Title

Tradeoffs and cultural diversity

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Abstract

Culture is humanity's prime adaptation. Which cultural traits contribute to adaptive value, and how they do so, is, however, unclear and debated. Here, we apply an approach from systems biology, known as Pareto task inference (ParTI), to bring a fresh perspective to these questions. ParTI considers systems that need to perform multiple tasks. No system can be optimal at all tasks at once, leading to a fundamental tradeoff. Such tradeoffs constrain evolution, because adaptive change for one task may be prevented because it compromises other tasks. These constraints result in specific polygon patterns in multivariate trait data. ParTI detects these polygons and their vertices, in order to infer the number of adaptive tasks and their nature. Here, we applied ParTI to two datasets of human cultural traits, on Austronesian cultures and modern hunter-gatherers, adjusting for phylogeny and spatial diffusion effects. We find that these independent datasets show the hallmarks of a tradeoff between the same three tasks: resource defense, resource competition, and mobility/exchange. Specific combinations of cultural traits are adaptive for each of these tasks. We thus suggest that part of the diversity of human cultural traits is constrained by tradeoffs between key tasks.

    Introduction

Culture has helped humans to adapt to diverse environments. Cultural traits vary

widely, and the goal of the field of cultural evolution is to understand the processes that lead

to this diversity (1). A central question is whether cultural traits are adaptive at the level of

societies, and if so, how. A recent theory, by Boyd and Richerson (2,3), proposes that processes

of cultural evolution lead to adaptedness of cultural traits. The theory posits that between-

society variation in cultural traits is transmitted, inherited, and maintained by various

mechanisms, which include biased social learning, coordination payoffs, punishment of

deviant behaviors and society-level symbolic boundaries (4). Intergroup competition, in the

form of natural selection, selective imitation, and selective migration, acts on this variation

(2,5–9). Cultural traits that contribute to fitness in this sense tend to be selected (copied,

maintained).

Testing such theories requires identifying which cultural traits are adaptive, and what

is their function. This is difficult, since unlike biological traits such as physiological features

(10) or gene expression (11), the societal-level function of cultural traits cannot be readily

measured or manipulated in a controlled setting. Current methods mostly rely on correlations

between traits and environmental features (5,12). It is therefore of interest to develop new

methods to rigorously test whether cultural traits are adaptive, and, if so, what is their function.

We address this by applying an approach from systems biology to understand multi-

task evolution in organisms, and adapt it to infer the society-level function of cultural traits

directly from cross-cultural datasets. This method is applicable to the case in which

performance at several tasks contributes to fitness. Thus, following the notion of performance

introduced by Arnold for organic evolution (13), fitness as a function of traits $F(\vec{T})$ can be

written in terms of performance functions $P_1, P_2, \ldots P_k$, one for each task, such that $F(\vec{T}) =$

$F\left(P_1(\vec{T}), P_2(\vec{T}), \ldots, P_k(\vec{T})\right)$, where F is an increasing function of the performances The idea is

that each cultural trait may contribute to (or reduce) performance in each task. Because no

single combination of traits can be optimal at all tasks at once, there is a fundamental trade-off.

A recent theory, called Pareto task inference (ParTI) (14,15), addresses this situation

and provides a way to infer the number and identity of the tasks (Figure 1). ParTI theory shows

that, under mild assumptions, the trait combinations that maximize F must fall inside a low-

dimensional polygonal shape in trait space (15). Each vertex of the polygon is a set of traits $\vec{T}_i$

that is optimal for one of the tasks i (that is, the trait vector $\vec{T}_i$ that maximizes one of the

performance functions P_i). Thus, the number of vertices is equal to the number of tasks: For

two tasks, the data should fall on a line segment, whose ends are the two vertices; for three

tasks, it should fall in a triangle with three vertices and so on. Specialists at each task lie near

a vertex, and generalists lie in the center of the polygon. The intuitive reason for the polygon

is that any point outside of the polygon has a point inside the polygon that is closer to all

vertices, and hence has better performance at all tasks and hence higher fitness.

