1	Title: Evolution of subgenomic RNA shapes dengue virus adaptation and epidemiological
2	fitness
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4	Authors: Esteban Finol ^{1,2,3,4} & Eng Eong Ooi ^{1,3,5}
5	Corresponding author and Lead contact: Esteban Finol (<u>esteban.finol@mol.biol.ethz.ch</u>)
6	Co-corresponding author: Eng Eong Ooi (<u>engeong.ooi@duke-nus.edu.sg</u>)
7	Affiliations:
8	¹ Emerging infectious diseases programme, Duke-NUS Medical School, Singapore.
9	² Swiss Tropical and Public Health Institute, University of Basel, Switzerland.
10	³ Department of Microbiology and Immunology, Yong Loo Lin School of Medicine, National
11	University of Singapore, 119077 Singapore.
12	⁴ Current affiliation: Institute for Molecular Biology and Biophysics, ETH Zurich, Switzerland.
13	5 Saw Swee Hock School of Public Health, National University of Singapore, 119077
14	Singapore.
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19	Highlights
20	• Dengue viruses (DENV) preserve RNA elements in their 3' untranslated region
21	(UTR).
22	• Using RNA phylogeny and phylogenetics, we quantified natural selection on this
23	ncRNA.
24	Nuclease resistant (NR) structures in DENV 3'UTRs contributed to DENV speciation.
25	• A highly evolving NR structure appears to increase DENV-2 epidemiological fitness.

27 Abstract

Changes in dengue viruses (DENV) genome could give rise to fitter strains that spread 28 epidemically. Mutations in the 3' untranslated region (3'UTR) of DENV-2 genome were 29 recently shown to result in increased subgenomic flavivirus RNA (sfRNA) production. sfRNA 30 inhibited host TRIM25 protein activity and reduced interferon (IFN) expression. It may thus 31 enable DENV to reach higher viremia and higher infection rates of blood-feeding Aedes 32 mosquitoes. Whether differences in 3'UTRs shaped DENV evolution remains incompletely 33 understood. Herein, we applied a 'bigdata' approach - we retrieved 3544 dengue virus 34 genomes from NBCI database - and combined RNA sequence covariation, RNA 35 phylogenetics and site specific ncRNA natural selection estimation to gain insights into 36 sfRNA evolution. We found that the second nuclease resistant (NR2) structure of DENV-2 37 sfRNA has undergone strong positive selection. Conversely, other sfRNA structures are 38 under purifying selection and highly conserved despite sequence 39 divergence. Epidemiological reports also suggest that nucleotide substitutions in NR2 may drive DENV-2 40 epidemiological fitness, possibly through sfRNA-protein interactions. Collectively, our findings 41 indicate that 3'UTRs are important determinants of DENV fitness in human-mosquito cycles. 42

43 Introduction.

Dengue virus (DENV) is the leading cause of mosquito-borne viral disease globally. An 44 estimated 100 million cases of acute dengue occur annually, some of which develop into life-45 threatening severe dengue (Bhatt et al., 2013). DENV exists as four antigenically distinct but 46 genetically related viruses (DENV-1 to -4), all of which can cause the full spectrum of disease 47 outcome. A tetravalent dengue vaccine has now been licensed in several countries for use to 48 prevent dengue. However, its protective efficacy varied for each of the four serotypes of 49 DENV and long-term protection was only observed in older children with at least one episode 50 of prior DENV infection (Hadinegoro et al., 2015). Thus, despite application of this only 51 licensed vaccine and current approaches to vector control, DENV will likely continue to be a 52 major public health challenge in the coming years. 53

Dengue is distributed throughout the tropics and is now encroaching into the subtropical 54 regions of the world, causing frequent and recurrent epidemics (Messina et al., 2016). While 55 fluctuations in the relative prevalence of the DENV serotypes have caused epidemics in a 56 background of low herd serotype-specific immunity, genetic differences in DENV also plays a 57 distinct role in epidemic emergence (OhAinle et al., 2011). Indeed, we recently showed that 58 the 3' untranslated region (3'UTR) of DENV genome contributes to the epidemiological 59 fitness of DENV (Manokaran et al., 2015). Nucleotide substitutions in the 3'UTR resulted in 60 increased sfRNA levels that bound TRIM25 to inhibit its deubiquitylation (Manokaran et al., 61 2015); without TRIM25 E3 ligase activity, RIG-I signaling for type-I interferon (IFN) induction 62 was repressed. Reduced type-I IFN response, at least in part, contributed to the increased 63 viral spread of these strains in Puerto Rico in 1994. Similarly, changes in the 3'UTR 64 sequence that resulted in increased sfRNA production was also observed in the emergence 65 of a new DENV-2 clade in 2005 that also resulted in a dengue epidemic in Nicaragua 66 (OhAinle et al., 2011; Manokaran et al., 2015). Likewise, nucleotide composition in the 67 3'UTRs also differentiated dominant from weaker DENV strains in Myanmar, India and Sri 68 Lanka (Myat Thu et al., 2005; Dash et al., 2015; Silva et al., 2008; respectively) although the 69 structural consequences and impact of those substitutions on viral fitness have yet to be 70

71 experimentally defined.

