target matching in random directions and forces. 122 Participants were then asked to perform shoulder external rotation producing MVF while seated with 123 the upper arm next to the trunk, and the elbow at  $90^{\circ}$  degrees. Three MVF trials of 3 s were recorded, 124 and the average MVF was determined from the peak forces.

125 During the multidirectional task, participants executed isometric trials in 26 directions evenly

126 distributed around a sphere (Figure 1.c). The goal of each trial was to match the movable sphere with

127 the target one, applying a force of 40% of the MVF ( $\pm$  7 N.) for four seconds. If after three attempts

128 of one minute, the participant was not able to achieve a continuous match of four seconds, the trial

129 was considered a mistrial and excluded from further analysis. To prevent muscle fatigue a resting

130 period of 20 s was given between trials.

131 For the fatiguing trials, participants were asked to perform one isometric contraction at 40% of the

132 MVF until fatigue, for each of the significant synergies identified from the multidirectional task. The

133 trials were performed in the preferred directions (PDs) of the extracted synergies. Synergy PDs are

134 the directions for which a specific synergy shows the highest activation coefficient (see Data

135 Analysis below). The CR10 Borg scale (Borg, 1998) was used to quantify the participant's self-

136 perceived exertion rate. The Borg scale is a subjective method to quantify fatigue development while

137 performing a task. Participants reported their perceived exertion at the beginning of each trial and

138 every one minute until fatigue was reached. Fatigue was reached when the force level dropped > 10

139 N for two consecutive seconds or when the participant declared it impossible to continue with the

140 task, 10 on the Borg Scale. A rest period of 15 minutes was given between each fatiguing trial,

141 allowing the muscles to return to an initial state.

#### 142 **2.4 Data Analysis**

143 Data were first averaged and trimmed to obtain stable activation patterns from whole trials of the

144 directional task and from epochs within the fatiguing task. With the fatigue data, fatigue parameters

145 and synergies were calculated from each epoch. A significant number of synergies was identified and

146 extracted from the concatenated data of the multidirectional trials, or independently from each epoch

147 of the fatiguing trials. Code used for synergy analysis is available at

148 https://github.com/ortegauriol/SynFAn.

#### 149 **2.4.1 EMG processing**

150 All data were analysed using custom scripts written in MATLAB 9.2 (MathWorks, Natick, 151 MA, USA). EMG from the multidirectional trials were averaged for the intermediate two seconds of 152 the target match period, obtaining a stable activation level. Fatiguing trials were trimmed and 153 analysed in five epochs of 5% of the data, every 25% of the total trial time. Epochs were then 154 rebinned into 100 data points. Signals were band-pass filtered (Butterworth, 2nd order, 5 - 400 Hz), 155 demeaned, full-wave rectified, normalized by dividing all muscle activations by the maximum 156 activation per trial (preserving the relative amplitude contribution of each muscle), converted to unit 157 variance (Roh et al., 2012), and low-pass filtered again (Butterworth, 2nd order, 5 Hz) to obtain a 158 signal envelope.

#### 159 **2.4.2 EMG analysis**

Non-negative matrix factorization (NMF) analysis (Lee and Seung, 1999) was used to extract synergies. In simple mathematical terms, NMF can be modelled as D = W\*C, where D is the original data set, W the synergy structure or modes, and C the activation coefficient. For the analysis, NMF was implemented using the multiplicative rule (Berry et al., 2007), where each iteration gave a different W and C estimate, converging from the previous solution. The final solution was

165 implemented as the result of 20 consecutive iterations with a difference of less than 0.01% among

166 them (Roh et al., 2015).

