High-accuracy Decoding of Complex Visual Scenes from Neuronal Calcium Responses

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47 Abstract

The brain contains billions of neurons defined by diverse cytoarchitectural, anatomical, genetic, and functional properties. Sensory encoding and decoding are popular research areas in the fields of neuroscience, neuroprosthetics and artificial intelligence but the contribution of neuronal diversity to these processes is not well understood. Deciphering this contribution necessitates development of sophisticated neurotechnologies that can monitor brain physiology and behavior via simultaneous assessment of individual genetically-defined neurons during the presentation of discrete sensory cues and behavioral contexts. Neural networks are a powerful technique for formulating hierarchical representations of data using layers of nonlinear transformations. Here we leverage the availability of an unprecedented collection of neuronal activity data, derived from ~25,000 individual genetically-defined neurons of the parcellated mouse visual cortex during the presentation of 118 unique and complex naturalistic scenes, to demonstrate that neural networks can be used to decode discrete visual scenes from neuronal calcium responses with high (~96%) accuracy. Our findings highlight the novel use of neural networks for sensory decoding using neuronal calcium imaging data and reveal a neuroanatomical map of visual decoding strength traversing brain regions, cortical layers, neuron types, and time. Our findings also demonstrate the utility of feature selection in assigning contributions of neuronal diversity to visual decoding accuracy and the low requirement of network architecture complexity for high accuracy decoding in this experimental context.

83 Introduction

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85 Understanding how the brain detects, organizes, and interprets information from the external world is a major question in neuroscience and a critical barrier to the development of high-86 87 performance brain-computer interfaces and artificial intelligence (AI) systems. At a fundamental 88 level, such efforts rely on understanding how sensory information is encoded by the brain and 89 conversely, how this information can be decoded from brain activity. Among our senses, vision is 90 arguably the most important contributor for interacting with our environment. A variety of 91 technologies have been used to observe and deduce visual encoding from neuronal activity 92 responses (Klimesch, Fellinger, and Freunberger, 2011; Machielsen et al., 2000; Vinck, Batista-93 Brito, Knoblich, and Cardin, 2015). However, none of these approaches allow the examination of 94 visual encoding in discrete, genetically-defined neurons. Calcium's presence and role in the 95 nervous system has been studied for decades (Graziani, Escriva, & Katzman, 1965) and was first imaged in vivo relatively recently using fluorescent dyes (Stosiek, Garaschuk, Holthoff, & 96 97 Konnerth, 2003). Genetically-encoded calcium indicators (e.g. GCaMPs) (Nakai, Ohkura, and 98 Imoto, 2001) were later used for monitoring calcium changes in genetically-defined neurons using 99 optical imaging and/or photon detection technologies (Göbel and Helmchen, 2007; Tian et al., 100 2009). It is now generally accepted that GCaMP activity serves as a valid proxy for real-time 101 imaging of in vivo neuronal activity (Huber et al., 2012; Ohki et al., 2005; Resendez and Stuber, 102 2015).

103 Visual decoding from calcium imaging data has a relatively sparse history, with greater 104 prior focus placed on visual decoding of electrophysiological data (Warland, Reinagel, and 105 Meister, 1997; Pillow et al., 2008; for a review, see Quiroga and Panzeri, 2009). Machine learning 106 algorithms, specifically hierarchical neural networks, have been recently developed, that along 107 with matching human performance on object categorization, predicted neuronal responses to 108 naturalistic images in two areas of the ventral stream in nonhuman primates (Yamins et al., 2014). 109 Other studies have also reported impressive performance using conventional (e.g., linear) machine 110 learning architectures. In one study, intracranial field potentials in patients with intractable 111 epilepsy were recorded while images were presented (Quiroga, Reddy, Koch, and Fried, 2007), 112 and mean decoding accuracy across 32 images was reported at 35.4%, with chance being 3.1% 113 (1/32). In another similar study (Liu, Agam, Madsen, and Kreiman, 2009), binary classification 114 accuracy of $\sim 95\%$ was achieved, with $\sim 60\%$ classification accuracy using five classes. In nonhuman primates, single-trial classification accuracies of 82-87% were reported (Manyakov, Vogels, Van Hulle, 2010), and in another study primary visual cortical responses to 72 classes of static gratings were decoded with 60% accuracy (Graf, Kohn, Jazayeri, and Movshon 2011). More recently, perfect decoding accuracy using dorsomedial ventral stream data from nonhuman primates was achieved in a five-class image recognition task (Filippini et al., 2017). Importantly, while some of these prior studies reported high, and in one case, perfect decoding accuracy, none of these prior studies achieved high accuracy with a high number of classes.

122 In vivo neuronal calcium imaging, while requiring substantial video and other downstream 123 processing (Harris, Quiroga, Freeman and Smith, 2016; Peron, Chen, and Svoboda, 2015), enables 124 delineation of neuronal traces using fluorescent signals from discrete, genetically-defined neurons 125 over time, without having to employ simulations. To date, calcium activity has been used to 126 visually decode movie scenes with high accuracy from small numbers of high-responding neurons using nearest mean classification (Kampa, Roth, Göbel, and Helmchen, 2011). In particular, 127 128 Kampa et al. selected high-responding neurons based on correlations between responses in a single 129 trial to other trials for both individual neurons and neuronal populations. In machine learning 130 terminology, this is a biologically-inspired form of feature selection, where specific features are 131 chosen to make a model more parsimonious, easier to interpret, and less likely to overfit. Simple 132 linear classification of calcium responses from larger (~500) populations of neurons was also 133 recently implemented using natural and phase-scrambled movies (Froudarakis et al., 2014). This 134 work demonstrated that total activation of primary visual cortical neurons does not differ between 135 anesthesia and wakefulness, but that population sparseness is heightened during the latter. 136 Froudarakis et al. also showed that this phenomenon enables more accurate visual decoding. 137 Importantly, these prior studies used small numbers of visual stimuli and employed small numbers 138 of recorded neurons. Notably, while the former of the two studies achieved high decoding 139 accuracy, the probability of accurate decoding by random chance was high (i.e., 25%). To our 140 knowledge, high visual decoding accuracy using many unique and complex visual stimuli has not 141 been previously reported.

