1 Human mediated dispersal of cats in the Neolithic Central Europe

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27 Abstract:

28 Archaeological and genetic evidence suggest that all domestic cats derive from the Near Eastern wildcat (Felis silvestris lybica) and were domesticated twice, first in the Near East around 10 000 29 years ago and for the second time in Ancient Egypt ca. 3 500 years ago. The spread of the 30 31 domesticated form in Europe occurred much later, primarily mediated by Greek and Phoenician traders and afterwards by Romans who introduced cats to Western and Central Europe around 2 000 32 33 years ago. We investigated mtDNA of Holocene Felis remains and provide evidence of an unexpectedly early presence of cats bearing the Near Eastern wildcat mtDNA haplotypes in Central 34 Europe, being ahead of Roman Period by over 2 000 years. The appearance of the Near Eastern 35 wildcats in Central Europe coincide with the peak of Neolithic settlement density, moreover most of 36 those cats belonged to the same mtDNA lineages as those domesticated in the Near East. Thus, 37 38 although we cannot fully exclude that the Near Eastern wildcats appeared in Central Europe as a 39 result of introgression with European wildcat, our findings strongly support the hypothesis that the Near Eastern wildcats spread across Europe together with the first farmers, perhaps as commensal 40 animals. We also found that cats dated to the Neolithic period belonged to different mtDNA 41 42 lineages than those brought to Central Europe in Roman times, this supports the hypothesis that the gene pool of contemporary European domestic cats might have been established from two different 43 source populations that contributed in different periods. 44

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46 Introduction

Latest research on the wildcat *Felis silvestris* phylogeny resulted in distinction of five subspecies rank groups, corresponding to their geographical distribution (Driscoll *et al.*, 2007, 2009): European wildcat, Southern African wildcat, Central Asian wildcat, Near Eastern wildcat and Chinese desert cat. Today, the European range of *F. silvestris* includes two subspecies. The European wildcat (*F. s. silvestris*) represents the only native form in most of the region. This animal was formerly widely distributed in Europe, except Fennoscandia (Yamaguchi *et al.*, 2015), however has become extinct in many areas mainly due to hunting and habitat loses. The second subspecies, domestic cat (*F. s.*

catus), is of anthropogenic origin. The history of cat domestication was reconstructed with use of 54 55 preserved written sources, art objects and archaeozoological material and significantly supported during last years with genetic studies. According to genetic data, domestic cats do not descend from 56 European wildcat, although European wildcat and domestic cat may share territory and crossbreed 57 as interfertile taxa. The common ancestor to all modern domestic cats was the Near Eastern wildcat, 58 F. s. lybica (Driscoll et al., 2007), domesticated in the Near East during Neolithic (Vigne et al., 59 2004; Driscoll et al., 2009; Faure and Kitchener, 2009; Ottoni et al., 2017). The descendants of the 60 61 domesticated Near Eastern wildcats were later spread across the world along with civilization expansion. Recently, analysis of mtDNA from more than 200 Felis remains revealed that cats were 62 domesticated from at least two different local populations of the Near Eastern wildcats, for the first 63 time in the Near East and for the second in Ancient Egypt (Ottoni *et al.*, 2017). 64

According to the current knowledge, the domestic cat did not occur in Central Europe prior to Roman Period (Benecke, 1994; Clutton-Brock, 1999; Driscoll *et al.*, 2009; Faure and Kitchener, 2009; Krajcarz *et al.*, 2016), however the chronology of the Near Eastern wildcat introduction to different regions of Europe is still weakly understood. The archaeozoological and paleontological records are poor and direct chronometric data and ancient DNA analyses of fossil cats are still rare.

The preliminary study about the history of domestic cats in Poland (Krajcarz *et al.*, 2016) revealed no presence of domesticated forms in archaeological contexts before 1st century AD. Since that study was focused on archaeozoological material and did not include cat remains from non-human related sites, there was a risk of overlooking the natural or civilization related expansions of cats from the Near East. Here, we extended the prior survey to fossil Holocene cat's remains recovered from outside the archaeological contexts.

