

1 **PREDICTING THE EFFECTS OF HEMLOCK WOOLLY ADELGID ON**
2 **MICROHABITAT STRUCTURE AND SMALL MAMMAL COMMUNITIES**

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23
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Abstract

Hemlock woolly adelgid (HWA) invasion and preemptive logging practices alter the habitat structure of New England forests and may indirectly affect associated small mammal communities. Microhabitat structure was measured and small mammals were censused in eight large experimental plots to quantify and predict these effects. The Harvard Forest Long-Term Ecological Research experiment is a replicated two-block design that includes four 0.81-ha canopy treatments: 1) Hemlock Control, 2) Hardwood Control, 3) Girdled Treatment, in which hemlock trees were killed by girdling in 2005 and left standing to simulate HWA invasion, and 4) Logged Treatment, in which trees were removed to simulate preemptive logging management practices. Nine microhabitat characteristics were measured from plot photos revealing differences among microhabitat structure. Small mammals were censused with arrays of 49 Sherman traps per plot and population sizes of common species were estimated with mark-recapture analysis. Between 6 and 8 mammal species were recorded in all treatments and species composition varied slightly. Populations of two common rodents (*Peromyscus spp.*) were not affected by treatment, but the southern red-backed vole population was greatest in the Girdled treatment. Estimated species richness was greater in the Girdled treatment than the Hemlock control, but richness did not differ between Girdled and Logged treatments, which suggests preemptive logging is as detrimental to some small mammal species as HWA invasion. Overall, nine years post disturbance, there is little evidence of a major shift in small mammal community structure in response to woolly adelgid invasion, with only minor changes in relative abundance.

51 **Introduction**

52 Eastern hemlock (*Tsuga canadensis* (L.) Carrière) is considered a foundation species
53 because it is an abundant primary producer with direct and indirect links to many other species
54 in its food web (Ellison et al. 2005a). Their populations have declined dramatically in the eastern
55 United States because of the damage inflicted on them by the non-native invasive insect
56 (McClure 1991, Orwig and Foster 1998, Orwig et al. 2002, Ellison et al. 2005a), hemlock woolly
57 adelgid (*Adelges tsugae*, Annand 1928) which is native to Asia and was introduced to the United
58 States in the 1950's (McClure 1989). It is a sap sucking insect that defoliates trees (Orwig et al.
59 2008) and causes rapid hemlock mortality (McClure 1991). The damage to individual trees
60 spreads through forests and decreases canopy cover, which alters the forest by increasing the
61 amount of light that reaches the forest floor and consequently promoting new growth of
62 hardwood species (Farnsworth et al. 2012). Because the hemlock woolly adelgid threatens much
63 of the old growth forests in the eastern United States, forest management strategies such as
64 preemptive logging were being considered to slow the spread of the adelgid and to conserve late
65 successional forests (Foster and Orwig 2006).

66 Dramatic changes in forest structure caused by HWA invasion can shift ecosystem
67 processes and shifts in biodiversity. These shifts within the ecosystem affect taxonomic groups
68 differently. For example, loss of eastern hemlocks results in an increase in local ant species
69 diversity (Ellison et al. 2005b), but a decrease in regional bird (Tingley et al. 2002) and
70 salamander (Siddig et al. 2016) population and occurrence. These inconsistent responses of
71 animal diversity to hemlock loss over varying taxonomic groups make it difficult to predict
72 how species will prevail after the loss of hemlocks, which are not expected to recover from
73 hemlock woolly adelgid invasion. This imminent loss of eastern hemlock foundation species

74 will cause ecosystem changes within the forest, but how this change in habitat structure will
75 impact supported species distribution is generally unknown and may be different depending on
76 the species in question (Table 1).

77 The effects of disturbance on habitat structure caused by wildfire, clearcutting, forest
78 harvest, and agriculture practices on small mammal occurrence and abundance are well studied
79 and vary among species and habitat type (e.g. Ford and Rodrigue 2001, Klenner and Sullivan
80 2003, Burel et al. 2004, Fuller et al. 2004, Zwolak and Foresman 2008). In contrast, forest
81 disturbance caused by invasive insects with consequence to small mammal community structure
82 is mostly underrepresented in the literature. It seems that densities of habitat-specialists or rare
83 species are negatively affected by different and varying degrees of disturbance (e.g. agriculture),
84 but disturbance favors habitat-generalists densities (e.g. Burel et al. 2004). Other disturbances,
85 such as fallow agriculture landscapes, can have a general positive role on small mammal species
86 richness and biodiversity (e.g. Janova and Heroldova 2016). The degree in which habitat
87 disturbance affects the abundance and community structure of small mammals is species-specific
88 (review by Zwolak 2009). Herbivorous invasive insects, such as HWA, are known to create
89 disturbed forests by alter nitrogen cycling and hydrologic processes (Brantley et al. 2015) and
90 vegetation (Farnsworth et al. 2014) in the invaded forests, but how these changes impact
91 associated animal communities remains unclear (review by Kenis et al. 2009)

92 Most of comparisons are based on uncontrolled natural experiments. Here, I take
93 advantage of a replicated large-scale forest manipulation experiment to test for potential indirect
94 effects of simulated hemlock woolly adelgid (HWA) invasion on forest microhabitats and small
95 mammal community structure (Table 1). The purpose of this study was to 1) briefly describe
96 microhabitat characteristics in disturbed forests that are generally known to influence small

97 mammal distribution and 2) determine if simulated damage caused by hemlock woolly adelgid
98 and preemptive logging impact small mammal communities.

99

100

Methods

101 *Site Description*

102 My work was conducted in north-central Petersham, Massachusetts, USA (42.47–
103 42.48°N, 72.22–72.21° W; elevation 215–300-m above sea level) within the Hemlock Removal
104 Experiment at Harvard Forest. The Hemlock Removal Experiment is a replicated two-block
105 (Ridge and Valley blocks) design with four ~90 x 90-m (~0.81-ha) canopy treatments plots
106 (Hemlock, Logged, Girdled, and Hardwood). Two of the plots received canopy manipulations
107 (Girdled and Logged) and the two plots that did not receive canopy manipulation and act as
108 canopy controls (Hemlock and Hardwood). The canopy manipulations were applied in 2003 after
109 baseline vegetation measurements were taken. For full detailed methodology on the experimental
110 treatments, please refer to Ellison et al. (2010).

