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**The effects of haploid selection on Y chromosome evolution
in two closely related dioecious plants**

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28 The evolution of sex chromosomes is usually considered to be driven by sexually
29 antagonistic selection in the diploid phase. However, selection during the haploid
30 gametic phase of the lifecycle has recently received theoretical attention as possibly
31 playing a central role in sex chromosome evolution, especially in plants where gene
32 expression in the haploid phase is extensive. In particular, male-specific haploid
33 selection might favour the linkage of pollen beneficial alleles to male sex determining
34 regions on incipient Y chromosomes. This linkage might then allow such alleles to
35 further specialise for the haploid phase. Purifying haploid selection is also expected
36 to slow the degeneration of Y-linked genes expressed in the haploid phase. Here, we
37 examine the evolution of gene expression in flower buds and pollen of two species of
38 *Rumex* to test for signatures of haploid selection acting during plant sex chromosome
39 evolution. We find that genes with high ancestral pollen expression bias occur more
40 often on sex chromosomes than autosomes and that genes on the Y chromosome
41 are more likely to become enriched for pollen expression bias. We also find that
42 genes with low expression in pollen are more likely to be lost from the Y
43 chromosome. Our results suggest that sex-specific haploid selection during the
44 gametophytic stage of the lifecycle may be a major contributor to several features of
45 plant sex chromosome evolution.

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52 *IMPACT SUMMARY*

53 Selection in the haploid phase of the life cycle is considered to be a strong force
54 allowing for the efficient purging and fixation of recessive alleles. Previous theoretical
55 and empirical work suggests that haploid selection can affect plant sex chromosome
56 evolution in several ways. Haploid selection should favour the suppression of
57 recombination allowing haploid beneficial alleles to fix on chromosomes that
58 segregate into the sex experiencing stronger haploid selection, generally males.
59 Haploid selection may also allow such genes to subsequently specialise for this
60 haploid stage. Finally, purifying haploid selection may slow down the degeneration of
61 non-recombining chromosomes. Evidence for these processes is, however, limited.
62 Here, we analyse gene expression data from three tissues of two *Rumex* species to
63 look for signals of haploid selection acting on plant Y chromosome evolution. We
64 demonstrate that the sex chromosomes in these species are enriched for pollen-
65 expressed genes, that the genes have become more pollen biased in expression,
66 and that Y-linked genes are overexpressed in pollen. Our results support previous
67 findings in *Silene* that haploid selection contributes to the retention of genes on the Y
68 chromosome, but also provides novel empirical evidence for adaptive specialization
69 of Y-linked genes for the haploid phase of the plant life cycle.

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71

72 Theory suggests sex chromosomes evolve from a pair of autosomes that
73 acquire a sex-determining region and subsequently accumulate sexually antagonistic
74 alleles expressed in the diploid phase. The loss of genetic recombination between
75 the sex chromosomes is thought to be selected to assure the segregation of sexually

76 antagonistic alleles into the sex in which they are beneficial (Rice 1984; Lenormand
77 2003). Though widely accepted, evidence supporting sex-specific selection is limited
78 to a few systems (Foerster et al. 2007; Delph et al. 2010; Innocenti and Morrow
79 2010) and has rarely been conclusively related to sex chromosome evolution (but
80 see Wright et al. 2017).

81 A key feature of the angiosperm life-cycle is the predominance of a haploid
82 gametophytic phase (Haldane 1933). In the hermaphroditic plant *Arabidopsis thaliana*
83 60-70% of all genes are expressed in pollen (Honys and Twell 2004; Borges et al.
84 2008). Such haploid expression exposes genes to a unique selective regime which
85 includes more efficient removal of deleterious mutations from a population as
86 recessive deleterious phenotypic effects are expressed (Gerstein and Otto 2009).
87 Similarly, recessive beneficial mutations are more likely to spread through
88 populations. Indeed, in *Capsella grandiflora*, pollen-expressed genes experience
89 stronger purifying and positive selection relative to non-pollen expressed genes
90 (Arunkumar et al. 2013). Pollen competition is generally considered to be a common
91 feature of angiosperms, further increasing selective pressures imposed on plant
92 genomes in the haploid phase (Moore and Pannell 2011).

93 Gene expression in pollen may contribute to the evolution of sex
94 chromosomes in three complementary ways (Fig. 1). First, similar to sexually
95 antagonistic alleles in diploids, pollen-specific (and therefore sex-specific) haploid
96 selection can favour the loss of recombination between the X and Y chromosomes,
97 because linkage of alleles beneficial during pollen competition to the Y chromosome
98 enables these alleles to spend more time in males where competition occurs (Scott
99 and Otto 2017). Hereafter, we refer to this phenomenon as adaptive linkage (Fig. 1a).

100 Second, once genes have become sex-linked, greater haploid selection in males may
101 cause divergence and upregulation of Y-linked alleles specialized for pollen
102 (hereafter “pollenization”), akin to masculinization of the Y (Lahn and Page 1997;
103 Zhou and Bachtrog 2012) and feminization of the X (Prince et al. 2010; Allen et al.
104 2013; Albritton et al. 2014) observed in some animal systems (Fig. 1b). Finally,
105 haploid expression of genes on the Y can cause biased retention of pollen-expressed
106 genes from the degenerating Y, as reported in *Silene latifolia* (Chibalina and Filatov
107 2011) (Fig. 2c). The study of pollen expression in young plant sex chromosome
108 systems provides opportunities for the untangling of these three processes.