ParTI algorithms determine the best-fit polygon (line, triangle, tetrahedron, etc.) that

describes the data and its vertices. The algorithm uses statistical tests for the quality of the fit

to the polygon, by comparing the data to shuffled data (Methods). It thus discovers the number

of tasks, and, based on the cultures closest to each vertex, provides information that can be

used to infer the tasks.

ParTI was used to define evolutionary tasks and tradeoffs in several biological domains,

including gene expression in cells (16,17), development (18), animal morphology (19), and

life-history strategies (20) (Supplementary Information 1). Due to the generality of the

assumptions underlying ParTI, we reasoned that it might also apply to cultural evolution. In

this case, the input data is multivariate cross-cultural trait datasets. Here we use ParTI to test

hypothesis regarding adaptedness of cultural traits, and to infer the number and nature of the

tasks that societies face, and which cultural traits are associated with these tasks.

Figure 1. Schema of ParTI algorithm to infer tasks and trade-offs from multivariate trait data. ParTI

receives as input a dataset where rows are cultures and columns are traits. ParTI tests the dimensionality of the

dataset, and asks whether it is well-described by a polygon according to statistical tests. If the data indeed falls on

a low-dimensional polygon, it infers its vertices. The number of vertices is equal to the number of tasks to be

inferred. The cultures closest to each vertex are assumed to specialize in a certain task, and information about

these cultures and the traits that make up the vertex is used to infer the task for each vertex.

Methods

Cross-cultural datasets. The *Pulotu* (21) dataset on traits of 113 Austronesian cultures, linked

to a language-based family tree, was downloaded from https://pulotu.econ.mpg.de/. We used

46 traits that are cultural (i.e. not physical) and belong to the traditional time focus as defined

by Watts et al. (21) (see Supplementary Information 2 for data preparation).

Binford's Hunter-Gatherer (BHG) (22) dataset, that includes cultural traits for 339 modern

hunter-gatherer cultures, was downloaded from http://ajohnson.sites.truman.edu/data-and-

program/ (see Supplementary Information 3 for data preparation). The two datasets differ both

in the mode of subsistence of societies that are included (*Pulotu* includes mostly agricultural

societies) and in the cultural traits measured, which are largely non-overlapping between the

datasets.

In Supplementary Tables 1, 2 we list the traits used for our analysis (codebooks for traits are

available in the links above). Importantly, we verified that the results are not confounded by

the structure of the dataset. That is, the empty regions in the trait-space of both datasets consist

of (*a-priori*) feasible trait combinations (see Supplementary Information 5 for discussion).

Language tree phylogenies. To generate a phylogenetic null model for principal component

analysis and for polygon statistical significance, we used a tree with informative branch lengths

for 93 Austronesian cultures from the *Pulotu* dataset (see Watts et al. (23)), tree1. For

phylogenetic adjustment of enrichment calculations, which does not require informative branch

lengths, we constructed a larger tree, tree2, by adding the other Austronesian cultures

according to their language families (tree2, provided in Supplementary Information 8).

Similarly, we constructed a phylogenetic tree for the cultures in *Binford's Hunter-Gatherer*

according to language families (tree3, provided in Supplementary Information 9).

Number of significant principal components. To test the effective dimensionality of *Pulotu*,

we determined the number of principal components that cannot be explained by a phylogenetic

null model in which cultural traits are acquired and lost at random based on tree1 (see

Supplementary Information 4 for details).