111 The experiment was conducted in hemlock dominated forests with similar topography
112 (relative to their blocks) and aspects. The Girdled canopy manipulation was designed to simulate
113 hemlock woolly adelgid (HWA) infestation. Physical damage to trees was applied by girdling all
114 hemlock trees, excluding seedlings, with knives or chainsaws. Girdled hemlocks die within
115 approximately 2 years after the treatment, but dead trees are left standing for several years' post-
116 mortem until they fall. This treatment mimics the response caused by HWA damage. Since the
117 Girdled treatment was applied, the canopy density was reduced which resulted in a gradual
118 increase of light availability to the understory vegetation over time (Farnsworth et al. 2012).

119 The Logged canopy manipulation was designed to mimic the effects of preemptive
120 logging (as in many forest management plans) or commercial hemlock-salvage. All
121 merchantable timber (hemlock, white pine, maple, birch, and oak) was harvested and removed.
122 In contrast to the Girdled treatment, there was immediate light availability to the understory
123 vegetation in the Logged plot (Farnsworth et al. 2012, Lustenhouwer et al. 2012) which allowed
124 for early onset of vegetative growth of early sessional plants.

125 The Hemlock control plot was not manipulated and trees were intact to act as a control
126 to Girdled and Logged treatments. However, since the experiment began, there have been
127 sightings of the adelgid in the hemlock controls since 2009. Presently, there has been no reported
128 hemlock mortality cause by the adelgid, but there has been increased light to the understory in
129 Hemlock controls since 2009 (Kendrick et al. 2015) due to damage. The non-manipulated
130 Hardwood plot represented the future of a Hemlock stand approximately 50 years after HWA
131 invasion and preemptive logging.

132

133 *Sample Grid Layout*

134 In 2012, I utilized a grid layout to examine the reduction of the eastern hemlocks on microhabitat
135 structure and small mammal community dynamics. Sampling grids spanned 0.49-ha with
136 sampling locations and trap stations placed 10-m apart in a 7×7 array within each of the two
137 Hemlock, Hardwood, Girdled, and Logged plots (n=392). Grids were paced in a way to cover the
138 most homogenous topography with the least amount of slope relief as possible.

139

140 *Microhabitat Characteristics*

141 Microhabitat characteristics were derived from digital photographs of the ground and canopy of
142 each trapping location taken during August 2013. One-m² quadrates were placed over the trap
143 location and then photographed to quantify small scale habitat characteristics that may affect
144 small mammals. The camera (Canon EOS 7D, Canon Inc.) was placed approximately 1m from
145 the ground to capture the entire 1m² quadrat. Canopy photos were taken from the same position
146 with the lens pointing to the canopy. Each ground photo (n=392) and each canopy photo (n=392)
147 was labeled and scored using ImageJ (1.42q Java 1.6.0_version 10). Fifty points were randomly
148 generated and overlaid on each digital photograph. The point location determined which
149 characteristics would be scored. Ground and canopy characteristics that may be important to
150 describe small mammal distribution included 1) rock, 2) soil, 3) woody debris, 4) leaf litter, 5)
151 fungi, 6) vegetation, 7) open canopy, which was open sky or no canopy cover, 8) high canopy,
152 which was characterized by canopy that was relatively far from the ground and considered old
153 growth, and 9) low canopy, which was characterized by the canopy that was near the ground and
154 considered new growth. Tree canopy was scored as “low” if the vegetation reached the
155 photographers lens (approximately 1-m from the ground) and was scored “high” if the vegetation
156 was greater than approximately 3-m and above. Each characteristic (i.e. rock, soil, vegetation,
157 high canopy, etc...) for each sampling location (n=392) was calculated as a percent.

158 Randomized block analysis of variance (Randomized Block ANOVA) was used to
159 determine significant difference of habitat characteristics between blocks (i.e. Ridge and Valley)
160 and among treatments (i.e. Hemlock, Girdled, Logged, and Hardwood). Tukey’s Honest
161 Significant Difference (HSD) post hoc pair-wise comparison test was used to identify differences
162 between means that were greater than the expected standard error for the particular treatment
163 (Tukey 1949, e.g. Gotelli and Ellison 2013).

164

165 *Small Mammal Live Trapping*

166 Animals were captured in 2012 during summer months of June and July. Trapping was
167 conducted during full, new, and half-moon conditions. Moonphase 3.3 (Tingstrom 2009) was
168 used to determine the percentage of moon phase (illumination) for each trapping night. Traps
169 were set for two consecutive nights in each block during similar peak moon phases. Sherman
170 traps (H. B. Sherman, Tallahassee, FL USA) (9 x 9 x 3 inches) were placed within approximately
171 0.25-m of each sample location on the grid and traps set to sign. The goal was to promote
172 captures, but not at the cost of assuming non-random captures by targeting specific species (see
173 Hurlbert 1985). Sherman traps were used because they target the full spectrum of species in this
174 assemblage. There is a bias towards capture of *Peromyscus spp.* when using Sherman traps
175 (Dizney et al. 2008, Stephens and Anderson 2014), but the bias was the same among all
176 treatments. Traps were baited with sunflower seeds to decrease trap disturbance by common
177 regional predators (black bears, raccoons) and clean raw cotton was used for insulation. Traps
178 were set about dusk and checked about dawn to limit sampling to nocturnal small mammals and
179 to decrease stress caused by long term captivity. All traps were closed or folded down during the
180 day.

181 Captured rodents and shrews were identified to species based on external morphology.
182 Individual rodents were marked with colored non-toxic permanent ink. The color used was
183 chosen based on the treatment where the individual was captured. Therefore, individuals were not
184 uniquely marked, but marks identified which treatment they were captured. Individuals were
185 released at the same trapping location in which they were captured. All traps were closed or
186 folded down during the day. All handling complied with rules and regulations set forth by the

187 Animal Welfare Act and were approved by the Institutional Animal Care and Use Committee
188 from University of Vermont (12-019) and Harvard University (12-04). Scientific collecting
189 permit was obtained from the Massachusetts Department of Fish and Game (075.15SCM).