109 *Rumex* (Polygonaceae) provides a valuable study system to investigate the
110 effects of sex-specific haploid selection and sexual antagonism in the diploid phase
111 on sex chromosome evolution. Members of this genus possess uniovulate flowers,
112 and open-pollinated flowers capture numerous pollen grains increasing the scope for
113 pollen competition and haploid selection (Stehlik et al. 2008). Here, we sequence
114 RNA from flower buds and mature pollen of annual, wind-pollinated *R. hastatulus* and
115 *R. rothschildianus* to investigate patterns of gene expression and test the predictions
116 of models of sex chromosome evolution driven by sexually antagonistic and haploid
117 selection. Both species possess heteromorphic sex chromosomes, with non-
118 orthologous sex-linked genes, consistent with independently-evolved sex
119 chromosomes (Crowson et al. 2017). The species also exhibit different degrees of Y-
120 chromosome degeneration (Crowson et al. 2017). In *R. hastatulus* approximately
121 three-quarters of genes have retained an expressed Y copy, whereas in *R.*
122 *rothschildianus* only ~10% have been retained (Hough et al. 2014; Crowson et al.
123 2017). To investigate the role of sex-specific haploid selection in the evolution of

124 plant sex chromosomes we sought to address the following questions using our two
125 focal species of *Rumex*: 1) Were the sex chromosomes ancestrally enriched for
126 pollen-biased genes, as expected if the spread of recombination was driven by male
127 haploid selection? 2) Is there evidence of subsequent pollenization of Y-linked
128 genes? 3) Does haploid selection preserve pollen-expressed genes on degenerating
129 Y chromosomes?

130

131 **METHODS**

132 **Tissue collection**

133 We used gene expression data from three tissues: pollen (a target for haploid
134 selection), male flower bud (a target for sexual antagonism) and male leaf (control).
135 We collected mature pollen and filtered it through a fine nylon mesh before RNA
136 extraction. We pooled pollen from two *R. rothschildianus* males due to low tissue
137 yields in this species but collected pollen from two male plants of *R. hastatulus*
138 individually. We collected developing, unopened male flower buds from two *R.*
139 *rothschildianus* individuals (sampled and sequenced independently) and one *R.*
140 *hastatulus* individual. We performed all RNA extractions using Spectrum™ Plant
141 Total RNA kits and stored RNA at -80°C. Leaf expression data from three *R.*
142 *hastatulus* and three *R. rothschildianus* males were obtained from previous work (see
143 Hough et al. 2014; Crowson et al. 2017).

144

145 **RNAseq and read analysis**

146 We sequenced RNA samples using Illumina Hi-seq 2500 sequencing with 100bp
147 paired end reads at the Centre for Applied Genomics, Toronto. We aligned samples

148 to existing female leaf transcriptome assemblies from both species (Hough et al.
149 2014; Crowson et al. 2017). We performed alignments using STAR (Dobin et al.
150 2013) after which we removed duplicate reads using Picard
151 (<http://broadinstitute.github.io/picard>). We used SAMtools to retrieve read counts for
152 downstream differential expression analysis (Li et al. 2009). We performed differential
153 expression analysis using the R package DESeq2 (Love et al. 2014) using read
154 counts obtained from SAMtools. We used >0.3 FPKM as a cut-off for active
155 transcription, as recommended in (Ramsköld et al. 2009).

156

157 **Ortholog comparison**

158 We compared expression of genes that were retained on both the X and Y (hereafter
159 XY genes) and their orthologs in *R. hastatulus* and *R. rothschildianus* to infer
160 changes in expression of genes that had become sex linked since the species
161 diverged. This is possible because the sex-linked genes in the two species of *Rumex*
162 appear to have arisen independently (Crowson et al. 2017). We obtained lists of
163 orthologs between the species from Crowson et al. (2017). Because we were
164 interested in the relative expression bias of XY linked genes, and the normalization of
165 expression may be influenced by the proportion and number of sex-linked genes in
166 the genome, we corrected for this by normalizing expression bias of XY genes by the
167 average expression bias of autosomes. The method for calculating the average
168 autosomal expression bias is given by:

$$\bar{x} = 2^{\left\{ \log_2 \frac{\#normalised\ reads\ tissue\ 1}{\#normalised\ reads\ tissue\ 2} \right\}}$$

169

170 After we calculated the average autosomal expression bias, we corrected individual
171 XY gene bias using equation 2:

$$\log_2 \left\{ \frac{\# \text{ normalised reads tissue 1}}{\bar{x} \# \text{ normalised reads tissue 2}} \right\}$$

172

173

174

175 **SNP calling and analysis of allele-specific expression**

176 We used the HaplotypeCaller tool in GATK (McKenna et al. 2010) to call SNP
177 variants in our transcriptomes followed by the SelectVariants tool in GATK to select
178 only biallelic, sex- linked SNPs. This list of SNPs was then run through the GATK
179 ASEReadcounter (McKenna et al. 2010) tool with default settings to allow for
180 estimation of allele-specific expression on the sex chromosomes. As all male tissue
181 transcriptomes were aligned to female references, most alternate SNPs in XY linked
182 genes represent the Y copy of a gene whereas most reference SNPs represent the X
183 copy. However, this assumption can be violated if a polymorphism exists on the X. To
184 account for this, we used population data from *R. hastatulus* to identify fixed SNP
185 differences between the X and Y chromosomes (Hough et al. 2017). Fixed
186 differences were determined if all females in a population were homozygous at an X
187 linked SNP whereas all males were heterozygous for the same site. This yielded a
188 list of high confidence sites for use in allele-specific expression analysis. No such
189 population data was available for *R. rothschildianus* so instead we used SNPs with
190 sex-linked segregation patterns within a family of sequenced plants (Crowson et al.
191 2017).

