Amplification and Suppression of Distinct Brain-wide Activity Patterns by Catecholamines

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ABSTRACT

The widely projecting catecholaminergic (norepinephrine and dopamine) neurotransmitter systems

profoundly shape the state of neuronal networks in the forebrain. Current models posit that the effects

of catecholaminergic modulation on network dynamics are homogenous across the brain. However,

the brain is equipped with a variety of catecholamine receptors with distinct functional effects and

heterogeneous density across brain regions. Consequently, catecholaminergic effects on brain-wide

network dynamics might be more spatially specific than assumed. We tested this idea through the

analysis of functional magnetic resonance imaging (fMRI) measurements performed in humans at

'rest' under pharmacological (atomoxetine-induced) elevation of catecholamine levels. We used a

linear decomposition technique to identify spatial patterns of correlated fMRI signal fluctuations that

were either increased or decreased by atomoxetine. This yielded two distinct spatial patterns, each

expressing reliable and specific drug effects. The spatial structure of both fluctuation patterns

resembled the spatial distribution of the expression of catecholamine receptor genes: adrenergic

alpha1-receptors (for the fluctuation pattern: placebo > atomoxetine), dopaminergic 'D2-like'

receptors (pattern: atomoxetine > placebo), and adrenergic beta-receptors (for both patterns, with

correlations of opposite sign). We conclude that catecholaminergic effects on the forebrain are

spatially more structured than traditionally assumed and at least in part explained by the

heterogeneous distribution of various catecholamine receptors. Our findings link catecholaminergic

effects on large-scale brain networks to low-level characteristics of the underlying neurotransmitter

systems. They also provide key constraints for the development of realistic models of

neuromodulatory effects on large-scale brain network dynamics.

SIGNIFICANCE STATEMENT

The catecholamines norepinephrine and dopamine are an important class of modulatory neurotransmitters. Because of the widespread and diffuse release of these neuromodulators, it has commonly been assumed that their effects on neural interactions are homogenous across the brain. Here, we present results from the human brain that challenge this view. We pharmacologically increased catecholamine levels and imaged the effects on the spontaneous covariations between brain-wide fMRI signals at 'rest'. We identified two distinct spatial patterns of covariations: one that was amplified and another that was suppressed by catecholamines. Each pattern was associated with the heterogeneous spatial distribution of the expression of distinct catecholamine receptor genes. Our results provide novel insights into the catecholaminergic modulation of large-scale human brain dynamics.

INTRODUCTION

Neuromodulators are important regulators of physiological arousal and profoundly shape the state of neuronal networks in the cerebral cortex. Catecholamines, an important class of neuromodulators including norepinephrine (NE) and dopamine (DA), amplify the gain of neuronal responses to sensory input (Berridge and Waterhouse, 2003; Winterer and Weinberger, 2004; Jacob et al., 2013; Polack et al., 2013). Current models of catecholaminergic modulation posit that this increase in response gain amplifies the signal-to-noise ratio of sensory responses at the network level (Servan-Schreiber et al., 1990; Aston-Jones and Cohen, 2005; Eckhoff et al., 2009; Shine et al., 2018). An assumption common to these models is that catecholamines boost neural gain homogenously across the entire brain. This assumption is grounded in the widespread projections of the brainstem structures releasing these neuromodulators, in particular the locus coeruleus (LC), the main source of NE in the forebrain (Aston-Jones and Cohen, 2005).

However, other findings cast doubt on this assumption. First, there exists a multitude of different catecholamine receptors, and each has a distinct and heterogeneous distribution across cortical areas (Zilles and Amunts, 2009; Nahimi et al., 2015). And second, several of these receptor types exhibit distinct functional effects on cortical state (McCormick et al., 1991; Ramos and Arnsten, 2007; Robbins and Arnsten, 2009; Noudoost and Moore, 2011; Salgado et al., 2016). As a consequence, the effects of catecholamines on neural dynamics might be more spatially specific than traditionally assumed, perhaps even with opposing signs between different sets of brain regions. Here, we tested this idea by imaging the spatial distribution of catecholamine-induced changes in large-scale human brain dynamics, and relating the resulting patterns of brain dynamics to the spatial distribution of several catecholamine receptor types.

Our work built on the established observation that human fMRI signals, and neural population activity in general, fluctuate spontaneously in a correlated fashion across widely distributed brain regions, in the absence of changes in sensory input and motor output (often referred to as 'resting-state') (Biswal et al., 1995; Fox and Raichle, 2007; Schölvinck et al., 2010). We have previously examined the effect of increasing central catecholamine levels on such intrinsic fMRI signal correlations in a double-blind placebo-controlled crossover design (van den Brink et al., 2016) using

atomoxetine, a selective NE transporter blocker that increases central NE and DA levels (Bymaster et

al., 2002; Devoto et al., 2004; Swanson et al., 2006; Koda et al., 2010). Surprisingly, we found

reductions in the strength of fMRI signal covariations across several spatial scales of brain

organization: in summary measures of brain-wide coupling; coupling between large-scale functional

'networks' as defined in resting-state fMRI studies (Fox and Raichle, 2007); and in a select set of

brain regions in the occipital lobe. Due to the use of a pre-defined network parcellation scheme and

summary statistics, our previous study revealed only the prevailing catecholamine-induced changes in

connectivity, with little neuroanatomical detail. It thus left open the possibility that atomoxetine, in

addition to decreases, also induced increases in signal covariations, but possibly weaker in amplitude

and/or entailing a smaller set of brain regions. Furthermore, our previous study could not uncover

more fine-grained spatial patterns of spontaneous signal fluctuations that were amplified or

suppressed by catecholamines.

Here, we re-analyzed our dataset (van den Brink et al., 2016) with a previously validated

approach (Donner et al., 2013) that was tailored to address the above issues. This enabled us to (i)

assess the spatial specificity and fine-grained neuroanatomical structure of cathecolaminergic

modulation patterns; and (ii) quantify their spatial correspondence with the distribution of the

expression of catecholamine receptor genes, as revealed by a unique brain-wide transcriptome

database (Hawrylycz et al., 2012; Hawrylycz et al., 2015). Our analysis identified two spatial patterns

of signal cofluctuations that were most strongly affected by catecholamines; one with increased

cofluctuation strength and the other with reduced strength. These distinct networks were each

associated with the expression pattern of distinct catecholamine receptors.

MATERIALS AND METHODS

Participants and experimental design

We reanalyzed data from van den Brink et al. (2016). This dataset comprised eyes open 'resting-state' (blank fixation) fMRI measurements in 24 participants. In each of two separate sessions, scheduled one week apart, two fMRI measurements were performed, one before and one after intake of either placebo or atomoxetine (40 mg). The study had a double-blind placebo-controlled crossover design, and was approved by the Leiden University Medical Ethics Committee. All participants gave written informed consent before the experiment, in accordance with the declaration of Helsinki. Salivary markers of central catecholamine levels confirmed drug uptake (Warren et al., 2017).

