The nidogen-domain containing protein DEX-1 is required for epidermal 1 2 remodeling in *C. elegans* dauers Kristen M. Flatt^{*}, Caroline Beshers, Cagla Unal, Nathan E. Schroeder^{*‡1} 3 *Program in Neuroscience, University of Illinois at Urbana-Champaign, Urbana, 4 IL, 61801 5 [‡]Department of Crop Sciences, University of Illinois at Urbana-Champaign, 6 7 Urbana, IL, 61801 ¹Corresponding author: Department of Crop Sciences, 1102 S. Goodwin Ave., 8 University of Illinois at Urbana-Champaign, Urbana, IL, 61801. E-mail: 9 10 nes@illinois.edu 11

Short running title: DEX-1 in *C. elegans* dauer remodeling

- 2 Key words: polyphenism, cuticlin, SNED1, tectorin, DYF-7, extracellular matrix,
- 3 *ajm-1::gfp*
- 4 Corresponding author: Nathan E. Schroeder, Dept. of Crop Sciences, University
- of Illinois at Urbana-Champaign, Urbana, IL, 61801, USA, Ph. 217-244-6128, E-mail:
- 6 nes@illinois.edu

7

Abstract

1

2 Phenotypic plasticity is a critical component of an organism's ability to thrive in an ever-3 changing environment. The free-living nematode, Caenorhabditis elegans, adapts to 4 unfavorable environmental conditions by pausing reproductive development and entering a stress-resistant larval stage known as dauer. The transition into dauer is 5 6 marked by vast morphological changes – including remodeling of epidermis, neurons 7 and muscle. Though many of these dauer-specific traits have been described, the 8 molecular basis of dauer-specific remodeling is still poorly understood. Here we show that the nidogen-domain containing protein DEX-1 functions downstream of the dauer 9 10 decision to facilitate stage-specific tissue remodeling during dauer morphogenesis. DEX-1 was previously shown to regulate sensory dendrite formation during 11 embryogenesis. We find that DEX-1 is also required for the proper remodeling of the 12 stem cell-like epidermal seam cells and maintenance of seam cell quiescence during 13 14 dauer. dex-1 mutant dauers lack distinct lateral cuticular alae during dauer and have increased sensitivity to sodium dodecyl sulfate (SDS). Furthermore, we find that DEX-1 15 16 mediated seam cell remodeling is required for proper dauer mobility. We show that 17 DEX-1 acts cell autonomously in the seam cells during dauer and that dex-1 expression during dauer is regulated through DAF-16/FOXO-mediated derepression. Finally, we 18 show that dex-1 interacts with a family of zona pellucida-domain encoding genes to 19 20 regulate dauer-specific epidermal remodeling. Taken together, our data indicates that 21 DEX-1 plays a central role in *C. elegans* epidermal remodeling during dauer.

Introduction

In order to survive changing environments, organisms modify their phenotype (i.e. 1 phenotypic plasticity). Tissue remodeling is an important component of stress-induced 2 phenotypic plasticity. For example, desert locusts are capable of altering their 3 morphology between distinct 'gregarious' and 'solitarious' phases based on population 4 density (Pener and Simpson 2009), and certain species of butterfly also change their 5 6 body morphology and wing color based on seasonal cues (Windig 1994). While these large-scale displays of phenotypic plasticity are readily observed, the molecular basis of 7 tissue remodeling in response to environmental inputs is often unclear. 8 9 C. elegans is a useful animal to investigate the molecular mechanisms that facilitate stress-induced remodeling. Under favorable growth conditions, *C. elegans* develops 10 continuously through four larval stages (L1-L4) into a reproductive adult. However, 11 under unfavorable environmental conditions, *C. elegans* larvae arrest their development 12 at the second larval molt and enter the stress-resistant dauer stage (Cassada and 13 14 Russell 1975; Golden and Riddle 1984). Dauers are specialized, non-feeding larvae capable of withstanding extended periods of adverse environmental conditions. Dauer-15 specific stress resistance is likely facilitated by several morphological changes that 16 17 occur during dauer formation. For example, dauers display both structural and biochemical differences in their epidermis and cuticle compared with non-dauers (Cox 18 et al. 1981; Blaxter 1993). Dauer formation also corresponds with a general radial 19 20 shrinkage of the body and the formation of longitudinal cuticular ridges called alae (Fig. 1A). 21 22 Radial shrinkage and alae formation are regulated by a set of lateral hypodermal seam cells (Singh and Sulston 1978; Melendez et al. 2003). Seam cell function and 23

- remodeling are critical for proper dauer morphology and increased environmental
- 2 resistance. The seam cells also have stem cell-like properties. During non-dauer
- development, the seam cells undergo asymmetrical divisions at larval molts to produce
- 4 an anterior differentiated cell and a posterior seam cell. Alternatively, if the animal
- 5 enters dauer diapause, the seam cells shrink and stop dividing.
- Here, we characterize the role of DEX-1, a protein similar to mammalian tectorin and
- 7 SNED1, in remodeling of the seam cells during dauer formation. DEX-1 comprises a
- 8 transmembrane protein with two extracellular nidogen domains that is required for
- 9 proper sensory neuron dendrite formation during embryogenesis (Heiman and Shaham
- 2009). We find that DEX-1 is also required during dauer formation for seam cell
- remodeling and resistance to environmental stressors. Furthermore, we find that dex-1
- is upregulated in seam cells during dauer in a DAF-16 dependent manner. DEX-1 was
- previously shown to interact with a zona pellucida (ZP) domain containing protein to
- mediate dendrite extension. Our data suggest that DEX-1 interacts with additional ZP-
- domain proteins, to regulate seam cell remodeling. Finally, we implicate DEX-1 in
- regulating seam cell guiescence. Combined with previous data demonstrating a role for
- DEX-1 in sensory dendrite adhesion (Heiman and Shaham 2009), our data suggest that
- DEX-1 plays a role in modulating cell shape of several cell types throughout
- 19 development.
- 20 Materials and Methods
- 21 Strains