192 The lists of high confidence sites for allele-specific expression analysis were
193 then run through the tool GeneiASE (Edsgård et al. 2016) to find statistically
194 significant cases of allelic bias in expression. Since GeneiASE does not require
195 phasing, the output identifies which genes have a bias in expression but not the
196 direction of this bias. We averaged the log 2-fold expression change of each high
197 confidence XY site to infer whether the reference (X) or alternate (Y) copy was
198 overexpressed.

199

200 **Statistical analysis**

201 All *P*-values reported in this study are two tailed. We used Fisher's exact tests to
202 identify significant differences between counts of differentially expressed genes.
203 Student's *t*-tests were used to compare mean FPKM pollen expression of
204 hemizygous and XY linked genes. We conducted Wilcoxon signed-rank tests to
205 compare tissue expression bias of XY and ortholog genes. Multiple test correction
206 was applied by both DESeq2 and GeneiASE using the Benjamin-Hochberg method,
207 only genes with an adjusted *P*-value of <0.05 were considered as differentially
208 expressed (for DESeq2), or as having significant allele-biased expression (for
209 GeneiASE). We used Fisher's combined probability tests to combine the individual
210 gene *P*-values using GeneiASE for each tissue sample to yield one *P*-value per
211 gene. We then used Fisher's exact tests to determine differences in the numbers of X
212 vs. Y biased genes in our allele-specific expression analysis. We did not test for
213 differences in numbers of genes without allele-specific expression, because the
214 fraction of non-biased genes heavily depends on factors such as sequencing depth,
215 which can vary from sample to sample. We used counts of overexpressed genes in

216 leaves as the null expected counts in our statistical tests, as we did not expect either
217 sex-specific haploid selection or diploid sexual antagonism to be driving Y
218 chromosome evolution in leaf tissue.

219

220 **Result and Discussion**

221

222 **Gene expression is widespread in pollen**

223 In *R. hastatulus*, 39.1% of all predicted leaf transcripts had signatures of active pollen
224 transcription, whereas 50.9% of leaf transcripts in *R. rothschildianus* showed
225 evidence of pollen expression. These results suggest widespread gene expression in
226 the haploid phase. The values we obtained for pollen were significantly lower than in
227 flower bud tissue, where 81.7% and 87.3% of predicted leaf transcripts were actively
228 transcribed in *R. hastatulus* and *R. rothschildianus*, respectively. Despite overlap
229 between tissues in genes with active expression, principle components analysis
230 (Supplementary Fig. 1) of individual samples indicated strong differentiation between
231 tissues in expression, particularly for pollen.

232

233 **Sex chromosomes are enriched for haploid expressed genes**

234 To investigate the relative importance of sexual antagonism and haploid selection
235 during sex chromosome evolution, we compared sex-linked and autosomal genes for
236 expression bias across three tissues. We focused on expression differences between
237 male leaf, male developing flower bud and mature pollen. The chromosomal location
238 of genes was previously evaluated using SNP segregation patterns for both *R.*
239 *hastatulus* (Hough et al. 2014) and *R. rothschildianus* (Crowson et al. 2017). We

240 compared counts of genes identified as having significantly different expression
241 (Benjamin-Hochberg FDR adjusted $P < 0.05$) between two pairs of tissues to quantify
242 expression bias. When comparing leaf and pollen expression patterns (Fig. 2a), we
243 found that sex-linked genes with retained Y copies (hereafter XY genes) were more
244 often significantly pollen biased than autosomal genes in both *R. hastatulus* (Fisher's
245 exact test, $P < 0.0001$) and *R. rothschildianus* (Fisher's exact test, $P < 0.0001$). The
246 same was true when comparing pollen to flower bud expression in *R. hastatulus*
247 (Fisher's exact test, $P = 0.0006$) and *R. rothschildianus* (Fisher's exact test, $P <$
248 0.0001) (Fig. 2b). However, this enrichment of pollen-biased genes on the sex
249 chromosomes could be driven by three distinct factors (Fig. 1); an ancestral bias due
250 to selection for sex-linkage, pollenization following the formation of the sex
251 chromosomes, and differential degeneration due to haploid expression. Our
252 subsequent analyses sought to evaluate the relative contribution of these various
253 processes.

254

255 **Haploid selection maintains pollen-expressed genes on the Y chromosome**

256 To test whether purifying selection and haploid expression of Y-linked genes slow
257 down Y chromosome degeneration in *Rumex* (Fig. 1c), we compared the pollen
258 expression of hemizygous genes (which lack a Y-expressed copy) and XY genes
259 (Chibalina and Filatov 2011; Crowson et al. 2017). We found that hemizygous genes
260 showed significantly reduced pollen expression compared with XY genes in *R.*
261 *hastatulus* (Welch Two Sample *t*-test, $t = -6.7295$, $df = 154.31$, $P = 3.145e-10$) and *R.*
262 *rothschildianus* (Welch Two Sample *t*-test, $t = -14.019$, $df = 552.18$, $P = 2.2e-16$)
263 (Supplementary Fig. 2). This effect is particularly prominent in the more degenerated