Polytope inference and statistical significance. To infer the vertices of the polytopes

(polytopes are the generalization of polygons and polyhedra to any dimension) we applied

Principal Convex Hull Analysis (PCHA) (14,24) as implemented in the python package

py_pcha. Given an *n*-dimensional dataset and a number of vertices *k*, PCHA computes a convex

hull with *k* (*n*-dimensional) vertices that best accounts for the variance in the data (14). This

differs from PCA, which attempts to recover the orthogonal axes that explain the most variance,

which need not correspond to the relevant trade-off space. We determined the number of

vertices to test, k, by using the estimated dimension D of the data estimated by PCA, by

$k=D+1$. Thus, two-dimensional data is fit to a triangle with three vertices, one-dimensional

data to a line segment with two vertices, etc. We tested statistical significance of polygons by

using the t-ratio test as specified by Shoval et al. (15). The t-ratio is the ratio between the areas

(or volume) of the convex hull of the data and the area (or volume) of the minimal enclosing

polygon. The tighter the polygon fits the data, the closer the t-ratio is to one. The idea is that a

good fit of a polygon means that the external outline of the data cloud (its convex hull) has

almost the same volume as the polygon (there is little empty space between the polygon and

the data). A p-value was computed by the probability that the t-ratio of data shuffles is smaller

or equal to the t-ratio of the real data based on 10,000 data shuffles (see Supplementary

Information 5). In cases where we adjusted for phylogeny and spatial effects, the data was

shuffled using null models hat preserve the phylogenetic and geographic structure

(Supplementary Information 5).

Trait regression according to distance from vertices. To infer tasks, we reasoned that traits

relevant to a task should appear most frequently in the cultures closest to the vertex associated

with that task. We tested this by performing regression, where the response variable is the trait

and the predictor variable is the Euclidean distance from vertex in the space of the first two

PCs. To adjust for spatial and phylogenetic effects, we added to each regression, and for each

trait, covariates for the average trait value among the 10 nearest phylogenetic neighbors (tree2

and tree3) and 10 nearest spatial neighbors (see Supplementary Information 6 for details),

similar to the adjustment performed by Botero et al. (25). For binary variables, we performed

logistic regression, and for other variables, we performed linear regression. We controlled for

multiple hypotheses testing errors using FDR (Supplementary Information 6).

<u>Results</u>

Figure 2. ParTI analysis of *Pulotu* dataset suggests three tasks. (A) *Pulotu* cultural traits are well described by
a triangle (p<10⁻³) in the plain of the first two principal components. The three vertices of the triangle correspond
to adaptive trait complexes for the putative tasks of mobility/exchange, resource defense and resource
competition. (B) To test for statistical significance, we used the t-ratio test (15). The t-ratio is the ratio between
the areas of the convex hull (pink) and the area of the minimal enclosing triangle. A t-ratio close to 1 means the
data fills the triangle. Shuffled datasets, on the other hand, do not fill their enclosing triangles as tightly. (C) A
consensus language tree for Austronesian languages. Cultures are color coded according to their relative distance
from each vertex of the triangle. (D) A geographical map, with color coding as in C. (E) To infer tasks for each
vertex, we seek traits that appear at higher frequency in the data points closest to the vertex. Such strongly enriched
traits are listed. Sign (+/-) indicates positive or negative enrichment. Nine of the enriched traits are visualized on
the data points, with red (yellow) corresponding to a high (low) level of the trait. For example, *no myth of mans'*
creation is found predominantly in cultures near the mobility/exchange vertex, whereas *deified ancestor worship*
is frequent in cultures closest to the resource-competition vertex.

ParTI analysis of the Pulotu dataset of Austronesian cultures

Using ParTI analysis, we find that the distribution of traits for the *Pulotu* cultures is
well described by a triangle (p<10⁻³) (Figure 2A, Supplementary Information 5). We plot this
triangle in the plane of the first two principal components, which explain 20% of the variance
and are significant compared to a phylogenetic null model (Methods). The triangle is populated
almost uniformly by cultures. Many a-priori possible combinations of traits do not seem to
occur, resulting in the empty trait-space around the triangle. Shuffled data does not fill a
triangle (Figure 2B), also when taking into account phylogeny (Supplementary Information 5).

