RAD51 Enhances Zygotic Interhomolog Repair

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Recent advances in CRISPR/Cas-based genome editing, including three-component

CRISPR[1], the use of long single-strand donors[2], enhanced microhomology-mediated end-

joining (MMEJ)[3], and pharmacological approaches[4-7] have greatly improved knock-in (KI)

efficiency. In our search for factors to further improve KI efficiency for broad therapeutic

use and efficient generation of primate disease models, we found that the strand exchange

protein RAD51 can significantly increase homozygous KI efficiency using CRISPR/Cas9 in

mouse embryos through an interhomolog repair (IHR) mechanism. Using a variety of

approaches, we demonstrate robust enhancement of zygotic IHR by RAD51 and show that

this can be leveraged both for generating homozygous KI animals from wildtype zygotes

with exogenous donors and for converting heterozygous alleles into homozygous alleles

without exogenous templates. Thus, our study provides both conclusive evidence

supporting the existence of zygotic IHR mechanisms and a new method to significantly

improve IHR efficiency for potential therapeutic use.

We previously described a three-component CRISPR approach, which employs Cas9

protein and chemically synthesized crRNA and tracrRNA for efficient KI of transgenes[1,2,8].

Additional published work in rabbit embryos has shown significant enhancement of KI

efficiency using RS-1, a chemical agonist of the homology-directed repair (HDR) pathway

member RAD51[6]. We began our study by attempting to use a combination of these techniques

for high-efficiency knock-in of an autism-associated point mutation in *Chd2* (c.5051G>A;

R1685H in human, R1684H in mouse; hereon referred to as *Chd2[R1684H]*; **Fig. 1a**) using a single-

stranded oligonucleotide donor (ssODN). Previous studies have shown that KI efficiency is

affected by the proximity of the Cas9 cut site and the insertion site[9], so we chose a guide

positioned where the cut site is directly adjacent to the desired G>A point mutation. Genotyping

of mouse embryos after pronuclear injection and *in vitro* culture with or without RS-1 (7.5µM)

showed a high KI efficiency at the targeted *Chd2* locus, but revealed that RS-1 did not affect KI

efficiency (**Extended Data Fig. 1**). Although the known mechanisms of RAD51 function

suggest it may not promote knock-in with ssODNs, our previous success using exogenous

protein to promote high-efficiency KI[1,2,3,8] and the fact that RS-1 can be highly dosage-sensitive[6]

led us to hypothesize that the use of exogenous RAD51 protein in combination with three-

component CRISPR could potentially increase KI efficiency. We first performed both pronuclear

(PNI) and cytoplasmic (CPI) injections of crRNA, tracrRNA, Cas9, ssODN (30 ng/µL for PNI

and 100 ng/µL for CPI), and RAD51 protein in mouse zygotes and genotyped the resulting F_0

pups (example chromatograms in **Fig. 1b**). In 18 pups, we observed an overall KI efficiency of

61.1% (**Fig. 1c**) and, surprisingly, a homozygous KI efficiency of 55.5% (**Fig. 1d**). We did not

observe significant differences in efficiency between CPI and PNI (**Extended Data Fig. 2**), so

we focused on PNI for the remainder of this study. Although we performed all genotyping of

these animals using primers sitting outside of the homology arms, we could not rule out the

possibility that the homozygous animals were actually compound heterozygotes with large

deletions encompassing the cut site on one allele[10]. We therefore bred 6 of the F_0 homozygous

KI mice with wildtype C57BL/6N animals and genotyped the resulting F_1 progeny. Sanger

sequencing showed that all 36 F_1 pups were heterozygous mutants, confirming the homozygosity

of F_0 animals (**Fig. 1e**).

Since we did not initially generate *Chd2[R1684H]* mice with injections lacking RAD51, we

performed additional injections to directly assess the ability of RAD51 to increase KI efficiency.

