

1 Maternal pre-pregnant obesity is associated with cord blood 2 metabolomics in a multi-ethnic cohort

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20 Data described in the manuscript, code book, and analytic code will be made available upon request
21 pending.

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35 **Background**

36 Maternal obesity has become a growing global health concern that impacts fetal health and subsequently
37 predisposes the offspring to medical conditions later in life. However, the metabolic link between maternal
38 pre-pregnant obesity and offspring has not yet been fully elucidated.

39 **Objective**

40 This study aims to investigate metabolomics changes in fetal cord blood associated with obese (BMI>30)
41 and normal pre-pregnant weight (18.5<BMI<25) mothers.

42 **Design**

43 In this study, we conducted a case-control study using coupled untargeted and targeted metabolomics
44 approach, from the newborn cord blood metabolomes associated with a matched discovery cohort of 28
45 cases and 29 controls for maternal pre-pregnant obesity. The subjects are recruited from multi-ethnic
46 populations in Hawaii, including rarely reported Native Hawaiian and other Pacific Islanders (NHPI). The
47 results are subsequently validated in by an indepdent cohort of 12 cases and 18 controls.

48

49 **Results**

50 Maternal obesity is the most important factor contributing to differences in cord blood metabolomics. Using
51 elastic net regularization based logistic regression model, we identify 29 metabolites as early-life
52 biomarkers manifesting intrauterine effect of maternal obesity, with accuracy as high as 0.947 even after
53 adjusting for clinical confounding (maternal ethnicity etc). This obese model is validated in a separate
54 cohort (N=30) with accuracy of 0.822. Among the metabolites, six metabolites of them (galactonic acid,
55 butenylcarnitine, 2-hydroxy-3-methylbutyric acid, phosphatidylcholine diacyl C40:3, 1,5-anhydrosorbitol,
56 and phosphatidylcholine acyl-alkyl 40:3) are individually significantly different between the maternal
57 obese vs. norm-weight groups. Interestingly, hydroxy-3-methylbutyric acid shows significantly higher levels
58 in cord blood from the NHPI group in the discovery cohort, compared to asian and caucasian groups. This
59 trend is also observed in the validation cohort.

60

61 **Conclusions**

62 The work here demonstrates the significant associations between maternal pre-pregnant obesity and
63 offspring metabolomics alternation at birth, revealing the inter-generational impact of maternal obesity.

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65

66 **Keywords**

67 Metabolite; metabolomics; obesity; native hawaiian; polynesian; bioinformatics; analysis; mother

68

69 **Introduction**

70

71 Obesity is a global health concern. While some countries have a relative paucity of obesity, in the United
72 States, obesity affects 38% of adults (1, 2). As such, maternal obesity has risen to epidemic proportions in
73 recent years and can impose significant risk to both the mother and unborn fetus. Recently, research has

74 focused on the association of maternal health during pregnancy and the subsequent effects on the future
75 health of offspring (3). Since the inception of Barker's hypothesis in the 1990's, efforts to connect
76 intrauterine exposures with the development of disease later in life has been the subject of many studies
77 (4). Both obesity and its accompanying morbidities, such as diabetes, cardiovascular diseases and cancers,
78 are of particular interest as considerable evidence has shown that maternal metabolic irregularities may
79 have a role in genotypic programming in offspring (5, 6). Identifying markers of predisposition to health
80 concerns or diseases would present an opportunity for early identification and potential intervention, thus
81 providing life-long benefits (7-9).

82 Previous studies have found that infants born to obese mothers consistently demonstrate elevation of
83 adiposity and are at more substantial risk for the development of metabolic disease (10). While animal
84 models have been used to demonstrate early molecular programming under the effect of obesity, human
85 research to elucidate the underlying mechanisms in origins of childhood disease is lacking (11). In
86 *Drosophila melanogaster*, offspring of females given a high-sucrose diet exhibited metabolic aberrations
87 both at the larvae and adult developmental stages (12, 13). Though an invertebrate model, mammalian lipid
88 and carbohydrate systems show high level of conservation in *Drosophila melanogaster* (14, 15). In a mouse
89 model of maternal obesity, progeny demonstrated significant elevations of both leptin and triglycerides
90 when compared with offspring of control mothers of normal weight (5). The authors proposed that
91 epigenetic modifications of obesogenic genes during intrauterine fetal growth play a role in adaption to an
92 expected future environment. Recently, Tillery *et al.* used a primate model to examine the origins of
93 metabolic disturbances and altered gene expression in offspring subjected to maternal obesity (16). The
94 offspring consistently displayed significant increases in triglyceride level and also fatty liver disease on
95 histologic preparations. However, human studies that explore the fetal metabolic consequences of maternal
96 obesity are still in need of investigation.

97
98 Metabolomics is the study of small molecules using high throughput platforms, such as mass spectroscopy
99 (17). It is a desirable technology that can detect distinct chemical imprints in tissues and body fluids (18).

100 The field of metabolomics has shown great promise in various applications including early diagnostic
101 marker identification (19), where a set of metabolomics biomarkers can differentiate samples of two
102 different states (eg. disease and normal states). Cord blood metabolites provide information on fetal
103 nutritional and metabolic health (20), and could provide an early window of detection to potential health
104 issues among newborns. Previously, some studies have reported differential metabolite profiles associated
105 with pregnancy outcomes such as intrauterine growth restriction (21) and low birth weight (22). For
106 example, abnormal lipid metabolism and significant differences in relative amounts of amino acids were
107 found in metabolomic signatures in cord blood from infants with intrauterine growth restriction in
108 comparison to normal weight infants (21). In another study higher phenylalanine and citrulline levels but
109 lower glutamine, choline, alanine, proline and glucose levels were observed in cord blood of infants of low-
110 birth weight (22). However, thus far no metabolomics studies have been reported to specifically investigate
111 the impact of maternal obesity on metabolomics profiles in fetal cord blood (21-24).

112
113 This study aims to investigate metabolomics changes in fetal cord blood associated with obese (BMI>30)
114 and normal pre-pregnant weight (18.5<BMI<25) mothers. Uniquely, we recruited mothers from the multi-
115 ethnic population in Hawaii, including Native Hawaiian and other Pacific Islanders (NHPI). NHPI is a
116 particularly under-represented minority population across most scientific studies. To ensure the quality of
117 the study, we enrolled the mothers undergoing elected C-sections without any clinically known gestational
118 diseases. In addition to the cord blood samples of their babies at birth, we collected comprehensive EMR
119 records from the subjects, other maternal and paternal parameters such as ethnicities. To confirm the
120 scientific rigor, we validated the model and observations from another case-control cohort of 30 subjects.
121 This study has discovered the metabolomic links between cord blood and maternal pre pregnant obesity
122 and identified potential early-life metabolite biomarkers associated with maternal obesity.

123

124 **Methods**

125

126 **Study population**

127 We performed a multi-ethnic case-control study at Kapiolani Medical Center for Women and Children,
128 Honolulu, HI from June 2015 through June 2017. The study was approved by the Western IRB board
129 (WIRB Protocol 20151223). To avoid confounding of inflammation accompanying labor and natural births
130 (25) we recruited women scheduled for full-term cesarean section at ≥ 37 weeks gestation. All subjects
131 fasted for at least 8 hours before the scheduled cesarean delivery. Patients meeting inclusion criteria were
132 identified from pre-admission medical records with pre-pregnancy BMI ≥ 30.0 (cases) or 18.5-25.0
133 (controls). The pre-pregnancy BMIs were also confirmed during the enrollment. Women with preterm
134 rupture of membranes (PROM), labor, multiple gestations, pre-gestational diabetes, hypertensive disorders,
135 cigarette smokers, HIV, HBV, and chronic drug users were excluded. Clinical characteristics were
136 recorded, including maternal and paternal age, maternal and paternal ethnicities, mother's pre-pregnancy
137 BMI, net weight gain, gestational age, parity, gravidity and ethnicity. For the discovery cohort, a total of
138 57 subjects (28 cases and 29 controls) were recruited. Additionally, to confirm the results, we recruited 30
139 subjects (12 cases and 18 controls) from the same site but different time interval (July 2017 to June 2018).

140

141 **Sample collection, preparation and quality control**

142 Cord blood was collected under sterile conditions at the time of cesarean section using Pall Medical cord
143 blood collection kit with 25 mL citrate phosphate dextrose (CPD) in the operating room. The umbilical cord
144 was cleansed with chlorhexidine swab before collection to ensure sterility. The volume of collected blood
145 was measured and recorded before aliquoting to conicals for centrifugation. Conicals were centrifuged at
146 200g for 10 minutes, with break off, and plasma was collected. The plasma was centrifuged at 350g for 10
147 minutes, with break on, aliquoted into polypropylene cryotubes, and stored at -80C.

148

149 **Metabolome profiling**

150 The plasma samples were thawed and extracted with 3-vol cold organic mixture of ethanol: chloroform and
151 centrifuged at 4 °C at 13500 rpm for 20 min. The supernatant was split for lipid and amino acid profiling
152 with an Acquity ultra performance liquid chromatography coupled to a Xevo TQ-S mass spectrometry
153 (UPLC-MS/MS, Waters Corp., Milford, MA). Metabolic profiling of other metabolites including organic
154 acids, carbohydrates, amino acids, and nucleotides were measured with an Agilent 7890A gas
155 chromatography coupled to a Leco Pegasus time of flight mass spectrometry (Leco Corp., St Joseph, MI).
156 The raw data files generated from LC-MS (targeted) and GC-MS (untargeted) were processed with
157 TargetLynx Application Manager (Waters Corp., Milford, MA) and ChromaTOF software (Leco Corp., St
158 Joseph, MI) respectively. Peak signal, mass spectral data, and retention times were obtained for each
159 metabolite. The detected metabolites from GC-MS were annotated and combined using an automated mass
160 spectral data processing (AMSDP) software package (26). The levels of lipids and amino acids detected
161 from LC-MS were calculated with calibration curves established with reference standards.