76 Materials and Methods

We analysed bone fragments of 36 individuals from 19 sites in Poland (**Supplementary Table S1**) that were provisionally classified as *Felis* sp. or *Felis silvestris*. This include six specimens excavated from archaeological contexts for which partial mtDNA ND5 sequence was already published (Krajcarz *et al.*, 2016). Sample handling and DNA extraction were performed in a

laboratory dedicated to ancient DNA analyses in the Laboratory of Paleogenetics and Conservation 81 82 Genetics, Centre of New Technologies at the University of Warsaw. Strict contamination precautions were undertaken during all steps of the experimental procedure. Prior to DNA 83 extraction, each sample was washed with bleach solution (6% w/v sodium hypochlorite), rinsed 84 with double distilled water, UV-irradiated (245 nm) for 20 minutes on each side and pulverized in 85 cryogenic mill (SPEX CentriPrep, Stanmore, UK). DNA extraction was performed using modified 86 silica column based method optimized to retrieve short DNA fragments (Dabney et al., 2013). 87 Samples were processed in batches of 16 with a negative control included in each batch. First we 88 screened all samples for DNA preservation by amplification of a short fragment of mitochondrial 89 ND5 gene. Thirty-three samples yielded DNA sequence that allow initial species assignation 90 91 (Supplementary Table 1). To obtain longer fragment of the mtDNA sequences we used a targeted 92 enrichment approach. For the hybridization experiment we choose 20 samples, 12 that yielded F. s. lybica/catus, and eight that yielded F.s. silvestris haplotypes during initial screening. Those samples 93 94 were either already radiocarbon dated or there was enough bone left to perform dating. DNA extracts were converted into double-indexed sequencing libraries following modified protocol of 95 Kircher and Meyer (2010). To minimize sample cross-talk during sequencing, beside double-96 indexing, we used adapters containing 7 bp long barcodes (Rohland et al., 2014). We targeted a 6 97 kb fragment of mtDNA genome spanning position from 11 487 to 925. Hybridization bait was 98 99 produced from the DNA of a contemporary domestic cat. DNA from swab was extracted with DNeasy Blood & Tissue Kit (Qiagen), and then the desired mtDNA fragment was amplified with 100 101 three primer pairs. PCR products were sonicated to the length of around 200 bp with Covaris S220 102 and converted into bait following the protocol of Maricić et al. (2010). Hybridization was carried on pools of up to five libraries. We performed two rounds of hybridisation for 21h each following the 103 protocol proposed by Horn (2012). Libraries were amplified for 19 cycles after the first and for 17 104 105 cycles after the second round. Enriched libraries were quantified with qPCR (Illumina Library Quantification kit, KAPA), pooled in equimolar ratios and sequenced with other libraries on 106 NextSeq or on MiSeq platform (Illumina) in the 2 x 75 bp or 2 x 150 modes, respectively. Libraries 107

produced from extraction negative controls were pooled, hybridized and sequenced as otherlibraries.

Sequencing reads were demultiplexed using Bcl2fastq, reads containing appropriate barcode were 110 filtered with Sabre script, and then AdapterRemoval v. 2 (Lindgreen, 2012) was used to collapse 111 112 overlapping reads. Reads were mapped to cat reference mtDNA sequence using Bwa (Li and Durbin, 2010), only reads with mapping quality over 30 and longer than 30 bp were retained. 113 114 Duplicates were removed; variants and consensus sequences were called using Samtools and Beftools (Li et al., 2009). We called only positions with minimum 2 x coverage. Each bam 115 alignment was inspected manually in Tablet (Milne et al., 2013). Endogenous ancient DNA 116 molecules typically exhibit excess of deaminated cytosine towards the ends of molecules; we used 117 MapDamage v.2 (Jónsson et al., 2013) to check whether this pattern was present in the analysed 118 119 samples.