DENV 3'UTRs can be functionally segmented into three domains. The two more distal 72 domains possess RNA structures necessary for viral RNA synthesis, translation and 73 replication (Alvarez et al., 2005 and 2008). These structures have been termed small hairpin 74 (sHP) and 3' end stem-loop (3'SL) in domain III, and dumbbell (DB) 1 and 2 in domain II. 75 Remarkably, a 30-nucleotide deletion in DB1 generated attenuated DENV-1, -3 and -4 but 76 not DENV-2 strains (Men et al., 1996; Durbin et al., 2001) that appear to be promising live 77 vaccine candidates (Durbin et al., 2013); vaccination with this vaccine candidate protected 78 human volunteers against live DENV challenge infection (Kirkpatrick et al., 2016). 79

The proximal segment of the DENV 3'UTR contains RNA structures that are resistant to host 80 nuclease activity, such as that of 5'-3' exoribonuclease 1 (Xrn1), resulting in the production of 81 subgenomic flavivirus RNA (sfRNA) during infection (Piljman et al., 2008). These structures 82 have been referred to with different names in the literature: 'stem-loops' (Shurtleff et al., 83 2001, Piljman et al., 2008; Villordo et al., 2015) or 'Xrn1 resistant RNA' (Chapman et al., 84 2014A). However, crystal structures of homologous RNA sequences from related 85 flaviviruses, Murray Valley Encephalitis virus (MVEV) (Chapman et al., 2014B) and Zika virus 86 (ZIKV) (Akiyama et al., 2016), revealed a three-way junction RNA folding, rather than a SL 87 structure. More importantly, there is no evidence to rule out the involvement of other 88 nucleases in the production of sfRNA. Therefore, we refer herein to these structures as 89 nuclease resistant (NR) structures. 90

As the sequence and hence the RNA structures in the 3'UTR of the DENV genome appear to be an important determinant of epidemiologic fitness, it is possible that this part of the genome contributes to DENV evolution. Here we report the results of a detailed bioinformatic analysis that included all publicly accessible 3'UTR nucleotide sequences from DENV. We combined free energy minimization and sequence comparative analysis – also known as RNA phylogeny – to estimate secondary and tertiary RNA interactions in the 3'UTR of the four DENV types. Our RNA phylogenetic and natural selection analyses provide an

- 98 evolutionary framework for further exploration into the molecular, epidemiological and clinical
- 99 consequences of variations in the DENV 3'UTR.

100 **Results**

101 Sequence identity and RNA structures in the 3' untranslated region of dengue viruses

We aligned the 3'UTR sequences from each of the four DENV serotypes. Our analysis 102 confirmed the existence of substantial differences in the sequence and length of the 3'UTR 103 across and within serotypes as previously reported (Shurtleff et al., 2001; Proutski et al., 104 1999; Men et al., 1996). The 3'UTR of DENV-1 serotype is the longest (Mode 465; range 105 436-475) followed by DENV-2 (Mode 454; range 444-469), DENV-3 (Mode 443; range 429-106 455), and DENV-4 (Mode 387; range 387-407) (Appendix Table 1A). As expected, their 107 distal segments (domains II and III) are highly conserved (Figure 1A). In contrast, domain I 108 of 3'UTR exhibits high genetic variability, including multiple duplications, insertions, deletions 109 and point mutations. Indeed, its most proximal region - the hypervariable region (HVR) -110 depicted a significantly poor nucleotide conservation (Average identity < 89% in all 111 serotypes, P<0.001) and significant adenine enrichment (p<0.05) (Appendix Table 1B). 112 This finding suggests a lack of folded RNA structures as RNA viruses tend to accumulate 113 adenine in non-base-paired and structurally flexible regions (van Hemert et al., 2013; Keane 114 et al., 2015). In comparison with the HVR, domain I appears to possess a semi variable 115 region with a high level of nucleotide conservation (Average Identity \geq 96% in all serotypes) 116 Appendix Table 1B), suggesting the presence of conserved RNA (Figure 1A and 117 structures across DENV serotypes. These conserved stretches appear separated by small 118 adenine-rich sequences, which may serve as spacer to facilitate the proper folding of 119 functional RNA structures. 120

