

1 **Genotyping-by-sequencing supports a genetic basis for alpine wing-**
2 **reduction in a New Zealand stonefly.**

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10 Genotyping by Sequencing (GBS); Single Nucleotide Polymorphism (SNP); insect;

11 flight loss; phenotype evolution; wing loss

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18 **Running title:** Testing for SNPs linked to insect wing reduction

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23

24 **Abstract**

25

26 Wing polymorphism is a prominent feature of numerous insect groups, but the
27 genomic basis for this diversity remains poorly understood. Wing reduction is a
28 commonly observed trait in many species of stoneflies, particularly in cold or alpine
29 environments. The widespread New Zealand stonefly *Zelandoperla fenestrata*
30 species group (*Z. fenestrata*, *Z. tillyardi*, *Z. pennulata*) contains populations ranging
31 from long-winged (macropterous) to vestigial-winged (micropterous), with the latter
32 phenotype typically associated with high altitudes. The presence of flightless forms
33 on numerous mountain ranges, separated by lowland fully winged populations,
34 suggests wing reduction has occurred multiple times. We use Genotyping by
35 Sequencing (GBS) to test for genetic differentiation between fully winged (n=62) and
36 vestigial-winged (n=34) individuals, sampled from a sympatric population of distinct
37 wing morphotypes, to test for a genetic basis for wing morphology. We found no
38 population genetic differentiation between these two morphotypes across 6,843 SNP
39 loci, however we did detect several outlier loci that strongly differentiated
40 morphotypes across independent tests. This indicates small regions of the genome are
41 likely to be highly differentiated between morphotypes, indicating a genetic basis for
42 morphotype differentiation. These results provide a clear basis for ongoing genomic
43 analysis to elucidate critical regulatory pathways for wing development in Pterygota.

44

45

46 **Introduction**

47

48 Understanding the genetic basis of phenotypic variability not only illuminates the
49 active evolutionary processes occurring within species but may also shed light on the
50 evolution of different morphologies among species. Wing polymorphism has arisen
51 in many insect orders, with variability in wing morphology prominent in Hemiptera
52 (true bugs), Coleoptera (beetles), Orthoptera (crickets and grasshoppers), and
53 Plecoptera (stoneflies) ¹⁻⁴. Within these groups, species that have lost flight are
54 particularly common on islands, at high altitudes and high latitudes ¹. The degree of
55 wing development may vary between closely related species or within a species.
56 While referred to as “wing polymorphism”, this variation often consists of morphs
57 that differ in all major aspects of flight capability (e.g. size of flight muscles,
58 production of flight fuels), as well as many other aspects of physiology and
59 reproduction. These polymorphisms may result from a variety of causes: alternate
60 morphologies may be encoded by different genotypes (genetic polymorphism),
61 induced by different environments (environmental polyphenism), or produced by
62 variation in both genetic and environmental factors ⁵. The degree of wing
63 development can either be dimorphic with two alternative forms, or variation can
64 exist along a spectrum.

65

66 There are many factors that influence the relative costs and benefits of flight in insects
67 (reviewed by ^{2,6-8}). Wing reduction may confer an adaptive advantage when habitat
68 stability is high, and when habitat complexity is low ⁹. Habitat isolation may also
69 promote flight loss, as the removal of flighted emigrants from habitat patches selects
70 against this dispersal ability ^{7,10-12} ¹. Specifically, in alpine environments high winds
71 may sweep away individuals with long wings ^{7,13-15}. Wing reduction has also been
72 attributed to the high energy expenditure required in the production and maintenance

73 of flight apparatus, which are traded off at the expense of other life-history traits –
74 particularly fecundity^{1,4,16-21}.

75

76 Stoneflies are of particular interest relating to the evolution of insect flight because of
77 their early divergence within winged insects (Pterygota) and since they exhibit
78 multiple wing-powered locomotive behaviors, including sailing and skimming on the
79 water surface²². These methods of locomotion have even been proposed as models
80 for the evolution of flight in insects²³⁻²⁵, and it has been suggested that stoneflies thus
81 may exhibit an ancestral form of wing and flight development^{22,26}. Many stonefly
82 species have reduced wings, with four forms of wing-length polymorphism described:
83 macropterism (fully winged or long-winged), brachypterism (short-winged),
84 micropterism (vestigial-winged) and apterism (wingless)²⁷. Even fully winged
85 stonefly taxa are typically considered to be weak flyers with limited dispersal ability
86²⁷⁻³³. There have been several studies of wing reduction in stoneflies e.g.^{13,15,32,34-38},
87 with some suggesting a possible genetic basis for short wingedness e.g.³⁹ but this
88 hypothesis remains to be tested.

89

90 Over the last decade, high-throughput genetic sequencing, along with reduced
91 representation genomic libraries⁴⁰ have enabled the low-cost discovery and
92 genotyping of thousands of genetic markers for non-model organisms, revolutionizing
93 ecological, evolutionary and conservation genetics⁴¹⁻⁴³. In particular, these advances
94 have enabled the discovery of many candidate loci involved in specific phenotypic
95 traits⁴⁴⁻⁴⁶. Such advances have been made either with quantitative trait loci (QTL)
96 mapping using pedigree information, or through genome-wide association studies
97 (GWAS) that identify non-random associations of alleles between loci and adaptive

98 traits as a consequence of natural selection ⁴⁷⁻⁴⁹.