MRI preprocessing

A full description of scan parameters and preprocessing details can be found in van den Brink et al. (2016). In brief, we applied the following preprocessing steps to the fMRI data (TR = 2.2 s; voxel size = 2.75 mm isotropic): realignment and motion correction; B0 unwarping; high-pass filtering at 100 s; prewhitening; smoothing at 5 mm FWHM; coregistration of the functional scans with an anatomical T1 scan to 2 mm isotropic MNI space; artifact removal using FMRIB's ICA-based X-noiseifier (Griffanti et al., 2014; Salimi-Khorshidi et al., 2014). We recorded heart rate using a pulse oximeter and breath rate using a pneumatic belt during data acquisition. Between-condition differences in heart rate and breath rate were examined using *t*-tests. We applied retrospective image correction to account for differences in heart and breath rate between the atomoxetine and placebo conditions (Glover et al., 2000). In the current article, we focus on the runs following atomoxetine / placebo ingestion.

Brain parcellation

We extracted the fMRI time series of individual brain regions using the Automated Anatomical Labeling (AAL; Tzourio-Mazoyer et al., 2002) atlas, which contained 90 regions (cf. van

den Brink et al., 2016). In control analyses, we also used a more fine-grained atlas that was based on a

functional parcellation (Craddock et al., 2012). This atlas contained 140 individual brain regions.

Overall, the Craddock atlas yielded highly similar results as the AAL atlas, in terms of both the

direction and significance of effects. Thus, our primary analyses are based on the AAL atlas, while

our findings with the Craddock atlas are reported as a control analysis at the end of the Results

section.

Inter-regional covariance of fMRI signal fluctuations

After averaging across voxels within each atlas-level brain region, we Z-scored the

multivariate time series (*M,* with dimensionality imaging volumes by brain regions) for each run *i* and

then computed the group-averaged covariance matrices (C) for the placebo and atomoxetine

conditions (subscript P and A, respectively) via the following:

$$(0) \quad C_P = N^{-1} \sum_{iP=1}^{N} \frac{M_{iP}^T M_{iP}}{nTR-1} \ , \ C_A = N^{-1} \sum_{iA=1}^{N} \frac{M_{iA}^T M_{iA}}{nTR-1}$$

where *nTR* was the number of volumes (211), N was the number of participants (24), and ^{T} denoted a

matrix transposition. The matrices C_P and C_A represented the covariance between the BOLD time

series of all brain regions, averaged across participants. Note that by Z-scoring the time series, the

units of C (covariance) are equivalent to the Pearson correlation coefficient.

Eigenvalue decomposition of covariance matrices

An often-used approach to identify distributed networks of fMRI signal correlations relies on

a linear decomposition of the data via ICA (see below) (Beckmann et al., 2005). An alternative

multivariate linear decomposition, eigenvalue decomposition, can be extended to the comparisons

between covariance matrices from two different conditions (e.g., drug vs. placebo). Eigenvalue

decomposition identifies spatial patterns, so-called 'spatial modes' of correlated (or anti-correlated)

signals across brain regions (Mitra and Pesaran, 1999; Friston and Büchel, 2004; Donner et al., 2013).

In what follows, we first describe the standard eigenvalue decomposition (synonymous with principal

component analysis) and subsequently describe its generalized form.

The eigenvalue decomposition of the AAL atlas-derived covariance matrices (C) was

computed as follows:

138   (0) $C = V \lambda V^T$

where ^{T} denoted transposition, λ was an n-by-n matrix with eigenvalues on its diagonal, and V was an

n-by-n matrix of corresponding eigenvectors in which rows were brain regions ($n = 90$) and columns

defined individual spatial modes p, where p was a vector and $p \in \{p_1, p_2, ..., p_n\}$. The overall sign of

the elements in p was arbitrary but the sign of one element with respect to another indicated their

relative covariation: equal signs indicated positive correlation and opposite signs indicated negative

correlation.

For each run i, separately for the atomoxetine and placebo condition, we calculated

participant-level time series t corresponding to each mode by projecting the mode onto the

participant-level multivariate time series M via:

148   (1) $t_i = M_i p$

The so-computed t described the time-varying strength of the expression of the spatial mode

(functional network) in each individual participant's data, in one condition. We used t to produce

voxel-level spatial maps of the corresponding modes in order to examine their correspondence with

ICA-derived cofluctuating networks (see below). Next, we describe the generalization of eigenvalue

decomposition to extract modes that are more strongly expressed in one condition relative to the

other.

Generalized eigenvalue decomposition of covariance matrices

We used generalized eigenvalue decomposition to decompose the covariance matrices from

both experimental conditions, atomoxetine and placebo, into spatial modes that fluctuated more

strongly in one condition than in the other (Friston and Büchel, 2004; Donner et al., 2013). This

analysis approach has been validated for fMRI with retinotopic mapping protocols (Donner et al.,

2013). Figure 1 shows a schematic overview. Using the 'eig' function in MATLAB 2012a, we

decomposed the participant-averaged atomoxetine covariance matrix C_A and placebo covariance

matrix C_P by solving the equation:

164   (1) $C_A V = C_P V \lambda$

where λ was an n-by-n matrix with generalized eigenvalues on its diagonal, and V was an n-by-n

matrix of corresponding eigenvectors in which rows were brain regions ($n = 90$ for the AAL atlas,

and $n = 140$ for the Craddock atlas) and columns defined individual modes (p). As above, p was a

vector and $p \in \{p_1, p_2, ..., p_n\}$. The resulting spatial modes described patterns of correlated signal

fluctuations that maximized the variance (fluctuation amplitude) accounted for in one condition

relative to the other (as measured by the corresponding λ_p). Thus, equation 4 identified spatial modes

Figure 1. Schematic overview of the spatial mode decomposition method.
The covariance matrices C_A and C_P are submitted to generalized eigenvalue decomposition to produce
a matrix of eigenvalues (λ) and eigenvectors (V). The decomposition equation as given here
delineated modes that were more strongly expressed in the atomoxetine condition than in the placebo
condition. To identify modes that were more strongly expressed in the placebo condition, the
covariance matrices C_A and C_P were swapped. After decomposition, the participant-level time series
(t) corresponding to each individual spatial mode (p) were computed for each run i by projecting the
mode onto the data (M). The number of brain regions in the parcellation scheme was denoted by n. A
spatial map of brain regions that consistently covaried with the mode time series was computed by
regressing the spatial mode time series for the atomoxetine (A) and placebo (P) conditions onto the
voxel-level fMRI time series, and comparing the regression coefficients to zero across participants.

that fluctuated more strongly in the atomoxetine condition than in the placebo condition. To identify

spatial modes that fluctuated more strongly in the placebo condition, the covariance matrices C_A and

C_P were swapped. We arranged V and λ such that their first entries corresponded to the modes that

explained most variance. In other words, we sorted λ in descending order and then sorted V by λ.

For each run i, we calculated participant-level time series t_i corresponding to each spatial

mode p for each individual run i as follows:

189   (1) $t_i = M_i p$

Here, t_i was a vector with length 211 (the number of volumes), and M_i was a matrix of Z-scored fMRI

time series from the run, with size 211 by n (volumes by brain regions).

