1	Targeted mutation detection in breast cancer using MammaSeq <sup>™</sup>
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#### 24 Abstract:

Background: Breast cancer is the most common invasive cancer among women worldwide. Next-generation sequencing (NGS) has revolutionized the study of cancer across research labs around the globe, however genomic testing in clinical settings remain limited. Advances in sequencing reliability, pipeline analysis, accumulation of relevant data, and the reduction of costs are rapidly increasing the feasibility of NGSbased clinical decision making.

31 **Methods**: We report the development of MammaSeq. a breast cancer specific NGS 32 panel, targeting 79 genes and 1369 mutations, optimized for use in primary and 33 metastatic breast cancer. To validate the panel, 46 solid tumor and 14 plasma circulating-free cfDNA samples were sequenced to a mean depth of 2311X and 1820 34 35 X respectively. Variants were called using Ion Torrent Suite 4.0 and annotated with 36 cravat CHASM. CNVKit was used to call copy number variants in the solid tumor 37 cohort. The oncoKB Precision Oncology Database was used to identify clinically 38 actionable variants. ddPCR was used to validate select cfDNA mutations.

39 Results: In cohorts of 46 solid tumors and 14 cfDNA samples from patients with 40 advanced breast cancer we identified 592 and 43 protein coding mutations. 41 Mutations per sample in the solid tumor cohort ranged from 1 to 128 (median 3) and 42 the cfDNA cohort ranged from 0 to 26 (median 2.5). Copy number analysis in the solid 43 tumor cohort identified 46 amplifications and 35 deletions. We identified 26 clinically 44 actionable variants (levels 1-3) annotated by OncoKB, distributed across 20 out of 46

45	cases (40%), in the solid tumor cohort. Allele frequencies of ESR1 and FOXA1
46	mutations correlated with CA.27.29 levels in patient matched blood draws.
47	Conclusions: In solid tumors biopsies and cfDNA, MammaSeq detects clinicaly
48	actionable mutations (oncoKB levels 1-3) in 22/46 (48%) solid tumors and in $4/14$
49	(29%) of cfDNA samples. MammaSeq is a targeted panel suitable for clinically
50	actionable mutation detection in breast cancer.

- 51 **Keywords**: Breast Cancer Targeted Sequencing cfDNA Clinical Utility tumor
- 52 burden

#### 53 Background

Advanced breast cancer is currently incurable. Selection of systematic therapies is primarily based on clinical and histological features and molecular subtype, as defined by clinical assays [1]. Large-scale genomic studies have shed light into the heterogeneity of breast cancer and its evolution to advanced disease [2, 3], and coupled with the rapid advancement of targeted therapies, highlights the need for more sophisticated diagnostics in cancer management [4].

Next-generation sequencing (NGS) based diagnostics allow clinicians to identify specific putative driver events in individual tumors. Correctly identifying disease drivers may enable clinicians to better predict treatment responses, and significantly improve patient care [5]. However, to date, the use of NGS as a clinical diagnostic remains limited [6]. Published data regarding prognostic utility, and utilization for selection of targeted therapies or enrolment clinical trials is lacking.

66 The original 46 gene AmpliSeq Cancer Hotspot Panel (Thermo Fisher Scientific) was 67 shown to have a diagnostic suitability in primary lung, colon, and pancreatic cancers 68 [7], however, our previous report that surveyed the clinical usefulness of the 50 gene 69 AmpliSeq Cancer Hotspot Panel V2 in breast cancer found that the panel lacks 70 numerous key known drivers of advanced breast cancer [8]. For example, the panel 71 does not include any amplicons in *ESR1*, which harbor mutations which are known to 72 contribute to hormone therapy resistance (for review see [9]), and lacks coverage of 73 the majority of known driver mutations in *ERBB2* [10].

74	The lack of any reported breast cancer specific diagnostic NGS test inspired the
75	development of MammaSeq $^{TM}$ , an amplicon based NGS panel built specifically for use
76	in advanced breast cancer. 46 solid tumor samples from women with advanced breast
77	cancer, plus an additional 14 samples of circulating-free DNA (cfDNA) from patients
78	with metastatic breast cancer were used in this pilot study to define the clinical utility
79	of the panel. The patient cohort encompassed all 3 major molecular subtypes of breast
80	cancer (luminal, ERBB2 positive and triple negative), and both lobular and ductal
81	carcinomas (Table 1).