99

100 The underlying bases for wing polymorphism have now been studied in several
101 species of insects, showing various environmental, developmental, and genetic
102 controls, often with multiple developmental pathways and regulators e.g. ⁵⁰. For
103 instance, the proximate endocrine processes that control wing development have been
104 investigated in wing-polymorphic crickets (*Gryllus sp.*), showing Juvenile Hormone
105 (JH) may regulate wing development in this species ^{5,51}, while in a planthopper
106 (*Nilaparvata lugens*), genes in the insulin-signaling pathway may regulate wing
107 development ^{52,53}. The genes responsible for wing polymorphism have also recently
108 been investigated in ants (*Pheidole morrisi*) ⁵⁴, salt marsh beetles (*Pogonus chalceus*)
109 ⁵⁵, and pea aphids (*Acyrtosiphon pisum*) ^{56,57}. There are also known genes
110 responsible for wing patterning and development in model organisms such as
111 *Drosophila melanogaster*, which may be relevant to intra-specific wing
112 polymorphism ⁵⁸. While genetic changes often underlie wing polymorphism,
113 epigenetic changes have also been demonstrated between wing morphs in a
114 planthopper (*Sogatella furcifera*) ^{59,60}.

115

116 The New Zealand stonefly *Zelandoperla fenestrata* species group (*Z. fenestrata*, *Z.*
117 *pennulata*, *Z. tillyardi*) contains populations that range from fully winged to vestigial-
118 winged, with wing-reduced populations more prevalent in southern South Island,
119 particularly at higher altitudes ^{61,62}. Under current taxonomy micropterous individuals
120 are classified as *Zelandoperla pennulata* (McLellan 1967), dark-colored individuals
121 including those implicated in the mimicry of another stonefly (*Austroperla cyrene*)
122 are classified as *Zelandoperla tillyardi* (McLellan 1999), while the remaining light-

123 colored fully winged individuals are classified as *Zelandoperla fenestrata* (Tillyard
124 1923). The three described species, however, appear to represent co-distributed color
125 and wing-length polymorphisms rather than discrete evolutionary units, with the
126 species group actually comprising five geographically discrete, deeply divergent
127 clades (from 2% - 9% average divergence at COI)³². These five regional clades
128 exhibit differing propensities to exhibit wing reduced populations. Of the five clades
129 of *Z. fenestrata* species group, Clade 1 is generally wing-dimorphic, with fully
130 winged lowland populations and alpine associated vestigial-winged populations, with
131 a steep transition in wing morphology at around 500 m.a.s.l (Figure 1). In contrast,
132 Clades 2-4 appear to be comprised of only fully winged individuals, and Clade 5 is
133 thought to be exclusively micropterous or apterous⁶². Given the level of divergence
134 between clades, and the probable differences in developmental characteristics
135 between them, these clades may represent different species; further study is warranted
136 to reclassify this group. The believed difference in propensity for wing reduction in
137 different clades may suggest the possibility of a genetic basis for wing reduction in
138 these taxa. Furthermore, the presence of non-dispersive, flightless forms on multiple
139 mountain ranges in *Z. fenestrata* Clade 1, separated by lowland winged populations,
140 suggests wing reduction may have evolved multiple times in this lineage³². At finer
141 spatial scales, recent genetic studies have shown phylogenetic divergence in wing-
142 reduced populations of *Z. fenestrata* Clade 1 between adjacent mountain streams,
143 highlighting the low dispersal ability of alpine populations and the possibility that
144 each stream may have been colonized independently by winged lowland ancestors⁶³.
145 The specific mechanisms and genes behind wing development and polymorphism in
146 *Z. fenestrata* remain unknown.

147

148 There are two (non-exclusive) hypotheses as to how *Z. fenestrata* Clade 1 lose their
149 wings: 1) wing loss is genetically determined, or 2) wing loss is mediated by
150 environmentally determined gene expression (i.e. polyphenism). Both of these
151 hypotheses have received support from studies of other wing-dimorphic insects.
152 Examples of taxa showing genetically determined wing dimorphism (Hypothesis 1)
153 include several species of carabids and weevils^{14,64,65} where wing dimorphism is
154 controlled by a single gene operating in a Mendelian fashion. Similarly, in field
155 crickets⁶⁶ and maize leaf hoppers (*Cicadulina sp.*)⁶⁷, wing polymorphism is
156 genetically controlled but related to a complex interplay between many genes.
157 However, in a situation more consistent with Hypothesis 2 (polyphenism), while wing
158 morphology in *Gryllus* crickets can be controlled either by a single gene locus or a
159 polygene complex, both can be regulated by the level of juvenile hormone (JH) –
160 whereby if JH exceeds a threshold value during a critical developmental stage of the
161 insect, wing development is suppressed^{5,51,68}. Other environmental factors that can
162 influence wing development include abiotic factors such as temperature⁶⁵ and
163 photoperiod⁶⁹ as well as biotic factors such as food resources⁶⁵ and population
164 density⁷⁰. Many of these environmental regulators of wing development also have a
165 genetic component, for instance the fully winged morphotype of the red fire bug
166 (*Pyrrhocoris apterus*) is determined by a recessive allele, whose penetrance depends
167 on photoperiod and temperature⁷¹. Environmentally induced wing polyphenism in
168 insects can also be transgenerational, with the level of the hormone ecdysone in the
169 mother (regulated by population density) altering the expression of wing development
170 in the offspring of the pea aphid (*Acyrtosiphon pisum*)⁷².

171

172 In this study, we use Genotyping By Sequencing (GBS) to test for genetic

173 differentiation between wing morphotypes in *Z. fenestrata* Clade 1, and test for loci
174 specifically associated with wing reduction. GBS analyses a subset of the genome
175 next to specific restriction sites, providing a near random sample of SNP loci across
176 the genome, some of which may be associated with differentially adaptive genes or
177 regulatory regions⁴⁷⁻⁴⁹. As mentioned, *Z. fenestrata* Clade 1 is a divergent clade of
178 the species group, with a propensity for alpine related wing-reduction, and it may be
179 divergent enough to other clades to warrant reclassification to species or sub-species
180 level. Surveys of *Z. fenestrata* Clade 1 morphotype distributions conducted by our
181 lab identified one stream (Black Jacks Creek) that exhibited an unusual pattern of
182 high overlap between wing morphotype populations at a low altitude. By focusing
183 our study on a single stream population that exhibits co-distributed extreme wing
184 morphologies, we aim to examine genomic differentiation between morphotypes
185 without the confounding factor of neutral genetic population structure or other
186 environmental differences.
187

