A robot-based gait training therapy for pediatric population with Cerebral Palsy: goal setting, proposal and preliminary clinical implementation

C. Bayón[1], T. Martín-Lorenzo[2,3], B. Moral-Saiz[2,4], O. Ramírez[1], A. Pérez-Somarriba[2],

S. Lerma-Lara[2,4], I. Martínez[2] and E. Rocon[1,5]

[1]Centro de Automática y Robótica, CAR-CSIC, Spain

[2]Hospital Infantil Universitario Niño Jesús, Spain

[3]Universidad Rey Juan Carlos, Spain

[4]Universidad de La Salle, Spain

[5]Universidade Federal do Espírito Santo, Brazil

Conflict of interest: The authors declare no competing financial interests.

Corresponding author: Eduardo Rocon, Centro de Automática y Robótica, Consejo

Superior de Investigaciones Científicas,

Ctra Campo Real km 0.2 - La Poveda-Arganda del Rey, 28500 Madrid, Spain

e-mail: e.rocon@csic.es

ABSTRACT

BACKGROUND: The use of robotic trainers has increased with the aim of improving gait function in patients with limitations. Nevertheless, there is an absence of studies that deeply describe detailed guidelines of how to correctly implement robot-based treatments for gait rehabilitation. This contribution proposes an accurate robot-based training program for gait rehabilitation of pediatric population with Cerebral Palsy (CP).

METHODS: The program is focused on the achievement of some specifications defined by the International Classification of Functioning, Disability and Health framework, Children and Youth version (ICF-CY). It is framed on 16 non-consecutive sessions where motor control, strength and power exercises of lower limbs are performed in parallel with a postural control strategy. A clinical evaluation with four pediatric patients with CP using the CPWalker robotic platform is presented.

RESULTS: The preliminary evaluation with patients with CP shows improvements in several aspects as strength ($74.03\pm40.20\%$), mean velocity ($21.46\pm33.79\%$), step length ($17.95\pm20.45\%$) or gait performance (e.g. $18.88\pm14.31\%$ in Gross Motor Function Measure-88 items, E and D dimensions).

CONCLUSIONS: The improvements achieved in the short term show the importance of working strength and power functions meanwhile over-ground training with postural control. This research could serve as preliminary support for future clinical implementations in any robotic device.

TRIAL REGISTRATION: The study was carried out with the number R-0032/12 from Local Ethical Committee of the Hospital Infantil Niño Jesús. Public trial registration: ISRCTN18254257. Registered 23 March 2017, retrospectively registered.

38   KEYWORDS: Robotic Training, Therapy, Cerebral Palsy, Exoskeleton, Rehabilitation,

39   Gait

40   WORD COUNT: Abstract: 234; Rest of article: 5226

Introduction

Gait limitation is one of the main impairments in children with Cerebral Palsy (CP) [1]. This mobility deficiency in CP is commonly the consequence of a damage of the child's Central Nervous System (CNS), and an optimal functional training is required in order to maximize the improvements [2], which will highly contribute to the enhancement of the independence and, therefore, the quality of life for both the young patient and his family [3].

The use of robotic trainers for neurorehabilitation applications has increased in the last decades, both in adulthood and childhood, and in several motor diseases [3–5]. Robot-based therapies have been developed and improved beyond reducing the clinician's effort. Currently, a new generation of robotic devices [6–8] provides means for encouraging the patients to an active participation in exercises, which are now more task specific. Both the implemented novel control strategies and the modularity of new exoskeletons and gait trainers offer promising possibilities to enhance the rehabilitation outcomes by adapting the treatment to the patient's needs [9,10]. Nevertheless, so far there is not enough evidence to ensure that classic robot-based rehabilitation provides better treatment outcomes by itself than conventional physical strategies in childhood [11]. New approaches are needed in order to improve the rehabilitation, making the robotic therapy a key feature of the change.

One of the main drawbacks for the everyday use of these technologies into the rehabilitation practice, apart from the price of these devices, is the absence of studies that describe a detailed robotic training program for gait rehabilitation. The wide variety of changes that could be applied to the parameters of robotic training therapies, makes unclear how to specify rehabilitation settings with the aim of providing a suitable

solution for a large population size. Additionally, most of current studies are only

focused on lower limbs strategies. However, the upper body (head and trunk movement)

also influences gait function through walking balance [12], so a proper program should

not ignore these features.

This manuscript presents a detailed robot-based therapy proposal for the rehabilitation

of gait function in children with CP, which is based on the achievement of some

specifications defined by the International Classification of Functioning, Disability and

Health framework, Children and Youth version (ICF-CY) [13]. It contributes with better

answers on how to implement robotic rehabilitation following defined guidance,

establishing the baseline settings and subsequently tailoring the therapy to each patient.

The proposed robotic rehabilitation therapy works around a key factor: the

implementation of strength and power exercises at the same time than over-ground

walking guidance, performing in parallel an active head-trunk control therapy. As a

result, the robot-based program recreates a situation as similar as possible to a real gait

scenario, and encourages the patients to control different movements associated with

gait: not only individual movements of lower limb joints but also the synergy between

them while maintaining a proper posture of upper body. We hypothesize that these

essential components, performed following an appropriate progression of the variables,

will boost the rehabilitation of our patients.

Eventually, the robot-based therapy proposed in this manuscript is evaluated in four

patients with CP in order to provide preliminary results of its application. Although the

proposed robot-based treatment could be implemented in any robotic device adapted for

that, in this study authors selected the CPWalker training platform [7] as one scenario to

test the effectiveness of the approach. This device is a novel robotic prototype with

partial body weight support (PBWS) for over-ground rehabilitation of children with CP.