The implementation of deep neural networks has proven successful for high-accuracy visual classification of images using features such as skin lesions (Esteva et al., 2017), facial recognition (Li et al., 2015), and for deducing the brain's physiological age from MRI scans (Cole et al., 2016). However, deep neural networks have not been applied yet to visual decoding using 146 calcium responses. In most visual classification tasks using deep learning, inputs are images, where 147 classifiers are trained on examples of different image classes and then used to classify a validation 148 set of images from these same classes. In the context of deep learning using calcium imaging data, 149 the inputs are not images but neuronal calcium responses to images. Accordingly, for such data, 150 classifiers are trained on responses to sensory stimuli and consequently are labeled by the sensory 151 stimulus. In this way, when incorporating diverse sources of responses (e.g., brain regions, neuron 152 populations), the differential classification accuracy between these sources can serve as an 153 indicator for how well they process information individually but also collectively as an integrated 154 circuit. Here, the unique advantage of calcium imaging over other modalities is the unique ability 155 to make observations and answer physiological questions by distinguishing specific neuronal types 156 at the individual and population levels. As such, imaging neuronal calcium responses in behaving 157 animals enables the investigation of discrete neurons, neuronal populations, whole brain regions, 158 and brain circuits while retaining the neuron as the fundamental unit composing all these echelons.

159 Exploiting advances in instrumentation, software, genetic engineering, and viral vector-160 based genetic targeting technologies, the Allen Institute for Brain Science recently published an 161 extensive data set of neuron-specific GCaMP6 activity measures (http://observatory.brain-162 map.org/visualcoding; Hawrylycz, et al. 2016). In particular, 597 experiments were conducted 163 using mice from transgenic Cre recombinase-expressing lines co-expressing GCaMP6 in six 164 genetically-defined neuronal types across six regions of the visual cortex (primary (VISp), 165 anterolateral (VISal), anteromedial (VISam), lateral (VISl), posteromedial (VISpm), and 166 rostrolateral (VISrl)) and eleven cortical depths (175, 265, 275, 300, 320, 325, 335, 350, 365, 375, 167 435 µm). GCaMP6 activity, as a function of neuron type, region, and depth, was measured in 168 response to the presentation of several types of visual stimuli. Stimuli included natural scenes, 169 static gratings, drifting gratings, and movie clips. The data collection and analysis methods used 170 for the Allen Brain Observatory (ABO) dataset are available in a whitepaper (Allen Institute for 171 Brain Science, 2017). Raw calcium data along with various corrections for tens of thousands of 172 neurons are made available through the Allen Institute's software development kit (SDK).

Here, we describe the application of supervised machine learning to decoding visual scenes using data from the ABO. We first trained four different machine learning architectures on calcium responses to presentation of 118 unique natural scenes, and then tested the ability of these models to classify calcium responses based on the presented scene. All training and validation was

177	performed in a frame-by-frame manner, beginning with the scene preceding the scene of interest
178	(proximal scene), and training on every frame through the two subsequent (distal) scenes. That is,
179	models were trained and validated on all frames composing the scene preceding the proximal scene
180	(prior scene), the proximal scene itself, the first distal scene, and the second distal scene. Each
181	model was trained on calcium responses from neuronal populations distinguished by neuron type,
182	brain region, and cortical depth in response to all 118 scenes. Further, calcium responses were also
183	distinguished by their response properties in two different ways. The first was a biologically-
184	informed feature selection technique where neurons were selected if they showed a positive mean
185	response across all visual scenes. The second was a conventional feature selection technique,
186	employing different numbers of neurons with the highest ANOVA F-values for the target labels.
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217 Methods

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219 Data collection and segmentation

220 Using the ABO SDK (accessed in June 2016), calcium traces were segmented by region, 221 neuron type, and cortical depth. Protocols showing the time points of visual scene presentation 222 were retrieved for each experiment to label corrected ($\Delta F/F$) GCaMP6 traces recorded from 223 \sim 25,000 neurons of the visual cortex in response to 118 unique natural scenes. In each experiment, 224 calcium responses were measured from individual genetically-defined neurons, in a subregion of 225 the visual cortex, at a single cortical depth. Session-long calcium traces from all individual neurons 226 (Fig. 1A) were segmented by 118 natural scenes each shown 50 times in random order, for a total 227 of 5900 scene presentations. For each experimental condition (i.e., all experiments corresponding 228 to a combination of neuron, region, and cortical depth), a three-dimensional array (Walt, Colbert, 229 and Varoquaux, 2011) was generated where rows, columns, and ranks corresponded to scenes, 230 neurons, and frames respectively (Fig. 1B). Because each of the 118 natural scenes was presented 231 50 times in each experiment, all arrays had 5900 rows. The number of neurons (i.e. columns) 232 varied by experimental condition, but all arrays captured 28 frames, making 28 ranks in the third 233 dimension. These 28 frames represented the 7 frames of the prior scene, the 7 frames of the scene 234 used to label the trace (proximal scene), and the two subsequent, "distal" scenes (Fig. 1C). 40 235 presentations of each scene were used for training and 10 for validation, yielding an 80/20 split 236 where 4720 total presentations were used for training and 1180 for validation. Separate models 237 were trained and validated for each of the 28 frames. For example, at frame 1, networks were 238 trained on 4720 calcium traces at frame 1 and then validated on 1180 calcium traces at frame 1. 239 Each of these traces represented all the neurons in the respective experimental condition at the 240 selected frame. This process was repeated for all 28 frames.