188 **Methods**

189 **SAMPLE COLLECTION**

190 Sampling was conducted along Black Jacks Creek (on the
191 Old Man Range, South Island, New Zealand, at three sampling zones (80 – 100
192 m.a.s.l; 120-140 m.a.s.l, 190-210 m.a.s.l) (Figure 1). Recently-emerged adults of *Z.*
193 *fenestrata* Clade 1 were collected from under stones in rapids or in the moss or
194 vegetation next to the stream and immediately stored in absolute ethanol. Large
195 nymphs were also collected from under stones in rapids and returned to the laboratory
196 in a cooler, where they were reared in Styrofoam cups at 11°C in water from their
197 natal stream with small amounts of stream vegetation. Upon emerging as adults
198 (within 30 days of sampling), individuals were immediately transferred to ethanol and
199 stored at 4°C. While the exact location was not identified for each sample, the
200 approximate altitude was recorded within 20 m altitude. Samples from within a
201 locality were obtained from numerous different rocks across each sampling location.

202

203 **MORPHOLOGICAL CLASSIFICATION**

204

205 All 127 individuals collected were photographed using a stereo microscope, and
206 forewing length and body length were measured from a stage micrometer scale in
207 ImageJ⁷³. Forewings and hindwings are equally sized for each individual, therefore
208 measuring both was not necessary. We visually sorted specimens into either a fully
209 winged (macropterous) or vestigial-winged (micropterous) groups. To examine the
210 variation in wing length and body length we then visualized these data, and created a
211 generalized linear model (GLM) for wing length based on body length, sex, sampling

212 altitude and our previous wing length classification in R. These analyses tested for a
213 clear pattern of wing dimorphism in this population, and to ensure the morphology
214 classification was not biased by any additional influencing factors (e.g. size, altitude
215 or sex).

216

217 **DNA EXTRACTION AND SEQUENCING**

218

219 DNA extractions and GBS library prep were carried for 96 individuals (34 fully
220 winged, 62 vestigial-winged) using the same methodology as Dussex, et al.⁶³. DNA
221 extractions were carried out using DNeasy kits (Qiagen, Valencia, CA, USA)
222 according to the manufacturer's protocol using dissected head and femur tissue.
223 Genotyping by sequencing library preparation followed the protocols of Elshire et al.
224 (2011) with modifications as follows. DNA extractions were first dried using a
225 vacuum centrifuge at 45°C, then resuspended in 15 µL dH₂O. To each sample, a
226 uniquely barcoded PstI adapter was added (2.25 ng per sample; Morris et al. 2011).
227 DNA digestion was performed using 4UPstI-HF (NewEngland Biolabs, Ipswich, MA;
228 Morris et al. 2011) in 1X CutSmart BufferTM130 with incubation at 37°C for 2 h.
229 Adapters were ligated with T4 DNA ligase in 1X ligation buffer (New England
230 Biolabs), followed by incubation at 16°C for 90 min and 80°C for 30 min.
231 Purification was performed using a Qiagen MinElute PCR purification kit, with
232 elution in 25 mL 1X TE. PCRs were carried out in 50 mL volumes containing 10 mL
233 purified DNA, 1X MyTaqTM HS Master Mix (Bioline), and 1 mM each of PCR
234 primers

235 5_AATGATACGGCGACCACCGAGATCTACACTCTTTCCCTACACGACGCTC

236 TTCCGATC*T and 5_

237 CAAGCAGAAGACGGCATACGAGATCGGTCTCGGCATTCCTGCTGAACCGC
238 TCTTCCGATC*T (where * indicates phosphorothioation) as per Dussex et al.
239 (2016). PCRs were run in a Mastercycler ep Gradient S (Eppendorf, Hamburg,
240 Germany) under the following conditions: 72°C for 5 min, 95°C for 60 s, and 24
241 cycles of 95°C for 30 s, 65°C for 30 s, and 72°C for 30s, with a final extension step at
242 72°C for 5 min. Sample concentrations were assessed using a NanoDrop
243 spectrophotometer (Thermo Scientific) and all samples were pooled (20 ng DNA per
244 sample). Size fractionation of the pooled library was achieved via electrophoresis on a
245 1.5% agarose gel, with a 300 bp size range from 200 to 500 bp selected for
246 sequencing. A total of 96 samples were sequenced on one lane of an Illumina HiSeq
247 2500.

248

249 ANALYSES

250

251 *Bioinformatic processing*

252

253 All reads were trimmed, filtered and analyzed using the STACKS pipeline ⁷⁴ in order
254 to create catalogues of comparable SNP loci. We optimized the pipeline according to
255 the recommendations of Paris, et al. ⁷⁵. Initially, the PROCESS_RADTAGS module
256 was used to separate reads by their barcode, remove low-quality reads (any read with
257 an average Phred score < 10 in any sliding window of 11bp), trim all reads to 70 base
258 pairs in length, and remove any reads that did not contain the enzyme recognition
259 sequence. Next, the USTACKS module was used for the *de novo* assembly of raw
260 reads into RAD tags. The minimum number of reads to create a stack was set at 3 (-m
261 parameter in USTACKS), and the maximum number of pairwise differences between

262 stacks was 2 (-M parameter in USTACKS). A catalogue of RAD tags was then
263 generated using the 25 highest coverage individuals from each ecotype in CSTACKS.
264 The distance allowed between catalogue loci (-n in CSTACKS) was increased to 2,
265 after different trials were run to ensure loci were not inaccurately called as separate
266 stacks. The execution of these components was accomplished using the STACKS
267 `denovo_map.pl` script; in running this script, the optional -t flag was used to remove
268 highly repetitive RAD tags during the USTACKS component of the pipeline.
269 Following assembly and genotyping, the data were further filtered to maximize data
270 quality. Using the POPULATIONS module, we retained only those loci that were
271 genotyped in $\geq 50\%$ of individuals and had a minor allele frequency ≥ 0.05 and a
272 minimum stack depth of 10 (-m in POPULATIONS) for each individual. Genotypic
273 data were exported from STACKS in GENEPOP format ⁷⁶ and converted for
274 subsequent analyses using PGD SPIDER v. 2 ⁷⁷.