It provides means for adapting the therapy to the user's necessities through different

levels of assistance in multi-joint over-ground training. Other gait trainers, such as

Lokomat [14] or LOPES [6], could have been also used to evaluate this training

method, since they are also able to tailor each therapy session to the patient's needs.

Materials and Methods

Rehabilitation device

The CPWalker rehabilitation platform [7] is a robotic device composed by an

exoskeleton linked to a walker that provides support and balance to the child during

over-ground training (Figure 1). The device is able to implement users' PBWS and

allows the adaptation of exercises to the patient's capabilities by means of individual

controllers for each joint, which increases the modularity of the system [7]. Each joint

of the CPWalker exoskeleton can operate in a wide range of modes (see Figure 1):

i)   *Position control mode*: in this mode, the robot imposes a prescribed gait

pattern to the user's lower limbs. The aim is that the patient learns the

walking motion sequence correctly.

ii) *Impedance control modes*: these modes take into account the patients'

collaboration. Thereby, the prescribed gait pattern should be achieved by the

sum of robotic assistance and patient's cooperation. Three different modes of

impedance may be executed in CPWalker (high, medium and low), which

tolerate variable deviations from the programmed gait trajectories, enhancing

the patients' participation and taking advantage of their residual movements

through assist as needed strategies (AAN).

iii) *Zero-force control mode*: in this mode, the trajectory reference is not given,

and the patient is who entirely moves the legs with a minimal resistance of

the exoskeleton. It is used with patients with enough motor control (acquired

with the previous modes) but poor balance, so the CPWalker provides

stability and PBWS while the patient implements the gait pattern.

Within each mode, some variables of the robotic platform are updated along the

treatment sessions: PBWS, gait velocity and the percentage of the total range of

motion (ROM) [9]. The possibility of varying these parameters enables the

customization of the therapy to the progression of each patient and gives a higher

versatility for the treatment design. Additionally, the robotic platform also includes

a biofeedback strategy to motivate the children to actively correct their posture

during walking [7,9]. The CPWalker robotic platform may be easily controlled by a

clinician through an intuitive interface, which controls and monitors the exercises in

real time.

The variety of control modes, the number of adjustable parameters, the ease of

setting the variables individually for each joint and the possibility of implementing

different strategies associated with gait simultaneously, made CPWalker an

appropriate platform for testing the robotic therapy proposed in this manuscript.

[Figure 1 around here]

131   Robotic training program

In order to define the objectives of the robot-based treatment, the authors adopted the

conceptual framework of ICF-CY [13]. The proposal was focused on improving the

principal gait-related functions derived from this international classification. Concretely,

the selected goals of the ICF-CY to be achieved with the treatment and the work

methodology implemented in the robotic device (in this case CPWalker), are presented

in Table 1.

Table 1. Goal settings of the ICF-CY and the robot-based solutions adopted with CPWalker platform

ICF-CY functional domain [13]	Implementation on CPWalker
Mobility and stability of joint functions (b710, b715)	The different control modes of the exoskeleton are used to guide the movement of a single or multiple joints, improving motor control. The exoskeleton also helps to maintain stability through the coordinated actions of surrounding tissues. This domain is exercised along the whole treatment with diverse robotic assistance.
Muscle power functions (b730)	The *second phase* of the training requires the patient to contract a muscle or muscle groups to generate the necessary force in order to start and maintain the movement with AAN strategies. The force must be maintained for a time in the extremes of the gait pattern (maximum flexion and extension) in order to reach the these maximum values in the complete range of motion.
Muscle endurance functions (b740)	Muscle endurance is exercised when the patient is requested to sustain a muscle contraction to finalize the required movement with AAN strategies, mainly in the extremes of the gait pattern (maximum flexion and extension).
Control of voluntary movement functions (b760)	The voluntary movement is implemented through the control and coordination of simple and complex movements to collaborate with AAN strategies. Lower impedance implies more patient's control.
Gait pattern functions (b770)	Motor control and gait pattern functions are trained through the different control modes to guide the lower limbs following prescribed gait patterns at several velocities and supports.
Maintaining a body position (d415)	A biofeedback strategy for postural control is used to notify the patients when they lose the correct position of the upper body. See section "Postural control".
Walking (d450)	Over-ground walking training is executed in all sessions with controlled PBWS, at different velocities and supports.
Proprioceptive functions (b260)	During random moments of the first training session, the patients perceive feeling using a mask on the eyes at the same time than the robot performs the movement for single or multiple joints with 100% of PBWS.
Formal relationships (d740)	Creating and maintaining patient-researcher relationship. See section "Motivation and inclusion of challenges".

To achieve the goals presented in Table 1, authors previously performed a systematic

selection of variables based on the requirements of the National Strength and

Conditioning Association (NSCA) youth training guidelines, which suggests that

eccentric and explosive strength exercises should be the beginning of a proper training

to ensure greater muscle power generation and the transference of gains to gait (Table

2).

Table 2. National Strength and Conditioning Association (NSCA) youth training guidelines [15]

ADAPTED YOUTH RESISTANCE TRAINING NSCA GUIDELINES		
Variables	**Strength**	**Power**
Muscle actions	Eccentric / Concentric	Eccentric / Concentric
Exercise	Single / Multi-joint	Single / Multi-joint
Intensity	↑ Load / ↓ Velocity	↓ Load / ↑ Velocity
Velocity	Moderate	Moderate / Fast

According to the proposed objectives (Table 1) and complying with the NSCA youth

training guidelines (Table 2), the treatment was conceptualized into two main *phases*,

where the ROM, PBWS and gait velocity were the principal parameters under variation.

