An Adaptive Flagellar Photoresponse Determines the Dynamics of Accurate Phototactic Steering in *Chlamydomonas*

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Abstract Our understanding of phototaxis of biflagellates stems almost exclusively from the model alga *Chlamydomonas reinhardtii*, via studies of its flagella, light-sensor and steering. However, no comprehensive model linking all these aspects of its physiology and behavior has been constructed and tested experimentally. Here, we develop such a mathematical model by coupling an adaptive flagellar photoresponse to rigid-body dynamics tailored to details of flagellar beating, and corroborate it with experimental data – at the flagellar and tactic levels – to explain the accurate phototactic steering of this alga. We experimentally validate the hypothesized adaptive flagellar photoresponse using high spatio-temporal resolution methodology on immobilized cells, and corroborate the predicted reorientation dynamics of phototactic swimmers using 3D-tracking of free-swimming cells. Finally, we reconfirm, both theoretically and experimentally, that the adaptive nature of the response has peak fidelity at a frequency of about 1.6 Hz, corresponding to the rotation frequency of the cell body.

Introduction

Directional non-image-based phototaxis – the ability to change direction of motion in order to reorient with a light stimulus – abounds in motile eukaryotic microorganisms, unicellular and multi-cellular alike. From photosynthetic algae (*Bendix, 1960*) to early-stage larvae of marine zooplankton (*Thorson, 1964*), phototaxis is such a crucial behavioral response for the survival of these organisms that one is led to hypothesize that organisms must have evolved navigational strategies to reach their goal in a very efficient manner. Photosynthetic algae need to harvest light energy to support their metabolic activities, whereas animal larvae perform phototaxis so that their upward motion can enhance their dispersal.

One of the most intriguing features of non-image-based phototaxis is the ability to navigate towards (or away from) light without the presence of a central nervous system. One of the essential sensory components for directional phototaxis (also known as vectorial phototaxis), is a specialized sensor. This is possible in zooplanktonic larvae via a single rhabdomeric photoreceptor cell (*Jékely et al., 2008*) or in the case of motile photosynthetic micro-organisms such as volvocalean algae, a "light antenna" (*Foster and Smyth, 1980*), which was generally thought to co-localize with the cellular structure called the *eyespot*, a carotenoid-rich orange stigma. *Foster and Smyth* (*1980*) theorized that in order for vectorial phototaxis to work, the light antenna has to have directional

40 detection, i.e. detect light only on one side, and that the layers of carotenoid vesicles would act as
41 an interference reflector. This hypothesis was later verified in algae by experiments of eyespot-less
42 mutants that lacked the carotenoid vesicles, but could nevertheless do only negative phototaxis
43 (*Ueki et al., 2016*). Their experiments concomitantly showed that the algal cell bodies can function
44 as convex lenses with refractive indices greater than that of water. For the sake of completeness,
45 it should be noted that in zooplankton the "shading" role of the carotenoid vesicles is filled by a
46 single shading pigment cell (*Jékely et al., 2008*).
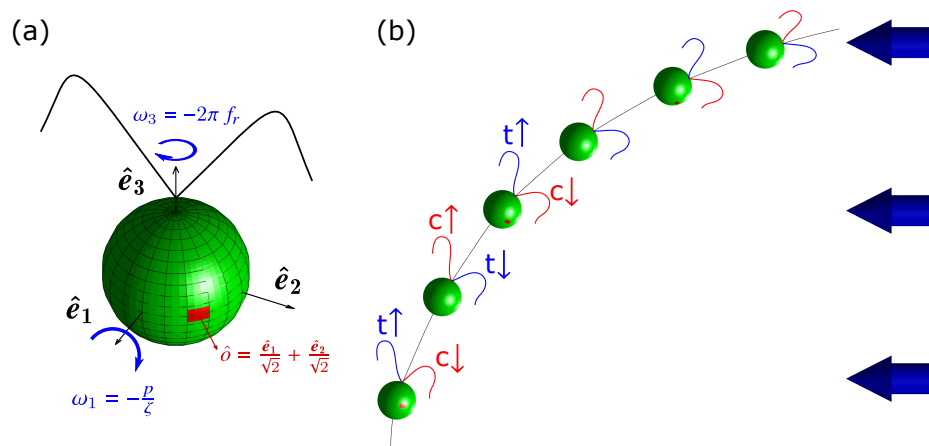

Figure 1. Illustrations of the geometric model of a *Chlamydomonas* cell and of the two-phase model of phototactic activity leading to steering. (a) The axes of the moving frame of the phototactic swimmer is shown, along with the position of the eyespot vector $\hat{o}$, shown in red, and found at 45° away from the flagellar beating plane spanned by $\hat{e}_2\hat{e}_3$. The angular velocities ω_1 and ω_3 are also shown with p being the photoresponse, ζ a hydrodynamic constant and f_r the frequency of rotation of the cell body. (b) The two phases of photactic activity responsinble for the persistence of phototactic reorientation. t represents the *trans* (in blue) and c the *cis* flagellum (in red).

47 Among photosynthetic algae the biflagellate species *Chlamydomonas reinhardtii* has been the
48 most studied organism: it exhibits, along with its breast-stroke mode of propagation (*Rüffer and*
49 *Nultsch, 1985*) and left-handed helix rotation about its axis (*Foster and Smyth, 1980*), both positive
50 (towards light) and negative (away from light) phototactic responses (*Witman et al., 1993*), as well as
51 a photoshock/avoidance response. The eyespot in this alga is found on the equator of the cell and
52 at 45° away from the plane of flagellar beating (*Rüffer and Nultsch, 1985*). It was in *Chlamydomonas*
53 that the molecular players mediating phototaxis, the two eyespot-localized photoreceptors, chan-
54 nelrhodopsins A and B, were discovered (*Sineshchekov et al., 2002*). The discovery that these
55 proteins function as light-gated ion channels (*Nagel et al., 2002*), constituted the initial unraveling
56 of Ariadne's thread regarding the signal transduction pathway of the photoresponse. Starting
57 instead in the center of this Minoan maze, *Rüffer and Nultsch* used high-speed cinematography to
58 study the flagellar photoresponse *(1990, 1991)*, including the photoshock response *(1995)*. With
59 their pioneering work on immobilized *Chlamydomonas* cells they showed, though using a negatively-
60 phototactic strain, that the *front amplitude* of the cells was likely to be responsible for the steering of
61 *Chlamydomonas* towards the light, and that phototaxis is *a result of periodic irradiation and shading*.
62 This result led to the first model for phototaxis (*Schaller et al., 1997*) which divides the turning of
63 the cell into two phases (*Figure 1b*): *phase I*, in which the rotating eyespot moves from shade to
64 light, causing the flagellum farthest from the eyespot (the *trans* flagellum) to increase its amplitude
65 relative to the flagellum next to the eyespot (the *cis* flagellum), and *phase II*, in which the eyespot
66 moves from light to shade, leading to the two flagella acting in the opposite manner.
67 Significant contributions to the accurate measuring of flagellar photoresponse at a high temporal
68 resolution were made by *Josef et al.* (*2005*), who introduced a quadrature photodiode array, a device
69 whose analog signal could be digitized at up to 4000 samples per second. Moreover, this automated

70   method could capture longer time series than previous methods. Despite the limitations of this
71   technology to capture the flagellar photoresponse at high spatial resolution, the authors were able
72   to extract important information regarding flagellar beat-frequency and stroke-velocity.
73   In recent years, two types of models have sought to describe phototaxis: (i) numerical and
74   theoretical models based on hydrodynamics and heuristic ciliary or flagellar response functions
75   for ciliated larvae (*Jékely et al., 2008*) and biflagellate algae (*Bennett and Golestanian, 2015*); (ii)
76   theoretical adaptation-based models for the green alga *Volvox* (*Drescher et al., 2010*), the multicell-
77   lular "relative" of *Chlamydomonas*. In this study, we have developed a comprehensive mathematical
78   adaptation-based model, in the spirit of *Drescher et al.* (*2010*) and incorporating information from
79   *Schaller et al.* (*1997*) and *Rüffer and Nultsch* (*1991*), coupled to the dynamics of the yaw, pitch, and
80   roll of a rigid body in order to describe the three-dimensional phototaxis of *Chlamydomonas* cells.
81   Moreover, we have developed new experimental techniques for capturing the flagellar photore-
82   sponse of immobilized cells at high spatio-temporal resolution and to 3D-track the trajectories
83   of free-swimming phototactic cells. Using these techniques we have measured the time scales
84   involved in photoresponse, adaptation and reorientation that theory dictates are necessary for
85   accurate phototaxis.

Results

Capturing flagellar photoresponse and phototactic steering

88   The flagellar photoresponse of *Chlamydomonas reinhardtii* was captured at high spatio-temporal
89   resolution using the experimental setup shown in *Figure 2a*. This setup builds on previous studies
90   (*Polin et al., 2009*; *Drescher et al., 2010*; *Leptos et al., 2013*) with the addition of a much smaller
91   optical fiber ($\varnothing$50 μm-core) to accommodate for the smaller size of a *Chlamydomonas* cell relative to
92   a *Volvox* spheroid.
93   The experimental setup (*Figure 2b*) used for phototactic steering featured the following modifica-
94   tions relative to its predecessor (*Drescher et al., 2009*) – either engineered in-house or purchased –
95   for ease and reproducibility: First, the sample chamber could be assembled by the user by clamping
96   two acrylic flanges on a square glass tube in a watertight fashion to prevent leaks. The chamber
97   design allowed a more accurate and easy calibration of the field of view and a simpler and better
98   loading system of the sample via two barbed fittings. Furthermore, the new design of the chamber
99   minimized sample contaminations during experiments. Second, the two 5-Megapixel cameras
100   coupled to objectives with higher total magnification ($\times$16) and larger working distance at the same
101   magnification (48 mm vs. 38 mm at $\times$2) were used to enhance the image performance.

Flagellar photoresponse is adaptive

103   We start by applying a step-up light stimulus. The ability to record the flagellar dynamics of
104   *Chlamydomonas* cells, during light stimulation and at high spatio-temporal resolution, revealed
105   many interesting and important features of the flagellar photoresponse upon a stimulus of this form.
106   Firstly, it corroborated the fact that change in the waveform of the two flagella was in agreement with
107   previous studies of high-speed cinematography (*Rüffer and Nultsch, 1991*), i.e. during a step-up
108   response the front amplitude of the *trans* flagellum increases whereas the one of the *cis* flagellum
109   decreases (*Figure 3a-b*). Secondly, it showed that the flagellar photoresponse is adaptive in nature
110   (*Figure 3c* and *Figure 3–Figure Supplement 2*). For that reason we have employed a mathematical
111   model, previously used to describe adaptive photoresponse in *Volvox* (*Drescher et al., 2010*), that
112   relates the adaptive photoresponse p to a hidden slow-decaying variable h by means of the ordinary
113   differential equations (ODEs):

