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8	Convergent evolution of gene expression in two high-toothed stickleback populations
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16	short title: Convergent evolution of gene expression
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# 25 Abstract

26 Changes in developmental gene regulatory networks enable evolved changes in morphology. 27 These changes can be in *cis* regulatory elements that act in an allele-specific manner, or 28 changes to the overall *trans* regulatory environment that interacts with *cis* regulatory 29 sequences. Here we address several questions about the evolution of gene expression 30 accompanying a convergently evolved constructive morphological trait, increases in tooth 31 number in two independently derived freshwater populations of threespine stickleback fish 32 (Gasterosteus aculeatus). Are convergently evolved cis and/or trans changes in gene 33 expression associated with convergently evolved morphological evolution? Do cis or trans 34 regulatory changes contribute more to the evolutionary gain of a morphological trait? Transcriptome data from dental tissue of ancestral low-toothed and two independently derived 35 36 high-toothed stickleback populations revealed significantly shared gene expression changes 37 that have convergently evolved in the two high-toothed populations. Comparing *cis* and *trans* 38 regulatory changes using phased gene expression data from F1 hybrids, we found that trans 39 regulatory changes were predominant and more likely to be shared among both high-toothed 40 populations. In contrast, while *cis* regulatory changes have evolved in both high-toothed 41 populations, overall these changes were distinct and not shared among high-toothed 42 populations. Together these data suggest that a convergently evolved trait can occur through 43 genetically distinct regulatory changes that converge on similar trans regulatory 44 environments.

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# 49 Author Summary

50	Convergent evolution, where a similar trait evolves in different lineages, provides an
51	opportunity to study the repeatability of evolution. Convergent morphological evolution has
52	been well studied at multiple evolutionary time scales ranging from ancient, to recent, such as
53	the gain in tooth number in freshwater stickleback fish. However, much less is known about
54	the accompanying evolved changes in gene regulation during convergent evolution. Here we
55	compared evolved changes in gene expression in dental tissue of ancestral low-toothed
56	marine fish to fish from two independently derived high-toothed freshwater populations. We
57	also partitioned gene expression changes into those affecting a gene's regulatory elements
58	(cis), and those affecting the overall regulatory environment (trans). Both freshwater
59	populations have evolved similar gene expression changes, including a gain of expression of
60	putative dental genes. These similar gene expression changes are due mainly to shared
61	changes to the trans regulatory environment, while the cis changes are largely population
62	specific. Thus, during convergent evolution, overall similar and perhaps predictable
63	transcriptome changes can evolve despite largely different underlying genetic bases.
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# 73 Introduction

74 Development is controlled by a complex series of interlocking gene regulatory networks.

75 Much of this regulation occurs at the level of transcription initiation, where trans acting factors 76 bind to *cis* regulatory elements to control their target gene's expression [1,2]. Evolved 77 changes in an organism's morphology are the result of changes in this developmental 78 regulatory landscape. It has been proposed that the genetic bases of many of these evolved 79 changes are mutations within the *cis*-regulatory elements of genes [3–5]. Indeed, recent work 80 in evolutionary genetics suggests the molecular bases of a diverse array of traits from 81 Drosophila wing spots [6] to mouse pigmentation [7] to stickleback armored plate number [8,9] and size [10] are changes in the activity of *cis*-regulatory elements. 82

83 Evolved changes in gene expression can be divided into two broad regulatory classes. 84 Cis regulatory changes occur within the proximal promoter [11], distal enhancer [12], or the 85 gene body itself [13]. Trans regulatory changes modify the overall regulatory environment 86 [14,15], but are genetically unlinked to the expression change. The total evolved gene 87 expression differences can be partitioned into changes in *cis* and *trans* by quantifying 88 expression differences between two populations and also testing for expression differences 89 between alleles in F1 hybrids between the two populations [16]. Several studies have 90 attempted to characterize evolved *cis* and *trans*-regulatory changes at a transcriptome-wide 91 level [17–21]. Though the relative contribution of *cis* and *trans* regulatory changes varies 92 extensively among studies, *cis* changes have been found to dominate [17,18,21] or at least 93 be approximately equivalent [19,20] to trans changes [22]. Additionally, compensatory 94 changes (*cis* and *trans* changes in opposing directions) have been found to be enriched over 95 neutral models [17,18], showing evidence for selection for stable gene expression levels. 96 However, none of these studies examined contribution of *cis* and *trans* gene expression

97 changes during convergent morphological evolution.

98 Populations evolve new traits following a shift to a novel environment, due to a mixture 99 of drift and selection. Truly adaptive traits can often be repeatedly observed in multiple 100 populations following a similar ecological shift. Threespine sticklebacks are an excellent 101 system for the study of evolved changes in phenotypes, including gene expression [23–27]. 102 Marine sticklebacks have repeatedly colonized freshwater lakes and streams along the coasts 103 of the Northern hemisphere [28]. Each of these freshwater populations has independently 104 adapted to its new environment; however, several morphological changes, including a loss in 105 armored plates and a gain in tooth number, are shared among multiple newly derived 106 populations [29,30]. The repeated evolution of lateral plate loss is due to repeated selection of 107 a standing variant regulatory allele of the Eda gene within marine populations [8,9] and 108 genome sequencing studies found over a hundred other shared standing variant alleles 109 present in geographically diverse freshwater populations [31]. These studies suggest the 110 genetic basis of freshwater adaptation might typically involve repeated reuse of the same 111 standing variants to evolve the same adaptive freshwater phenotype.

112 However, more recent evidence has shown that similar traits have also evolved 113 through different genetic means in freshwater stickleback populations. A recent study which 114 mapped the genetic basis of a gain in pharyngeal tooth number in two independently derived 115 freshwater populations showed a largely non-overlapping genetic architecture [30]. Another 116 study using three different independently derived benthic (adapted to the bottom of a lake) 117 populations showed that, even when adapting to geographically and ecologically similar 118 environments, the genetic architecture of evolved traits is a mix of shared and unique 119 changes [32]. Even in cases where the same gene is targeted by evolution in multiple 120 populations (the loss of *Pitx1* expression resulting in a reduction in pelvic spines), the

individual mutations are often independently derived [33,34]. All of these genomic scale studies have looked at the genetic control of morphological changes, while the extent and nature of genome-wide gene expression changes has been less studied. It remains an open question as to whether similar gene expression patterns evolve during the convergent evolution of morphology, and if so, to what extent those potential shared gene expression changes are due to shared *cis* or *trans* changes.