264 *R. rothschildianus*: the effect size (Cohen's D) in *R. hastatulus* is 0.74, whereas it is
265 1.16 in *R. rothschildianus*. This difference was not simply due to hemizygous genes
266 being generally less expressed (Crowson et al. 2017); indeed, differential expression
267 analyses indicated that hemizygous genes had a deficiency of pollen-biased relative
268 to either leaf- or flower-biased genes compared with XY genes in both *R. hastatulus*
269 (Fisher's exact test, $P < 0.0001$ leaf/pollen; $P < 0.0252$ flower bud/pollen) and *R.*
270 *rothschildianus* (Fisher's exact test, $P < 0.0001$ leaf/pollen; $P < 0.0001$ flower
271 bud/pollen) (Fig. 2). Again, this effect was more pronounced in *R. rothschildianus*.
272 Our results suggest that haploid selection retains pollen expressed genes, as also
273 reported in *Silene latifolia*, another XY plant sex chromosome system (Chibalina and
274 Filatov 2011). It is interesting to note that the difference in pollen expression between
275 XY and hemizygous genes has diverged to a greater extent in *R. rothschildianus*
276 suggesting that haploid selection does indeed slow down Y chromosome
277 degeneration even in a highly heteromorphic plant sex chromosome system.

278

279 **Sex chromosome linked genes show signals of ancestral and derived pollen** 280 **bias**

281 We next investigated whether the footprint of differential Y chromosome degeneration
282 could fully account for the patterns of pollen bias at XY genes, without needing to
283 invoke adaptive evolution of sex linkage or Y chromosome pollenization. To do this,
284 we examined the extent of pollen bias on all sex chromosome-linked genes,
285 combining both hemizygous and XY genes. By combining these gene sets, our
286 analysis should more closely resemble the ancestral set of genes that evolved to
287 become linked to the sex-determining region prior to Y chromosome degeneration.

288 The combined data still showed an enrichment of pollen-biased genes across all sex-
289 linked genes analysed together for both *R. hastatulus* (Fisher's exact test, $P <$
290 0.0001 , $P = 0.0015$; pollen/leaf and pollen/flower bud respectively) and *R.*
291 *rothschildianus* (Fisher's exact test, $P = 0.0005$, $P = 0.0314$; pollen/leaf and
292 pollen/flower bud, respectively) (Supplementary Fig. 3). However, there exists an
293 ascertainment bias in this reconstructed gene set as more SNP segregation patterns
294 can be used to identify XY genes compared to hemizygous genes (in particular,
295 divergent SNPs between X and Y chromosomes) resulting in overrepresentation of
296 XY genes on reconstructed XY chromosomes (Hough et al. 2014; Crowson et al.
297 2017). To account for this bias, we used existing published XY gene lists (Hough et
298 al. 2014; Crowson et al. 2017) which only contained XY genes identified with the
299 same set of SNP segregation patterns (polymorphisms on the X chromosome) as
300 hemizygous genes. This procedure therefore removed any ascertainment bias. We
301 still found evidence for a significant enrichment of pollen-biased genes in *R.*
302 *hastatulus* (Fisher's exact test, $P < 0.0001$ for both tissue comparisons), but not in *R.*
303 *rothschildianus*, where sex-linked genes as a whole were significantly depleted for
304 pollen enrichment (Fisher's exact test, $P = 0.0224$, $P = 0.0074$; pollen/leaf and
305 pollen/flower bud, respectively). Thus, overall the enrichment of pollen-expressed
306 genes does not appear to be a simple function of the Y degeneration of genes not
307 expressed in pollen. However, it is possible that any signal of early enrichment may
308 have been eroded by extensive Y degeneration in the more degenerated *R.*
309 *rothschildianus* and possibly secondary movement of genes on and off the X
310 chromosome.

311 Because Y-chromosome degeneration alone is not sufficient to explain the
312 enrichment of pollen expression on XY linked genes, we investigated whether
313 adaptive linkage (Fig. 1a), and/or pollenization (Fig. 1b) of the Y chromosome could
314 account for differential pollen expression between sex-linked and autosomal genes.
315 The sex-linked genes in *R. rothschildianus* and *R. hastatulus* have arisen
316 independently (Crowson et al. 2017), thus ancestral expression of XY linked genes in
317 one species should be represented by the autosomal orthologs of these genes in the
318 other species. Therefore, we next attempted to disentangle the ancestral and
319 subsequent evolution of expression bias in sex-linked genes.

320 We first investigated whether orthologs of XY-linked genes were ancestrally
321 more pollen biased than other autosomal genes to determine whether pollen bias is
322 present before linkage to the sex chromosomes, which would be indicative of
323 adaptive sex linkage. We found that *R. hastatulus* XY-linked genes were ancestrally
324 more pollen biased than other autosomal genes in a comparison between leaf and
325 pollen (Fisher's exact test, $P = 0.0344$) (Supplementary Fig. 4); although a similar
326 trend was evident comparing pollen and flower buds, the difference was not
327 significant (Fisher's exact test, $P = 0.1197$). Similarly, *R. rothschildianus* XY-linked
328 genes were ancestrally more pollen biased than other autosomal genes in both tissue
329 comparisons (Fisher's exact test $P < 0.0001$, $P = 0.0002$; pollen/leaf and
330 pollen/flower bud respectively). These findings suggest that *R. hastatulus* XY
331 ancestors were mildly pollen biased and *R. rothschildianus* XY ancestors were highly
332 pollen biased, a difference that may be explained by the large difference in levels of
333 Y chromosome degeneration between the species. In particular, because the
334 relatively intact Y chromosomes of *R. hastatulus* still contain a considerable number

335 of genes not expressed in the haploid phase, the bias towards pollen expression
336 should be less severe. Overall, our results suggest that pollen-biased genes may
337 have been involved early in the evolution of sex chromosomes and points to a role for
338 adaptive linkage of haploid beneficial alleles.