190

191 *Species Richness and Evenness*

192 I used Chao1 (Chao 1984) abundance methods to estimate species richness among the
193 treatments with 95% upper and lower confidence intervals. I used the shared abundance methods
194 to estimate the number of shared species between the Hemlock control and the other treatments
195 (Chao et al. 2000). The relative abundance or the proportion of the total assemblage that is
196 represented by each species was calculated for each treatment. The average probability of
197 interspecific encounter (PIE) was used to estimate species evenness for each treatment (Hurlbert
198 1971, Gotelli 2008). Confidence intervals for PIE were calculated from the standard deviations
199 from the replicated treatments. Statistical support to accept the null hypotheses, which stated that
200 there is no significant difference in species richness, shared species richness, and PIE among
201 treatments, was determined by comparing the 95% confidence interval for the difference
202 between the treatment means. If the difference in upper and lower confidence intervals of
203 compared groups did not contain zero, the null hypothesis was rejected (Knezevic 2008).

204

205 *Population Estimates with Mark-Recapture*

206 Population of deer mice (*Peromyscus maniculatus*, Wanger 1845), white-footed mice
207 (*Peromyscus leucopus*, Rafinewque 1818), southern red-backed voles (*Myodes gapperi*, Vigors
208 1830 [formerly *Clethrionomys gapperi*] were estimated with 10 nights of trapping in each block.
209 Schnabel (1938) closed-population estimate was used due to the recapture marking methodology

210 where animals were not marked as individuals. Confidence intervals (95% upper and lower) for
211 estimated populations were calculated.

212

213 *Software and R Packages*

214 All data were analyzed using R version 3.2.3 (R Core Team 2015). The package
215 “reshape” version 0.8.5 (Wickham 2014) was used to restructure and aggregate data. The
216 package “plyr” version 1.8.3 (Wickham 2015) was used for data frame manipulation. Packages
217 “lattice” version 0.20-33 (Sarkar 2015), “ggplot2” version 2.0.0 (Wickham and Chang 2015),
218 and “grid” version 3.2.3 (Murrell 2005) were used for graphics. The package “agricolae” version
219 1.2.3 (de Menibus 2015) was used for Tukey’s HSD grouping statistical procedures for
220 microhabitat characteristics. The package “SpadeR” version 0.1.0 (Chao et al. 2015) was used to
221 estimate species richness (‘ChaoSpecies’) and estimated shared species richness (‘ChaoShared’).

222

223

223 **Results**

224 *Microhabitat Characteristics*

225 There was no significant difference in the percent of rock cover among Hemlock (1.94%,
226 SE= 0.39), Girdled (0.63%, SE= 0.33), Logged (1.04%, SE= 0.38), and Hardwood (1.29%, SE=
227 0.32) ($F_{3, 387} = 2.412$, $P = 0.066$), but there was a significant difference between Ridge and Valley
228 blocks ($F_{1, 387} = 22.34$ $P < 0.00001$; Figure 1A). There was a significant difference in leaf litter
229 ground cover among treatments ($F_{3, 387} = 53.62$, $P < 0.0001$) and among blocks ($F_{1, 387} = 20.23$, $P <$
230 0.0001 , Figure 1B). There was a difference in means among Hemlock (51.94%, SE= 1.90),
231 Girdled (24.84%, SE= 1.78), and Logged (33.10%, SE= 1.81), but there was not a difference in
232 means of percent leaf litter between Hemlock and Hardwood (51.29%, SE=2.06) (Figure 1B).

233 There was a significant difference in percent soil cover among treatments ($F_{3,387} = 22.587$,
234 $P < 0.0001$), but not between blocks ($F_{1,387} = 0.651$, $P = 0.42$, Figure 1C). There was a higher
235 percent of soil cover on average in the Hemlock (15.69%, $SE = 1.63$) treatments and the lowest
236 percent of soil cover on average in the Hardwood (2.92%, $SE = 0.66$) treatment. There was no
237 difference in soil means between the Girdled (5.22%, $SE = 0.79$) and Logged (9.12%, $SE = 1.34$)
238 treatments (Figure 1C).

239 There was a significant difference in the percent of vegetation ground cover among
240 treatments ($F_{3,387} = 76.06$, $P < 0.0001$) and between blocks ($F_{1,387} = 22.93$, $P < 0.0001$). There was
241 a higher percent of understory vegetation in the Girdled (45.60%, $SE = 2.39$) treatment, but no
242 difference between Logged (32.92%, $SE = 2.18$) and Hardwood (29.06%, $SE = 1.85$) treatments.
243 Hemlock (5.86%, $SE = 1.15$) treatments had the lowest percent vegetation cover among the
244 treatments (Figure 1D). There was a significant difference in percent cover downed woody
245 debris among treatments ($F_{3,387} = 15.68$, $P < 0.0001$) and between blocks ($F_{1,387} = 29.42$, $P <$
246 0.0001). There was a higher percent cover in Hemlock and Logged treatments, but there was no
247 difference in the mean of woody debris cover between Hemlock (18.67%, $SE = 1.26$) and Logged
248 (20.10%, $SE = 1.66$). There was no difference between Girdled (12.92%, $SE = 1.29$) and
249 Hardwood (9.51%, $SE = 0.83$) means (Figure 1E). There was a significant difference in percent
250 fungi cover among treatments ($F_{3,387} = 7.06$, $P < 0.0001$) and between blocks, ($F_{1,387} = 8.036$, $P <$
251 0.0001). Above fungi cover was low and there was no difference in the mean of fungi cover
252 between Logged (0.88%, $SE = 0.29$) and Hardwood (0.67%, $SE = 0.17$) and no difference
253 between Hemlock (0.02%, $SE = 0.02$) and Girdled (0.0%, $SE = 0.0$) (Figure 1F).