The intention was that the patient maximized the gains acquired in the whole

rehabilitation period (ideally the sum of robot-based exercises and common non-robotic

therapy). A detailed description of each *phase* follows:

i)   *First phase*: the main aim of this *phase* was to improve motor control,

155   teaching the patients the correct sequence of motion and increasing strength.

156   The patients were requested to follow the movements established by the

157   exoskeleton with the minimal possible resistance during swing period,

158   pushing the ground at each step and trying to keep the maximum flexion-

159   extension values at the end of each gait cycle. Instructions were given to

160   ensure the comprehension of normative gait patterns, and verbal

161   encouragement in addition to direct feedback by graphics in real-time was

162   delivered throughout the sessions.

163   ii)   *Second phase*: the main aim of this *phase* was to further train motor control

164   and increase power in order to ensure the transference to the independent

165   gait pattern. Aware of the sequence of movement of a normal gait pattern,

the patient's contribution became an important aspect to develop

neuroplasticity and preserve the gained motor control [16]. The active

participation was achieved both by boosting the patient's motivation and by

requiring self-activity [17]. The latter was implemented through AAN

algorithms and the impedance control modes presented previously for

CPWalker [7].

Figure 2 represents the schematic view of the therapy proposal. The treatment was

composed of a total of 16 sessions (*first phase*: 8 sessions for strength training and

motor control learning; and *second phase*: 8 sessions to transfer the gains to gait

through power performance). Exercises were multi-joint and gait-oriented, demanding

concentric-eccentric actions based on the gait phase that was being performed. During

the whole treatment (*first* and *second phases*) the position of head and trunk during

walking exercises were monitored, especially because these patients usually walked

looking at the ground. For this purpose, the strategy for postural control of CPWalker

encouraged the patients giving an acoustic feedback to when their position was

inappropriate, so they could realize and rectified it by themselves. The program was

reinforced with modifications on AAN levels according to the patient's evolution based

on performance evaluations related to ROM, PBWS and gait velocity. Furthermore,

several challenges were included to enhance the patients' motivation.

185   [Figure 2 around here]

Duration of the study

The robot-aided treatment was proposed for a whole period of 2 monthly cycles (one

month for each *phase* of the treatment) with the aim of having enough sessions to

generate significant neural changes [18]. The children trained 2 non-consecutive days

per week for 8 weeks (16 sessions, see Figure 2). The sessions consisted of a 10-15

minutes warm-up and 60 minutes of over-ground exercise with CPWalker, including 3

minutes of independent gait as a cool-down phase.

As Figure 2 indicates, the first 8 sessions corresponded with general motor control and

strength exercises, where the robot imposed a gait trajectory tracking. Sessions 9 to 16

were related to muscle power performance through levels of AAN strategies, where

self-activity was required.

Training phases

In order to individually define the training progression through the different sessions

and to comply with the NSCA guidelines, the principal modifications were

implemented on ROM, PBWS and gait velocity. The selected parameters for both

phases are represented by Figure 3 and Figure 4 respectively. This selection was

concluded in collaboration with our clinical partners, based on the evaluation of

previous studies carried out with CPWalker [9,19]. The robot-based tasks began with

high assistance and PBWS, and they progressed toward greater ROM and smaller

PBWS as long as the patient overcame the different levels of the sessions. Parameter

variations within and between sessions were performed as long as session goals were

attained and when the clinical staff agreed, based on levels of spasticity, fatigue and

motor control presented in the last day. If the patient was not ready to jump to the next

challenge, the session was repeated with the same last percentages.

210   [Figure 3 around here]

Within the *first phase* (Figure 3), the first session was performed with the children

completely suspended (100% of PBWS) in order to adapt the users to the movements

with the robot. Moreover, during random moments of this first session, the patients

wore a mask on their eyes to feel the motion performance. For the rest of the *first phase*

children's lower limbs were guided through a pure position control imposed by the

CPWalker platform, with a gradual decrease in PBWS (Figure 3 blue), and a gradual

amplification of ROM (Figure 3 light-grey). Gait velocity during this *first phase* was

maintained around a regular and small value (Figure 3 dark-grey). Notice that in

general, only one variable at each session was varied.

The *second phase* of the training (sessions 9 to 16 in Figure 4) presented an additional

difficulty that enhanced the user's collaboration in the exercises performance through

different levels of AAN strategies in the exoskeleton. The initial ROM for the *second*

phase was set at 80% of the total gait pattern and reached 100% by session 13 (Figure 4

light-grey), time at which velocity was highly increased (Figure 4 dark-grey). Note that

gait velocity for this *phase* became around double of the one achieved in the *first phase*,

which is in relation to the requisites exposed in Table 2. Furthermore, PBWS declined

up to 30% of weight supported by the platform (Figure 4 blue).

228   [Figure 4 around here]

It is important to highlight in Figure 3 and Figure 4 that the percentage of PBWS was

related to the individual patient's total weight, and the percentage of ROM was applied

to the total trajectory of the gait pattern programmed in the control of CPWalker [7].

The estimated changes in gait velocity are represented regarding percentages of

CPWalker platform, where 100% corresponded to 0.6 m/s.

Tailored Assist as Needed strategies

With the aim of enhancing the patient's participation in the *second phase* and

consequently improving outcomes of the treatment, in sessions 9 to 16, position control

was substituted by six adapted levels of impedance control in the joints of the

exoskeleton (Table 3).