Quantifying the across-subject consistency and reliability of spatial modes

The spatial modes were computed such that they explained more variance in the group-

average data in the atomoxetine condition than in the placebo condition (or the converse). We aimed

to quantify, in a cross-validated fashion, how consistently the fluctuation strength of these group-

average spatial modes distinguished between conditions within individual subjects. The fluctuation

amplitude s_i corresponding to each mode's time series in each individual run from each participant

quantified the amount of variance that the mode explained in the data, and was calculated via:

200   (1) $s_i = t_i^{\mathrm{T}} t_i$

201   where $^{\mathrm{T}}$ denoted transposition. Note that this was equivalent to:

202   (1) $p^{\mathrm{T}} M_i^{\mathrm{T}} M_i p = p^{\mathrm{T}} C_i p = s_i$

We divided s_i by the sum of eigenvalues (λ) to convert it to units of percentage variance explained. In

contrast to the eigenvalues, which captured the group-level mode's ratio of explained variance

between conditions, s_i captured the amount of variance that the mode captured in the condition-

specific runs at the individual participant-level. For cross-validation, we defined modes (using eq. 4)

based on the group-average covariance matrices C_A and C_P that were generated from the first half of

volumes in M_i (using eq. 1). Each mode was projected onto independent data, namely the remaining

half of volumes in M_i as described above (eq. 5). Their corresponding fluctuation amplitudes were

calculated (via eq. 6). We then used the second half of volumes to define the modes and projected

them onto the first half, and averaged the two values of s_i. The percentage variance explained by each

mode could then be compared between conditions with non-parametric permutation testing (10,000

iterations).

We used receiver operating characteristic (ROC) analysis (Green and Swets, 1966) to

quantify the reliability of the spatial modes in discriminating between experimental conditions, at the

level of short segments (25% of volumes, ~114 s) of the fMRI runs. ROC analysis performs more

accurately with densely populated distributions of measurements. Thus, we defined spatial modes

based on the group average covariance matrices calculated from a smaller subset of volumes (25%),

as described above (using eq. 1 and eq. 4). We subdivided the remainder of volumes into 20 equal-

sized bins, and computed (participant-level) s_i for each of them. We cross-validated the fluctuation

amplitude calculation by computing modes and projecting them onto the remaining data four times,

such that eventually all data were used to define the modes. This yielded four distributions of s_i per

condition and participant that were submitted to ROC analysis, resulting in four ROC curves per

participant. We calculated the area under the ROC curve, referred to as 'ROC index' in the following,

and averaged the resulting ROC indices across the four ROC curves of each participant. The resulting

ROC indices could range between 0 and 1 and be interpreted as the probability with which we could

predict the condition from the mode's fluctuation strength in a given data segment. The ROC indices

were tested for significance by comparing them to chance level (0.5) using non-parametric

permutation testing (10,000 iterations). In order to exclude the possibility that the significance of the

ROC results depended on the number (25%) of volumes on which the mode was defined, we repeated

the ROC analyses for modes defined on ~14%, 20%, and ~33% of the data, and found identical

results in terms of direction and significance.

Imaging the spatial modes

The spatial modes were computed using atlas-level covariance matrices because the whole-

brain covariance matrices could not be robustly estimated at the single-voxel level (substantially more

voxels in the brain than samples in the time dimension). A central aim of our study was to image the

neuroanatomical distribution of the spatial modes at the single-voxel level. To this end, we used the

following approach. For each participant and condition separately, we regressed the spatial mode time

series t_i (see eq. 5) onto the multivariate (voxel-level) time series from the corresponding run i. This

yielded a map of regression coefficients per participant, condition, mode, and run. For each mode and

for each condition, we could then compare the regression coefficients to zero using non-parametric

permutation testing (10,000 iterations). The α level was set at 0.05, FWE corrected for multiple

comparisons using threshold-free cluster enhancement (Smith and Nichols, 2009). The resulting

statistical parametric maps indicated which voxels (if any) significantly covaried with the mode time

series consistently across participants.

Validation of spatial modes via independent component analysis

249   Independent component analysis (ICA) is an often-used approach to delineate so-called

'resting-state networks' of intrinsic fMRI signal covariations (Beckmann et al., 2005). We applied

ICA in order to validate the use of eigenvalue decomposition and to examine the correspondence

between spatial modes and well-characterized 'resting-state networks'. We first estimated a set of

independent components (ICs) that were representative of the combined set of resting-state runs (i.e.,

runs from all participants and both the atomoxetine and placebo conditions) by applying a spatial ICA

to all temporally concatenated data using FSL's MELODIC. The model order (51) was automatically

estimated from the data following the methods described by Beckmann et al. (2005). Each IC

represented a statistical parametric map and corresponding time series of consistent spatio-temporal

dynamics. Next, we spatially correlated each IC spatial map with the 10 'resting-state networks'

reported by Smith et al. (2009) and selected the ICs that showed the highest correlation coefficient.

The selected components showed an average correlation coefficient of 0.48 (range: 0.28 - 0.70),

which indicated that the ICs as expressed in our data corresponded relatively well to previously

reported 'resting-state networks' (Smith et al., 2009).

The 10 selected ICs were reliably expressed across the combined set of resting-state runs, and

were thus representative of group-level spatiotemporal dynamics. However, the ICs did not

necessarily represent spatiotemporal dynamics within individual runs. To produce a time series and a

spatial map for the individual resting-state runs, we used the group-level IC spatial maps in multiple

spatial regression onto the individual runs. This produced a time series for each IC as expressed

within the individual runs. Then, in a second step, we used the participant-level time series as

temporal regressors to produce spatial maps of regression coefficients for each component and each

run. Thus, this two-stage regression approach resulted in a spatial map for each participant, condition,

and IC, that indicated the degree of covariation between individual voxels and the IC time series.

To quantify the correspondence between the spatial modes and ICA-based 'resting-state

networks', we first repeated the procedure described in the section *Imaging the Spatial Modes* above,

but now on the data concatenated across the two runs runs per participant. The purpose of this

concatenation procedure was to create spatial maps that were independent of the drug condition,

similar to the ICs. We then correlated, across voxels, the spatial modes with the selected ICs,

separately for each participant. We finally compared the distribution of Fisher r-to-Z transformed

correlation coefficients to zero using a two-tailed t-test.

We also determined if standard eigenvalue decomposition identified similar spatial patterns to

the more commonly used ICA. We first produced voxel-level spatial maps of the modes that were

derived from eigenvalue decomposition of AAL atlas-level covariance in the individual conditions,

using multiple temporal regression. We then selected modes based on maximal spatial correlation

with the 10 intrinsic connectivity networks reported by Smith et al. (2009), similar to the selection of

ICA components described above. Finally, we examined the strength of correlation between the

selected voxel-level spatial mode maps and the intrinsic connectivity networks reported by Smith et

al. (2009).

Similarity between spatial modes from different parcellation schemes

We used spatial correlation to determine if the generalized eigenvalue decomposition-derived

mode spatial maps depended on the parcellation scheme. For each individual participant and

condition, we correlated the (unthresholded) spatial maps of regression coefficients of the modes that

were generated with the AAL atlas, and those that were generated with the Craddock atlas. We then

compared the distribution of Fisher-transformed correlation coefficients to zero using a two-tailed t-

test. Similarly, we characterized the correspondence in mode spatial maps between the individual

conditions by correlating the unthresholded spatial maps at the individual participant level, and

comparing the resulting distribution of Fisher-transformed correlation coefficients to zero using a

two-tailed t-test.