254 There was a significant difference in percent of open canopy among treatments ($F_{3,387} =$
255 28.332 , $P < 0.0001$), but not between blocks ($F_{1,387} = 3.33$, $P = 0.069$). There was a difference in

256 mean among Hemlock (8.22%, SE= 0.49), Girdled (23.14%, SE= 1.52), Logged (19.65%, SE=
257 1.82), and Hardwood (13.51%, SE= 0.62), but not between Girdled and Logged treatments
258 (Figure 2A). There was a significant difference in percent of high canopy cover among
259 treatments ($F_{3, 387} = 169.02$, $P < 0.0001$), but not between blocks ($F_{1, 387} = 1.56$, $P = 0.21$). There
260 was not a significantly higher percent in high canopy between Hemlock (86.41%, SE= 1.08) and
261 Hardwood (79.55%, SE= 1.73) treatments, but there was a difference high canopy cover in
262 Girdled (37.49%, SE= 2.97) and Logged (24.47%, SE=3.04) treatments with Logged having the
263 least amount of high canopy cover (Figure 2B). There was a significant difference in low canopy
264 cover among treatments ($F_{3, 387} = 85.12$, $P < 0.0001$), but not between block ($F_{1, 387} = 3.77$,
265 $P = 0.05$). The Logged (55.84%, SE= 3.59) treatment had a higher percent canopy cover than
266 Girdled (39.31%, SE= 3.57), Hemlock (5.37%, SE= 0.92), and Hardwood (6.90%, SE= 1.66).
267 However, there was not a difference in low canopy percent cover between Hemlock and
268 Hardwood (Figure 2C).

269

270 *Small Mammal Captures*

271 There were 4,131 trapping nights and 18.7% capture success among all treatments. I
272 trapped in the Ridge block for 12 nights and in the Valley for 10 nights. There were 2,183 traps
273 set in the Ridge block and trapping success varied among Hemlock (17%), Girdled (22%),
274 Logged (16%), and Hardwood (22%) treatments. There were fewer traps set in the Ridge-
275 Hardwood treatment (n=420) than other treatments in the Ridge block (n=588) due to a change
276 in property management midway through the season. In the Valley block, there were 1,948 traps
277 set and trapping success varied among Hemlock (14%), Girdled (20%), Logged (15%), and
278 Hardwood (24%) treatments. Although there was a slight difference in the number of traps used

279 in the Ridge-Hardwood plot than in the Valley-Hardwood plot, the percent trapping success was
280 comparable.

281

282 *Species Richness and Evenness*

283 The observed small mammal species (i.e. rodents and shrews) varied slightly among
284 treatments (Figure 3). There were more species found in the Girdled (8) than in the Logged (7),
285 Hemlock (6), and Hardwood (6) treatments. Deer mice, white-footed, southern red-backed, and
286 short-tailed shrews (*Blarina brevicauda*, Gray 1838) were found among all treatments (Figure 3).
287 Southern flying squirrels (*Glaucomys Volans*, Linnaeus 1758) were most abundant in the control
288 plots, but one was captured in a Girdled plot (Figure 3) and only on one occasion. Eastern
289 chipmunks (*Tamias striatus*, Linnaeus 1758) and masked shrews (*Sorex cinereus*, Kerr 1792)
290 were more abundant in disturbed treatments than in controls (Figure 3). Eastern chipmunks were
291 not captured in the Hemlock controls and masked shrews were not captured in the Hardwood
292 controls (Figure 3). Woodland jumping mice (*Napaeozapus insignis*, Miller 1891) and woodland
293 voles (*Microtus pinetorum*, LeConte 1830) were only captured in the disturbed treatments and
294 with very low captures (Figure 3). Relative capture abundance for deer mice ranked highest in
295 Hemlock, Logged, and Hardwood and southern red-backed vole abundance ranked highest in the
296 Girdled treatment (Figure 3). While there was a difference in the assemble of small mammals
297 (not all species captured among all treatments), there was no significant difference in community
298 evenness. The average PIE ($F_{3, 387} = 0.34$, $P=0.79$) among Hemlock (PIE= 0.59, lower 95%CI=
299 0.14, upper 95%CI= 1.00), Girdled (PIE= 0.63, lower 95%CI= 0.63, upper 95%CI= 0.74),
300 Logged (PIE= 0.68, lower 95%CI=0.63, upper 95%CI= 0.73), and Hardwood (PIE= 0.63, lower

301 95% CI= 0.58, upper 95% CI= 0.68) were not significantly different among treatments ($F_{1, 387} =$
302 2.29, $P = 0.22$).

303 The estimated species richness was highest in the Girdled treatment ($n = 8$, lower 95% CI
304 = 8.07, upper 95% CI = 9.59, Figure 4), followed by the Logged treatment ($n = 7$, lower 95% CI =
305 7.0, upper 95% CI = 8.45 Figure 4). The estimated species richness was the same ($n = 6$) in the
306 Hemlock (lower 95% CI = 6.0, upper 95% CI = 7.40) and in Hardwood controls (lower 95% CI =
307 6.0, upper 95% CI = 6.49, Figure 4). There was a significant difference in estimate species
308 richness between the controls and Girdled treatment, but not between controls and Logged
309 treatment (Figure 4). There was no significant difference between Hemlock and Hardwood
310 controls and between Girdled and Logged treatments (Figure 4). There were six estimated shared
311 species between Hemlock and Girdled (SE= 0.57, lower 95% CI = 5.35, upper 95% CI = 7.85),
312 five shared between Hemlock and Logged (SE= 0.46, lower 95% CI = 4.43, upper 95% CI = 6.29)
313 and six shared between Hemlock and Hardwood (SE= 0.0, lower 95% CI = 5.0, upper 95% CI =
314 5.0)

315

316 *Population Estimates*

317 There was a denser population of deer mice in the Logged treatment ($N\text{-hat} = 40.7$ per
318 0.64ha, lower 95% CI = 27.17, upper 95% CI = 64.33) than in the Hemlock control ($N\text{-hat} =$
319 17.14 per 0.64ha, lower 95% CI = 13.19, upper 95% CI = 24.47, Figure 5), but all other
320 treatments do not have overlapping error bars (Knezevic 2008). The southern red-backed vole
321 population was denser in the Girdled ($N\text{-hat} = 84.4$ per 0.64ha, lower 95% CI = 59.80, upper
322 95% CI = 136.41) and Logged treatments ($N\text{-hat} = 47.11$ per 0.64ha, lower 95% CI = 31.15, upper
323 95% CI = 85.62) than the Hemlock ($N\text{-hat} = 8.14$ per 0.64ha, lower 95% CI = 4.85, upper 95% CI =

324 14.89) and Hardwood controls (N-hat= 17.2 per 0.64ha, lower 95%CI = 12.73, upper 95%CI =
325 25.43, Figure 5). There was no difference in population density of white-footed mice among
326 Hemlock (N-hat= 9.0 per 0.64ha, lower 95%CI = 4.74, upper 95%CI = 19.68), Girdled (N-hat=
327 8.31 per 0.64ha, lower 95%CI = 4.85, upper 95%CI = 15.60), Logged (N-hat= 10.87 per 0.64ha,
328 lower 95%CI = 6.03, upper 95%CI = 30.91) and Hardwood treatments (N-hat= 7.20 per 0.64ha,
329 lower 95%CI = 4.84, upper 95%CI = 11.25, Figure 5).