One of the main advantages of CPWalker robot was the possibility of selecting three

different modes of assistance individualized per joint beyond a pure position control

(high, medium and low impedances). In consonance with this, six situations (levels)

were adopted following the criteria of our clinical partners in order to define the scales

of difficulty in the assistance of knees and hips in the *second phase* (Table 3). Thereby,

the patients were considered fit to move to the next level when they achieved a

performance higher than 85% in the execution of each session, together with its

corresponding parameters (ROM, PBWS and gait velocity) represented by Figure 4.

This percentage of performance was calculated comparing the real motion executed by

the children and the desired gait pattern of each session.

Table 3. Levels of assistance in *first* and *second* training *phases*: Position (P); High Impedance (HI); Medium

Impedance (MI); Low Impedance (LI). The assistance on knee joint always went behind the assistance on hip,

due to the knee movement during gait is performed following inertial forces, assigning to the hip movement

higher importance. A higher level is implemented if the session performance (real motion versus desired

pattern) is bigger than 85%.

	First phase	Second phase					
	Level 0	Level 1	Level 2	Level 3	Level 4	Level 5	Level 6
Hips	P	HI	MI	MI	MI	LI	LI
Knees	P	P	P	HI	MI	MI	LI

Postural control

It was important to ensure that throughout all the sessions, patients maintained a proper

posture of head and trunk because it facilitates the performance of any activity of daily

living, and improves the social interaction, the participation and communication

[12,20]. In this sense, the aim of our proposal was to provide biofeedback to the patients

each time that they kept an incorrect position of the body during walking. With this

goal, the CPWalker robot used Inertial Measurement Units (IMUs) (Technaid, Spain) to

measure the rotation of head and trunk in real time, and give acoustic feedback when

subjects overcame predefined maximum values selected by clinicians. In response to the

acoustic feedback, patients were instructed to correct their position, time at which the

acoustic feedback ceased. This strategy had been previously proved with promising

results in children with spastic diplegia [9].

<u>Motivation and inclusion of challenges</u>

In the field of physical rehabilitation, especially in childhood disability, the F-words

(Function, Family, Fitness, Fun, Friends and Future) defined by Dr. Rosenbaum [21]

become really important. It is essential to maintain a high patients' motivation because

this concept could affect treatment outcomes [22]. To address this issue, we introduced

challenges with goals in each session of the robot-based therapy with the aim of having

a more engaged user. An example of that is a classification board where the children

could follow their progression along the different sessions, and they were rewarded

when the goals of each session were correctly fulfilled.

Moreover, with the same objective of enhancing motivation, the data collected with the

robotic platform was explained to the patients through graphics so they could feel part

of the team, and their interest in the treatment increased.

The patient's motivation was subjectively measured in each session through a scale from

0 to 10 points, with 0 being no motivation and 10 being maximum motivation.

Metrics

In order to objectively measure the patient's evolution and due to the lack of

homogeneity among children with CP, authors decided to evaluate the progression of

the therapy by comparing each patient to himself, instead of maintaining a control

group. We carried out some analyzes and evaluation metrics in different occasions of

the study (Table 4): *during* the use of the robot, before the treatment begins (*pre*), in the

middle and after the whole sessions (*post*).

Table 4. Evaluation metrics and moment of application

Metric	Utility	When			
		During	Pre	Middle	Post
10-meter walking test (10 mwt) [23]	Gait-speed measure		*	*	*
6-minutes walking test (6 mwt) [24]	Global responses involved during exercise. Walked distance and endurance		*	*	*
Physiological Cost Index [25]	Energy expended			*	*
3D gait analysis	Kinematics, spatial-temporal parameters, gait deviation index (GDI), gait profile score (GPS)...		*	*	*
Maximum isometric strength with hand-held dynamometer	Maximum voluntary contraction of primary lower limbs muscles		*	*	*
Selective Control Assessment of Lower Extremity (SCALE) [26]	Quantify selective voluntary motor control and its progression		*	*	*
Gross Motor Function Measure (GMFM-88) dimensions D (standing) and E (walking) [27]	Evaluate changes in gross motor function according to activities as walking, running or jumping		*		*
Kinesiophobia assessment	Psychological influence of fear and pain		*		*
Gillette Functional Assessment Questionnaire (FAQ) [28]	Independent activities, locomotor abilities and user's satisfaction		*		*
ROM performance	Measure of ROM in lower limbs during robot walking	*			
Selective force	Evolution of forces executed by the user during robot walking	*			

Patient's motivation	Estimation of user's motivation in 0 to 10 scale	*

The 10mwt was assessed for two situations: normal comfortable walking speed and maximum walking speed. Three trials were collected for each situation and subsequently, the average of the three trials was calculated.

Regarding the 6mwt, it was performed indoors along a flat corridor, where the walking course had a 30 m length and was marked every 3 m. The turnaround points were marked with cones. The patients received information about remaining time every minute, but they were not encouraged during the exercise [29]. The heart rate was also measured for each patient in two situations: resting and just after finishing the test. This parameter gives the possibility of calculating the Physiological Cost Index (PCI) after the exercise, which is used to quantify the energy expended by the patients during the exercise and their progression, [25].

3D gait analysis was recorded at 200 Hz using a motion capture system Smart-DX (BTS Bioengineering, Italy). In order to obtain gait kinetics, a set of reflective markers were placed over the skin on discrete anatomical sites according to the Helen Hayes Model [30]. Subjects walked barefoot at a self-selected speed.

Maximum isometric strength was measured in kgf with a hand-held dynamometer microFET2 (Hoggan Scientific LLC, USA). Three records were taken and averaged for each movement bilaterally (dorsiflexion, plantarflexion, knee flexion-extension, hip flexion-extension, abduction and adduction).