275

276 *Population Structure*

277 We investigated the number of populations (or clusters) represented in our data using
278 FASTSTRUCTURE ⁷⁸ and the putatively neutral SNP dataset, default parameters, a
279 logistic prior, and K from 1 to 6. The appropriate number of model components that
280 explained structure in the dataset was determined using the *chooseK.py* function ⁷⁸.
281 Results for the identified optimal values of K were visualized using DISTRUCT ⁷⁹.
282 We also estimated the number of clusters using the *find.clusters* command in
283 ADEGENET, with optimization based on the Bayesian Information Criterion (BIC).
284 Finally, we created a Euclidian distance matrix between individuals in the R package
285 ADEGENET ⁸⁰, which we then displayed using a neighbor-joining tree produced in
286 the R package APE ⁸¹.

287

288 *Outlier loci detection and annotation*

289 Due to the limitations of differentiation-based methods and the potentially high false
290 positive rates when looking for outlier loci under divergent selection^{82,83}, we utilized
291 two distinct approaches: 1) an F_{ST} based outlier approach between *a priori*
292 morphotype-pairs implemented in BAYESCAN⁸⁴; and 2) a hierarchical Bayesian
293 modeling approach implemented in PCADAPT⁸⁵.

294

295 BAYESCAN analyses can give spurious results when there is significant over-
296 representation of one of the groups being compared⁸⁶. Due to the sample size of
297 vestigial-winged specimens being approximately twice the number of fully winged
298 specimens, we performed two independent BAYESCAN runs, both including all fully
299 winged individuals, but each with a different half of the vestigial-winged group.
300 These two comparisons therefore each had a balanced design, and can be used to
301 evaluate the generality of outlier loci detected across partially independent
302 comparisons (given that one comparison group remains the same while the other
303 changes). For each analysis, BAYESCAN was run using 10,000 output iterations, a
304 thinning interval of 10, 20 pilot runs of length 10,000, and a burn-in period of 10,000,
305 with prior odds of the neutral model of 10. We recorded all loci with a q-value of 0.2
306 or less, which equates to a false discovery rate of 20%. Q-values are far more
307 stringent than p-values in classical statistics as they are adjusted for the false
308 discovery rate given multiple comparisons, rather than the individual false positive
309 rates in each comparison⁸⁷. To better understand the rates of false positive
310 identification for outlier loci in this dataset, we also undertook 20 runs of

311 BAYESCAN using identical parameters but comparing randomized groups of
312 individuals (each also consisting of 34 individuals).

313

314 We also conducted outlier detection as implemented in PCADAPT⁸⁵. The number of
315 Principal Components retained (K) for each analysis was determined by the graphical
316 approach based on the scree-plot⁸⁸, as recommended by Luu, et al.⁸⁵.

317

318

319 **Results**

320 *Morphology*

321 Of 127 adults measured in this *Z. fenestrata* Clade 1 population, we found clear wing
322 dimorphism for both males and females, with an approximately even number of each
323 sex sampled (Figure 2). Fully winged individuals had an average forewing length:
324 body length ratio of 1.06 ± 0.15 , while the vestigial-winged individuals had an
325 average forewing length: body length ratio of 0.26 ± 0.28 , and there was no overlap in
326 the distribution of wing lengths between groups. This difference in wing length was
327 highly significant ($t = -57.479$, $p < 2e-16$). Sampling altitude (over this small
328 altitudinal range) had no significant effect on the proportion of each morphotype, nor
329 did it affect body length or wing length. Sex was significantly correlated with
330 forewing length ($t = -3.331$, $p = 0.00114$), with females consistently having both
331 longer forewings and bodies than males for both the fully winged and vestigial-
332 winged forms, and there was also a significant positive correlation between body
333 length and wing length within each sex ($t = 2.811$, $p = 0.00575$).

334

335 *GBS genotypic data and alignment*

336

337 Following GBS, processing and filtering, we collected genotypic data at 6,843 SNPs
338 across 96 of the measured 127 *Z. fenestrata* Clade 1 individuals – leaving out
339 randomly selected vestigial-winged individuals as this dataset was far larger than the
340 fully winged dataset. The sequences of these tags containing these SNPS are
341 provided in Supplementary Table 1.

342

343 We found no detectable population structure across the samples using any of the
344 analyses. FASTSTRUCTURE indicated an optimal number of clusters as 1, and
345 when the higher number of clusters were investigated no clear pattern of
346 differentiation emerged (Supplementary table 1). Similarly, using the *find.clusters*
347 function in ADEGENET, the optimal number of clusters was 1, and no trend in
348 differential clustering was visible for higher values of K. Finally, no genetic structure
349 was evident in the neighbor-joining tree (Figure 3) or principal component analyses
350 (Figure 4).

351 Given these results we conclude that there is no neutral population structure between
352 fully winged and vestigial-winged individuals when sampled from the same location,
353 and no differentiation among sampling localities. Given this apparent panmixia,
354 genetic differences associated with morphotype differentiation, if present, must
355 therefore be limited to small regions of the genome, likely indicating loci under
356 divergent selection.

357

358 *Outlier loci detection and comparison*

359

360 Given that no principal components correlated to morphotype differentiation,
361 PCADAPT was unable to detect outliers associated with morphotypes, instead only
362 identifying loci associated with the differentiation of a handful of slightly divergent
363 individuals (Figure 4).