330

331 **Discussion**

332 I found small scale microhabitat characteristics (Figures 1 and 2), small mammal
333 community assemblage (Figure 3), estimated species richness (Figure 4), and southern red-
334 backed vole populations (Figure 5B) were affected by girdled and logged disturbance, but
335 community evenness (PIE) and mice populations (Figure 5A & C) were not.

336 Hemlock woolly adelgid and logging increased the percent ground cover of vegetation,
337 but decreased the percent ground cover of leaf litter relative to hemlock controls. These
338 disturbances also decreased the amount of high canopy cover which allowed for an increase in
339 open canopy cover and low canopy cover. Heterogeneous changes in habitat structure caused by
340 invasive species can create patches of suitable and unsuitable habitat. These variations in habitat
341 or patch quality may influence the site occupancy (the probability that a particular species is
342 present at a site; MacKenzie et al. 2002). While these disturbances may seem minor, they can
343 have detrimental effects on small mammal distribution, especially habitat specialists.

344 Overall, estimated species richness did increase in the Girdled treatment relative to the
345 Hemlock control (Figure 4) and there were more species represented in the Girdled treatment
346 than in the Hemlock control (Figure 3). However, not all species that were sampled were found

347 in the Girdled treatment and several were rarely captured (Figure 3). For example, southern
348 flying squirrels were not captured in logged treatments at all and only one was captured in the
349 girdled treatment. This suggests that the presence or site occupancy of southern flying squirrels
350 may decrease as hemlock woolly adelgid continues to spread and destroy hemlock forests in
351 New England and southern flying squirrels will depend more on hardwood forests in the future.
352 Given that no southern flying squirrels were found in the logged treatments it seems safe to
353 assume that preemptive logging management would be equally devastating to these arboreal
354 rodents as girdling from hemlock woolly adelgid damage. Although northern flying squirrels
355 were not captured in this study, I predict that their populations would also decrease dramatically
356 as adelgid spreads northward. Unlike southern flying squirrels that utilize both hemlock and
357 hardwood stands (primarily hardwood), northern flying squirrels (*Glaucomys sabrinus*, Shaw
358 1801) depend on old growth forests (Ransome and Sullivan 1997) such as old eastern hemlock
359 forests. If the spread of the adelgid continues to increase northward as it is predicted, the
360 northern flying squirrels may not have time to adapt to the changing forests and the species could
361 be lost.

362 Community evenness of small mammals did not differ among treatments. This could be
363 due to the large variation of PIE estimates in the Hemlock controls. When PIE was separated by
364 block, there was a significant difference between PIE estimates in Valley (PIE = 0.43) and Ridge
365 (PIE = 0.76) Hemlock blocks. This suggests that slight changes in the landscape (elevation and
366 slope) may influence species distribution or at least influence capture ability of some animals.
367 Regardless of the community evenness, there were differences in the overall community
368 assemblage and species richness estimates between Girdled treatment and controls. Although
369 deer mice and white-footed mice populations were not affected by girdled and logged treatments,

370 the southern red-backed vole populations were positively affected by the disturbances (Figure 5).
371 It seems that habitat generalists (e.g. deer mice, white-footed mice) may not be as impacted by
372 hemlock woolly adelgid and logging as habitat specialists (e.g. southern flying squirrels), which
373 would support previous studies (e.g. Millán de la Peña et al. 2003, Burel et al. 2004, Zowlak
374 2009, Janova and Heroldova 2016). Finding larger population densities of southern red-backed
375 voles within disturbed areas of old-hemlock forests support findings that these voles are not
376 necessarily old-forest specialists, but are more associated with habitat features such as woody
377 debris (Keinath and Hayward 2003) or well decayed woody debris (Fauteix et al. 2012).

378

379

Conclusion

380 The loss of this foundation species did impact microhabitat characteristics, small
381 mammal communities, and alter species richness enough to warrant caution in discussing the
382 severity of eastern hemlock population decline. The local increase in small mammal species
383 richness, represented by a snap-shot short-term study, should not be interpreted as HWA being
384 “good” for small mammal diversity. On the contrary, these data suggest that there are varying
385 degrees in which small mammals will be impacted with continued spread of hemlock woolly
386 adelgid and destructive management practices (preemptive logging). In addition to the loss of
387 eastern hemlocks, the implications for regional changes in small mammal communities may have
388 dramatic consequential effects on forest dynamics as each small mammal species provide
389 ecosystem functions across a large environmental gradient including, 1) the increase of forest
390 range with the dispersal of seed (e.g. Steele et al. 2006; Beck and Vander Wall 2010; Yu et al.
391 2013), 2) being used as bio-indicators for forest health (e.g. Haim and Izhaki 1994; Pearce and
392 Veiner 2005; Leis et al. 2008), 3) determination of seed fate through seed foraging, dispersal,

393 caching, and hoarding behaviors (e.g. Steele et al. 2011), and 4) facilitating vegetation growth in
394 forests and fields (Ostfeld et al. 1993; Howe et al. 2006). They are also important food resources
395 for many vertebrates (e.g. Sullivan et al. 2004, Sundell et al. 2013) and are hosts to diverse
396 groups of parasites (e.g. Vandergrift et al. 2009, Kuhnen et al. 2011). We need to consider the
397 ramification of eastern hemlock loss on other species and how those species contribute to the
398 overall ecosystem function.