Similarity between spatial modes and catecholamine receptor expression maps

We used a dataset provided by the Allen Brain Institute (Hawrylycz et al., 2012; Hawrylycz

et al., 2015) (http://www.brain-map.org/) to quantify the similarity between the spatial modes

(computed based on signal fluctuations as described above) and the spatial maps of the expression of

specific catecholamine receptors. The Hawrylycz et al. (2015) dataset comprised post-mortem

samples of 6 individuals that underwent microarray transcriptional profiling. Spatial maps of each

sample's gene transcription profile were available in MNI space, following improved non-linear

registration as implemented by Gorgolewski et al. (2014). We selected the 14 available catecholamine

receptor-related genes and grouped them into 5 classes according to functional receptor type:

adrenergic receptor α_1 (ADRA1A, ADRA1B, ADRA1D); adrenergic receptor α_2 (ADRA2A,

ADRA2B, ADRA2C); adrenergic receptor β (ADRB1, ADRB2, ADRB3); and dopaminergic 'D$_1$-

like' (DRD1, DRD5) and 'D$_2$- like' (DRD2, DRD3, DRD4) receptors (Cools and van Rossum, 1976;

Surmeier et al., 2007; Arnsten, 2011). Furthermore, to examine the specificity of the spatial similarity

measures for catecholamine receptors, we used an additional 16 genes related to acetylcholine

receptors as a reference. Those were grouped into two classes, again according to functional receptor

type: nicotinic acetylcholine receptor (ACh$_N$) (CHRNA2, CHRNA3, CHRNA4, CHRNA5, CHRNA6,

CHRNA7, CHRNA9, CHRNA10, CHRNB2, CHRNB3, CHRNB4) and muscarinic acetylcholine

receptor (ACh_M) (CHRM1, CHRM2, CHRM3, CHRM4, CHRM5).

Spatial similarity (i.e., correlation) between gene expression and spatial modes (imaged at the

single-voxel level, see above) was computed on an individual participant basis by linear regression

across sequenced parcels. Because the post-mortem samples differed in the coverage of sequenced

parcels, we repeated this procedure for each individual post-mortem sample. A *t*-test was then

conducted across samples to obtain a test statistic that quantified the robustness of the spatial

correlation across the 6 post-mortem samples (Gorgolewski et al., 2014). For each of our participants,

we then collapsed across genes within each receptor class (α_1, α_2, β, D_1-like, D_2-like, ACh_N, and

ACh_M). To assess the robustness of correlations across our participants (in addition to within

participants across samples), we compared the distribution of *t*-statistics of the catecholamine

receptors to the *t*-statistics of the acetylcholine receptors, as well as against zero, by means of non-

parametric permutation testing (10,000 iterations). Significant differences of *t*-values were indicative

of a relationship between the expression of specific catecholamine receptors and the spatial

distribution of the modes that was reliable across both post-mortem samples and across our

participants.

As expected, acetylcholine receptors were unrelated to the spatial mode maps (ACh_N and

spatial mode atomoxetine > placebo: $p = 0.28$; for ACh_N and spatial mode placebo > atomoxetine: p

$= 0.15$; for ACh_M and spatial mode atomoxetine > placebo: $p = 0.26$; for ACh_M and spatial mode

placebo > atomoxetine: $p = 0.73$). Moreover, there were no significant differences between the

muscarinic and nicotinic acetylcholine receptors (spatial mode atomoxetine > placebo versus spatial

mode placebo > atomoxetine, ACh_N,: $p = 0.07$; ACh_M, $p = 0.31$). We thus collapsed across

acetylcholine receptors and used this summary statistic as reference for testing the mode vs. receptor

map associations for the catecholamine receptors

Code availability

MATLAB code to compute spatial modes and run statistical analyses of mode variance can

be found here ([link inserted upon acceptance]).

RESULTS

The aim of the present study was assess the spatial distribution of catecholaminergic modulation of large-scale brain dynamics and relate it to the spatial distribution of catecholamine receptors. To this end, we imaged atomoxetine-induced alterations (increases and decreases) in the strength of correlated fMRI signal fluctuations across the whole human brain, and related the resulting spatial maps (referred to as 'spatial modes', see Materials and Methods) to maps of catechacholamine receptor gene expression derived from post-mortem brains (Hawrylycz et al., 2012; Hawrylycz et al., 2015). We used a linear decomposition approach, which we previously validated by means of fMRI retinotopic mapping protocols (Donner et al., 2013), and which was tailored to finding the two spatial modes that fluctuated more strongly (referred to as 'atomoxetine > placebo') or less strongly ('placebo > atomoxetine') during the atomoxetine condition than during the placebo condition (Figure 1 and Materials and Methods). This analysis enabled imaging the brain-wide distribution of the strongest catecholamine-induced increases and decreases in correlated signal fluctuations, thus assessing their fine-grained neuroanatomical distribution. Furthermore, the analysis enabled us to quantify the similarity between the spatial modes that captured catecholaminergic modulation of brain dynamics on the one hand, and the spatial distribution of the expression of specific catecholamine receptor genes on the other hand. The latter was taken from a dataset provided by the Allen Brain Institute (Hawrylycz et al., 2012; Hawrylycz et al., 2015).

The Results section is organized as follows. We first describe the spatial modes that show the strongest drug-induced changes (increases and decreases) in correlated signal fluctuations. We then evaluate the relationship between both of these spatial modes and catecholamine receptor gene expression maps. Finally, we present a number of control analyses that support the specificity and validity of the spatial modes of drug-related changes in brain dynamics.

Spatial modes fluctuating more strongly during atomoxetine than placebo

Our previously published analyses of the same data (van den Brink et al., 2016) identified only *reductions* in strength of inter-regional fMRI signal correlations. Our current approach

uncovered a distributed pattern (i.e., spatial mode) of correlated signal fluctuations that *increased*

under atomoxetine (Figure 2). The eigenvalues all 90 spatial modes (as many as brain regions in the

AAL atlas) for the atomoxetine > placebo comparison are shown in Figure 2a (see Figure 2-1 for the

Craddock atlas). Here, we focused on analyzing the first of these spatial modes (Figure 2b) because it

had the largest eigenvalue, thus exhibiting the strongest increase in fluctuation amplitude during the

atomoxetine condition, and because mode orthogonality can obscure the interpretation of modes with

higher ranks (c.f. Donner et al., 2013).

The spatial mode was comprised of a set of weights (one value per brain region in the

parcellation scheme) that indicated relative cofluctuation between brain areas (Figure 2b). Please note

that the overall sign of mode weights was arbitrary, but the sign of one element with respect to

another indicated their relative phase, with equal signs indicating positive correlation and unequal

signs indicating negative correlation. The mode displayed maxima (both positive and negative) in

bilateral middle frontal gyri, bilateral anterior cingulate cortices, right lingual gyrus and postcentral

gyrus, left calcarine fissure and surrounding cortex, and in the left supplementary motor area. Across

the brain, the weights were anti-correlated between hemispheres ($r = -0.56$, $p < 0.001$) such that if the

mode weight of one brain region was positive then the weight of the homotopic region in the other

hemisphere tended to be negative. This suggests that this spatial mode possibly reflected an increase

in the mutual inhibition between hemispheres.

The spatial mode shown in Figure 2b was a coarse (atlas-level) representation of the spatial

distribution of the corresponding brain dynamics, which was necessary for technical reasons

(Materials and Methods). Regressing the time series of the fluctuation of this spatial mode onto each

participant's multivariate data enabled us to image this spatial distribution at a finer (voxel-level)

granularity, as well as test for the consistency of the expression of the corresponding spatial mode

across participants within individual conditions (Figure 2c). This analysis yielded a single significant

cluster (superior frontal gyrus) for the placebo condition, and a number of significant clusters (36% of

all brain voxels) for the atomoxetine condition. Cluster maxima were located in bilateral anterior

cingulate cortex, right medial frontal gyrus, right lingual gyrus, left precentral gyrus, left lateral

occipital cortex, and bilateral supramarginal gyri.