#### 82 Methods:

#### 83 Patient Sample Collection

84 For MammaSeq NGS testing, this study utilized breast tumors from 46 patients and 85 blood samples from 7 patients. The research was performed under the University of 86 Pittsburgh IRB approved protocol PR016030066. The general patient characteristics 87 are shown in Table 1 and more detailed patient information is shown in Supplemental Table 1. We utilized 46 of the 48 breast cancer cases previously described in a report 88 89 by Gurda et al [8]. All of these cases underwent AmpliSeq Cancer Hotspot Panel v2 90 NGS testing between January 1, 2013 and March 31, 2015 within the UPMC health 91 system. MammaSeq<sup>™</sup> was performed on the identical genomic DNA isolated from 92 these tumor specimens that was originally used for initial cinical testing. 2 cases were 93 excluded due to insufficient DNA. In addition, a cohort of 7 patients with metastatic 94 breast cancer (MBC) had 20ml venous blood drawn in Streck Cell-Free DNA tubes 95 between July 1, 2014 and March 29, 2016. All patients signed informed consent, and 96 samples were acquired under the University of Pittsburgh IRB approved protocol 97 (IRB0502025). We previously reported on the detection of ESR1 mutations in cfDNA 98 from these 7 patients using ddPCR [11]. Serial blood draws (range; 2-5) were 99 available for 4 patients. A total of 14 blood samples from 7 patients were utilized for 100 cfDNA and buffy coat DNA isolation, followed by NGS testing.

#### 101 **Patient Sample Processing**

cfDNA was isolated as described previously [11]. Blood was processed to separate
plasma and buffy coat by double centrifugation within 4 days of blood collection. 1ml
to 4ml of plasma was used for isolation of cfDNA using QIAamp Circulating Nucleic
Acid kit (Qiagen). cfDNA was quantified using Qubit dsDNA HS assay kit
(ThermoFisher Scientific). Genomic DNA was isolated from buffy coat using DNeasy
Blood & Tissue Kit (Qiagen) for use as germline DNA control. Buffy coat DNA was
quantified using Qubit dsDNA BR assay kit (ThermoFisher Scientific).

# 109 Ion Torrent Sequencing

110 20ng of DNA (10ng per amplicon pool) was used for library preparation using Ion 111 AmpliSeq<sup>™</sup> Library Kit 2.0 (Thermo Fisher Scientific) and the custom designed 112 MammaSeq<sup>TM</sup> primer panel (Supplementary Data File 1). Template preparation by 113 emulsion PCR and enrichment was performed on the Ion OneTouch 2 system 114 (ThermoFisher Scientific). Template positive Ion Sphere particles (ISP) were loaded 115 onto Ion chips and sequenced. Tumor DNA and cfDNA samples were sequenced using P1 chips (60 million reads) on the Ion Proton<sup>TM</sup> (ThermoFisher Scientific) at 116 117 empirical depths of 1000x and 5000x respectively. Buffy coat DNA was sequenced 118 using 318 chip (6 million reads) on the Ion Torrent Personal Genome Machine  $(PGM^{TM})$  (ThermoFisher Scientific) at 500x. 119

# 120 Variant Calling

121 Ion Torrent Suite V4.0 was used to align raw fastq files to the hg19 reference genome122 and generate VCF files (4.0% AF cutoff for tumor samples, 1.0% AF cutoff for cfDNA

123 samples). Cravat CHASM-v4.3 (http://hg19.cravat.us/CRAVAT/) was used to 124 annotate variants with resulting protein changes and snp annotation from ExAC [12] 125 and 1000Genomes [13]. Variant calls from buffy coat DNA were used to remove 126 germline variants from the 14 cfDNA samples in a patient matched manner. SNP and 127 sequencing artifact filtering, data organization, and figure preparation were 128 performed in R (v3.4.2). The R package ComplexHeatmaps was used to generate 129 figures 1 and 3A [14]. CNVKit was used to call copy number across all genes, however 130 only genes containing more than 3 amplicons were reported (Table 2) [15]. DNA from 131 the buffy coat of the cfDNA cohort was used to generate a single copy-number 132 reference which was used as a baseline for copy number calling on the solid tumor 133 cohort. CNKit reports copy number as a log2 ratio change. CNV were considered 134 significant above an absolute copy number above 6 ( $\log_2(6/2)=1.58$ ) or below 1 135  $(\log 2(1/2) = -1).$ 

#### 136 Data and code

137 Annotated, unfiltered, mutation and CNV data, along with R code related to this study,

138 are deposited on GitHub. (<u>https://github.com/smithng1215</u>)

#### 139 **ddPCR**

140 2 ng of cfDNA or buffy coat DNA was subjected to targeted high-fidelity
141 preamplification for 15 cycles using custom designed primers (Supplemental Table
142 2) and PCR conditions previously described [11]. Targeted preamplification products
143 were purified using QIAquick PCR Purification kit (Qiagen) and diluted at 1:20 before