To define the secondary RNA structures and tertiary interactions of the 3'UTR, we adopted a 'divide, learn and conquer' approach. This strategy included: (1) identification of conserved RNA structures within each DENV serotype; (2) prediction of preliminary RNA secondary structures from conserved short RNA segments using base-pairing probabilities and thermodynamic methods (Lorenz et al., 2011; Ren et al., 2005); and (3) validate, improve and build consensus RNA structures for DENV 3'UTR using RNA phylogeny. RNA phylogeny

identifies evolutionarily conserved secondary and tertiary RNA structures through nucleotide 127 sequence covariation (Jaeger et al., 1993). To further validate the covariation of base pairs, 128 we also applied G-test statistics to determine whether these covariations occur at a rate 129 higher than phylogenetically expected (Figure EV1) (Rivas et al., 2017). The consensus 130 DENV 3'UTR secondary structures derived from RNA phylogeny (Figure 1B) resembled the 131 DENV-2 3'UTR structure previously obtained by chemical probing (Chapman et al., 2014A), 132 suggesting the validity of our bioinformatics approach. The predicted NR structures in 133 Domain I are compatible with the known crystal structures and substantially differs from the 134 secondary structures suggested by Shurtleff et al., 2001, and to a lesser extent, from the one 135 reported by Villordo et al., 2015. Our approach also predicted a novel RNA pseudoknot (pk5) 136 in the variable region of all serotypes of DENV (Figure 2B and 2D). 137

138 NR structures and DENV evolution

Interestingly, DENV-1, -2 and -3 but not DENV-4 bear two NR structures (Figure 1D).
Among the duplicated NR structures, the first NR structure (NR1) exhibited greater
conservation than NR2, suggesting that NR1 exerts the main nuclease resistant function for
sfRNA production and is therefore necessarily highly conserved. The downstream NR (NR2)
structure has thus relatively less constraint to evolve and adapt its structure possibly for
additional function. The only NR structure in DENV4 appeared to be more related to the NR2
than NR1 structure of the other DENV serotypes (Figure 1C).

To determine whether the variability of NR structures influence DENV evolution, we 146 examined the selection pressure on each of the nucleotide positions using a maximum-147 likelihood (ML) phylogenetic method (Wong and Nielsen, 2004). In this approach, the 148 nucleotide substitution rate in each position of the sfRNA sequence was calculated and 149 compared to the synonymous substitution rate in the coding region of each DENV serotype. 150 This ratio models a ζ parameter. When a nucleotide position evolved neutrally, $\zeta \approx 1$ (i.e. 151 equivalent substitutions rates). In contrast, $\zeta > 1$ or $\zeta < 1$ indicate that a given position in the 152 sfRNA has a higher or lower substitution rate than the synonymous substitution rate in the 153

coding region of the genome, respectively. This approach thus provides an estimate on 154 whether any given nucleotide position has undergone positive or negative selection relative 155 to the coding region of the genome. Figure 2A shows the ζ values for every position in the 156 four DENV sfRNA along with the 95% confidence intervals of the ζ parameter calculated for 157 the coding region of the DENV genome (depicted as gray zone on the dot plots). Our results 158 show that most nucleotide positions in DENV sfRNA have ζ <1, suggesting strong negative 159 selection. This observation concurs with the predominant negative selection reported for the 160 3'UTR of DENV-1 by Wong and Nielsen, 2004. It is also consistent with a reported finding 161 that strong purifying selection characterizes the evolution of DENV genomes (Holmes, 2006 162 and Lequime et al., 2016). However, several nucleotide positions in the NR2 structure of 163 DENV2 could have undergone positive selection with $\zeta > 1$ (Figures 2). This finding suggests 164 that substitutions in NR2 may confer competitive advantage for DENV-2 strains. 165

To further assess the role of DENV-2 3'UTR evolution, a ML phylogenetic tree was 166 constructed using a nucleotide substitution model of evolution for non-coding RNA, based on 167 the alignment and consensus secondary RNA structures of DENV-2 sfRNA. The 168 phylogenetic tree (Figure 3A) segregated the DENV-2 sfRNA sequences into six clades, 169 consistent with the six genotypes that characterize DENV-2 evolution (Reviewed by Chen 170 and Vasilakis, 2011). Remarkably, the positively selected hairpin in the NR2 structure 171 differed in nucleotide composition and structure across DENV-2 genotypes (Figure 3A). 172 173 Most genotypes are rich in adenine in this hairpin structure except the American genotype, which has mostly uracil. It is noteworthy that the American genotype has shown poor 174 epidemiological fitness and has now been completely displaced by other DENV-2 genotypes 175 in many part of the world. Likewise, analysis of DENVs derived from epidemiological studies 176 (Table 1) also showed that four clade replacement episodes that resulted in greater or less 177 than expected dengue incidence involved nucleotide substitutions in NR2 structures (Figure 178 3B). 179