The particularity of the SCALE assessment [26] was that it was evaluated by the same physiotherapist bilaterally on three occasions (pre, middle and post), with the aim of reducing the subjective error.

The changes of GMFM-88 [27] were collected for the 88 items, but the comparison

analyzes were implemented only for dimensions D (standing) and E (walking).

The kinesiophobia assessment consisted of a test composed of 10 questions of 1 to 4

points each. The responses were given by the patients without parents influence.

Two FAQ questionnaires were requested: one as initial questionnaire at the beginning

and the other as follow up at the end of the treatment. These surveys presented several

questions for parents and others referred to children.

During the whole treatment, ROM performance and force interactions were measured

for each session in order to evaluate if the patient was prepared to jump to the next stage

with more difficult parameters and level of assistance.

Finally, the users' motivation was subjectively evaluated by the practitioner from 0 to 10

points for each session with the robot.

Patients

Four children diagnosed with spastic CP affecting muscle strength and motor control of

lower limbs (two male, two female, weight 44.75±6.29 kg, height 1.56±0.29 m and age

14.50±2.38 years-old) were selected to be participants for testing the robotic training

proposal (P1, P2, P3 and P4 in Table 5). The inclusion criteria for patients' recruitment

followed: i) children aged 11 to 18 years suffering from spastic diplegia; ii) Gross

Motor Function Classification System (GMFCS [1]) levels I to IV; iii) maximum

weight 75 kg; iv) anthropometric measures of lower limbs according to the exoskeleton

of CPWalker; v) capable of understanding the proposed exercises; and vi) able to signal

pain or discomfort. The exclusion criteria was: i) patients who experimented

concomitant treatments 3-months prior study (e.g. orthopedic surgery or botulinum

toxin); ii) children with muscle-skeletal deformities or unhealed skin lesions in the

lower limbs that could prevent the use of the exoskeleton; iii) patients with critical

alterations of motor control as dystonia, choreoathetosis or ataxia; iv) aggressive or self-

harming behaviors; and v) severe cognitive impairment. The study was carried out at

"Hospital Infantil Universitario Niño Jesús", (Spain). The Local Ethical Committee of

this hospital gave approval to the study (R-0032/12) and warranted its accordance with

the Declaration of Helsinki. All participants and families were informed, and parental

consents were obtained prior to participation.

Table 5. Patients' description. Two females (F) and two males (M) with spastic diplegia were selected. No
medication 3-months prior the study was taken by the patients. The type of walking support without the aid of
the robot is indicated for a distance of 50m and 500m: crutches-CT, wheelchair-WC, posterior walker-PW and
cane.

Patient	Age	GMFCS	Weight (kg)	Height (m)	Walking support	
					50m	500m
P1 (F)	12	III	40	1.56	CT	WC
P2 (F)	16	II	42	1.60	Cane	Cane
P3 (M)	17	III	54	1.53	PW	WC
P4 (M)	13	II	43	1.55	-	-

Results

Due to personal reasons unrelated to the study, three of four patients (P1, P2 and P4)

completed 15 of a possible 16 total sessions. Concretely, P1 lost the session number 8,

P2 lost the number 7 and P4 the number 11. The rest of training was completed

successfully.

The modifications of parameters (ROM, PBWS and gait velocity) proposed in Figure 3

and Figure 4, were fulfilled by all children without problems. The progressions of the

levels of assistance provided by Table 3, which were tailored for each patient between

sessions 9 to 16 (*power training* with AAN strategies), are represented in Figure 5,

where the maximum reached level was level 5 by P1 in the last two sessions.

[Figure 5 around here]

Gait speed, endurance and global responses

All patients improved the outcomes in D and E dimensions of the GMFM-88 scale [27] (Figure 6 a). Results, comparing pre and post studies, show an improvement in this scale of 36.60% for P1, 1.87% for P2, 20.81% for P3 and 16.23% for P4 (Figure 6 a).

The SCALE assessment also showed better results at the end of the robot-based treatment (Figure 6 b), [26]. In this case, although the value for the left leg in P1 was kept same as at the beginning (Figure 6 b, red bars for P1), the rest of measures were increased or maintained as maximum (SCALE equal to 10 points).

Finally, both the walked distance in the 6mwt and the walking speed in the 10mwt increased after the training period (Figure 6 c and d respectively). The two situations evaluated for the 10mwt are represented: a comfortable speed for each child (blue bars in Figure 6 d) and the same exercise at maximum speed (orange bars in Figure 6 d). More concretely, the percentage of progressions comparing post and pre-analysis in these metrics, were: P1 (6mwt: 26.92%; $10mwt_{comf}$: 94.69%; $10mwt_{max}$: 51.84%); P2 (6mwt: 14.86%; $10mwt_{comf}$: 21.85%; $10mwt_{max}$: 5.18%); P3 (6mwt: 75.68%; $10mwt_{comf}$: 52.21%; $10mwt_{max}$: 24.60%) and P4 (6mwt: 7.27%; $10mwt_{comf}$: 18.60%; $10mwt_{max}$: 0.81%).

The Physiological Cost Index was evaluated comparing middle and post assessments during the 6mwt. All patients reduced the PCI: P1 obtained 0.75 beats/m (middle) and 0.55 beats/m (post); P2 0.89 beats/m (middle) and 0.80 beats/m (post); P3 1.57 beats/m (middle) and 1.26 beats/m (post); and P4 0.33 beats/m (middle) and 0.03 beats/m (post).