Zygotic injections were performed with and without RAD51, embryos were cultured for two

days, and nested PCR was performed for genotyping. Sanger sequencing revealed that RAD51

only slightly increased overall KI efficiency (**Fig. 2a**, left, $p<0.05$, one-tailed chi-square test), but

drastically increased homozygous KI efficiency (**Fig. 2a, right**, $p<0.0001$, one-tailed chi-square

test). It is widely accepted that CRISPR/Cas9-mediated editing efficiency can be highly locus-

and guide-dependent, so we investigated the effects of RAD51 at a second genomic locus to

confirm its efficacy. Using the same injection strategy employed for *Chd2*, we attempted to

knock in an albinism-associated human mutation (c.265T>A; C89S; hereon referred to as

Tyr^C89S; schematic in **Fig. 2b**) in the *Tyr* gene[11], which encodes for tyrosinase, the rate-limiting

enzyme in melanin production. Albinism is a recessive disorder and, as such, only homozygous

mutation of *Tyr* results in mice completely lacking pigment (**Fig. 2c, d**). However, indel-induced

disruption of *Tyr* can also result in albinism, so all F_0 animals were genotyped to assess the

effects of RAD51. Injections without RAD51 showed a high overall KI efficiency, but this

efficiency was not significantly affected by the addition of RAD51 (**Fig. 2e, left**). However, our

genotyping results again indicated that RAD51 can significantly increase homozygous KI

efficiency, with 44.4% of pups exhibiting an albino phenotype resulting from homozygous KI of

the *Tyr^C89S* allele with RAD51, as compared to only 12% without (**Fig. 2e, right**).

Strikingly, RAD51 co-injection more than doubled the observed homozygosity at both

the *Chd2* and *Tyr* loci (**Fig. 2a, e**). Previous attempts to increase HDR through pharmacological

or genetic mechanisms have not shown such high homozygosity rates[7,9,12], leading us to question

the mechanism by which RAD51 can promote such an outcome. Our evidence that RAD51 does

not strongly affect overall efficiency of ssODN-mediated knock-in (**Fig. 2a,d**) suggested that its

effects on homozygosity do not come from directly increasing independent KI events on both

alleles. Additionally, due to the random nature of insertion-deletions (indels) produced by non-

homologous end joining (NHEJ), we found it surprising that we observed multiple embryos from

both *Chd2[R1684H]* and *Tyr[C89S]* injections whose genotyping results suggested the presence of the

same indel on both alleles (**Extended Data Fig. 3**). Genotyping of F_1 pups derived from a female

with such a homozygous indel revealed that all pups were heterozygous for the same indel,

indicating that the phenomenon was not due to an indel on one allele and a large deletion

encompassing the cut site on the other (**Extended Data Fig. 3**). Although we could not rule out

that these deletions were generated by MMEJ, which can result in stereotyped indels based on

local homology[3,13], the variety of observed indels suggested that the homozygosity resulted from

recombination between homologs that transferred the KI or indel allele from one chromosome to

the other.

More than 20 years ago, experiments in mouse ES cells found evidence of recombination

events between homologous chromosomes[14,15]. While these interhomolog repair (IHR) events

are now well-understood in meiotic cells, their occurrence in zygotes and somatic cells is poorly

described. However, recently published data from experiments attempting to correct a 4bp

GAGT deletion in *MYBPC3* in human embryos support a potential zygotic IHR mechanism

whereby one allele can serve as the repair template for the other after induction of a targeted

double-strand break (DSB) by Cas9[16]. Based on these data, we hypothesized that RAD51

promotes homozygous KI through a similar mechanism. To test this, we first performed PNI

using an injection mixture containing an equimolar ratio of two separate ssODNs containing

different point mutations at the same loci, allowing us to identify independent knock-in events

via the observation of compound heterozygosity (**Fig. 3a**). In line with our previous observations

(**Fig. 2a**), we found that co-injection of RAD51 significantly increased homozygous KI

efficiency (**Fig. 3b**). Strikingly, compound heterozygosity was observed in 5/12 control embryos

with a KI event on both alleles, but was not observed in any RAD51-injected embryos (**Fig. 3b**),

supporting our hypothesis that RAD51 increases homozygosity through an enhanced IHR

mechanism. Importantly, we did not observe significant differences in overall KI rates between

the two ssODNs (**Extended Data Fig. 4**), ruling out the possibility that our observations were

due to a bias toward one donor over the other.