180 **Discussion**

The identification of 3'UTR structure and sfRNA production as having functional importance 181 in determining viral fitness is of major interest in both experimental and epidemiological 182 settings. The frequent emergence of DENV strains with insertions, deletions and point 183 mutations in their 3'UTR (Zhuo et al., 2006, Pankhong et al., 2009, de Castro et al., 2013, 184 Dash et al., 2015) and the differences in nucleotide lengths underscores the need for 185 improved understanding of this part of the DENV genome. Given that the sfRNA is a non-186 coding RNA, its influence on DENV fitness and evolution must be understood in the context 187 188 of its RNA structures. RNA phylogeny thus provides a bioinformatic approach to glean insights to direct further investigations. Furthermore, a phylogenetic based estimation of 189 substitution rate using non-coding RNA model of nucleotide substitutions coupled with 190 normalizing by the substitution rate in the coding genome, enabled us to: (1) overcome the 191 bias that dataset size can introduce in sequence identity (conservation) analysis; (2) avoid 192 the misleading interpretation of "representative" sequences and; (3) exploit the growing 193 sequencing databases to gain insight into the non-coding RNA evolution of a widely spread 194 virus. 195

Our findings indicate that the NR structures in the DENV 3'UTR are relatively well conserved. 196 Any nucleotide substitution in the stem positions of the NR structures is often accompanied 197 by compensatory mutation to maintain structural integrity. Against this backdrop, the finding 198 of a positive selection in the NR2 structure of DENV 3'UTR is thus intriguing. NR2 mutations 199 may emerge during mosquito infection and subsequently be selected in human infections, 200 due to their contribution to replicative fitness (Villordo et al., 2015; Filomatori et al., 2017). 201 Indeed, serial passaging of DENV-2 in Aedes albopictus derived C6/36 cells resulted in 202 multiple mutations in its NR2 and production of different sfRNA species. These mutations 203 could have then been purified in subsequent human infection possibly based on their ability 204 to bind host proteins for the suppression antiviral immune activation. Indeed, Bidet et al. 205 (2014) showed that NR2 structure interacts with CAPRIN protein, mediating the sfRNA-206 induced repression of interferon-stimulated mRNAs in human-derived Huh7 cells. Moreover, 207

mutations in the NR2 structures produced higher replicative fitness in *Aedes albopictus* compared to the corresponding wild type DENV2 (Filomatori et al., 2017). Collectively, these findings indicate a strong evolutionary pressure on DENV-2 NR2. Additionally, the consistent concordance between previously reported experimental data and our bioinformatics findings highlights the robustness of ML method developed by Wong and Nielsen (2004).

Given our RNA phylogeny findings and other available experimental evidence, we propose 213 that the two NR structures in domain I embody two functionally distinct RNA segments: the 214 first NR structure is conserved to enable sfRNA production. In contrast, the downstream NR 215 216 structure is free to evolve and be selected based on advantageous RNA-protein interactions in human or mosquito cells for increased fitness. This evolutionary model would be 217 consonant with the reduced sfRNA production and transmission fitness that NR1 mutations 218 caused in DENV2 strains (Pompon et al. 2017), and the increased replicative, transmission 219 and epidemiologic fitness that NR2 mutations conferred to some DENV-2 genotypes and 220 more specifically in some dominant DENV2 strains. Indeed, Cologna and Rico-Hesse (2003) 221 cloned the 3'UTR of the American genotype into a SE Asian/American DENV-2 and found 222 small viral plaques in Vero cells and slower growth kinetics in both mosquito and human 223 224 cells, which are phenotypes more congruent with the American than SE Asian/American DENV2 genotype. 225

Our proposed model explains the lack of positive selection in DENV-4 as these viruses only 226 possess one NR structure. It, however, raises questions on why no positive selection was 227 seen on the 3'UTR of either DENV-1 or -3. We offer several interpretations. Firstly, if we 228 assume that the observed distinct NR adaptation in DENV-2 sfRNA to interspecies 229 transmission reported by Villordo et al. (2015) and Filomatori et al. (2017) is happening in all 230 DENVs, our bioinformatics data would indicate that a stronger purifying selection occurs on 231 the 3'UTR of DENV-1, -3 and -4 as compared to DENV2. A second and interesting scenario 232 would suggest that the proposed model for the evolution of DENV2 sfRNA and its distinct NR 233 adaptations do not occur in other DENV types, which indeed is already unlikely to occur in 234

DENV 4 – since its 3'UTR only possess one NR structure. This second postulate would help
us understand why previous studies using RNA sequencing observed mutational hotspot in
the 3'UTR of DENV-2 but not DENV-1 after replication in mosquitoes (Sessions et al. 2015;
Sim et al. 2015). Experimental studies will be needed to test the validity of these postulates.