127 Teeth belong to a class of vertebrate epithelial appendages (including mammalian hair) 128 that develop from placodes, and have long served as a model system for studying 129 organogenesis and epithelial-mesenchymal interactions in vertebrates [35]. Odontogenesis is 130 initiated and controlled by complex interactions between epithelial and mesenchymal cell layers, and involves several deeply conserved signaling pathways [36-38]. Sticklebacks retain 131 132 the ancestral jawed vertebrate condition of polyphyodonty, or continuous tooth replacement, 133 and offer an emergent model system for studying tooth replacement. Previous work has 134 supported the hypothesis that two independently derived freshwater stickleback populations 135 have evolved an increase in tooth replacement rate, potentially mediated through differential 136 odontogenic stem cell dynamics [30] (Cleves et al 2018 under review, see Supplementary 137 Data File 2). Recent studies have found teeth and taste bud development to be linked, with 138 one study supporting a model where teeth and taste buds are copatterned from a shared oral 139 epithelial source [39], and another study supporting a model where teeth and taste buds 140 share a common progenitor stem cell pool [40].

We sought to examine the evolution of the regulatory landscape controlling stickleback tooth development and replacement. Using high-throughput RNA sequencing (RNA-seq), we found that two independently derived high-toothed freshwater populations display highly convergent gene expression changes, especially in orthologs of known tooth-expressed

145 genes in other vertebrates, likely reflecting the convergently evolved tooth gain phenotype 146 and the deep homology of teeth across all jawed vertebrates. We also quantitatively 147 partitioned these evolved gene expression changes into *cis* and *trans* regulatory changes 148 [16,19] in both populations at a transcriptome-wide level using RNA-seq on F1 marine-149 freshwater hybrids. We found that trans regulatory changes predominate evolved changes in 150 gene expression in dental tissue. Additionally, we found that the trans regulatory changes are 151 more likely to be shared between the freshwater populations than the *cis* regulatory changes. 152 Thus, similar downstream transcription networks controlling tooth development and 153 replacement have convergently evolved largely through different upstream genetic regulatory 154 changes. 155 Results 156 157 158 Convergent evolution of tooth gain in two freshwater populations 159 To further test whether multiple freshwater populations have evolved increases in tooth 160 number compared to multiple ancestral marine populations [30,41], we quantified total ventral 161 pharyngeal tooth number of lab reared sticklebacks from four distinct populations: (1) a 162 marine population from the Little Campbell river (LITC) in British Columbia, Canada, (2) a

second marine population from Rabbit Slough (RABS) in Alaska, (3) a benthic freshwater

population from Paxton Lake (PAXB) in British Columbia, Canada, USA, and (4) a second

165 freshwater population from Cerrito Creek (CERC) in California, USA (Fig 1A, 1B). Freshwater

166 fish from both populations had more pharyngeal teeth than marine fish at this 35-50mm

standard length (SL) stage, consistent with previous findings [30,41] of increases in tooth

number in freshwater sticklebacks (Fig 1B, 1C, Table S1).

169 To estimate the genomic relatedness of these populations, we resequenced the 170 genomes of three marine and six freshwater sticklebacks from the four different populations 171 (Table S2). We aligned the resulting reads to the stickleback reference genome [31] using 172 Bowtie2 [42], and called variants using the Genome Analysis Toolkit (GATK) [43-45]. As it 173 has been previously shown that Pacific marine stickleback populations are an outgroup to 174 freshwater populations from Canada and California [31], we hypothesized the two high-175 toothed populations would be more related to each other genomically than either marine 176 population. A maximum-likelihood phylogeny constructed using genome-wide variant data 177 cleanly separated freshwater populations from each other and from marine fish (Fig S1A). 178 Principal component analysis of the genome-wide variants revealed that the first principle 179 component explains nearly half (41.4%) of the overall variance, and separates benthic 180 sticklebacks from both creek and marine fish (Fig S1B). The second principal component 181 separated both freshwater populations from marine populations. These results further support 182 the model that populations of freshwater sticklebacks used a combination of shared and 183 independent genetic changes [31,32] when evolving a set of similar morphological changes in 184 response to a new environment.

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#### 186 **Convergent evolution of gene expression**

As morphological changes are often the result of changes in gene expression patterns and levels, we sought to identify evolved changes in gene expression during tooth development at stages soon after the evolved differences emerge [41]. We quantified gene expression in ventral pharyngeal dental tissue in the two high-toothed freshwater and an Alaskan lowtoothed marine population using RNA-seq (Fig 2A, Table S3-S4). Principal component (PC) analysis of the resulting gene expression matrix showed a clustering of gene expression by

population, with the first PC separating benthic samples, and the second PC separating both
benthic and creek samples from marine, similar to the PC analysis of the genome-wide
variants (Fig 2B) [46].

196 Given the convergently evolved morphological change of increases in tooth number, 197 we hypothesized that convergent evolution has occurred at the gene expression level in 198 freshwater dental tissue. To test this hypothesis, we compared the evolved change in gene 199 expression in benthic dental tissue (benthic vs marine) to the evolved change in creek dental 200 tissue (creek vs marine). At a genome-wide level, correlated changes in gene expression 201 levels have evolved in the two high-toothed freshwater populations (Fig 2C, Spearman's r =202 0.43). We next asked if orthologs of genes implicated in tooth development in other 203 vertebrates showed an increase in correlated evolved expression changes. We compared the 204 gene expression changes of stickleback orthologs of genes in the Bitelt (http://bite-205 it.helsinki.fi/) [47] or ToothCODE (http://compbio.med.harvard.edu/ToothCODE/) [36] 206 databases (hereafter referred to as the "BiteCode" gene set, Table S5), two databases of 207 genes implicated in mammalian tooth development. Consistent with the conserved roles of 208 gene regulatory networks regulating mammalian and fish teeth [48–51] and the major evolved increases in tooth number in both freshwater populations (Fig 1C), these predicted dental 209 210 genes showed an increase in their correlated evolved gene expression change (Fig 2C red 211 points, Spearman's r = 0.68), and tended to have an overall increase in gene expression (Fig. 212 S2, P = 7.36e-6, GSEA, see methods). We also examined the expression levels of genes 213 whose orthologs are annotated as being expressed in zebrafish pharyngeal teeth 214 (www.zfin.org). Within this gene set, 27 of 40 genes were significantly more highly expressed 215 in at least one freshwater population, with no genes expressed significantly higher (as 216 determined by cuffdiff2 [52-55], see Materials and Methods) in marine samples than either

217 freshwater population (Fig 2D).