339 To determine whether pollen overexpression evolved on XY-linked gene
340 ancestors after linkage to the sex chromosomes, we performed reciprocal pairwise
341 comparisons of expression patterns of XY genes and their non-XY linked orthologs.
342 Direct comparisons between these gene sets are difficult to interpret due to apparent
343 genome-wide divergence in expression patterns between *R. hastatulus* and *R.*
344 *rothschildianus* (Fig. 2). To account for this divergence, we normalized the
345 expression bias of XY and orthologous genes by the average expression bias of their
346 respective autosomal genes to uncover relative differences in expression between
347 species (for details see methods).

348 We found that XY-linked genes in *R. hastatulus* were significantly more pollen
349 biased than their autosomal orthologs in *R. rothschildianus* in both tissue
350 comparisons (Wilcoxon's sign rank test $P < 0.01$ both tissue comparisons, $Z = -13.58$
351 pollen/leaf, $Z = -3.941$ pollen/flower bud) (Fig. 3). Similarly, XY-linked genes in *R.*
352 *rothschildianus* were significantly more pollen biased than *R. hastatulus* orthologs
353 when comparing pollen and flower buds (Wilcoxon's sign rank test $P = 0.04$, $Z = -$
354 2.022) but not when comparing pollen and leaf where XY genes appeared to be more
355 pollen biased ancestrally (Wilcoxon's sign rank test $P = 0.01$, $Z = -6.418$). Our results
356 support the hypothesis that XY-linked genes play an important role in the haploid
357 gametophytic phase by becoming enriched for pollen expression during (adaptive
358 linkage) and/or following (pollenization) sex chromosome linkage, particularly for *R.*

359 *hastatulus*. We posit that the lack of pollen expression enrichment observed when
360 comparing leaf and pollen in *R. rothschildianus* may be related to the highly
361 degenerate nature of the Y chromosomes in this species. Long periods of inefficient
362 selection may have eroded signatures of pollenization and/or adaptive linkage.

363

364 **Widespread Y overexpression is present specifically in pollen**

365 If pollen overexpression on sex chromosomes is due to adaptive linkage or
366 pollenization on the Y chromosome (Fig. 1a,b), we would predict that it is driven by
367 upregulation of Y-linked genes expressed in pollen. To test this hypothesis, we
368 examined allele-specific gene expression on the sex chromosomes across several
369 tissues. We predicted Y-bias in pollen if adaptive linkage and/or pollenization
370 contribute to the evolution of plant sex chromosomes, and Y-bias in male flower buds
371 if sexual antagonism is the dominant force driving sex chromosome evolution. In
372 contrast, in leaf we predicted minimal Y-bias, or reduced expression on the Y due to
373 degeneration (Hough et al. 2014; Crowson et al. 2017), and therefore we used this
374 tissue as a control.

375 We found no consistent chromosomal bias for allelic overexpression in leaf
376 (14.6% X overexpressed, 16.4% Y overexpressed) or flower bud (7.7% X
377 overexpressed, 7.0% Y overexpressed) tissue of *R. hastatulus*. There was also no
378 significant difference in the pattern of allelic overexpression between leaf and flower
379 bud tissue (Fisher's exact test $P = 0.7083$) (Fig. 4). In pollen, however, 44.9% of XY
380 genes exhibited Y overexpression whereas only 16.4% had X overexpression,
381 indicating that XY genes had significantly more Y overexpression in pollen than leaf
382 tissue (Fisher's exact test $P = 0.0021$).

383 In *R. rothschildianus* we found overall more X allele-biased genes in flower
384 bud (67.5% X overexpression, 18.2% Y overexpression) and leaf tissue (64.4% X
385 overexpression, 24.3% Y overexpression), with no significant difference in the
386 direction of allele-specific expression between the tissues (Fisher's exact test, $P =$
387 0.1167). Once again, we found significantly more Y-biased expression in pollen
388 (47.8% Y overexpressed, 35.5% X overexpressed) relative to leaf tissue (Fisher's
389 exact test, $P < 0.0001$).

390 The occurrence of widespread Y-overexpression in pollen of both species is
391 consistent with the hypothesis that sex-specific haploid gametophytic selection plays
392 a significant role in the evolution of sex chromosomes (pollenization and/or adaptive
393 linkage) and suggests that Y-linked alleles are preferentially upregulated in the
394 haploid phase, for which they have been optimised. The overall prevalence of X-
395 overexpression in *R. rothschildianus* confirms previous findings that X-linked alleles
396 appear to be more highly expressed when their Y-linked orthologs accumulate
397 deleterious mutations due to inefficient selection (Crowson et al. 2017). Given that
398 the sex chromosomes of *R. rothschildianus* are far more degenerate than those of *R.*
399 *hastatulus* it is expected that this pattern of widespread X overexpression is more
400 prominent in *R. rothschildianus*.