167 Synergy analysis requires a pre-defined number of synergies. Therefore, to find an adequate solution, we iterated the number of synergies from one until 13 (number of muscles minus one). The concept 168 169 behind muscle synergies is that a reduced dimensionality, or number of synergies in this case, is able 170 to reconstruct complex higher dimensional behaviour. To determine a significant solution or number 171 of synergies, the quality of the solution must be compared with the original data. We used the 172 variance accounted for (VAF) metric to make this comparison (Cheung et al., 2005). VAF is defined 173 in global (whole data set) and local (individual channels) scales. VAF was defined according to 174 equation 1:

175 Equation 1: 
$$VAF = 1 - \frac{(ODS - RD)^2}{ODS^2}$$

For global criteria, *ODS* represents the variance of the original data set, and *RD* the variance of the reconstructed data set. The local criterion of VAF is applied to each muscle independently: this involves replacing *ODS* with the variance of data from a single channel, and RD is replaced by the variance of the same reconstructed channel. The significant number of synergies was selected when global VAF => 90% and local VAF=>80%.

181 Once a significant number of synergies was determined, the PD of each synergy from the

182 multidirectional task was calculated as the average of each trial's direction vector scaled by the

183 activation coefficient of that synergy during that trial (equation 2).

184

Equation 2: 
$$\overline{PD_r} = \frac{\sum_i (Q_i * C_{ri})}{T}$$

185 Where  $Q_i$  is the direction unit vector of the *i*th trial,  $C_{ri}$  is the activation coefficient of the *r*th synergy 186 of the *i*th trial, and *T* is the total number of trials.

#### 187 2.4.3 Synergy clustering

188 To group similar synergies across participants in each epoch of the fatiguing task, synergies from all

189 participants were pooled. Cluster analysis was applied to the pooled synergies using the K-medoids

190 algorithm (Park and Jun, 2009), using the cosine function as the distance metric across clusters, and

191 the Silhoutte index (Kaufman and Rousseeuw, 1990) to determine the correct number of clusters.

192 Then, a mean synergy set per epoch was calculated by averaging each cluster. A second 'sorting'

cluster analysis across epochs was applied to the calculated mean synergy sets to match similar mean
synergies across epochs.

195 To test our first hypothesis that synergy structure is conserved with the development of fatigue, 196 structure was compared across epochs by calculating the scalar product between synergies. Two 197 synergies were defined as similar when the scalar product value was above the 95th percentile of a 198 distribution of scalar products generated by comparing unstructured synergies. Given that synergy 199 weights are constrained to have positive values between zero and one (normalized), there is a chance 200 for spurious similarities. Using a threshold value from a by-chance distribution of scalar products 201 (Roh et al. 2013) reduces the possibility of false positive similarity. To create shuffled unstructured 202 synergies, we pooled all synergy structures from the fatiguing trials, and randomly shuffled weight 203 values across epochs and muscles. Then, we calculated the scalar product between all shuffled 204 synergies to obtain a baseline by-chance similarity distribution of scalar products.

#### 205 **2.4.4 Fatigue parameters**

206 EMG adaptations to fatigue were analysed for the muscles with the highest weight within each of the

207 three synergy clusters: MT, AD, and ST. In theory, these muscles have a higher contribution to the

208 performed contraction. This signal processing scheme allowed us to compare EMG amplitude,

209 frequency, synergy structure, and activation coefficients along the development of fatigue. Fatigue

210 parameters of power spectrum median frequency and signal amplitude of each epoch from the

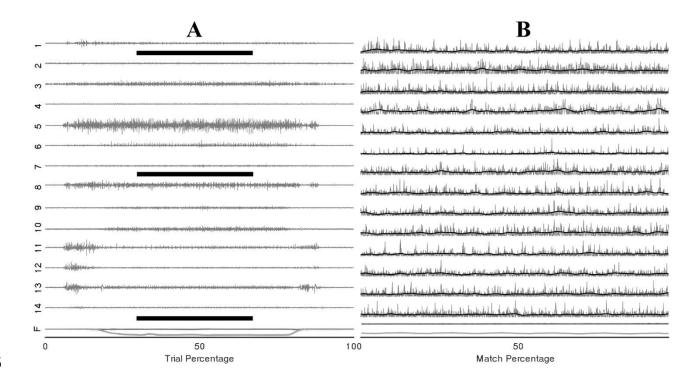
211 fatiguing trials were calculated by the Welch method (Welch, 1967) and RMS analysis respectively.