$$\tau_r \dot{p} = \eta\, s(t) - h - p \tag{1a}$$

$$\tau_a \dot{h} = \eta\, s(t) - h \tag{1b}$$

114   where $s(t)$ is the photostimulus function and η is a factor with units reciprocal to $s(t)$. The hidden
115   variable h reflects the internal biochemistry of the cell and is associated with a slower time scale τ_a
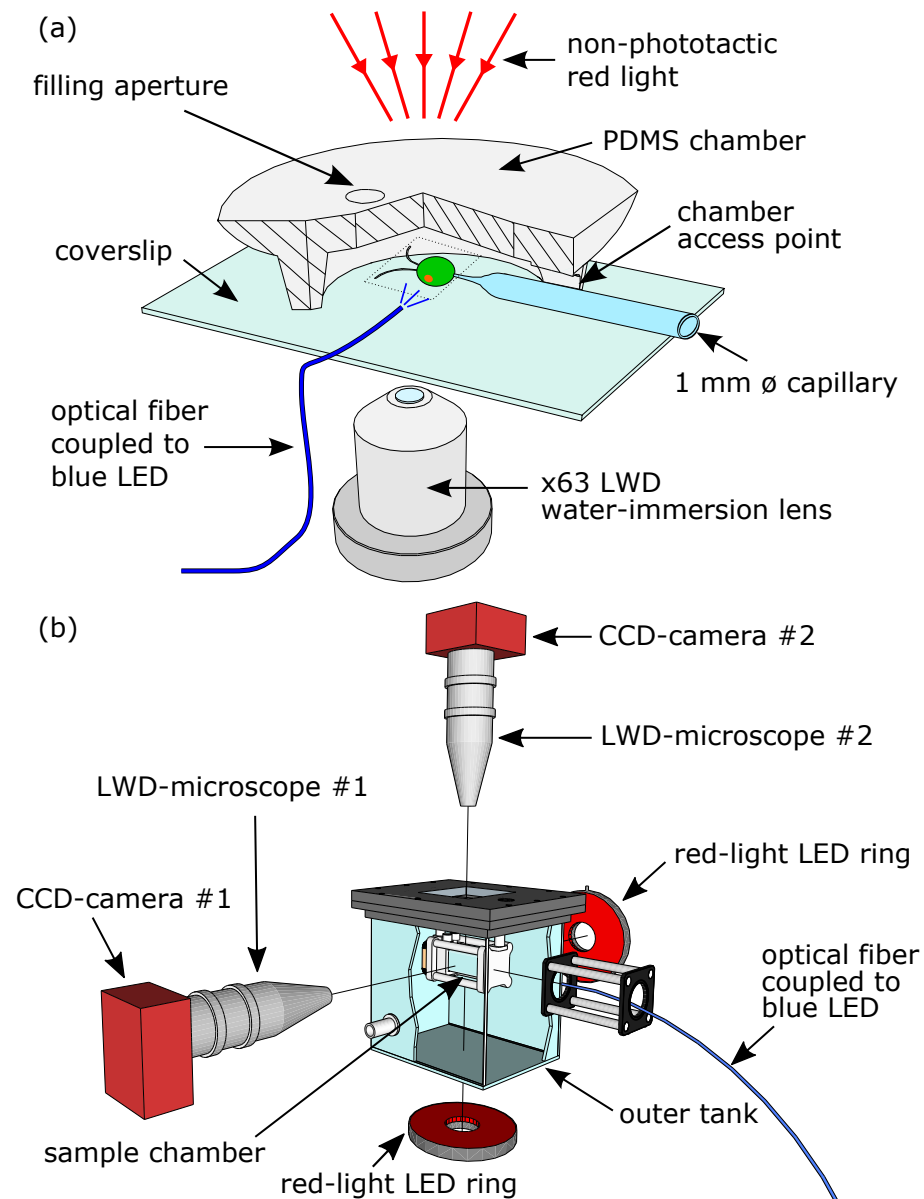
Figure 2. Experimental setups. (a) Experimental setup for measuring the flagellar photoresponse on immobilized cells, inside a PDMS chamber, using a micropipette pulled to a ∅5-µm tip. In order to visualize the cell's beating flagella far from the coverslip, a ×63 LWD objective lens was used. The blue LED used for light stimulation was coupled to a ∅50-µm optical fiber. (b) Experimental setup for 3D-tracking phototactic free-swimming cells in a sample chamber immersed into an outer water tank for minimizing thermal convection. Imaging was performed using two aligned LWD microscopes, attached to two CCD cameras.
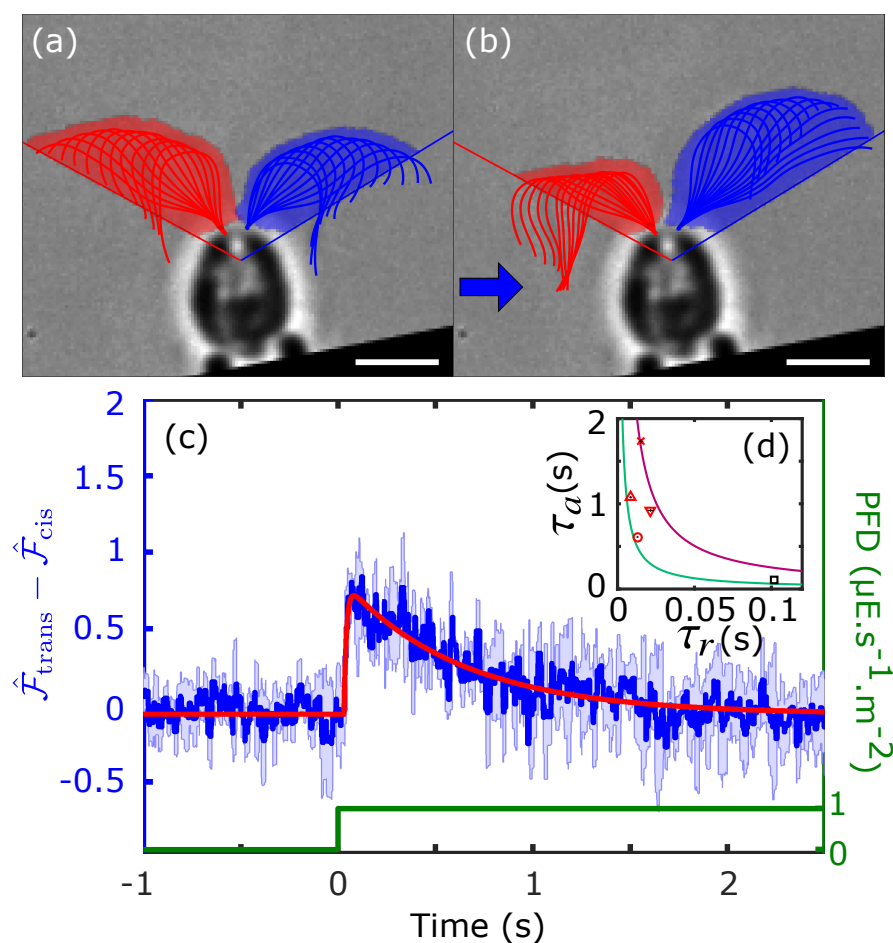
Figure 3. Flagellar photoresponse of immobilized cells upon step-up light stimulation. The raw front amplitudes $\mathcal{F}_{cis}$ and $\mathcal{F}_{trans}$ (shaded areas in red for *cis* and blue for *trans*) as defined in the text for each flagellum are shown (a) before and (b) right after the beginning of the photostimulus. The 60° reference lines are also shown. Direction of light is from the left (blue arrow). Scale bar is 5 μm. (c) The mean (dark blue line) and standard deviation (light-blue area) of photoresponse ($\hat{\mathcal{F}}_{trans} - \hat{\mathcal{F}}_{cis}$) during a step-up stimulus for one cell (n_{tech} = 4) fitted to *Equation 2* (red line). (d) Inset showing the mean (red markers) and standard deviation (black error bars) of fitted (τ_r, τ_a) pairs for n_{cells} = 4 upon step-up stimulation. The (τ_r, τ_a) pair indicated with a black marker is derived from fitting the gain of the frequency response shown in *Figure 4a*. The hyperbolas for f_r^{opt} = 1 Hz (red) and f_r^{opt} = 2 Hz (green) are also shown.

Figure 3–Figure supplement 1. Angle used to define the beginning and the end of a beat. A chord is drawn from the base of each flagellum to a point of fixed length on the flagellum. The angles Θ_{cis} and Θ_{trans} between each of the chords (red for *cis* and blue for *trans* respectively) and the axis of symmetry of the cell (green), were used to define the duration of the flagellar beats. Scale bar is 5μm.

Figure 3–Figure supplement 2. Video showing flagellar photoresponse of immobilized cells upon step-up light stimulation. The optical fiber is illustrated as a grey square that turns blue when stimulus light is turned on. The curves fitted to the *cis* and *trans* flagella are shown in red and blue respectively.

Figure 3–Figure supplement 3. Beat frequency flagellar photoresponse. The beat frequency response for the same cell as shown in *Figure 3c* averaged over n_{tech} = 4 movies. The instantaneous beat frequency was calculated for each beat, ignoring beats that were out of synchrony. The mean and standard deviations of the instantaneous frequencies of the *cis* and *trans* flagella are shown in red and blue respectively.

116 (*Equation 1b*) than the fast response time scale τ_r (*Equation 1a*). For a step-up stimulus $s(t) = s_o H(t)$,
117 where $H(t)$ is the Heaviside function and s_o is the intensity (flux density) of the light stimulus,
118 these equations can be solved in closed form. Furthermore the data revealed a time-delay of
119 photoresponse upon light stimulation, we therefore add a time delay t_d to this solution to obtain:

$$p_{\text{step}}(t) = \frac{\eta\, s_o}{1 - \rho} \left[\exp\left(-\frac{(t - t_d)}{\tau_a} \right) - \exp\left(-\frac{(t - t_d)}{\tau_r} \right) \right] H(t - t_d) \qquad (2)$$

120 where $\rho = \tau_r/\tau_a$. Experiments clearly show that $\rho < 1$.

121 We fit the photoresponse data to *Equation 2* to the dimensionless observable $p = \hat{\mathcal{F}}_{\text{trans}} - \hat{\mathcal{F}}_{\text{cis}}$,
122 the difference of the *normalized* front amplitudes. The average front amplitude $\bar{\mathcal{F}}$ corresponding to
123 each unstimulated cell – used for normalization – was found to be in the range of 35-45 μm^2. The
124 result of the fittings allowed us to extract values for (τ_r, τ_a) pairs, as shown in *Figure 3d*, with high
125 accuracy. In order to quantify how these values can affect the efficiency of the photoresponse of a
126 free swimming cell rotating around its central axis, we derived a mathematical relationship relating
127 τ_r and τ_a to the frequency of an oscillating light stimulus f_s (see next section):

$$f_s^{\text{opt}} = \frac{1}{2\pi\sqrt{\tau_a \tau_r}} \qquad (3)$$

128 Mathematically this corresponds to the value of $f_s (= \omega_s/2\pi)$, where the gain of rotational
129 frequency response $\mathcal{R}(\omega_s)$ (described in *Equation 5*) is at its maximum. The relation in *Equation 3*
130 describes a curve (a hyperbola) of optimal (τ_r, τ_a) pairs for a given stimulus frequency f_s for an
131 immobilized cell, which can be considered equivalent to a rotational frequency f_r of a free-swimming
132 cell. As we see from *Figure 3d*, the mean values of fitted (τ_r, τ_a) pairs along with their standard
133 deviations, for the four cells analyzed, lie within the hyperbolas for f_r^{opt} = 1 Hz (red) and f_r^{opt} = 2 Hz
134 (green).

135 Another important feature of the step-up flagellar photoresponse is the time delay between
136 stimulus and response. As shown in *Figure 1a* the eyespot (represented by a red square on the
137 green sphere) is located at an angle $\varphi = 45°$ away from the plane of flagellar beating (located in the
138 $\hat{e}_2\hat{e}_3$ plane). This means that upon light stimulus the flagella of the cell need to be pointing to the
139 same direction as the eyespot for phototaxis to take place in an efficient manner. For that reason
140 we hypothesize that the flagellar response of the cell has been fine-tuned by natural selection to
141 have a delay such that the maximum photoresponse (p_{max}) will occur after the cell has rotated by an
142 angle of $\approx 45°$ during its left-handed helix motion. According to *Equation 2* the time t_{max} at which
143 p_{max} occurs is:

$$t_{\text{max}} = t_d - \frac{\tau_r}{1 - \rho} \ln \rho \qquad (4)$$

144 Based on our data we compute t_{max} to be 94 ± 24 ms (n = 4), which corresponds to an f_r = 1.1-1.8
145 Hz, assuming a constant $\varphi = 45°$, or to $\varphi = 38 - 64°$, assuming a constant f_r^{opt} = 1.5 Hz. The range of
146 values for f_r^{opt} are consistent with the locations of (τ_r, τ_a) pairs in *Figure 3d*.

Flagellar photoresponse is fine-tuned with the frequency of rotation of cell body

148 Cells were stimulated with oscillating light intensity for five different frequencies. If the adaptive
149 photoresponse model holds true, then there should be a maximum response at a resonant fre-
150 quency corresponding to the frequency of rotation of the cell f_r. This was shown in the past with
151 different techniques, both at the population level (*Yoshimura and Kamiya, 2001*) by measuring the
152 bulk photoreceptor current, and at the single cell-level by *Josef et al.* (*2006*), for negative phototaxis
153 and at low spatial resolution. Here we show that this is true at the single cell level, for positive
154 phototaxis and at high spatial resolution, by directly measuring the flagellar photoresponse p as
155 defined in the previous section. The results from individual experiments (*Figure 4b-d*) immediately
156 revealed two major findings: (a) The flagellar photoresponse oscillates with the same frequency as
157 the frequency of the amplitude of the light-stimulus. This means that the response is linear and can

₁₅₈ be described by the solution p_{oscill} (*Equation 10* in *Materials and Methods*) of the governing equa-
₁₅₉ tions (*Equation 1a* and *Equation 1b*), for an oscillating light stimulus $s(t)$ (green line in *Figure 4b-d*).
₁₆₀ (b) The amplitude of the observed p (blue line in *Figure 4b-d*) is higher at certain frequencies than
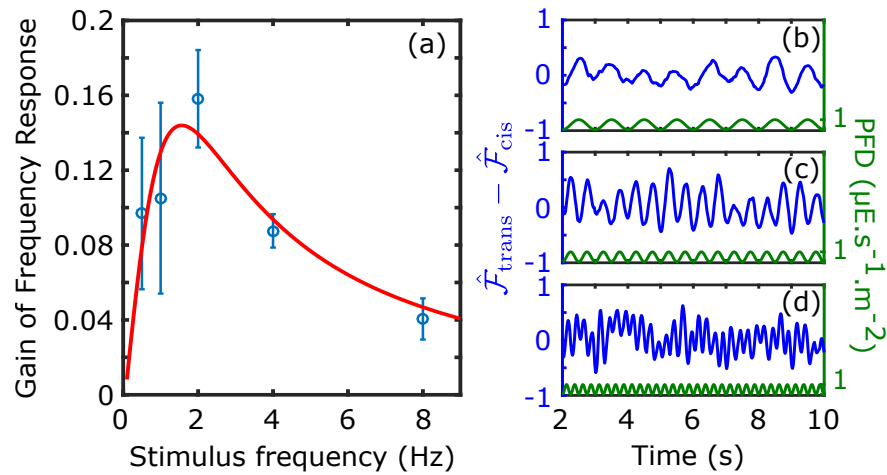₁₆₁ others.