In order to more directly test the IHR mechanism, we attempted to generate homozygous

Chd2^{R1684H} embryos without the use of an exogenous donor through homozygous conversion.

Using *in vitro* fertilization (IVF) with wildtype C57Bl/6N eggs and sperm from homozygous

Chd2^{R1684H} male mice, we generated heterozygous zygotes and specifically targeted Cas9 to the

maternal *Chd2* locus. As illustrated in **Figure 3c**, PNI was performed on zygotes at ~8 hours post

fertilization (hpf) using a mixture of crRNA, tracrRNA, and Cas9 with or without RAD51.

Because the *Chd2*^{R1684H} allele contains mutations in both the guide sequence and its associated

PAM, Cas9 is only capable of cutting the wildtype maternal allele (**Extended Data Fig. 5**).

Therefore, by omitting the donor template from the injection mixture we were able to directly

test both the rate of baseline CRISPR/Cas9-mediated IHR in the zygote and the ability of

RAD51 to enhance such a mechanism. In support of data published by Ma *et al*., we observed

IHR events in 26% of control-injected embryos (**Fig. 3d,e**). Strikingly, co-injection of RAD51

was capable of increasing this rate to 74% (**Fig. 3e**). To directly rule out the possibility of false

positives resulting from monoallelic deletions on the maternal allele, we developed and

performed a genomic qPCR assay to analyze copy number at the targeted locus (**see Methods**).

First, using genomic DNA from wildtype mice and *Shank3B*$^{+/-}$ mice harboring a heterozygous

deletion of exon 13 of *Shank3*[17], we confirmed that our method was capable of identifying

heterozygous deletions **(Fig. 3f)**. Using the same assay design, we confirmed that 5 of 5

randomly selected homozygous mutants carried 2 copies of the *Chd2* locus (**Fig. 3f**), validating

our conclusion that RAD51 enhances zygotic IHR.

Our data demonstrate that RAD51 enhances interhomolog repair and that this

enhancement can be leveraged for both donor-free homozygous conversion and *de novo*

homozygous KI. To our knowledge, our results are also the first to definitively show the

existence of IHR events in mammalian zygotes. Although previous work has suggested the

existence of these events, a recent commentary in *bioRxiv* highlights the need for more rigorous

analyses to confirm such findings and outlines 3 main points[18]. First, Egli *et al.* argue that

because IHR requires physical interaction of the maternal and paternal genomes, there must be a

sufficient explanation for how IHR can result from Cas9-mediated DSBs that occur while the

maternal and paternal genomes are segregated in separate pronuclei. Second, they suggest that

parthenogenesis must be ruled out as a possibility for the observed loss-of-heterozygosity. Last,

they suggest that additional measures be taken to rule out the possibility that the observed loss-

of-heterozygosity is due to large NHEJ-induced indels emanating from the Cas9 cut site. Our

data fulfills all three requirements and further goes on to show that RAD51 is capable of

significantly enhancing IHR. With respect to the need for physical proximity between homologs

to allow IHR, previous kinetic studies have shown that Cas9 can remain bound to its cut site for

up to 6 hours[19], which would be long enough to allow mitotic association of the maternal and

paternal alleles in mouse zygotes. Furthermore, an additional study in mouse demonstrated

successful correction of a disease mutation in zygotes without the use of exogenous donor

DNA[20], supporting the existence of a zygotic IHR mechanism. With regard to the ruling out

parthenogenesis, our experimental design relied upon transfer of the paternal mutation to the

maternal allele, excluding the possibility of a parthenogenesis-based mechanism. Last,

previously published work mouse zygotes showed that the median deletion size associated with a

Cas9-induced cut with a single sgRNA was ~10bp, that deletions do not surpass 600bp, and that

the occurrence of large deletions (>100bp) was largely sequence-dependent and far too

infrequent to account for the high rates of IHR observed in our experiments[10]. In accordance with

these findings, our copy-number analyses and F_1 genotyping data rule out large deletions as the

source of loss-of-heterozygosity and therefore support our conclusions regarding the existence

and enhancement of zygotic IHR.

