1   **On the origin of the odorant receptor gene family in insects: evidence from the dragonfly**
2   *Ladona fulva* **and the mayfly** *Ephemera danica*
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Abstract

The odorant receptor (OR) gene family encodes the major olfactory receptors of insects. It
evolved from a lineage of the older gustatory receptor (GR) family, and in most insects consists
of a single gene encoding a conserved odorant receptor co-receptor (Orco) and several to
hundreds of specific ORs that mediate the specificity and sensitivity of most of insect olfaction.
Previous work has suggested that the family originated within the wingless insects or
Apterygota, between the Archaeognatha (a bristletail with no expressed ORs) and the Zygentoma
(a firebrat with at least three apparent Orco relatives - TdomOrco1-3). Examination of the OR
family in the dragonfly *Ladona fulva* and the mayfly *Ephemera danica*, along with the published
damselfly *Calopteryx splendens*, reveals that both of these paleopteran lineages have a single
Orco gene. The odonates only have a few specific ORs, while the mayfly has about 50 ORs.
Phylogenetic analysis reveals that the specific ORs in these two paleopteran lineages form two
major clades, and that TdomOrco3 belongs in one of these clades. TdomOrco1 might also be a
specific OR, leaving TdomOrco2 as the sole Orco ortholog in the firebrat. This finding implies
that the entire Orco/OR system evolved before zygentomans.

27
Introduction
The odorant receptor (OR) family in insects was first recognized in the fledgling *Drosophila*
melanogaster genome sequence (Clyne et al. 1999; Vosshall et al. 1999), and soon extended to
the full complement of 60 genes encoding 62 receptors with completion of the genome sequence
(Vosshall et al. 2000; Robertson et al. 2003). Enormous progress has been made since then in
understanding this ecologically important gene family in insects, including their likely structure,
gene family expansions and contractions in diverse insects, their diverse ligands, and their roles
in fly and other insect biology (Leal 2013; Benton 2015; Hopf et al. 2015; Joseph and Carlson
2015; Haverkamp et al. 2018). Scott et al. (2001) suggested that the OR family was related to the
gustatory receptor (GR) family (Clyne et al. 2000), an observation confirmed by Robertson et al.
(2003). On the basis of the pattern of molecular evolution in *D. melanogaster*, Robertson et al.
(2003) suggested that the OR family might have evolved from within the much older GR family
concomitant with the evolution of terrestriality in insects. The GR family extends back to basal
animals (Saina et al. 2015; Robertson 2015; Eyun et al. 2017), but the ORs are clearly much
younger because they have not been found in non-insect arthropods. Thus the genome sequences
of the crustaceans *Daphnia pulex* (Penalva-Arana et al. 2009) and *Eurytemora affinis* (Eyun et al.
2017), the centipede *Strigamia maritima* (Chipman et al. 2014; Almeida et al. 2015), and the
chelicerates *Metaseiulus occidentalis* (Hoy et al. 2016), *Ixodes scapularis* (Gulia-Nuss et al.
2016), and *Tetranychus urticae* (Ngoc et al. 2016) reveal only members of the GR family, and