According to Pareto theory, this triangle indicates three tasks that have tradeoffs
between them. The three vertices of the triangle are combinations of cultural traits that are
potentially adaptive for these three tasks (see Table S1). Below, we infer the three putative
tasks. We label the three vertices by these tasks – Resource Competition, Mobility/Exchange
and Resource Defense - and describe how the tasks were inferred below.

In order to study the relation between the traits and language phylogeny, we displayed
the cultures on a language tree. Cultures are color-coded according to their distances from the
vertices of the triangle (Figure 2C). For example, cultures colored red are closest to the
Resource Defense vertex on the triangle. We note an early-late phylogenetic gradient, from
Resource Defense to Mobility/Exchange to Resource Competition. Similarly, we displayed the
cultures on a geographical map (Figure 2D), showing an east-west geographical gradient from
Resource Defense to Mobility/Exchange to Resource Competition. There are notable
exceptions in which cultures that are close geographically and phylogenetically are not close
on the triangle (have different color codes in Figure 2CD), suggesting that geographical
proximity and phylogenetic inertia are not the only forces at play. Below, we adjusted for
phylogenetic and geographic gradients in our analysis.

Characterization of tasks for *Pulotu*

To characterize the underlying tasks, we asked which cultural traits characterize the
cultures closest to each vertex. For this purpose, we used regression for each trait on its distance
on the triangle from each vertex, adjusted for spatial and phylogenetic effects (Methods),
correcting for multiple hypotheses testing errors. Each of the three vertices shows distinct
cultural traits (Figure 2E).

The cultures closest to the Resource Competition vertex are the stratified Polynesian
cultures (26,27). Closeness to this vertex is strongly associated with *mana* (supernatural
power), especially when linked with descent and social status, and with the *worship of deified
ancestors*. These traits are related to colonization and conquest - founders of colonies become
revered ancestors and their descendants enjoy high status ("founder-focused ideology")
(26,28). Other traits found near this vertex include *low egalitarianism* and the *existence of a
social hierarchy tapu*.

The Mobility/Exchange vertex is associated with cultures that live in areas of low
resource density. The cultures closest to this vertex include the sea-nomad cultures of *Sama
Dilaut* and *Moken* and the sea dwelling people of *Manus*. The Polynesian and Micronesian
cultures that lie on low atolls are also close to this vertex (Supplementary Information 7). The
Mobility/Exchange vertex is enriched with *infrequent conflict between communities*, *high*

reliance on trade and wage labor rather than on agriculture, and *large ritual social groups* that

transcend political communities. It is enriched with *piercing as a feature of rite*, as well as with

belief in ancestral spirits (which have a smaller sphere of influence than do *deified ancestors*).

Otherwise, this vertex is characterized by its lacks in religious complexity – *lack of mana*, *lack*

of human sacrifice, *lack of a myth of man's creation*, and *lack of belief in deified ancestors* and

in *nature gods*.

208   The third vertex, Resource Defense, is enriched with highlander cultures that rely on

209 *agriculture* and *land-based hunting* as opposed to fishing and water-based gathering, as well

210 as with *belief in nature gods*, and with the *belief that the actions of oneself affect the nature of*

211 *one's afterlife*.

212 **ParTI analysis of the *BHG* dataset of modern hunter-gatherer cultures**

213   To test the generality of these findings, we analyzed an independent dataset of modern

hunter-gatherer cultures (22) (Figure 3). Considering the first two principal components, which

explain 33% of the variance of this dataset, we again find a distribution inside a triangle ($p < 10^{-3}$)

with three well-defined vertices (Figure 3A, Supplementary Information 5 and Table S2).

For reasons discussed below, we assign the same three labels to these vertices. As with the

Pulotu dataset, the traits show geographical trends (Figure 3B), and hence we performed

regression adjusted for phylogenetic and spatial effects (Supplementary Information 6).