212 All parameters were normalized to their value in the first epoch.

Separate one-way repeated measures ANOVAs were conducted with the dependent measures being the synergy activation coefficients and fatigue parameters (amplitude and median frequency) and the independent measure being the fatigue epoch. For each ANOVA, if significant differences were found, Bonferroni corrected paired t-tests were used to identify specific differences between epochs. Finally, to determine the trend of changes across epochs, a Pearson's correlation analysis was used to describe the relationship between synergy coefficients and fatigue parameters from the muscles with the highest weight in each synergy. Statistics were performed using SPSS (version 24, IBM, NY).

220 3 Results

- 221 Representative raw and processed EMGs from a single subject during the multidirectional and
- fatiguing trials are displayed in **Figure 2.** All participants completed the multidirectional and
- fatiguing trials without missing any targets. For all participants, VAF analysis identified that three to
- four synergies (mean (SD) = 3.6(0.5)) were sufficient to reconstruct the original muscle activation
- 225 dataset from multidirectional trials.



226

Fig. 2: Example EMG and force traces from a single trial of the multidirectional task. (A): Raw
EMG signals of the 14 recorded muscles (1-14) and components of force vector (F) during a
complete trial, black bars represent the period shown in (B): EMG and force traces trimmed to
the central two seconds of the target-match period of the multidirectional task (grey); rectified
and low-pass filtered signal (black).

#### 232 **3.1 Fatigue parameters**

Changes of EMG median frequency, amplitude, and synergy activation coefficients were compared across epochs for each individual synergy. EMG median frequency (**Table 2**) changed in some but not all muscles with the development of fatigue. For MT median frequency: Maulchy's test indicated that the assumption of sphericity was violated across the different epochs  $\chi^2$  (9) = 86.4, p = 0.001, therefore Greenhouse-Geisser correction is reported ( $\varepsilon = 0.45$ ). The results indicate that median frequency was different across epochs for MT (F[1.8, 70.6] = 3.92, p = 0.028,  $\omega^2 = 0.009$ ). However,

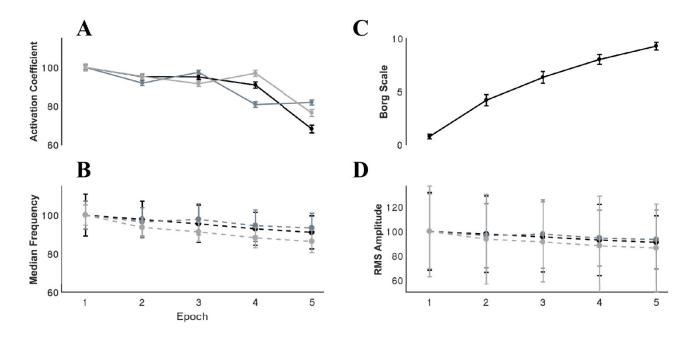
a post-hoc analysis did not find significance between the first and fifth epoch (highest mean

- difference 8%, Bonferroni-corrected paired t-test p = 0.15). For AD median frequency, again
- sphericity was violated ( $\chi^2$  (9) = 85.9, p = 0.001) and a Greenhouse-Geisser correction of  $\varepsilon = 0.45$
- 242 was used. Results suggest that there is no difference for the AD with the development of fatigue
- 243 (F[1.8, 70.8] = 2.1, p = 0.13,  $\omega^2$  = 0.005). Finally, for ST sphericity was violated [9] = 27.3,
- 244 p = 0.001), with a Greenhouse-Geisser correction of  $\mathcal{E} = 0.75$ . ANOVA suggest that median
- frequency decreased with the development of fatigue for the ST (F [3, 116.5] = 28.264, p = 0.001,
- 246  $\omega^2 = 0.005$ ). Post-hoc analysis found multiple differences (p < 0.05). These differences were
- between the  $1^{st}$  and all other epochs (p = 0.001 for all), and between epochs 2-4, 2-5, and 4-5, (p =
- 248 0.001 for all). Fatigue development produced changes of parameters in some of the major
- contributors within synergies (Figure 3).