All strains were grown under standard conditions unless otherwise noted (Brenner 1 1974). The wild-type Bristol N2 strain and the following mutant strains were used: 2 CHB27 dex-1(ns42) III; SP1735 dyf-7(m537) X; CB1372 daf-7(e1372) III; DR129 daf-3 2(e1370) unc-32(e189) III; DR27 daf-16(m27) I; FX01126 cut-1(tm1126) III; RB1574 4 cut-6(ok1919) III; RB1629 cut-5(ok2005) X. dex-1(ns42) was given to us by Dr. Maxwell 5 6 Heiman (Department of Genetics, Harvard University). cut-1(tm1126) was provided by the Mitani Consortium (Department of Physiology, Tokyo Women's Medical University 7 School of Medicine, Japan). All other mutant strains were provided by the 8 9 Caenorhabditis Genetics Center (CGC), which is funded by NIH Office of Research Infrastructure Programs (P40 OD010440). The following transgenic animals were also 10 provided by the CGC: ST65 ncls13[aim-1::GFP]; JR667 wls51 [SCMp::GFP+unc-11 119(+)]. The IL2 neurons were observed using PT2660 myls13[klp-6p::GFP+pBx] III 12 (Schroeder et al., 2013); PT2762 myls14[klp-6p::GFP +pBx] V and JK2868 qls56[lag-13 2p::GFP| (Blelloch et al.; Ouellet et al. 2008; Schroeder et al. 2013). 14 The following plasmids were the generous gift of Dr. Maxwell Heiman: pMH7 dex-15 1p::dex-1, pMH8 pha-4p::dex-1, pMH111 dex-1p(5.7kb)::afp, pMH125 dex-16 17 1p(2.1kb)::qfp (Heiman and Shaham 2009). Novel plasmids were constructed using Gibson Assembly (NEB, E2611S). The seam cell-specific expression plasmid was built 18 19 by replacing the dex-1 promoter from pMH7 with a 1.21kb cut-5 promoter region (for a 20 complete list of primers used to construct plasmids, see Table S1). The hypodermalspecific dex-1 plasmid was constructed by replacing the dex-1 promoter in pMH7 with 21 the dpy-7 promoter (Gilleard et al. 1997). The IRS sequence was deleted from pMH111 22 using the Q5 Site Directed Mutagenesis Kit (NEB, E05525). 23

- Animals containing extrachromosomal arrays were generated using standard
- 2 microinjection techniques (Mello et al. 1991) and genotypes confirmed using PCR
- analysis and observation of co-injection markers. Each animal was injected with 20µL of
- 4 plasmid and 80μL of *unc-122p::gfp* as the co-injection marker.

Dauer Formation

5

11

20

- Dauers were induced by one of two methods. For non-temperature sensitive strains,
- 7 we used plates containing crude dauer pheromone extracted by previously established
- 8 procedures (Vowels and Thomas 1992; Schroeder and Flatt 2014). For animals with
- 9 mutations in *daf-7(e1372)* or *daf-2(e1370)*, dauers were induced using the restrictive
- temperature of 25°C (Riddle et al. 1981).

Microscopy and Rescue Analysis

- Animals were mounted onto 4% agarose pads and anesthetized with 1.0 mM or 0.1
- mM levamisole for dauers and non-dauer or partial dauers, respectively. A Zeiss
- Axiolmager microscope equipped with DIC and fluorescent optics was used to collect
- images. Images were analyzed using FIJI. For radial constriction experiments,
- 16 measurement data was analyzed using a One-Way ANOVA with Bonferroni's multiple
- comparisons test using Graphpad Prism 6 software. The seam cell area was measured
- for V2pap, V2ppp and V3pap and averaged to give one measurement per animal
- 19 (Sulston and Horvitz 1977).

SDS Sensitivity Assays

- Sodium dodecyl sulfate (SDS) dose-response assays were performed using 12-well
- culture dishes with wells containing M9 buffer and specified concentrations of SDS

- dissolved in water. Twenty dauers from each treatment were transferred into SDS.
- 2 Dauer animals were exposed to SDS for 30 minutes and scored using established
- 3 criteria (Liu et al. 2013) Briefly, animals were stimulated once with an eyelash and were
- 4 scored as alive if movement was observed. Each concentration was tested in triplicate
- 5 with each experiment containing a separate wild-type (N2) control. The LD₅₀ and
- 6 confidence interval of each concentration was calculated using probit analysis in Minitab
- 7 18.

14

16

Fluorescent Bead Feeding Assay

- 9 Feeding assays were carried out using established methods (Nika et al. 2016).
- 10 Briefly, fluorescent beads (Sigma L3280) were added to a 10X concentrated OP50 E.
- coli overnight culture. Fresh NGM plates were then seeded with 65 μL of the
- bead/bacteria suspension and allowed to dry. Twenty dauer or non-dauer animals were
- added to the plate and incubated at 20°C for 40 minutes. Worms were then observed
 - for the presence of fluorescent beads in the intestinal tract. Each experiment was
- 15 performed twice.

Locomotion Assays

- Animals were transferred to unseeded NGM plates and allowed to sit at room
- temperature for 10 minutes before being assayed. Animals were stimulated near the
- anus with an eyelash and the number of body bends was scored. Counting was stopped
- if the animal did not complete another body bend within 5 seconds of stopping, or if the
- 21 animal reversed direction. Each animal was scored twice and then removed from the
- plate. Counts were averaged and then analyzed using a Kruskal-Wallis test with Dunn's

- multiple comparisons test for dauers and the Mann-Whitney U test for adult animals
- 2 using Graphpad Prism 6 software.

DEX-1 Expression Analysis

- The fluorescent intensities of the V2pap, V2ppp and V3pap seam cells were
- 5 measured using established methods (McCloy et al. 2014). Briefly, each cell was
- outlined and the area, integrated density and mean gray value were measured.
- 7 Measurements were also taken for areas without fluorescence surrounding the cell. The
- 8 total corrected cell fluorescence (TCCF = integrated density (area of selected cell *
- 9 mean fluorescence of background reading)) was then calculated for each cell. The
- intensities of the three cells from each worm were averaged such that each nematode
- comprised a single data point. The data were analyzed using One-Way ANOVA and
- Bonferroni's multiple comparisons test. Ten animals were measured for each genotype.

Results

13

14

17

18

19

20

21

22

3

DEX-1 is required for proper dauer morphology and behavior.