399

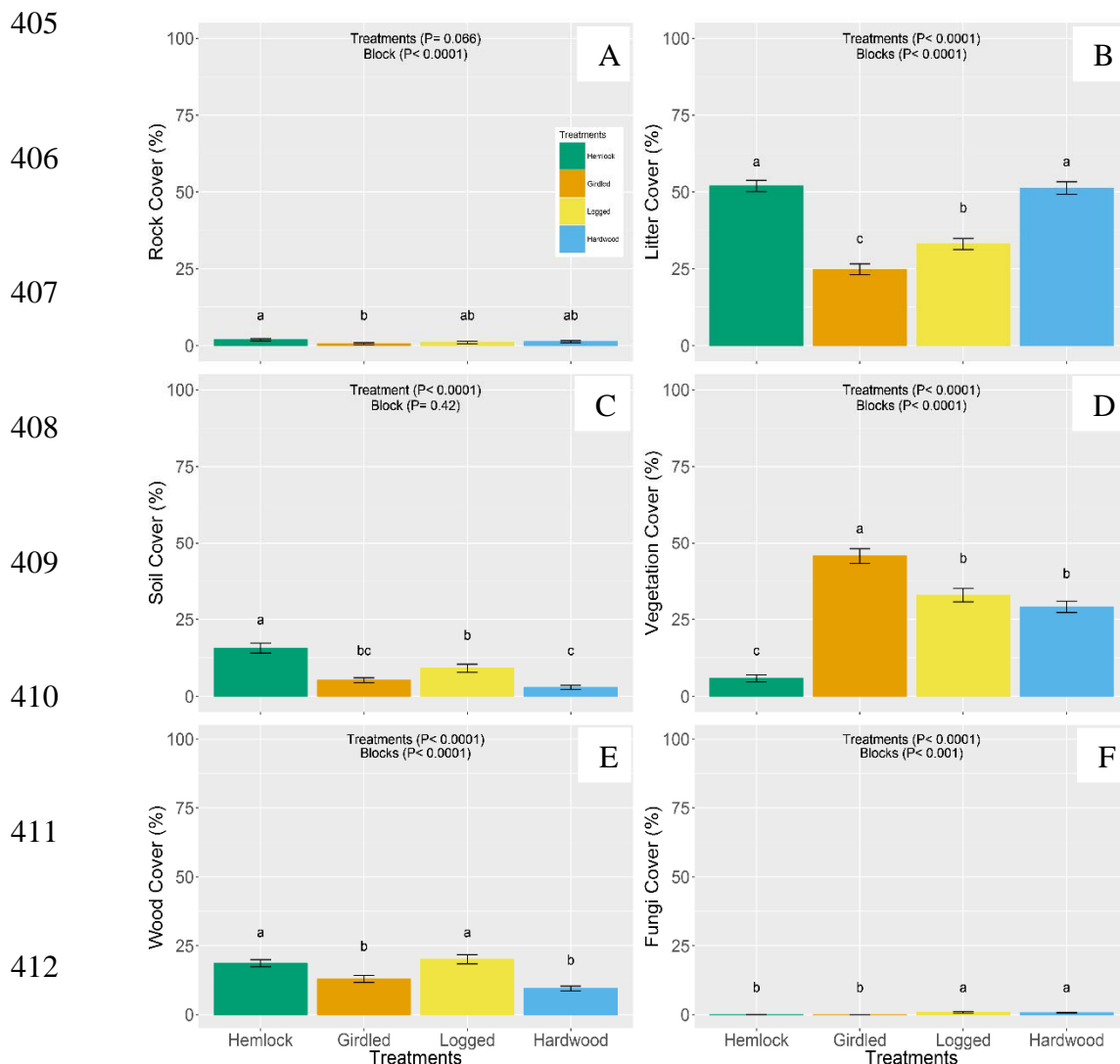
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401 **Table 1.** Hypothesized influence of simulated HWA on relative abundance of individuals within
 402 small mammal communities at Harvard Forest in Petersham, MA.

Small Mammal Species	Predicted direction of influence of HWA on small mammal abundance relative to Hemlock control (↑ increase, ↓ decrease, 0 no effect)	Supporting Literature
Deer mouse <i>Peromyscus maniculatus</i>	0	Graves et al. 1988
White-footed mouse <i>Peromyscus leucopus</i>	↓	Henein et al. 1998; Graves et al. 1988
Woodland jumping mouse <i>Napaeozapus insignis</i>	↑	Vickery and Rivest 1992
Southern red-backed vole <i>Myodes gapperi</i>	↓	Merritt 1981
Southern flying squirrel <i>Glaucomys volans</i>	↑	Taulman and Seaman 2000
American red squirrel <i>Tamiasciurus hudsonicus</i>	↑	Ransome et al. 1997
Eastern chipmunk <i>Tamias striatus</i>	↓	Pyare et al. 1993
Short-tailed shrew <i>Blarina brevicauda</i>	↑	Ford and Rodrigue 2001
Smokey shrew <i>Sorex fumeus</i>	0↓	Ford and Rodrigue 2001
Masked shrew (Common shrew) <i>Sorex cinereus</i>	0↓	Ford and Rodrigue 2001