Table 2: Extracted fatigue parameters and synergy activation coefficients (mean (SD)) values. EMG
 amplitude and frequency is present as the average of the MT, AD, and ST muscles.

Epoch	II	III	IV	V
EMG amplitude	100.6 (4.6)	99.9 (7.5)	97.3 (11.1)	84.7 (14.8)
EMG median frequency	95.7 (1.8)	94.8(2.7)	91.9(2.6)	90.2 (2.9)
External rot. synergy	97.1 (8.3)	95.1 (5.5)	94.1 (9.9)	68.6 (10.1)
Flexion synergy	91.9 (12.2)	97.8 (11.7)	82.7(18.7)	80.5(13.2)
Extension synergy	97.4 (11.3)	92.8 (11.4)	94.0 (10.3)	77.4 (12.4)

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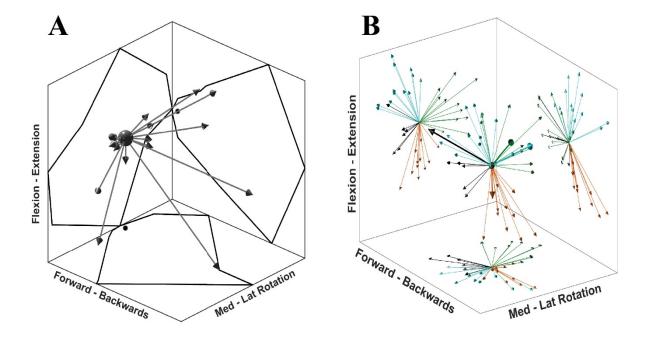
Fig. 3: Activation coefficients and fatigue parameters through the development of fatigue. Error bars
show the 95% confidence interval. For the activation coefficient panel, black, grey and light grey
traces represent internal rotation, flexion and extension synergies respectively. For the EMG
parameters the black, grey and light grey traces represents the MT, AD, and ST muscles respectively

Signal RMS (**Table 2**) changed with the development of fatigue only for the MT muscle. Sphericity was violated ( $\chi^2[9] = 36.6$ , p = 0.001), consequently Greenhouse-Geisser correction was used ( $\epsilon = 0.68$ ). ANOVA reported a decrease of the amplitude in time with the development of fatigue (F[2.7, 105.9] = 10.2, p = 0.001,  $\omega^2 = 0.0015$ ). Bonferroni-corrected t-test post-hoc analysis revealed several significant decreases of the Trapezius muscle with fatigue (epochs: 1-5 (p = 0.001), and 2-5 (p = 0.003) (**Figure 3**).

#### 264 **3.2** Synergies modulation

Synergies showed a distinctive tuning or modulation associated with direction of exertion: activation coefficients were highest for one particular direction, its preferred direction (PD), and decreased as the angle between the direction of exertion and the PD increased (Figure 4a). After extracting synergies from the pooled fatiguing trials, cluster analysis identified four different clusters (Figure 4b). The PDs of these synergies were distributed approximately evenly throughout space. The predominant movement and muscle of these clusters were: external rotation (MT), flexion (AD), extension (ST), and internal rotation (PM). The second cluster analysis grouped synergies in three

- 272 clusters per epoch, suggesting that synergies representing internal rotation became reclassified into
- the flexion and extension clusters.