15 Wild-type *C. elegans* dauers have a distinctive morphology due to radial shrinkage 16 that leads to a thin appearance compared with non-dauers (Figure 1A). We found that

dex-1(ns42) mutants are defective in dauer radial shrinkage (Figure 1C). dex-1 mutant

dauers are significantly wider in diameter when compared to wild-type dauers, leading

to a 'dumpy dauer' phenotype (Figure 1, B and C). The defect in body size appears

specific to the dauer stage, as non-dauer dex-1 mutants show no differences in body

size compared with wild-type non-dauers (Figure S1). Radial shrinkage in dauers is

correlated with the formation of longitudinal cuticular ridges on the lateral sides of the

- animal called the alae (Cassada and Russell 1975). The lateral alae of *dex-1* mutant dauers are indistinct compared with wild-type dauers (Figures 1B and 2S). To confirm
- 3 dex-1 as the causative mutation, we rescued the radial shrinkage phenotype of dex-1
- 4 mutants with dex-1 cDNA under the control of its endogenous promoter (Figure 1C).
- 5 Together, these data suggest that *dex-1* mutants form partial dauers with defects in
- 6 epidermal remodeling.
- 7 In addition to radial shrinkage, dauers have several structural modifications compared with non-dauer animals that lead to increased resistance to environmental insults 8 9 (Cassada and Russell 1975; Androwski et al. 2017). We characterized several dauerspecific phenotypes in dex-1 mutants. First, we exposed dauer animals to SDS. Wild-10 11 type dauers survive for hours in 1% SDS (Cassada and Russell 1975). We found that 12 while dex-1 mutant dauers were sensitive to 1% SDS, they were able to survive 13 significantly higher concentrations of SDS that wild-type non-dauer animals (Figure 1D) 14 Similar to our radial shrinkage data, we could effectively rescue the SDS phenotype with a wild-type copy of dex-1 (Figure 1E). Second, we used a fluorescent bead assay that 15 16 determines whether feeding occurs (Nika et al. 2016). Non-dauers will readily ingest 17 beads and show fluorescence throughout the digestive system. Dauers suppress pharyngeal pumping and have a buccal plug that prevents entry of fluorescent beads. 18 We found that while fluorescence was never observed in *dex-1* mutant dauer intestines, 19 20 we occasionally observed fluorescence in the buccal cavity (Figure S3). We never observed pharyngeal pumping in dex-1 dauers. These data suggest that while 21 22 pharyngeal pumping is efficiently suppressed, dex-1 dauers have low-penetrance defects in buccal plug formation. Finally, we examined dex-1 dauers for the presence of 23

dauer-specfic gene expression of lag-2p:: qfp in the IL2 neurons during dauer (Ouellet et 1 al. 2008). Similar to wild-type dauers, dex-1 dauers showed appropriate dauer-specific 2 3 expression, indicating that they are indeed dauers with defects limited to epidermal remodeling (data not shown). 4 5 The decision to enter dauer is based on the ratio of population density to food 6 availability (Golden and Riddle 1984). C. elegans constitutively secrete a pheromone 7 mixture that is sensed by animals and, at high levels, triggers dauer formation (Golden 8 and Riddle, 1982). Dauers can be picked from old culture plates (starved) or can be 9 induced using purified dauer pheromone. We found no difference in the dauer phenotype between starved or pheromone-induced dex-1 mutant dauers. The C. 10 elegans insulin/IGF-like and TGF-β signaling pathways function in parallel to regulate 11 the dauer formation decision. Reduced insulin and TGF-β signaling induced by 12 overcrowding and scarce food promotes dauer formation. Disruption of either the 13 14 insulin-receptor homolog DAF-2 or the TGF-β homolog DAF-7 results in constitutive formation of dauers. Double mutants of daf-2 or daf-7 with dex-1 did not suppress the 15 defects in dauer morphogenesis of dex-1, suggesting that dex-1 is acting downstream 16 17 of the dauer decision pathway (data not shown). DEX-1 functions in the stem cell-like seam cells to regulate dauer morphogenesis 18 19 dex-1 is expressed at high levels during embryogenesis to regulate sensory dendrite formation (Heiman and Shaham 2009). However, previous microarray data suggested 20 that dex-1 is also upregulated during dauer (Liu et al. 2004). We generated transgenic 21 22 animals expressing GFP driven by a 5.7kb 5' dex-1 upstream promoter and observed bright fluorescence in the seam cells and glia socket cells of the anterior and posterior 23

deirid neurons starting in the pre-dauer L2 (L2d) stage. Expression of dex-1p::qfp in the 1 seam cells and deirid socket cells persists throughout dauer and is downregulated upon 2 3 recovery from dauer (Figure 2, A and B). We also observed weak dex-1p::gfp expression in unidentified pharyngeal cells during all larval stages (Figure S4). 4 5 Dauer-specific radial shrinkage and subsequent lateral alae formation are facilitated 6 by shrinkage of the seam cells (Singh and Sulston, 1978; Melendez et al, 2003). Using 7 the ajm-1::gfp apical junction marker (Koppen et al., 2001), we found that dex-1 mutant 8 dauer seam cells are larger and have jagged, rectangular edges unlike the smooth, 9 elongated seam cells of wild type dauers (Figure 3). These data suggest that DEX-1 is required for seam cell remodeling. The seam cells also have stem cell-like properties. 10 During non-dauer development, seam cells divide at larval molts to produce a seam cell 11 daughter and a differentiated daughter cell (Sulston and Horvitz, 1977). During dauer, 12 seam cells enter a quiescent state and only resume division following recovery from 13 14 dauer. To determine if dex-1 is required for maintaining seam cell quiescence during dauer, we used a seam cell nuclei marker to examine the number of seam cell nuclei in 15 wild-type and dex-1 backgrounds. We found that dex-1 mutant dauers have a slight, but 16 17 statistically significant, greater number of seam cell nuclei compared with wild type dauers ($dex-1 = 16.63 \pm 0.0993$, WT = 15.95 ± 0.172, p = 0.0010, n = 40). This 18 19 could suggest that DEX-1 plays a role in maintaining seam cell guiescence during dauer. 20 To determine where dex-1 acts to regulate seam cell remodeling, we expressed dex-21 22 1 cDNA under the control of cell-specific promoters. First, we expressed dex-1 in the

seam cells using the *cut-5* promoter. *cut-5* was previously shown to be expressed

specifically in the seam cells during L1 and dauer (Sapio et al. 2005). Using this seam 1 cell-specific promoter, we partially rescued SDS sensitivity in dex-1 mutant dauers 2 (Figure 1E). The seam cell-specific expression of dex-1 resulted in a mosaic rescue of 3 the radial shrinkage phenotype (Figure S5). The rescued seam cells that underwent 4 radial constriction also displayed intact lateral alae, while the non-rescued seam cells' 5 6 alae remained indistinct. Expression of dex-1 under a pharyngeal promoter failed to rescue the dex-1 seam cell phenotype suggesting a cell autonomous role for DEX-1. To 7 verify the cell autonomous nature of dex-1, we sought to examine expression in a tissue 8 9 with close contact to the seam cells. The seam cells are surrounded by a syncytial hypodermis. dex-1 expression in the surrounding hypodermis failed to rescue the dex-1 10 dauer-specific phenotype. Interestingly, expression of dex-1 in the hypodermis induced 11 a dumpy phenotype in approximately 33% of non-dauer animals (Fig. S6). Together, 12 these data indicate that dex-1 acts cell-autonomously to regulate seam cell remodeling 13 14 during dauer. DEX-1 acts in seam cells to regulate locomotion during dauer 15 16