Figure 3. ParTI analysis of *BHG* dataset suggests three tasks. (A) Data plotted on the first two principal components of *BHG* dataset is well-described by a triangle (p<10⁻³). The three vertices of the triangle are hypothesized to be adaptive combinations of traits for three tasks. (B) Cultures are color coded according to their distance from each vertex on a geographical map. (C) List of traits associated with cultures near each vertex. Sign (+/-) indicates positive or negative enrichment. Six of the traits are displayed on the data distribution, with red (yellow) corresponding to a high (low) level of the trait. For example, *social class distinctions* are strongest close to the resource-competition vertex, whereas *elaborate puberty rites* occur predominantly near the resource-defense vertex.

Characterization of vertices for *BHG*

The hunter-gatherer cultures closest to the Resource-Competition vertex are the stratified societies of the North-American Pacific Northwest, with strong enrichments for *prerogatives of leadership*, *intense and aggressive warfare* and *political complexity*, as well as *sedentism* (Figure 3C). As with the Austronesian cultures, the hunter-gatherers that are the closest to the Mobility/Exchange vertex come from areas with the lowest resource density – the arctic tundra and deserts. This vertex is enriched with *high mobility*, *low inter-group violence* and *low religious and political complexity*. Last, the cultures closest to the Resource

Defense vertex are Australian cultures from the relatively fertile Arnhem Land. Closeness to
this vertex is associated with *low dependence on food storage*, *elaborate male puberty rituals*,
and other traits that relate to *marriage patterns*.

We next asked whether the tasks of the three vertices found in the two datasets might
be similar. This comparison is challenging due to the small overlap in traits between the two
datasets, and the differences in subsistence strategies. We note that in both datasets, the
Resource Competition vertex is enriched with traits that relate to territorial conquest and social
dominance and the cultures near the Mobility/Exchange vertex live in areas with lower
resource density. We tentatively conclude that the vertices might correspond to comparable
tasks, and used information from both datasets to provide an interpretation of these tasks.

Economic defendability theory and the tasks

After defining the three tasks, we sought a theoretical framework that can unite their
understanding. We find that the three tasks can be interpreted using economic defendability
theory, a classic theory from human behavioral ecology which relates variation in territorial
behavior with differences in resource density and predictability (29). This theory observes that
only when a resource is dense and predictable is it worthwhile to engage in costly behaviors to
defend it. Otherwise, other behaviors, such as mobility and exchange, will be preferred.
Economic defendability was first used to explain the evolution of territorial systems in birds
(30), and has been applied to study-small scale human societies (29,31,32).

In agreement with economic defendability theory, the Mobility/Exchange vertex, which
is associated with cultures that live in areas with low resource density, is enriched with lack of
competitive cultural traits. The cultures nearest this vertex are highly mobile; their reliance on
trade or wage labor may compensate for poor and unpredictable resources; prevalence of
piercing may be explained by findings that extreme rituals promote pro-sociality and inclusive
social identity (33); and their large ritual groups may reflect the need to reinforce cultural
identity in the face of dispersal (34). For these reasons, we conclude that this vertex includes
traits that are adaptive for the task of mobility and exchange.

Conversely, when resources are sufficiently dense and predictable, territorial strategies
should emerge. Territorial strategies require two separate tasks (35) – resource defense and
resource competition. The Resource Competition vertex is enriched in both datasets with
socially competitive traits, which are associated with conquest and colonization, whereas the
Resource Defense vertex is associated with traits that are more cooperative.

Resource competition may become more important than resource defense when there
are strong resource gradients (36), unpredictable natural disasters (37) or due to population

pressure (38). The enrichments for hierarchy-related traits near the Resource Competition
vertex may be due to the advantages of hierarchy for group-coordination (39) or for outgroup
exploitation (40).