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### 219 Increased freshwater expression of stem cell maintenance genes

220 Tooth development is controlled by several deeply conserved developmental signaling 221 pathways [49,51]. To test whether expression changes in the components of specific 222 developmental signaling pathways have evolved in the two high-toothed freshwater 223 populations, we next analyzed the expression levels of stickleback orthologs of genes 224 implicated in mammalian tooth development and annotated as components of different 225 signaling pathways [36]. When comparing gene expression levels in freshwater dental tissue 226 to marine dental tissue, genes annotated as part of the TGF-ß signaling pathway displayed 227 significantly increased expression in freshwater dental tissue (Fig S3A-F).

228 Since these two freshwater populations have a largely different developmental genetic 229 basis for their evolved tooth gain [30], we next asked whether any pathways were upregulated 230 or downregulated specifically in one freshwater population. When comparing the expression 231 of genes in benthic dental tissue to expression in creek or marine dental tissue, genes not 232 only in the TGF-ß pathway, but also in the WNT signaling pathway, displayed significantly 233 increased expression, consistent with the differing genetic basis of tooth gain in these 234 populations (Fig S3B). Genes upregulated in freshwater dental tissue were enriched for Gene 235 Ontology (GO) terms involved in anatomical structure development, signaling, and regulation 236 of cell proliferation (Fig S4A, Table S6). Genes upregulated in benthic dental tissue over 237 marine were enriched for GO terms involved in cell proliferation, division and cell cycle 238 regulation, as well as DNA replication (Fig S4B, Table S7), while genes upregulated in creek 239 over marine were enriched for GO terms involved in cell locomotion, movement, and 240 response to lipids (Fig S4C, Table S8).

241 As teeth are constantly being replaced in polyphyodont adult fish, potentially due to the 242 action of dental stem cells [40], we hypothesized that genes involved in stem cell 243 maintenance have evolved increased expression in freshwater tooth plates, given the higher 244 rate of newly forming teeth previously found in adults [30], and the possibly greater number of 245 stem cell niches in high-toothed fish (Cleves et al 2018 under review, see Supplemental Data 246 File 2). We further hypothesized that since teeth are developmentally homologous to hair, 247 perhaps an ancient genetic circuit regulating vertebrate placed replacement controls both 248 fish tooth and mammalian hair replacement. For example, the *Bmp6* gene, previously 249 described as expressed in all stickleback teeth [41] was significantly upregulated in creek fish, 250 consistent with the evolved major increases in tooth number in this population (Table S4). In 251 contrast, no such significant upregulation was observed in the expression of benthic *Bmp6* 252 (Table S4), consistent with the observed evolved *cis*-regulatory decrease in benthic *Bmp6* 253 expression [41]. Further supporting this hypothesis, the expression of the stickleback 254 orthologs of a previously published set of mouse hair follicle stem cell (HFSC) signature 255 genes [56] were significantly upregulated in freshwater dental tissue (Fig S3A). Creek dental 256 tissue displayed a small but significant increase in expression of this set of HFSC orthologs 257 relative to both benthic and marine samples (Fig S3C).

In cichlid fish, pharmacology experiments revealed that reductions in tooth density can be accompanied by concomitant increases or decreases in taste bud density [39]. To begin to test whether derived high-toothed stickleback populations have also evolved significantly altered levels of known taste bud marker gene expression, we examined the expression levels of known taste bud markers *Calbindin2* and *Phospholipase Beta 2* [57], as well as taste receptors such as *Taste 1 Receptor Member 1*, *Taste 1 Receptor Member 3*, and *Polycystin 2 Like 1* [58]. Although four of these five genes had detectable significant expression changes

between different populations, no consistent freshwater upregulation or downregulation of
taste bud marker genes was seen (Fig S5).

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### 268 *Cis* and trans regulatory changes in gene expression

269 Evolved changes in gene expression are due to a combination of *cis* acting changes that are 270 linked to the genes they act on, and *trans* acting changes which usually are genetically 271 unlinked to the gene or genes they regulate. Since the genetic basis of freshwater tooth gain 272 mapped to non-overlapping intervals in these two populations [30] (Cleves et al 2018 under 273 review, see Supplemental Data File 2), we hypothesized that the observed shared freshwater 274 gene expression changes were the result of a similar *trans* environment, but a largely different 275 set of *cis* changes. To test this hypothesis, we measured evolved *cis* expression changes in 276 marine-freshwater F1 hybrids, which have marine and freshwater alleles present in the same 277 trans environment. We raised both creek-marine and benthic-marine F1 hybrids to the late 278 juvenile stage, dissected their ventral pharyngeal tooth plates, then generated and sequenced 279 five barcoded RNA-seq libraries per population (10 total). We then quantified the cis 280 expression change as the ratio of the number of reads mapping uniquely to the freshwater 281 allele of a gene to the number of uniquely mapping marine reads (Fig 3A, Table S9-11). Trans 282 expression changes were calculated by factoring the *cis* change out from the overall parental 283 expression change [19].

We found 11,832 and 8,990 genes in benthic and creek F1 hybrids, respectively, that had a fixed marine-freshwater sequence difference which had more than 20 total reads mapping to it. We observed no significant bias towards either the marine or freshwater allele in either set of F1 hybrids (Fig 3B). We next classified genes into one of four categories (*cis* change only, *trans* change only, concordant *cis* and *trans* changes, discordant *cis* and *trans* 

289 changes). We found 1640 and 1116 benthic (Fig. 3C) and creek (Fig. 3D) genes, respectively, 290 with only significant *cis* changes, and 1873 and 1048 genes, respectively, with only significant 291 trans changes. We also found 478 and 359 genes with significant *cis* and *trans* changes in 292 the same direction, which we term concordant changes in gene expression. Conversely, we 293 found 772 and 607 genes with significant *cis* and *trans* changes in opposing directions, which 294 we termed discordant changes. Thus, overall, trans regulatory changes are more common 295 than *cis* changes in the evolution of dental tissue gene expression in both freshwater 296 populations. Additionally, discordant *cis* and *trans* changes were more common in both 297 populations, suggesting selection for stable levels of gene expression.