Morphological changes during dauer are accompanied by changes in behavior. Wild-type dauer animals are general quiescent, but move rapidly when mechanically stimulated (Cassada and Russell 1975). Anecdotally, we noticed a higher percentage of quiescent *dex-1* dauers than seen with wild-type dauers. To quantify this behavior, we developed a behavioral assay that quantifies movement following mechanical stimulation (see Materials and Methods). Although both *dex-1* mutant and wild-type dauers initially respond to mechanical stimulation, the *dex-1* mutant dauers have significantly reduced locomotion and display slightly uncoordinated body movements

17

18

19

20

21

22

- 1 (Figure 1F and data not shown). This locomotion defect was dauer-specific, as non-
- 2 dauer dex-1 animals moved at wild-type levels following mechanical stimulation (Figure
- 3 S1).
- Given that dex-1 was primarily expressed in the seam cells during dauer, we tested
- if seam cell-specific expression could rescue the behavioral phenotype. Surprisingly,
- seam cell-specific dex-1 expression completely rescued the dex-1 dauer locomotion
- 7 defects (Figure 1F). In addition to seam cell remodeling, several neuron types remodel
- 8 during dauer formation (Albert and Riddle 1983). For example, the IL2 and deirid
- 9 sensory neurons remodel during dauer formation (Albert and Riddle 1983; Schroeder et
- al. 2013). The IL2s regulate dauer-specific behaviors (Lee et al. 2011; Schroeder et al.
- 2013), while the deirids respond to specific mechanical cues (Sawin et al. 2000). We,
- therefore, examined these neuron classes using fluorescent reporters; however, we
- observed no obvious difference in the neuronal structures between dex-1 and wild-type
- 14 (data not shown).

dex-1 expression in dauers is regulated by DAF-16

- To understand how *dex-1* expression is regulated, we examined the 5' upstream
- region of *dex-1* for potential regulatory sites. Previous Chromatin Immunoprecipitation
- (ChIP-seq) data identified a putative DAF-16 binding site upstream of the dex-1 coding
- region (Celniker et al. 2009). DAF-16 is the sole C. elegans ortholog of the human
- 20 Forkhead BoxO-type transcription factor and a major regulator of the dauer decision. To
- 21 examine whether this region affects expression of dex-1, we first expressed GFP from a
- truncated 2.1kb dex-1 promoter. Unlike the 5.7kb dex-1 promoter fusion, the short dex-1
- promoter drove GFP expression in the seam cells during all larval stages (Figure 2, C

- and D). This suggests there are elements within the long promoter that repress dex-1 1 expression during non-dauer stages. While mutations in *daf-16* result in animals 2 incapable of forming dauers, under conditions of high pheromone concentrations daf-16 3 mutants can enter into a partial dauer state (Vowels and Thomas 1992; Gottlieb and 4 Ruvkun 1994). daf-16 partial dauers are identifiable by body morphology and the 5 6 presence of indistinct lateral alae (Vowels and Thomas 1992). To determine if DAF-16 is regulating dex-1 expression during dauer, we first examined the expression of the 5.7 7 kb dex-1p::gfp reporter in daf-16 partial dauers. We found that the fluorescent intensity 8 9 of dex-1p::qfp was significantly reduced in the daf-16 partial dauers compared to wildtype, suggesting that DAF-16 regulates dex-1 seam cell expression during dauer 10 (Figure 4, B-D). 11 FOXO/DAF-16 binds to canonical DAF-16 binding elements and insulin response 12 sequences (IRS) (Paradis and Ruvkun 1998; Obsil and Obsilova 2008). Within the 13 14 ChIP-seg identified region (Celniker et al. 2009), we identified a putative insulin response sequence (IRS) binding site (Figure 4A). To determine whether the identified 15 DAF-16 IRS site directly regulates dex-1 expression, we deleted the IRS sequence in 16 17 the 5.7kb dex-1 promoter region used to drive GFP. We found that deleting the IRS sequence results in reduced GFP expression in the seam cells during dauer, similar to 18 19 the levels observed in daf-16 partial dauers (Figure 4, B-E). Taken together, these 20 results indicate that a repressor element lies within the 5.7kb promoter and that DAF-16
 - dex-1 interacts with genes encoding ZP-domain proteins

22

binding to the IRS acts to derepress *dex-1* expression during dauer formation.

DEX-1 interacts with the ZP-domain protein DYF-7 to regulate primary dendrite 1 extension during embryogenesis (Heiman and Shaham 2009). We, therefore, examined 2 the dyf-7(m537) mutant for defects in dauer morphogenesis. Unlike dex-1 mutants, dyf-3 7 mutants are defective for dauer formation under typical dauer-inducing environmental 4 conditions (Starich et al. 1995). We, therefore, examined dyf-7 mutants in dauer 5 6 formation constitutive mutant backgrounds. Both daf-2; dyf-7 and daf-7; dyf-7 double mutants were normal for dauer-specific radial shrinkage, SDS resistance, and IL2 7 arborization (data not shown). 8 9 The cuticlin (CUT) proteins are a family of ZP-domain proteins including several that are required for proper dauer morphology. Disruption of cut-1, cut-5 and cut-6 result in 10 dauers with incomplete radial shrinkage and defective alae formation (Sebastiano et al. 11 1991; Muriel et al. 2003; Sapio et al. 2005b). We asked whether these defects in CUT 12 mutant larvae were due to seam cell remodeling. We found that, similar to dex-1, the 13 14 seam cells of the CUT mutants were rectangular with jagged edges (Figure 5). Also similar to dex-1, we found that cut-1 and cut-5 dauers were more sensitive to SDS 15 compared with wild type dauers (Table 1). Interestingly, while cut-6 mutant dauers were 16 17 resistant to the standard 1% SDS treatment (Muriel et al. 2003), we found that the cut-6 mutant dauers were substantially more sensitive to SDS than wild-type dauers (Table 18 1). Taken together, these data indicate similar roles for DEX-1 and cuticlins during 19 dauer remodeling. 20 We hypothesized that, similar to its interaction with DYF-7 during embryogenesis, 21 22 DEX-1 may interact with the CUT proteins during dauer. We, therefore, examined double mutants of dex-1 with cut-1, cut-5, and cut-6. The dex-1; cut-1 double mutant did 23