Notwithstanding the need for mechanistic validation, we suspect that NR duplication has 239 contributed to shape the overall divergence of dengue viruses. If the NR duplication occurred 240 early during DENV evolution - as the RNA phylogenetic analysis suggested, it is likely that 241 the later NR1 deletion in DENV4 imposed an evolutionary constraint in DENV-4 lineage, 242 limiting its adaptability to infect new 'urbanized' hosts and forcing a sympatric speciation and 243 its greater divergence. This constraint might have been overcome through antibody-244 dependent enhancement in primates and/or competitive advantage in vector DENV co-245 infections in the current allopatric DENV distribution (Halstead, 2014; Vazeille et al., 2016). It 246 would also help to explain why DENV-4 has shown reduced epidemic potential during its 247 global spread in the last decades and why only an additional 30 nucleotides deletion in the 248 3'UTR generated a complete attenuated phenotype in DENV-4 as well as in recombinant 249 DENV-1 to -3 strains bearing a Δ 30rDENV4 -3'UTR (Durbin et al., 2001 and 2013). 250

Collectively, these bioinformatic and previously published experimental data suggest that 3'UTR evolution and sfRNA development are important determinants of DENV adaptation, survival and epidemiological fitness. Our findings underscore the need for greater awareness in the field about the evolutionary, epidemiological and clinical consequences of mutations in the 3'UTR of DENV and other flaviviruses.

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261 Conflict of Interest

²⁶² The authors declare that they have no conflict of interest.

263 Methods

264 Sequence conservation analysis

Complete DENV genome nucleotide sequences were downloaded directly from the 265 GeneBank database. The search included the keywords "Dengue virus type X" (X=1-4). In 266 total, 1486, 1073, 831 and 154 sequences were included in the analysis of the 3' UTR of 267 DENV-1, DENV-2, DENV-3 and DENV-4, respectively. All DENV mutants, laboratory 268 adapted strains, replicons, vaccine candidate strains, serially passage strains, and duplicated 269 sequences were previously excluded. We built multiple sequence alignments for each 270 serotype using MAFFT (Multiple sequence alignment using Fast Furier Transformation) 271 software (Katoh and Standley. 2013). The sequence alignments were limited to the 3'UTR, 272 starting from the Stop codon in NS5. We used Geneious platform to calculate nucleotide 273 composition, sequence length (mode and range), average identity (i.e. average nucleotide 274 conservation in the alignment) and number of identical sites (100 percent conserved 275 positions) in the 3' UTR of DENV (Kearse et al., 2012). To visualize the nucleotide 276 composition pattern and conservation in the 3' UTR of each serotype, we generated 277 sequence logos from the 3'UTR alignments using Weblogo server (Crook et al., 2004) 278 (Figure 1A). We used standard colors to represent each type of nucleotide in the alignment 279 (Blue = Cytosine, Green = Uracil, Yellow = Guanine, Red = Adenine). 280

To further characterize the nucleotide conservation, composition and distribution in the 281 3'UTR of dengue viruses, we identified some conserved stretches that mapped to the start 282 and end of the Dumbbell (DB) structures in the Domain II, as described by Shurtleff et al. 283 (2001) and Gerhald et al. (2011). We used them to establish a clear border between the 284 different domains in the 3' UTR of DENV and to perform subsequent sequence analyses in 285 these domains. The statistical analysis of the nucleotide conservation and composition 286 analysis was performed using STATA software (stataCorp, 2009). We used Analysis of 287 Variance (ANOVA) with Bonferroni correction and Chi-square to test hypotheses from 288 absolute values (average identity) and relative frequencies (nucleotide composition). Due to 289

substantial differences across the sample size in the four data sets, all statistical
 comparisons were performed to test hypotheses within each serotype (Table S1).

292 Sequence comparative analysis and RNA structure determination

To solve secondary RNA structures and tertiary interactions, we applied a 'divide, learn and 293 conquer' approach. It combines (1) an insightful 3' UTR sequence conservation analysis 294 within each flavivirus and within flavivirus groups to identify the presence of conserved RNA 295 structures, (2) the power of RNA structure prediction software to solve preliminary RNA 296 secondary structures from short RNA segments and (3) the robustness of a sequence 297 comparative analysis - or RNA phylogeny - to validate, improve and build a consensus RNA 298 structure for the 3' UTR and sfRNA of flaviviruses (Jaeger et al., 1993). The strength of the 299 RNA phylogeny approach relies upon the identification of evolutionary conserved functional 300 RNA structures whose nucleotide sequences changed overtime but kept the RNA secondary 301 and tertiary structures. Hence, it was possible to identify conserved functional RNA 302 303 structures through sequence conservation analysis, to predict preliminary RNA structures using base-pairing probabilities and thermodynamic methods on the conserved stretches 304 using RNAfold and HotKnots software (Lorenz et al., 2011 and Ren et al., 2005) and to 305 validate secondary and tertiary interaction by identifying co-variations in the RNA nucleotide 306 sequences, exploiting the growing sequencing dataset and high nucleotide substitution rate 307 in RNA viruses. G-test statistics was implemented to further test whether observed RNA 308 covariations occurred above phylogenetic expectation (Rivas et al., 2017) (Figure S1). We 309 drew secondary RNA structures and pseudoknots using VARNA software (Darty et al., 310 2009). 311