162 **Metabolomics data processing**

163 We conducted data pre-processing similar to the previous report (27). Briefly, we used K-Nearest
164 Neighbors (KNN) method to impute missing metabolomics data (28). To adjust for the offset between high
165 and low-intensity features, and to reduce the heteroscedasticity, the logged value of each metabolite was
166 centred by its mean and autoscaled by its standard deviation (29). We used quantile normalization to reduce
167 sample-to-sample variation (30). We applied partial least squares discriminant analysis (PLS-DA) to
168 visualize how well metabolites could differentiate the obese from normal samples. To explore the
169 contribution of different clinical/physiological factors to metabolomics data, we conducted source of
170 variation analysis. We used comBat Bioconductor R package (31) to adjust for the batch effects in the
171 metabolomics data.

172 **Classification modeling and evaluation**

173 To reduce the dimensionality of our data (230 metabolites vs 57 samples), we selected the unique
174 metabolites associated with separating obese and normal status. To achieve this, we used a penalized

175 logistic regression method called elastic net that was implemented in the `glmnet` R package (32). Elastic
176 net method selects metabolites that have non-zero coefficients as features, guided by two penalty parameters
177 alpha and lambda (32). Alpha sets the degree of mixing between lasso (when alpha=1) and the ridge
178 regression (when alpha=0). Lambda controls the shrink rate of coefficients regardless of the value of alpha.
179 When lambda equals zero, no shrinkage is performed and the algorithm selects all the features. As lambda
180 increases, the coefficients are shrunk more strongly and the algorithm retrieves all features with non-zero
181 coefficients. To find optimal parameters, we performed 10-fold cross-validation that yield the smallest
182 prediction minimum square error (MSE). We then used the metabolites selected by the elastic net to fit the
183 regularized logistic regression model. Three parameters were tuned: cost, which controls the trade-off
184 between regularization and correct classification, logistic loss and epsilon, which sets the tolerance of
185 termination criterion for optimization.

186 To construct and evaluate the model, we divided samples into 5 folds. We trained the model on four folds
187 (80% of data) using leave one out cross validation (LOOCV) and measured model performance on the
188 remaining fold (20% of data). We carried out the above training and testing five times on all folds
189 combination. We plotted the receiver-operating characteristic (ROC) curve for all folds prediction using
190 `pROC` R package. To adjust confounding other clinical covariants such as ethnicity, gravidity and parity,
191 we reconstructed the metabolomics model above by including these factors.

192 **Analysis on metabolite features**

193 We used Classification And REgression Training (CARET) R package to rank metabolites based on the
194 model-based approach (33). In this approach, each metabolite was assigned a score that estimates its
195 contribution to the model performance (34). These scores were scaled to have a maximum of 100. We
196 performed metabolomic pathway analysis on metabolites chosen by the elastic net method using
197 Consensus Pathway DataBase (CPDB). We used `rcorr` function implemented in `Hmisc` R package to
198 compute the correlations among clinical and metabolomics data.

199 **Data availability**

200 The metabolomics data generated by this study is deposited to Metabolomics workbench (Study ID
201 ST001114).

202

203 **Results**

204

205 **Cohort subjects characteristics**

206 Our discovery cohort consisted of three ethnic groups: Caucasian, Asian and Native Hawaiian and other
207 Pacific Islander (NHPI). Women undergoing scheduled cesarean delivery were included based on the
208 previously described inclusion and exclusion criteria (Methods). Demographical and clinical characteristics
209 in obese and control groups are summarized in Table 1. In the Caucasian group (10 mothers), 6 were
210 categorized as non-obese and 4 as obese. In the Asian group (23 mothers), 16 were categorized as non-
211 obese and 7 as obese. In the NHPI group (24 mothers), 7 (24%) were categorized as non-obese and 17
212 (61%) as obese. The variation in recruitment of cases versus controls in each ethnic background reflects
213 the demographics in Hawaii. Compared to mothers of normal pre-pregnant BMI, obese mothers have
214 significantly higher pre-pregnancy BMI (33.51 \pm 4.49 vs 21.89 \pm 1.86 kg/m², p=9.18e-11). Mothers have
215 no statistical difference regarding their ages (32.10 \pm 4.88 vs 32.48 \pm 5.66, p=0.7) or gestational age
216 (39.04 weeks \pm 0.22 vs 38.93 \pm 0.45 p=0.38), excluding the possibility of confounding from these factors.
217 Babies of obese mothers have significantly (P=0.03) higher birth weight compared to the normal pre
218 pregnant weight group, consistent with earlier observations (35, 36).

219

220 **Preliminary assessment of metabolomics results**

221 We detected a total of 230 metabolites, including 79 untargeted and 151 targeted metabolites (11 amino
222 acids, and 140 lipids). To explore which clinical/physiological covariates are associated with the variations
223 in the metabolomics, we conducted source of variation analysis. Indeed, maternal obesity is the
224 predominant most important factor contributing to metabolomic difference, rather than other factors

225 (Figure 1A). To test if these metabolites allow clear separation between the obese and normal-weight
226 subjects, we used elastic net regularization based logistic regression, rather than the partial least squares
227 (PLS) model, a routine supervised multivariate method which only yielded modest accuracy AUC=0.62
228 (Figure 1S). Elastic net regularization overcomes the limitation of either ridge and lasso regularization
229 alone, and combines their strengths to identify an optimized set metabolites [25]. Using the optimized
230 regularization parameters (Figure. 2S), we identified a total of 29 metabolite features, which together yields
231 the highest predictive performance with AUC=0.97, 95 % CI=[0.904-0.986] in 20% hold-out test dataset
232 (Figure 1B). Among them, six metabolites have large contributions to the separations between case/control,
233 with an importance score of at least 70% individually (Figure 1C). These are galactonic acid,
234 butenylcarnitine (C4:1), 2-hydroxy-3-methylbutyric acid, phosphatidylcholine diacyl C40:3 (PC aa C40:3),
235 1,5-anhydrosorbitol, and phosphatidylcholine acyl-alkyl 40:3 (PC ae C40:3). Thus, metabolites selected by
236 the elastic net method indeed improved the prediction power of the model.

237

238 **Calibrated maternal-obese predictive model with consideration of confounding**

239

240 For statistical rigor, it is important to consider possible confounders, such as maternal/paternal ethnicity and
241 parity (Table 1) during the analysis. Towards this, we conducted two investigations. First, we explored the
242 correlations among the demographic factors and metabolomics data. It is evident that several metabolites
243 are correlated with maternal and paternal ethnicity, gravidity, and/or parity (Figure 2-A). For example,
244 maternal ethnicity is positively correlated with 2-hydroxy-3-methylbutyric acid. Secondly, we built a
245 logistic regression model using the above-mentioned four covariates alone (parity, gravidity, maternal and
246 paternal ethnicity). This model yields a modest AUC of 0.701 95% CI=[0.55-0.82] (Figure 3S-A), again
247 suggesting existence of confounding. These observations prompted us to recalibrate the 29-metabolite
248 elastic net model, by adjusting the metabolomics model using all collected clinical covariates (Figure 2B).
249 The resulting modified model remains to have very high accuracy, with AUC= 0.947, 95% CI= [0.87-0.97].

250 In the new model, besides the original 6 metabolite features, maternal ethnicity and paternal ethnicity also
251 have importance scores greater than 70% (Figure 2C).

252

253 **Metabolite features and their pathway and enrichment analysis**

254 The 29 metabolite features selected by the model belong to acylcarnitine, glycerophospholipid, amino acids
255 and organic acids classes. Their log fold changes ranged from -0.45 (Hydroxyhexadecenoylcarnitine, or
256 C16:1-OH) to 0.66 (2-hydroxy-3-methylbutyric acid) (Figure 3A). Among them, 15 metabolites are higher
257 in obese associated cord blood samples, including 2-hydroxy-3-methylbutyric acid, galactonic acid, PC ae
258 C40:3, Propionylcarnitine (C3), PC aa C40:3, O-butanoyl-carnitine (C4:1), Hexanoylcarnitine (C6 (C4:1 -
259 DC)) , Phosphatidylcholine diacyl C40:2 (PC aa C40:2), benzoic acid, 1,5-anhydrosorbitol,
260 Isovalerylcarnitine (C5), PC ae C40:2, L-arabitol, Octadecenoylcarnitine (C18:1) (Figure 3A, Table 2) .
261 The remaining 14 metabolites are lower in obese associated cord blood samples: malic acid, L-aspartic acid,
262 citric acid, PC ae C34:0, isoleucine, PC ae C36:2, oleic acid, PC aa C36:5, PC ae C34:3, PC ae C40:6,
263 C5:1-DC, 2-hydroxybutyric acid, myoinositol, and C16:1 -OH (Figure 3A, Table 2). The individual
264 metabolite levels of Hexanoylcarnitine (C6(C4:1-DC)), O-butanoyl-carnitine (C4:1), PC aa C40:3,
265 Propionylcarnitine (C3), PC ae C40:3, galactonic acid, and 2-hydroxy-3-methylbutyric acid increased
266 significantly in obese cases ($p < 0.05$, t-test).