364

365 Because we had 34 fully winged individuals compared with 62 vestigial-winged
366 individuals, we conducted two separate BAYESCAN analyses, dividing the vestigial-
367 winged population sample in two. This was done because having highly uneven
368 sample sizes in the two groups can disproportionately skew results⁸⁶. This approach
369 also gave us the opportunity to compare the results of these two analyses, identifying
370 loci that were found to be significant in these largely independent comparisons.

371

372 The two BAYESCAN runs detected 17 and 14 outlier loci with a q-value of <0.2
373 (Supplementary Table 2). Of these, three loci were identified in both comparisons,
374 with one locus (14459_12) identified as the most significantly differentiated SNP in
375 both comparisons, with q-values of (0.00570 and <0.00000). In independent
376 comparisons with random differences between groups with loci differentiation
377 distributions to those observed, one would expect 0.03 loci to be detected as outliers
378 in both comparisons, and the probability that the most differentiated locus would be
379 identical would be <0.0001.

380 In the randomized BAYESCAN runs, an average of 10.6 outlier loci were detected at
381 a q-value of 0.2, with a maximum of 13 outlier loci detected. This number of outliers
382 recorded is slightly lower than the real winged vs. wingless comparisons, however not
383 greatly, indicating that at this relatively relaxed reporting value for q-values many of
384 the recorded outliers are likely to be false positives. However, the minimum q-value

385 recorded across these random comparisons was 0.026. In both of our real
386 comparisons between winged and wingless groups, three outliers were more
387 significant than this, including the outliers identified in multiple comparisons which
388 were considerably lower. This provides strong evidence that these very high
389 confidence outliers are truly associated with the difference in phenotype and not
390 statistical false positives.

391

392 The observed differentiation between fully winged and vestigial-winged individuals at
393 these outlier loci strongly suggests that there are regions of the genome highly
394 differentiated between these two morphotypes. Due to the paucity of genomic data
395 published for Plecoptera, we were unable to map these outlier loci via BLAST-n to
396 genomic regions to identify the genes present in the surrounding regions.

397 **Discussion**

398 In this study, we tested for a genetic basis for wing reduction in the New Zealand
399 stonefly *Z. fenestrata* Clade 1. While we found no neutral population structure among
400 the two sympatric morphotypes we detected outlier loci between fully winged and
401 vestigial-winged *Z. fenestrata* Clade 1 individuals, with several of the most highly
402 differentiated outlier loci common to distinct sample comparisons. These results
403 match the predictions of a ‘divergence with gene flow’ scenario, where small regions
404 of the genome (genomic islands of divergence) are highly differentiated, contrasting
405 with lower differentiation across the rest of the genome⁸⁹⁻⁹¹. These results strongly
406 support the hypothesis that wing reduction in *Z. fenestrata* Clade 1 is at least partially
407 genetically determined, and not solely an environmentally determined polyphenism.

408 Given a probable genetic basis for wing morphotype, and evidence for divergent
409 selection for different morphotypes at different altitudes as indicated by the broader
410 altitudinal distribution of the two morphotypes^{32,63}, this system is potentially an
411 example of early ecological divergence with gene flow, similar to recent examples of
412 ecological speciation e.g.^{92,93}. While reproductive barriers do not apparently exist
413 between these two sympatric morphotypes in Clade 1, the broad system we describe
414 demonstrates the effects of divergent selection at different altitudes, with ongoing
415 gene flow where the two forms meet.

416

417 When populations occupy different habitats, divergent natural selection can cause
418 differentiation in ecologically important characters (for review, see Schluter⁹⁴), and
419 conversely, gene flow between divergent populations acts as a homogenizing force,
420 eroding population differentiation⁹⁵. In the majority of *Z. fenestrata* Clade 1
421 populations, vestigial-winged populations occupy higher altitudes and are largely
422 allopatric to the lower altitude fully winged populations. It appears that gene flow
423 over any distance is extremely low for *Z. fenestrata*, as evidenced by the fine-scale
424 genetic structure between nearby streams⁶³. This poor flighted dispersal ability may
425 contribute towards maintaining the divergence between morphotype populations,
426 despite the observed homogenization across the majority of the genome in geographic
427 regions of population overlap. Indeed, the micropterous phenotype is likely to
428 decrease gene flow due to the lack of any flighted long-distance dispersal. In most
429 systems where ecological divergence is detected there is considerable reproductive
430 isolation between morphotypes; the low dispersal abilities of *Z. fenestrata* may be the
431 mechanism that helps maintain this isolation in most streams.

432 One question that remains to be addressed is why the Black Jacks Creek *Z. fenestrata*
433 Clade 1 population exhibits the high degree of overlap between morphotypes,
434 particularly relating to high proportion of vestigial-winged individuals present at low
435 altitudes. Previous studies have indicated a sharp transition from fully winged to
436 vestigial-winged or apterous at around 500 m.a.s.l.³². We offer two hypotheses as to
437 why sympatry occurs at this altitude at Black Jacks Creek, though these must be
438 regarded as speculation until further testing is done. Firstly, a disturbance such as a
439 large storm may have flushed out a large proportion of the fully winged individuals
440 into the nearby Clutha River, replacing them with vestigial-winged individuals from
441 higher altitudes. Alternatively, the selection pressure for wing reduction occurs at a
442 lower altitude in this stream – or relates to very fine-scale microhabitat surrounding
443 Black Jacks Creek, which is a patchy mosaic of scrub and grassland modified by
444 recent farming activities.

445

446 Our results reinforce the need for taxonomic revision for this species group, as there is
447 no genetic evidence for the separation of vestigial-winged morphotypes into the
448 separate taxon *Z. pennulata*. Along with there being no neutral genetic differentiation
449 between co-occurring morphotypes of this species, we found no temporal or spatial
450 segregation of the two morphotypes, given that recently-emerged fully winged and
451 vestigial-winged individuals were collected simultaneously. These results are
452 consistent with the completely overlapping temporal patterns of emergence
453 documented by McLellan⁶².