Figure 2. Spatial mode that fluctuated more strongly during atomoxetine than placebo.
a, Eigenvalue spectrum of all spatial modes from generalized eigenvalue decomposition of covariance
matrices for atomoxetine > placebo (AAL atlas, see Materials and Methods). Black, eigenvalue of the
first spatial mode. *b,* Distribution of spatial mode weights visualized on cortical surface
reconstruction. *c,* Voxel-level map of significant expression of first spatial mode per condition ($p <$
0.05, FWE corrected). *d,* Comparison of percentage of variance explained by spatial mode from panel
b in the atomoxetine and placebo conditions. Independent data were used for computing the spatial
mode and assessing the variance explained. *e,* ROC-analysis of discriminability of conditions based
on fluctuation amplitude of the first spatial mode within short data segment (~114 s, again
independent of data used for computing the mode; see Materials and Methods). ROC indices > 0.5
indicate that the spatial mode fluctuation predicts the condition. See Figure 2-2 for complete maps
without statistical threshold. Error bars, SEM across participants (N=24). **, $p < 0.01$; ***, $p < 0.001$.
See Figure 2-1 for spatial modes derived from Craddock atlas.

To determine if the spatial mode corresponded to any of the so-called 'resting-state

networks', as defined with commonly used ICA approaches (Beckmann, 2009; Smith et al., 2009), we

correlated the spatial mode with each of the 10 selected ICA components (see Materials and Methods)

for each individual participant. This yielded a weak, albeit statistically significant, correlation of the

spatial mode with the right-lateralized frontoparietal ICA component (mean $r = -0.05$, SD 0.03; $t(23)$

$= -7.89$, $p < 0.001$). Taken together, our analysis revealed a pattern of signal fluctuations that were

enhanced under atomoxetine, which exhibited a highly structured spatial organization (Figure 2c), but

only loosely resembled any of the established resting-state networks defined using standard ICA-

based analyses of correlated signal fluctuations irrespective of pharmacological intervention. In the

section *Spatial Modes Reflect Gene Expression of Catecholamine Receptors*, we link this spatial

organization to the distribution of specific catecholamine receptors across the brain.

We finally verified, using cross-validated procedures (Materials and Methods), the robustness

and reliability of the fluctuations captured by the spatial mode: The fluctuation strength of the spatial

mode was consistently larger in the atomoxetine than placebo condition ($p < 0.001$; Figure 2d), and it

reliably discriminated between the two pharmacological conditions, even on the basis of short

individual data segments (group average ROC index $= 0.62$, $p = 0.002$; Figure 2e).

Spatial modes fluctuating less strongly during atomoxetine than placebo

While our previous work identified catecholamine-related reductions in the overall strength of

correlated signal cofluctuations (van den Brink et al., 2016), the analyses used there were not suited to

image the fine-grained neuroanatomical structure of these decreases. By contrast, our current

decomposition approach suited this purpose, and it uncovered a widespread set of brain regions

between which cofluctuations were suppressed by atomoxetine (Figure 3). The first spatial mode

resulting from this decomposition (again selected based on its largest eigenvalue, Figure 3a) had local

maxima and minima in homotopic regions of both hemispheres (Figure 3b), with an even stronger

overall negative correlation between hemispheres ($r = -0.79$, $p < 0.001$) than evident for the spatial

mode for atomoxetine-induced increases (compare to Figure 2b). This effect might indicate an

catecholamine-induced reduction in inter-hemispheric competition.

Importantly, this spatial mode for placebo > atomoxetine was uncorrelated (r = -0.013, p =

0.88) with the one for atomoxetine > placebo (Figure 2b). Thus, the spatial modes resulting from both

Figure 3. Spatial mode that fluctuated less strongly during atomoxetine than placebo.
a, Eigenvalue spectrum of all spatial modes from generalized eigenvalue decomposition of covariance
matrices for placebo > atomoxetine. Black, eigenvalue of the first spatial mode. ***b,*** Distribution of
spatial mode weights visualized on cortical surface reconstruction. ***c,*** Voxel-level map of significant
expression of first spatial mode per condition ($p < 0.05$, FWE corrected). ***d,*** Comparison of percentage
of variance explained by spatial mode in (panel *b*) in the atomoxetine and placebo conditions. ***e,***
ROC-analysis of discriminability of conditions based on fluctuation amplitude of the first spatial
mode within short data segment. See Figure 2-2 for complete maps without statistical threshold. Error
bars, SEM across participants (N=24). ***, $p < 0.001$. See Figure 3-1 for spatial modes derived from
Craddock atlas.

decompositions reflected distinct sets of brain regions, in which the direction of catecholaminergic

effects on cofluctuation strength was opposite.

Again, we imaged the fine-grained (voxel-level) distribution of this fluctuation pattern within

individual conditions. This revealed a large proportion of significant voxels (51% of all brain voxels)

in the placebo condition (Figure 3e, Figure 3-1). The spatial mode exhibited local maxima or minima

in regions of the so-called 'default mode' and 'attention networks' (Fox et al., 2006; Smith et al.,

2009): bilateral temporal poles, medial frontal, lateral occipital, and posterior cingulate cortices, and

in bilateral paracingulate, precentral, superior frontal, supramarginal, and paracingulate gyri. Indeed,

the spatial mode weakly, but significantly and most strongly, resembled the left-lateralized

'frontoparietal' ICA component (mean $r = -0.15$, SD 0.05; $t(23) = -16.33$, $p < 0.001$). Given that the

first spatial mode in the decomposition atomoxetine > placebo correlated most strongly with the right

lateralized frontoparietal network, this suggested that atomoxetine resulted in a shift from left- to

right-lateralized frontoparietal dominance. A significant interaction in the strength of correlation

between mode polarity (atomoxetine-induced increase versus decrease) and ICA component

(frontoparietal left versus right) suggested that this was indeed the case (repeated-measures ANOVA;

$F(1,23) = 163.14$, $p < 0.001$). Other significant correlations were evident for the 'default mode' (mean

$r = -0.15$, SD 0.05; $t(23) = -14.19$, $p < 0001$) and 'sensorimotor' (mean $r = 0.13$, SD 0.04; $t(23) =$

17.41, $p < 0.001$) ICA components.

The fluctuation of this spatial mode was a consistent and reliable indicator of the drug

condition across participants, even for short segments of data (comparison of mode variance between

atomoxetine and placebo: $p < 0.001$; group average ROC index $= 0.62$, $p = 0.002$; Figure 3d,e).

Spatial modes reflect gene expression of catecholamine receptors

Our analyses thus far established that atomoxetine both increased and decreased spontaneous

signal fluctuations in two distinct sets of widely distributed brain regions. How can the systemic

atomoxetine-induced increase in catecholamine levels lead to regionally specific, and even opposite-

polarity modulations of brain dynamics? An attractive possibility is that such heterogeneous

functional effects are mediated by the heterogeneous distribution of catecholamine receptors across

the brain (Ramos and Arnsten, 2007). To test this idea, we quantified the spatial similarity between

spatial modes and maps of the expression of genes encoding a variety of catecholamine receptors.