120 **Phylogenetic analyses**

To reconstruct the phylogenetic position of the analysed cat remains we used large dataset of 121 sequences of contemporary wildcats and domestic cats published by Driscoll et al. (2007). The final 122 123 dataset consisted of 160 distinct haplotypes encompassing the 2 604 bp long fragment between positions 12 642 and 15 245 of cat's mtDNA. Phylogenies were reconstructed with Bayesian and 124 Maximum Likelihood methods using MrBayes 3.2.6 (Ronquist et al., 2012) and PhyML 3.1 125 126 (Guindon et al., 2010). Best partitioning scheme and substitution model for Bayesian analysis was found with PartitionFinder 2.1.1 (Lanfear *et al.*, 2016) (Supplementary Table 2 & 3). The analysis 127 consisted of two independent runs with four chains each, and was run for 10 000 000 generations 128 with parameters sampled every 1 000 generation. Stationarity and convergence were assessed in 129 Tracer v. 1.6 (ESS>200) (Rambaut and Drummond, 2007). We also confirmed the average standard 130 deviation of split frequencies to be below 0.01. In Maximum Likelihood analysis the HKY + G 131 substitution model was used as indicated by jModeltest 2 (Darriba et al., 2012). The best tree was 132 chosen out of those obtained with NNI and SPR tree rearrangement algorithms, approximate 133 likelihood-ratio test with Shimodaira-Hasegawa ([SH]-aLRT) procedure was applied to assess 134 branch support. 135

136 Radiocarbon dating

Radiocarbon dating of selected samples was performed in Poznan Radiocarbon Laboratory using
accelerator mass spectrometry method. Obtained ¹⁴C dates were calibrated in OxCal v. 4.2.4 (Bronk
Ramsey, 2009) using IntCal13 calibration curve (Reimer *et al.*, 2013).

140 **Results**

141 Out of 20 samples used in hybridization capture experiment 18 produced targeted mtDNA fragment with minimum of 70% sites covered at least two times and those samples were used in phylogenetic 142 reconstruction (Supplementary Table 4). In case of sample Lo1 the recovered fragment of mtDNA 143 was too short to be used in phylogenetic reconstruction but confirmed subspecies assignation. DNA 144 molecules of majority of the samples exhibit damage pattern typical for ancient DNA, only in case 145 of two youngest samples Bis and Ap1 the pattern was questionable (Supplementary Fig. 1). This is 146 however expected, as the amount of damage is the function of time after deposition. Careful 147 148 examination of bam alignments revealed no signs of contamination. There was also no reads 149 mapping to cat's mtDNA genome in extraction negative controls.

Reconstructed phylogeny correspond to this obtained earlier by (Driscoll et al., 2007) with clearly 150 separated lineages of European wildcats and Near Eastern wildcats/domestic cats with five 151 sublinages (A - E) distinguished within the latter (Fig. 1). Within sublineage A a branch recently 152 marked A1 by (Ottoni et al., 2017) was observed with moderate support values. Phylogenetic 153 154 analyses confirmed the initial subspecies assignation and 11 samples were classified as F. s. lybica/catus and seven as F. s. silvestris (Fig. 1). Out of 11 specimens with F. s. lybica/catus 155 mtDNA haplotypes, two specimens yielded modern, two Late Medieval, one Early Medieval and 156 157 one Roman ages according to radiocarbon dating, while the five other yielded surprisingly early ages of Middle to Late Neolithic, ranging between 5 300 and 4 200 years cal BP (Fig. 2; 158 Supplementary Table 5). The reliability of dating was confirmed by measurements of the C/N 159 ratio in collagen, which was in accepted range (2.9 - 3.6) (DeNiro, 1985). Only in case of one 160 Neolithic sample the collagen yield was too low to confirm quality of the dated material 161 (Supplementary Table 5). Those five samples come from three paleontological sites, Shelter in 162