Figure 4. Frequency response of immobilized cells stimulated with oscillating-amplitude light. (a) The calculated gain of the frequency response (for positive phototaxis) for five stimulus frequencies (0.5, 1, 2, 4 and 8 Hz) for $n_{\text{cells}} = 3$ (blue) fitted to *Equation 5* (red line). The photoresponse ($\hat{\mathcal{F}}_{\text{trans}} - \hat{\mathcal{F}}_{\text{cis}}$) shown (in blue) for three different stimulus frequencies (in green): 1 Hz (b), 2 Hz (c) and 4 Hz (d). The values of p for flagellar beats during instantaneous asynchronies were replaced by interpolated values based on neighbouring synchronous beats. The percentage of asynchronous beats during the time intervals shown were 7.6%, 10.6% and 35.3 % for (b), (c) and (d) respectively.

₁₆₂ In order to investigate which of the five stimulus frequencies (f_s) gives the most prominent
₁₆₃ flagellar photoreponse p we first derived a relationship ($\mathcal{R}$) between f_s (= $\omega_s/2\pi$) and the magnitude
₁₆₄ of the Fourier transform of p_{oscill}. This is defined in *Equation 11* of the *Materials and Methods*. The
₁₆₅ result of the computation, which we refer to as the *gain of the frequency response*, is a function of ω_s:
₁₆₆

$$\mathcal{R}(\omega_s) = \frac{\omega_s \tau_a}{\sqrt{\left(\omega_s^2 \tau_a^2 + 1\right)\left(\omega_s^2 \tau_r^2 + 1\right)}} \tag{5}$$

₁₆₇ At the experimental level, we calculated the observed gain of the frequency response (blue in
₁₆₈ *Figure 4a*), using a Discrete Fourier Transform on the observed p. The mean observed gain peaks
₁₆₉ at 2 Hz. The data were fitted to *Equation 5* giving $\tau_r \approx \tau_a$ = 0.1 s, which peaks at ≈1.6 Hz (red in
₁₇₀ *Figure 4a*).

Model of phototactic swimmers in three dimensions

₁₇₂ Naturally, the information gained from measuring the photoresponse of immobilized cells can be
₁₇₃ used, initially at least, to get an estimate of the angular velocity ω_1 of the cell (*Figure 1a*) during
₁₇₄ a phototactic turn. In particular, we would like to estimate the angle by which a free-swimming
₁₇₅ cell – starting at a direction of 90° to the light source – would turn during the first half turn of
₁₇₆ the rotation of the cell body about $\hat{e}_3$ (*Figure 1a*). For pedagogical reasons we provide a more
₁₇₇ detailed calculation of this estimate in *Appendix 1* as motivation for the full mathematical model
₁₇₈ that follows.
₁₇₉ For this estimate we a consider a simplified swimmer in Stokes flow. The swimmer is composed
₁₈₀ of a spherical body of radius R, and two "flagella" in the shape of thin rods of length L. We compute
₁₈₁ the total torque (*Equation 16* in *Appendix 1*) generated by each of the two flagella – during the
₁₈₂ effective stroke of the beat – to be $\tau_{1,2} = (2/3)\zeta_\perp f_b a_{1,2} L^2$, where f_b is the frequency of flagellar

183 beating, $\zeta_\perp$ is the perpendicular viscous drag coefficient, and $a_{1,2}$ is the amplitude of each flagellum.
184 This expression can also be related to the area swept by each flagellum $\mathcal{A}_{1,2}$ (*Appendix 1-Figure 1*)
185 as $\tau_{1,2} = (4/3)\zeta_\perp f_b \mathcal{A}_{1,2} L$. The physical quantity that causes the cell to turn with angular velocity ω_1
186 about $\hat{e}_1$ is the difference in the torques ($\Delta\tau = \tau_1 - \tau_2$) generated by the two flagella, divided by the
187 rotational drag coefficient ζ_r. Assuming that during a photoresponse the amplitude of flagellum 1
188 (a_1) is equal to $a + b$ and of flagellum 2 (a_2) is equal to $a - b$, where b is the amplitude difference from
189 the unstimulated state a, then $\omega_1 = -(\tau/\zeta_r)(2b/a)$. We know that the flagellar amplitude oscillates if
190 it experiences an oscillating stimulus (*Figure 4c*) so if $b(t) = 2b_o \sin(2\pi f_r t)$, where $2b_o$ is the maximum
191 flagellar amplitude difference and f_r is the frequency of rotation of the body of the cell, then

$$\omega_1(t) = -\frac{\tau}{\zeta_r}\frac{2}{a}b_o \sin(2\pi f_r t). \tag{6}$$

192 If we integrate *Equation 6* for the first half turn (HT) we will obtain the angle of phototactic turning
193 (Φ_{HT}) for that period of time during which b_o is assumed to be constant. The result of the integration
194 (*Appendix 1*) is

$$\Phi_{\mathrm{HT}} = \frac{4}{3\pi}\frac{\zeta_\perp}{\zeta_r}\frac{f_b}{f_r}L^2 b_o. \tag{7}$$

195 If we substitute $L = 10\,\mu\mathrm{m}$, $b_o = 1\,\mu\mathrm{m}$, $a = 5\,\mu\mathrm{m}$, $\zeta_\perp = 2.6\times10^{-3}\,\mathrm{Pa{\cdot}s}$ (*Appendix 1*), $\zeta_r = 3\,\mathrm{pN{\cdot}\mu m{\cdot}s}$
196 (*Appendix 1*), $f_b = 50\,\mathrm{Hz}$ and $f_r = 2\,\mathrm{Hz}$ into *Equation 7* we find $\Phi_{\mathrm{HT}} \approx 0.9\,\mathrm{rad} \approx 52°$. This means
197 that even with this oversimplified model of rod-shaped flagella, where the torque generated is
198 overestimated, it is possible for the phototactic swimmer to reorient with the light source (i.e. turn
199 52° about $\hat{e}_1$) within half turn of cell-body rotation (i.e. a turn of 180° about $\hat{e}_3$). Even though we do
200 not formally define the dimensionless variable p – used previously to describe the photoresponse –
201 in terms of the torques ($\tau_{1,2}$) generated by the two flagella, we nevertheless proceed to utilize this
202 convenient variable to model the reorientation of the phototactic swimmers in three dimensions,
203 by defining ω_1 to be proportional to p.

204 The reorientation of phototactic swimmers – in three dimensions – can be described as a system
205 of five nonlinear ODEs expressing, in addition to that of p and h (*Equation 1a* and *Equation 1b*),
206 the time evolution of the three Euler angles of precession (ϕ), nutation (θ) and rotation (ψ) (*Symon,*
207 *1971*). This is achieved by coupling the light stimulus $s(t)$ with the amount of light received by the
208 eyespot as the cell turns and rotates. Moreover, the coupling of the Euler angle dynamics to the
209 photoresponse is achieved with the relation $\omega_1 = -(1/\zeta)p$, where ζ is an effective viscosity, as shown
210 in *Figure 1a*. We postponed the detailed derivation to *Appendix 2*.

211 Using the assumption that the swimmer's U-turn lies in a plane (*Appendix 2*), we reduce the
212 problem to a system of three ODEs in which $\phi + \pi/2$ describes the angle between the direction
213 of the swimmer and the direction of the light stimulus. Moreover, we non-dimensionalize the
214 equations by rescaling time to $\tilde{t} = \omega_r t$, where $\omega_r = 2\pi f_r$ (*Appendix 2-Equations 19a-c*).

215 One of the most important features of phototaxis in *Chlamydomonas* is the separation of time
216 scales between the duration of individual flagellar beats ($1/f_b \sim 0.02$ s), the half-period of cell
217 body rotation ($1/2f_r \sim 0.25$ s) and the time for phototactic reorientation (~ 2 s). As it takes many
218 half-periods of body rotation to execute a turn, we can consider the angle ϕ that defines the
219 instantaneous angle between the cell body and the light direction to be approximately constant
220 during each half-period. Under this assumption, we may recast the phototactic dynamics as an
221 iterated map (*Figure 5* and *Figure 5–Figure Supplement 1*) for the quantity Φ_n, defined to be the
222 Euler angle ϕ at the end of each half-turn,

$$\Phi_{n+1} = \Phi_n + \xi_n \cos\Phi_n, \tag{8}$$

223 where ξ_n is defined in *Appendix 2-Equation 21* in terms of n and the fundamental parameters
224 ($\tau_r, \tau_a, f_r, \zeta, s_o\eta$). With the angle ϕ as defined above, we see that when a cell swims directly towards
225 the light, $\Phi = \pi/2$. One natural question is whether a cell can reach that orientation from any initial
226 condition in which the eyespot receives some light, corresponding to the initial angle Φ_0 lying in the
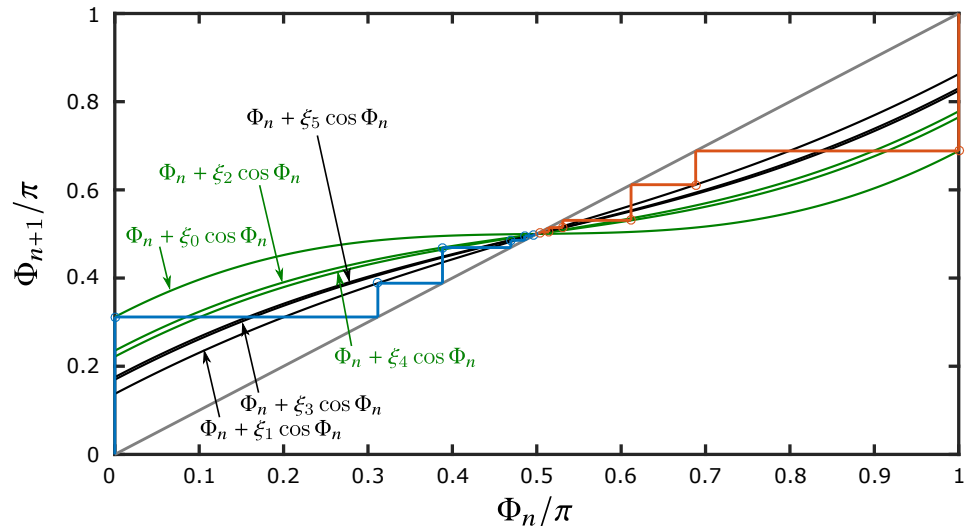
Figure 5. Iterated map of the reorientation model. The iterated map describes the dynamics of two cells, one with initial conditions $\Phi_0 = 0$ (blue) and the other with initial conditions $\Phi_0 = \pi$ (red), reorienting towards a fixed light source, as described by *Equation 8*. The complete alignment with the light source is described by the fixed point at $\pi/2$. The function $\Phi_{n+1} = \Phi_n + \xi_n \cos \Phi_n$ is shown for six half turns, i.e. $0 \leqslant n \leqslant 5$, with even numbers shown in green and odd numbers shown in black. The parameters defining ξ_n ($\tau_r = 0.06$ s, $f_r = 1.6$ Hz and $\sigma/\zeta = 10$ s^{-1}) were chosen to be comparable to the experimental data, while the value $\tau_a = 0.36$ s was chosen larger than in experiment in order to separate the individual curves to illustrate more clearly the nature of the map.

Figure 5–Figure supplement 1. Animation of a solution of an iterated map. Video animation of the reorientation dynamics – to $\Phi_n = \pi/2$ – as shown in *Figure 5* for the cell with $\Phi_0 = 0$. The position of the vector $\hat{e}_3$ is marked with a dashed line for every half turn. Time is displayed in numbers of full turns ($\bar{t}/2\pi$) and the interpolated Euler angle ϕ is shown in units of π radians.

Figure 5–Figure supplement 2. Phase diagram of the dynamics as defined by the values ξ_0. The values of ξ_0, which are plotted as a function of $\omega_r\tau_r$ and $\omega_r\tau_a$, determine the behavior of the iterated map. Numerically-calculated boundaries where $\xi_0 = 1$ and $\xi_0 = 2$ are shown in solid and dashed blue lines respectively. The two phase diagrams presented were generated using two different values of σ/ζ: 9 s^{-1} (a) and 15 s^{-1} (b). Regions are labeled as S–M for stable monotonic, S–O for stable oscillatory and U–O for unstable oscillatory. The optimal (rescaled) τ_r,τ_a pairs – for immobilized cells – are shown as the black line $\omega_r\tau_a = 1/(\omega_r\tau_r)$. Red cross and orange ellipse in (a) summarize the mean and standard deviation of the experimental data on τ_r, τ_a pairs and f_r shown respectively in *Figure 6c* and *Figure 6–Figure Supplement 2a*.