298

#### 299 *Trans* regulatory changes dominate

300 We next wanted to determine the relative contribution of *cis* and *trans* gene expression 301 changes to evolved changes in gene expression. We restricted our analysis to differentially 302 expressed genes (as determined by cuffdiff2 [52]) to examine only genes with a significant 303 evolved difference in gene expression and quantifiable (i.e. genes with transcripts containing 304 a polymorphic variant covered by at least 20 reads) *cis* and *trans* expression changes. When 305 evolving a change in gene expression, the *cis* and *trans* regulatory basis for this change can 306 be concordant (cis and trans effects both increase or decrease expression) or discordant (cis 307 effects increase and *trans* decrease or vice versa). We hypothesized that genes would tend to 308 display more discordant expression changes, as stabilizing selection has been found to buffer 309 gene expression levels [17,22,59]. To test this hypothesis, we binned genes into a 2x2 310 contingency table, with genes classified as *cis* or *trans* based on which effect controlled the 311 majority of the evolved expression change, and discordant or concordant based on the 312 direction of the cis and trans changes (Fig 4A, B). In the creek population, significantly more

313 discordant changes than expected by a neutral mode (P = 1.35e-7, binomial test) have 314 evolved. In both populations, we found increased discordant changes when the trans effect is 315 larger than the *cis* effect (P = 1.29e-7, 1.44e-13, benthic and creek respectively, binomial test). 316 In both populations, we observe the opposite (an enrichment of concordant changes) when 317 the *cis* effect is stronger, relative to the ratio when the *trans* effect is dominant (P = 1.34e-36, 318 8.2e-11 benthic and creek respectively, binomial test). When considering all (not just 319 differentially expressed) genes with quantifiable *cis* and *trans* expression changes, discordant 320 changes dominated regardless of the relative strength of the *cis* effect (Fig S6). 321 If all gene expression changes were due to changes only in *cis*, we would expect to 322 see the measured *cis* ratios in the hybrids match the parental expression ratios. Instead, in 323 both cases of evolved change, we saw parental expression ratios of a greater magnitude than 324 F1 hybrid ratios, indicating a stronger contribution of *trans* changes to overall gene expression 325 changes (Fig 3C-D). Indeed, when we examined the overall percentage of expression 326 changes of differentially expressed genes that were due to changes in *cis*, we observed 327 median per gene values of only 25.2% and 32.5% of benthic and creek gene expression 328 changes, respectively (Fig 4C). Comparing the expression levels of orthologs of known 329 dentally expressed genes from the Bitelt [47] and ToothCODE [36] databases revealed a 330 similarly small number of gene expression changes explained by changes in *cis*, relative to 331 the genome-wide average (Fig 4D). Evolved changes in creek gene expression were more 332 due to changes in *cis* than benthic genes (Fig 4D, *P* = 1.25e-22, Mann-Whitney U test). Thus, 333 trans effects on gene expression dominate the evolved freshwater gene expression changes. 334

*Trans* regulatory changes are more likely to be shared between freshwater populations
We next wanted to test the hypothesis that the shared freshwater gene expression changes

337 were primarily due to shared *trans* changes, rather than shared *cis* changes. We first 338 compared the overall expression levels of genes called differentially expressed between 339 benthic and marine as well as creek and marine. Similar to the genome-wide comparison, we 340 found a highly significant non-parametric correlation coefficient (Spearman's r = 0.62, P 341 =1.2e-132) for the expression change of these shared differentially expressed genes (Fig 5A). 342 When comparing the benthic *cis* changes to the creek *cis* changes, however, we found a 343 much lower (though still significant) correlation coefficient (Spearman's r = 0.13, P = 5.1e-6) 344 (Fig 5B). When comparing the calculated *trans* changes for these shared differentially 345 expressed genes, we observed much higher correlation coefficient (Spearman's r = 0.51, P 346 =1.2e-80) (Fig 5C). When comparing all, not just differentially expressed, genes, trans 347 changes are still likely to be more shared than *cis* (Fig S7). Additionally, 35/38 of the shared 348 differentially expressed putative dental genes have shared regulatory increases or decreases 349 in both freshwater populations relative to marine in overall expression difference, with 32/38 in 350 trans, but only 25/38 in cis (Fig 5D-I). Thus, the trans effects on evolved gene expression are 351 more likely to be shared by both freshwater populations than the *cis* changes.

352

### 353 **Discussion**

We sought to test the relative contribution of *cis* and *trans* gene regulatory changes during convergent evolution of tooth gain, as well as to ask whether the same or different regulatory changes underlie evolved changes in gene expression during this case of convergent evolution. We quantified the overall regulatory divergence, as well as the specific contribution of *cis* and *trans* changes, between ancestral low-toothed marine and two different independently derived populations of high-toothed freshwater sticklebacks. Similar overall changes in gene expression have evolved in both freshwater populations, especially in

orthologs of known dental regulators in mammals. In this system, *trans*-regulatory changes
play a larger role than *cis* changes in both populations. Furthermore, *trans* acting changes
were much more likely to be shared between freshwater populations than *cis* changes,
suggesting the two high-toothed populations evolved their similar gene expression patterns
through independent genetic changes.

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### 367 **Convergent evolution of dental gene expression**

368 Convergent evolution at the gene expression level occurs when similar gene expression 369 levels evolve in different populations. Both the creek and benthic stickleback populations have 370 adapted from an ancestral marine form to their current freshwater environments. The genomic 371 nature of their derived changes appears largely divergent, with major axis of variation 372 separating benthic genomes from the geographically proximal marine populations (LITC), as 373 well as the more distant marine (RABS) and creek populations. However, when looking at the 374 gene expression basis of their convergently evolved gain in tooth number, orthologs of genes 375 implicated in mammalian dental development showed strong correlated freshwater gains in 376 expression. This correlation suggests both that sticklebacks deploy conserved genetic circuits 377 regulating tooth formation during tooth replacement, but also that both populations have 378 convergently evolved changes to similar downstream transcriptional circuits resulting in a gain 379 of tooth number.