144 use in ddPCR reaction. 1.5ul of diluted preamplified DNA was used as input for ddPCR reaction. ddPCR was performed for ESR1-D538G, FOXA1-Y175C, and PIK3CA-145 146 H1047R mutations. Custom ddPCR assays were developed for ESR1-D538G 147 (Integrated DNA Technologies) and FOXA1-Y175C (ThermoFisher Scientific). 148 Sequences are described in Supplementary Table 3 . PIK3CA-H1047R was analyzed 149 using PrimePCR ddPCR assay (Bio-Rad Laboratories) dHsaCP2000078 (PIK3CA)/ 150 dHsaCP2000077 (H1047R). Nuclease-free water and buffy coat-derived wildtype 151 genomic DNA as negative controls, and oligonucleotides carrying mutation of interest 152 or DNA from a cell line with mutation as positive controls were included in each run 153 to eliminate potential false positive mutant signals. An allele frequency of 0.1% was 154 used as a lower limit of detection.

#### 155 Statistical Analysis

All statistical analysis was performed in R 3.4.2. To determine if there was a significant correlation between mutational burden and copy number burden, we calculated the pearson correlation coefficient between the number of somatic mutations in each sample, with the number of significant copy number changes in each sample.

# 161 **Results**

# 162 **Development of MammaSeq<sup>™</sup> Panel**

163	To build a comprehensive list of somatic mutations in breast cancer, we combined
164	mutation calls from primary tumors in TCGA (curated list level 2.1.0.0) and limited
165	studies focused on metastatic breast cancer [16-18]. The biological function and
166	druggablity of mutated genes were investigated via Gene Ontology (GO) [19] and
167	DGIdb (v2.0) databases [20]. The information regarding FDA approved drugs was
168	downloaded from "https://www.fda.gov/Drugs" and added to our list. We used the
169	following criteria to priotrize the clinically important mutated genes:
170	• The mutated gene is among significantly mutated genes (SMGs) in primary
171	and metastatic samples.
172	• The mutated gene is clinically actionable (e.g. there is available FDA-approved
173	drug(s) against it).
174	• The mutated gene is of functional importance in cancer (e.g. kinase genes
175	were scored higher in the list).
176	• The mutation has been found in more than 5 primary tumors OR 2 metastatic
177	tumors.
178	• The mutation has been found in both primary and metastatic lesions.
179	The final mutation list was then curated and narrowed down to 80 genes and 1398
180	mutations. Additional amplicons were added to select genes to ensure sufficient
181	coverage of genes known to harbor functional copy-number variants. Amplicon probe

design was unsuccessful for 29 mutations, including all 3 mutations in the gene HLAA, yielding a final panel consisting of 688 amplicons targeting 1369 mutations across
79 genes. (Selected genes described in Table 2. Gene coverage depicted in
Supplemental Figure 1. Panel design described in supplemental data file 1).

186 The panel includes 34 of the 50 (68%) genes incorporated in AmpliSeq Cancer 187 Hotspot Panel v2. Genes that were not mutated in breast cancer (TCGA and in-house 188 data) and genes that were not considered to be clinically actionable were not 189 included. The MammaSeq<sup>™</sup> panel includes 8 of the 10 (80%) genes and ~ 91% of the 190 hotspots targeted by the Thermo Oncomine Breast cfDNA assay. MammaSeq<sup>™</sup> covers 191 14% of the base pairs covered by the Qiagen Human Breast Cancer GeneRead DNAseq 192 Targeted Array, however, it covers hotspots in over half of the genes (57%) (plus an 193 additional 34 genes). Of these panels, MammaSeq is the only one that includes CDK4 194 and CDK6, both of which can be targeted with FDA approved CDK4/6 inhibitors [21]. 195 Additional genes unique to MammaSeq include common drivers, CCND1, MTOR, and 196 FGFR4. Finaly, MammaSeq covers 68 of 315 genes targeted by the larger pan cancer 197 Foundation Medicine, FoundationOne panel. Supplemental figure 2 details the overlap in coverage between MammaSeq<sup>™</sup> and above mentioned commercially 198 199 available panels.

# 200 Characterization of Genetic Variants detected by Mammaseq in a Solid Tumor 201 Cohort

To evaluate performance in mutation detection by the MammaSeq<sup>™</sup> panel,
sequencing was carried out on a cohort of 46 solid tumor samples, with a mean read

204 depth of 2311X (Supplemental Figure 3). 4970 total variants (mean: 106, median: 205 82) were called across all patient samples. We removed identical genomic variants 206 that were present in more than 10 samples as these were likely to be sequencing 207 artifacts or common SNPs. Removing non-coding and synonymous variants vielded 208 1433 and 901 variants, respectively. To filter out less common polymorphisms, we 209 removed variants annotated in ExAC [12] or the 1000Genomes [13] databases in 210 more than 1% of the population. We removed variants with an allele frequency above 211 90% as these were likely germline. Finally, to focus on high confidence mutations, we 212 removed variants with a strand bias outside of the range of 0.5-0.6, yielding a total of 213 592 protein coding mutations (mean 12.9, median 3, IQR 3) (Figure 1).