163 Krucza Skala (Ks1), Perspektywiczna Cave (Pe1, Pe4, Pe5) and Shelter in Smoleń III (Sh4) and 164 were not associated with cultural remains. Sample Ks1 belonged to sublineage A, Sh4 to A1 while 165 samples Pe1, Pe4 and Pe5 to sublineage B. Samples Pe1, Pe4 and Pe5 yielded similar radiocarbon dates and mtDNA haplotypes, although bones comes from different, non-contiguous layers and 166 distant parts of the site, we cannot exclude possibility that they belong to the single individual. F. s. 167 168 lybica/catus specimens dated to the Roman period until modern times comes both from anthropogenic (Ka1, Sl1, Bis, Bo2) and paleontological (Ap1, Pe8) contexts (Supplementary 169 Table 1). Most of them belonged to mtDNA sublineage C (Ka1, Sl1, Bis, Ap1), while Bo2 170 belonged to sublineage D and Pe8 to A. 171

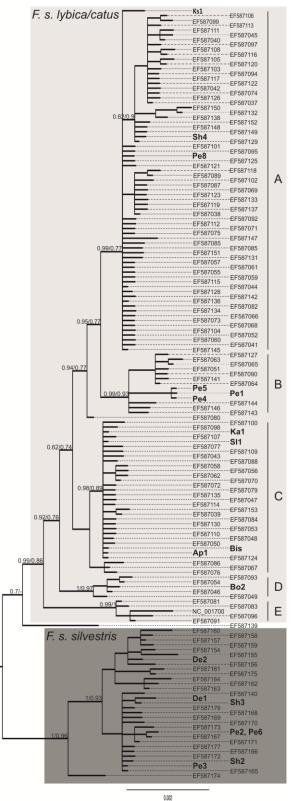
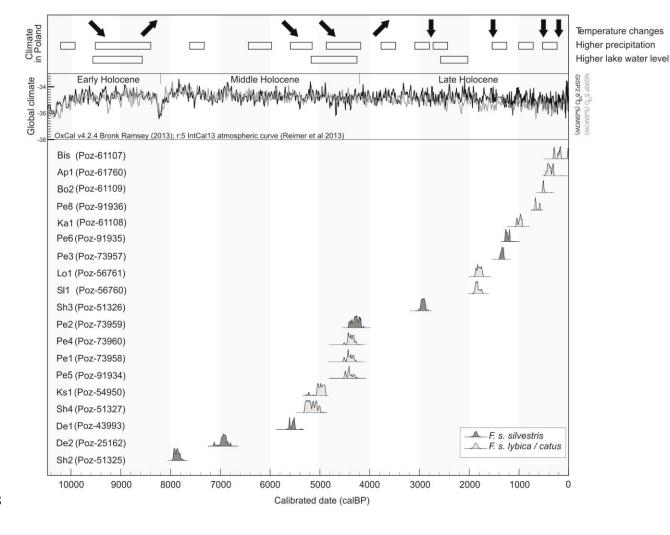






Figure 1. Phylogeny of Holocene and contemporary cats.

Bayesian phylogeny based on 160 mtDNA haplotypes of Holocene and contemporary cats. Haplotypes of studied
samples are bolded. Numbers at nodes indicate posterior probability and SH support values obtained with Bayesian and
Maximum Likelihood approaches, respectively. The tree was rooted with sequence of *Felis margarita* (not shown).



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Figure 2. Calibrated radiocarbon ages of the Holocene cat remains.

180Calibration and δ^{18} O curves are given according to (Bronk Ramsey, 2009). The climatic proxies, set in the same scale,181are given after (Starkel *et al.*, 2006, 2013).