Figure 5–Figure supplement 3. Optimal τ_r,τ_a **pairs extracted from the 3D reorientation model** The optimal (rescaled) τ_r,τ_a pairs as extracted from the 3D reorientation model when $\langle \xi \rangle = (\xi_0 + \xi_1)/2$ is at a maximum for a given f_r and σ/ζ. The locus of these points is illustrated with three different fitted line segments which correspond to different values of f_r. The optimal rescaled τ_r,τ_a pairs – for immobilized cells – are shown as the black line $\omega_r\tau_a = 1/(\omega_r\tau_r)$.

227 range $0 \leqslant \Phi_0 \leqslant \pi$. In the usual manner of interpreting such iterated maps, if we choose $\Phi_0 = 0$, as in
228 *Figure 5*, the angle Φ_1 after one half-turn is obtained by moving along the vertical blue line from the
229 value Φ_0 on the horizontal axis until intersecting the green curve $\Phi_n + \xi_0 \cos \Phi_n$. Using Φ_1 so obtained
230 for the next iteration is equivalent to reflecting the blue line off the grey diagonal up to the black
231 curve $\Phi_n + \xi_1 \cos \Phi_n$, thus obtaining Φ_2. Continuing this "cobwebbing", we see the trajectory converge
232 to $\Phi = \pi/2$, which is a stable fixed point. Choosing $\Phi_0 = \pi$ leads to the cobwebbing trajectory shown
233 in red, which also converges to $\Phi = \pi/2$. This serves as a simple, heuristic demonstration of the
234 manner in which an adaptive photoresponse leads to robust phototaxis.

235   The rate of alignment of the swimming direction of the cell with respect to the light vector can
236 be deduced by setting $\Phi_n = \pi/2 - \Psi_n$, where the angular deviation Ψ_n obeys $\Psi_{n+1} = \Psi_n - \xi_n \sin \Psi_n \simeq$
237 $(1 - \xi_n)\Psi_n$, the latter relation holding for near-alignment ($\Psi_n \ll 1$). Heuristically, we can ignore the
238 small differences between the ξ_n and obtain the approximate solution $\Psi_n \sim (1 - \xi_0)^n \Psi_0$, which shows
239 that the magnitude of ξ_0 serves as a measure of the rate of reorientation of the cell; If $0 < \xi_0 < 1$ the
240 approach is monotonic, if $1 < \xi_0 < 2$ it is oscillatory but stable, and if $\xi_0 > 2$ the reorientation does
241 not occur – the aligned state is unstable (and oscillatory). *Figure 5–Figure Supplement 2* shows
242 these different regimes in the parameters space of τ_r and τ_a for two different values of the prefactor
243 σ/ζ, along with the relation $(\omega_r \tau_a)(\omega_r \tau_r) = 1$ of *Equation 3*, which defines the optimum response of
244 immobilized cells. *Figure 5–Figure Supplement 3* shows a comparison between the latter and the
245 results of optimizing the 3D-reorientation rate by maximizing the average $\langle \xi \rangle = (\xi_0 + \xi_1)/2$ and we
246 see the two approaches lead to remarkably similar results.

247 **Three-dimensional trajectories yield optimized photoresponse parameters**
248 Within the M = 6 pairs of recorded movies, we tracked 283 trajectories with durations greater
249 than 10 s and which included the trigger frame. From those, 44 showed both positive phototaxis
250 and included a full turn to $\Omega = \pi$ as shown in *Figure 6a* and *Figure 6–Figure Supplement 1*. These
251 three-dimensional trajectories were cropped to any points for which $\pi/2 \leqslant \Omega \leqslant \pi$ and which could
252 then be fitted to *Equation 8*, using the relation $\Omega = \Phi + \pi/2$, as shown in *Figure 6b*. Out of these, 21
253 trajectories had good fits (see Methods section for criterion) and the estimated four parameters (τ_r,
254 τ_a, $f_r = \omega_r/2\pi$ and $\mu = \sigma/\zeta$) in ξ_n (*Equation 21*) converged to a sufficiently narrow range of values.
255 More specifically, pairs of the means of fitted τ_r and τ_a (*Figure 6c*) fall within the values of optimal
256 response and adaptation time scales as described by *Equation 3*, mostly between the hyperbolas
257 f_r^{opt} = 1.5 Hz (blue line) and f_r^{opt} = 2 Hz (green line). The distributions of the means of fitted values
258 for the other two parameters f_r and μ are shown in *Figure 6–Figure Supplement 2a* and *Figure 6–*
259 *Figure Supplement 2d* respectively. The median value for the fitted rotational frequency of the cell
260 (f_r) was found to be 1.78 Hz, in strong agreement with the maximum value of the fitted gain of
261 frequency response in *Figure 4a*. Parameter μ had a median value of 8.98 s^{-1} and it was found to
262 be independent for the two different light intensities used. Finally, if we perform a global average of
263 the values for f_r, τ_r, and τ_a for the data shown in *Figure 6c* we can locate it on the stability diagram
264 in *Figure 5–Figure Supplement 2a*, where we see that *Chlamydomonas* operates very close to the
265 optimum photoresponse curve, well within the stable-monotonic regime of alignment.

266 **Discussion**
267 This study has achieved three major goals: the development of modern methods to capture flagellar
268 photoresponse at high spatio-temporal resolution, the measurement of important biochemical
269 time scales for the understanding of phototaxis and lastly the integration of the above information
270 through the development of a biochemistry-based model to accurately describe the phototactic
271 behavior of *Chlamydomonas* in terms of the dynamics of reorientation to the light source in three
272 dimensions.

273   In addition, this study has addressed issues relating to past observations: With respect to the
274 lag time t_d of the photoresponse, we have measured a value of 32 ± 9 ms (n = 4), very similar to the
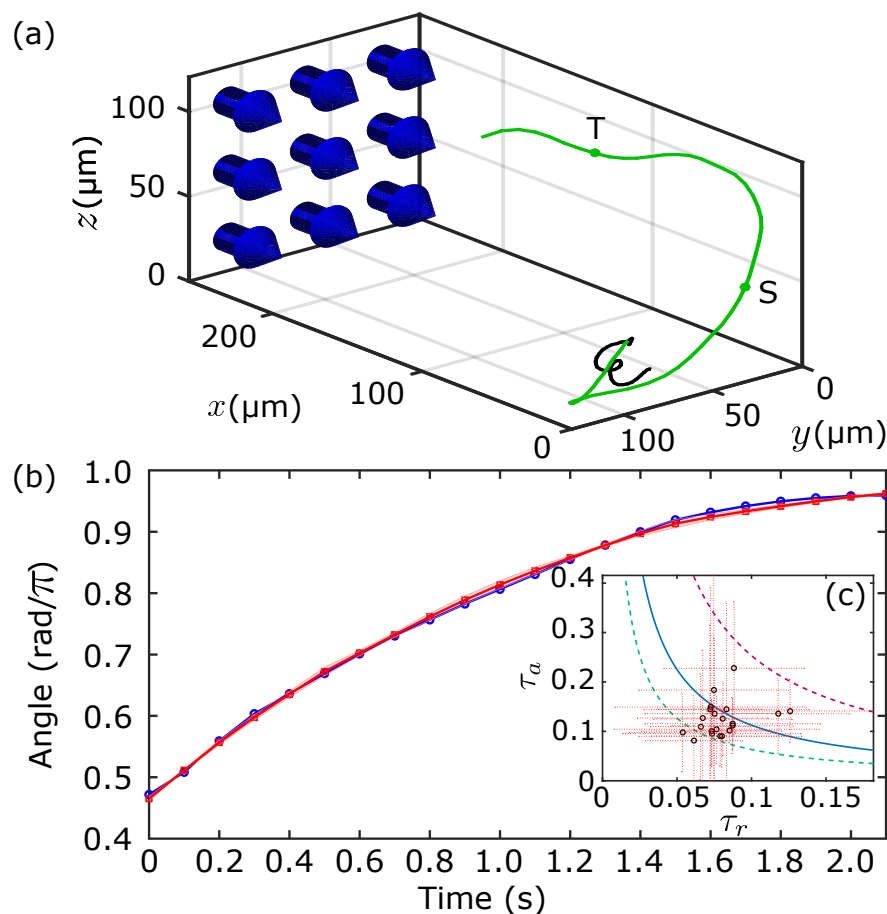275 value 30-40 ms observed by *Rüffer and Nultsch* (*1991*). In addition, we argue that the maximum

Figure 6. Phototactic swimmers tracked in three-dimensions. (a) The U-turn of a phototactic swimmer shown as acquired in the 3D-tracking apparatus. Trajectory in black indicates the time before light stimulation, whereas trajectory in green indicates after. Blue arrows indicate the direction of the light. The cropped trajectory used for fitting the reorientation dynamics (c) is bounded by the points from S to T. (b) The dynamics of the reorientation angle Ω (in blue) for the cropped trajectory satisfying $\pi/2 \leqslant \Omega \leqslant \pi$ (shown in (a) from S to T) fitted by a set of curves (mean and standard deviation in red) described by the iterated map in *Equation 8*, for a convergent range of parameters. (c) Inset showing the means (black markers) of fitted τ_r, τ_a pairs (standard deviations in red), plotted along the hyperbolas for $f_r^{\mathrm{opt}} = 1$ Hz (red line), 1.5 Hz (blue line) and 2 Hz (green line).

Figure 6–Figure supplement 1. Video of a phototactic swimmer with angle of reorientation. The U-turn of a phototactic swimmer shown in a video with the angle of reorientation plotted below in real time. The colors of the points on the trajectory of the cell before (black) and after (green) the light is on (t = 0) are reflected in the color of the markers on the plot below.

Figure 6–Figure supplement 2. Fitting parameter statistics. (a) Distribution of the fitted rotational frequency with median = 1.78 Hz (n = 21). (b) Distribution of the optimal rotational frequency, as defined by *Equation 3* and using the fitted τ_r and τ_a pairs as shown in *Figure 6c*, with median = 1.61 Hz (n = 21). (c) Linear correlation between fitted f_r (from (a)) and optimal f_r (from (b)), shown as a fitted straight line (blue) of the form $f_r^{\mathrm{opt}} = 0.62 f_r^{\mathrm{fit}} + 0.52$. (d) Distribution of the fitted reorientation constant μ with median = 8.98 s^{-1} (n = 21).

276 flagellar response would take place at t_{max} as shown in *Equation 4*, which adds a correction factor
277 to t_d. This is important when assessing the efficiency of the response with respect to the frequency
278 of rotation of the cell body.

279   Regarding the amount of light necessary for a flagellar photoresponse with a positive sign,
280 we have converged, through trial and error, to $\approx$1 µE·s^{-1}·m^{-2} at a wavelength of 470 nm. This
281 value is much lower than in other photoresponse experiments (*Josef et al., 2005*) where $\approx$60
282 µE·s^{-1}·m^{-2} were used at a longer wavelength (543 nm). This is consistent with the sensitivity
283 profile of channelrhodopsin-2 (*Sineshchekov et al., 2002*). More detailed studies on the wavelength
284 sensitivity of the flagellar photoresponse should be carried out in order to reveal any possible
285 wavelength dependencies on τ_r, τ_a or η.

286   Our experimental results – coming from different methodologies – show either directly, from
287 the gain of flagellar photoresponse under stimuli of different oscillatory frequencies (*Figure 4a*)
288 or indirectly, from the estimated values of τ_r and τ_a (*Figure 3d*, *Figure 6c* and *Figure 6–Figure
289 Supplement 2ab*), that cells with rotational frequency in the range of $\approx$1-2 Hz would have the most
290 optimal response.

291   The optimality of the sensitivity of the photoresponse was first addressed by *Yoshimura and
292 Kamiya* (*2001*), using a paralyzed-flagella mutant strain (*pf14*) and an electrophysiological approach
293 on a bulk sample. In their experiments, a suspension of immotile cells was exposed to an oscil-
294 lating light stimulus (500 nm) and the resulting photoreceptor current was measured in a cuvette
295 attached to two platinum electrodes. The experiment using relatively high light intensities observed
296 a frequency response peak of 1.6 Hz when stimulated with $\approx$160 µE·s^{-1}·m^{-2} and a frequency
297 response peak of 3.1 Hz when stimulated with $\approx$40 µE·s^{-1}·m^{-2}. The former observation is in perfect
298 agreement with our results in *Figure 4a* and in *Figure 6–Figure Supplement 2ab* even though we
299 used light stimulus intensities of $\approx$1 µE·s^{-1}·m^{-2} and $\approx$5-10 µE·s^{-1}·m^{-2} respectively. We have not
300 seen any evidence of cells having flagellar photoresponse dynamics that would corroborate the
301 latter result of 3.1 Hz and this is a matter open to further investigation.