312 Detecting natural selection in DENV sfRNA

To determine whether the RNA structures in the sfRNA play a role in the evolution of DENV, we explored natural selection pressure in a site-by-site basis in the sfRNA structure using a maximum-likelihood (ML) method (Wong & Nielsen. 2004) **(Figure 2A)**. We modeled the

evolution of coding and non-coding regions and assumed a constant neutral (synonymous) 316 nucleotide substitution rate in both regions in each serotype viral genome. We modeled the 317 evolution in the open reading frame of DENV genome and determined its synonymous 318 substitution rate, using a model of codon evolution (General Time Reversible, $GTR+\Gamma$) that 319 has been generally applied to study the coding region of DENV genome (Weaver & 320 Vasilakis. 2009). On the other hand, we calculated the nucleotide substitution rate in the 321 sfRNA sequence in site-by-site basis. We used PHASE 3.0 software and a combined model 322 of non-conding RNA evolution (Loop model: Hanley and Knott Regression, HKR+r and Stem 323 model: 16D) based on the RNA secondary structure for the sfRNA from each serotype (Allen 324 JE, Whelan S. 2014). We normalized the nucleotide substitution rate in each position of the 325 sfRNA sequence by the synonymous substitution rate in the coding region of each DENV 326 serotype and estimated a ζ parameter. Thus, a nucleotide position that exhibited a similar 327 nucleotide substitution rate to the synonymous substitution rate ($\zeta \approx 1$) was assumed to be 328 under a neutral evolution, whereas when ζ was found to be significantly higher or lower than 329 1 in a given position in the sfRNA sequence we assumed that it has experienced the action 330 of positive or negative selection, respectively. To provide statistical significance to ζ 331 parameter ratios, we calculated a 95% confidence interval (CI) for ζ parameter across each 332 DENV serotype genome. If the ζ value of a given position was within the 95%Cl, we 333 confirmed neutral evolution. If the ζ value was above or below the 95%CI, we reported a 334 335 significant positive and negative selection, respectively.

336 DENV2 sfRNA Phylogenetics tree

We constructed a phylogentics tree for the sfRNA of DENV-2 from an alignment of 356
unique and representative 3'UTR DENV-2 sequences. We used PHASE 3.0 package (Allen
JE, Whelan S. 2014) to build a maximum likelihood phylogenetic tree using the same
composed model of nucleotide substitution (Loop model: HKR+Γ and Stem model: 16D)
based on the predicted RNA secondary structures in the sfRNA of DENV-2. The statistical
support for the topology of the tree was determined by 1000 bootstrap replications.

343 DENV-2 sfRNA 3D modeling

We modeled the 3D RNA structure of DENV-2 sfRNA using RNA composer (Popenda et al. 344 2012). We used for the input file all the secondary and tertiary interactions that we obtained 345 from the RNA phylogeny approach. The modeling of DENV-2 NR structures was optimized 346 through comparative RNA modeling, using ZIKV NR crystal structure as template (5TPY). 347 This was performed using ModeRNA software (Piatkowski et al. 2016). The local geometry in 348 preliminary models were refined through energy minimization using the AMBER force field in 349 the Molecular Modelling toolkit (Hinsen K. 2000). The final simulations were inspected for 350 steric clashes using the find-clashes function in ModeRNA. The final sfRNA model was 351 visualized, colored and labeled using pyMOL software (Figure 2B). 352

Figure legends 353

Figure 1. The 3' UTR of dengue viruses diverged through deletion and sequence 354 coevolution of functional RNA structures. 355

Dengue viruses are phylogenetically related RNA viruses, their 3'UTR sequences have 356 diverged along evolution, they now differ in sequence length and nucleotide composition. 357 However, they kept functional RNA structures through sequence covariation. We observed 358 and guantified RNA sequence covariation to predict secondary structures in the 3'UTRs and 359 implemented Bayesian RNA phylogenetics to establish the phylogenetic relations among the 360 RNA structures across dengue viruses. 361