454

455 While we infer that there is evidence for a genetic component to the differentiation of
456 wing morphotypes, there may also be an environmental component to this

457 differentiation. In other species of insects, the penetrance of genetic factors
458 regulating wing development can be mediated by environmental factors, and therefore
459 the expression of phenotype can be highly complex^{71,72}. The differing patterns of
460 wing loss in the different clades of *Z. fenestrata* Clade 1 may indicate the interactive
461 roles played between the environment and genetics. It remains possible that some
462 level of environmentally determined gene expression is partially responsible for the
463 observed wing morphotypes found across the *Z. fenestrata* species group.

464 While we analysed SNP data, we do not infer that SNPs underlie the phenotypic
465 differences observed, nor that the outlier SNPs identified in our study have any causal
466 relationship to the observed developmental differences between morphotypes. Rather,
467 these SNPs are likely to be in linkage with changes in nearby regions of the genome
468 that influence morphotype⁹⁶. As regions linked to the genetic changes underlying
469 phenotypic differences can be very large (e.g.^{97,98} we would require a well annotated
470 and near complete genomic sequence before we could speculate as to the specific
471 changes responsible for wing polymorphism.

472

473 Untangling the precise mechanisms behind wing reduction in the *Z. fenestrata* species
474 group, including testing for an environmentally induced component to these
475 alternative developmental pathways will require further experimentation. While the
476 *Z. fenestrata* species group is a fascinating system to study the mechanisms wing
477 reduction in insects, the group does have some life-history and population
478 characteristics that create challenges for understanding the mechanism(s) behind wing
479 loss difficult. *Z. fenestrata* can have a long generation time (perhaps involving years
480 as a wingless nymph), making breeding experiments and QTL studies challenging.

481 Furthermore, their habitat is fast flowing rapids in highly oxygenated streams with
482 cold water, making them difficult to raise in laboratory settings for a full life cycle,
483 and hindering reciprocal translocation experiments in the wild. Combining long-term
484 common garden experiments and analyses of gene expression should provide more
485 information to the regulatory mechanisms and pathways for wing development in this
486 species.

487

488 Currently the genomic resources for *Z. fenestrata* (and all Plecoptera) are too
489 incomplete to determine if the outlier loci identified are adjacent to each other, or
490 more generally, if they are in islands of divergence. Without these genomic
491 resources, it is also impossible to speculate as to the potential underlying genes that
492 may be responsible for these two phenotypes. With further work creating a genome
493 assembly for this species we will be able to look at the specific genomic regions
494 linked to the outlier SNPs defined in this study.

495

496 **Conclusion**

497 Wing dimorphism is a common trait across many species of stoneflies, but the
498 mechanisms behind this have yet to be investigated. *Z. fenestrata* Clade 1 presents an
499 ideal taxon to examine this, potentially revealing the generalized mechanisms behind
500 wing reduction in this order. Our results for this spatially overlapping population of
501 fully winged and vestigial-winged *Z. fenestrata* Clade 1 morphotypes supports the
502 hypothesis that wing development has a genetic mechanism rather than being solely
503 environmentally determined. While there was no neutral genetic structure between
504 wing morphotypes, outlier loci were identified between these two groups. While it is

505 possible that these outlier loci are not themselves linked with the specific causative
506 changes associated with wing development, any genetic differences linked to wing
507 morphotype differentiation in an otherwise sympatric population must indicate that
508 there is some genetic differentiation between morphotypes. Further examination of
509 these outlier loci may reveal the underlying genes linked to wing reduction in this
510 species.

511

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513

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807

808 **Acknowledgements**

809 We wish to thank Tania King for her assistance in the lab, and Maxim Nekrasov at the
810 John Curtin School of Medicine for his assistance in library sequencing. Thanks to
811 Graham McCulloch and Brian Patrick for their advice on the system. This work was
812 funded by Marsden fund grant (UOO1412).

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823 **Figure Legends**

824 Figure 1 Map showing the sampling locations along Black Jacks Creek (A = 200

825 m.a.s.l, B = 130 m.a.s.l, C = 90 m.a.s.l). Inset below are examples of the two

826 morphotypes to scale. To the right are the regional patterns of fully winged and

827 vestigial-winged *Z. fenestrata* Clade 1 (data from McCulloch et al., 2009).

828 Figure 2 Variation in the relative wing length and body length of *Z. fenestrata* Clade 1

829 from Black Jacks Creek.

830 Figure 3 Neighbor-joining tree of *Z. fenestrata* Clade 1 samples showing the lack of

831 phylogenetic differentiation between wing morphotypes

832 Figure 4 Principal component analysis of *Z. fenestrata* Clade 1 genetic differentiation

833 in Black Jacks Creek.

834 Figure 5 Scatterplot comparing the q-values obtained from the two independent

835 BAYESCAN comparisons of fully winged and vestigial-winged morphotypes of *Z.*

836 *fenestrata* Clade 1 sampled in Black Jacks Creek.

837 **Author contributions statement**

838 AV planned and wrote the manuscript and performed the analyses, BF and JW

839 conducted the fieldwork, JW and PD envisioned and planned the project, all authors
840 edited and redrafted the manuscript.