[Figure 6 around here]

Strength progression

In order to quantify the patient's maximum strength performing on defined and

individual movements without the robot, three measures were taken for each required

motion. According to that, Figure 7 represents the average values (in kgf) of the

individualized movements recorded in pre, medium and post analyzes. In general,

results from Figure 7 show that the purple line (post assessment) covers the light-pink

line (pre measures) for all the patients. In some cases, it even covers the dark-pink line

(measured just after finishing the first 8 strength training sessions). This means that

higher values of strength were reached by the children after the robot-based treatment.

Concretely, the general improvements (including all the required movements) per

patient in maximum isometric strength measure, comparing pre and post averages,

were: P1: 129.77±58.71%; P2: 61.39±58.55%; P3: 70.54±83.68% and P4:

34.41±30.41%.

393   [Figure 7 around here]

Kinematics and spatiotemporal variability

The 3D kinematic analysis provided outcomes focused on gait improvements respect to

normality. The GPS and GDI (Figure 8) are accepted indexes that represent how close

the patient's gait is to the desired gait. Related to these metrics and comparing pre and

post analyzes, all the patients obtained better values for both sides (left and right) after

the robot-based treatment. Nevertheless, they were not clinically significant, except for

the right side of P1 (around 10 points in GDI). It is important to highlight that we

believe that the post results in P4 could be affected by personal circumstances non-

related to the study that occurred the day of the test.

403   [Figure 8 around here]

404   Table 6 shows the values of Figure 8 in detail and also includes some of the spatial-

405   temporal parameters recorded during the studies. The average improvement percentages

406   (four patients) in spatiotemporal parameters were: $21.46 \pm 33.79\%$ for mean velocity,

407    $2.84 \pm 13.96\%$ for cadence and $17.95 \pm 20.45\%$ for step length.

408   [Table 6 around here. Attached at the end of the document]

ROM performance

Although all the patients succeeded the changes in the parameters of ROM, velocity and

PBWS for the different sessions, the progression in AAN levels was individualized for

each subject (Figure 5). Thereby, P1 was the most advanced, achieving level 5 as the

maximum. An example of ROM performance difference between trajectory tracking

and AAN strategy is represented by Figure 9, which shows the data collected for P1 in

session 3 (Figure 9 a) and session 16 (Figure 9 b). The last one was recorded within

level 5 (low impedance at hips and medium impedance at knees).

417   [Figure 9 around here]

Qualitative variables

The motivation was subjectively evaluated by the practitioner who was with the

children during the whole period of the study. The averaged motivation values on a

scale from 0 to 10 points were: 9.4 for P1, 8.6 for P2, 9.44 for P3 and 8.87 for P4.

Moreover, three of four patients decreased the kinesiophobia score after the 16 sessions.

Parents and patients filled a FAQ questionnaire at the beginning and a follow-up at the

end of the treatment. Regarding parent questionnaires, results show that all of them

thought that the strength and mobility were better at the end of the study thanks to the

robot-based therapy. Meanwhile half of them also included the endurance as an

improved variable due to the robot. 100% of parents felt satisfied towards the results of

robotic therapy with CPWalker, and they ensured that they would like to do it again.

The patients' opinion was very similar. They were also satisfied and, in general, they

described the treatment as: "really fun", "the robot makes you feel light and

independent" and "safe".

Discussion and Conclusion
432

The main aim of this research was to provide a first approach to the implementation of a

novel and defined robotic rehabilitation method that could cover the most important

clinical aspects of the ICF-CY framework. This proposal was tested with four pediatric

patients with CP, which provided us some preliminary outcomes to assess it. Although

the patients' progression was evaluated without a control group, we do not consider it as

a relevant limitation of the study, since the wide variety of differences among each child

with CP makes interesting and even necessary to expose the improvements by

comparing each patient with himself.

According to the results, the greatest benefits due to the robot-based treatment

corresponded to P1 and P3, who were the most affected levels of GMFCS (III in both

cases). In general, the higher values of gait speed and improved values of global

responses achieved by all the children in several tests, may be in benefit of the patients'

social mobility. Visual inspection of the graphics show that changes appeared after a

small number of sessions (middle tests) and they were commonly increased or

maintained until the post studies.

The most challenging part was the *second phase* of the training, which allowed the

possibility of adapting the level of assistance depending on the patient's progression.

Thereby, any subject could achieve the last level (level 6), and although the action of

reaching level 4 took P3 longer than the rest of children, this patient could pass through

it in the last three sessions.

It is interesting to highlight that the outcomes from isometric strength measure showed

important peaks of improvement, especially for hip and knee flexion-extension, which

was targeted with the CPWalker robotic platform. These higher values were observed

from the middle to the post analysis. The results of the present study are difficult to

compare with the scientific literature due to the lack of studies using exoskeletons for

gait resistance training. However, we can establish that our results are in line with

previous studies assessing conventional resistance strength training in CP [31].

In relation to 3D-kinematic analysis, as we said before, P4 suffered a non-grata personal

situation the day of the post study. We believe this affected the results of this metric.

Nevertheless, the whole population improved the values for spatiotemporal parameters,

GDI and GPS, although some of these improvements were not clinically significant. It

is important to highlight that not only the kinematics was improved, also the

physiological expenditure in walking activities decreased, as illustrated by the reduction

of the PCI in all patients, which means that better gait performance implies lower

energy cost.

Finally, the motivation scale for the patients and the parents' satisfaction with the robot-

based treatment was very high in most aspects.

The greatest achievements of this proposal come from the possibility of exercising

different gait functions in an orderly way, individualized per joint and at the same time

than over-ground walking. The proposed protocol could be applied to any current

robotic device for gait rehabilitation making minimal changes on it: e.g. in treadmill

pediatric platforms as Lokomat [14], despite the impossibility of over-ground walking,

it already has different controllers, whose operation modes are close to the levels of

impedance of CPWalker, ensuring the progression of the therapy into the sessions.