Though both freshwater populations showed strongly correlated changes in evolved gene expression at the *trans* regulatory level, the *cis* changes were largely not shared across populations. This was especially true for putative dentally expressed genes with evolved expression changes – the vast majority of the *trans* but not *cis* expression changes were shared between both freshwater populations. This suggests that the similar freshwater gene

385 expression patterns evolved through independent genetic changes. It is possible that the 386 small number of shared *cis* changes are sufficient to drive the observed changes to the 387 overall trans regulatory environments. However previous work has shown that the genetic 388 basis of tooth gain in these two populations is distinct [30] (Cleves et al 2018 under review, 389 see Supplemental Data File 2), and it seems parsimonious that the genetic basis of a gain in 390 dental gene expression is also mostly independent. Thus, convergent freshwater gene 391 expression changes appear to be largely due to distinct, independent population-specific 392 regulatory changes. This finding suggests that there are many regulatory alleles that are 393 accessible during the evolution of an adaptive trait.

394

### 395 *Trans* effects dominate

396 Other studies have used RNA-seq to compare the relative contribution of *cis* and *trans*-397 regulatory changes in the evolution of gene expression. In mice, evolved gene expression 398 changes in the liver [18] and the retina [60] were driven primarily by *cis*-regulatory changes. In 399 Drosophila, work on organismal-wide evolved gene expression changes on the genome-wide 400 level has shown the opposite, with *trans*-regulatory effects playing a larger role in the 401 evolution of gene expression [19,22]. Other studies have found *trans* effects contribute more 402 to intraspecific comparisons, while *cis* effects contribute more to interspecific comparisons 403 [61]. Consistent with this, we observe *trans* effects dominating in both of our intraspecific 404 comparisons.

Another key distinction could be that *cis*-regulatory effects dominate when looking at more cellularly homogenous tissues, while *trans*-regulatory effects dominate when looking at more heterogeneous tissues. Stickleback tooth plates likely fall into an intermediate category, less heterogenous in cell type composition than a full adult fly or fly head, but more

409 heterogeneous than a specialized tissue such as the mouse retina. Overall, freshwater tooth 410 plates are more morphologically similar to each other than marine, with freshwater tooth 411 plates possessing a larger area, increased tooth number, and decreased intertooth spacing 412 [30,41]. Freshwater tooth plates likely have more similar cell type abundances and 413 compositions (e.g. more developing tooth germs with inner and outer dental epithelia, and 414 odontogenic mesenchyme) compared to each other than to marine tooth plates. Similar cell 415 types tend to have similar gene expression patterns, even when compared across different 416 species [62]. Much of the shared freshwater increase in dental gene expression could be due 417 to an increase in dental cell types in both freshwater populations. As other evolved changes 418 to stickleback morphology have been shown to be due to *cis* regulatory changes to key 419 developmental regulatory genes [8,33,41,63], this *trans* regulatory increase in cell type 420 abundance could be due to a small number of *cis* regulatory changes. These initially evolved 421 developmental regulatory changes could result in similar downstream changes in the 422 developmental landscape, resulting in the shared increase in dental cell types. Consistent 423 with this interpretation, stickleback orthologs of genes known to be expressed during 424 mammalian tooth development were found here to have a much greater incidence of 425 convergently evolved increase in *trans* regulatory gene expression.

426

### 427 **Compensatory** *cis* and *trans*

Previous studies [17,18] have shown compensatory *cis* and *trans* changes are essential for the evolution of gene expression. These findings are consistent with the idea that the main driving force in the evolution of gene expression is stabilizing selection [59] where compensatory changes to regulatory elements are selected for to maintain optimal gene expression levels. In both benthic and creek dental tissue, when considering all genes with a 433 guantifiable (i.e. polymorphic and covered by ~20 reads, see Methods) cis effects, discordant 434 compensatory *cis* and *trans* changes were far more common than concordant ones. This 435 trend could be driven by some initial selection on pleiotropic trans changes, followed by 436 selection for compensatory *cis* changes to restore optimal gene expression levels [17,18,22]. 437 However, the *trans*, but not the *cis*, evolved changes in gene expression were highly shared 438 among the two freshwater populations. Thus, collectively our data support a model where two 439 independently derived populations have convergently evolved both similar genome-wide 440 expression levels as well as ecologically relevant morphological changes through different 441 genetic means.

442

### 443 **Potential parallels between teeth and hair regeneration**

444 Creek and benthic sticklebacks have an increased rate of new tooth formation in adults 445 relative to their marine ancestors [30]. In constantly replacing polyphyodonts, it has been 446 proposed that teeth are replaced through a dental stem cell intermediate [37,38]. A strong 447 candidate gene underlying a large effect benthic tooth quantitative trait locus (QTL) is the 448 secreted ligand Bone Morphogenetic Protein 6 (Bmp6) [41] (Cleves et al 2018 under review, 449 see Supplemental Data File 2), which is also a key regulator of stem cells in the mouse hair 450 follicle [56]. Freshwater dental tissue displayed significantly increased expression of known 451 signature genes of mouse hair follicle stem cells, perhaps reflecting more stem cell niches 452 supporting the higher tooth numbers in freshwater fish. Genes upregulated in freshwater 453 dental tissue also were significantly enriched for GO terms involved in the cell cycle and cell 454 proliferation. Together these findings suggest that both freshwater populations have evolved 455 an increased tooth replacement rate through an increased activity or abundance of their 456 dental stem cells, and also suggest the genetic circuitry regulating mammalian hair and fish

- 457 tooth replacement might share an ancient, underlying core gene regulatory network.
- 458

### 459 Materials and Methods

460

### 461 Stickleback husbandry

- 462 Fish from all populations were raised in 110L aquaria in brackish water (3.5g/L Instant Ocean
- salt, 0.217mL/L 10% sodium bicarbonate) at 18°C in 8 hours of light per day. Young fry
- 464 [standard length (SL) < 10 millimeters (mm)] were fed a diet of live Artemia, early juveniles
- 465 (SL ~10 20 mm) a combination of live Artemia and frozen Daphnia, and older juveniles (SL >
- 466 ~20 mm) and adults a combination of frozen bloodworms and *Mysis* shrimp. Experiments
- 467 were approved by the Institutional Animal Care and Use Committee of the University of
- 468 California-Berkeley (protocol # R330).
- 469

### 470 Skeletal staining and imaging

Sticklebacks were fixed in 10% neutral buffered formalin overnight at 4°C. Fish were washed 471 once with water and then stained in 1% KOH, 0.008% Alizarin Red for 24 hours. Following a 472 473 water rinse, fish were cleared in 0.25 % KOH, 50% glycerol for 2-3 weeks. Branchial skeletons were dissected as previously described [64]. Pharyngeal teeth were quantified with 474 475 fluorescent illumination using a TX2 filter on a Leica DM2500 microscope. Representative 476 tooth plates were created using montage z-stacks on a Leica M165 FC using the RhodB filter. 477 Adult fish were imaged using a Canon Powershot S95. Some tooth count data from the 478 CERC, RABS, and PAXB populations; n = 11, 13, 29, respectively, (see Table S1) have been 479 previously published [30].