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- (A) Alignment of DENV 3'UTR sequence logos. Sequence logos for the 3'UTR of all 363 DENV were aligned based on the multiple sequence alignment of DENV 3'UTRs 364 sequences (consensus sequence logo). Highly conserved sequences were used to 365 demark the boundaries between three domains in the 3'UTR of dengue viruses. 366 Nucleotides are colour-coded (Blue = Cytosine, Green = Uracil, Yellow = Guanine, 367 Red = Adenine). Five conserved stretches were found across DENV 3'UTRs. They 368 correspond to two nuclease resistant (NR) structures, two Dumbbell (DB) structures 369 and the terminal 3' Stem Loop (3' SL). They were spaced by Adenylate rich (A-r) 370 segments. This figure also illustrates the location of extra or missing nucleotides that 371 account for the different lengths across 3' UTR of DENV. The sequence logos also 372 provide a glance on sequence conservation and nucleotide composition. These data 373 are further described in Table S1. 374
- (B) Consensus model for the secondary structure of DENV 3'UTRs. After applying the 375 RNA phylogeny approach, we obtained the secondary interactions for the five 376 conserved RNA structures. Preliminary secondary structures and pseudoknots were 377 predicted through free energy minimization and further refined by covarying base 378 pairs. The statistical support for covarying base pairs was estimated by G-statistics in 379 Rscape software (Figure S1 provides the parameters for the implementation and 380 detailed results). 381
 - (C) Phylogeny of NR structures in the 3'UTR of dengue viruses. As the sequence logos revealed (A), DENV4 3'UTR bears only NR structures, to determine whether this structure shares its most recent common ancestor with the NR1 or NR2 structures in other dengue viruses, Bayesian RNA phylogenetics was implemented under PHASE 3.0 software. It included all DENV NR structure sequences (Branches in blue) and a DENV4 NR (Branch in red), the NR structures from Kedougou virus (KEDV) and Yokose virus (YOKV) were used as outgroup (Branch in black). Posterior probabilities are only depicted on relevant nodes.
 - (D) Ribonucleotide sequence identity on predicted RNA secondary structures in the 3'UTR of dengue viruses. Sequence identity is colour-coded accordingly to the heat map at the bottom of the figure. Highly conserved sites are highlighted in a scale from red to black (Site conservation > 95%).

Figure 2. DENV2 sfRNA possesses a highly evolving RNA structure.

395 (A) Site-specific quantification of natural selection on the sfRNA from dengue viruses. A 396 maximum-likelihood method was applied to detect the action of natural selection in 397 DENV sfRNAs in a site-by site basis. A zeta parameter and its 95% CI interval 398 across the full genome determined whether a nucleotide position underwent negative 399 (Blue dots), positive selection (Red dots) or neutral evolution (Black dots) in the 400 ncRNA sequence. On the left, dot plots depict the Zeta values for all DENV sfRNAs. 401 The 95 % CI is shown as gray zone on the dot plots. The 95 % CI slightly varied 402 across DENV genomes. DENV1 = [0.513 ; 1.469], DENV2 = [0.547 ; 1.473], 403 DENV3 = [0.532 ; 1.451], DENV4 = [0.506 ; 1.437]. On the right, the ribonucleotide 404 positions in the DENV sfRNA secondary structures are colour-coded accordingly as 405 406 well. Pseudoknots are not shown for the sake of simplicity.

(B) 3D simulation of DENV2 sfRNA. By combining predicted base pairing and 407 comparative RNA modeling, we obtained an in-silico 3D model of DENV2 sfRNA. 408 Pseudoknots, adenylate rich regions and the highly evolving harpin are highlighted in 409 colours as in the secondary structures at the bottom right of the figure. 410

Figure 3. Nucleotide substitution in DENV2 sfRNA are associated to DENV2 speciation 412 and increased epidemic potential. 413

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- (A) Phylogenetics and nucleotide substitutions in the sfRNA of DENV-2 strain. A maximum-likelihood phylogenetic tree from DENV2 sfRNA was built using PHASE 415 3.0 software. This software applies a RNA structure based approach to construct 416 phylogenies of non-coding RNAs. The highly evolving hairpin in DENV2 sfRNA 417 exhibited distinct nucleotide composition and structure across DENV-2 genotypes. 418
- (B) Epidemic DENV2 strains underwent nucleotide substitution in the highly evolving NR2 419 of DENV2 sfRNA. Location of nucleotide substitutions are shown in dominant strains 420 that have been involved in three DENV-2 clade replacements and a natural 421 attenuation event. 422

Table 1. List of epidemiological events associated to increased DENV epidemiological 424 fitness. 425

An extensive literature revision on DENV epidemiology revealed at least 13 events 426 associated with increased DENV epidemiological fitness. Nucleotide substitutions in the 427 3'UTR were reported in nine of those epidemic DENV strains (*). 428