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842 **Competing interests**

843 We have no competing interests of any sort.

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845 **Data Accessibility**

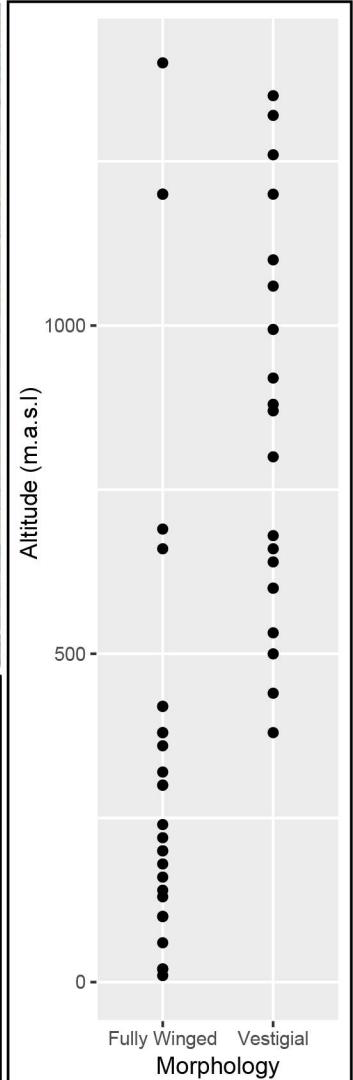
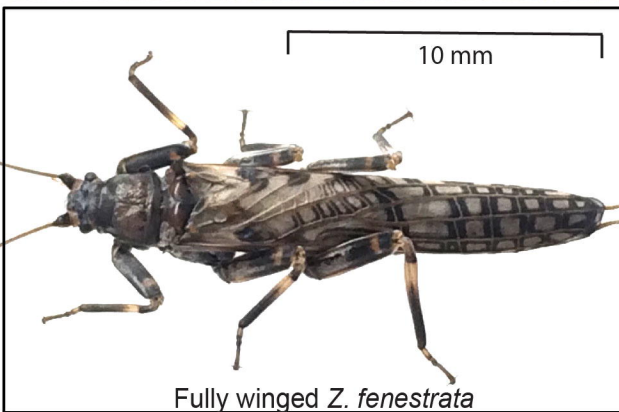
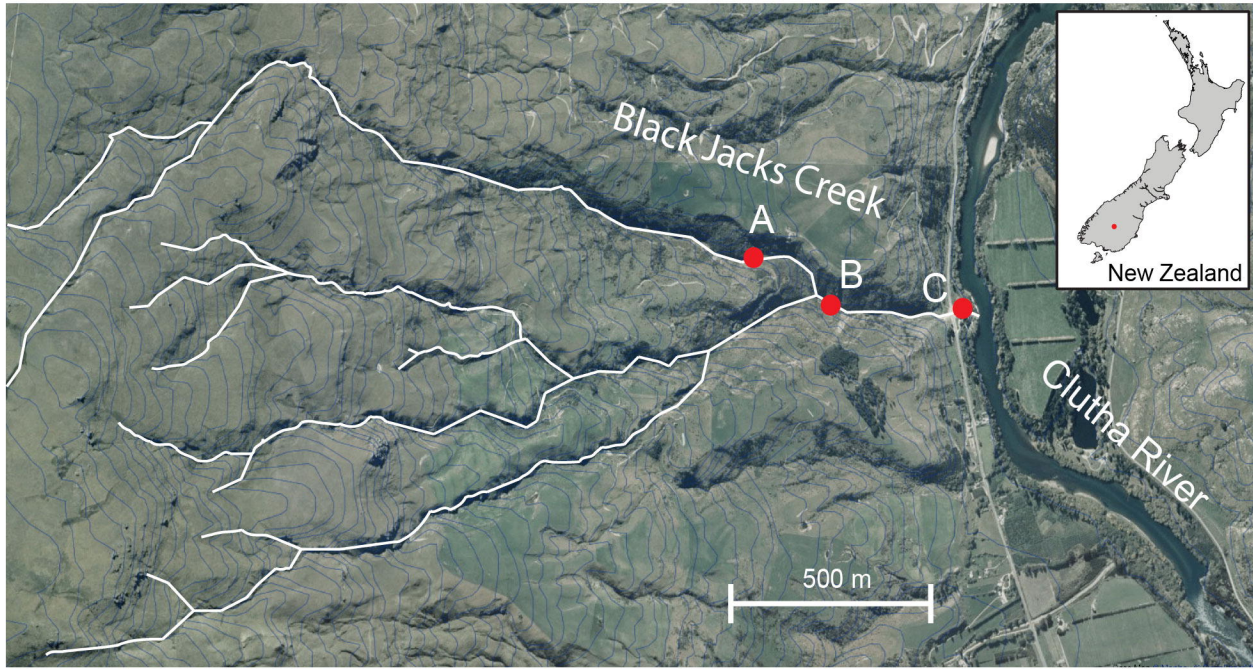
846 All processed data from Stacks will be included on Dryad entry # XXXXXXXX