the unrelated and similarly ancient Ionotropic Receptor (IR) family (Rytz et al. 2013; Eyun et al.
2017; Rimal and Lee 2018).
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Efforts to understand more precisely the origin of the OR family within hexapods were greatly
advanced by the findings of Missbach et al. (2014) who sequenced chemosensory organ
transcriptomes for two basal apterygotes, the bristletail *Lepismachilis y-signata* (Archaeognatha)
and the firebrat *Thermobia domestica* (Zygentoma). From the firebrat they obtained transcripts
encoding three proteins they named TdomOrco1-3 for their apparent relationship to the odorant
receptor co-receptor protein of neopteran insects, known as Orco (Vosshall and Hansson 2011).
Orco is present in all other studied insects to date as a single gene and the protein is a partner
with each of the other "specific" ORs (Benton et al. 2006). However, they could not find ORs or
Orco relatives in the bristletail, instead finding only members of the IR family. Given evidence
that IRs serve olfactory roles in terrestrial crustaceans (Groh-Lunow 2015), they argued that
basal terrestrial hexapods and insects used IRs for all of their olfaction, as all studied insects still
do for a subset of olfactory sensitivities (Rytz et al. 2013; Rimal and Lee 2017), with these three
Orco relatives evolving from a GR lineage between the Archaeognatha and Zygentoma.
Missbach et al. (2014) left off with the observation that "the existence of three Orco types
remains mysterious".
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Recently the genome of an odonate, the damselfly *Calopteryx splendens*, revealed that this
insect, belonging to an order previously thought to be anosmic but now known to be capable of
olfaction (Piersanti et al. 2014), encodes a single Orco protein and five specific ORs (Ioannidis et
al. 2017). Phylogenetic analysis of the OR family suggested that one of the three named Orco
proteins from *T. domestica*, TdomOrco3, might be a specific OR. If this is correct, then the entire
Orco/OR system evolved before the Zygentoma, which would explain the "mystery" of three
apparent Orco types. In an effort to illuminate this issue further I examined the OR families in
the genome sequences of another odonate, the dragonfly *Ladona fulva*, and a mayfly *Ephemera*
danica
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Materials and Methods
TBLASTN searches of the dragonfly *L. fulva* and mayfly *E. danica* genome sequences available
from the i5k pilot project at the Human Genome Sequencing Center at Baylor College of
Medicine and available at the i5k Workspace@NAL website (Poelchau et al. 2014) were
performed using the *C. splendens* ORs and the *T. domestica* Orcos as queries, along with diverse
ORs from other insects. These odonate and mayfly ORs are particularly divergent, so for
exhaustive searches, E values were raised to 1000 and word size reduced to 2, and the amino
acid sequences of the last two most-conserved exons were augmented with LQ before them, and
when appropriate VS after, to mimic consensus splice site sequences of flanking phase-0 introns.
Gene models were built in the Apollo browser available at the i5k Workspace@NAL, with
problematic models and pseudogenes worked up in a text editor. Pseudogenes were translated as
best possible, employing Z for stop codons and X for frameshifts, and only included if they
encoded at least half the amino acids of a typical OR, and the same criterion was used to exclude
short gene fragments. All protein sequences are available in the supplementary file, and
transcripts of intact gene models are available from the i5k Workspace@NAL. Proteins were
aligned in ClustalX v2.1 (Larkin et al. 2007) and the alignment was trimmed with TrimAl v1.4
(Capella-Gutierrez et al. 2009) using the "gappyout" option. Phylogenetic analysis was

conducted using maximum likelihood in PHyML v3.0 (Guindon et al. 2010), and the resultant
tree organized and colored in FigTree v1.4.2 (http://tree.bio.ed.ac.uk/software/figtree/).
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Results
The dragonfly *L. fulva* genome contains a single Orco gene, and three genes encoding specific
ORs (LfulOr1-3), the smallest known OR family repertoire for an insect with a genome sequence
and comparable to that of *C. splendens* (Ioannidis et al. 2017). All four genes have full-length
models, although in the absence of transcript support their confidence is solely based on
comparative evidence of gene structures and sequence similarity. The Orco protein shares some
features with the *C. splendens* protein compared with neopteran Orco proteins, specifically a
slightly longer N-terminus and a longer first intracellular loop. LfulOr1/2 have gene structures
similar to those of CsplOr1-5, specifically they have introns in phases 2-2-0-0-0, with the second
exon being the longest. The last four introns are in shared locations and phases as those of
CsplOr1-5, as well as the entire OR family as originally inferred from *D. melanogaster*
(Robertson et al. 2003). In contrast, LfulOr3 is rather divergent and has a gene structure with
introns in phases 0-0-1-2-0-0-0, the last four again being apparently ancestral. *C. splendens* does
not have a comparable OR gene, so appears to have lost this OR lineage.
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In contrast, the *E. danica* genome encodes an OR family ten times larger than these two
odonates, with a single Orco gene and at least 46 specific ORs. The gene models for these ORs
were sometimes difficult to build, because they are sometimes highly divergent, some exons are
missing in gaps in the assembly, and some genes, much like LfulOr3, have eight or even nine
short exons. Ten of these 46 models are apparent pseudogenes with obvious pseudogenizing
mutations like stop codons and/or frameshifting insertions or deletions. Another four models
required repair of the genome assembly, while eight remain as partial models with exons
missing. Approximately six gene fragments remain in the assembly that might represent intact
genes in the genome. Given the divergence and small size of most exons, it is also possible that
some highly divergent genes have evaded detection, although the phylogenetic analysis below
suggests that the major clades have been discovered. Most genes share the ancestral 2-0-0-0
intron locations. EdanOr1-34 have only those four introns, while EdanOr35-46 have three
additional introns splitting up the usually long first exon, in phases 1-2-1.
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Phylogenetic analysis of these OR families along with the three named Orco proteins from *T.*
domestica and Orco proteins from representative neopterans reveals that the specific ORs from
these two odonates and the mayfly form two major clades (Figure 1). LfulOr1 and 2 are related
to CsplOr1-5 along with EdanOr1-12. LfulOr3 is the sole odonate specific OR in the other major
clade with EdanOr13-46. As suggested in Ioannidis et al. (2017), TdomOrco3 belongs
confidently with these specific ORs, having strong support for clustering with them, and
specifically with the first clade. Missbach et al. (2014) noted that TdomOrco3 has several amino
acid changes from those conserved in Orcos. Given that this "division-of-labor" between a single
Orco and a set of specific ORs had already originated by the time of the firebrat, TdomOrco1
might also be a specific OR. Missbach et al. (2014) performed in situ hybridizations to antennal
sections with TdomOrco1, and found that it was expressed in only one or two olfactory sensory
neurons per antennal segment, a pattern consistent with it being a "specific" OR. This would
leave TdomOrco2 as the sole true Orco ortholog.
138