302   Further studies on the optimality of the sensitivity of the photoresponse at the flagellar level
303 were first carried out by *Josef et al.* (*2006*) on single cells of a negatively phototactic strain. The
304 usage of the quadrature photodiode to measure stroke velocity was vital to the automation of the
305 methodology, nevertheless it gave the magnitude of the velocity component parallel to the body
306 axis only and at a particular position. In this study, it is the first time that the optimality of the
307 photoresponse's sensitivity is shown in a wild-type strain performing positive phototaxis, both at
308 the flagellar level and at high spatio-temporal resolution, digitally capturing the full waveform of
309 the response.

310   Moreover, this study addressed the relationship of stimulus s to the photoresponse of *Chlamy-
311 domonas p* using differential equations and a handful of parameters such as τ_r and τ_a corresponding
312 to physical processes. Attempts to derive similar relationships between stimulus and photore-
313 sponse (*Josef et al., 2006*) used linear system analysis. The result of such a signal-processing
314 oriented method, usually includes a much larger number of estimated parameters necessary for
315 the description of the system – without necessarily corresponding to any obvious physical quantities
316 that can be easily measured.

317   With respect to the range of values observed for τ_a and τ_r, they lie in the low-τ_r/high-τ_a region
318 for step-up, mid-τ_r/mid-τ_a region for 3D-tracking and high-τ_r/low-τ_a region for rotational frequency
319 response experiment. Possible explanations for these observations have to do with the dependence
320 on the intensity of the stimulus (blue) light as well as the interference from the intensity of the
321 background (red) light. It is worthy of commenting that the amount of background light in the
322 immobilized high-resolution experiments is many orders of magnitude higher than the 3D-tracking
323 experiments, and it could very well play a role to the above observations.

324   The development of a comprehensive mathematical model linking physiology to behavior
325 presents a platform begging for future perturbation-based experiments in order to dissect the
326 mechanism of phototaxis and extend our biological knowledge of the system. The implementation

327 of such a detailed model will require the discovery of many more currently unknown relations
328 between variables, not just for the sake of completeness, but for exploring emerging mechanisms
329 of physiological importance. One such an example is the physiological importance of the parameter
330 of proportionality (η) between p and s (*Equation 1a*) as a measure of phototactic efficiency and
331 phototactic sign, and its dependence on the intensity of the light stimulus.

332 Flagellar photoresponse – and by extension phototaxis – appears to be a very complex biological
333 process encompassing many variables, as mentioned above. This is evident from the fact that
334 experiments exhibited a high level of difficulty regarding multiple measurements on the same cells
335 of elicited positive photoresponse. This has to do with our lack of understanding of long-term
336 adaptation to darkness or phototactic light for that matter, topics that only recently have begun to
337 be addressed (*Arrieta et al., 2017*).

338 It is noteworthy to remark that a biochemistry-based model can explain the experimentally
339 observed dynamics of phototactic reorientation in three-dimensions, in the absence of an explicit
340 hydrodynamic model, and with $\omega_1 = -(1/\zeta)p$ being sufficient. Although it is evident that the torque
341 generated by each flagellum is connected to the total swept area ($\mathcal{A}$) as in *Equation 16* (*Appendix
342 1*) or to the front amplitudes ($\mathcal{F}$) as in the experiments, and that successive differences in the
343 corresponding flagellar torques are responsible for ω_1, a more detailed model where biochemistry
344 is coupled to mechanical forces would be the subject of a further study. One example of improving
345 the model could be the investigation of the dependence of flagellar torque to the flagellar beat fre-
346 quency (f_b) as shown in *Equation 16* (*Appendix 1*). We know from experiments that the frequency of
347 flagellar beat does not change significantly during the photoresponse experiments on immobilized
348 cells (*Figure 3–Figure Supplement 3* showing the cell with the most change to be $\lesssim$10%), but not
349 necessarily for free-swimming cells. Another example of including more detailed hydrodynamics,
350 would be the formal definition of $1/\zeta$, the proportionality constant between ω_1 and p. Interestingly
351 from the fitted parameter μ (= σ/ζ in *Equation 21*), we know that the product between σ and $1/\zeta$
352 is of order 10 (median value 8.98 s^{-1}) and although we do not know the exact value of σ (= $s_o\eta$),
353 we can estimate it to be in the range of $4 < \sigma < 7$ based on the light intensities used. This allows
354 us to place an estimate on $1/\zeta$ in the range of $1.3 < 1/\zeta < 2.3$, and if we compare it to the relation
355 $\omega_1 = -(\tau/\zeta_r)(2b/a)$ we can further relate it to the fluid mechanics via $1/\zeta = \tau/\zeta_r \times \mathcal{F}/\mathcal{A} = 5.7$ s^{-1},
356 where $\mathcal{F}/\mathcal{A}$ is empirically found to be $\approx$1/3.5. In this study, we declare this level of proximity,
357 i.e. same order of magnitude, between observed and expected values of $1/\zeta$, a success, and we
358 leave a more accurate estimate of this variable to future, more detailed hydrodynamic models that
359 similarly link physiology to behavior.

Methods and Materials

361 This is a detailed description of the materials and methods used for both types of experiments with
362 immobilized and free-swimming cells and their corresponding analyses.

Culture conditions

364 *Chlamydomonas* wild-type cells (strain CC125 (*Harris, 2009*)) were grown axenically under pho-
365 toautotrophic conditions in minimal media (*Rochaix et al., 1988*), at 23°C under a 100 µE·s^{-1}·m^{-2}
366 illumination in a 14:10 h light-dark cycle.

Flagellar photoresponse of immobilized cells

368 Cells were prepared as described previously (*Leptos et al., 2013*) – centrifuged, washed and gently-
369 pipetted into a custom-made observation chamber made of polydimethylsiloxane (PDMS) as shown
370 in *Figure 2a*. Chambers were mounted on a Nikon TE2000-U inverted microscope with a ×63 Plan-
371 Apochromat water-immersion long-working-distance (LWD) objective lens (441470-9900; Carl Zeiss
372 AG, Germany). Cells were immobilized via aspiration using a micropipette (B100-75-15; Sutter, USA)
373 that was pulled to a $\varnothing$5-µm tip, and the flagellar beating plane was aligned with the focal plane of
374 the objective lens via a rotation-stage. Video microscopy of immobilized cells was performed using

375 a high-speed camera (Phantom v341; Vision Research, USA) by acquiring 15 s-movies at 2000 fps.
376 Cells were stimulated at exactly frame 2896 ($\approx$1.45 s into the recording) using a $\varnothing$50 µm-core optical
377 fiber (FG050LGA; Thorlabs, USA) that was coupled to a 470 nm Light Emitting Diode (LED) (M470L3;
378 Thorlabs, USA) and was controlled via an LED driver (LEDD1B; Thorlabs, USA). The LED driver and
379 the high-speed camera were triggered through a data-acquisition card (NI PCIe-6343; National
380 Instruments, USA) using in-house programs written in `LabVIEW 2013` (National Instruments, USA),
381 for both step- and frequency-response experiments. Calibration of the optical fiber was performed
382 as follows: A photodiode (DET110; Thorlabs, USA) was used to measure the total radiant power W
383 emerging from the end of the optical fiber for a range of voltage output values (0-5 V) of the LED
384 driver. Subsequently, the two quantities were plotted and fitted to a power-law model which was
385 close to linear.

386 A stimulus of $\approx$1 µE·s^{-1}·m^{-2} (at 470 nm) was empirically found to give the best results in terms
387 of reproducibility, sign, i.e. positive phototaxis, and quality of response, since we conjecture that the
388 cells could recover in time for the next round of stimulation. For the step response experiments,
389 biological replicates were $n_{\text{cells}} = 4$ with corresponding technical replicates $n_{\text{tech}} = \{4, 3, 2, 2\}$. For the
390 frequency response experiments, biological replicates were $n_{\text{cells}} = 3$ with each cell stimulated to
391 the following amplitude-varying frequencies: 0.5 Hz, 1 Hz, 2 Hz, 4 Hz and 8 Hz. Only the cells that
392 showed a positive sign of response for *all* 5 frequencies are presented here. This was hence the
393 most challenging aspect of the experimental process.

Analysis of flagellar photoresponse

395 High-speed movies were processed and flagellar features were extracted as described previously
396 (*Leptos et al., 2013*). The angle Θ (*Figure 3–Figure Supplement 1*) between a flagellum chord (i.e. the
397 line connecting the base of the flagellum and a point at a fixed distance from the base) and the
398 axis of symmetry of the cell was used to define the duration of the flagellar beats. In particular, the
399 beginning and the end of the beat were defined by the local minima in a time-series of the angle Θ
400 (*Figure 3–Figure Supplement 1*). For every *in-phase* beat, the areas swept by the two flagella and
401 located above the two reference lines drawn at 60° from the cell's central axis (noted as $\mathcal{F}_{\text{cis}}$ and
402 $\mathcal{F}_{\text{trans}}$) were measured. These are shown in *Figure 3a-b*, and were used as the front amplitudes for
403 each beat. Finally, the flagellar photoreponse was defined as the difference of normalized front
404 amplitudes, where the normalization factor was the average front amplitude for the corresponding
405 unstimulated cell. The front amplitude ($\mathcal{F}$) of beats during instantaneous asynchronies were ignored
406 and the corresponding values at those points were interpolated.

407 The solution to the governing equations (*Equation 1a* and *Equation 1b*) for an oscillatory stimu-
408 lus with frequency $f_s (= \omega_s/2\pi)$ such as

$$s_{\text{oscill}}(t) = s_o(1 - \cos(\omega_s t))/2 \tag{9}$$

409 can be written in closed form (for sufficiently large enough t):

$$p_{\text{oscill}}(t) = \frac{\eta s_o}{2(1 + \alpha_s^2)(1 + \beta_s^2)(1 - \rho)} \left(-(\alpha_s^2 - \beta_s^2)\cos(\omega_s t) - (\alpha_s - \beta_s)(\alpha_s \beta_s - 1)\sin(\omega_s t)\right) \tag{10}$$

410 where

$$\alpha_s = \omega_s \tau_a \text{ and } \beta_s = \omega_s \tau_r.$$

411 The gain of frequency response is thus defined as the magnitude ratio

$$\mathcal{R}(\omega_s) = \frac{\left|\langle \tilde{p}_{\text{oscill}}(\omega), H(\omega)\rangle\right|}{\left|\langle \tilde{s}_{\text{oscill}}(\omega), H(\omega)\rangle\right|} \tag{11}$$

412 where $\tilde{p}_{\text{oscill}}$ and $\tilde{s}_{\text{oscill}}$ are the Fourier transforms of p_{oscill} and s_{oscill} respectively. Truncation for positive
413 frequencies is indicated by $\langle \cdot, H(\omega)\rangle$.

Phototaxis experiments of free-swimming cells

Three-dimensional tracking of phototactic cells was performed using the method described in *Drescher et al.* (*2009*) and shown in *Figure 2b*. The experimental setup comprised of a sample chamber suspended in an outer water tank to eliminate thermal convection. The sample chamber was composed of two acrylic flanges (machined in-house) that were clamped onto an open-ended square borosilicate glass tube ($2\,\mathrm{cm} \times 2\,\mathrm{cm} \times 2.5\,\mathrm{cm}$; Vetrospec Ltd, UK), in a watertight fashion. Two charge-coupled device (CCD) cameras (Prosilica GT750; Allied Vision Technologies, Germany) coupled with two InfiniProbe™ TS-160 (Infinity, USA) with Micro HM objectives at a total magnification of ×16. The source of phototactic stimulus was a 470 nm blue-light LED (M470F1; Thorlabs, USA) coupled to a solarization-resistant optical fiber (M22L01; Thorlabs, USA) attached to an in-house assembled fiber collimator that included a $\varnothing$12.7 mm plano-convex lens (LA1074-A; Thorlabs, USA). Calibration of the collimated optical fiber was performed similarly to the experiments with immobilized cells. In addition, the thickness of the walls of the outer water tank, the walls of the inner sample chamber and the water in between, were taken into account for the calibration.

The two CCD cameras and the blue-light LED used for the stimulus light were controlled using `LabVIEW` 2013 (National Instruments, USA) including the image acquisition driver `NI-IMAQ` (National Instruments, USA). The cameras were triggered and synchronized at a frame rate of 10 Hz via a data-acquisition device (NI USB 6212-BNC; National Instruments, USA). For every tracking experiment (M = 6), two 300-frame movies were acquired (side and top) with the phototactic light triggered at frame 50 (5 s into the recording). The intensity of the blue-light stimulus was chosen to be 5 or 10 $\mu\mathrm{E}\cdot\mathrm{s}^{-1}\cdot\mathrm{m}^{-2}$.