Regarding working postural control in parallel with lower limbs training, which we

considered one of the key factors of the study, it may be solved through other solutions

if the selected robotic device does not have a similar strategy as described with

CPWalker, but it is crucial to guarantee its compliance to get the best results of the

treatment [12].

The principal limitation of this research is that we followed up only in short term, so

further research with a higher population size is needed to evaluate if the improvements

will be kept over time. Moreover, although other studies propose interventions on 3

non-consecutive days per week [31], the patients of the present study performed the

robotic exercises during 2 non-consecutive sessions per week. This enabled them to

continue their conventional therapies in parallel to the robot-based rehabilitation. The

conventional therapies had been attended on a regular basis for years, so authors

considered to not abolish them for ethical reasons. The conventional therapies of the

patients were performed 2 days a week and consisted of exercises on balance and

strength focusing on quadriceps and abs. Although the patients were doing conventional

and robotic therapy in parallel, authors consider that the improvements achieved in this

proposal are exclusively associated to the use of the CPWalker, since the patients got

non-robotic therapies for years with no significant improvements. In conclusion, the

method implemented with CPWalker is complementary to the common therapies,

providing new possibilities to the clinical practice through robotic rehabilitation and

also reaching better outcomes than conventional therapy alone.

We are currently working on new future lines in which we plan to include

electromyography during the use of the robotic trainer in order to assess more

objectively the patients' progressions. Electromyography data will serve to evaluate the

therapy outcomes in terms of reorganization of the neural structures, which will be

performed by analyzing the raw neural drive to muscles and the muscle synergies.

In a nutshell, this manuscript contributed with a defined robotic treatment that could be

implemented in most of the existing rehabilitation robotic devices for lower limbs, and

which we evaluated positively in four patients with CP using CPWalker.

Table 6. Spatial-temporal parameters, GPS and GDI values for pre, middle and post analyzes in all the patients. The results were calculated taking an average of 40 steps.

Patient	Analysis	Side	Stride time (s)	Mean velocity (m/s)	Cadence (steps/min)	Step length (m)	Gait Profile Score (GPS)	Gait Deviation Index (GDI)
	Normality		**0.93±0.04**	**1.20±0.20**	**129.60±8.40**	**0.58±0.06**	**<7**	**>100**
P1	Pre	Left	1.52±0.12	0.50±0.1	79.05±7.51	0.39±0.02	13.80±0.50	70.19±1
		Right	1.54±0.15			0.38±0.04	13.70±0.60	73.50±1.13
	Middle	Left	1.81±0.04	0.40±0	66.20±1.58	0.25±0.18	12.60±0.10	73.43±0.30
		Right	1.82±0.12			0.40±0.03	11±0.60	79.82±2
	Post	Left	1.27±0	0.80±0	96±1.30	0.46±0.04	12.60±0.10	75.62±0.16
		Right	1.23±0.03			0.56±0.02	10.50±0.40	82.87±1.42
P2	Pre	Left	1.42±0.04	0.50±0	86.70±5.19	0.26±0.01	20±0.60	68.81±1.23
		Right	1.36±0.11			0.39±0.01	14.90±0.30	73.08±0.78
	Middle	Left	1.43±0.08	0.40±0	86.80±1.86	0.22±0.02	19.60±0.40	66.93±0.84
		Right	1.34±0.04			0.39±0.01	15.10±0.50	72.83±1.41
	Post	Left	1.58±0.14	0.40±0.10	76±7.50	0.25±0.04	18.80±0.40	68.12±0.87
		Right	1.61±0.18			0.41±0	14.80±0.40	73.96±1.21
P3	Pre	Left	1.33±0.27	0.30±0	84.90±9.90	0.27±0.01	15.50±0.60	68.23±1.19
		Right	1.58±0.03			0.15±0.03	13.30±0.20	71.67±0.20
	Middle	Left	1.73±0.23	0.20±0.10	66.20±8.23	0.17±0.12	14.80±0.80	70.08±1.91
		Right	1.98±0.25			0.12±0.04	11.70±0.70	77.08±2.03
	Post	Left	1.43±0.08	0.40±0	86.80±4.45	0.39±0.01	14±0.20	70.11±0.16
		Right	1.35±0.08			0.14±0.10	12.40±0.70	74.46±1.88
P4	Pre	Left	1.02±0.06	0.80±0	121.20±7.12	0.41±0.03	14.50±0.30	71.43±0.57
		Right	0.97±0.06			0.37±0.02	9.90±0.30	78.39±0.63
	Middle	Left	1±0.05	0.90±0	121.35±4.48	0.44±0.01	14.20±0.60	75.94±1.70
		Right	0.99±0.03			0.44±0.01	7±0.50	90.23±1.42
	Post	Left	0.96±0.02	0.90±0	121.20±1.80	0.46±0.03	14.40±0.80	72.59±2.17
		Right	1.02±0.01			0.47±0	9.90±0.20	80.13±0.33

Declarations

List of abbreviations

CP: Cerebral Palsy; CNS: central nervous system; ICF-CY: International Classification

of Functioning, Disability and Health framework, Children and Youth version; PBWS:

partial body weight support; AAN: assist as needed; ROM: range of motion; NSCA:

National Strength and Conditioning Association; IMUs: inertial measurement units;

10mwt: 10 meters walking test; 6mwt: 6 minutes walking test; GDI: gait deviation

index; GPS: gait profile score; SCALE: Selective Control Assessment of Lower

Extremity; GMFM: Gross Motor Function Measure; FAQ: Functional Assessment

Questionnaire; PCI: Physiological cost index; GMFCS: Gross Motor Function

Classification System.