Together, our studies validate the existence of a bona fide IHR-based DSB repair

mechanism in the mammalian zygote and demonstrate significant enhancement of this process

by the HDR-associated strand exchange factor RAD51. Furthermore, we show that enhancement

of the IHR and/or HDR pathways with exogenous RAD51 can be used for highly efficient

homozygous KI and donor-free homozygous conversion. Ongoing efforts to generate primate

models of human diseases have been hindered by low efficiency, long generation times, and high

costs associated with obtaining homozygotes. However, our method has the potential to generate

F_0 homozygotes with high efficiency, significantly decreasing costs and study length. Taken as a

whole, our findings have broad implications for applications in basic sciences, disease modeling,

therapeutic gene editing, and biotechnology.

<u>Methods</u>

Data Availability: The raw data supporting the findings in this manuscript can be obtained from

the corresponding author upon reasonable request.

Animal Care and Use*:* All mouse work was performed with the supervision of the

Massachusetts Institute for Technology Division of Comparative Medicine (DCM) under

protocol 0416-024-19, which was approved by the Committee for Animal Care (CAC). All

procedures were in accordance with the guidelines set forth by the Guide for Care and Use of

Laboratory Animals, National Research Council, 1996 (institutional animal assurance no. A-

3125-01). All embryos injected for the experiments described in this manuscript were on a

C57BL/6NTac background (Taconic; referred to as C57BL/6N in paper).

Preparation of Injection Mixtures: tracrRNA, crRNAs, and ssODNs were synthesized by

Integrated DNA Technologies (see Supplementary Table 1 for sequences). All injection mixtures

were prepared in a final volume of 50µL according using the same protocol. Using RNase-free

water, reagents, and consumables, crRNA (final concentration 0.61µM), tracrRNA (final

concentration 0.61µM), and ultrapure Tris-HCl, pH7.39 (final concentration 10mM,

ThermoFisher) were mixed and incubated at 95°C for 5 minutes. The mixtures were cooled to

room temperature for 10 minutes on the benchtop and then EnGen Cas9-NLS (New England

Biolabs) was added to a final concentration of 30ng/µL. The mixtures were incubated at 37°C for

15 minutes before adding any remaining components: ssODN (final concentration 30ng/µL),

RAD51 (Creative Biomart, final concentration 10µg/µL). Injection mixtures were stored on ice

and briefly heated to 37°C prior to injection. For experiments utilizing RS-1, embryos were

cultured in KSOM-AA with 7.5µM RS-1 (Sigma) or DMSO (Sigma, 1:1000) for 24 hours,

washed, and cultured in standard FHM until collection for genotyping.

In Vitro Fertilization: *In vitro* fertilization was performed using FERTIUP® Mouse

Preincubation Medium and CARD MEDIA (Kyudo Company) according to the manufacturer's

protocol.

Zygotic Injections: Female mice (4-5 weeks old, C57BL/6NJ) were superovulated by IP

injection of PMS (5 IU/mouse, three days prior to microinjection) and hCG (5 IU/mouse, 47

hours after PMS injection), and paired with males. Plugged females were sacrificed by cervical

dislocation at day 0.5pcd and zygotes were collected into 0.1% hyaluronidase/FHM. Zygotes

were washed in drops of FHM and cumulus cells were removed. Zygotes were cultured in

KSOM-aa medium for one hour and used for microinjection. Pronuclear injection was performed

using a micromanipulator (Narishige), microscope (Nikon), and injector (Eppendorf). Zygotes

were cultured until collection for genotyping. For experiments giving rise to F_0 animals, embryos

were surgically implanted into pseudopregnant CD-1 females (Charles River Laboratories, Strain

Code 022) 24 hours post-injection, allowed to develop normally, and recovered following natural

birth.

Embryo DNA Purification: Embryos were collected at morula stage in 6μL embryo digestion

buffer (125μg/mL proteinase K, 100mM Tris-HCl pH 8.0, 100mM KCl, 0.02% gelatin, 0.45%

Tween-20, 60μg/mL yeast tRNA) and digested for 1 hour at 56°C. Proteinase K was inactivated

via incubation at 95°C for 10 minutes. Embryo DNA was stored at -20°C until use.