Analysis of three-dimensional tracks

To track the cells we used in-house tracking computer programs written in `MATLAB` as described in *Drescher et al.* (*2009*). Briefly, for every pair of movies cells were tracked in the *side* and *top* movies corresponding to the xz-plane and in the xy-plane respectively. The two tracks were aligned based on their x-component to reconstruct the three-dimensional trajectories. The angle Ω (*Figure 6b*) between the cell's directional vector and the light was then calculated for every time point. The post-stimulus sections of the trajectories were cropped to the interval $\pi/2 \leqslant \Omega \leqslant \pi$, which corresponds to the reorientation phase. Using the relation $\Omega = \Phi + \pi/2$, the cropped trajectories were fitted to *Equation 8* by estimating the following parameters τ_r, τ_a, $f_r = \omega_r/2\pi$ and $\mu = \sigma/\zeta$. The deterministic Nelder-Mead simplex method was employed to minimize the residual sum of squares (RSS). In order to avoid parameter estimations associated with local minima, 3000 different initial-condition vectors of the form $(\tau_r, \tau_a, f_r, \mu)^{\mathrm{init}}$ were used for the fitting of each trajectory. These vectors were constructed using all possible permutations from the following sets: $\tau_r^{\mathrm{init}} = \{0.01 + 0.01n : 0 \leqslant n \leqslant 9\}$, $\tau_a^{\mathrm{init}} = \{0.1 + 0.1n : 0 \leqslant n \leqslant 9\}$, $f_r^{\mathrm{init}} = \{1 + 0.5n : 0 \leqslant n \leqslant 4\}$ and $\mu^{\mathrm{init}} = \{5 + n : 0 \leqslant n \leqslant 5\}$ such that $(\tau_r, \tau_a, f_r, \mu)^{\mathrm{init}} \in \tau_r^{\mathrm{init}} \times \tau_a^{\mathrm{init}} \times f_r^{\mathrm{init}} \times \mu^{\mathrm{init}}$. The criterion of good fit was taken to be $RSS < 0.03$ for at least 5% (i.e. 600) of the fitting attempts using different initial conditions.

Acknowledgments

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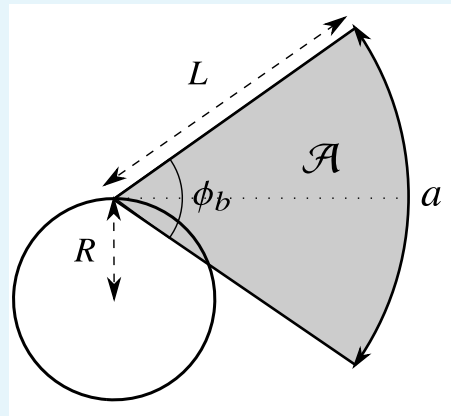
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Appendix 1

Calculations used in estimating the angle of phototactic turning
Derivation of time-averaged total torque generated by rod-shaped flagella

In order to derive the amount of phototactic turning per half turn of cell rotation, we consider a swimmer in Stokes flow with a spherical body of radius R, bearing two rod-shaped flagella of length L attached at the anterior of the cell body, as shown in *Appendix 1-Figure 1*. The swimmer is immersed in a fluid with viscosity η. Furthermore, the swimmer flaps its rod-shaped flagella with a maximum velocity at the tip equal to

$$u_{\mathrm{tip}} = \frac{a}{t_b} \tag{12}$$

where a is the amplitude of the beat and t_b is the duration of the effective stroke of the beat. We can thus assign each flagellum a force-density function depending on the position λ along the flagellum:

Appendix 1 Figure 1. Model of the effective stroke of a simplified swimmer. The total angle spanned by the rod-shaped flagella during an effective stroke is equal to $\phi_b = a/L$ and the corresponding swept area (shaded) is $\mathcal{A} = \frac{aL}{2}$.

$$f(\lambda) = \zeta_\perp u(\lambda) = \zeta_\perp \frac{\lambda}{L} u_{\mathrm{tip}} = \zeta_\perp \frac{\lambda}{L} \frac{a}{t_b}, \tag{13}$$
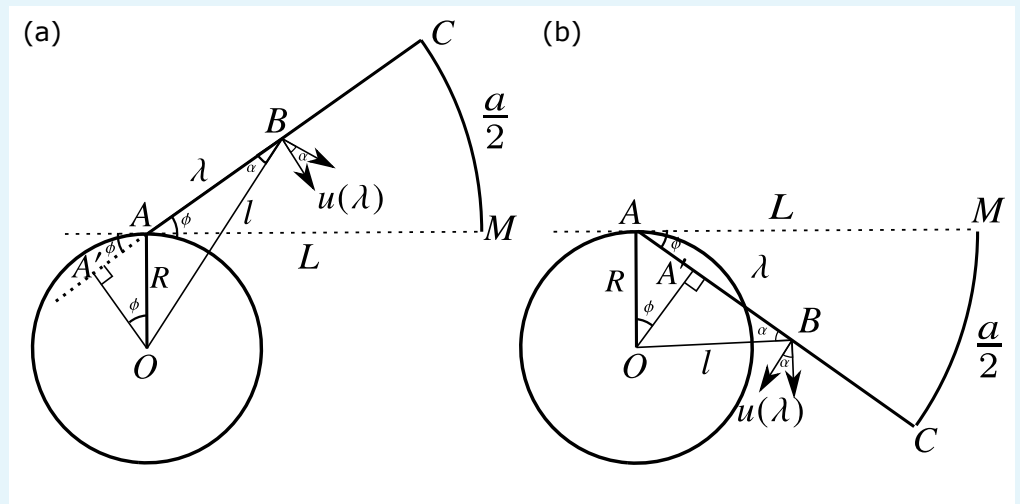
Appendix 1 Figure 2. Geometric proof of the torque generated by a point on the rod-shaped flagellum. The proof is broken down in two parts: (a) for angles of ϕ above the tangent AM to the cell body (front amplitude) and (b) for angles of ϕ below the same line (back amplitude).

The corresponding torque density, according to the geometric proof shown in *Appendix 1-Figure 2a*, for the front amplitude is

$$\tau_F(\lambda) = f(\lambda) \cos \alpha \, l = \frac{\zeta_\perp a}{L t_b} \lambda(\lambda + R \sin \phi)$$

where $OA = R$, $AC = AM = L$, $AB = \lambda$, $OB = l$ and $l \cos \alpha = BA' = AB + AA' = \lambda + R \sin \phi$.

The corresponding torque density, according to the geometric proof shown in **Appendix 1-Figure 2b**, for the back amplitude is

$$\tau_B(\lambda) = f(\lambda)\cos\alpha\, l = \frac{\zeta_\perp a}{L t_b}\lambda(\lambda - R\sin\phi)$$

where $OA = R$, $AC = AM = L$, $AB = \lambda$, $OB = l$ and $l\cos\alpha = BA' = AB - AA' = \lambda - R\sin\phi$. The torque density functions are also functions of t, as ϕ is a function of t. We define $\phi(t) = \frac{\phi_b}{2} - \omega_b t$ for $0 \leqslant t \leqslant t_b$, where $\omega_b = \frac{a}{L t_b}$. Thus τ_F and τ_B can be combined and rewritten as

$$\tau(\lambda, t) = \zeta_\perp \omega_b \lambda \left(\lambda + R\sin\left(\frac{\phi_b}{2} - \omega_b t\right) \right) \tag{14}$$

Then the time-averaged total torque generated by a flagellum during the effective stroke of the beat is equal to

$$\tau = \frac{1}{t_b}\int_0^{t_b}\int_0^L \tau(\lambda, t)\,d\lambda\,dt \tag{15}$$

Performing the computation yields

$$\tau = \frac{2}{3}\zeta_\perp f_b a L^2 = \frac{4}{3}\zeta_\perp f_b \mathcal{A} L, \tag{16}$$

where $t_b = 1/2f_b$ and f_b is the frequency of beating, and $\mathcal{A} = aL/2$ is the area of the circular sector swept by the flagellum.

Estimate of perpendicular drag coefficient

Using the definition of $\zeta_\perp$ described in **Pak et al. (2011)**

$$\zeta_\perp = \frac{4\pi\eta}{\log\left(\frac{2L}{d}\right) + \frac{1}{2}} \approx 2.6 \times 10^{-3}\ \text{Pa·s}$$

using $d = 0.25\,\mu\text{m}$ for the diameter of the flagellum and $\eta = 10^{-3}\ \text{Pa·s}$ as the viscosity of the fluid.

Estimate of rotational drag coefficient

Using the values above for a and η we calculate ζ_r to be

$$\zeta_r = 8\pi\eta a^3 = 3\ \text{pN·}\mu\text{m·s}.$$

Integration of oscillating angular velocity

We would like to estimate the angle by which the cell turns – about its $\hat{e}_1$ axis – for the duration of half a turn about its $\hat{e}_3$ axis, while its angular velocity ω_1 oscillates as shown in **Equation 6**. It is reasonable to assume that the difference in flagellar amplitude between the two flagella ($2b$), on which ω_1 depends, oscillates with constant amplitude ($2b_o$) *during the period of half a turn*. Then we can compute the angle turned about $\hat{e}_1$ during the time the cell turns by an angle π about $\hat{e}_3$, by integrating **Equation 6** over time from 0 to $1/2f_r$:

$$\phi_{\text{HT}} = \int_0^{\frac{1}{2f_r}}\omega_1(t)dt = \frac{\tau}{\zeta_r}\frac{2b_o}{a}\frac{1}{\pi f_r}$$

If we substitute for τ then we have

$$\phi_{\text{HT}} = \frac{4}{3\pi}\frac{\zeta_\perp}{\zeta_r}\frac{f_b}{f_r}L^2 b_o \tag{17}$$

Appendix 2

Derivation of mathematical model

The mathematical model is derived from a system of five nonlinear ordinary differential equations (ODEs) following a series of simplifications and approximations. The first simplification is regarding the photoresponse time delay t_d, as mentioned in the main text. We know from solving the equations numerically that including the time delay into the mathematical model is equivalent to omitting it, but with the eyespot vector $\hat{o}$ lying on the $(\hat{e}_2 \hat{e}_3)$ plane, i.e. $\hat{o} = \hat{e}_2$ (***Figure 1a***).

More specifically, the dynamics of the photoresponse (described by ***Equation 1a*** and ***Equation 1b***) are coupled to the Euler angle dynamics via the light intensity relation $-\hat{I} \cdot \hat{o} = -s_o (\sin\psi \cos\phi + \cos\theta \sin\phi \cos\psi)$, where $\hat{I} = -\hat{e}_x$ (***Figure 6a***), and the equations describing the Euler angle dynamics (***Symon, 1971***) are coupled to the photoresponse via the relation $\omega_1 = -(1/\zeta)p$, where ζ is a time scale constant equal to an effective viscosity. This gives the following system of ODEs:

$$\dot{\phi} = -(1/\zeta)\frac{p\sin\psi}{\sin\theta}, \tag{18a}$$

$$\dot{\theta} = -(1/\zeta)p\cos\psi, \tag{18b}$$

$$\dot{\psi} = -2\pi f_r + (1/\zeta)\frac{p\sin\psi}{\sin\theta}\cos\theta, \tag{18c}$$

$$\dot{p} = (1/\tau_r)\left(-s_o\eta\left(\sin\psi\cos\phi + \cos\theta\sin\phi\cos\psi\right)H(\sin\psi\cos\phi + \cos\theta\sin\phi\cos\psi) - h - p\right), \tag{18d}$$

$$\dot{h} = (1/\tau_a)\left(-s_o\eta\left(\sin\psi\cos\phi + \cos\theta\sin\phi\cos\psi\right)H(\sin\psi\cos\phi + \cos\theta\sin\phi\cos\psi) - h\right). \tag{18e}$$

Using the test case where the initial direction of the cell is $-\hat{e}_y$, i.e. Euler angle initial conditions $\theta = \pi/2$ and $\phi = 0$, we conclude from the solution of the reorientation dynamics that the cell maintains a trajectory on the $(\hat{e}_x\hat{e}_y)$ plane with θ being almost constant. With θ being constant and $\psi = -2\pi f_r t$ (***Figure 1***) we can reduce the number of equations in the system from five to three. Additionally with the nondimensionalization of time $\tilde{t} = \omega_r t$, where $\omega_r = 2\pi f_r$ the equations transform to

$$\phi_{\tilde{t}} = (1/\omega_r\zeta)p\sin\tilde{t}, \tag{19a}$$

$$p_{\tilde{t}} = (1/\tau_r\omega_r)\left(s_o\eta\left(\sin\tilde{t}\cos\phi\right)H\left(\sin\tilde{t}\cos\phi\right) - h - p\right), \tag{19b}$$

$$h_{\tilde{t}} = (1/\tau_a\omega_r)\left(s_o\eta\left(\sin\tilde{t}\cos\phi\right)H\left(\sin\tilde{t}\cos\phi\right) - h\right). \tag{19c}$$

To be able to decouple ϕ from h and p, we assume that it does not change significantly during a full (or half) cell rotation, and thus we solve the equations for h and p for a given value of ϕ.