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242 Architectures

We tested four machine learning architectures on calcium trace classification that were implemented in Scikit-learn (Pedregosa et al., 2011) and Keras (Chollet, 2015): a support vector machine (SVM), a shallow neural network (SNN), a deep neural network (DNN), and a convolutional neural network (CNN). Training was conducted for 20 epochs at each frame and evaluated at the corresponding frame in the validation set. All networks used an 80/20

train/validation split, with 4720 visual responses at a single frame for training and 1180 responsesfor validation.

250 A SVM (Fig. 1D) was implemented in Scikit-learn using the OneVsRestClassifier function 251 with a linear support vector classifier and a regularization parameter calculated using grid search. 252 A SNN (Fig. 1E) consisted of one batch normalization layer (Ioffe and Szegedy, 2015), a dropout 253 layer (0.5) (Srivastava et al., 2014), a flattening layer, one dense layer with a rectified linear (relu) 254 activation function and 400 nodes, a dropout layer (0.5), and a final dense layer with 118 nodes 255 (for 118 classes) with a softmax activation function. An Adam optimizer was used for adjustment 256 of learning rates (Kingma and Ba, 2014). The DNN (Fig. 1F) consisted of one batch normalization 257 layer, one hidden fully connected layer, dropout, another fully connected layer, another dropout, 258 and a fully connected output layer. Finally, a CNN (Fig. 1G) was tested which consisted of one 259 batch normalization layer, a 1D convolution, 1D MaxPooling, flattening, a dense layer (relu), 260 dropout, and a final dense output layer. Categorical cross-entropy was used to measure loss in trace 261 classification and accuracy was used to quantify correct classifications.

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263 Neural Population Comparisons

264 Visual Cortex Decoding Accuracy as a Function of Neuron Type and Cortical Depth

We measured decoding accuracy for all neurons in each of the six visual cortical regions, ignoring differences of neuron type and cortical depth. In addition, to control for effects due to the number of neurons within a given region, decoding accuracy was further measured for each of the six regions after limiting the number of neurons by the lowest number imaged within a single region (VISam, 1514 neurons). For the five regions containing more than 1514 neurons, 1514 neurons were randomly selected. This enabled a comparison of all regions in terms of visual decoding accuracy without the confound of differing numbers of imaged neurons.

For the highest resolution of neuronal population segmentation, we measured decoding accuracy for all neuron types in all regions at all cortical depths, for a total of 63 populations. We then compared populations by randomly limiting each to 250 neurons, retaining 32 datasets. This number was selected to retain the greatest number of datasets for population comparison while using a population size in range of previously published calcium imaging experiments (Barnstedt et al., 2015; Lecoq et al., 2014).

279 Visual Cortex Decoding Accuracy as a Function of Biologically-inspired and Conventional 280 Feature Selection

Visual decoding accuracy was measured in each population using only neurons with a high average response ($\Delta F/F > 0.01$) across all 5900 scene presentations at any of the latter 21 frames, during the proximal scene and the two distal scenes. In each population, only neurons with a mean $\Delta F/F$ response higher than 0.01 across all scene presentations within a single frame, from the beginning of the proximal scene to the end of the second distal scene, were used for training and validation. We refer to these neurons as *high mean responders* (HMRs).

We used a univariate feature selection technique implemented in Scikit-learn (SelectKBest with the default ANOVA F-test) to select the top 10, 50, 100, 250, 500, 750, 1000, 1250, and 1500 neurons in each region at each frame for visual decoding. Our feature selection was run only on the training data, and the validation data from the corresponding neurons were used accordingly.

314 **Results**

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316 We first assessed decoding accuracy for each of the four machine learning architectures on 317 a frame-by-frame basis in six regions of the mouse visual cortex using all imaged neurons in each 318 region across 28 frames. The highest decoding accuracy achieved was in VISp (94.66%) using 319 calcium responses from 8661 neurons as input to a CNN (Figs. 2A-C, Table S1). While the SNN, 320 DNN and CNN all achieved similar peak accuracies, the SVM performed noticeably worse across 321 all regions. Looking at changes in accuracy across frames for all regions, accuracy began to 322 increase at frames 10-11 (the proximal scene began at frame 8), continued increasing after the 323 proximal scene ended and the first distal scene began (frame 15), reached peak accuracy at frame 324 18 and stayed above chance throughout the duration of the two distal scenes (frames 14-28).

325 To assess the differences in visual decoding accuracy between the six regions of the mouse 326 visual cortex and to control for the number of neuronal inputs, we limited the number of neurons 327 analyzed in each region by the lowest number of total neurons in any of the six regions (VISam, 328 1514 neurons). We included all 1514 neurons from VISam and then randomly chose 1514 from 329 each of the other five regions and calculated decoding accuracies for all regions across all 28 330 frames. As above, VISp showed the highest accuracy (72.2%) compared to all other regions (Figs. 331 2D-F, Table S2), albeit, at notably lower levels than previously when a larger number of inputs 332 were used (Figs. 2A-C, Table S1). In contrast to the prior comparison, the highest accuracy was 333 observed at frame 17 (one frame earlier) and using the SNN. Overall, for both approaches, the 334 regions were ranked as follows in descending order of accuracy: VISp, VISl, VISal, VISpm, 335 VISam, and VISrl and all regions, with the exception of VISrl exhibited a similar frame-by-frame 336 pattern of accuracy.