- 1 not enhance SDS sensitivity beyond the *dex-1* single mutant, suggesting that they may
- 2 act in the same pathway to regulate dauer remodeling. The *dex-1; cut-5* double mutant
- was synthetically lethal during embryogenesis or early L1. This is similar to the *dex-1*;
- 4 *dyf-7* double mutant (Heiman and Shaham 2009), suggesting that in addition to roles in
- 5 dauer remodeling, *cut-5* and *dex-1* have redundant roles during embryogenesis.
- 6 However, unlike the *dex-1; dyf-7* double mutant (Heiman and Shaham 2009), the *dex-1;*
- 7 *cut-5* synthetic lethality did not display temperature sensitivity (data not shown).
- 8 Interestingly, the *dex-1cut-6* double mutant was intermediate in SDS sensitivity between
- 9 the dex-1 and cut-6 single mutants (Table 1).
- We further tested the *cut* mutant phenotypes by generating double mutants between
- each of the *cut* mutants (Table 1). The *cut-1;cut-5* double mutant showed a significant
- reduction of SDS resistance compared to single mutants alone, suggesting that they
- may be acting in parallel pathways. The *cut-1;cut-6* double mutants retained the *cut-6*
- SDS sensitivity phenotype, suggesting that *cut-6* is epistatic to *cut-1*. The *cut-6;cut-5*
- dauers showed a drastic increase in sensitivity to SDS compared to the single mutants,
- indicating that these genes may also play roles in parallel pathways during dauer
- 17 remodeling. Interestingly, the *cut-6*: *cut-5* double mutant showed a severe dumpy
- phenotype in all developmental stages. These results confirm previous work
- demonstrating a broad role for ZP-domain proteins in development (Heiman and
- 20 Shaham 2009; Gill et al. 2016).

Discussion

21

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

The dauer stage of *C. elegans* is an excellent example of a polyphenism, where distinct phenotypes are produced by the same genotype via environmental regulation (Simpson et al. 2011). Compared to the decision to enter dauer, little is known about the molecular mechanisms controlling remodeling of dauer morphology. DEX-1 was previously characterized as a component of embryonic neuronal development (Heiman and Shaham 2009). Our data shows that DEX-1 also functions during dauer-specific remodeling of the stem cell-like seam cells. We demonstrate a cell-autonomous role for DEX-1 in the regulation of seam cell remodeling during dauer morphogenesis. We found that dex-1 is required for the shrinkage of the seam cells and formation of lateral alae during dauer. Furthermore, we found that dex-1 dauers have significantly more seam cells than wild-type dauers, suggesting ectopic divisions during dauer diapause. In mammalian cell lines, stem cell shape regulates differentiation (McBeath et al. 2004; Kilian et al. 2010). For example, mesenchymal stem cells will differentiate into adipocytes or osteoblasts depending on whether the cell is round or flat, respectively (McBeath et al. 2004). We speculate that the shrinkage observed during dauer may be important for maintenance of seam cell quiescence. We also found the *dex-1* mutant dauers have defects in locomotion when mechanically stimulated. We originally assumed that this could be due to the lack of lateral alae; however, our seam-cell specific rescue of dex-1 resulted in a mosaic pattern of alae formation while having complete rescue of behavior. We, therefore, speculate that dex-1 mutant dauers may have defects in other neuron classes that result in locomotion defects during dauer. Previous RNAi data shows that knockdown of dex-1 results in low penetrance defects in motor neuron commissure formation (Schmitz

et al. 2007). Dauers exhibit changes in somatic muscle structure (Dixon et al. 2008). It 1 will be interesting to determine if dex-1 mutants have dauer-specific defects in 2 3 neuromuscular junctions. 4 Dissection of the genetic pathways regulating the decision to enter dauer has revealed insights into TGF-β, insulin and hormone signaling. The FOXO transcription 5 6 factor, DAF-16, is a well-known regulator of the dauer formation decision by acting 7 downstream of the insulin/IGF-1 receptor DAF-2. Dauer-inducing environmental 8 conditions lead to a translocation of DAF-16 to the nucleus where it activates dauer 9 formation pathways (Lee et al. 2001; Fielenbach and Antebi 2008). We found that dex-1 expression during dauer is regulated by DAF-16. Based on our results we propose that 10 DEX-1 is normally repressed during non-dauer post-embryonic stages and DAF-16 11 serves to derepress dex-1 expression via an upstream insulin response sequence. The 12 dex-1p::gfp fluorescence was not completely eliminated in the daf-16 partial dauers and 13 14 the IRS-deletion dauers suggesting that both dex-1 repressors and other regulatory elements remain to be identified. 15 Together with previous work (Heiman and Shaham 2009), our data suggests that 16 DEX-1 may interact with ZP-domain proteins. While the *cut* mutants are all deletion 17 alleles that disrupt the ZP-domains and, therefore, likely functional nulls, the sole dex-18 19 1(ns42) allele is a nonsense mutation that disrupts a region resembling mammalian zonadhesin (Heiman and Shaham 2009). It is possible that dex-1 is a non-null and, 20 21 therefore, genetic interaction experiments should be interpreted with caution. It has 22 been proposed that biochemical compaction of the cuticlins in the extracellular space

between the seam and the hypodermis causes radial constriction, and thus forms the

lateral alae via a 'cuticlin tether' (Sapio et al. 2005). We add to this by proposing that 1 DEX-1 may function as a molecular binding hub for multimerized cuticlins. Our data 2 indicate that DEX-1 acts in a cell autonomous manner within the seam cells. DEX-1 3 includes a transmembrane domain and, therefore, may function as a transmembrane 4 anchor while simultaneously binding the multimerized cuticlins in the extracellular space 5 6 (Figure 6). Alternatively, DEX-1 could act as a secreted factor with restricted localization to the apical border of the seam cell. During embryogenesis, DEX-1 is secreted and 7 localized to the dendritic tips (Heiman and Shaham, 2009). In both models, DEX-1 may 8 9 serve to couple physical interactions between the remodeled cuticular extracellular matrix and seam cell shape (Figure 6). Failure of these tissues to properly compact and 10 thicken due to a loss of DEX-1 could lead to an overall weakening of the cuticle and 11 thus result in SDS sensitivity observed in dex-1 mutant dauers. Interestingly, DEX-1 is 12 similar to the human extracellular matrix protein SNED1 (Sushi, nidogen, and EGF-like 13 14 Domain 1) that promotes invasiveness during breast cancer metastasis (Naba et al. 2014), suggestive of a mechanical role in tissue remodeling. Previous research 15 demonstrated a role for autophagy dauer-specific seam cell remodeling (Melendez et al. 16 17 2003). It will be interesting to determine if dauer-specific changes to autophagy are