182 Discussion

Available archaeological data suggest that domestic cats made their way to Greece and Rome with Phoenician traders not earlier than 3 400 and 2 500 years BP, respectively (Faure and Kitchener, 2009). Their subsequent spread throughout Europe was mediated by growing Roman Empire and took place around 2 000 years BP, thus the appearance of *F. s. lybica/catus* haplotypes in Poland already in Neolithic period was highly unexpected. Due to the lack of anthropogenic context, the studied *Felis* remains dated to Neolithic period, cannot be easily associated with humans, and other scenarios that led to the ancient occurrence of those haplotypes in Central Europe need to be

190 considered as well. Firstly, their presence could have resulted from natural expansion of *F. s. lybica* 191 from the Near East during the period of favourable climatic conditions. Secondly, it could have 192 resulted from ancient hybridization between European and the Near Eastern wildcats, and 193 subsequent spread of the introgressed individuals into Central Europe. Lastly, the Near Eastern 194 wildcat specimens might have followed humans as synanthropic commensals during the expansion 195 of Neolithic cultures.

The first scenario seems the least likely one. Near Eastern wildcats inhabit mostly hot and dry 196 climatic zones of Northern Africa and Arabian Peninsula with steppe environments including 197 savannas and shrub grasslands (Yamaguchi et al., 2015). Paleoclimate data suggest that the period 198 when the Near Eastern wildcat's haplotype appeared in Poland was characterized by relatively cool 199 200 and moist climate with high rate of precipitation and elevated water level (Starkel et al., 2006, 201 2013) (Fig. 2). This, together with co-occurrence of native European wildcat that mostly inhabits 202 forests, makes the natural expansion of F. s. lybica into territory of modern Poland implausible. The second scenario that assumes ancient hybridization of European and the Near Eastern wildcats is 203 more credible. Nowadays hybridization between European wildcats and feral domestic cats is 204 common (Randi et al., 2001; Oliveira et al., 2008; Hertwig et al., 2009; Mattucci et al., 2013). 205 Moreover, Driscoll et al., (2007) found 28 individuals with domestic cat mtDNA among 108 206 individuals with purely European wildcat nuclear DNA. Such mito-nuclear discordance was 207 208 interpreted as a result of hybridization event between wildcat subspecies that might have taken place shortly after the domestic cats were brought into the range of European wildcats. Recently, F. 209 s. lybica haplotypes were found also in pre-Neolithic Romania those individuals belonged 210 211 exclusively to mitochondrial lineage A1 (Ottoni *et al.*, 2017). This finding led authors to conclusion that since the beginning of Holocene the natural range of Near Eastern wildcats was wider and 212 included also Southeastern Europe, which became also a historical hybrid zone for European and 213 Near Eastern wildcats. In consequence, the mitochondrial haplotypes of the F. s. lybica/catus might 214 have spread in the F. s. silvestris populations in Europe. Similar mito-nuclear discordances were 215 observed in other mammalian taxa and interpreted as a result of hybridization after temporary 216 contact between their populations in the past (Alves et al., 2008; Toews and Brelsford, 2012). 217