337 Next, we assessed visual decoding accuracy as a function of cell type, cortical depth and 338 region, for a total of 63 populations (Fig. 3A, Table S3). Using all available neurons in each 339 population, the highest decoding accuracy achieved was 77.97% with Cux2-expressing neurons in 340 VISp at a depth of 175µm using a SNN (Fig. 3B). This specific neuron type exhibited the highest 341 accuracy among all other populations and a distinct frame-by-frame accuracy profile which was 342 shifted to the right compared to the rest of the populations examined (Fig. 3C). Cux2-expressing 343 neurons at 175 µm depth in VISp showed peak accuracy at frame 18 whereas other Cux2-344 expressing neurons at 275 µm depth in either VISp or VISI showed peak accuracy at earlier frames 345 (frames 15, 16), a difference of about 30 ms. Rorb- and Emx1-expressing neurons showed peak accuracy at frames 15 and 16 respectively (Fig. 3C). Notably, three out of five of the top
performing populations were Cux2-expressing neurons. Additionally, four out of the top five
performing populations originated in VISp. For all five populations, the SNN performed best of
the four tested architectures.

350 Next, we limited each of these populations to 250 randomly-selected neurons (32 351 populations, with the other 31 containing less than 250 total neurons) (Fig. 3D, Table S4). In this 352 dataset, Rbp4 neurons in VISp at 375µm showed the highest decoding accuracy of 33.22% at frame 353 18 using the SNN (Figs. 3E, F). Again, four out of the five best performing populations were 354 derived from VISp. As above, neuron types differed in the time-course for peak accuracy. Emx1-355 expressing neurons showed peak accuracy at frame 15, which did not depend on depth or cortical 356 subregion (Fig. 3F). In contrast, Rbp4- and Cux2-expressing neurons within VISp but at different 357 depths, exhibited peak accuracy at frame 18 (Fig. 3F).

358 In all six regions of the visual cortex, we measured visual decoding accuracy as a function 359 of neuronal response using HMRs: neurons that showed a mean response greater than a value of 360 0.01 $\Delta F/F$ across all 5900 scene presentations in any of the latter 21 frames (proximal scene and 361 two distal scenes). We found that accuracy was greater than or within 3% of the accuracy when 362 using all neurons in the respective region (Figs. 4A, B, Table S5). The highest accuracy achieved 363 was at frame 18 in VISp using the CNN (Fig. 4C). To explore the differences in accuracy between 364 HMRs and other neurons (non-HMRs (nHMRs)), we compared identically-sized samples of 365 HMRs and nHMRs (583 of each) with a SNN in all regions. This number was chosen based on the 366 minimum number of total HMRs contained in any of the six regions (VISam). We found that the 367 accuracy of HMRs was 1.5-3-fold greater than that of nHMRs (Figs. 4D, E, Table S6) with the 368 highest accuracy observed in VISp at frame 18 (Fig. 4F). Next, we assessed decoding accuracy in 369 HMRs as a function of region, neuron type, and depth. All samples of HMRs showed similar or 370 higher peak accuracies compared to randomly-selected neurons by between 3-6%. To compare 371 HMRs and nHMRs in these parcellated populations, we were forced to make comparisons of 35 372 neurons each due to many populations having small numbers of either HMRs or nHMRs. 373 Nevertheless, even with very sparse populations of neurons, the accuracies of HMRs maintained 374 their 1.5-3-fold greater level than those of nHMRs (Figs. 4G, H, Table S7). As above, the highest 375 frame accuracy was observed in Rbp4-expressing neurons at 375 µM in VISp and at frame 19 376 (Fig. 4I).

377	Finally, in each recorded region of visual cortex, an F-test was conducted, and the k best
378	neurons were selected for visual decoding. Values of k were 10, 50, 100, 250, 500, 750, 1000,
379	1250, and 1500. Using the 1500 neurons with the highest F-values in VISp, an accuracy of 95.76%
380	was achieved, the highest of all experiments (Fig. 4J, Table S8). Critically, groups of neurons
381	selected by F-value performed better than either the totality of the neurons in each region, or all
382	the HMRs in each region. In all cases, feature-selected populations were much smaller than the
383	total HMRs and total neurons in these regions. Across all visual scenes and neuron types, the SNN
384	performed about as well, and in some cases better than the CNN. For natural scene prediction in
385	VISp, all networks reached accuracies of 85-95%. However, the highest accuracy achieved across
386	all experiments (95.76%) was obtained using 1500 neurons selected by F-value in VISp. VISp
387	showed the highest accuracies for neurons selected by F-test, mean response, and when limiting
388	all regions to the same number of randomly-selected neurons. A specific breakdown of neuron
389	classification at the highest accuracy achieved using 1500 neurons in shown in Fig. 4K .
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411 **Discussion**

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413 Here we describe the application of supervised machine learning to visual decoding of 414 neuronal calcium responses to 118 unique and complex naturalistic scenes. Our findings describe a neuroanatomical map of decoding accuracy in the mouse visual cortex in response to complex 415 416 naturalistic scenes and as a function of regional cortical parcellation, depth, and neuron type. A 417 general finding was that, regardless of neuron type or cortical depth, the highest visual decoding 418 accuracy was achieved in VISp while the lowest was achieved in VISrl. This observation is 419 consistent with prior findings from a recent study that used this same dataset (Esfahany, Siergiej, 420 Zhao, and Park, 2017) and known information regarding the hierarchical organization of the mouse 421 visual cortex (Glickfeld, Reid, and Andermann, 2014). Of note, for almost all populations, 422 accuracy remained above chance (1/118, 0.85%) throughout the duration of the two scenes distal 423 to the scene that the calcium response was labeled by. Additionally, we found that both feature 424 selection methods we utilized enabled similar, or in some cases, higher accuracies compared to 425 retaining all neurons in the respective population, indicating that different forms of feature 426 selection can reduce the processing load of decoding algorithms without compromising, and even 427 sometimes improving, decoding accuracy. Of note, the accuracy of some regions declined when 428 adding more neurons by highest F-value (i.e., VISam, VISpm, and VISrl). This was most apparent 429 for VISrl, where peak accuracy was achieved with only 100 neurons, and all other groups including 430 more neurons performed worse, indicating that there may exist a threshold where increasing the 431 number of such neurons introduces noise to the data, making the accuracy dwindle.