Discussion

The OR family plays a major role in the biology of insects so its evolutionary origins are of particular interest. While the observations of Missbach et al. (2014) appear to set the evolution of the family within the basal wingless insects, this conclusion is not ironclad. First, the findings here, confirming the proposal of Ioannidis et al. (2017) that at least one of the three named Orco proteins in the firebrat is a specific OR, leaving TdomOrco2 as the single true Orco ortholog, mean that this Orco/OR system had already evolved by the time of the firebrat, representing the Zygentoma. Second, the conclusion of Missbach et al. (2014) that the more ancient archaegnathan bristletail lineage does not have Orco or ORs, but instead relies entirely on IRs for olfaction, was based on a transcriptome. Until at least one and preferably several archaegnathan genomes are obtained it will remain an open question as to whether they indeed predate the origin of the OR family. Even then it remains possible that bristletails lost their Orco/OR genes, perhaps due to a shift in their chemical ecology that made them redundant.

There are several more basal hexapod lineages that might harbor the origins of the OR family if it indeed predates wingless insects, specifically the Collembola, Diplura, and Protura. Like a proposed bristletail genome project, projects on the genomes of representatives of each of these groups unfortunately had to be abandoned by the pilot i5k project (https://www.hgsc.bcm.edu/arthropods/i5k) because of their large size and complexity (S. Richards, personal communication). Nevertheless, genome sequences for three other collembolans, *Orchesella cincta*, *Folsomia candida*, and *Holacanthella duospinosa,* reveal GRs and IRs but do not contain Orco/OR genes (Faddeeva-Vakhrusheva et al. 2016, 2017; Wu et al. 2017), making it likely that the IR family, and perhaps some GRs, provided the first olfactory receptors in terrestrial hexapods, followed later by the evolution of the OR family. While this study confirms that the Orco/OR functional split predates the Zygentoma, it remains unclear precisely when this gene family of important olfactory receptors arose in insect evolution. The availability of third generation sequencing methods capable of generating long reads that allow improved assembly of difficult genomes such as other basal hexapod and insect lineages will hopefully soon further illuminate this question.

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Figure 1. Phylogenetic tree of the OR family in basal insects. The tree was rooted by
declaring the Orco proteins from neopterans, along with TdomOrco2, as the outgroup. Protein
names are colored by species, *T. domestica*, *C. splendens*, *L. fulva*, and *E. danica* in brown,
green, teal, and blue, respectively, as are the branches to them. Orco proteins from neopteran
insects are in black. The suffix P after a name indicates a pseudogene. Support levels at nodes
are indicated as filled circles representing approximate Likelihood Ratio Tests (aLRT) from
PHyML v3.0 ranging from 0-1. The scale bar is substitutions per site.