Acknowledgments

18

19

23

- We thank Max Heiman for reagents and advice, and Scott Robinson at the Beckman
- Institute for assistance with electron microscopy. This work was supported by the
- National Institutes of Health (grant R01GM111566 to NES).

influenced through DEX-1 mediated mechanical forces.

Literature Cited

- Albert P. S., Riddle D. L., 1983 Developmental alterations in sensory neuroanatomy of
- the Caenorhabditis elegans dauer larva. J. Comp. Neurol. 219: 461–81.
- 3 Androwski R. J., Flatt K. M., Schroeder N. E., 2017 Phenotypic plasticity and
- 4 remodeling in the stress-induced *Caenorhabditis elegans* dauer. Wiley Interdiscip.
- 5 Rev. Dev. Biol. 6: e278.
- 6 Blaxter M. L., 1993 Cuticle surface proteins of wild type and mutant *Caenorhabditis*
- 7 *elegans*. J. Biol. Chem. 268: 6600–9.
- 8 Blelloch R., Santa Anna-Arriola S., Gao D., Li Y., Hodgkin J., et al., 1999 The gon-1
- gene is required for gonadal morphogenesis in *Caenorhabditis elegans*. Dev. Biol.
- 10 216: 382-93
- Brenner S., 1974 The genetics of *Caenorhabditis elegans*. Genetics 77: 71–94.
- 12 Cassada R. C., Russell R. L., 1975 The dauerlarva, a post-embryonic developmental
- variant of the nematode *Caenorhabditis elegans*. Dev. Biol. 46: 326–342.
- 14 Celniker S. E., Dillon L. A. L., Gerstein M. B., Gunsalus K. C., Henikoff S., et al., 2009
- Unlocking the secrets of the genome. Nature 459: 927–30.
- 16 Cox G. N., Staprans S., Edgar R. S., 1981 The cuticle of *Caenorhabditis elegans*. Dev.
- 17 Biol. 86: 456–470.
- Dixon S. J., Alexander M., Chan K. K. M., Roy P. J., 2008 Insulin-like signaling
- negatively regulates muscle arm extension through DAF-12 in *Caenorhabditis*
- 20 *elegans*. Dev. Biol. 318: 153–161.
- 21 Fielenbach N., Antebi A., 2008 *C. elegans* dauer formation and the molecular basis of

- 1 plasticity. Genes Dev. 22: 2149–65.
- 2 Gill H. K., Cohen J. D., Ayala-Figueroa J., Forman-Rubinsky R., Poggioli C., et al., 2016
- Integrity of marrow epithelial tubes in the *C. elegans* excretory system requires a
- 4 transient luminal matrix. PLOS Genet. 12: e1006205.
- 5 Gilleard J. S., Barry J. D., Johnstone I. L., 1997 cis regulatory requirements for
- 6 hypodermal cell-specific expression of the *Caenorhabditis elegans* cuticle collagen
- 7 gene *dpy-7*. Mol. Cell. Biol. 17: 2301–11.
- 8 Golden J. W., Riddle D. L., 1982 A pheromone influences larval development in the
- 9 nematode *Caenorhabditis elegans*. Science 218: 578–80.
- Golden J. W., Riddle D. L., 1984 The *Caenorhabditis elegans* dauer larva:
- Developmental effects of pheromone, food, and temperature. Dev. Biol. 102: 368–
- 12 378.
- Gottlieb S., Ruvkun G., 1994 *daf-2*, *daf-16* and *daf-23*: genetically interacting genes
- controlling dauer formation in *Caenorhabditis elegans*. Genetics 137.
- Heiman M. G., Shaham S., 2009 DEX-1 and DYF-7 establish sensory dendrite length
- by anchoring dendritic tips during cell migration. Cell 137: 344–355.
- Kilian K. A., Bugarija B., Lahn B. T., Mrksich M., 2010 Geometric cues for directing the
- differentiation of mesenchymal stem cells. Proc. Natl. Acad. Sci. U. S. A. 107:
- 19 4872–7.
- Köppen M., Simske J. S., Sims P. A., Firestein B. L., Hall D. H., et al., 2001 Cooperative
- regulation of AJM-1 controls junctional integrity in *Caenorhabditis elegans* epithelia.

- 1 Nat. Cell Biol. 3: 983–991.
- Lee R. Y. N., Hench J., Ruvkun G., 2001 Regulation of *C. elegans* DAF-16 and its
- human ortholog FKHRL1 by the *daf-2* insulin-like signaling pathway. Curr. Biol. 11:
- 4 1950-7
- 5 Lee H., Choi M., Lee D., Kim H., Hwang H., et al., 2011 Nictation, a dispersal behavior
- of the nematode *Caenorhabditis elegans*, is regulated by IL2 neurons. Nat.
- 7 Neurosci. 15: 107–112.
- 8 Liu T., Zimmerman K. K., Patterson G. I., 2004 Regulation of signaling genes by
- TGFbeta during entry into dauer diapause in *C. elegans*. BMC Dev. Biol. 4: 11.
- Liu Z., Budiharjo A., Wang P., Shi H., Fang J., et al., 2013 The highly modified microcin
- peptide plantazolicin is associated with nematicidal activity of *Bacillus*
- *amyloliquefaciens* FZB42. Appl. Microbiol. Biotechnol. 97: 10081–10090.
- McBeath R., Pirone D. M., Nelson C. M., Bhadriraju K., Chen C. S., 2004 Cell shape,
- cytoskeletal tension, and RhoA regulate stem cell lineage commitment. Dev. Cell 6:
- 15 483**–**95.
- McCloy R. A., Rogers S., Caldon C. E., Lorca T., Castro A., et al., 2014 Partial inhibition
- of Cdk1 in G₂ phase overrides the SAC and decouples mitotic events. Cell Cycle
- 18 13: 1400–1412.
- 19 Melendez A., Talloczy Z., Seaman M., Eskelinen E.-L., Hall D. H., et al., 2003
- 20 Autophagy genes are essential for dauer development and life-span extension in *C*.
- 21 *elegans*. Science (80-.). 301: 1387–91.