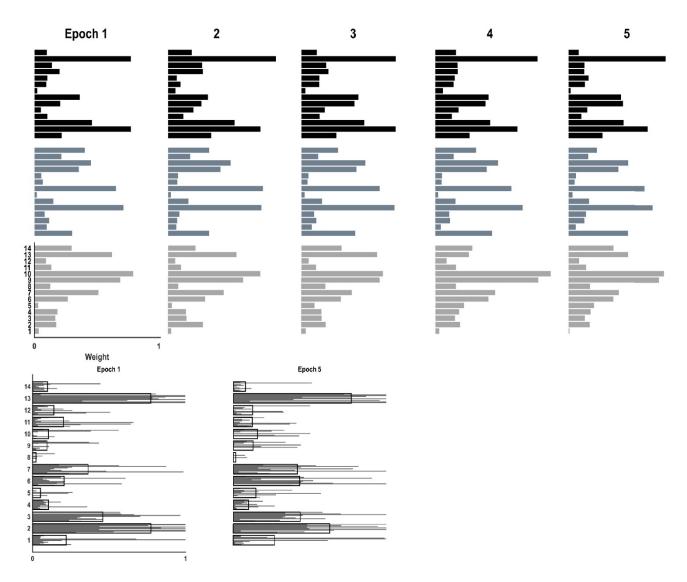


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275 Fig. 4: Synergy directional tuning and preferred directions. (A) A single synergy tuning over 26 276 directions of the multidirectional task. Each arrow represents a single target direction, and the 277 length of the arrows is scaled to the synergy activation coefficient. The outline reflected on the 278 graph walls displays the outer reach of the arrows, black dots on walls indicate the (0,0)279 coordinate. (B) 3D display of synergy PD for each synergy of all participants. Graph walls 280 display a 2D projection of synergy directions showing the cluster distribution. Each colour 281 represents a cluster identified from the first cluster analysis. Thicker arrows on the 3D display 282 identify the synergies from a single, randomly-chosen participant.

#### 283 **3.3 Synergy structure**

284 In agreement with our first hypothesis, synergy structure was conserved with the development of 285 fatigue (Figure 5). Conservation of synergy structure was reflected by the high values of the scalar 286 products between instances of an individual synergy across different epochs. Conservation of 287 structure was analysed within each of the three mean synergy clusters derived from the sorting cluster 288 analysis. Within each cluster, the mean scalar product was: S1 mean 0.98 (SD 0.009), S2 0.99 289 (0.007), and S3 0.97 (0.02). Finally, a paired t-test analysis revealed that the similarity within the 290 synergy clusters was different from the similarity within a shuffled synergy group (T[59] = 23.6, p =291 0.001, representing a large effect size  $\eta^2 = 0.90$ ). This suggests that the similarity within synergy 292 clusters is higher than would be expected by chance.



293

Fig. 5: Synergy structure (weights) through the development of fatigue of the three identified synergies: internal rotation (black), flexion (grey), and extension (light grey). (A) The structure of the three synergies was conserved with the development of fatigue. (B) Variability of the structure of a single synergy, comparing the first and fifth epoch. Grey bars show the contribution of each muscle to the synergy identified in a single participant. Black-bordered bars indicate mean weights of the synergy cluster across all participants, which have been used for comparisons across epochs. The ordering of the subjects grey bars is the same for each muscle.

301 **3.4 Sy** 

#### Synergy activation coefficients

- 302 Synergy activation coefficients decreased with the development of fatigue (Table 2, Figure 4). S1
- analysis showed a conserved sphericity ( $\chi^2$  [9] = 13.6, p = 0.15). S1 activation coefficient showed a
- decrease with the development of fatigue (F[4, 40] = 4.2, p = 0.006,  $\omega^2 = 0.001$ ). Post-hoc analysis
- revealed differences ranging between 3-31%; these were significant between epochs: 1-5 (p = 0.005),

2-5 (p = 0.05), 3-5 (p = 0.04). The mean S2 activation coefficient had a minor decrease of 18% of the normalized coefficient value between the first and fifth epoch (p = 0.22). Finally, S3 showed an even smaller decrease of 13% of its coefficient of activation.