432 A novel key finding of our study was the capability of a SNN architecture to achieve high-433 accuracy visual decoding using this type of data, especially in the context of the many classes 434 included. Taken together with prior work that used a CNN trained on ImageNet (Deng et al., 2009) 435 to decode both seen and imagined visual stimuli from fMRI data (Horikawa & Kamitani, 2017), 436 our findings indicate that visual discrimination can be modeled effectively using data spanning 437 different imaging modalities and across species. It is important to point out, however, that the 438 highest decoding accuracy achieved in that prior study was ~80% for binary classification, an 439 accuracy level considerably lower than what we report here for the number of classes included. 440 Since fMRI is expected to be less proximal to neuronal activity than in vivo calcium imaging, our 441 findings support the notion that high-resolution imaging modalities which capture information 442 closer to base neuronal physiology may be more effective at reaching higher levels of decoding443 accuracy, especially when using simple architectures.

444 Another notable finding was that the highest decoding accuracy (95.76%) was achieved by 445 utilizing a neuronal population selected using a conventional feature selection approach, the F-test. 446 This was specifically observed within VISp at frame 17 during the presentation of the first distal 447 scene to the scene decoded. Interestingly, this high accuracy level was achieved using a population 448 containing a diverse set of neuron types at various depths within this region (Fig. 4K). Indeed, 449 looking at all the neurons in VISp during the 21 frames beginning with the proximal stimulus and 450 extending through the second distal stimulus, for a total of 31500 neurons (1500x21), the top three 451 most represented populations were Cux2/275µm (7881 neurons), Cux2/175µm (6007), and 452 Scnn1a/350µm (2744). When ignoring depth, the most represented population was Cux2 (7881 + 453 6007 = 13883; 13888/31500 = 44.09%), followed by Emx1 (4952 neurons, 15.72%), and Rorb 454 (4476 neurons, 14.21%). This contrasts with the breakdown of VISrl, the worst-performing region, 455 where the top three populations, accounting for depth, were Nr5a1/350µm (9116), Emx1/275µm 456 (5480), and Emx1/175um (3514). When not accounting for cortical depth, the top three performing 457 populations were Emx1 (12165 neurons), Nr5a1 (9116), and Cux2 (4622). Overall, Cux2 was the 458 most represented neuron type in the best-performing region and composed 44% of feature-selected 459 VISp neurons but only 15% of feature selected VISrl neurons. Cux2 is reported to be a critical 460 regulator of dendritic branching, spine development and synapse formation in cortical layer 2/3 461 neurons (Cubelos et al., 2010). However, looking at populations segmented by region, depth, and 462 neuron type, Rbp4/375um/VISp was overall the best-performing population. The Allen Institute 463 has profiled these and other genetically-defined neurons to build a comprehensive taxonomy of 464 the adult mouse cortex (Tasic et al., 2016). Referring to this data, five out of six of the above 465 studied neuron types are excitatory (with no information available for Emx1), and thus likely to 466 project to other cortical areas or subcortical regions. Importantly, while we did observe differences 467 in decoding accuracy between the six different genetically-defined neuron types sampled in VISp, 468 the top three performing classes of neurons never differed more than 5% from each other. 469 Interestingly, this difference was observed using calcium responses from randomly-selected 470 neurons as well as randomly-selected HMRs. Collectively, these findings indicate that neuronal 471 diversity within the visual system hierarchy plays a key role in decoding accuracy, but ultimately 472 it is the visual system regional hierarchy that is the main contributor. Regarding the contribution 473 of cortical depth to the accuracy signal, while we did observe differences between different 474 neuronal populations, these were relatively small, further supporting the notion that most of the 475 variation in visual decoding accuracy was accounted for by neuron location within visual cortical 476 regions, as opposed to neuron type and depth.

477 Another important finding was that in all experiments where models performed above 478 chance, decoding accuracy peaked ~210-360 ms after the presentation of a given scene, a time-479 point that coincided with the presentation of the first distal scene. Interestingly, above-chance 480 decoding accuracy was maintained over the duration of two distal scenes across many of the 481 neuronal populations investigated. Why the accuracy consistently peaked during the presentation 482 of a distal scene is unclear, though we hypothesize it may represent a delay in calcium dynamics 483 or the optimal imaging methods used to record them. In previous work on visual decoding of 484 categories from human magnetoencephalography data, decoding accuracy peaked 80-240ms after 485 stimulus onset (Carlson, Tovar, Alink, and Kriegeskorte, 2013) and decayed over the period of 486 one second. Each image was shown for 533 ms, meaning the accuracy peaked during the 487 presentation of the proximal image. Additionally, after stimulus presentation in this prior study, a 488 delay period between 900-1200 ms in length was given. This means the duration of the decay in 489 accuracy occurred within the window of the stimulus presentation and subsequent delay period. In 490 contrast, in the current study, accuracy peaked 210-360 ms after scene onset, or 0-150 ms after the 491 onset of the first distal scene and continued for an additional 240-390 ms. We propose the term 492 refractory processing to denote this delayed temporal property of calcium in allowing the decoding 493 of visual scenes during the subsequent presentation of a unique stimulus. While we cannot say 494 exactly what this phenomenon represents, the appearance of this property in visually-evoked 495 calcium dynamics may be related to the recently discovered phenomenon of perceptual echo in 496 human occipital EEG responses to changes in luminance (Chang et al., 2017). Importantly, our 497 finding also agrees with the findings of Filippini et al. where neural activity during the delay after 498 object presentation yielded greater decoding accuracy than the object presentation itself (2017). 499 Like Carlson et al.'s study, the difference between our findings and those of Filippini et al. is rather 500 than a delay after object presentation, different scenes were continuously presented after one 501 another, meaning time points with the highest decoding accuracy coincided with the presentation 502 of another scene, rather than a lack of stimulus.