- Mello C. C., Kramer J. M., Stinchcomb D., Ambros V., 1991 Efficient gene transfer in
- 2 *C.elegans*: extrachromosomal maintenance and integration of transforming
- sequences. EMBO J. 10: 3959–70.
- 4 Muriel J. M., Brannan M., Taylor K., Johnstone I. L., Lithgow G. J., et al., 2003 M142.2
- 5 (*cut-6*), a novel *Caenorhabditis elegans* matrix gene important for dauer body
- 6 shape. Dev Biol 260: 339–351.
- Naba A., Clauser K. R., Lamar J. M., Carr S. A., Hynes R. O., 2014 Extracellular matrix
- signatures of human mammary carcinoma identify novel metastasis promoters.
- 9 Elife 3: e01308.
- Nika L., Gibson T., Konkus R., Karp X., 2016 Fluorescent beads are a versatile tool for
- staging Caenorhabditis elegans in different life histories. G3
- Genes|Genomes|Genetics: g3.116.030163.
- Obsil T., Obsilova V., 2008 Structure/function relationships underlying regulation of
- FOXO transcription factors. Oncogene 27: 2263–2275.
- Ouellet J., Li S., Roy R., 2008 Notch signalling is required for both dauer maintenance
- and recovery in *C. elegans*. Development 135: 2583–92.
- Paradis S., Ruvkun G., 1998 Caenorhabditis elegans Akt / PKB transduces insulin
- receptor-like signals from AGE-1 PI3 kinase to the DAF-16 transcription factor. :
- 19 2488–2498.
- 20 Pener M. P., Simpson S. J., 2009 Locust phase polyphenism: An update. Adv. In Insect
- 21 Phys. 36: 1–272.

- 1 Riddle D. L., Swanson M. M., Albert P. S., 1981 Interacting genes in nematode dauer
- 2 larva formation. Nature 290: 668–671.
- 3 Sapio M. R., Hilliard M. A., Cermola M., Favre R., Bazzicalupo P., 2005 The Zona
- 4 Pellucida domain containing proteins, CUT-1, CUT-3 and CUT-5, play essential
- roles in the development of the larval alae in Caenorhabditis elegans. Dev. Biol.
- 6 282: 231–245.
- 5 Sawin E. R., Ranganathan R., Horvitz H. R., 2000 *C. elegans* locomotory rate is
- 8 modulated by the environment through a dopaminergic pathway and by experience
- 9 through a serotonergic pathway. Neuron 26: 619–31.
- Schmitz C., Kinge P., Hutter H., 2007 Axon guidance genes identified in a large-scale
- 11 RNAi screen using the RNAi-hypersensitive Caenorhabditis elegans strain nre-
- 12 1(hd20) lin-15b(hd126). Proc. Natl. Acad. Sci. U. S. A. 104: 834–9.
- Schroeder N. E., Androwski R. J., Rashid A., Lee H., Lee J., et al., 2013 Dauer-specific
- dendrite arborization in *C. elegans* is regulated by KPC-1/furin. Curr. Biol. 23.
- Schroeder N. E., Flatt K. M., 2014 In vivo imaging of dauer-specific neuronal remodeling
- in *C. elegans*. J. Vis. Exp.: e51834.
- Sebastiano M., Lassandro F., Bazzicalupo P., 1991 *cut-1* a *Caenorhabditis elegans*
- gene coding for a dauer-specific noncollagenous component of the cuticle. Dev.
- 19 Biol. 146: 519–530.
- Simpson S. J., Sword G. A., Lo N., 2011 Polyphenism in insects. Curr. Biol. 21: R738-
- 21 R749.

- Singh R. N., Sulston J. E., 1978 Some observations on moulting in *Caenorhabditis*
- 2 *elegans*. Nematologica 24: 63–71.
- 3 Starich T. A., Herman R. K., Kari C. K., Yeh W. H., Schackwitz W. S., et al., 1995
- 4 Mutations affecting the chemosensory neurons of *Caenorhabditis elegans*.
- 5 Genetics 139: 171–88.
- 6 Sulston J. E., Horvitz H. R., 1977 Post-embryonic cell lineages of the nematode,
- 7 Caenorhabditis elegans. Dev. Biol. 56: 110–156.
- 8 Vowels J. J., Thomas J. H., 1992 Genetic analysis of chemosensory control of dauer
- 9 formation in *Caenorhabditis elegans*. Genetics 130: 105–123.
- Windig J. J., 1994 Reaction norms and the genetic basis of phenotypic plasticity in the
- wing pattern of the butterfly *Bicyclus anynana*. J. Evol. Biol. 7: 665–695.

Figure Legends

12

- Figure 1. dex-1 mutants form partial dauers. Wild-type dauers (A) have prominent
- lateral alae, while *dex-1* mutant dauers' (B) alae are indistinct. Arrows point to the
- position of the lateral alae. Scale bar, 10µm. (C) dex-1 mutant dauers are defective for
- dauer-specific radial shrinkage. The radial shrinkage defect can be rescued from both
- the endogenous *dex-1* promoter and a seam cell-specific promoter (*cut-5p::dex-1*).
- 19 Error bars, SEM. Different letters above bars indicate statistically significant differences
- $(\alpha = 0.05, n = 20)$. (D) Dose response survival assay to SDS. dex-1 dauers are sensitive
- to SDS compared to wild-type dauers, but are able to survive low levels of SDS

- exposure. Non-dauer animals are sensitive at all tested SDS concentrations (n = 60).
- 2 (E) Percent survival of dauers to 0.1 % SDS. dex-1 mutant dauer SDS sensitivity
- 3 phenotype was rescued using both endogenous dex-1 and a seam cell-specific
- 4 promoter. Data was analyzed using a one proportion exact method analysis. Error bars,
- 95% C.I. (n = 60). (G) dex-1 mutant dauers are sluggish when mechanically stimulated.
- 6 Expression of dex-1 from the seam cells rescued the locomotion response to wild-type
- 7 levels. Data were analyzed by Kruskal-Wallis test with Dunn's multiple comparisons test
- 8 ($\alpha = 0.05$, n = 40). Error bars, SEM.