214 Interestingly, as noted by the variation between the mean and median, the total 215 number of mutations was skewed toward a subset of samples (Figure 1-top panel). 216 408 of the 592 mutations (69%) were found in just 4 of the 46 samples (Supplemental 217 Figure 4). These 4 samples are by definition outliers, as they are all more than 1.5 218 times the IQR plus the median. 3 of these 4 samples with high mutational burden were 219 of triple negative subtype, the fourth being ER<sup>+</sup>/HER2<sup>+</sup>. The most common mutated 220 genes were TP53 (57%) and PIK3CA (43%). We also noted common mutations in 221 ESR1 (21%), ATM (21%) and ERBB2 (17%).

To examine CNV changes, we established a baseline for pull down and amplification
efficiency by performing MammaSeq<sup>™</sup> on normal germline DNA from 14 samples (7
patients – 6 additional). CNVkit [15] was used to pool the normal samples into single
reference and then call CNV in the solid tumor cohort (Figure 1). CNV were identified

in many common oncogenes including *CCND1*, *MYC*, *FGFR1* and others. 2 of the 3 *ERBB2*<sup>+</sup> samples (via clinical assay) showed CNV by MammaSeq. FGF19 and CCND1
were co-amplified in 9 of the 46 (20%) solid tumors. Both genes are located on 11q13,
a band identified in GWA studies as harboring variants, including amplifications,
associated with ER<sup>+</sup> breast cancers [22]. There wasn't a correlation between
mutational burden and copy number burden (pearson correlation p-value = 0.7445).

#### 232 Clinical Utility of Genetic Variants Detected by MammaSeq

233 To determine how many of the mutations have putative clinical utility, we utilized the 234 OncoKB precision oncology knowledge database [23]. 25 of the genes in the 235 MammaSeq<sup>TM</sup> panel (32% of the panel) harbor clinically actionable variants with 236 supporting clinical evidence (OncoKB levels 1-3). In total, we identified 28 actionable 237 variants (26 SNV and 2 ERBB2 amplifications) that have supporting clinical evidence 238 (level 1-3) and an additional 3 actionable variants supported by substantial research 239 evidence (level 4) in the solid tumor cohort (Table 3). The 26 SNVs were distributed 240 across 20 of the 46 cases (43%) (Figure 2). Consistent with the report detailing the 241 development of the OncoKB database [24], the vast majority of actionable variants in 242 breast cancer are annotated at level 3, indicating that variants have been used as 243 biomarkers in Clinical Trials, however they are not FDA approved. In fact, the only 244 level 1 annotated variant in breast cancer is *ERBB2* amplification.

#### 245 Characterization of Genetic Variants detected by Mammaseq in cfDNA

To examine the potential of MammaSeq<sup>™</sup> to detect variants in cfDNA, we sequenced
14 cfDNA samples isolated from 7 patients with metastatic disease. cfDNA samples
were sequenced to a mean depth of 1810X, while matched buffy gDNA was sequenced
to a mean depth of 425X (Supplemental figure 4).

250 We applied the same filtering pipeline to the cfDNA variants and solid tumor variants, except in the smaller cohort we removed all identical variants found in more than 4 251 252 samples, and lowered the minimum allele frequency to 1.0%. We identified a total of 253 43 somatic mutations across the 14 cfDNA samples (mean: 3.1, median 1, IQR 1.75) 254 (Figure 3A). Similar to the solid tumor cohort, a single draw from 1 patient (CF 28-255 Draw 1) harbored 25 of the 13 (58%) total mutations. Using the same definition, this 256 sample is also an outlier. Similar to the solid tumor cohort, PIK3CA and ESR1 were 257 among the most commonly mutated genes.

258 Two of the identified somatic mutations (each identified in 2 draws from 1 patient) 259 are annotated at level 3 in the OncoKB database, ESR1 - D538G and PIK3CA - H1047R 260 (Figure 3A). The ESR1 mutation was identified in 2 separate blood draws from patient 261 CF 28 taken 13 months apart. Interestingly, the FOXA1 – Y175C mutation was also 262 identified in the same draws from patient CF 28 (Figure 3B). The allele frequencies 263 of these mutations strongly correlate with levels of cancer antigen 27-29 (CA-27.29), 264 indicating that the mutation frequencies are likely an indicator of disease burden. 265 Mutations identified in all three genes (ESR1, PIK3CA, and FOXA1) were 266 independently validated using ddPCR (Supplemental Figure 5).