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Figure EV1. G-statistics was applied to identify significant covariation 430 of ribonucleotide base pairs in the 3' UTR of dengue viruses. 431

- (A) Covariation scores survival functions. Two survival functions of scores are obtained 432 upon G-test statistic implementation in R-scape software. In red, we drew the survival 433 function of scores for all possible pairs in the input alignment excluding those 434 proposed as base pairs. In blue, we plotted the survival function of scores for 435 proposed base pairs in the input alignment. The survival function for the null 436 alignments is depicted in black. The black line corresponds to fit to a truncated 437 438 Gamma distribution of the tail of the null distribution.
 - (B) Summary of input alignment statistics, R-scape test parameters and R-scape output statistics.
 - (C) List of proposed base pairs with significant covariation. Nucleotide positions correspond to the position in the 2D model in figure 1B. Score and E-value are also shown.

Appendix Table 1. A detailed analysis of RNA sequence alignments exposed the 445 variability within and across DENV 3'UTRs. 446

- (A) Statistical analysis on the multiple sequence alignments from the 3' UTR of dengue 447 viruses. This table summarizes the sequence conservation analysis on this segment 448 of DENV genome. It includes number of sequences, the mode and range of sequence 449 length, the absolute and relative number of identical sites (100% conserved sites) and 450 the average identity. 451
- (B) Nucleotide composition and sequence conservation in distinct segments of 3'UTR 452 DENVs. This table summarizes the conservation analysis of the different domains in 453 the 3' UTR of DENV. The comparison across the three domains within each dengue 454 virus type revealed a significantly (p<0.05) higher CG content in domain II and a lower 455 average identity in Domain I (p<0.05) (red). A further analysis also revealed 456 significant differences (Highlighted in blue) between the Highly variable region (HVR) 457 and the semivariable region (SVR) in Domain I. 458

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460 **References**

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Α

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Events	Geographical location	Year	Serotype/Genotype	Context	reference
	Puerto Rico [*]	1994	DENV-2 SEAA	Outbreak	McElroy et al., 2011
	Nicaragua*	2005	DENV-2 SEAA	Outbreak	OhAinle et al., 2011
	Singapore*	2005	DENV-2 Cosmopolitan	Outbreak	Lee et al., 2012
	Thailand	1990s	DENV-1	Outbreaks	Teoh et al., 2013
Clade	Brazil	1990s	DENV-1 Genotype I	Outbreaks	Carneiro et al., 2013
replacement	Mexico	2006 1999	DENV-1 Genotype III DENV-2 SEAA	Several outbreaks	Carrillo-Valenzo et al., 2010
	India*	2009- 2011	DENV-1 genotype III	Outbreaks	Dash et al., 2015
	Malaysia	1987 1997 2004	DENV-1 Genotype I	Recurring outbreaks	Dupont-Rouzeyrol et al., 2014
	Tonga Island*	1974	DENV-2 American	Reduced severity	Steel et al., 2010
	Sri Lanka*	1989	DENV-3 Genotype I> III	DHF emergence	Silva et al., 2008 Manakkadan et al., 2013
Genotype	Myanmar*	1974- 2002	DENV-1 Genotype I> III	Several outbreaks	Myat Thu et al., 2005
replacement	Indian Subcontinent*	1971	DENV-2 American>Cosmopolitan	Several outbreaks	Kumar et al., 2010
	Americas*	1983	DENV-2 American>SEAA	DHF emergence	Mir et al., 2014



В

Α

R-scape analysis:

Input file:

- Multiple sequence alignment:
 - Number of sequences: 1825
 - Length: 501 nucleotides
 - Average identity: 83.17%
- RNA secondary structure:
 - Number of base pairs: 126

R-scape test:

- Covariation statistical method:
- APC-corrected G-Test statistic
- E-value threshold: 0.05

R-scape output:

- Number of base pairs after filter: 105
- Covarying base pairs: 14
- Covarying non base pairs: 0
- Range of scores: [-4.13 ; 1604.74]
- Sensitivity: 13.33
- Positive predictive value: 100

C___

Base pairs with significant covariations								
Left position	Right position	score	E-value					
61	95	973.31	0.0340113					
74	90	1192.42	0.00682436					
157	170	1486.24	0.00076987					
188	205	1604.74	0.000307065					
189	204	1355.32	0.00201342					
199	215	1540.87	0.00049705					
227	260	1204.55	0.00625533					
228	259	1189.19	0.00682436					
235	251	1275.27	0.00370847					
313	384	1003.30	0.027391					
314	383	1117.62	0.0115008					
325	352	1174.07	0.00777609					
329	348	947.33	0.0404361					
331	346	1517.10	0.000592138					