267 To elucidate the biological processes in newborns that may be effected by maternal obesity, we performed
268 pathway enrichment analysis on the 29 metabolite features, using Consensus pathway database (CPDB)
269 tool (37). We combined multiple pathway databases including KEGG, Wikipathways, Reactome, EHNM
270 and SMPDB. A list of 10 pathways are enriched with adjusted p-value $q < 0.05$ (Figure 3B). Among them,
271 alanine and aspartate metabolism is the most significantly enriched pathway ($q = 0.004$). Transmembrane
272 transport of small molecules and SLC-mediated transmembrane transport are also significantly enriched
273 ($q = 0.004$ and $q = 0.01$ respectively).

274

275 **The influence of ethnicity on metabolite levels**

276 Our earlier correlational analysis suggested that maternal ethnicity may be correlated with 2-hydroxy-3-
277 methylbutyric acid level (Figure 2A). To confirm this, we conducted 2-way ANOVA statistical tests and
278 indeed obtained significant p-value ($P=0.023$, chi-square test). We thus stratified the levels of 2-hydroxy-
279 3-methylbutyric acid by ethnicity (Figure 4). There is no significant difference in normal pre pregnant-
280 weight subjects across the three ethnic groups (Figure 4A). However, in cord blood samples associated with
281 obese mothers, the concentration of 2-hydroxy-3-methylbutyric acid is much higher in NHPI, as compared
282 to Caucasians ($p=0.05$) or Asians ($p=0.04$) (Figure 4B). 2-hydroxy-3-methylbutyric acid originates mainly
283 from ketogenesis through the metabolism of valine, leucine and isoleucine (38). Since all subjects have
284 fasted 8 hours before the C-section, we expect the confounding from diets is minimized among the three
285 ethnical groups. Thus the higher 2-hydroxy-3-methylbutyric acid level may indicate the higher efficiency
286 of ketogenesis in babies born from obese NHPI mothers.

287

288 **Validation on an independent cohort**

289 To test the robustness of our results, we applied our model on a new cohort of 30 patients (18 normal-
290 weight and 12 obese). We then performed new metabolomics measurements and processed the data as
291 earlier. Using the model built on 57 samples, we tested its performance on the new 30 samples, and obtained
292 an AUC of 0.822 (95% CI= [0.74-0.89]), confirming the reproducibility of our findings. Moreover, we
293 observed a similar trend of higher concentration of 2-hydroxy-3-methylbutyric acid in NHPI compared to
294 Asians and Caucasians ($p=0.001$) in the obese group, whereas no statistical difference between ethnicities
295 exists in the control group. Moreover, within this cohort, four of the six metabolites that had large
296 contributions to the separations between case/control (importance score $> 70\%$) in the discovery cohort,
297 has consistent trend of changes in the validation cohort.

298

299 **Discussion**

300

301 This study aims to distinguish key cord blood metabolites associated with maternal pre-pregnancy obesity.
302 The novelty of the study is manifested in several folds. First, we have collected a unique multi-ethnic
303 population in Hawaii over a period of 3 years (2015-2018), which includes Asian, NHPI and Caucasians,
304 following very strict inclusion/exclusion criteria (esp. on matching gestational weight gain). Secondly, we
305 utilize state of the art metabolomics technology platform coupling GC-MS and LC-MS platforms, which
306 allows us to detect hundreds of metabolites simultaneously. Lastly, we use the state of art method called
307 elastic net based logistic regression that drastically improves the classification accuracy on cord blood
308 metabolomics data.

309 To ensure the quality of metabolomics data, our study set most stringent inclusion and exclusion criteria to
310 exclude as many confounding factors as possible. To avoid the confounding from labor and vaginal delivery,
311 we only targeted mothers having elective C-sections. We also excluded obese mothers who had known
312 complications during pregnancy, such as pre-gestational diabetes, smoking, and hypertension. These
313 criteria helped to improve the quality of the metabolomics data. To minimize confounding due to maternal
314 diet, all subjects fasted 8 hours before the Cesarean section.

315 Such careful experimental design did yield good data quality, as the source of variation analysis did show
316 that maternal obesity is the only dominate factor contributing to metabolomics difference in the cord blood.
317 Additionally, we conducted rigorous statistical modeling and found that metabolites can distinguish the two
318 maternal groups with accuracy as high as $AUC=0.97$ under cross-validation (or 0.947 after adjusting for
319 confounding effects). Metabolomics pathway analysis on the metabolite features in the model identified 10
320 significant pathways. Among them, alanine and aspartate metabolism was previously reported to be
321 associated with obesity (39). Transmembrane transport was identified as another significant pathway. The
322 transmembrane transport pathway corresponds to the acylcarnitine metabolites in the features.
323 Acylcarnitines are known transmembrane transporters of fatty acids across the mitochondrial membrane
324 (40). Among all metabolites and physiological/demographic features selected by the combined model,
325 galactonic acid has the largest impact on the model performance (importance score =86%). Galactonic acid,

326 was previously shown to be associated with diabetes in a mouse model, due to a proposed mechanism of
327 oxidative stress (41). On the other hand, maternal ethnicity has the largest impact among physiological
328 factors (importance score =84%).

329 A very few cord blood metabolomics studies have been carried out to associate with maternal obesity
330 directly, or birth weight (22, 42, 43). In a recent Hyperglycemia and Adverse Pregnancy Outcome (HAPO)
331 Study, Lowe et al. reported that branched-chain amino acids such as valine, phenylalanine, leucine/isoleucine
332 and AC C4, AC C3, AC C5 are associated with maternal BMI in a meta-analysis over 4 large cohorts (400
333 subjects in each) (43). In another study to associate cord blood metabolomics with low birth weight (LBW),
334 Ivorra et al. found that newborns of LBW (birth weight < 10th percentile, n = 20) had higher levels of
335 phenylalanine and citrulline, compared to the control newborns (birth weight between the 75th-90th
336 percentiles, n = 30) (22). They also found lower levels of choline, proline, glutamine, alanine and glucose
337 in new borns of LBW, however, there was no significant differences between the mothers of the two groups.
338 In our study, isoleucine is also identified as one of the 29 metabolite features related to maternal obesity;
339 although alanine itself is not selected by the model to be a maternal obesity biomarker in cord blood, we
340 did find that alanine and aspartate metabolism are enriched in the cord blood samples associated with
341 maternal obesity group.

342 Notably, our study has identified 5 metabolites which are previously not reported in the literature with
343 association to obesity or maternal obesity: galactonic acid, L-arabitol, indoxyl sulfate, 2-hydroxy-3-
344 methylbutyric acid and citric acid. Except citric acid, all the other four metabolites are increased in obese
345 associated cord blood samples. 2-hydroxy-3-methylbutyric acid concentrations varied by ethnicity, but only
346 in babies born from obese pre-pregnant mothers. 2-hydroxy-3-methylbutyric acid is known to accumulate
347 in high levels during ketoacidosis and fatty acid breakdown. Therefore, the higher elevation of 2-hydroxy-
348 3-methylbutyric acid is likely due to increased cellular ketoacidosis and fatty acid breakdown in new borns
349 from obese pre-pregnant mothers. To the best of our knowledge, this is the first study that shows differences
350 in the 2-hydroxy-3-methylbutyric acid concentration levels among different ethnicities. Additionally,

351 Indoxyl sulfate is a metabolite of the amino acid tryptophan. As tryptophan is commonly found in fatty
352 food, red meat and cheese, it is possible that high levels of indoxyl sulfate detected in the cord blood
353 associated with obese pre-pregnant mothers could be due to the maternal high fat diet. Oppositely, citric
354 acid, a compound associated with the citric acid cycle (44), is decreased in the cord blood associated with
355 obese pre-pregnant mothers. This could be related to the lower vegetable and fruit consumptions among
356 obese pre-pregnant mothers. In all, the data suggest that maternal obesity may impact offspring cord blood
357 metabolites. Further research into the specific mode of action of these metabolites would be beneficial in
358 understanding its association with maternal obesity.

359

360 This study may benefit from some improvmenet in the future follow-up s. We determined the subjects'
361 ethnicity by self-reporting rather than genotyping, due to the restriction of the currently approved IRB
362 protocol. Additionally, there has been debates on the use of BMI as an indicator of obesity (45), more direct
363 measures of body fat could be considered such as skin-fold thickness measurements, bioelectrical
364 impedance and energy x-ray absorptiometry (46, 47). Nevertheless, this study has established relationships
365 between cord blood metabolomics with maternal pre-pregnant obesity, which in turn is associated with
366 social economical disparities.

367

368 **Conclusion**

369

370 In this study, we identified 29 metabolites that are associated with maternal obesity, 5 of which are
371 previously unreported in the literature. These metabolites have the potential to be maternal obesity-related
372 bio-markers in newborns that warranty dietary interventions in early-life.

373

374

375 **Author Contributions**

376 LXG envisioned the project, obtained funding, designed and supervised the project and data analysis. RJS,
377 IC, PAB and SJC collected the samples. AG prepared the plasma samples. FMA analyzed the data. GX
378 performed the metabolomics experiments. RJS, FMA, PAB, AG, GX, SJC and LXG wrote the manuscript.
379 All authors have read, revised, and approved the manuscript.