401 We interpret the lack of widespread Y-overexpression in male flower buds as
402 an indicator that sexual antagonism in diploid flower buds contributes less to the
403 evolution of sex chromosomes in the two *Rumex* species than sex-specific haploid
404 selection. Alternatively, it could be that such sexual antagonism is resolved through
405 mechanisms that do not leave a signature in allelic expression patterns. However,

406 this may be unlikely given that sexual antagonism has previously been linked with
407 allele-specific expression changes in plants (Zemp et al. 2016).

408 Note that given our data it is difficult to definitively disentangle the possible
409 effects of pollenization and adaptive linkage on Y chromosome evolution. We cannot
410 differentiate between a scenario where alleles that are overexpressed in the haploid
411 phase are recruited to the Y (via adaptive linkage), or a scenario where haploid
412 beneficial allele are further upregulated in the haploid phase post linkage
413 (pollenization). Both scenarios are, however, likely complementary and point to the
414 importance of sex-specific haploid selection during plant Y chromosome evolution.

415 Given the evidence for pollen specialization on the Y chromosome, the early
416 stages of sex chromosome evolution in *Rumex* may have been driven by haploid
417 selection. It has been proposed that this should lead to male-biased sex ratios in
418 populations (Scott and Otto 2017). But contemporary populations of several *Rumex*
419 species, including both species studied here typically show female biased sex ratios
420 (Putwain and Harper 1972; Zarzycki and Rychlewski 1972; Klimes 1993; Rottenberg
421 1998; Stehlik and Barrett 2005; Pickup and Barrett 2013). This discrepancy may be
422 resolved if we consider the time dependent nature of Y chromosome degeneration
423 (Bachtrog, 2008) and how this might affect sex-ratio evolution.

424 In particular, early on in Y chromosome evolution male-biased sex ratios may
425 occur because Y degeneration is limited and at an early stage. However, in the long-
426 term, linked selection is likely to cause the accumulation of slightly deleterious
427 mutations on the Y. Given evidence for a very severe loss of diversity on the
428 contemporary Y chromosomes of *R. hastatulus* (Hough et al 2017), the reduced
429 efficacy of selection may be severe enough to drive down haploid fitness on the Y,

430 leading to female-biased sex ratios over time. This view of sex ratio evolution is
431 consistent with comparative data which indicates that plant species with
432 homomorphic sex chromosomes tend to have male-biased sex ratios whereas those
433 with heteromorphic sex chromosomes exhibit female-biased sex ratios (Field et al.
434 2013). Furthermore, theoretical work indicates that female-biased sex ratios can be
435 maintained in populations following deleterious mutation accumulation on the Y
436 (Hough et al. 2013). Thus, while explicit modelling of this process has yet to be
437 conducted, empirical and theoretical work does suggest that Y degeneration can lead
438 to female-biased sex ratios in older, heteromorphic sex chromosomes, despite a
439 history of specialization for haploid expression on the Y.

440 It is important to note that we have only considered the role of male haploid
441 selection and it is possible that similar processes could occur in females. Due to the
442 presence of uniovulate flowers in *Rumex* we suspect that gametic competition should
443 be far more intense in males than females. Nonetheless, processes such as meiotic
444 drive during female meiosis may also have significant effects on sex chromosome
445 evolution in these species and contribute to sex chromosome evolution. Future work
446 examining the role of meiotic drive in the spread of recombination suppression on the
447 sex chromosomes would thus be of interest.

448

449 **Conclusion**

450 We report evidence that differential retention of pollen-expressed genes during
451 degeneration, pollenization upon divergence, and/or adaptive linkage of pollen-
452 expressed genes jointly contribute to the enrichment of Y chromosomes for pollen
453 expressed genes in *Rumex*. As previously reported in *Silene latifolia* (Chibalina and

454 Filatov 2011), haploid selection can slow the degeneration of Y chromosomes
455 despite the reduced efficacy of selection predicted to be associated with the loss of
456 recombination (Charlesworth 1991). Similar to increased sex-specific expression on
457 animal sex chromosomes, we find sex-specific transmission produces unique
458 conditions in which the Y chromosome becomes specialized for pollen competition.
459 Though our results do not explicitly demonstrate that haploid selection has played a
460 role in driving the evolution of recombination suppression, our findings are consistent
461 with several of the predictions of the model of sex-chromosome evolution proposed
462 by Scott and Otto (2017), whereby sex-specific haploid selection is a key process
463 driving the evolution of sex chromosomes.

464

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470

471 **Author Contributions**

472 SIW, SCHB and GS conceived of and designed the study, GS collected the data, GS
473 and FEGB analysed the data, all authors contributed to the writing of the paper.

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476 **Conflict of interest**

477 The authors declare no conflict of interest.

478

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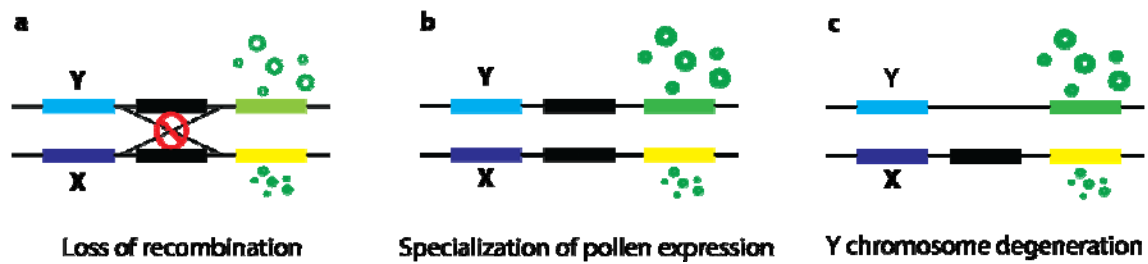
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- 584