#### 267 **Discussion**

268 Advances in the accuracy, cost, and analysis of NGS make it an ideal platform to 269 develop diagnostics that can be used to precisely identify treatment options. 270 MammaSeq was developed to comprehensively cover known driver mutation 271 hotspots specifically in primary and metastasis breast cancer that would identify 272 mutations with potential prognostic value. Typically, NGS diagnostics are reserved 273 for late stage disease. As a result, (as noted in our previous publication[8]), the solid 274 tumor cohort was significantly enriched for metastatic disease and markers of poor 275 prognosis - triple negative subtype, late presentation, and therapy resistance.

276 Consistent with previous mutational studies, we report that a small subset of breast 277 cancers harbor high mutational burden [25]. Across a variety of cancers, groups have 278 demonstrated the correlation between the tumor mutation burden (TMB) and the 279 efficacy of immunotherapy checkpoint inhibitors (reviewed here [26]). However, the 280 ability to accurately depict tumor mutation burden is dependent on the percentage of 281 the covered exome. Illumina have shown that the TruSight Tumor 170 panel (170 282 genes and 0.524 Mb) begins to skew the TMB upwards, when used on samples that 283 contain relatively few mutations [27]. A previous study by Chalmers et al. used a 284 computational model to show that below 0.5Mb, TMB measurements are highly 285 variable and unreliable [28]. The MammaSeq<sup>™</sup> panel covers just 82,035bp (0.08Mb), 286 and we speculate that it cannot be used to calculate a mutational burden comparable 287 to whole exome based studies. That being said, the stark difference in the total

number of mutations identified in the subset of 4 tumor samples, suggests that theymay be suited for immunotherapy.

290 Liquid biopsies are beginning to be utilized clinically after numerous proof-of-291 principle studies have demonstrated the potential of circulating cell-free DNA 292 (cfDNA) for prognostication, molecular profiling, and monitoring disease burden [11, 293 29-33]. We have demonstrated that the MammaSeg<sup>™</sup> panel can be used to identify 294 mutations in cfDNA. For one patient (CF\_28), we have cfDNA data from 5 blood draws 295 taken over the course of 13 months. The sharp drop-off in the number of somatic 296 mutations identified between the first and second draws co-occurs with a decrease in 297 CA.27.29 levels, suggesting that the patient may have responded well to treatment, 298 leading to disappearance of sensitive clones. In the later blood draws, we did not 299 observe an increase in the total number of somatic mutations, however, we did find 300 an increase in the allele frequency of ESR1-D538G and FOXA1-Y175C mutations, 301 which may be caused by therapeutic selection of resistant clones.

302 High-throughput genotyping of solid tumors and continual monitoring of disease 303 burden through sequencing of cfDNA represent potential clinical applications for NGS 304 technologies. It should be noted that targeted DNA sequencing panels such as 305 MammaSeq<sup>TM</sup> are far less comprehensive than whole exome sequencing and they do 306 not allow for evaluation of structural variants, which can often lead to gene fusions 307 that function as drivers [34]. Nevertheless, as a focused panels represent cost-308 effective and useful alternatives to whole exome sequencing for targeted mutation 309 detection.

# 310 **Conclusions**

- Here we report the development of MammaSeq<sup>™</sup>, a targeted sequencing panel
- 312 designed based on current knowledge of the most common, impactful, and targetable
- drivers of metastatic breast cancer. This data provides further evidence for the use of
- 314 NGS diagnotsics in the management of advanced breast cancers.

#### 315 List of Abbreviations

- 316 cfDNA: circulating-free cfDNA
- 317 CNV: Copy Number Variants
- 318 ddPCR: Droplet Digital PCR
- 319 dgIDB: Drug-Gene Interaction Database
- 320 gDNA: Genomic DNA
- 321 GO: Gene Ontology
- 322 GWAs: Genome Wide Association studies
- 323 IDC: Invasive Ductal Carcinoma
- 324 ILC: Invasive Lobular Carcinoma
- 325 ISP: Ion Sphere particles
- 326 MBC: Metastatic Breast Cancer
- 327 NGS: Next Generation Sequencing
- 328 PGM: Ion Torrent Personal Genome Machine
- 329 SMG: Significantly Mutated Gene
- 330 SNP: Single Nucleotide Polymorphism
- 331 SNV: Single Nucleotide Variant
- 332 TCGA: The Cancer Genome Atlas
- 333 TMB: Tumor Mutational Burden

#### 334 **Declarations**

#### 335 Ethics approval and consent to participate

- 336 The research was performed under the University of Pittsburgh IRB approved
- 337 protocol PR016030066.