### 481 **DNA** preparation and genome resequencing

482 Caudal fin tissue was placed into 600µl tail digestion buffer [10mM Tris pH 8.0, 100mM NaCl, 483 10mM EDTA, 0.05% SDS, 2.5µl ProK (Ambion AM2546)] for 12 hours at 55°C. Following 484 addition of 600 µl of 1:1 phenol:chloroform solution and an aqueous extraction, DNA was 485 precipitated with the addition of 1ml 100% ethanol, centrifuged, washed with 75% ethanol, 486 and resuspended in water. 50ng of purified genomic DNA was used as input for the Nextera 487 Library prep kit (Illumina FC-121-1031), and barcoded libraries were constructed following the 488 manufacturer's instructions. Library quality was verified using an Agilent Bioanalyzer. 489 Libraries were pooled and sequenced on an Illumina HiSeq 2000 (see Table S2 for details). 490 491 **RNA** purification and creation of **RNA**-seq libraries 492 Late juvenile stage female sticklebacks (SL ~40mm) were euthanized in 0.04% Tricaine. 493 Dissected [64] bilateral ventral pharyngeal tooth plates were placed into 500µl TRI reagent, 494 then incubated at room temperature for 5 minutes. Following addition of 100µl of chloroform, 495 a further 10 minute incubation and centrifugation, the aqueous layer was extracted. Following 496 addition of 250µl isopropyl alcohol and 10 minute incubation, RNA was precipitated by 497 centrifugation, washed with 75% EtOH, and dissolved in 30ul of DEPC-treated water. RNA 498 integrity was assayed by an Agilent Bioanalyzer. 500ng of RNA from each fish was used as 499 input to the Illumina stranded TruSeq polyA RNA kit (Illumina RS-122-2001), and libraries 500 were constructed following the manufacturer's instructions. Library quality was analyzed on 501 an Agilent Bioanalyzer, and libraries were pooled and sequenced on an Illumina HiSeq2000 (see Table S3). 502

503

### 504 Gene expression quantification and analysis

505	RNA-seq reads were mapped to the stickleback reference genome [31] using the STAR
506	aligner [65] (version 2.3, parameters =alignIntronMax 100000alignMatesGapMax 200000
507	outFilterMultimapNmax 20outFilterMismatchNmax 999outFilterMismatchNoverLmax
508	0.04outFilterType BySJout), using ENSEMBL genes release 85 as a reference
509	transcriptome. The resulting SAM files were sorted and indexed using Samtools version
510	0.1.18 [66], PCR duplicates were removed, read groups added and mate pair information
511	fixed using Picard tools (version 1.51) (http://broadinstitute.github.io/picard/) with default
512	settings. Gene expression was quantified with the Cufflinks suite (v 2.2.1) [52–55] using
513	ENSEMBL genes as a reference transcriptome, with gene expression quantified with
514	cuffquant (-ulibrary-type fr-firststrand) and normalized with cuffnorm. Differentially
515	expressed genes were found using cuffdiff2, with parameters (-uFDR .1library-type fr-
516	firststrand, using the reference genome for bias correction). Genes with a mean expression
517	less than 0.1 FPKM were filtered from further analysis.

518

#### 519 Gene set and gene ontology enrichment

520 The BiteCode gene set was generated by combining all genes in the Bitelt (http://bite-521 it.helsinki.fi/) or ToothCODE (http://compbio.med.harvard.edu/ToothCODE/) [36] databases. 522 Stickleback orthologs or co-orthologs were found using the annotated names of ENSEMBL 523 stickleback genes. Gene set expression change statistical enrichment was done as previously 524 described [67]. Briefly, a t-test was performed for each gene to test for a difference in mean 525 expression between the two treatments. The resulting t-values were subject to a 1-sample t-526 test, with the null model that the mean of the t-values was 0. Cutoffs were validated using 527 10,000 bootstrapped replicate gene sets drawn from the same gene expression matrix. 528 Stickleback orthologs of mouse or human genes were determined using annotated ENSEMBL

529	orthologs. Sorted lists of genes, ranked by $log_2$ expression change in benthic dental tissue
530	relative to marine, creek relative to marine, or the mean of creek and benthic relative to
531	marine, were generated using the measured gene expression data. Gene Ontology
532	enrichment was done using Gorilla [68,69], and results were visualized using REVIGO [70].
533	
534	Detection of genomic and transcriptomic variants
535	Genomic resequencing reads were aligned to the stickleback reference genome [31] using
536	the bwa aln and bwa sampe modules of the Burrows-Wheeler Alignment tool (v 0.6.0-r85)
537	[71]. Resulting SAM files were converted to BAM files, sorted and indexed by Samtools
538	version 0.1.18 [66], with PCR duplicates removed by Picard tools. GATK's (v3.2-2)
539	IndelRealigner (parameter: '-LOD 0.4'), BaseRecalibrator, and PrintReads were used on the
540	resulting BAM files. BAM files from the above RNA-seq alignment were readied for genotype
541	calling using GATK's SplitNCigarReads, BaseRecalibrator, and PrintReads. Finally, the
542	UnifiedGenotyper was used to call variants from the RNA-seq and DNA-seq BAM files, with
543	parameters (-stand_call_conf 30 -stand_emit_conf 30 -U ALLOW_N_CIGAR_READS
544	genotype_likelihoods_model BOTH) [43,45].
545	Following final variant calling and detection, pseudo-transcriptomes were created for
546	each F1 hybrid. The pseudo-transcriptomes consist of the predicted sequence for each allele
547	within an F1 hybrid, with all predicted splicing variants of a gene collapsed to a single
548	transcript. A variant was added to the pseudo-transcriptome if and only if it was homozygous
549	in the sequenced parents (or parent's sibling in the case of the Alaskan marine parent of the
550	Cerrito creek x Alaskan marine F1 hybrids) and called heterozygous in the F1 hybrid.
551	

# 552 *Cis* and *trans* regulatory divergence quantification

553 RNA-seq reads from F1 hybrid sticklebacks were aligned to the individual's pseudo-

transcriptome using STAR (v 2.3) with the parameters: --outFilterMultimapNmax 1 and --

outFilterMultimapScoreRange 1. By only looking at uniquely aligning reads, we ensured we

only considered reads which overlapped a heterozygous variant site. Counting these unique

reads minimizes double counting a single read that supports two different variant positions.