380

381 **Competing financial interests**

382 The authors declare no competing financial interests.

383

384 **Acknowledgements**

385 We thank Drs. Joseph Kaholokula and Alike Maunakea from the Native Hawaiian Health Department of
386 University of Hawaii for giving suggestions. The authors acknowledge the services provided by the
387 Molecular and Cellular Immunology Core which is funded in part by P30GM114737 from the Centers of
388 Biomedical Research Excellence (COBRE) program of the National Institute of General Medical Sciences,
389 a component of the National Institutes of Health. Dr. Lana Garmire's research is supported by grants
390 K01ES025434 awarded by NIEHS through funds provided by the trans-NIH Big Data to Knowledge
391 (BD2K) initiative (<http://datascience.nih.gov/bd2k>), P20 COBRE GM103457 awarded by NIH/NIGMS,
392 R01 LM012373 awarded by NLM, and R01 HD084633 awarded by NICHD to LX Garmire. Funding was
393 also provided in part by the Department of Obstetrics and Gynecology, University of Hawaii. The
394 metabolomics services were provided by the UH Cancer Center Metabolomics Shared Resource.

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581 **Tables**

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584 **Table 1:** Demographical and clinical characteristics in obese and control groups

	Control(n=29)	Case(n=28)	P-value*
	Mean (SD)		
Maternal age, years	32.48 (5.66)	32.10 (4.88)	0.78
Paternal age, years	34.68(7.14)	35.21(6.43)	0.79
Pre-pregnancy BMI, kg/m ²	21.89(1.86)	33.51(4.49)	1.12 e-14
Gestational Age, Weeks	39.04(0.218)	38.93(0.45)	0.3812
Net weight gain	30.85(10.92)	29.4(13.55)	0.7335
Baby weight (kg)	3.29(0.32)	3.54(0.5)	0.03
Head Circle (cm)	34.89(1.10)	35.55(1.36)	0.05
Baby length (cm)	51.3(1.9)	51.4(2.36)	0.8
Parity			0.03
0	5	2	
1	16	7	
2	7	10	
3 and above	1	9	

Gravidity			0.12
1	5	1	
2	12	5	
3	7	8	
4 and above	5	14	
Maternal Ethnicity			0.01
Caucasian	6	4	
Asian	16	7	
Pacific island	7	17	
Paternal Ethnicity			0.03
Caucasian	8	3	
Asian	14	9	
Pacific island	7	16	

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586 *Categorical variables were compared using chi-square test, whereas continuous variables were compared using *t*

587 test.

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590 **Table 2:** A list of metabolites associated with obese-control maternal status and selected by elastic net

591 regularization based logistic regression. The metabolites are sorted by the average log fold change of cases

592 over controls.

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Metabolites	Chemical name	Fold change ^a (case-control)		Univariate Analysis ^b	
		logFC	P_value	Coefficient	P_value
2-hydroxy-3-methylbutyric acid	2-hydroxy-3-methylbutyric acid	0.6609	0.0119	0.65592	0.062950865
Galactonic acid	Galactonic acid	0.6337	0.0158	0.640515	0.06565148
PC ae C40:3	Phosphatidylcholine acyl-alkyl C40:3	0.6249	0.0173	0.762691	0.035189439
C3	Propionylcarnitine	0.5598	0.033	-0.1467	0.648143485
PC aa C40:3	Phosphatidylcholine diacyl C40:3	0.5561	0.0342	-0.33489	0.318665241
C4:1	O-butanoyl-carnitine, butenylcarnitine	0.556	0.0342	-0.44274	0.168989046
C6 (C4:1 -DC)	Hexanoylcarnitine, Fumaryl carnitine	0.5355	0.0414	-0.28551	0.337718
PC aa C40:2	Phosphatidylcholine diacyl C40:2	0.4793	0.0679	0.532796	0.113517583
Benzoic acid	Benzoic acid	0.4549	0.0831	0.279734	0.350259256
1,5-Anhydrosorbitol	1,5-Anhydrosorbitol	0.3664	0.1628	0.636374	0.24536415
C5	Isovalerylcarnitine, Valerylcarnitine, Methylbutyrylcarnitine	0.3654	0.1638	-0.38664	0.196793118
PC ae C40:2	Phosphatidylcholine acyl-alkyl C40:2	0.3242	0.2168	-0.71475	0.042908449
L-Arabitol	L-Arabitol	0.2685	0.3062	0.360549	0.266082992

C18:1	Octadecenoylcarnitine	0.228	0.385	0.253734	0.427416515
Indoxyl sulfate	Indoxyl sulfate	0.1792	0.4948	-0.06239	0.827985019
Malic acid	Malic acid	-0.006	0.9811	0.010217	0.977502972
L-Aspartic acid	L-Aspartic acid	-0.036	0.8899	-0.18507	0.549849292
Citric acid	Citric acid	-0.058	0.8242	-0.08235	0.790831897
PC ae C34:0	Phosphatidylcholine acyl-alkyl C34:0	-0.091	0.7295	0.712	0.058228623
Isoleucine	Isoleucine	-0.158	0.5473	-0.56607	0.089720981
PC ae C36:2	Phosphatidylcholine acyl-alkyl C36:2	-0.193	0.4629	-0.1802	0.553764206
Oleic acid	Oleic acid	-0.2	0.4465	0.183252	0.536574067
PC aa C36:5	Phosphatidylcholine diacyl C36:5	-0.218	0.4059	-0.4694	0.174139565
PC ae C34:3	Phosphatidylcholine acyl-alkyl C34:3	-0.22	0.4008	0.319963	0.31966488
PC ae C40:6	Phosphatidylcholine acyl-alkyl C40:6	-0.261	0.3193	0.741937	0.01875932
C5:1-DC	Glutaconylcarnitine, Mesaconylcarnitine	-0.271	0.3021	-0.26351	0.409158971
2-Hydroxybutyric acid	2-Hydroxybutyric acid	-0.323	0.219	0.250888	0.404894782
Myoinositol	Myoinositol	-0.386	0.1416	0.47233	0.144462991
C16:1 -OH	Hydroxyhexadecenoylcarnitine	-0.447	0.0884	0.809254	0.093896414

*Fold change was calculated as mean (log2 (obese)) – mean (log2 (control))

*Univariate logistic regression of each Elanet-selected metabolite adjusted for maternal age, ethnicity, parity, and gravidity.

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599 **Legends for figures**

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601 Figure 1: Source of variation and accuracies of logistic regression models and important features selected
602 by the metabolomics model. (A) ANOVA plot of clinical factors using the metabolites levels in cord blood
603 samples. Averaged ANOVA F-statistics are calculated for potential confounding factors, including obesity,
604 gravida, parity, paternal and maternal age and ethnicity. (B) Model accuracy represented by classification
605 Receiver Operator Curves (ROCs). (C) The ranking of contributions (percentage) of selected metabolomics
606 features in the model.

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610 Figure 2: (A) Correlation coefficients among demographical/physiological factors and the metabolomics
611 data. Blue colors indicates positive correlations and red indicated negative correlations. (B) Receiver
612 Operator Curves (ROCs) of the combined model with metabolomics and physiological/demographic data.
613 (C). The ranking of contributions (percentage) of selected features in the model (B).

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616 Figure 3: Analysis of the 29 selected metabolites. (A) Heatmap of selected metabolites separated by
617 maternal group. * indicates metabolites that shows significant p-values ($P < 0.05$, t-test) individually. (B)
618 Pathway analysis of the 29 metabolites. X-axis shows size of metabolomic pathway. Y-axis shows the
619 adjusted p-value calculated from CPDB tool. The size of the nodes represents the size of metabolomic
620 pathway (number of metabolites involved in each pathway). The color of the nodes represents the source
621 of these pathways.

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624 Figure 4: Violin plot of 2-hydroxy-3-methylbutyric acid among 3 ethnic groups in the discovery cohort.
625 Association between 2-hydroxy-3-methylbutyric acid and the ethnicity in (A) normal (n=29) and (B) obese
626 (n=28) subjects.

627

628 Figure 5: Validation with another cohort. (A) Accuracy on classifying cases vs controls in the validation
629 cohort, using the model built on the discovery cohort as shown in Fig 2(B). (B-C) violin plots of 2-hydroxy-
630 3-methylbutyric acid in NHPI vs (Asians/Caucasians). Asians (n=2) and Caucasians (n=3) were combined,
631 as the number of patients of these ethnicities in the obese group is small. (A) normal (n=18) and (B) obese
632 (n=12) subjects are displayed.

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634

635 **Supplementary Figures**

636

637 **Supplementary Figure 1:** Discrimination of obese and normal groups by Partial Least Squares (PLS)
638 method. (A) Discriminant analysis score plot for obese cases (Green) and normal (Red). (B) The accuracy
639 of the 10 fold cross-validation of the PLS-DA model. R2 is the sum of squares captured by the model; Q2
640 is the cross-validation of R2.

641

642 **Supplementary Figure 2:** Selection of metabolites using elastic net regularization. **(A)** Tuning alpha
643 parameter, the parameter representing the degree of mixing between lasso and the ridge regularization.
644 Y-axis is the root mean square error of the 10-fold cross-validation. X-axis is the range of alpha values,
645 with the optimal alpha =0.22. **(B)** Tuning lambda, the parameter controlling the shrunk rate of coefficients
646 in the linear model. Y-axis is the misclassification error of the 10 fold cross validation. X-axis is the range
647 of lambda, with the optimal lambda=0.008. **(C)** The shrinkage coefficients of the metabolites using tuned
648 alpha and lambda.

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652 **Supplementary Figure 3:** Accuracies of logistic regression models and important features selected by the
653 clinical model. **(A)** Model accuracy represented by classification Receiver Operator Curves (ROCs). **(B)**
654 The ranking of contributions (percentage) of selected clinical features in the model.