#### 338 **Consent for publication**

339 Not applicable.

#### 340 Availability of data and material

- 341 Annotated, unfiltered, mutation and CNV data, along with R code related to this study,
- are deposited on GitHub (<u>https://github.com/smithng1215</u>).

# 343 **Competing Interests**

- 344 RJH received salary and has ownership interest (including patents) in Foundation
- 345 Medicine and is currently an employee at AstraZeneca. Other authors declare that
- 346 they have no conflict of interests to report.

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# 352 Author Contributions

353	RJH, AB and AVL designed the MammaSeq panel. NGS and RG analyzed data and wrote
354	manuscript. AMB, SP, and KK collected samples. AIW, PCL, and GG performed sample
355	processing, quality control, and sequencing. NGS, RG, and AVL analyzed data and
356	wrote the manuscript. SO, YEN, and MNN provided critical feedback on panel design
357	and manuscript writing. All authors read and approved the final manuscript.

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#### 365 Figure Legends

Fig 1: Genetic alterations identified by the MammaSeq<sup>™</sup> gene panel in a test
cohort of 46 breast cancers. Oncoprint depicting the distribution of somatic
mutations, copy-number amplifications (absolute copy-number greater than 6), and
deletions (absolute copy-number less than 1).

Fig 2: Clinical Actionality of MammaSeq<sup>™</sup> identified somatic alterations. (A.)
Annotation levels, adapted from OncoKB[23] (B.) Samples were categorized based on
the most actionable alteration. Specific alterations and associated drugs are depicted
in Table 3.

374 Fig 3: Genetic alterations identified in cfDNA from a test cohort of 7 patients 375 with metastatic invasive ductal carcinoma. (A.) Oncoprint of somatic mutations 376 identified in 14 cfDNA samples. (B.) Clinical timeline and mutant allele frequency of 377 ESR1-D538G and FOXA1-Y175C mutations in serial blood draws from patient CF28. 378 The timeline starts with diagnosis of metastasis and shows tumor marker 379 assessments (CA 27.29 antigen line graph), mutant allele frequency (bar graphs), 380 LLoD (dotted line), blood draws (syringe), and treatments received. Treatment 381 abbreviations: AI (aromatase inhibitor), SERD (selective estrogen receptor 382 degrader), Ev. (Everolimus), Antimb. (Antimetabolite), Platin (Platinum-based 383 chemotherapy).

384 Supplemental Figure 1: MammaSeq<sup>™</sup> gene coverage. The percentage of protein
385 coding bases pairs in each gene that is sequenced by the MammaSeq<sup>™</sup> panel.

386 **Supplemental Figure 2:** Coverage overlap between MammaSeq<sup>™</sup> and select 387 commercially available panels used in breast cancer. Overlap of genes present in the 388 MammaSeq<sup>TM</sup> panel and the **(A.)** Foundation Medicine FoundationOne panel **(B.)** 389 Thermo Ion AmpliSeq Cancer Hotspot Panel (v2) (C.) Qiagen GeneRead Human 390 Breast Cancer Panel and the (D.) Thermo Oncomine Breast cfDNA Assay. Overlap of 391 the number of base pairs covered for the (E.) Qiagen GeneRead and (F.) Thermo 392 Oncomine panels were calculated as these panel designs are publicly available. 393 **Supplemental Figure 3:** Mean sequencing read depth for **(A.)** the 46 solid tumor

- 394 cohort. **(B.)** isolated mononuclear cells from the 14 cfDNA draws and **(C.)** the 14
- cfDNA samples.
- 396 Supplemental Figure 4: Tumor mutational burden across all samples in the 46 solid
  397 tumor cohort. (A.) Total detected mutations for each sample.