Gene maps were taken from human post-mortem samples from the Allen Brain Institute

(Hawrylycz et al., 2012; Hawrylycz et al., 2015) and examples are shown in Figure 4a. We used two

references for assessing the significance of spatial correlations (Materials and Methods): (i) zero, and

(ii) correlations with maps of genes coding for acetylcholine receptors. We chose acetylcholine

because it is another neuromodulaory system with a similar functional organization of the NE system,

but had no relation

Figure 4. Associations between spatial modes and catecholamine receptor gene expression.
a, Correlation between individual spatial modes (*i*) and 6 post-mortem samples (*s*). This procedure
was repeated for each gene. See Materials and Methods for details. **b,** Correlations between spatial
modes and catecholamine receptor gene expression maps. Within-mode significance is assessed by
comparison to acetylcholine receptors. Figure 4-1 shows the correlations for analysis confined to the
cortex and comparison with zero rather than acetylcholine. AR, adrenoreceptor; ACh, acetylcholine
receptor. *, $p < 0.05$; **, $p < 0.01$. **c,** Relationship of spatial mode vs. catecholamine gene associations
between both spatial modes (i.e., from Figures 2b and 3b). Error bars, SEM (N=24 participants).

to our drug manipulation. As expected, the distributions of acetylcholine receptors were uncorrelated

with the spatial mode maps and there were no significant differences between the muscarinic and

nicotinic acetylcholine receptors (see Materials and Methods). The correlation coefficients collapsed

across acetylcholine receptors (within participants) were used as reference for testing the mode vs.

receptor map associations for the catecholamine receptors.

The analysis revealed a specific, and distinct, association pattern for both spatial modes

identified here (Figure 4b). First, the spatial mode that fluctuated more strongly in the atomoxetine

than the placebo condition was associated with the genetic expression map for the D_2-like dopamine

receptors. Second, by contrast, the spatial mode that fluctuated more strongly in the placebo than

atomoxetine condition was associated with genetic expression map for the adrenergic α_1 receptor.

Third, both spatial modes were associated with the adrenergic β receptor gene maps, but with opposite

sign.

Indeed, the spatial mode vs gene map associations were negatively correlated between the

two spatial modes assessed here (Figure 4c). In other words, the more similar (dissimilar) the spatial

distribution of a particular catecholamine receptor gene was to the spatial mode that showed the

atomoxetine-induced *increase* in fluctuations, the more dissimilar (similar) this distribution was to the

spatial mode that showed the atomoxetine-induced *reduction* in fluctuations. This was despite the fact

that the spatial modes per se were unrelated to one another (see above, section *Spatial Modes*

Fluctuating Less Strongly during Atomoxetine than Placebo).

All these associations were also significant when compared to zero rather than to the overall

association with acetylcholine receptor maps (Figure 4-1a). Furthermore, similar results were

obtained when the analysis was confined to the cortex rather than the whole brain (Figure 4-1b),

except that the association between adrenergic β receptor maps and the spatial modes were no longer

significant (spatial mode for placebo > atomoxetine: $p = 0.07$; spatial mode for atomoxetine >

placebo: $p = 0.422$), but only the difference between these two associations was significant (difference

between modes: $p = 0.049$). These latter findings are consistent with the relatively high expression of

β receptors in subcortical areas compared to cortical areas (Rainbow et al., 1984; Reznikoff et al.,

1986; Joyce et al., 1992; van Waarde et al., 1997).

In sum, the spatial association analyses reported here link the brain-wide distribution of

catecholaminergic effects on large-scale neural dynamics to the distribution of different

catecholamine receptor types, with important implications for understanding the principles of

cathecholaminergic modulation (see Discussion). In the remainder of Results section, we present a

number of control analyses, which corroborated the specificity and validity of the interpretation of our

main findings.

Control 1: Spatial modes reflect drug-induced, not session-related, differences in signal

fluctuations

The linear decomposition analysis performed here, by design, returned a spatial mode of

which the fluctuation strength differed between the two conditions that were used to calculate the

spatial mode (here: atomoxetine and placebo). Our reliability analyses established that the two spatial

modes shown in Figures 2b and 3b accurately discriminated between pharmacological conditions,

even in short stretches of data independent from the ones used to identify the modes (Figures 2d,e and

3d,e). This establishes that both spatial modes captured meaningful alterations of brain dynamics,

rather than measurement noise. Nevertheless, they may have reflected changes in brain dynamics that

differed systematically between the placebo and atomoxetine sessions, without reflecting specific

Specifically, because both sessions took place one week apart, it was possible that the spatial modes

might have reflected the session rather than the treatment.

We addressed this concern by analyzing the pre-pill ingestion fMRI measurements that took

place on the same days. We projected the spatial modes onto the multivariate fMRI data of the pre-pill

measurements and calculated the strength of the fluctuation of the resulting time series (variance

explained, see eqn. 6 in the Materials and Methods). If the spatial modes reflected changes in brain

dynamics that were specifically due to the catecholaminergic intervention rather than to session

differences, then (i) their fluctuation amplitudes should differ more for the post-pill measurements

than for the pre-pill measurements, and (ii) spatial modes computed in an analogous fashion for the

pre-pill ingestion conditions should exhibit a different spatial structure from the spatial modes we

Figure 5. Results of control analyses examining mode specificity
a, Percentage of variance explained by the AAL atlas-derived first modes in relation to the percentage of variance explained when the modes were projected onto the pre pill ingestion measurements. Error bars, SEM across participants (N=24). Asterisks denote interaction effects of the contrast (atomoxetine vs. pre atomoxetine) vs (placebo vs pre placebo): **: $p < 0.01$; ***: $p < 0.001$. *b*, Threshold-free spatial maps of AAL atlas-derived modes that were generated using only the pre pill ingestion conditions. Maps are shown only for the pre placebo condition for brevity. Colored regions show covariation with the mode time series. See Figure 5-1 for the Craddock atlas-derived modes.
effects of drug intake.

investigated so far. That is what we found (Figure 5, Figure 5-1). First, the interaction contrast

(atomoxetine - pre atomoxetine) - (placebo - pre placebo) was significant, in the expected direction

for both spatial modes analyzed here (Figure 5a). Second, the spatial modes that were computed for

the pre-pill measurements (Figure 5b) did not resemble those from the post-pill measurements

(compare with Figures 2b and 3b), with no significant spatial correlations (all absolute r values <

0.06, all *p* values > 0.60). Taken together, these control analyses rule out session-related effects as a

confound and further establish that the spatial modes assessed in the previous sections reflected drug-

induced changes in brain dynamics.

Control 2: Craddock parcellation yields similar results as AAL parcellation

In order to rule out that our results depended on the specific anatomical parcellation scheme

used for computing the spatial modes (AAL), we repeated the analyses using an alternate atlas that

resulted from a functional parcellation and had a higher density (Craddock et al., 2012). Both

resulting spatial modes explained more variance in one condition than in the other, in the expected

direction (atomoxetine > placebo: $p < 0.001$, Figure 2-1d; placebo > atomoxetine: $p < 0.001$, Figure 3-

1d). Again, these effects were reliable at the level of independent and short (~114 s) data segments

(atomoxetine > placebo: $p < 0.001$, Figure 2-1e; placebo > atomoxetine: $p < 0.001$, Figure 3-1e).

Thus, the Craddock parcellation also yielded spatial modes that reliably differed between the two

pharmacological conditions in terms of fluctuation strength.