503 Finally, we found that regional decoding accuracy was maintained or improved beyond 504 using all of a given region's neurons by limiting the selection of neurons to those with mean 505 responses above 0.01 $\Delta F/F$ to all presentations of naturalistic scenes (independent of the 506 type/content of the scene) at any frame between the onset of the proximal stimulus through the 507 duration of the two distal stimuli. For all neuronal populations, when including only HMRs for 508 decoding, accuracy either exceeded or was within 3% of the accuracy compared to when all 509 neurons within that same population were included. Further supporting this was the stark 510 difference in decoding accuracy between number-matched samples of HMRs and non-HMRs, 511 where HMRs performed 1.5-3x better than nHMRs. Additionally, using a conventional feature 512 selection technique from machine learning, accuracy was maintained or improved using even 513 fewer neurons than those selected by mean response. These observations indicate that visual 514 decoding accuracy is strongly determined by the response properties of discrete neurons within the 515 visual system hierarchy. From a biological perspective, this suggests that complex and diverse 516 visual imagery, independent of content, may be collectively encoded in this discrete population of 517 neurons with high response profiles to visual image presentation, or neurons that simply show 518 strongly differentiated responses to visual images. For clarity, we assert that neuronal diversity 519 plays an important role in visual decoding, but what seems to be even more important is the 520 regional hierarchy of the visual system that produces such distinct performances in decoding 521 accuracy.

522 In sum, here we describe a neuroanatomical map of the mouse visual cortex decoding 523 aptitude of different regions and neuron types at various cortical depths and shed light on the 524 temporal dynamics of visual encoding and decoding using a neural network approach as they 525 persist across the presentation of a large and diverse collection of complex visual scenes. Our 526 findings demonstrate the low requirement of neural network architecture complexity in the context 527 of visual decoding using neuronal calcium data and highlight the strong contributions of regional 528 localization, neuronal response profile, the quantity of recorded neurons, discrete genetically-529 defined neuronal populations and cortical depths to visual information encoding and visual 530 decoding. Additionally, the temporal trajectory of decoding accuracy throughout the duration of 531 scene presentations indicated that accuracy peaked roughly 300 ms after the scene appeared, 532 during the presentation of a unique stimulus, an observation we refer to as *refractory processing*, 533 that may reflect an inherent property of neurons in the visual cortex. Finally, we show that feature

selection techniques from machine learning can parse out neuronal populations most indicative of
 differentiated responses to complex naturalistic scenes and increase decoding accuracy.

A limitation of this study is the small numbers of neurons in some of the parcellated populations. Parcellating the data by region, neuron type, and depth sometimes yielded populations with less than five neurons, which had little value in assessing decoding accuracy. If these populations had contained hundreds or thousands of neurons, we could have perhaps seen with greater clarity how those specific parcellated populations of neurons would compare to the others and within themselves in terms of a fixed number of randomly selected neurons, HMRs and nHMRs. This leaves open questions about the functional importance of these parcellated populations in comparison to others within the same region. We plan to revisit this in the future as more data from the ABO becomes available. In future work we also plan to better understand the features of the calcium signal which our networks accurately differentiated in the context of many classes and small number of examples and furthermore, how calcium responses from other brain systems perform in this context.

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Figure 1. Data organization and network architectures. (A) Single representative neuron GCaMP6 trace over a ~63-minute session. (B) 3D arrays were constructed where rows, columns, and ranks corresponded to scenes, neurons, and frames respectively. (C) Temporal breakdown of scenes and frames. Architectures utilized: (D) support vector machine (SVM), (E) single hiddenlayer neural network (SNN), (F) two hidden-layer neural network (DNN), and (G) convolutional neural network (CNN).

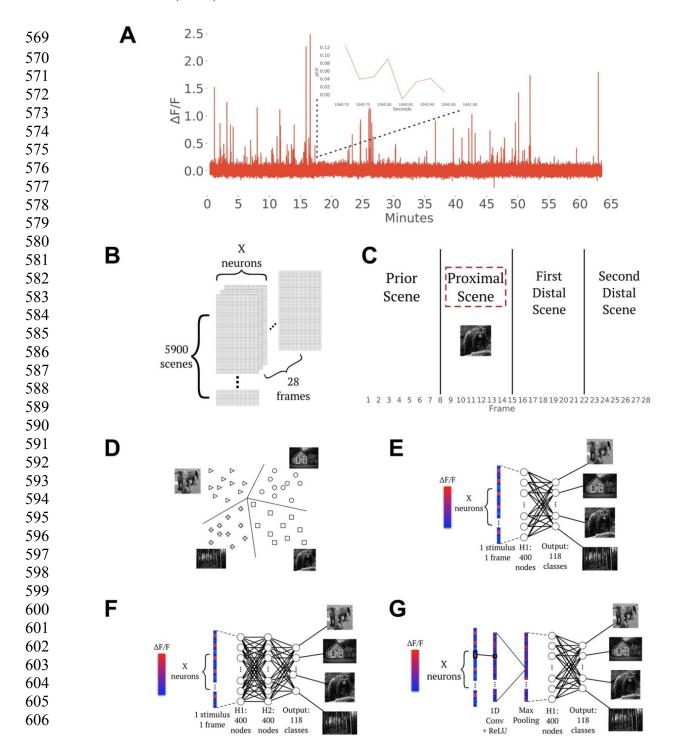


Figure 2. Decoding accuracy for six regions of the mouse visual cortex. (A) Peak accuracies across all frames for four different machine learning architectures. (B) Heatmap plot overlaid onto a horizontal view of the mouse visual cortex indicating cortical subregions as a function of accuracy (0-100%) using a CNN; data from (A). (C) Frame-by-frame accuracies for each region when decoding was performed using a CNN. Scene 1 refers to scene presented prior to the scene that the trace is labeled by. Scene 2 is the proximal scene, (the scene the trace is labeled by and the one being decoded). Scenes 3 and 4 are the two distal scenes presented after the proximal scene. (D) Peak accuracies across all frames for four machine learning architectures are shown when neuronal inputs for each region were limited to 1514 randomly-chosen neurons. (E) Heatmap plot overlaid onto a horizontal view of the mouse visual cortex indicating cortical subregions as a function of accuracy (0-100%) using a SNN; data from (D). (F) Frame-by-frame accuracies for each region when decoding was performed using a SNN. Scene classification as described in (C).