558 Total *cis* divergence in each F1 hybrid was quantified by comparing the number of reads

559 mapping uniquely to each allele in the pseudo-transcriptome.

560 Following *cis* divergence quantification in all F1 hybrids, we considered the overall *cis* 561 change in the different freshwater populations. Genes which only had 20 or fewer uniquely 562 mapping reads across all replicates were filtered from further analysis. We excluded genes 563 with more than a 32-fold change, as a manual inspection revealed these to be either 564 genotyping errors or mitochondrial genes. Reported *cis* ratios were calculated by comparing 565 the ratio of uniquely mapped freshwater reads to uniquely mapped marine reads. Evolved 566 trans changes were quantified as the difference between the log of the overall gene 567 expression change between the freshwater and marine parents and the log of measured *cis* 568 freshwater expression change. Percent *cis* change was calculated as the absolute value of 569 the log of the *cis* change divided by the sum of the absolute value of the log of the *cis* change 570 and the absolute value of the log of the *trans* change. Statistical significance of *cis* changes 571 was determined by a binomial test comparing overall reads mapping to the freshwater allele 572 to a null model of no cis divergence, with a false discovery rate of 1% applied using the 573 Benjamini-Hochberg method. Statistical significance of *trans* changes was determined by a 574 G-test, comparing the expected (based on the measured *cis* change) and observed ratios of 575 marine and freshwater, with a 1% false discovery rate.

576

# 577 Data Availability

- 578 All sequencing reads are available on the Sequence Read Archive (XXXXX). All scripts
- 579 used for analysis are available on GitHub (xxxxx).
- 580

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- 583

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768 769		

### 770 **Fig 1. Evolved tooth gain in two freshwater populations.** (A) Stickleback population

771 locations. (B) Representative Alizarin red stained adult lab-reared sticklebacks (top, scale

- bars = 1 cm) and dissected ventral pharyngeal tooth plates (scale bars = 100µm). (C) Total
- ventral pharyngeal tooth number of 35-50 millimeter standard length lab-reared adult fish from
- each population.
- 775

776 Fig 2. Convergent evolution of gene expression in dental tissue. (A) Ventral pharyngeal 777 tooth plates from three different populations were dissected and gene expression quantified 778 by RNA-seq. (B) Principal component analysis of dental tissue gene expression shows 779 population specific expression profiles. (C) Freshwater dental tissue exhibited correlated gene 780 expression changes for all genes (blue), with increased correlation observed for orthologs of 781 genes known to be expressed during mammalian tooth development (red). (D) Expression of 782 genes annotated as expressed in zebrafish teeth (zfin.org) which were significantly 783 upregulated in one or both freshwater populations.

784

**Fig 3. Evolved changes in** *cis*-regulation (A) Ventral pharyngeal tooth plates from marinecreek and marine-benthic lake F1 hybrids were dissected and *cis* regulatory changes assayed using phased RNA-seq reads. (B) Density plot showing the measured *cis*-regulatory changes. Neither population displayed a significant allelic bias, as measured by a Wilcoxon signed-rank test. (C-D) Gene expression changes in both parental and hybrid dental tissue – genes are color-coded based on the role of *cis* and/or *trans* change in benthic (C) or creek (D) dental tissue. Dashed line indicates the first principal component axis.

- 792
- 793 Fig 4. *Trans* changes predominate evolved dental gene expression changes. (A-B)

794 Proportion of differentially expressed genes displaying opposing and concordant *cis* and *trans* 795 changes in benthic (A) or creek (B) dental tissue. Genes whose expression differences were 796 mostly explained by *cis* changes tended to be more concordant (P = 5.0e-17, 0.002 for benthic 797 and creek, respectively) than those mostly explained by *trans* changes. (C) Density of the 798 relative percentage of gene expression differences which are explained by *cis* changes in 799 benthic and creek dental tissue. (D) Cumulative percentage of percentage of gene expression 800 due to *cis* changes. Genes in creek samples display a higher percentage *cis* change than 801 genes in benthic samples (P = 1.25e-22, Mann-Whitney U test).

802

803 Fig 5. Trans changes are more likely to be shared across populations. (A) Genes with 804 significantly different evolved expression in both freshwater populations relative to marine 805 fish, showing significantly correlated changes in gene expression in benthic and creek dental 806 tissue. (B) Freshwater dental tissue had a significant but small number of shared *cis*-807 regulatory changes. (C) Freshwater dental tissue showed significantly correlated changes in 808 trans expression changes. A-C show genes with significant expression changes between 809 populations and quantifiable (i.e. genes with transcripts containing a polymorphic SNP 810 covered by at least 20 reads) *cis*-regulatory changes in both populations. Density (color) was 811 estimated with a Gaussian kernal density estimator. BiteCode genes (see Methods) are 812 indicated with black stars. D-F Bar graphs show the number of genes with shared or divergent 813 expression patterns from the above panels. G-I are similar to A-C, but show only genes in the 814 BiteCode gene set.

815

Fig S1. Independent freshwater evolutionary history. (A) Genome-wide maximumlikelihood phylogeny created from genomic resequencing data. Wild-caught fish are non-

818	italicized. All nodes have 100% bootstrapping support. (B) Principal component analysis of
819	genome-wide genotypes separates marine and creek populations from the benthic lake
820	population, with the 2 <sup>nd</sup> PC separating marine and freshwater populations.
821	
822	Fig S2. Freshwater upregulation of putative dental genes. (A) Benthic upregulation of
823	BiteCode genes ( $P = 9.8e-3$ , GSEA). (B) Creek upregulation of BiteCode genes ( $P = 2.1e-5$ ,
824	GSEA). (C) Benthic and creek upregulation of BiteCode genes ( $P = 5.1e-6$ , GSEA).
825	
826	Fig S3. Concerted changes in stem cell markers and signaling pathways. (A-F) Changes
827	in gene expression changes of genes annotated as components of the indicated signaling
828	pathways (BMP, FGF, SHH, WNT, ACT, TGFB, NOTCH, or EDA) [36] or orthologs of a
829	described set of mouse hair follicle stem cell signature genes (HFSC) [56]. Violin plots show
830	the mean expression change of genes in the pathway. (A) Change in freshwater (benthic +
831	creek) relative to marine. (B) Benthic specific changes (benthic relative to creek + marine). (C)
832	Creek specific changes (creek relative to benthic + marine). (D) Benthic evolved changes
833	(benthic relative to marine) (E) Creek evolved changes (Creek relative to marine) (F) Benthic
834	vs creek changes (benthic relative to creek).