As an example, the difference between the tasks can be illustrated by the headhunting
practices in two phylogenetically close cultures that lie on two sides of the same island: *Laboya*
from the western part of the island of Sumba, and *East Sumba* from its less fertile eastern part.
Laboya lies close to the Resource Defense vertex while *East Sumba* lies close to the Resource
Competition vertex. Both cultures used to practice headhunting (the practice of killing people
to obtain their heads); however, in West Sumba the motivation for headhunting was reported
to be defense of traditional territories, whereas in East Sumba its motivation was reported to
be territorial expansion (41), in accordance with the proposed tasks for these vertices.

Discussion

In this study, we tested the hypothesis that functional tradeoffs are important for
adaptation at the level of societies, by using an approach from systems biology to infer tasks
and tradeoffs and applying it multivariate datasets of cultural traits. We find a triangular
distribution in trait space in two independent cultural datasets, for Austronesian cultures and
modern hunter-gatherers. This triangle suggests a fundamental tradeoff between three tasks.
Near each vertex are geographically and linguistically distinct cultures that have shared
features. These features provide clues about the tasks. Using theory from human behavioral
ecology, we identify the three tasks as mobility/exchange, resource defense and resource
competition. We detect specific combinations of cultural traits that are predicted to be adaptive
for each task. The present approach can in principle be used to infer tasks and tradeoffs for
other cultures using multi-variate datasets.

The results provide numerous hypotheses regarding the adaptive role of cultural traits
with respect to these three putative tasks. While the functional role of many of the traits fits
well with the theory of economic defendability, other suggested associations are surprising.
For example, the association of *taboo* with resource competition rather than resource defense
suggests further investigation.

An important caveat is that by itself, ParTI cannot test whether a specific trait provides
adaptive value, or, instead, whether the trait is linked with another trait that provides adaptive
value. These hypotheses should therefore be tested in future studies that employ methods such
as phylogenetic analysis or experimental psychology. Such studies can, in turn, help refine our
understanding of the tasks and trade-offs that shape the cultural evolutionary process.

The ParTI approach does not depend on the evolutionary mechanisms at play, nor does
it suppose that a culture is perfectly adapted to its environment. It provides a quantifiable,
geometrical signature for generating hypotheses on the adaptive tasks of human social
organization and cultural evolution. The empty space around the triangle that describes the
datasets corresponds to combinations of cultural traits that could *a-priori* have existed, but are
not found in the data. For example, a combination of small ritual groups (as in resource defense
vertex) together with reliance on trade/wage labor (as in mobility/exchange) is rare in the
Pulotu dataset. ParTI, adjusted for phylogeny and spatial diffusion, explains both empty space
and co-occurring traits based on tradeoffs between adaptive tasks.

ParTI might be useful to complement other methods of cultural evolutionary analysis.
It overcomes some of the difficulties of existing approaches. One difficulty is in the
interpretation of correlations between a given cultural trait and environmental factors (42). For
example, collectivist cultural values are correlated with pathogen prevalence, which led to the
hypothesis they may represent an anti-pathogen defense strategy (43). Interpreting such
environmental associations is, however, often ambiguous, since they often relate to the
latitudinal gradient and may be explained by other factors (12,42). ParTI uses multivariate data,
rather than single traits, allowing stronger statistical inference and the ability to adjust for
confounding geographical and phylogenetic factors.

ParTI might also address some of the limitations of other approaches to model the
function of cultural traits, namely optimality models from behavioral ecology (44,45) and co-
evolutionary analyses (46,47). Optimality models require information on costs and benefits
that is not always available. Co-evolutionary models follow traits along phylogenetic trees,
constructed for example from languages. They have been used to study associations such as
the link between human sacrifice and social stratification (23) and the link between livestock
and descent (48). Such models, however, require strong phylogenetic signals and are not
applicable to traits that have weak phylogenetic transmission.

The ParTI approach can apply to any cross-cultural dataset, and thus be used to test
hypotheses on the tradeoffs in cultural adaptations. It provides a top-down way to provide
hypotheses on the function of cultural traits and the trade-offs that shape cultural variation.

Author Contributions

OK and UA conceived and performed the research. OK and UA wrote the manuscript.

Additional Information

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