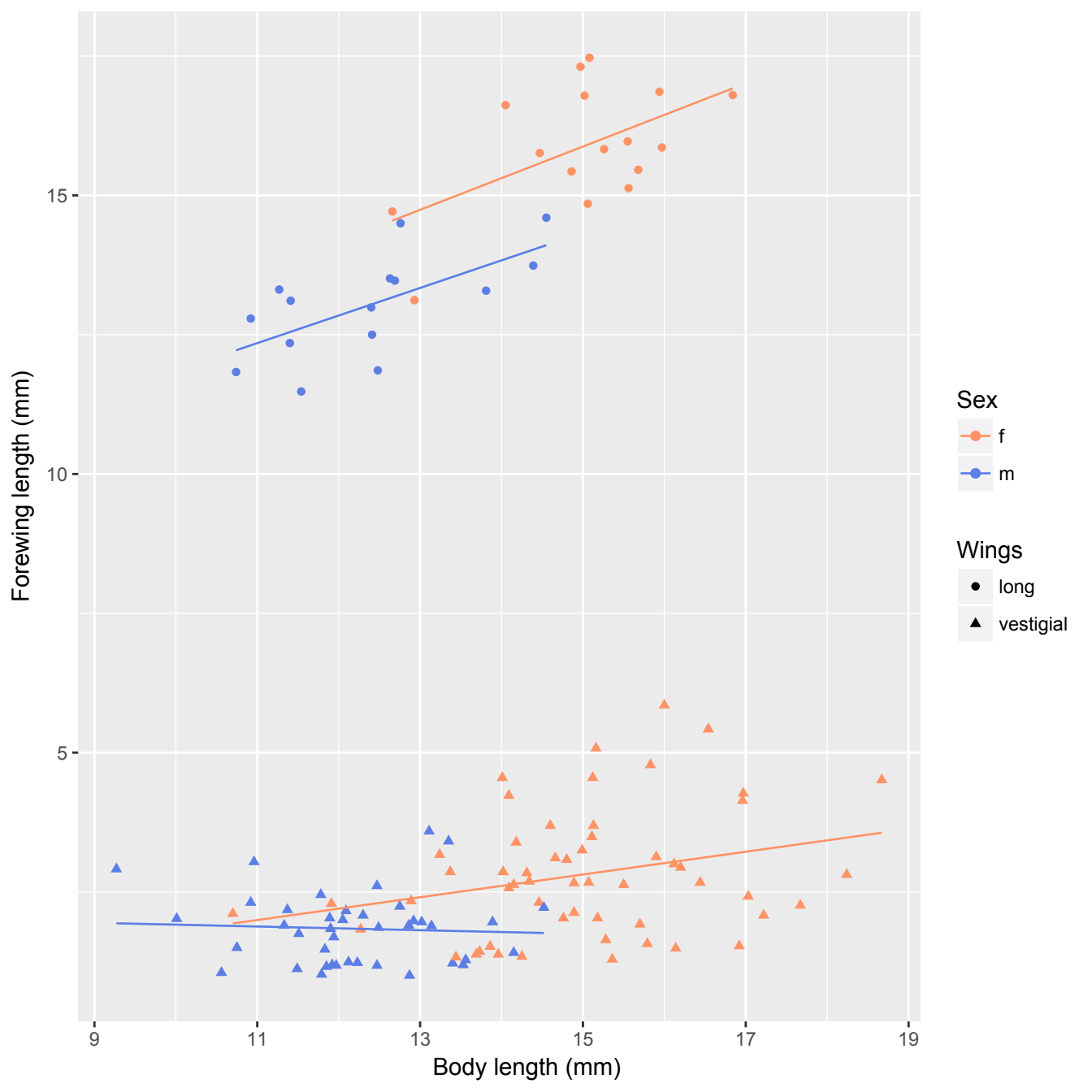
847

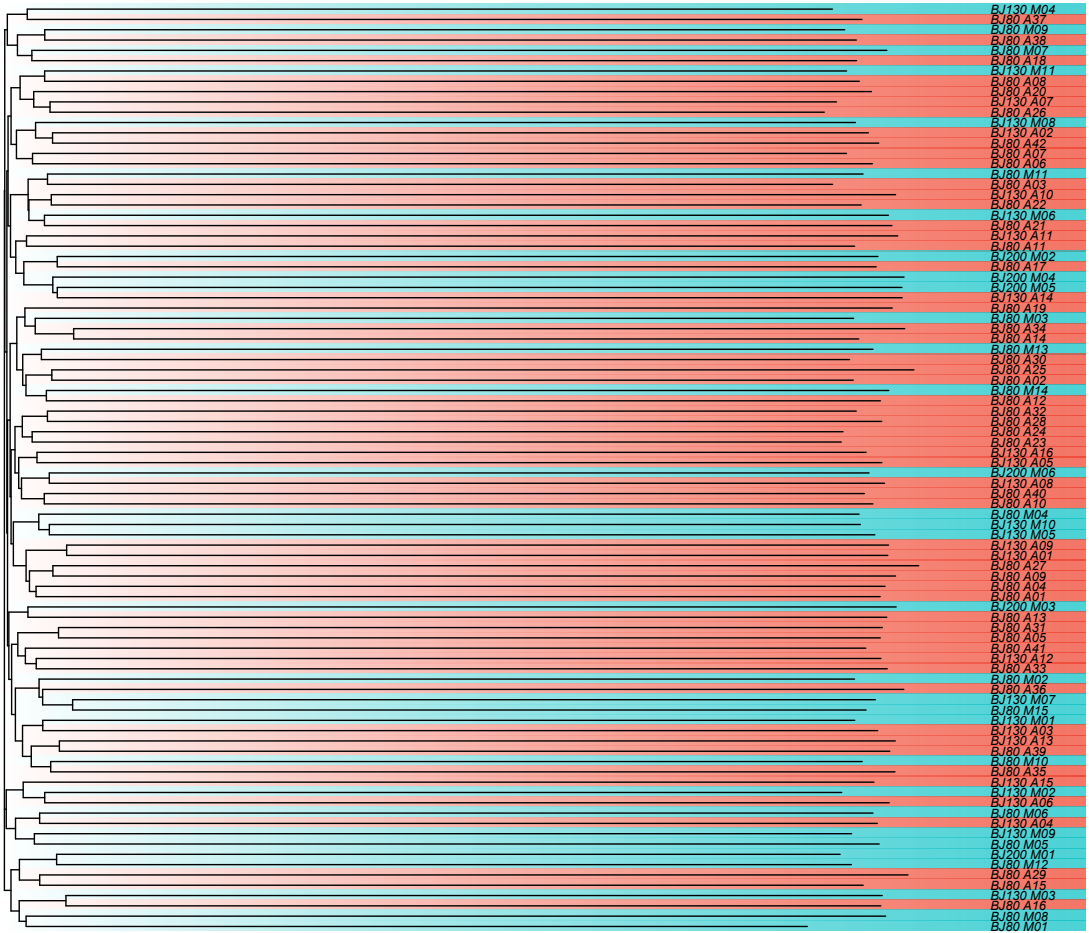
848 **Ethical Statement**

849 All experiments were performed in accordance with University of Otago ethics

850 committee regulations and guidelines.







Vestigial-winged



Fully winged