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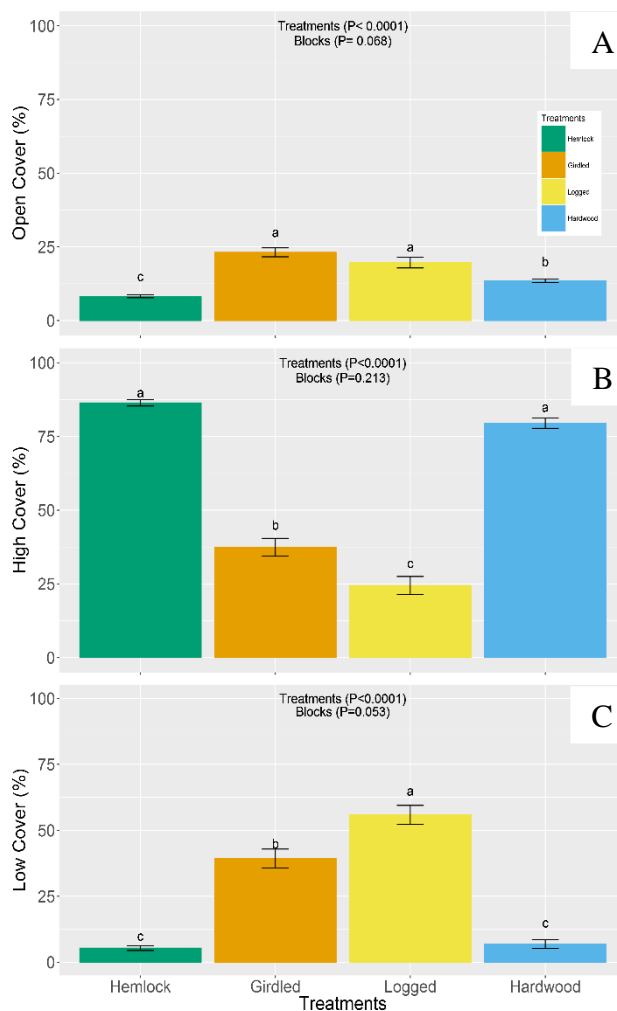
413 **Fig 1.** Mean (\pm SE) percent cover of microhabitat ground cover characteristics of rock (A), leaf
414 litter (B), soil (C), vegetation (D), woody debris (E), and fungi (F) among Hemlock (green),
415 Girdled (orange), Logged (yellow), and Hardwood (blue) treatments. Results of randomized
416 block ANOVA for each characteristic indicated top-center of each graph. Lower case letters
417 result of Tukey's HSD grouping.

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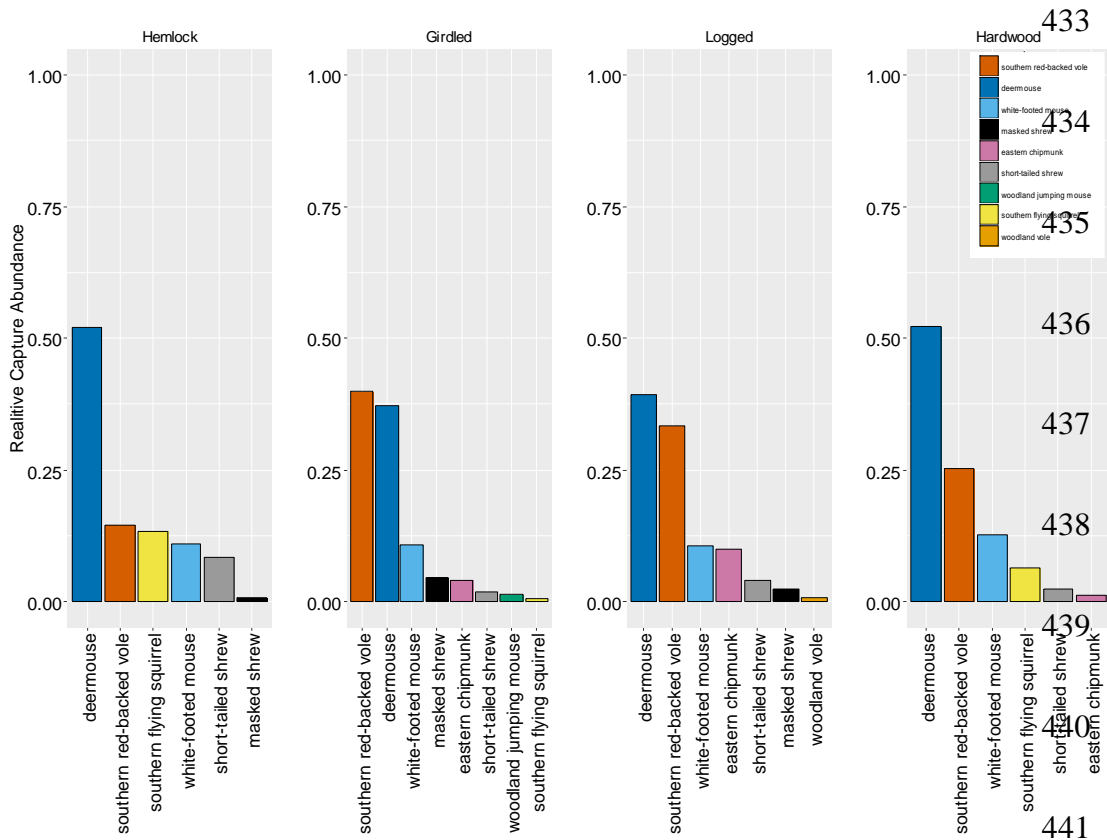
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427 **Fig 2.** Mean (\pm SE) percent cover of microhabitat canopy cover characteristics open canopy (A),
428 high canopy (B), and low canopy (C) among Hemlock (green), Girdled (orange), Logged
429 (yellow), and Hardwood (blue) treatments. Results of randomized block ANOVA for each
430 characteristic indicated top-center of each graph. Lower case letters above treatment are result of
431 Tukey's HSD grouping.