The resulting spatial modes were also similar to the ones from our main analyses in terms of

their spatial structure. To establish this, we again imaged the expression of the spatial mode time

series across all brain voxels and compared the resulting map to the corresponding map from the AAL

parcellation in our main analyses (Figure 2-2, Figure 3-2). Despite using parcellation schemes that

differed both in the number of brain regions and in the way the brain regions were defined

(anatomical parcellation and functional clustering, respectively), the mode spatial maps generated

with the two atlases corresponded robustly across participants for the spatial mode atomoxetine >

placebo (placebo: $t(23) = 3.96,\ p < 0.001$; atomoxetine: $t(23) = 3.98,\ p < 0.001$, Figure 2-2).

Moreover, the spatial modes, imaged at single-voxel level, correlated between drug conditions (AAL

atlas: $t(23) = 6.93, p < 0.001$; Craddock atlas: $t(23) = 14.89, p < 0.001$; Figure 2-2). This was also the

case for the spatial mode placebo > atomoxetine: spatial modes correlated across atlases (placebo:

$t(23) = 10.43, p < 0.001$; atomoxetine: $t(23) = 9.54, p < 0.001$; Figure 3-2) and drug conditions (AAL:

$t(23) = 15.57, p < 0.001$; Craddock: $t(23) = 14.89, p < 0.001$; Figure 3-2). In sum, the Craddock atlas-

derived modes yielded similar results in terms of direction and significance of effects as well as

spatial structure of the resulting spatial modes.

Control 3: Artifacts in global signal do not account for main results

Recent findings have suggested that the global MRI signal may contain artifacts that are

related to various non-neural sources, and these artifacts are not effectively removed by standard

preprocessing techniques (Power et al., 2017). Such artifacts may have caused spurious differences

between conditions in the structure of inter-regional covariance. We therefore applied global signal

(the mean of all regional time series) regression to the regional BOLD time series prior to computing

covariance matrices, and repeated our key spatial mode decomposition analyses.

For the decomposition atomoxetine > placebo, the percentage variance explained of mode 1

differed between conditions and in the expected direction (AAL: $t(23) = 4.45$, $p < 0.001$, ROC index

= 0.64, $t(23) = 6.88$, $p < 0.001$; Craddock: $t(23) = 4.55$, $p < 0.001$, ROC index = 0.69, $t(23) = 7.54$, p

< 0.001). For the decomposition placebo > atomoxetine the percentage variance explained of mode 1

also differed between conditions and in the expected direction (AAL: $t(23) = -5.15$, $p < 0.001$, ROC

index = 0.63, $t(23) = 8.97$, $p < 0.001$; Craddock: $t(23) = -6.23$, $p < 0.001$, ROC index = 0.63 $t(23) =$

7.06, $p < 0.001$). Moreover, the spatial structure of the modes that included global signal regression

was similar to that of the modes that did not include global signal regression, as indicated by

significant correlations between mode weights (all r values > 0.42, all p values < 0.001). Thus, our

findings were unlikely to be driven by spurious differences between conditions relating to artifacts in

the global signal.

Control 4: Differences in peripheral physiology do not account for main results

Because atomoxetine significantly increased both heart rate and breath rate (atomoxetine vs

placebo: heart rate: $t(23) = 3.24$, $p = 0.004$; breath rate: $t(23) = 3.02$, $p = 0.006$), it is possible that the

RETROICOR denoising procedure operated differently in the atomoxetine and placebo conditions,

thereby conceivably introducing spurious changes in the structure of inter-regional covariance. We

therefore repeated the spatial mode decomposition analyses on data to which no RETROICOR had

been applied. For both atlases and for both decomposition directions, all between-condition

comparisons of variance explained by the modes were significant and in the expected direction (AAL,

atomoxetine > placebo: $p < 0.001$; ROC index: 0.62, $p < 0.001$; Craddock, atomoxetine > placebo: $p <$

0.001; ROC index: 0.63, $p < 0.001$; AAL, placebo > atomoxetine: $p < 0.001$; ROC index: 0.62, $p <$

0.001; Craddock, placebo > atomoxetine: $p < 0.001$; ROC index: 0.63, $p < 0.001$). Moreover, to

examine if the modes that resulted from decomposition of non-RETROICOR-corrected data were

similar in spatial structure to the modes that resulted from decomposition of RETROICOR-corrected

data, we correlated the mode weights between the RETROICOR-corrected and non-corrected modes.

All correlations were significant, (all r values > 0.47, all *p* values < 0.001), thus ruling out the

possibility that the modes reflected between-condition differences in peripheral physiology.

Control 5: Eigenvalue decomposition identifies similar networks as ICA

When applied to our dataset, ICA identified components, shown in Figure 6, that

corresponded well with so-called 'resting-state networks' previously obtained from ICA of fMRI data

(Smith et al., 2009). We verified that the linear decomposition approach used here identified similar

spatial patterns (Figure 6-1 and 6-2). To this end, we selected voxel-level mode maps based on

maximal spatial correlation with the 10 intrinsic connectivity networks reported by Smith et al.

(2009). For the placebo condition, the average correlation coefficient was 0.41 (SD 0.12, min 0.16,

max 0.56), and for the atomoxetine condition the average correlation coefficient was 0.40 (SD 0.12,

min 0.15, max 0.53). Similar results were obtained with the Craddock atlas.

Figure 6. Spatial maps of the independent components that were selected based on spatial correlation
with the 10 canonical resting-state networks presented by Smith et al. (2009).
Spatial maps were visualized with BrainNet Viewer (Xia et al., 2013). See Figure 6-1 and 6-2 for eigenvalue
decomposition-derived intrinsic connectivity networks.

DISCUSSION

Catecholamines are important regulators of behavior and have profound effects on physiological brain states, and play a key role in several mental disorders (Montague et al., 2004; Aston-Jones and Cohen, 2005; Robbins and Arnsten, 2009; McGinley et al., 2015). A substantial body of work has characterized the catecholaminergic modulation of single neuron activity (Berridge and Waterhouse, 2003; Winterer and Weinberger, 2004) or micro-circuit operations (Marder, 2012; Polack et al., 2013). Fewer studies have assessed the catecholaminergic modulation of large-scale brain network dynamics. A handful of pharmacological fMRI studies in monkeys and humans have shown that catecholamines alter the strength of signal cofluctuations between distant brain regions (Hermans et al., 2011; Guedj et al., 2016; van den Brink et al., 2016; Warren et al., 2016; Hernaus et al., 2017). While 'resting-state' studies have reported catecholamine-induced decreases in the overall strength of inter-regional correlations (van den Brink et al., 2016; Guedj et al., 2017), task-based studies have reported increases in correlation strength (Warren et al., 2016; Hernaus et al., 2017), or the converse for noradrenergic antagonism (Hermans et al., 2011). Critically, the brain-wide distribution of these modulatory effects has so far remained unknown.

Here, we imaged the brain-wide distribution of catecholamine-induced changes in intrinsic signal cofluctuations across the human brain at 'rest' and related the resulting spatial patterns of brain dynamics to the brain-wide distribution of specific catecholamine receptors. We thus applied an analysis approach tailored to delineate the spatial patterns of both drug-induced increases and decreases in cofluctuation strength (Figure 1) to 'resting-state' fMRI data from a placebo-controlled atomoxetine intervention. This uncovered two distinct, and widely distributed, sets of brain regions (Figures 2 and 3), each of which showed a distinct spatial correspondence with the brain-wide distribution of catecholamine receptor genes (Figure 4). Our results establish that the functional impact of catecholamines on brain network dynamics exhibits remarkable spatial specificity, rather than being homogenous across the brain as assumed in current models of neuromodulation. Our results bridge between the endogenous modulation of large-scale brain network dynamics and the low-level properties of the underlying neurotransmitter systems.