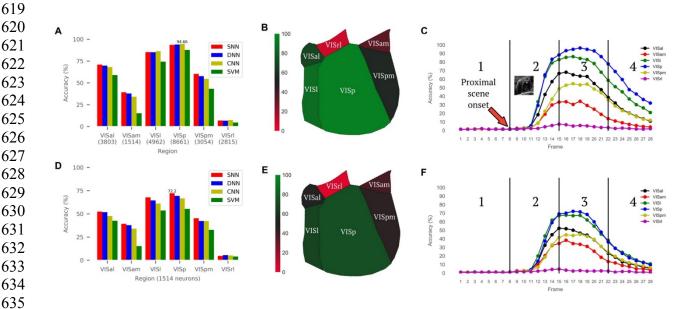
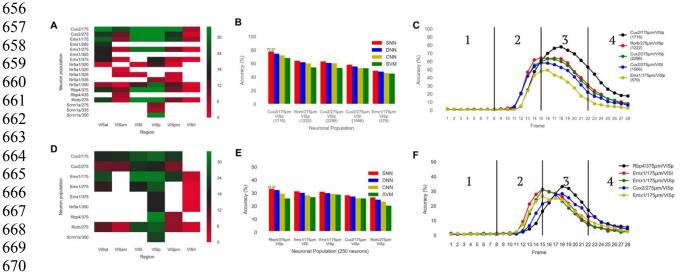
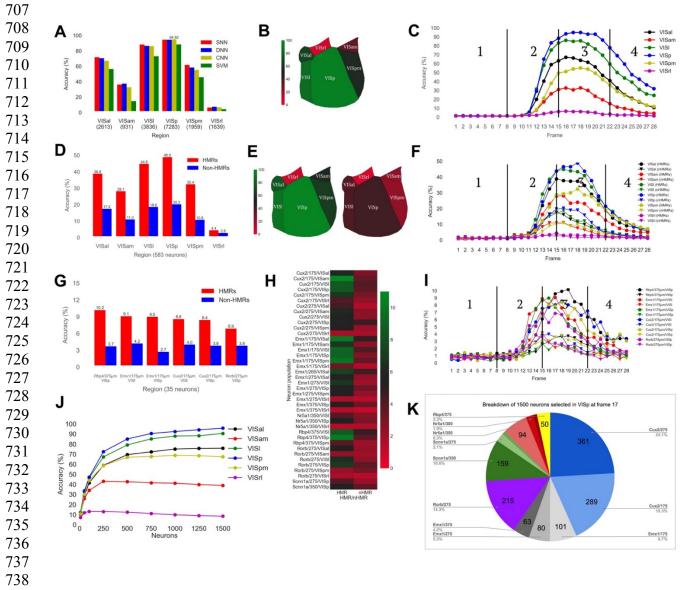


Figure 3. Decoding accuracy for the top neuronal populations parcellated by region, neuron
 type, and cortical depth. (A) Peak accuracies across all frames for four machine learning
 architectures are shown. (B) Peak accuracies across all frames for four machine learning
 architectures are shown when limited to 250 randomly-chosen neurons.



697 Figure 4. Decoding accuracy for the top neuronal populations parcellated by region, neuron 698 type, and cortical depth selected after biologically-inspired and feature classifications. (A) 699 Peak accuracies across all frames for four machine learning architectures are shown when neurons 700 for each region were limited to high mean responding neurons. (B) Peak accuracies across all 701 frames for a SNN are shown when limited to 583 high mean responding and 583 non-high mean 702 responding neurons. (C) Peak accuracies across all frames for a shallow neural network are shown 703 when limited to 35 high mean responding and 35 non-high mean responding neurons. (C) Peak 704 accuracies across all frames for a shallow neural network are shown when neurons for each region 705 were limited to feature selected neurons (G) Breakdown of the 1500 feature selected neurons in 706 VISp during the frame where peak accuracy was achieved.



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Supplementary Table 1. Peak accuracies for all regions and machine learning architectures, the

743 frames these accuracies were achieved in, and the number of neurons used for decoding in each 744 region.

Regional decoding accuracies, all neurons					
Brain Region	DNN	CNN	SVM		
VISal	70.93%	69.75%	68.14%	58.9%	
3803 neurons	15	16	16	17	
VISam	39.24%	37.8%	34.07%	15.17%	
1514 neurons	16	15	16	16	
VISI	85.17%	85.08%	86.36%	74.32%	
4962 neurons	16	16	17	17	
VISp	93.56%	93.98%	94.66%	87.8%	
8661 neurons	17	17	18	18	
VISpm	60.25%	57.71%	54.66%	43.22%	
3054 neurons	18	16	17	18	
VISrl	6.86%	6.69%	7.37%	4.49%	
2815 neurons	15	15	15	16	

766 Supplementary Table 2. Peak accuracies for all regions limited to 1514 neurons for each machine

767 learning architecture, and the frames these accuracies were achieved in.