If we let $\alpha = \tau_a\omega_r$, $\beta = \tau_r\omega_r$, $\sigma = s_o\eta$ and dropping tildes, we can rewrite the equations as follows:

$$\alpha h_t + h = \sigma\sin t\cos\phi H\left(\sin t\right), \tag{20a}$$

$$\beta p_t + p = \sigma\sin t\cos\phi H\left(\sin t\right) - h. \tag{20b}$$

Equation 20a can be rewritten as,

$$\alpha h_t + h = \begin{cases} \sigma\sin t\cos\phi & \text{for } n\pi \leqslant t \leqslant (n+1)\pi, \text{ where } n \geqslant 0 \text{ is even} \\ 0 & \text{for } n\pi \leqslant t \leqslant (n+1)\pi, \text{ where } n \geqslant 1 \text{ is odd} \end{cases}$$

and it can be solved in a piecewise fashion to yield,

$$
h^n = \begin{cases} K\left(\dfrac{1-r^{n+1}}{1-r}e^{-\frac{t-n\pi}{\alpha}} + \dfrac{1}{\alpha}\sin t - \cos t\right) & \text{for } n\pi \leqslant t \leqslant (n+1)\pi, \text{ where } n \geqslant 0 \text{ is even} \\ K\left(\dfrac{1-r^{n+1}}{1-r}e^{-\frac{t-n\pi}{\alpha}}\right) & \text{for } n\pi \leqslant t \leqslant (n+1)\pi, \text{ where } n \geqslant 0 \text{ is odd} \end{cases}
$$

where

$$
K = \frac{\alpha\sigma\cos\phi}{1+\alpha^2}
$$

and

$$
r = e^{-\frac{\pi}{\alpha}}.
$$

Likewise, **Equation 20b** can be rewritten more analytically as

$$
\beta p_t + p = \begin{cases} \sigma\sin t\cos\phi - h^n & \text{for } n\pi \leqslant t \leqslant (n+1)\pi, \text{ where } n \geqslant 0 \text{ is even} \\ -h^n & \text{for } n\pi \leqslant t \leqslant (n+1)\pi, \text{ where } n \geqslant 1 \text{ is odd} \end{cases}
$$

and it can be solved in a piecewise fashion as to yield,

$$
p^n = \begin{cases} \Lambda_1\dfrac{1-q^{n+1}}{1-q}e^{-\frac{t-n\pi}{\beta}} + \Lambda_2\sin t + \Lambda_3\cos t + \Lambda_4\dfrac{1-r^{n+1}}{1-r}e^{-\frac{t-n\pi}{\alpha}} & \text{for } n\pi \leqslant t \leqslant (n+1)\pi, \text{ where } n \geqslant 0 \text{ is even} \\ \Lambda_1\dfrac{1-q^{n+1}}{1-q}e^{-\frac{t-n\pi}{\beta}} + \Lambda_4\dfrac{1-r^{n+1}}{1-r}e^{-\frac{t-n\pi}{\alpha}} & \text{for } n\pi \leqslant t \leqslant (n+1)\pi, \text{ where } n \geqslant 0 \text{ is odd} \end{cases}
$$

where

$$
\Lambda_1 = \frac{\alpha\beta\sigma\cos\phi}{(1+\beta^2)(\alpha-\beta)}, \quad \Lambda_2 = \frac{\alpha(\alpha+\beta)\sigma\cos\phi}{(1+\beta^2)(1+\alpha^2)}, \quad \Lambda_3 = \frac{\alpha(1-\alpha\beta)\sigma\cos\phi}{(1+\beta^2)(1+\alpha^2)}, \quad \Lambda_4 = \frac{\alpha^2\sigma\cos\phi}{(1+\alpha^2)(\beta-\alpha)}
$$

and

$$
q = e^{-\frac{\pi}{\beta}}.
$$

Since n represents the number of half-turns, where for even values it corresponds to the times where the cell's eyespot is receiving light and for odd values to the times where the cell is in the "darkness", we integrate **Equation 19a** for every value of $n \geqslant 0$

$$
\Phi_{n+1} - \Phi_n = \frac{1}{\omega_r\zeta}\int_{n\pi}^{(n+1)\pi} p^n \sin t\, dt
$$

which can be written in the form of **Equation 8**, where

$$
\xi_n = \frac{\sigma}{\omega_r\zeta}\left[A(-1)^n\frac{1-q^{n+1}}{1-q}(q+1) + B(-1)^n\frac{1-r^{n+1}}{1-r}(r+1) + H(\cos n\pi)C\frac{\pi}{2}\right] \tag{21}
$$

and where

$$
A = \frac{\alpha\beta^3}{(1+\beta^2)^2(\alpha-\beta)}; \quad B = \frac{\alpha^4}{(1+\alpha^2)^2(\beta-\alpha)}; \quad C = \frac{\alpha(\alpha+\beta)}{(1+\beta^2)(1+\alpha^2)}.
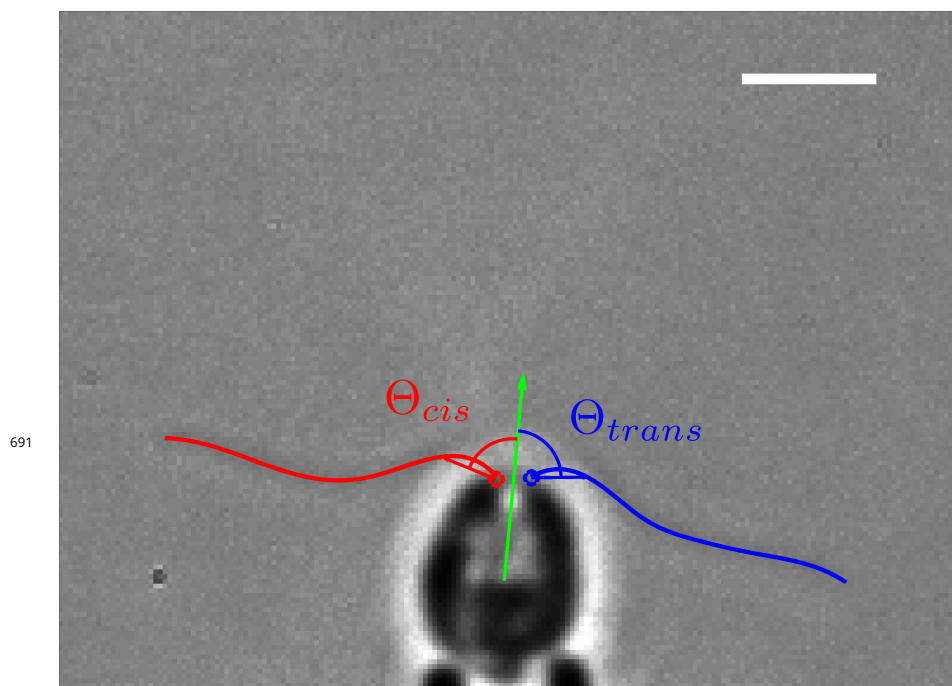$$

Figure 3–Figure supplement 1. Angle used to define the beginning and the end of a beat. A chord is drawn from the base of each flagellum to a point of fixed length on the flagellum. The angles Θ_{cis} and Θ_{trans} between each of the chords (red for *cis* and blue for *trans* respectively) and the axis of symmetry of the cell (green), were used to define the duration of the flagellar beats. Scale bar is 5 μm.
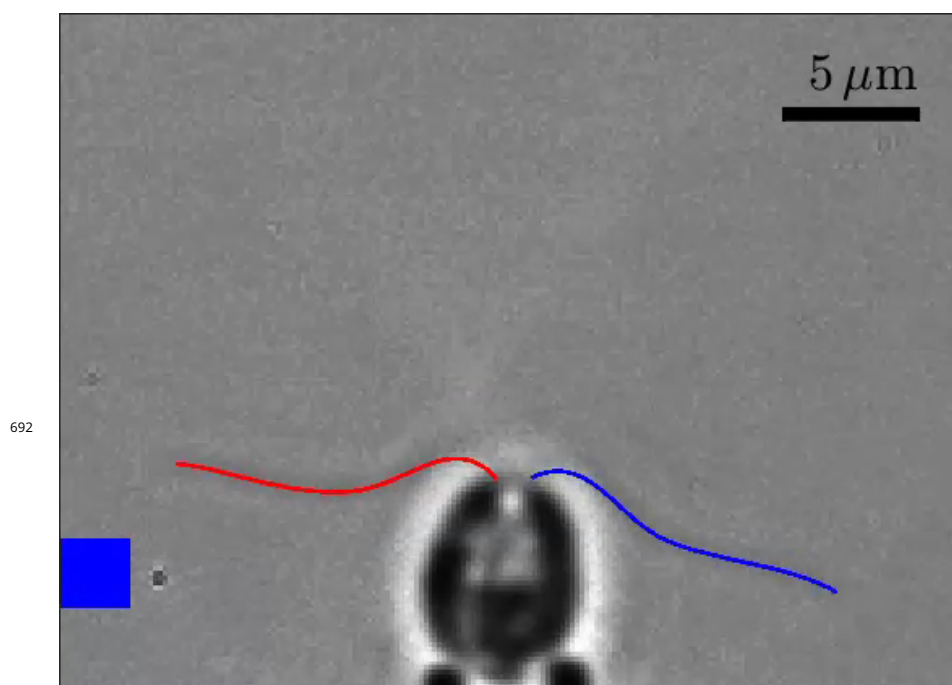