309 To further explore the causes of the changes in activation coefficient while conserving synergy 310 structure in terms of the relative changes to individual muscles, we examined the changes of EMG 311 median frequency and amplitude in different muscles across epochs. We calculated the correlation 312 coefficients of these parameters in two ways. Firstly, we compared the values from all muscles, and 313 secondly, we focused on a muscle with the highest weight and another muscle randomly selected 314 from those with an intermediate weight between 0.6 and 0.4. We did not examine a muscle with a 315 low contribution to each synergy because we would expect the amplitude, and therefore signal-to-316 noise ratio, of their EMG signals to be low. When all the muscles were considered, the change of 317 median frequency correlation was high (r = 0.95 (0.04)), suggesting that the median frequency of all 318 muscles decreased with a similar trend regardless of the level of involvement of the muscle in the 319 task. On the other hand, EMG amplitude correlation changes were moderate (r = 0.43 (0.4)). When 320 looking at a high and medium contributor muscle to each synergy, the median frequency correlation average of the three synergies was high (r = 0.77 (0.08)), and signal amplitude correlation was low 321 322 (r = 0.3 (0.5)).

Finally, to characterize the behaviour of synergy activation coefficients as a possible predictor of fatigue, correlation coefficients were calculated between synergy activation coefficients, fatigue parameters of the muscles, and Borg scale (**Figure 4**) with the highest weight of the correspondent synergy (**Table 3**). Activation coefficients were correlated with mean frequency (all r > 0.7) and RPE (all < -0.7), while correlations with amplitude were inconsistent (r = 0.94 - 0.05).

Synergy /MuscleExternal rot. / MTFlexion / ADExtension / STAmplitude0.9430.4980.614

0.957

-0.829

0.838

-0.771

**Table 3**: Correlation between synergy activation coefficients and other fatigue adaptation parameters.

Frequency

RPE

0.708

-0.715

#### **330 4 Discussion**

331 Muscle synergies are proposed as the building blocks of human movement (Bizzi et al., 2008b). To 332 fulfil this role, synergy structure should remain fixed, or at least known, by the intact central nervous 333 system. The development of fatigue produces adaptations at central and peripheral levels of the 334 neuromuscular system, which implies that synergy structure could change if counteracting 335 adaptations did not exist. We hypothesize that synergy structure would be conserved with the 336 development of fatigue, which would support the notion of synergies as fundamental units of motor 337 control. It is important to note that fatigue induces adaptations in amplitude and frequency of the 338 EMG signal, not only of the actuator muscles but also antagonists (Enoka and Duchateau, 2008). If 339 synergies are purely the result of biomechanical constraints (Valero-Cuevas et al., 2009), changes in 340 the signal amplitude across muscles would reflect changes in synergy structure. 341 342 In support of our hypothesis, we found that, with the development of fatigue demonstrated by the 343 decrease of the median frequency and changes in amplitude of the signal, remarkably, synergy 344 structure remains intact. However, synergy activation coefficients consistently decrease with the

development of fatigue. This decrease correlates with characteristic adaptations found in the spectralanalysis of the EMG signal, suggesting common mechanisms of regulation.

347

348 Adaptations to fatigue occur along the neuromuscular path from cortex to muscle, including changes 349 at muscle, spinal, and cortical levels (Gandevia, 2001). During an isometric contraction, modulation 350 of motoneuron activity is mediated by afferent inputs from peripheral receptors: muscle spindles 351 (Macefield et al., 1993), Golgi tendon organs, and small diameter afferents (III and IV) (Hayward et 352 al., 1991). Afferent input is partially responsible for the progressive decrease of the firing rate of 353 motor units (Garland et al., 1988; Macefield et al., 1993; Vallbo, 1974) by inhibition, reducing 354 facilitation, and presynaptic modulation (Gandevia, 2001). These peripheral afferents further 355 decrease central drive that may itself be diminished with sustained contractions (Gandevia et al., 356 1996).