The catecholaminergic system is equipped with a large variety of receptor types, which are

non-uniformly distributed across the cortex (Zilles and Amunts, 2009; Nahimi et al., 2015; Salgado et

al., 2016). These receptors have dissociable effects on neural activity (McCormick et al., 1991;

Robbins and Arnsten, 2009; Noudoost and Moore, 2011; Salgado et al., 2016). In particular, α_1 and β

receptors have relatively low affinity for NE and are therefore activated only at relatively high

synaptic NE levels (e.g., due to stress); these receptors seem to weaken cortical circuit interactions

(Ramos and Arnsten, 2007). It is tempting to related this to our current observation that the spatial

distribution of these receptors was specifically associated with the spatial mode placebo >

atomoxetine – that is, a catecholamine-induced *suppression* of correlated activity fluctuations. By

contrast, the spatial mode atomoxetine > placebo was associated with the expression of D_2-like

receptors. These receptors have been associated with cortical disinhibition (Seamans et al., 2001;

Winterer and Weinberger, 2004). Of note is that D_4 receptors, members of the D_2-like family, also

show particularly high affinity for NE (Arnsten, 2011). Combined, our findings suggest that the

diversity in distribution and function of catecholamine receptors may be responsible, at least in part,

for the heterogeneous modulations of cortical activity fluctuations observed here.

This insight is in accordance with the emerging view of the LC-NE system as a more specific

regulator of brain-wide neural interactions than traditionally assumed. In addition to the receptor

heterogeneity across the brain that we focused on here, recent results indicate that the ascending

projections of the LC are more spatially specific than once thought (Chandler and Waterhouse, 2012;

Chandler et al., 2014; Schwarz and Luo, 2015; Schwarz et al., 2015; Uematsu et al., 2015; Kebschull

et al., 2016; Uematsu et al., 2017). Furthermore, anatomically distinct subpopulations of LC neurons

mediate opposite behavioral effects (Uematsu et al., 2017), and could thus also affect the underlying

neural interactions in dichotomous ways.

In our previous work, we identified atomoxetine-related reductions in the strength of

cofluctuations at the whole-brain level (van den Brink et al., 2016). Other fMRI work has revealed

similar global changes of the strength of inter-regional correlations, due to pupil-linked arousal (Eldar

et al., 2013; Warren et al., 2016) and pharmacological manipulation of catecholamine levels

(Hermans et al., 2011; Warren et al., 2016), and concurrent alterations in the topological properties of

whole-brain connectivity (Shine et al., 2016; Shine et al., 2017). At first glance such broad and

unitary modulations of cofluctuation strength may appear to be at odds with the opposing atomoxetine

effects in different sets of brain regions that we identified here. However, the analysis approach we

employed here was specifically tailored to delineate the *predominant* catecholamine-induced changes

in fluctuations. Thus, our findings do not rule out the possibility of spatially homogenous modulations

of signal fluctuation strength due to catecholamines – they only show that such potential global

effects accounted for a smaller proportion of variance in the data than the spatially-specific

catecholamine-related changes focused on here. Our current findings should thus be viewed as

complementary to previous work, offering a detailed view of the predominant aspects of

catecholamine-modulated cofluctuations.

The brain-wide effects of a manipulation of the catchelomanergic system observed here stand

in striking contrast to the recently reported effects of a cholinergic manipulation (deactivation of the

nucleus basalis). The latter attenuates the so-called "global MRI signal" (i.e., averaged across all gray

matter voxels) at rest while leaving the structure of specific resting-state networks relatively unaltered

(Turchi et al., 2018). Instead, we found that the catecholamine-induced effects are heterogeneous,

affecting specific functional networks (Figure 2 and Figure 3). Thus, the catecholaminergic and

cholinergic systems – despite similarly widespread ascending projections – may have dissociable

influences on large-scale brain activity.

Also noteworthy is that both spatial modes exhibited a negative correlation between

homotopic brain regions from both hemispheres. Similar left-right asymmetries in endogenous NE

concentration (Oke et al., 1978) and noradrenergic modulations of cortical cofluctuations (Grefkes et

al., 2010) have previously been reported. The 'bilaterally-opponent' structure we observed (Figure 2b,

Figure 3b) may have resulted from modulation of the inter-hemispheric anatomical connectivity, as

homotopic brain regions are strongly interconnected (Segraves and Rosenquist, 1982; Lim et al.,

2012). Indirect connections between homotopic regions, via third brain regions, might also play a

role. In this scenario, catecholamines simply modulated the functional efficacy of the structural

connectome (in opposite ways for the two spatial modes). Another (non-mutually exclusive)

possibility is that this bilaterally-opponent structure resulted from the spatial structure of the

ascending projections from the left and right LC to the cortex in the corresponding hemisphere. In

addition, atomoxetine tended to shift signal fluctuations from predominantly left-lateralized to

predominantly right-lateralized frontoparietal networks, an observation corroborated by correlation

with ICA-based 'resting state networks'. Indeed, right-lateralized frontoparietal regions might be

particularly susceptible to NE-influences and involved in goal-oriented stimulus processing (Corbetta

and Shulman, 2002; Corbetta et al., 2008). It is tempting to speculate (participants were not engaged

in a task) that our current results indicate a shift towards goal-oriented stimulus processing under

atomoxetine, a hypothesis that could be tested in future work.

The current study showcases the utility of generalized eigenvalue decomposition for the

analysis of pharmacological resting-state fMRI data. One of its primary advantages over conventional

analysis techniques (e.g. dual regression, (Beckmann, 2009)) is that it does not require an *a priori*

selection of functional networks, but instead yields the spatial modes that show the strongest drug

effects. Thus, it increases the sensitivity to potentially more subtle drug-related changes, as evidenced

by the atomoxetine-induced increases in correlated fluctuations that were not identified in our

previous study (van den Brink et al., 2016). Another key advantage is that generalized eigenvalue

decomposition enables imaging the functional networks most affected, at a fine-grained spatial

resolution. Nevertheless, the approach also has limitations. First, even though we demonstrated

robustness of results across two particular parcellation schemes, the resulting spatial modes might

differ for other parcellation schemes, in particular those of radically different densities. Second, the

approach requires focusing on one or a few out of the large number of spatial modes yielded by the

decomposition. Third, the approach can only be used to compare correlated signal fluctuations

between two conditions (or groups), limiting its applicability for more complex (e.g., longitudinal)

study designs.

In sum, we have shown that catecholamines increase and decrease the strength of intrinsic

signal fluctuations within two distinct sets of distributed brain regions. These spatially-specific and

opposite-polarity modulations of ongoing brain dynamics mirror the spatial and functional receptor

diversity within the catecholaminergic system. Our findings add to a growing body of work showing

that brainstem neuromodulatory systems dynamically sculpt the landscape of brain network dynamics

around the anatomical backbone, and critically extend this work by highlighting the spatial

heterogeneity of these neuromodulatory effects. Our results provide a reference for understanding

catecholaminergic effects on brain network interactions during task performance, and important

constraints for modeling catecholaminergic effects on the forebrain.

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