Tail DNA Purification: Tail snips (~0.5cm length) were collected from animals, placed in 75μL

alkaline lysis buffer (25mM NaOH, 0.2mM EDTA), and incubated at 95°C for 30 minutes.

Digestion was stopped via addition of 75μL neutralization buffer (40mM Tris-HCl pH 5.0).

Samples were stored at 4°C until genotyping.

Chd2^{R1684H} Genotyping: Initial PCR was performed using either 3μL embryo DNA or 2μL

purified tail DNA. The longer amplification of the nested PCR reaction was performed using the

Chd2-RH_Long_F/R primer pair listed in Supplementary Table 2 and an annealing temperature

of 65°C. Nested PCR was performed using 2µL of the initial 25µL PCR reaction, the Chd2-

RH_F/R primer pair listed in Supplementary Table 2, and an annealing temperature of 65°C.

***Tyr^C89S* Genotyping:** Initial PCR was performed using 2µL purified tail DNA. The longer

amplification of the nested PCR reaction was performed using the Tyr_Long_F/R primer pair

listed in Supplementary Table 2 and an annealing temperature of 65°C. Nested PCR was

performed using 2µL of the initial 25µL PCR reaction, the Tyr_F/R primer pair listed in

Supplementary Table 2, and an annealing temperature of 65°C.

***Genomic qPCR*:** To determine copy number at specific genomic loci, we developed a strategy

utilizing multiplex nested qPCR. We first performed multiplex amplification of both the edited

Chd2 region and a region of the *Gapdh* promoter, which is located on a different chromosome, in

a short round of PCR (10 cycles). In the case of our *Shank3B* control experiment, we attempted

to match the input DNA concentration used for experiments utilizing DNA from cultured

embryos. Based on an estimation of ~6pg DNA per cell and a PCR input of ~30 cells, we used

180pg *Shank3B^{+/+}* or *Shank3B^{+/-}* genomic DNA per initial reaction. We then used the PCR

products from the initial multiplex PCR as input for subsequent qPCR using Sso Advanced

SYBR Green Supermix (Bio-Rad). qPCR was performed on a CFX96 Touch Real-Time PCR

Detection System (Bio-Rad) and analyzed using CFX Maestro software (Bio-Rad). *Chd2* or

Shank3B signal was normalized to *Gapdh* signal to control for input and a second diploid locus.

***Statistical Analyses*:** Statistical analyses were performed using Prism 6 (Graphpad). For initial

experiments in which the effects of RS-1 and RAD51 were unknown, as well as mixed oligo KI

experiments where RAD51 could potentially increase either compound heterozygosity or

homozygosity, two-tailed analyses were used. All other experiments exploring RAD51's ability

to increase KI and homozygous KI efficiencies utilized one-tailed tests. Genomic qPCR

performed in Figure 3 was analyzed by unpaired t-test. The graph displays mean±SEM.

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Acknowledgements

The experiments described in this manuscript were funded by the Tan-Yang Center for Autism Research at MIT and the Poitras Center for Affective Disorders Research at MIT. We would like to thank Tetsushi Sakuma and Takashi Yamamoto of Hiroshima University for sharing their knock-in enhancer screening data. Lastly, we are grateful for the helpful discussion and insight provided by members of the Feng lab.

Author Contributions

J.J.W., T.A., M.W., Q.Z., and G.F. designed experiments. J.J.W. and P.Q. performed experiments. J.J.W., T.A., M.W., and G.F. analyzed data. J.J.W., T.A., and G.F. prepared the manuscript.

Competing Financial Interests

The authors of this manuscript declare no competing financial interests.