585 **Tables and Figures**



587

588

589 Fig. 1: Depiction of the effects of haploid gametophytic selection on sex

590 chromosomes. Three distinct processes can potentially contribute to biased

591 expression and overrepresentation of pollen genes on the Y chromosome. **a)**

592 Recombination can be lost between the male determining region (Y) and any allele

593 that increases pollen fitness (the green allele). **b)** Without recombination, alleles with

594 pollen-specific fitness can diverge, or their expression can increase relative to the X-

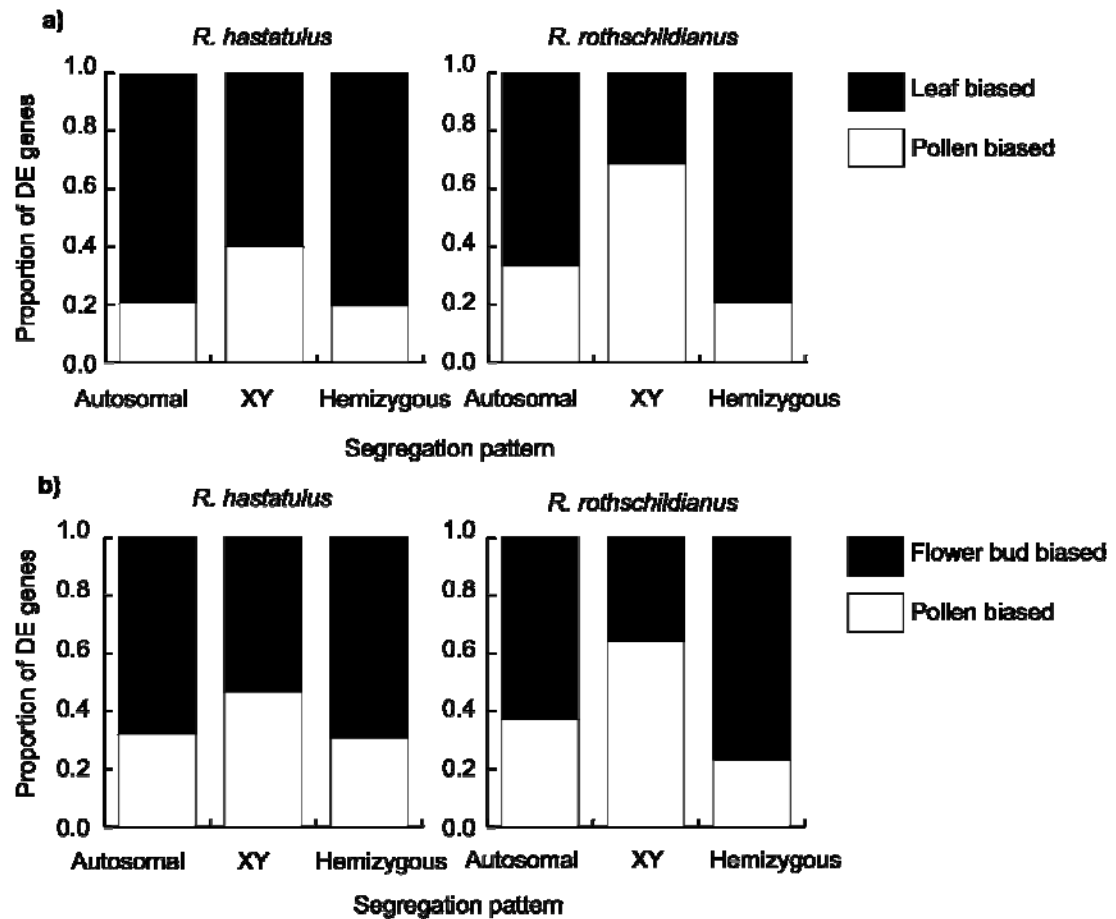
595 linked allele. **c)** As inefficient selection due to linkage causes degeneration of Y-

596 linked alleles, haploid selection during pollen competition may cause biased retention

597 of genes with pollen-specific fitness effects

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602 Fig. 2: Tissue expression bias of different gene groups in two *Rumex* species. Bar
603 segments represent the fraction of genes with significant differential-expression (DE)
604 in two pairwise tissue comparisons.