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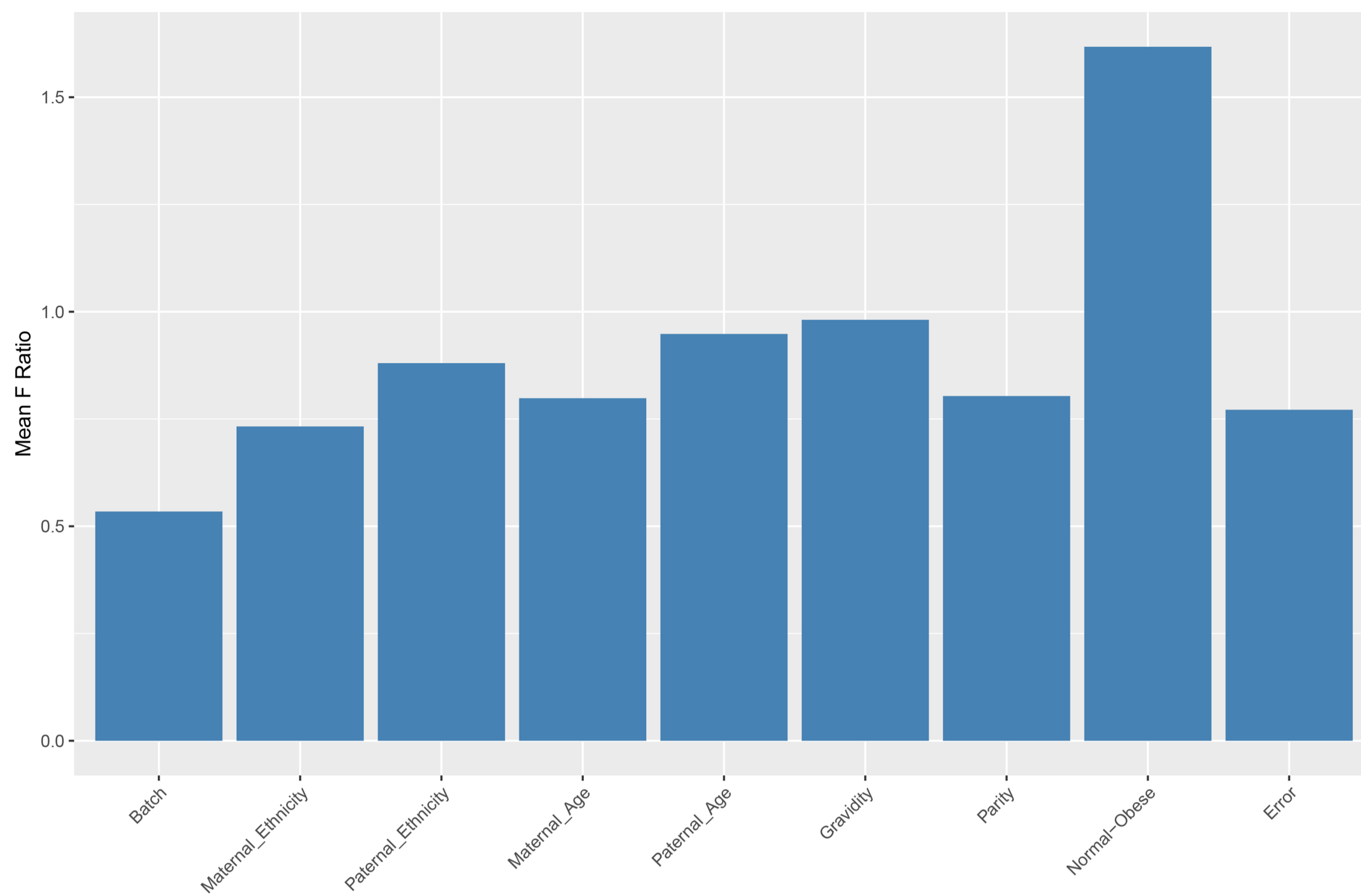
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Sources of variation