218 Interestingly, the dating of the oldest remains with F. s. lybica/catus haplotypes coincides with the 219 appearance of the early farmers in Poland. The earliest Neolithic settlements of Linear Band Pottery 220 culture in Poland appeared around 7 500 years cal BP (Czekaj-Zastawny, 2017). The peak of Neolithic settlement density falls between 5 500 and 4 500 years cal BP in Kuyavia and between 221 5 000 and 4 000 in Lesser Poland (Timpson et al., 2014). This leads to the third scenario that 222 hypothesizes a spread of the Near Eastern wildcat throughout Europe as a commensal form that 223 followed human groups during the dispersal of Neolithic cultures. The similar way of spread 224 225 alongside early farmers was recently well documented for early-domesticated pigs (Larson et al., 2007; Ottoni et al., 2013). Processes of wildcat and wild boar domestication have followed the 226 similar, i.e. commensal, pathway (Larson and Fuller, 2014). In its early stages, during Early 227 228 Holocene, cats and boars had been attracted to human settlements by food wastes and pests and 229 without any deliberate humans activities (Driscoll et al., 2009). Pigs were, however, recognized as a valuable resource and domesticated much earlier than cats, which remained mostly commensal 230 231 species for next several thousands of years (Larson and Fuller, 2014). The expansion of wildcats to Europe as commensal animals together with early Neolithic groups might have resulted in the 232 observed pattern with Near Eastern wildcat remains found in paleontological contexts not related 233 with humans. Phylogenetic position of F. s. lybica/catus individuals from Neolithic Poland strongly 234 supports this scenario, although the presence of lineage A1 may have resulted from introgression of 235 236 European wildcats with natural population of Near Eastern wildcats in Southeast Europe. However, 237 the presence of lineages A and B cannot be easily explained this way. Lineage A was the main 238 lineage which was domesticated in the Near East and which is the most frequent lineage in recent 239 domestic cats. Individual belonging to this lineage was reported in Early Neolithic Bulgaria around 6 400 years BP, what was also interpreted as a result of human mediated dispersal (Ottoni et al., 240 2017). Lineage B, the second domesticated lineage was found so far only in Southeast Anatolia, 241 242 Jordan and Iran. Given that in the dataset by Ottoni et al. (2017) there is no a single instance of European wildcat in Anatolia, it's unlikely that presence of those lineages in Central Europe may 243 have resulted from introgression between Felis subspecies. This suggests rather a scenario where 244 the Near Eastern wildcats spread together with early farmers from Anatolia first to Southeast 245

Europe where they crossbreed with local population and acquired lineage A1 and then further northwest to Central Europe. There is also, however, a range of possible intermediate scenarios that cannot be ruled out, such as hybridization between European and Near Eastern wildcats after arrival of early farmers (i.e. haplogroups A and possibly B) to Southeast Europe.

Interesting is the apparent discontinuity between Neolithic and younger samples. Although based on a limited sample size, it suggests that the cats from Neolithic period steam from different source population than domestic cats brought to Central Europe by Romans and that the gene pool of contemporary European domestic cats might have been established from the two different source populations that contributed in the two different periods. This is in line with the findings by Ottoni *et al.* (2017) who showed that cats introduced to Europe during Classical times belonged mostly to lineage C domesticated in Egypt.

Investigation of mtDNA from Holocene Felis remains revealed F. s. lybica/catus haplotypes 257 258 present in Central Europe already in Neolithic period. The available data does not allow for certain discrimination between alternatives explaining their presence, however strongly supports dispersal 259 mediated by humans. This transforms current knowledge and poses new questions about the history 260 of domestic cats in Europe. As there is no evidence for domestic cats in archaeological record prior 261 to Roman Period, how and to what extent cats that spread in Europe during Neolithic participated in 262 the genepool of contemporary cats? Further investigation of Holocene and recent cats with a panel 263 of nuclear markers would enable tracing the ancestry of contemporary domestic cats. 264

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273 Conflict of interest:

274 Authors declare no conflict of interest

275 Data archiving:

- 276 Nucleotide sequences reported in this study were deposited in GenBank under accession no.
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278 Author contributions:

- 279 MB and MK conceived and coordinated the study; MK, MTK, AM, AN, DM provided samples and
- radiocarbon dating; DP, HP, and MB participated in laboratory work; MB and DP carried out the
- 281 phylogenetic analyses; MB, MK and MTK wrote the manuscript with significant input from all the
- authors. All authors gave final approval for publication.

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- 383 Supporting Information:
- **Supplementary Figure 1** Damage patterns and reads length distributions of all *Felis* samples as
- 385 generated by mapDamage 2 software.
- **Supplementary Table S1** Samples analysed in the study.
- **Supplementary Table S2** Data blocks used for PartitionFinder analysis.
- **Supplementary Table S3** Partitioning scheme and substitution models used in Bayesian analysis.
- 389 Supplementary Table S4 Details of sequencing and consensus calling results.
- **Supplementary Table S5** Details of radiocarbon dates used in this study.

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