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Figure 1: Efficient homozygous knock-in with RAD51. a, Schematic of the targeting locus and HR donor for generating *Chd2^R1684H^* mutant mice. HR donor contains both the c.5051G>A point mutation and a synonymous mutation that destroys the relevant PAM site (HD=homology domain). **b,** Example chromatograms of wildtype (top) and *Chd2^R1684H/R1684H^* animals (RH=R1684H). **c,** Overall KI efficiency observed in F_0 pups (pups with ≥1 KI allele/total pups). **d,** Homozygous KI rate observed in F_0 pups. **e,** Genotyping results from F_1 pups derived from crosses between F_0 *Chd2^R1684H/R1684H^* animals and wildtype C57BL/6N animals.

Figure 2: Exogenous RAD51 enhances KI efficiency at multiple loci. a, *Chd2^{R1684H}* PNI
using 30ng/μL ssODN with or without RAD51 revealed a slight increase in overall KI efficiency
(p<0.05, one-tailed chi-square test) and a dramatic increase in homozygous KI efficiency
(p<0.0001, one-tailed chi-square test). **b,** Schematic of knock-in strategy for the albinism-
associated *Tyr^{C89S}* mutation. **c,** Representative examples of *Tyr^{+/+}* (black) and *Tyr^{C89S/C89S}* (white)
littermates derived from three-component CRISPR injections using exogenous RAD51. **d,**
Representative chromatograms from *Tyr^{+/+}* (top) and *Tyr^{C89S/C89S}* animals. **e,** Genotyping of F_0
animals generated by three-component CRISPR with or without RAD51 showed no significant
effect of RAD51 on overall KI efficiency (n.s.=not significant, p=0.166, one-tailed chi-square
test), but a significant RAD51-dependent increase in homozygous KI rates (p=0.0081, one-tailed
chi-square test).

Figure 3: RAD51 enhances interhomolog repair. a, Schematic of strategy to test homozygous KI mechanism. Fertilized embryos were injected with Cas9 protein, crRNA, tracrRNA, and an equimolar mixture of two ssODNs varying by two nucleotides with or without RAD51 protein. **b,** Genotyping results from embryos collected following mixed ssODN injection. Homozygous KI efficiency represents the fraction of homozygous KI events observed in all injected embryos (p=0.0008, one-tailed chi-square test). Compound heterozygous rates represent the fraction of homozygous KI embryos with a different KI event on each allele (p=0.0120, two-tailed chi-square test). **c,** Schematic of strategy for testing RAD51-enhanced IHR. Wildtype C57BL/6N eggs were fertilized *in vitro* by sperm collected from male $Chd2^{R1684H/R1684H}$ mice and cultured for 8 hours. At 8hpf, PNI was performed with Cas9 protein, crRNA, and tracrRNA with or without RAD51 protein. Since *R1684H* mutants carry a mutation in the PAM associated with the crRNA utilized in these experiments, Cas9 is only capable of cutting the maternal allele. Injected embryos were then cultured for 48 hours and collected at morula stage. Half of the purified DNA was used for nested PCR and Sanger sequencing and the other half was used for multiplex PCR and subsequent qPCR to analyze genomic copy number at the *Chd2* editing locus. **d,** Representative chromatograms showing the wildtype reference sequence (Ref., top), an uninjected $Chd2^{R1684H/+}$ embryo generated by IVF (Uninj., middle), and a $Chd2^{R1684H/R1684H}$ homozygous mutant (IHR, bottom) generated via donor-free, RAD51-enhanced three-component CRISPR. **e,** Sanger sequencing of IVF-derived embryos revealed a significant increase in IHR in embryos injected with RAD51 (p<0.0001, one-tailed chi-square test). **f,** Genomic qPCR targeting *Shank3B* using 180pg genomic DNA from wildtype and $Shank3B^{+/-}$ mice indicate that our copy-number strategy is capable of identifying heterozygous deletions (lanes 1-2, p=0.00295, unpaired t-test, t=6.47, df=4, *n*=3 technical replicates per sample, error bars=SEM). qPCR using DNA from an uninjected embryo and 5 randomly selected homozygous $Chd2^{R1684H}$ embryos revealed normal copy number at the *Chd2* editing locus in all animals (lanes 3-8, p>0.05, unpaired t-test, t=4.60, df=6, *n=4* technical replicates per sample, error bars=SEM).