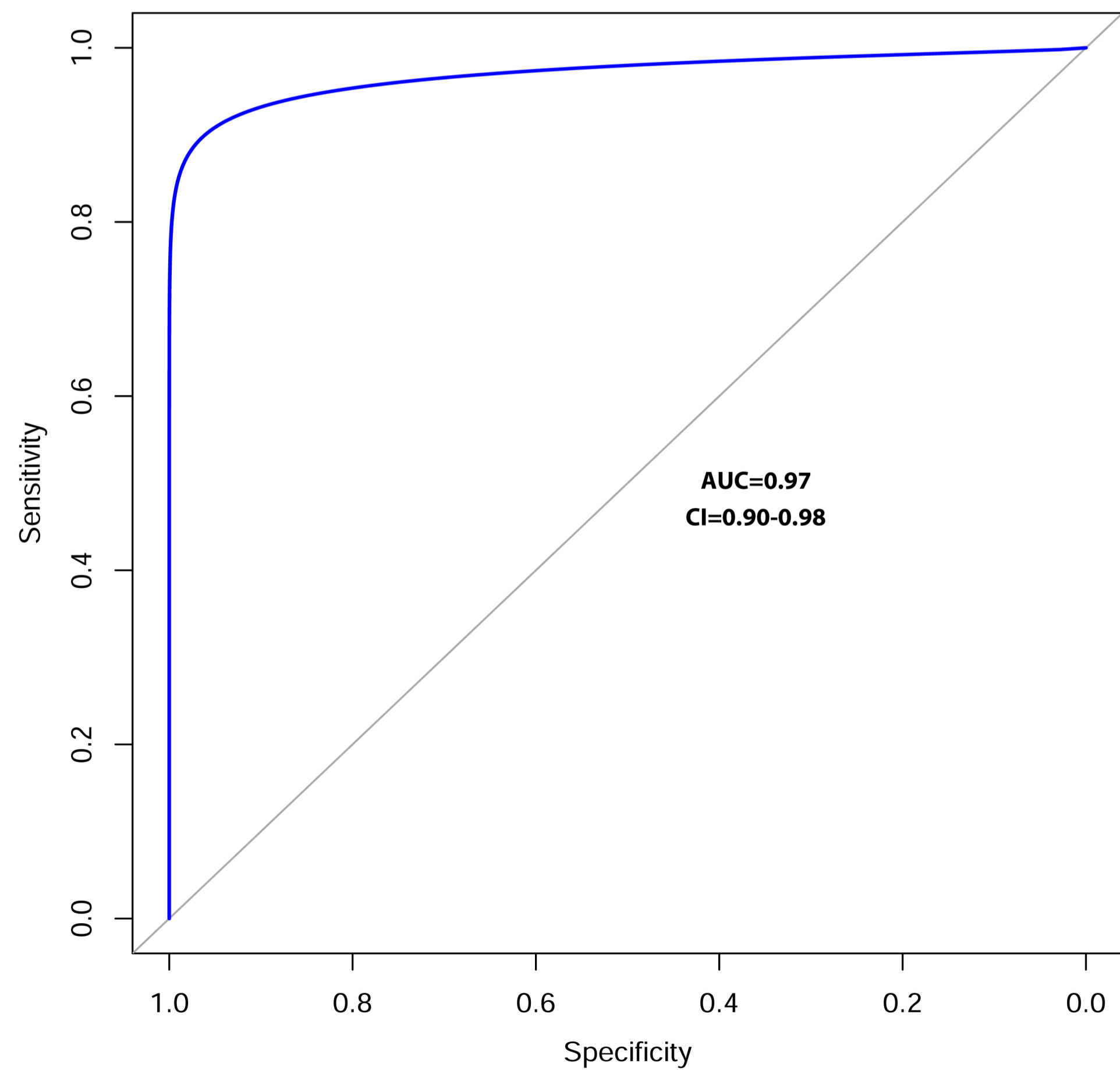
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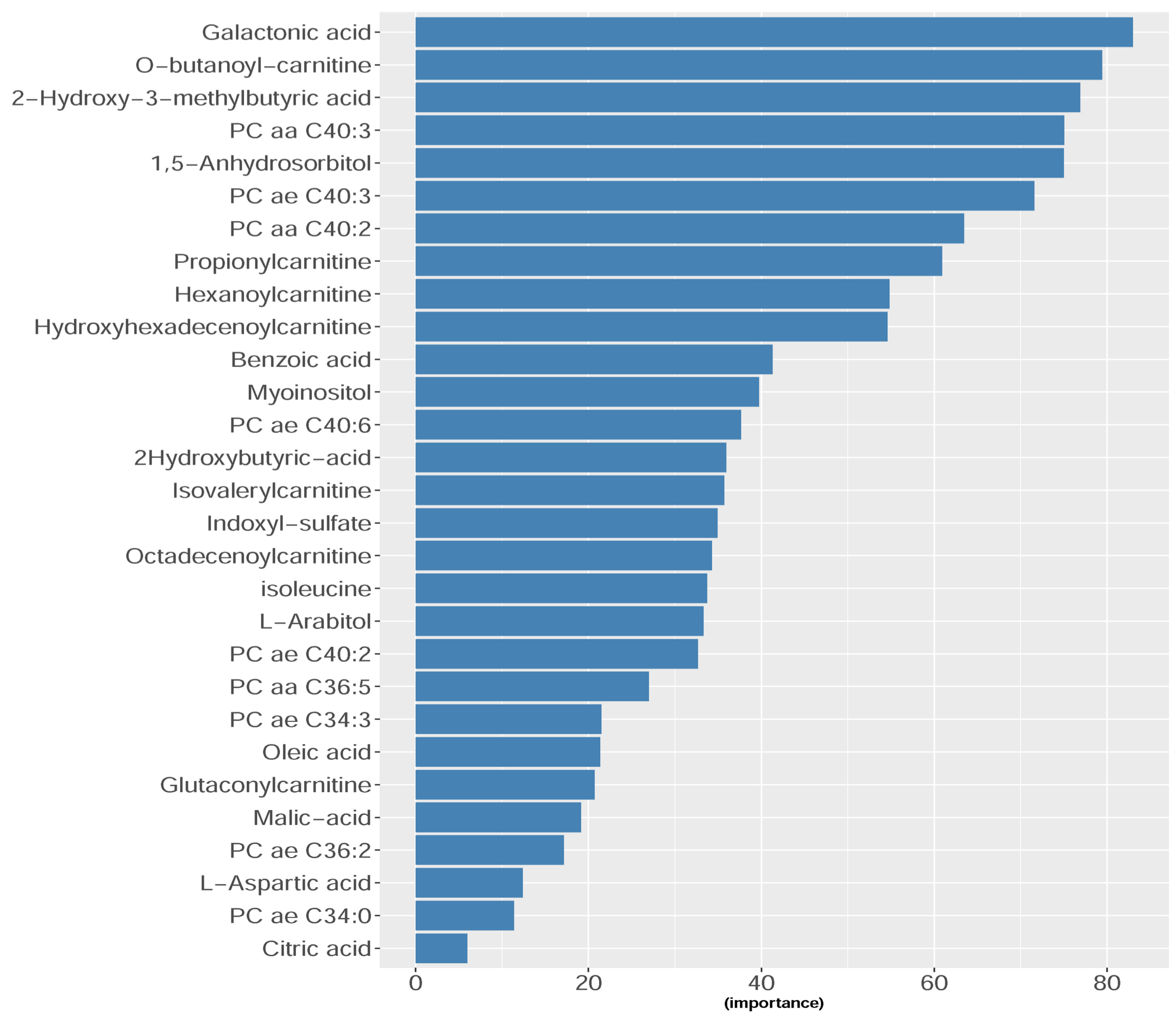
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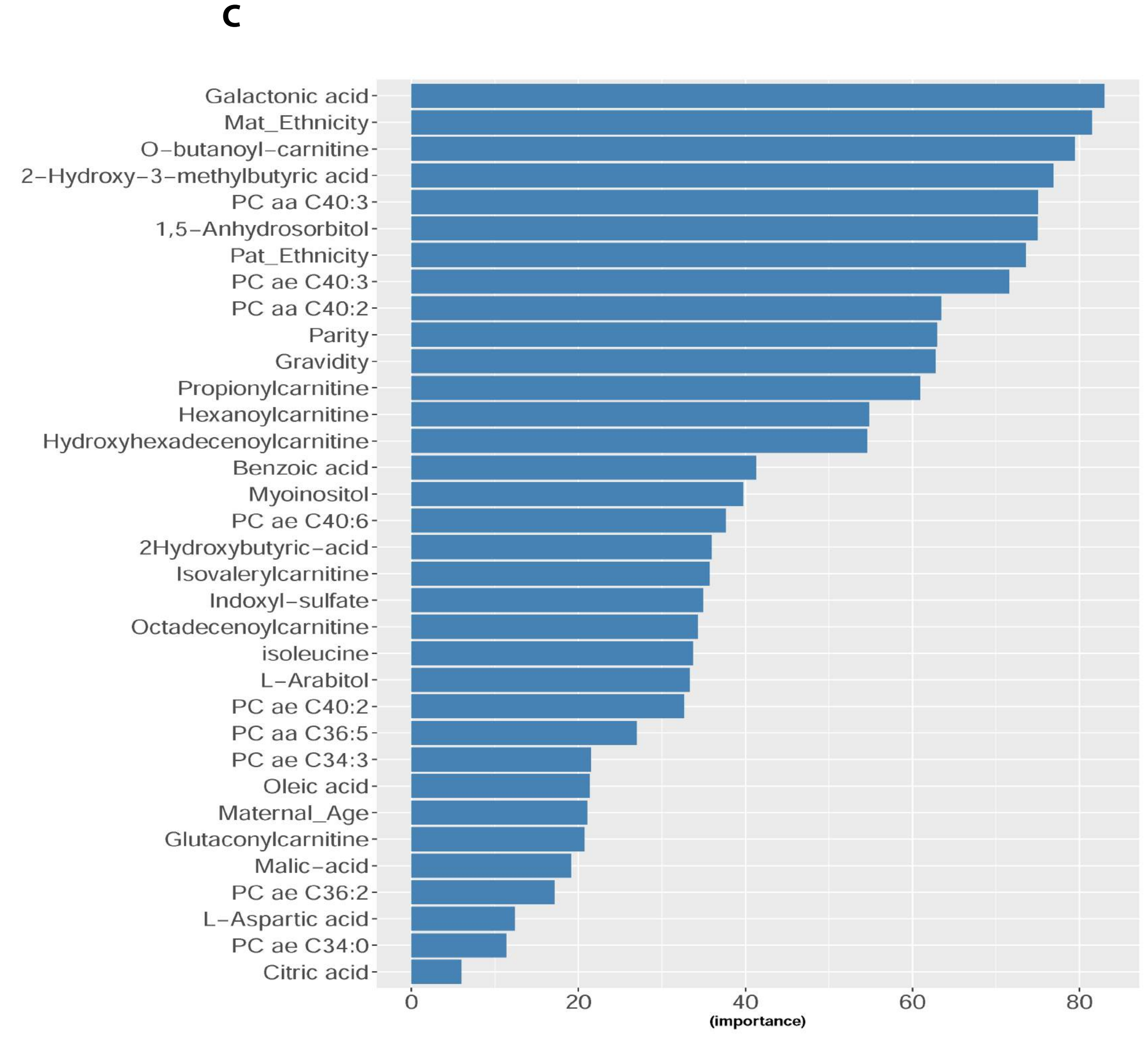
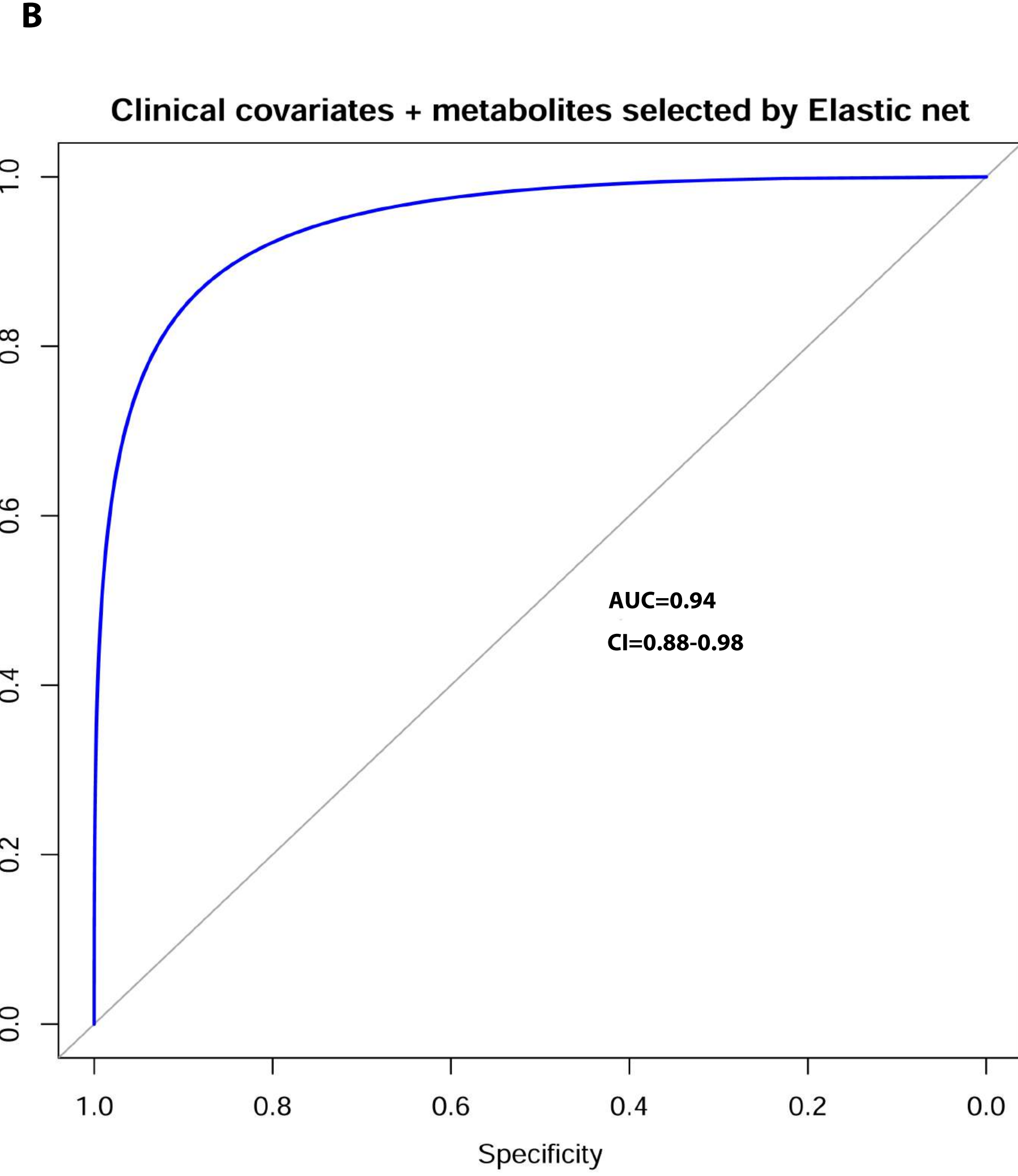
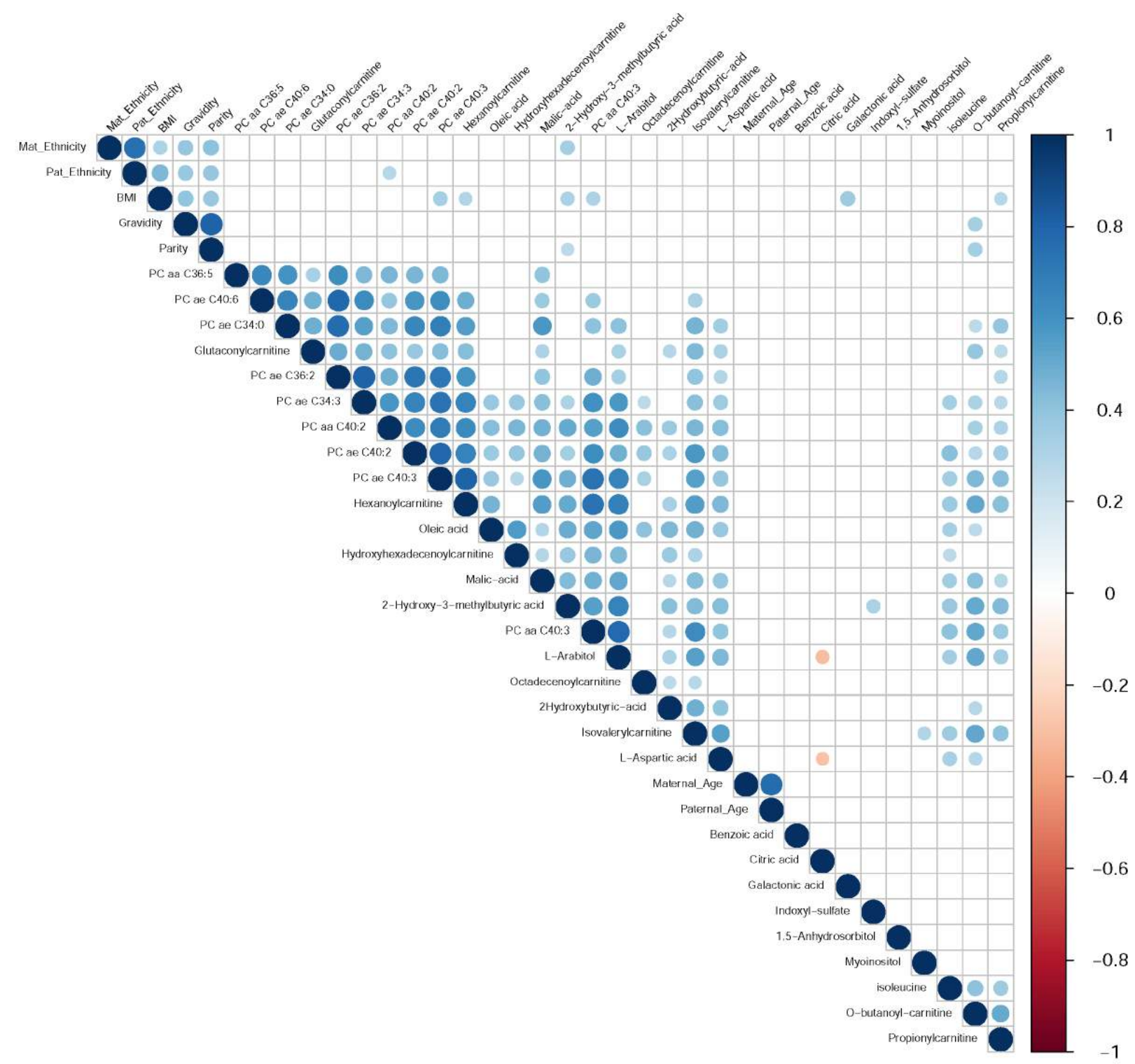
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Metabolites selected by Elastic net