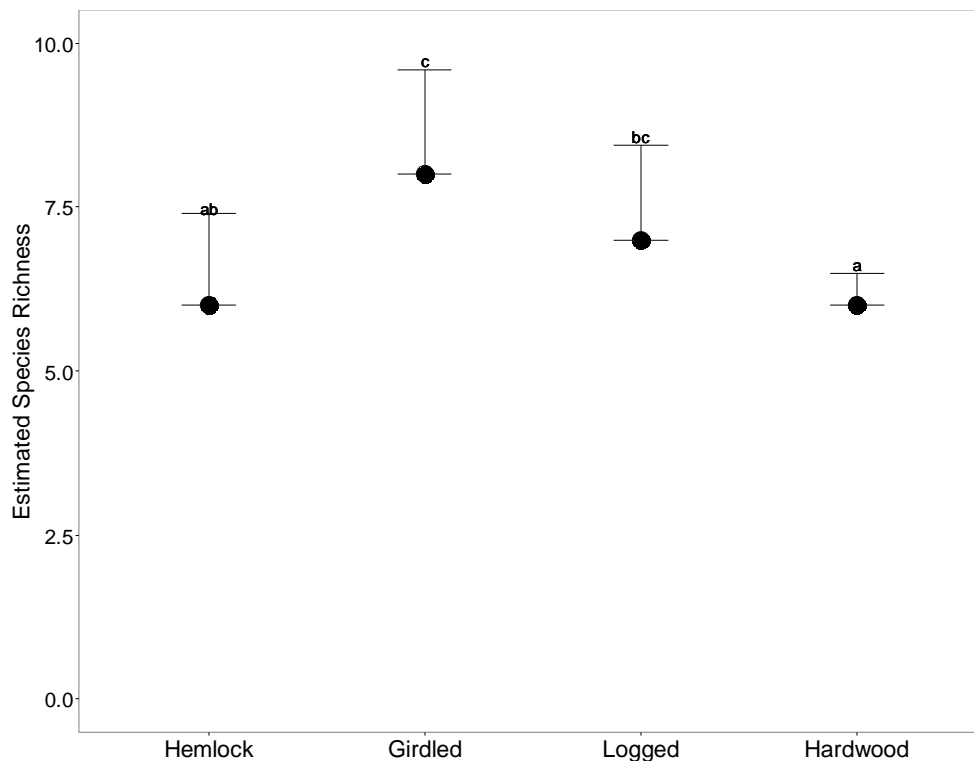
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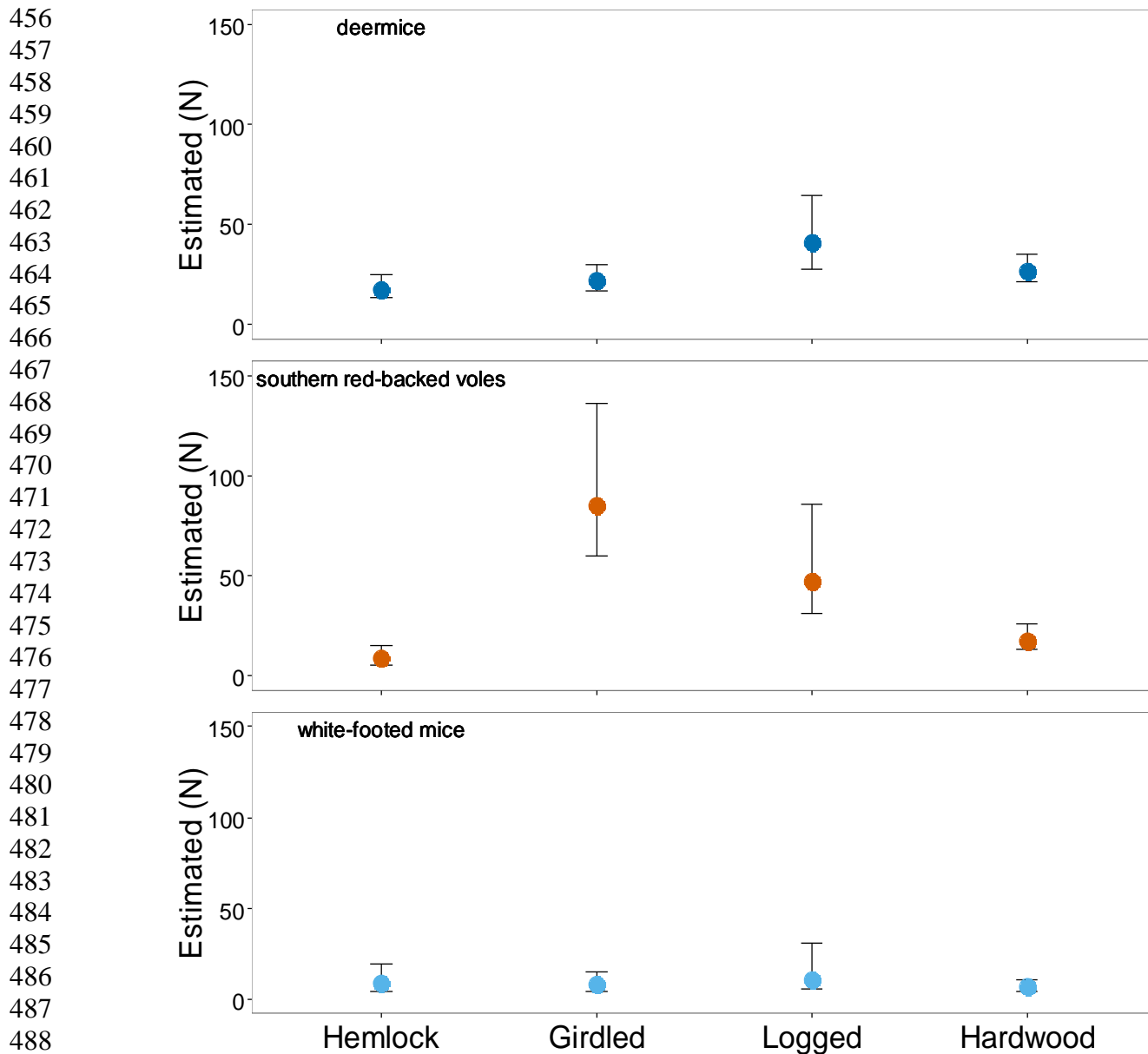
442 **Fig 3.** Rank relative abundance graph of small mammals in 2012 among canopy treatments
 443 (left to right): Hemlock, Girdled, Logged, and Hardwood). Each bar and each color represents
 444 a different species. The height of the bar is the relative abundance of the species in each
 445 treatment.

446

447



448
449 **Fig 4.** Chao1 estimated species richness (dots) with lower and upper 95% confidence intervals
450 (error bars) for Hemlock, Girdled, Logged, and Hardwood canopy treatments. Letters indicate
451 groupings based on CI overlap where different letters indicate significantly different groups. The
452 estimated species richness in Hemlock (a) control differs significantly from Girdled (c), but not
453 from Logged (b) and Hardwood (a). Girdled treatments (c) differs significantly from Hardwood
454 (a), but not Logged (c).
455



490 **Fig 5.** Schnabel estimated population ($N\hat{}$) with lower and upper 95% confidence intervals
491 (error bars) for Hemlock, Girdled, Logged, and Hardwood canopy treatments for deer mice,
492 southern red-backed voles, and white-footed mice (top to bottom).
493

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