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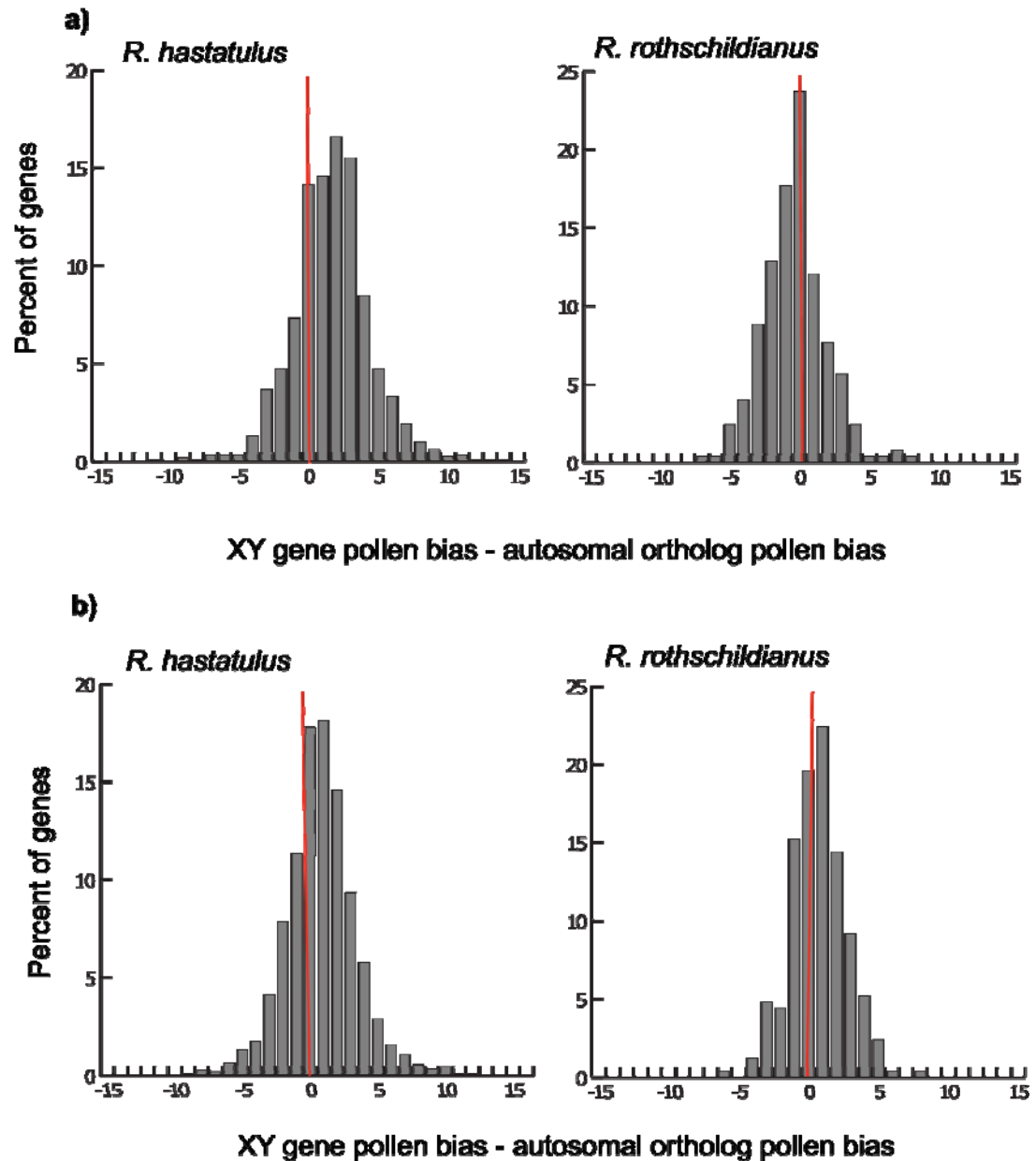
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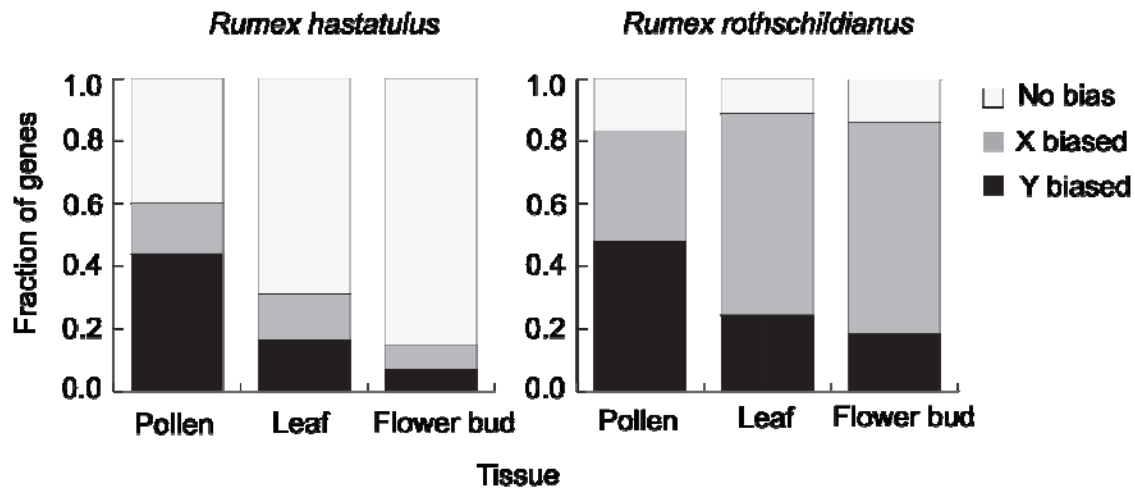


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613 Fig. 3: Differences in normalized tissue expression bias of XY genes and their
614 autosomal orthologs in two species of *Rumex*. The magnitude and direction of the
615 differences are related to the evolution of tissue expression in XY genes after their
616 linkage to the sex chromosomes. Tissue expression data used in the comparisons
617 include a) leaf/pollen and b) flower bud/pollen. Positive values indicate greater pollen

618 overexpression in XY genes relative to their autosomal orthologs. For details of
619 normalization see methods.



620

621 Fig. 4: Allele specific expression bias of X- and Y-linked genes in two species of
622 *Rumex*. Bar segments represent the percent of XY genes with no allelic bias (white),
623 significant X-overexpression (grey), and significant Y-overexpression (black).

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