835

Fig S4. Gene ontology of freshwater upregulated genes. (A-C) GO enrichment of genes upregulated in benthic (A), creek (B), or both (C). GO analysis was preformed using Gorilla [68], with the results visualized with Revigo [70].

839

Fig S5. Expression of taste bud marker genes. Expression levels of known taste bud
marker genes in marine, benthic and creek tooth plates as assayed by RNA-seq. \* indicates

842 differentially expressed genes. Error bars are standard error of the mean.

843

844 Fig S6. Compensatory changes dominate genes with no significant evolved gene 845 expression difference. (A-B) Proportion of genes with quantifiable (i.e. genes with 846 transcripts containing a polymorphic SNP covered by at least 20 reads) hybrid expression 847 displaying opposing and concordant *cis* and *trans* changes in benthic (A) or creek (B) dental 848 tissue. Similar to Fig. 5, but here showing all genes, not just genes with significantly different 849 expression levels compared to marine. Trans regulatory changes predominate, as do 850 opposing over concordant changes. (C) Density plot of the percentage of gene expression 851 changes explained by *cis*-regulatory changes. 852 853 Fig S7. Trans changes are more likely to be shared across populations. (A) Expression 854 changes of genes with guantifiable (i.e. genes with transcripts containing a polymorphic SNP 855 covered by at least 20 reads) hybrid expression in both freshwater populations relative to 856 marine fish, showing significantly correlated changes in gene expression in benthic and creek 857 tooth plates. (B) cis regulatory changes of genes with guantifiable hybrid expression 858 expression in freshwater dental tissue overall do not display correlated evolved changes. (C) 859 trans regulatory changes of genes with quantifiable hybrid expression in freshwater dental 860 tissue. Density (color) was estimated with a Gaussian kernal density estimator. (D-F) Bar 861 graphs show the number of genes with shared or divergent expression patterns from A-C. G-I 862 are similar to A-C, but show only genes in the BiteCode gene set, revealing that these 863 orthologs have evolved highly convergent changes in the two freshwater populations (G), 864 despite non-convergent *cis* regulatory changes (H).

865

### **Table S1. Population ventral pharyngeal tooth counts**

- 867 For each fish, the population, ecotype (freshwater or marine), total ventral pharyngeal tooth
- number (TVTP), total length (TL), standard length (SL), and whether data has been published
- 869 [30] is shown.
- 870

### **Table S2. Genomic DNA sequencing reads**

- 872 For each fish, population and biological replicate number (Fish), the total number of barcoded
- reads from each fish (reads), and number of reads that mapped and passed all filters (final
- 874 mapped) is listed.
- 875

### 876 Table S3. RNA-seq reads

- 877 For each fish, population of parents and biological replicate number (sample), standard length
- 878 (SL), total reads (generated by HiSeq2000 over two different runs (run1 and run2)), mapped
- reads (reads that mapped to the genome), and final reads (excludes reads filtered due to low
- anality or PCR duplication) is listed.
- 881

### **Table S4. Overall gene expression in tooth plate**

- 883 Estimated abundance in in fragments per kilobases per million reads (FPKM) of ENSEMBL
- genes (rows) in ventral pharyngeal dental tissue from three individual fish from three
- populations (in columns). Mean expression (in FPKM) is shown after the 3 replicates.
- Log<sub>2</sub>(Pop1/Pop2) shows the fold-change in log<sub>2</sub> of the estimated mean expression between
- the two populations. IsSig(Pop1/Pop2) indicates whether the difference was significant as
- reported by cuffdiff2.
- 889

### 890 Table S5. BiteCode genes in sticklebacks

- A list of stickleback orthologs in the Bitelt [47] (http://bite-it.helsinki.fi/) or ToothCODE
- 892 (http://compbio.med.harvard.edu/ToothCODE/) [36] databases.
- 893

### 894 Table S6. GO process upregulated in freshwater

- Gene Ontology (GO) term category and name are given in GO term and description, with the
- 896 p-value, q-value, and relative enrichment within genes upregulated in freshwater dental tissue
- reported by GOrilla [68].
- 898

# 899 Table S7. GO process upregulated in benthic

- 900 Gene Ontology (GO) term category and name are given in GO term and description, with the
- 901 p-value, q-value, and relative enrichment within genes upregulated in benthic dental tissue
- 902 reported by GOrilla [68].
- 903

# 904 Table S8. GO process upregulated in creek

Gene Ontology (GO) term category and name are given in GO term and description, with the
p-value, q-value, and relative enrichment within genes upregulated in creek dental tissue
reported by GOrilla [68].

908

# 909 Table S9. F1 hybrid RNA-seq reads

- 910 For each ventral pharyngeal tooth plate (VTP), population of parents and biological replicate
- number (sample), standard length (SL), total reads (generated by HiSeq2000), mapped reads
- 912 (reads that mapped to the genome), final reads (excludes reads filtered due to low quality or
- 913 PCR duplication), and unique reads (reads that mapped uniquely to one haplotype) is listed.

914

### 915 Table S10. Benthic vs marine *cis* divergence

- 916 Estimated gene expression change in *cis* in log<sub>2</sub>, benthic vs marine. Name is the reported
- 917 ENSEMBL gene name. Log<sub>2</sub>(F/M) is the log<sub>2</sub> of the ratio of freshwater vs marine reads
- 918 mapping uniquely to the gene.
- 919

### 920 Table S11. Creek vs marine *cis* divergence

- 921 Estimated gene expression change in *cis* in log<sub>2</sub>, creek vs marine. Name is the reported
- 922 ENSEMBL gene name. Log<sub>2</sub>(F/M) is the log<sub>2</sub> of the ratio of freshwater vs marine reads
- 923 mapping uniquely to the gene.