Ethics approval and consent to participate

The Local Ethical Committee of the "Hospital Infantil Universitario Niño Jesús" gave

approval to the study and warranted its accordance with the Declaration of Helsinki.

The study was carried out with the number R-0032/12 from Local Ethical Committee of

the Hospital, and was publicly registered with the number ISRCTN18254257 as clinical

trial. All patients and families were informed beforehand and provided consent through

parents to participate.

Consent for publication

Consent for publication has been given by parents or legal guardians of involved

patients.

Availability of data and materials

The data supporting the conclusions of this article are included within the article and its

additional files.

Competing interests

534 The authors declare that they have no competing interests.

Funding

Authors' contribution:

CB collaborated in the design of the training program, developed the control algorithm

of the robotic device, processed the clinical data and drafted the manuscript.

TM collaborated in the design of training program proposal and reviewed the

manuscript.

BM collaborated in the clinical processing data derived from the study and helped with

the recording of clinical metrics.

OR was involved in the development of the hardware of the robotic device.

APS participated in patients' recruitment and collaborated in the recording of clinical

metrics.

SLL participated in patients' recruitment and collaborated in the review and critique of

the manuscript.

IM aided in the patient's recruitment and reviewed and criticized the manuscript.

ER collaborated in all facets of the contribution.

ALL AUTHORS read and approved the final manuscript

<u>Acknowledgements</u>

Authors would like to thank Biomechanical Institute of Valencia and other colleges

from Spanish National Research Council and Niño Jesús Hospital for their collaboration

in other aspects and achievements carried out with CPWalker robotic platform. Authors

appreciate Dr. Gaebler's advice for the development of the robotic program proposal.

We would like to thank *Made for Movement* company for supporting us with *NF-*

Walker device.

We also acknowledge the effort and contribution from all testing children and their

families.

We acknowledge support of the publication fee by the CSIC Open Access Publication

Support Initiative through its Unit of Information Resources for Research (URICI).

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Figure titles and legends

Fig. 1. CPWalker robotic platform (*exoskeleton* to guide the patients' lower limbs and *walker* with PBWS to provide balance control during over-ground walking). The wide variety of operating modes comprehends from "robot in charge" to "patient in charge" states: i) position control mode; ii) high impedance control mode; iii) medium impedance control mode; iv) low impedance control mode; v) zero-force control mode.

Fig. 2. Robot-based training program overview. *First phase:* sessions S1 to S8 for strength exercises where motor control was primary trained. *Second phase:* sessions S9 to S16 for power training where the assistance was progressively decreased with the patient's progression. The improvements were assessed at three stages of analysis: before treatment begins, between both *phases* and at the end of the program (gray ellipses in the figure).

Fig. 3. *First phase*: strength training progression values along first 8 sessions of the robot-based therapy. Trajectory tracking motion was imposed by the robot. The light-gray line represents the movement amplitude (%ROM), the blue line the changes of %PBWS and the dark-gray line is referred to gait velocity percentage for each session.

Fig. 4. *Second phase*: power training progression values along sessions 9 to 16 of the robot-based therapy. Six different levels of assistance were selected on the exoskeleton (combining hips and knees). The light-gray line represents the movement amplitude (%ROM), the blue line the changes of %PBWS and the dark-gray line is referred to gait velocity percentage for each session.

Fig. 5. Levels of assistance (L1 to L6) depending on the patient (P1 to P4) and the AAN session (S9 to S16). The patients could jump to the next level if they achieved at least the 85% of the pattern desired for each session. The level for P4 in S11 is not represented because P4 lost this session.

Fig. 6. (a) Results of GMFM-88 (D and E dimensions), (b) SCALE, (c) 6mwt, and (d) 10mwt in pre, middle and post analysis for all the patients (P1 to P4). The SCALE was measured bilaterally (left and right). The 10mwt was performed in two situations: comfortable speed (Comf) and maximum speed (Max).

Fig. 7. Maximum strength measures recorded for all the patients in pre (the light-pink line), middle (the dark-pink line) and post analysis (the purple line). Both legs were evaluated, right (R) and left (L).

Fig. 8. (a) GPS and (b) GDI for pre, middle and post kinematic analyzes of patients P1 to P4. The results represent means ± standard error bilaterally (left in red bars and right in green bars). Normality in GPS considers values lower than 7 points (doted-black line in (a)), and normality in GDI comprehends values higher than 100 points (doted-black line in (b)).

691   **Fig. 9. ROM performance measured walking some steps with CPWalker in two situations: a) Session 3 for**

692   **patient 1 (*first phase* of the training with trajectory tracking) and b) Session 16 for patient 1 (*second phase* of**

693   **the training through AAN strategies with level 5 of assistance (LI at hips and MI at knees)).**

Iso skeleton

Walker

Control modes:

| Position Control | High Impedance Control | Medium Impedance Control | Low Impedance Control | Force Control |

Robot in charge ←———————————————————————→ Patient in charge

Second phase:
8 power-training sessions

- Motor control
- ↑ velocity
- ↑ levels of limb strategies
- Postural control
- Psychosocial factors

First phase:
8 strength-training sessions

- Motor control
- ↑ velocity
- Trajectory tracking
- ↑ PBWS
- Postural control
- Psychosocial factors

a) **Patient 1 - Session 3**
Trajectory Tracking

b) **Patient 1 - Session 16**
AAN level 5

Set Point — Real angle