Regional decoding accuracies, limited to 1514 neurons					
Brain Region SNN DNN CNN SV					
VISal	52.37%	51.77%	47.71%	42.54%	
	15	16	16	16	
VISam	39.24%	37.8%	34.07%	15.17%	
	16	15	16	16	
VISI	67.8%	64.49%	61.19%	53.64%	
	16	17	17	18	
VISp	72.2%	69.32%	66.69%	55.34%	
	17	18	17	17	
VISpm	45.25%	42.29%	42.29%	32.71%	
	18	18	18	18	
VISrl	4.66%	5.51%	5.17%	4.07%	
	15	15	15	15	

790 Supplementary Table 3. Peak accuracies for the top five neuronal populations parcellated by

region, neuron type, and cortical depth, for each machine learning architecture, and the framesthese accuracies were achieved in.

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Neuron type/region/depth decoding accuracies, all neurons						
Population (Top 5)SNNDNNCNNSVM						
Cux2, VISp, 175µm,	77.97%	74.66%	72.12%	68.22%		
1716 neurons	18	18	18	19		
Rorb, VISp, 275µm,	64.15%	62.03%	60.25%	54.41%		
1222 neurons	15	16	16	17		
Cux2, VISp, 275µm,	63.22%	60.93%	60.59%	53.47%		
2296 neurons	16	16	16	16		
Cux2, VISI, 275µm,	58.22%	55.76%	53.39%	53.14%		
1566 neurons	15	15	16	16		
Emx1, VISp, 175µm, 579 neurons	49.32% 16	48.14% 15	45.93% 16	45.08% 16		

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795 Supplementary Table 4. Peak accuracies for the top five neuronal populations parcellated by 796 region, cell type, cortical depth, and limited to 250 neurons. Accuracies are shown for each 797 machine learning architecture, and the frames they were achieved in.

Neuron type/region/depth decoding accuracies, limited to 250 neurons						
Population (Top 5)SNNDNNCNNSVM						
Rbp4, VISp,	33.22%	32.37%	29.58%	25.85%		
375µm	18	18	18	18		
Emx1, VISI, 175µm	31.36%	30.17%	28.22%	26.78%		
	15	15	15	15		
Emx1, VISp,	31.02%	30%	29.49%	28.81%		
175µm	15	15	15	15		
Cux2, VISp,	28.31%	27.37%	26.02%	25.93%		
175µm	17	18	17	17		
Rorb, VISp, 275µm	26.69%	24.92%	23.47%	20.34%		
	15	15	17	17		

Table 5. Peak accuracies for all regions limited to high mean responding neurons for each machine

800 learning architecture, and the frames these accuracies were achieved in.

Regional decoding accuracies, all high mean responders					
Brain Region	SNN	DNN	CNN	SVM	
VISal	71.78%	70.34%	67.12%	56.44%	
2613 neurons	16	16	16	18	
VISam	36.27%	37.46%	32.88%	14.75%	
931 neurons	16	15	18	18	
VISI	88.14%	86.53%	86.19%	73.05%	
3836 neurons	17	16	16	17	
VISp	94.49%	94.41%	94.92%	88.31%	
7283 neurons	17	18	18	18	
VISpm	61.78%	58.39%	55.25%	45.76%	
1959 neurons	18	18	18	19	
VISrl	6.36%	7.46%	6.78%	4.32%	
1639 neurons	15	15	16	17	

Table 6. Peak accuracies for all regions limited to 583 high mean responding and non-high mean

responding neurons for a shallow neural network, and the frames these accuracies were achieved in.

Regional decoding accuracies, 583 HMRs vs. 583 nHMRs, shallow neural network					
Brain Region	583 HMRs	583 nHMRs			
VISal	38.81% 15	17.54% 15			
VISam	28.13% 16	11.02% 16			
VISI	44.83% 16	18.56% 16			
VISp	48.9% 18	20.17% 16			
VISpm	32.37% 18	10.76% 16			
VISrl	4.41% 15	2.80% 13			

- **Table 7:** Peak accuracies achieved with feature selected neurons across six regions of the mouse
- visual cortex and comparison to total HMRs and total neurons in each region. Each cell lists the
- peak accuracy, the number of neurons in the group, and the frame the accuracy was achieved in.

Neuron type/region/depth decoding accuracies, 35 HMRs vs. 35 nHMRs, SNN					
Population3535(Top 6)HMRsnHMRs					
Rbp4, VISp,	10.17%	3.73%			
375µm	19	19			
Emx1, VISI,	9.07%	4.15%			
175µm	17	15			
Emx1, VISp,	8.98%	2.71%			
175µm	16	15			
Cux2, VISI,	8.56%	3.98%			
175µm	18	16			
Cux2, VISp,	8.39%	3.81%			
175µm	18	18			
Rorb, VISp,	6.86%	3.81%			
275µm	15	14			

Table 8. Peak accuracies for the top six performing neuronal populations limited to 35 high mean

responding and non-high mean responding neurons for a shallow neural network, and the frames these accuracies were achieved in.

Regional decoding accuracies, Neurons selected by F- score, mean response, and total neurons						
BrainF-testHMRsTotal neuronRegion(SNN)						
VISal	75.93%,	71.78%, 2613,	70.93%, 3803,			
	1500, 15	16, SNN	15, SNN			
VISam	43.14%,	37.46%, 931,	39.24%, 1514,			
	250, 15	15, DNN	16, SNN			
VISI	90.59%,	88.14%, 3836,	86.36%, 4962,			
	1500, 15	17, SNN	17, CNN			
VISp	95.76%,	94.92%, 7283,	94.66%, 8661,			
	1500, 17	18, CNN	18, CNN			
VISpm	68.81%,	61.78%, 1959,	60.25%, 3054,			
	1000, 17	18, SNN	18, SNN			
VISrl	13.22%,	7.46%, 1639,	7.37%, 2815,			
	100, 14	15, DNN	15, CNN			

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