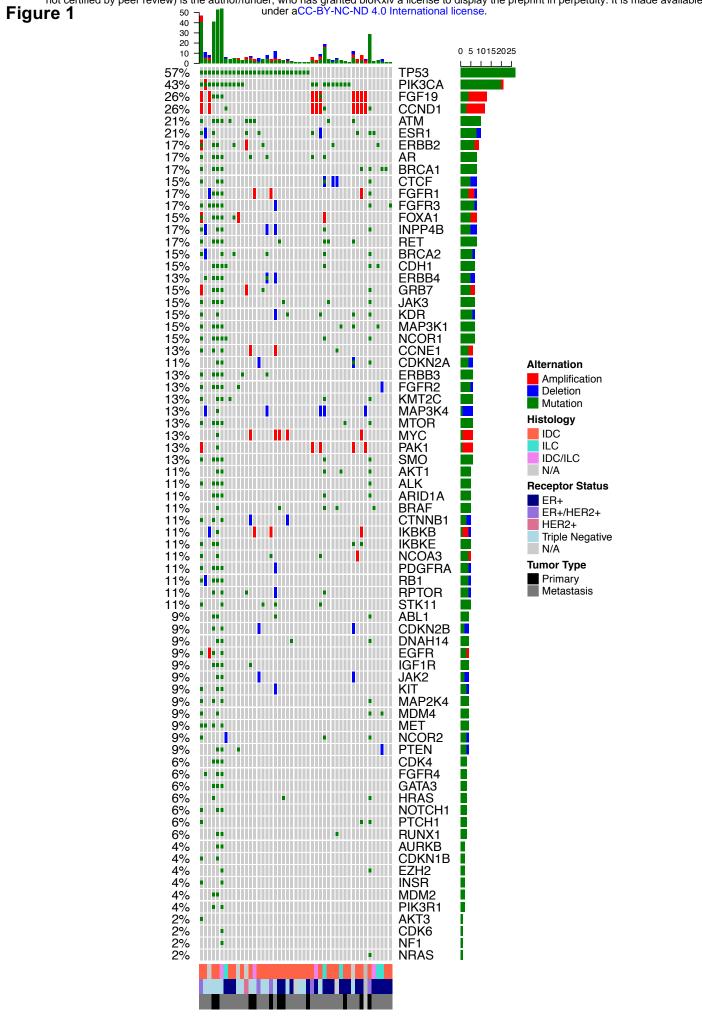
Supplemental Figure 5: ddPCR validation of mutations identified by MammaSeq<sup>™</sup>
is indicated along with mutant allele frequencies for (A.) ESR1-D538G, (B.) FOXA1Y175C, and (C.) PIK3CA-H1047R.

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485



# Figure 2

Α



**Level 2:** Standard of care biomarker predictive of a response to an FDA approved drug

**Level 3:** Compelling clinical evidence supports the biomarker as being predictive of a response to a drug

**Level 4:** Compelling biological evidence supports the biomarker as being predictive of a response to a drug

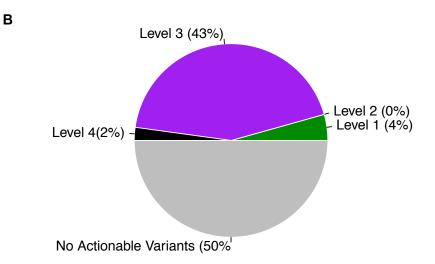
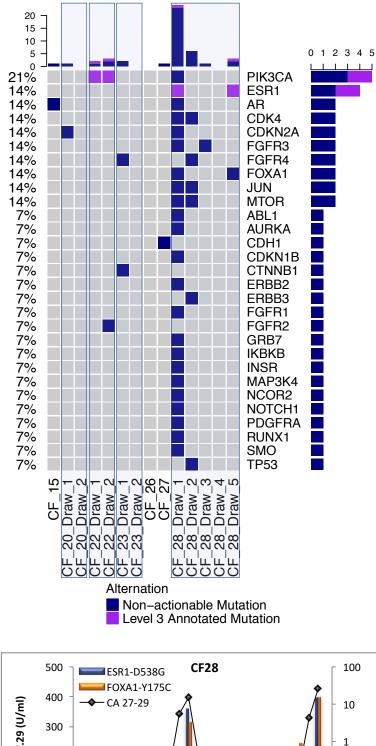


Figure 3

Α









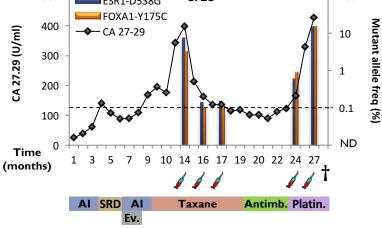


Table 1: Patient and Specimen Characteristics.				
	Patients with available			
	tumor tissue (n=46)			
Age				
Median age (yrs)	45			
Range (yrs)	31-71			
Race				
White	45 (97.8%)			
Black	1 (2.2%)			
Site				
Primary	10 (21.7%)			
Metastatic	36 (78.3%)			
Stage (Dx)				
1	10 (21.7%)			
11	8 (17.4%)			
111	13 (28.3%)			
IV	4 (8.7%)			
Unknown	11 (23.9%)			
Hormone-receptor				
HR + and HER2 –	19 (41.3%)			
HR + and HER2 +	5 (10.9%)			
HR + and HER2 Unknown	1 (2.2%)			
HR – and HER2 +	1 (2.2%)			
HR – and HER2 –	17 (36.9%)			
Both Unknown	2 (4.3%)			
Histopathology				
Ductal	34 (73.9%)			
Lobular	5 (10.9%)			
Mixed	3 (6.5%%)			
Other/Unknown	4 (8.7%)			

Table 1: Patient and Specimen Characteristics.