357

358 It is possible that synergies are generated and recruited at a central level with influence from the 359 periphery. The outcome of these interacting adaptations to fatigue is a modification of muscle

activation. In different muscles, we found that EMG amplitudes increased, decreased, or remained

361 constant with fatigue. Remarkably, even in the presence of these uncorrelated changes of the EMG

362 amplitude, synergy structure remained invariant. Similar behaviour is found in animal models; 363 deafferentation results in drastic changes of EMG amplitude which can be explained by invariant 364 synergy structure and only changing activation coefficients (Cheung et al., 2005). Our results support 365 their notion of a feedback mechanism able to influence muscle synergy recruitment without changing 366 the underlying structure. Synergy structure is also conserved across different natural movements of 367 animals (Cheung et al., 2005) and humans (d'Avella et al., 2003). Therefore, the apparent universal 368 conservation of synergy structure is consistent with this structure resulting from an underlying 369 neuroanatomical circuitry at spinal or supra-spinal levels (Bizzi et al., 2008b), and the notion of hard, 370 robustly encoded synergies from central sites.

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372 To understand the intricate interactions that would influence synergy recruitment but conserve 373 structure in the presence of fatigue, we need to consider the relationships between the known central 374 and peripheral mechanisms of fatigue adaptation. Central fatigue is defined as the decrease of 375 efferent drive from central sites, modulated by the influence of peripheral afferents (Gandevia, 2001). 376 Experimentally, this can be demonstrated by an extra force output evoked by twitch interpolation 377 (Allen et al., 1995; Bülow et al., 1995) or transcranial magnetic stimulation (Gandevia et al., 1996) 378 that increases with fatigue. Similarly, synergy activation coefficients have been proposed as the 379 reflection of central drive (Bizzi et al., 2008b; d'Avella et al., 2006). The decline of the synergy 380 activation coefficients resembles that of central fatigue. Deafferentation of animal models produce 381 changes of synergy recruitment (Cheung et al., 2005). Consequently, our findings of a decrease of 382 synergy activation coefficients support the concept of an interaction among motor drive, spinal 383 circuitry and afferent inputs (McCrea, 2001), integrating the proposed mechanisms of both fatigue 384 and synergies. Afferent feedback influences the decrease of the muscle median frequency as an 385 adaptation to fatigue and the strong correlation with synergy activation coefficients suggests a 386 common modulatory mechanism.

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The number of synergies, and more importantly, their structure, varies depending on the set of specific muscles included (Steele et al., 2013). To alleviate this effect it is important to include as many muscles as possible, in particular those that will contribute greatly to the task. Given the technical impossibility of acquiring all muscles of the upper limb, some structural differences from synergies that consider all muscles might underlie our results. Nevertheless, we included 14 muscles, mainly of the shoulder region, considering their probable muscle contribution to isometric

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394 contractions. Brachioradialis is one deeper muscle that would potentially make a significant 395 contribution to synergy structure that is not easily recorded from using surface EMG. Another 396 concern with the estimation of synergies is that the extracted number of synergies depends critically 397 on the complexity of the task. Dynamic contractions utilize a higher number of synergies (Cheung et 398 al., 2005, 2009; d'Avella et al., 2006) than less complex behaviour like isometric contractions. The 399 amount of explored space is also relevant for the number of synergies, and it seems that this effect 400 can outweigh the increase in number of synergies arising from more complex movement: from two 401 synergies in dynamic exploration of a single plane (Muceli et al., 2010) to six synergies for 402 movements in multiple planes and directions (Cheung et al., 2009). We found that three to four 403 synergies were able to reconstruct the original EMG sets from the multidirectional trials. This 404 number is similar to other studies (Roh et al., 2012, 2013; Steele et al., 2013) that have performed 405 isometric contractions with two to four times the number of directions considered in our 406 multidirectional task. Our task was more constrained in terms of force directions because our aim 407 was not to find all available synergies. Nevertheless, we did likely obtain most synergies involved in 408 performing isometric contractions with the upper limb.