Figure 3–Figure supplement 2. Video showing flagellar photoresponse of immobilized cells upon step-up light stimulation. The optical fiber is illustrated as a grey square that turns blue when stimulus light is turned on. The curves fitted to the *cis* and *trans* flagella are shown in red and blue respectively.
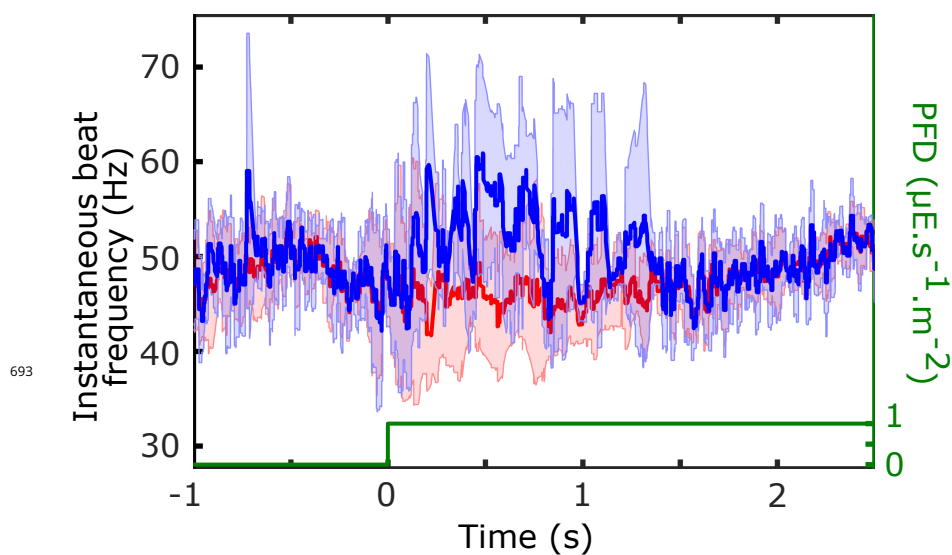
Figure 3–Figure supplement 3. Beat frequency flagellar photoresponse. The beat frequency response for the same cell as shown in **Figure 3c** averaged over n_{tech} = 4 movies. The instantaneous beat frequency was calculated for each beat, ignoring beats that were out of synchrony. The mean and standard deviations of the instantaneous frequencies of the *cis* and *trans* flagella are shown in red and blue respectively.
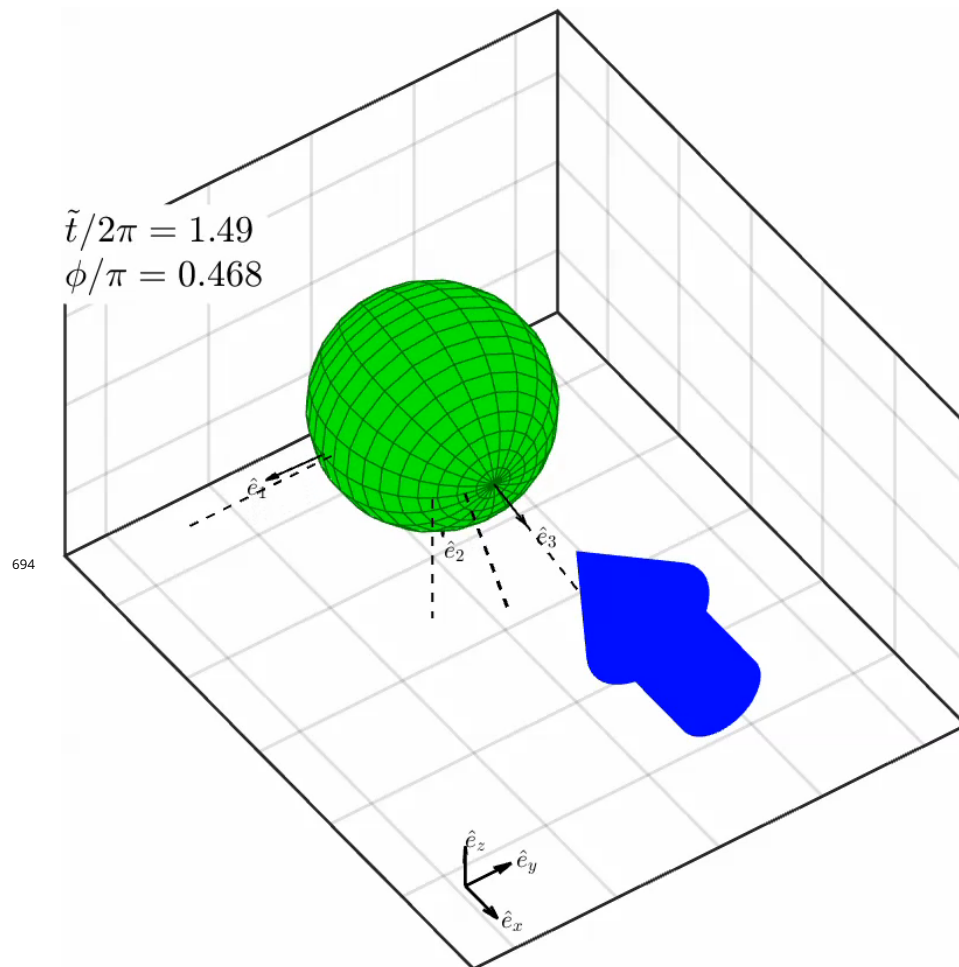
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Figure 5–Figure supplement 1. Animation of a solution of an iterated map. Video animation of the reorientation dynamics – to $\Phi_n = \pi/2$ – as shown in **Figure 5** for the cell with $\Phi_0 = 0$. The position of the vector $\hat{e}_3$ is marked with a dashed line for every half turn. Time is displayed in numbers of full turns ($\tilde{t}/2\pi$) and the interpolated Euler angle ϕ is shown in units of π radians.
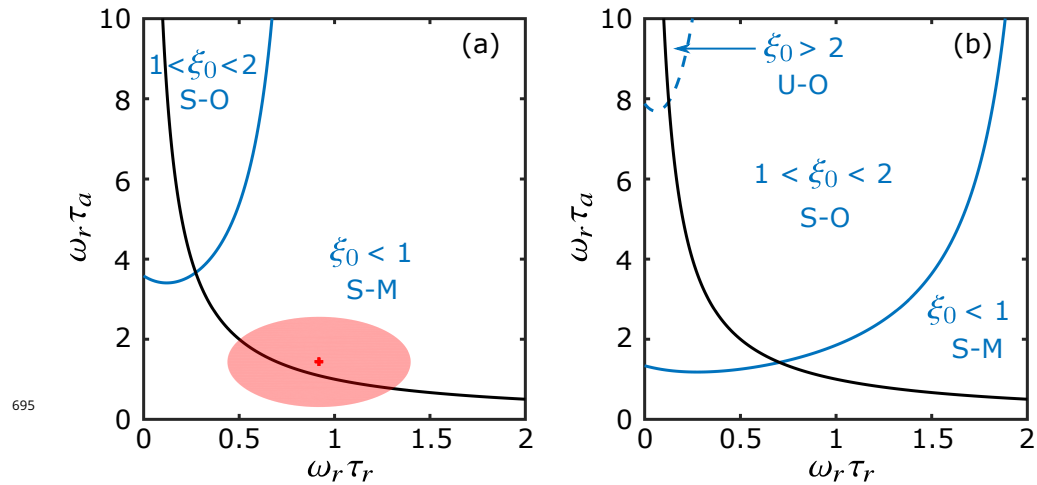
Figure 5–Figure supplement 2. Phase diagram of the dynamics as defined by the values ξ_0. The values of ξ_0, which are plotted as a function of $\omega_r\tau_r$ and $\omega_r\tau_a$, determine the behavior of the iterated map. Numerically-calculated boundaries where $\xi_0 = 1$ and $\xi_0 = 2$ are shown in solid and dashed blue lines respectively. The two phase diagrams presented were generated using two different values of σ/ζ: 9 s^{-1} (a) and 15 s^{-1} (b). Regions are labeled as S–M for stable monotonic, S–O for stable oscillatory and U–O for unstable oscillatory. The optimal (rescaled) τ_r, τ_a pairs – for immobilized cells – are shown as the black line $\omega_r\tau_a = 1/(\omega_r\tau_r)$. Red cross and orange ellipse in (a) summarize the mean and standard deviation of the experimental data on τ_r, τ_a pairs and f_r shown respectively in **Figure 6c** and *Figure 6–Figure Supplement 2a*.
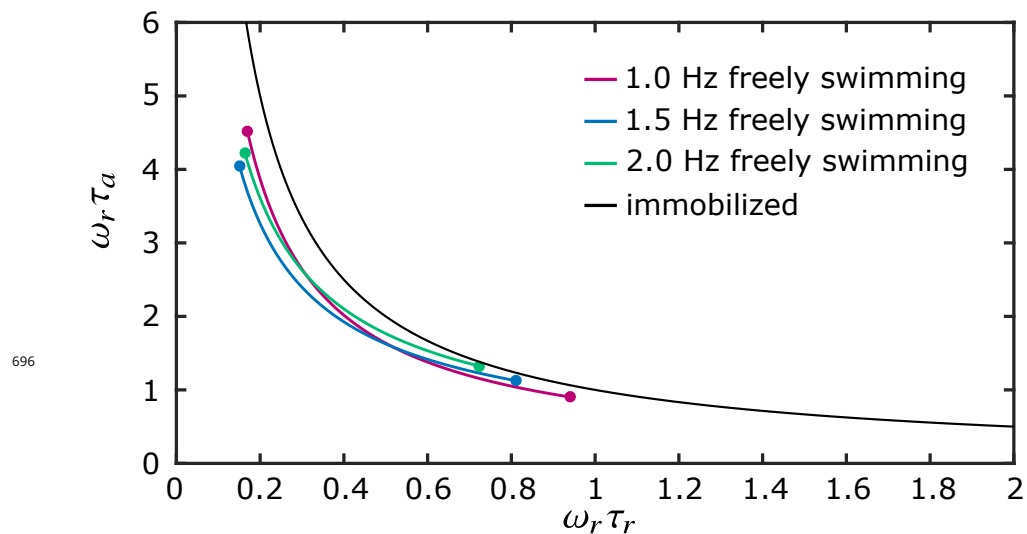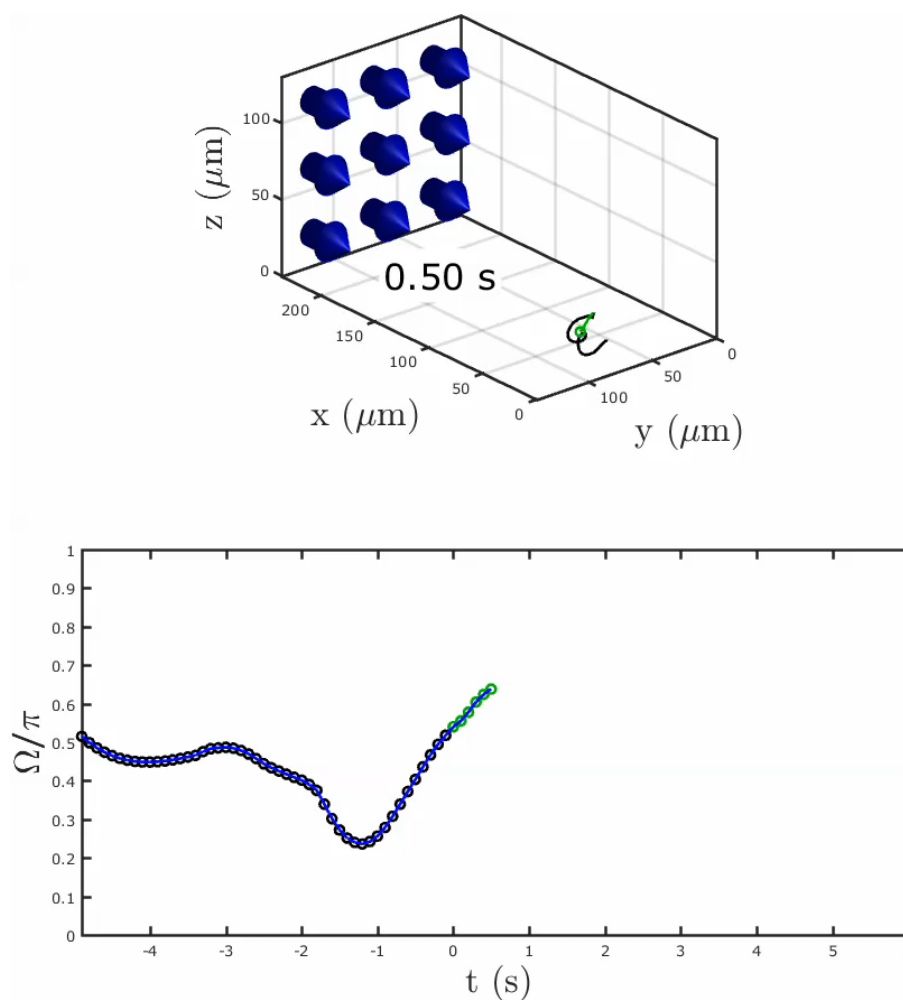

Figure 5–Figure supplement 3. Optimal τ_r, τ_a pairs extracted from the 3D reorientation model The optimal (rescaled) τ_r, τ_a pairs as extracted from the 3D reorientation model when $\langle \xi \rangle = (\xi_0 + \xi_1)/2$ is at a maximum for a given f_r and σ/ζ. The locus of these points is illustrated with three different fitted line segments which correspond to different values of f_r. The optimal rescaled τ_r, τ_a pairs – for immobilized cells – are shown as the black line $\omega_r\tau_a = 1/(\omega_r\tau_r)$.

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Figure 6–Figure supplement 1. Video of a phototactic swimmer with angle of reorientation. The U-turn of a phototactic swimmer shown in a video with the angle of reorientation plotted below in real time. The colors of the points on the trajectory of the cell before (black) and after (green) the light is on (t = 0) are reflected in the color of the markers on the plot below.
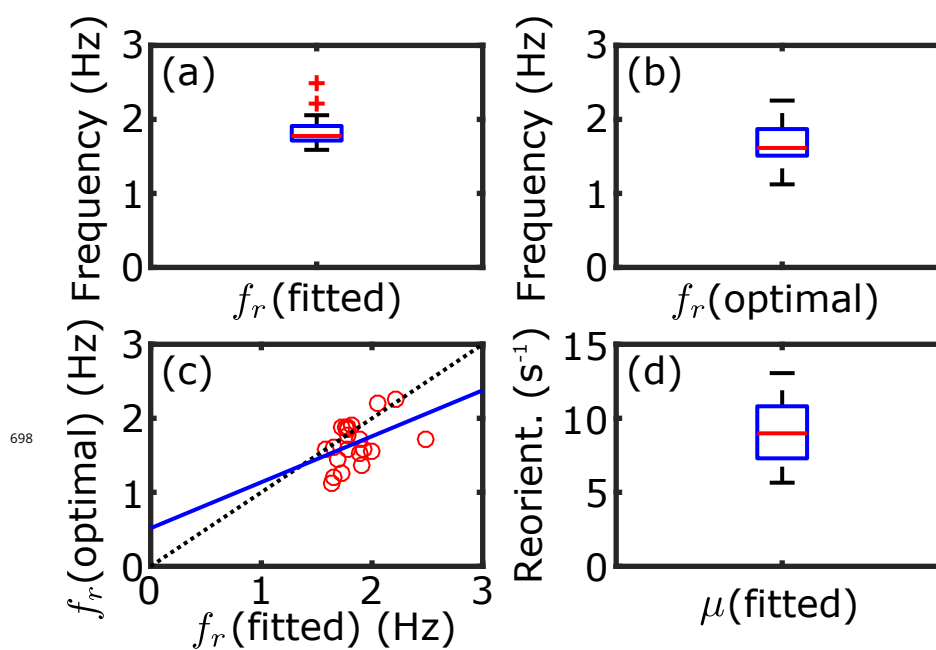
698

Figure 6–Figure supplement 2. Fitting parameter statistics. (a) Distribution of the fitted rotational frequency with median = 1.78 Hz (n = 21). (b) Distribution of the optimal rotational frequency, as defined by **Equation 3** and using the fitted τ_r and τ_a pairs as shown in **Figure 6c**, with median = 1.61 Hz (n = 21). (c) Linear correlation between fitted f_r (from (a)) and optimal f_r (from (b)), shown as a fitted straight line (blue) of the form $f_r^{\mathrm{opt}} = 0.62 f_r^{\mathrm{fit}} + 0.52$. (d) Distribution of the fitted reorientation constant μ with median = 8.98 s^{-1} (n = 21).