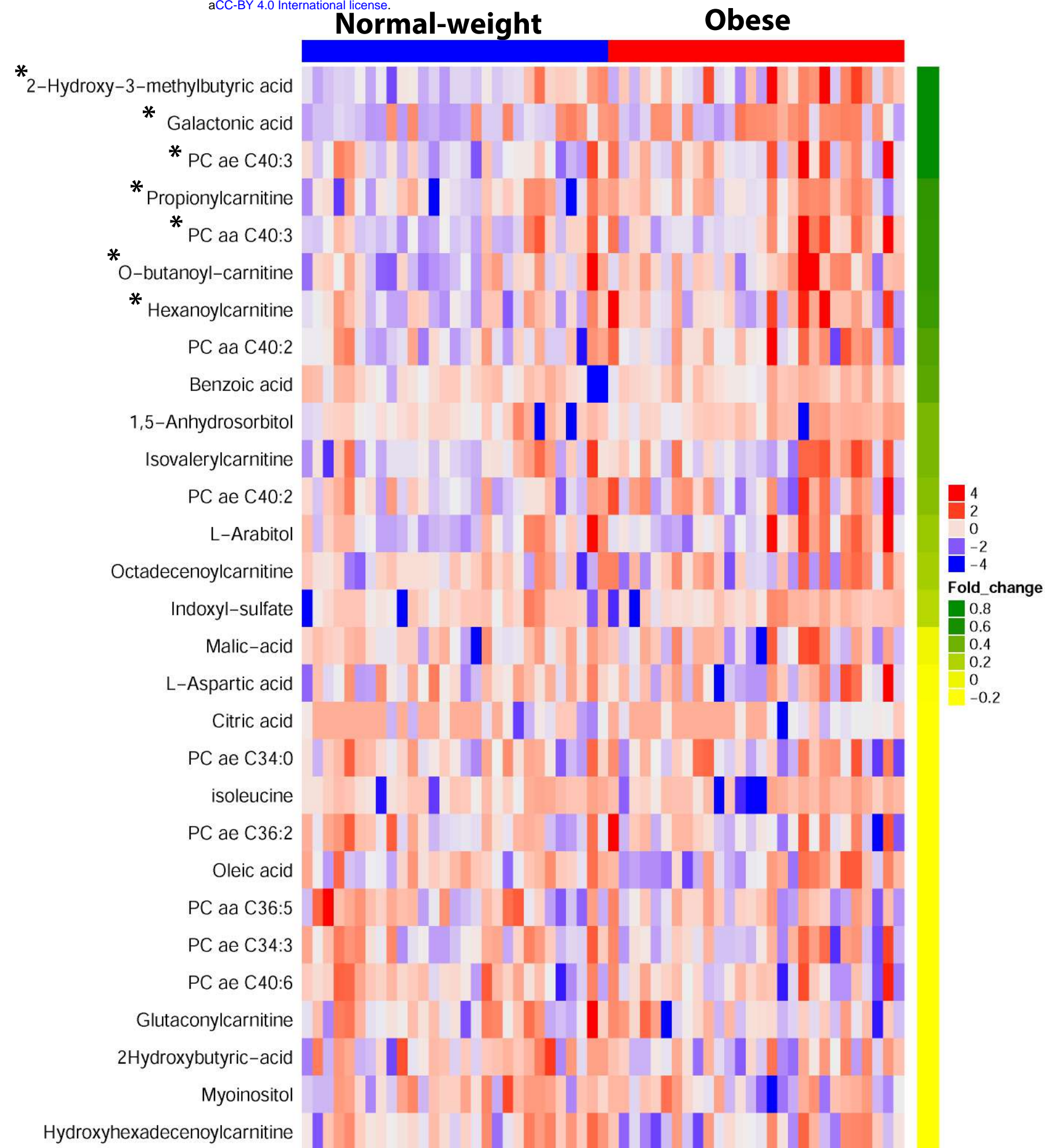


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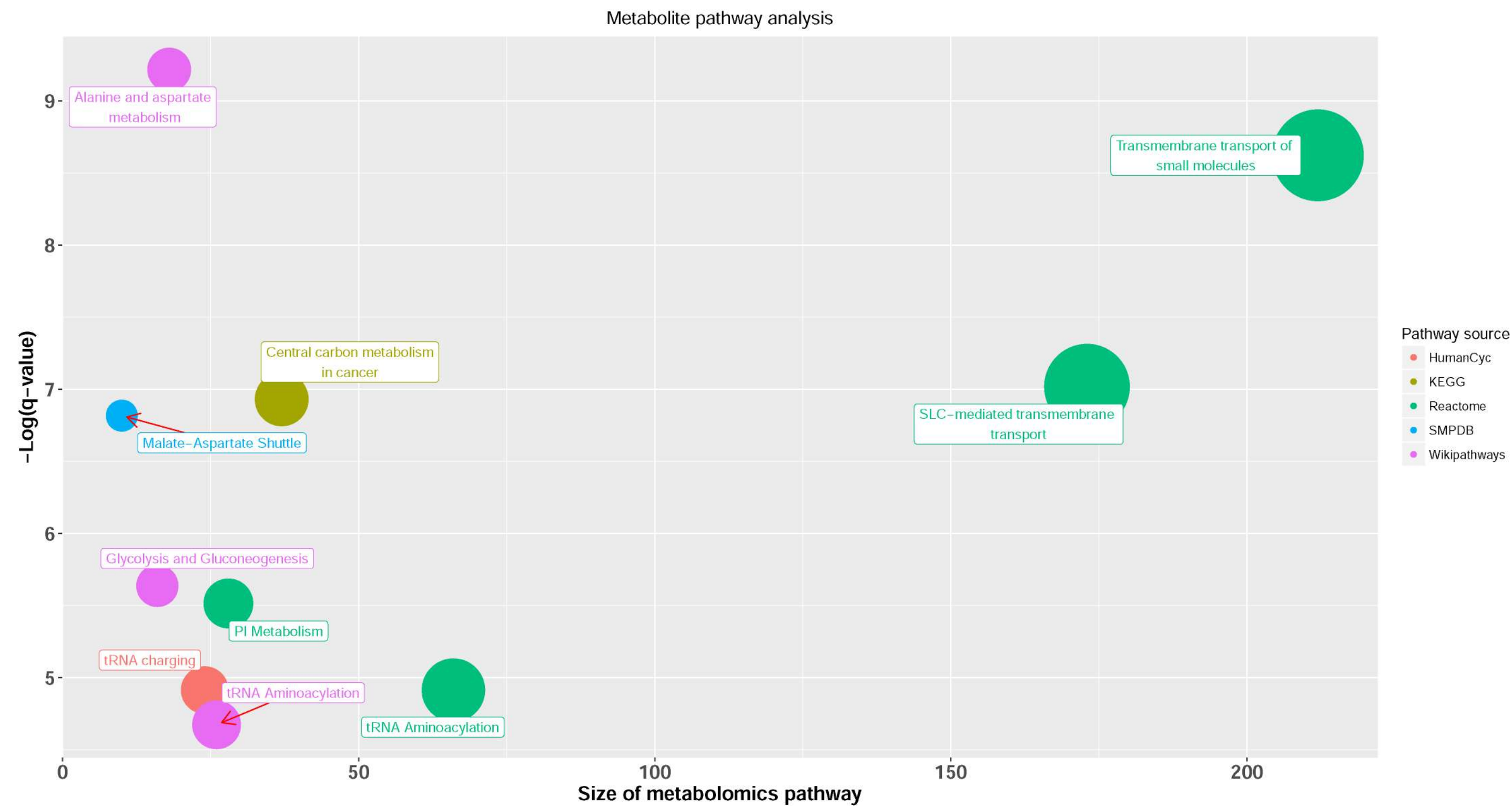