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410 Synergies seem to be a more reliable measure of fatigue development, highly correlated with 411 parameters that have shown homogeneous changes with fatigue development. Synergy analysis 412 implies the search for spatiotemporal patterns of muscle activity (McMorland et al., 2015), 413 considering signal amplitude a key element of analysis. Our results show mixed changes of the EMG 414 signal amplitudes within a specific synergy, corroborated by a moderate correlation between 415 amplitude changes. Within EMG adaptations to fatigue, signal amplitude behaves inconsistently (De 416 Luca, 1984; Dimitrova and Dimitrov, 2003; Gerdle et al., 2000), therefore it remains an unreliable 417 measure of fatigue (Hultman and Sjöholm, 1983; Vøllestad, 1997). It is relevant to consider that a 418 decline in force with fatigue does not directly imply a decline of the EMG amplitude (Merton, 1954). 419 Thus, synergy activation coefficients seem like a better alternative to EMG amplitude although they 420 require a slightly more elaborate analysis. In contrast, EMG spectral median frequency shows a 421 consistent decrease with the development of fatigue (Bigland-Ritchie et al., 1981). Our results show 422 an equivalent behaviour across all muscles, with a high correlation within a single synergy. However, 423 this decrease shows certain variability across muscles and, once normalized, is not as great as the 424 decrease of the synergy activation coefficients. EMG power spectrum is a compound characteristic 425 affected by the intracellular action potential, motor unit potential, and consequently from motor drive 426 and efferent signals (Dimitrova and Dimitrov, 2003). Synergy analysis looks at the modular control

of fixed activation patterns of many muscles, thus it is likely that fewer relevant variables affect its
behaviour. Although synergy analysis does not directly consider the EMG power spectrum, the
correlation between EMG median frequency and synergy activation coefficients may reflect shared
regulatory mechanisms.

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432 Our experimental setup presents several advantages over other attempts to characterize synergy 433 adaptations to fatigue (Smale et al., 2016; Turpin et al., 2011). First, by performing the fatiguing 434 trials in the directions of synergy PDs, we have isolated the effects of fatigue to a single synergy at a 435 time. This approach restricts confounding effects associated with load sharing across synergies, and 436 maximizes the extent to which fatigue influences only the components relating to activation of the 437 single synergy in question. Unspecific fatigue development of synergies, as seen previously in 438 studies examining functional movements, may lead to wrong interpretations of outcomes and the 439 underlying mechanisms. Secondly, we analysed adaptations to fatigue across multiple epochs, 440 improving the opportunity to quantify changes throughout the development of fatigue. We see this as 441 an improvement of the analysis of global measures during fatigue development. Analysis only 442 considering whole trials might impede the identification of changes to synergy structure and 443 activation coefficients. Turpin and colleagues (Turpin et al., 2011), using such an approach, have 444 previously found that there were no changes in either the structure or activation coefficients during a 445 fatiguing task. These two main advantages allowed us to analyse the effects of fatigue on synergy 446 structure and activation coefficients in time, providing a better perspective of synergy adaptations to 447 fatigue.

#### 448 **5** Conclusion

The invariability of synergy structure supports the notion of synergies as a robust mechanism of motor control. Our study demonstrates a novel approach able to detect the adaptations of synergies to fatigue by identifying decreases of synergy activation coefficients. Synergies' adaptations to fatigue seem to be mediated by common neuromuscular regulatory mechanisms coordinating at central and peripheral levels. If synergy tuning is considered, synergy activation coefficients are likely to be a valuable approach to assess fatigue under isometric conditions.

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#### 456 **7** Author contribution statement

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- 457 PO-A and AM contributed to the original concept, design and technical development of the work.
- 458 PO-A acquired and analysed the data, and wrote the draft of the work. All authors contributed with
- 459 the discussion development. AM, WB and TB contributed with improvement to analysis and data
- 460 collection, and revised the draft. AM and PO-A constructed the final version of the manuscript.

#### 461 8 Conflict of interest statement

- 462 Authors declare that research was conducted in the absence of any commercial, financial or any other
- 463 relationship that could be a potential conflict of interest.
- 464

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