Table 2: 79 Genes incorporated in the MaritmaSeq 1M gene panel.					
ABL1	CDK6	FGFR3	KDR	NOTCH1	
AKT1	CDKN1B	FGFR4	KIT	NRAS	
AKT3	CDKN2A	FOXA1	KMT2C	PAK1	
ALK	CDKN2B	GATA3	KRAS*	PDGFRA	
AR	CTCF	GRB7	MAP2K4	PIK3CA	
ARID1A	CTNNB1	HIST2H2BE*	MAP3K1	PIK3R1	
ATM	DNAH14	HRAS*	MAP3K4	PTCH1	
AURKA	EGFR	IDH1*	MDM2	PTEN	
AURKB	ERBB2	IGF1R	MDM4	RB1	
BRAF	ERBB3	IKBKB	MET	RET	
BRCA1	ERBB4	IKBKE	MTOR	RPTOR	
BRCA2	ESR1	INPP4B	MYC	RUNX1	
CCND1	EZH2*	INSR	NCOA3	SMO	
CCNE1	FGF19	JAK2	NCOR1	STK11	
CDH1	FGFR1	JAK3	NCOR2	TP53	
CDK4	FGFR2	JUN*	NF1		

Table 2: 79 Genes incorporated in the MammaSegTM gene panel.

 $^{\ast}$  denotes genes with less than 3 amplicons, for which copy number changes were not reported

Sample ID	Gene	Protein Sequence Change	Allele Frequency	Level	Drugs
MET_03	ERBB2	Amplification	-	1	Lapatinib + Trastuzumab, Pertuzumab + Trastuzumab,
MET_33	ERBB2	Amplification	-	1	Ado-trastuzumab emtansine, Lapatinib, Trastuzumab
MET_39	AKT1	E17K	0.25	3	AZD5363
MET_18	ERBB2	1654V	0.122222	3	
MET_32	ERBB2	1654V	0.461731	3	Neratinib
MET_49	ERBB2	1654V	0.495495	3	
MET_07	ESR1	D538G	0.477717	3	
MET_21	ESR1	D538G	0.335884	3	AZD0406 Eulyestrept
MET_28	ESR1	D538G	0.454271	3	AZD9496, Fulvestrant
MET_27	ESR1	Y537S	0.376441	3	
MET_22	PIK3CA	E453K	0.444722	3	
MET_10	PIK3CA	E542K	0.106212	3	
MET_21	PIK3CA	E542K	0.501912	3	
MET_41	PIK3CA	E542K	0.073183	3	
MET 49	PIK3CA	E542K	0.467702	3	
	PIK3CA	E545K	0.204327	3	
	PIK3CA	E545K	0.0871914	3	Buparlisib, Serabelisib, Alpelisib
	PIK3CA	E545K	0.844344	3	+ Fulvestrant, Copanlisib, GDC-
	PIK3CA	H1047R	0.341171	3	0077, Alpelisib, Taselisib + Fulvestrant, Buparlisib +
	PIK3CA	H1047R	0.180681	3	Fulvestrant, Bupanisib +
MET 32	PIK3CA	H1047R	0.2785	3	<b>,</b>
MET_33	PIK3CA	H1047R	0.413998	3	
MET 38	PIK3CA	H1047R	0.384692	3	
MET_44	PIK3CA	H1047R	0.60054	3	
MET_06	PIK3CA	N345K	0.376571	3	
MET_35	PIK3CA	Q546R	0.435484	3	
PR 26	BRAF	G469A	0.52028	4	LTT462, BVD-523, KO-994
MET_34	KRAS	G12D	0.074	4	LY3214996, KO-947, GDC-1014
MET_22	PTEN	C136Y	0.756233	4	,
MET_01	PTEN	R130Q	0.116279	4	AZD6482 + Alpelisib
CF_28_Draw_1	ESR1	D538G	0.0746562	3	
CF_28_Draw_5	ESR1	D538G	0.146853	3	AZD9496, Fulvestrant
CF_22_Draw_1	PIK3CA	H1047R	0.320088	3	Buparlisib, Serabelisib, Alpelisib + Fulvestrant, Copanlisib, GDC- 0077, Alpelisib, Taselisib +
CF_22_Draw_2	PIK3CA	H1047R	0.402402	3	Fulvestrant, Buparlisib + Fulvestrant, Taselisib