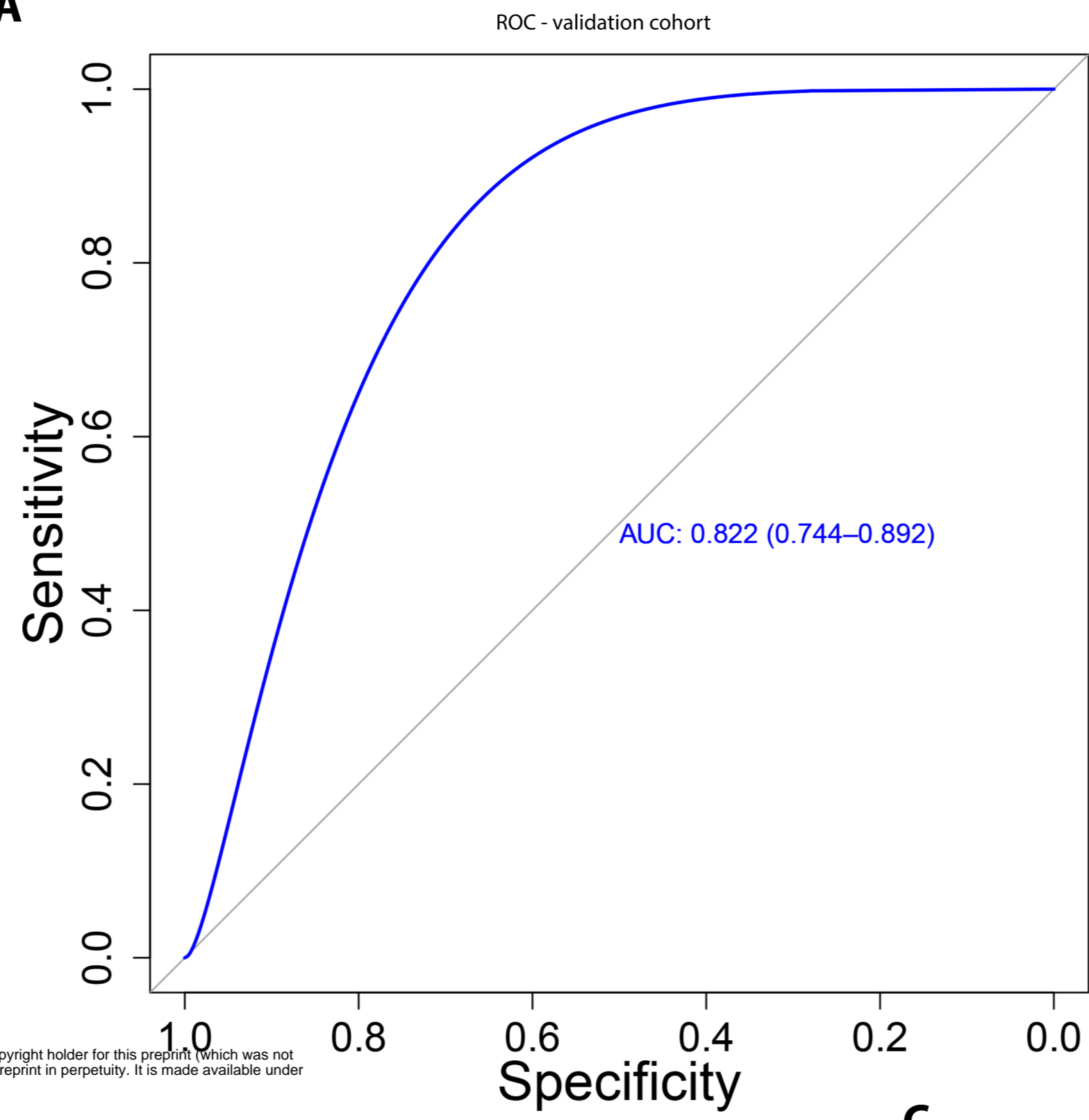


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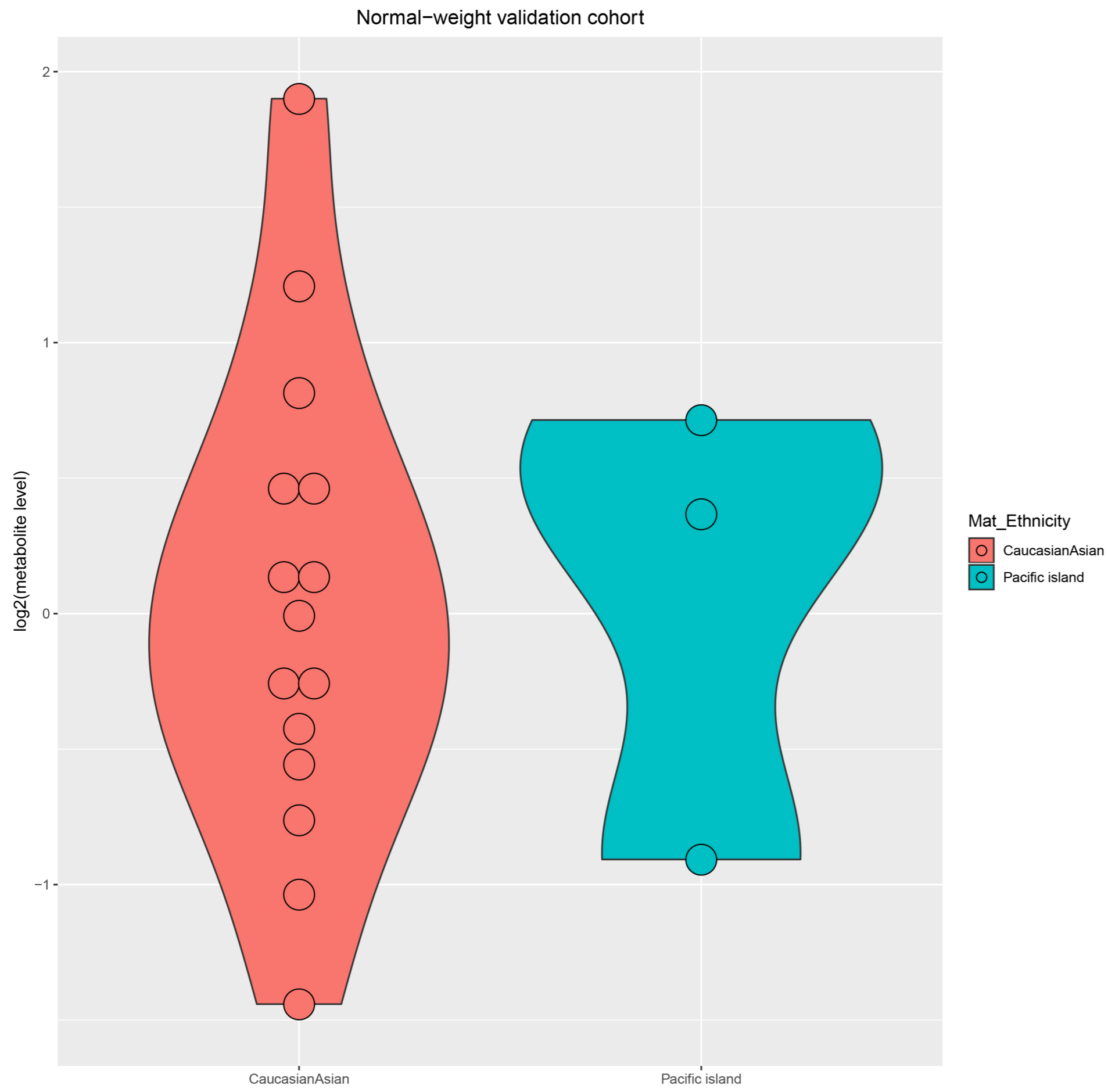


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