- Figure 2. The 5.7 kb upstream promoter region of dex-1 contains dauer-specific
- regulatory elements. Dorsoventral view of (A) dauer and lateral view of (B) non-dauer
- animals expressing GFP from a 5.7kb upstream promoter region of *dex-1*. Fluorescence
- in the seam is observed exclusively during dauer (Arrowheads indicate the seam cells).
- Dorsoventral view of a (C) dauer and lateral view of (D) non-dauer expressing GFP from
- a 2.1kb upstream promoter region. Using the short promoter, GFP expression is
- observed in the seam cells during all larval stages including dauer. Scale bar, 10µm.
- 18 Figure 3. dex-1 mutant dauers have defects in seam cell shrinkage. Lateral view
- micrographs of (A) wild type and (B) dex-1 dauers expressing the apical junctions
- 20 marker *ncls13* [ajm-1::gfp]. The seam cells of wild-type dauers are elongated and
- smooth, while *dex-1* mutant seam cells are rectangular with jagged edges. Scale bar,
- 10µm.(C) Quantification of seam cell area as measured with the ajm-1::gfp reporter

- demonstrates that seam cells in dex-1 mutant dauers are also significantly larger than in
- wild-type dauers. Data were analyzed by an unpaired t-test (dex-1 dauer n = 14, WT
- dauer n = 15). Error bars, SEM.

17

- 5 Figure 4. dex-1 expression in the seam cells is regulated by DAF-16. (A) We
- 6 identified a putative DAF-16 IRS binding site (in all caps) ~2.8 kb upstream from the
- 7 dex-1 ATG start site. (B) Quantification and (C-E) dorsoventral view micrographs of
- 8 GFP expression driven by the 5.7 kB *dex-1* promoter in dauers. Expression of GFP from
- 9 the 5.7 kB *dex-1* promoter in a (C) wild-type background produces bright fluorescence
- in the seam cells during dauer (Also see Figure 2A). Fluorescent intensity is reduced in
- (D) daf-16(m27) partial dauer mutant background. Deletion of the DAF-16 IRS
- sequence (E) from the 5.7kb promoter region also significantly reduces GFP expression
- in the seam cells during dauer. Arrowheads indicate seam cells. Scale bar, 10µm.
- Different letters above graph indicate statistically significant differences using a One-
- way ANOVA with Bonferroni's multiple comparisons correction ($\alpha = 0.05$, n = 10). Error
- bars represent the SEM.
- Figure 5. Cuticlin mutants phenocopy the *dex-1* mutant seam cell phenotype
- during dauer. Lateral view of wild-type, dex-1 and cuticlin mutant dauers expressing
- the apical junctions marker *ajm-1p::GFP*. The seam cells in cuticlin mutants are jagged
- 21 and rectangular, closely resembling those of *dex-1* mutant dauers. Scale bar, 10µm.

- Figure 6. Models of DEX-1 interaction with cuticlin proteins. DEX-1 acts in a cell-
- 2 autonomous manner along with the cuticlin proteins to facilitate alae formation. CUT-1
- and CUT-5 are localized to ribbons directly below the alae, while CUT-6 localizes to the
- 4 edges of the lateral cuticle (Muriel et al., 2003, Sapio et al., 2005). We propose that
- 5 DEX-1 acts as a molecular anchor in the seam cell membrane to interact with
- 6 multimerized cuticlin proteins in the cuticle. Interactions between DEX-1 and the
- 7 cuticlins leads to compaction of the seam cell. Compaction of the seam leads to the
- 8 formation of dauer-specific alae. Alternatively, DEX-1 may be secreted, but highly
- 9 localized to the apical membrane of the seam cell. In this model, DEX-1 functions as a
- binding hub by facilitating interactions between the cuticlin proteins in the extracellular
- 11 space.

TABLE 1 SDS concentration necessary to kill 50% of animals tested for each genotype.

STRAIN	LD ₅₀ ^a
WT dauer	>10% ±
WT L3	<0.01 ±
dex-1(ns42)	0.15 ± 0.03
cut-1(tm1126)	0.28 ± 0.05
cut-6(ok1919)	2.02 ± 0.15
cut-5(ok2005)	0.25 ± 0.06
cut-1;dex-1	0.20 ± 0.03
dex-1;cut-6	0.56 ± 0.29
dex-1;cut-5	^b
cut-1;cut-5	0.08 ± 0.02
cut-1;cut-6	1.85 ± 0.10
cut-6;cut-5	0.01 ± ^c
2	

^a 50% lethal dose ± 95% confidence interval ^b Synthetic lethal at L1 ^c Synthetic dumpy at all stages

Figure 1

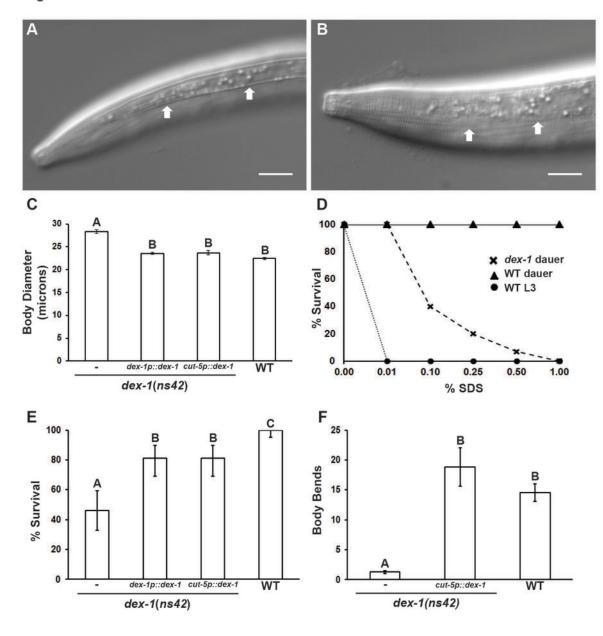


Figure 2

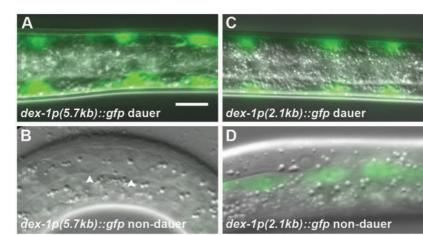


Figure 3

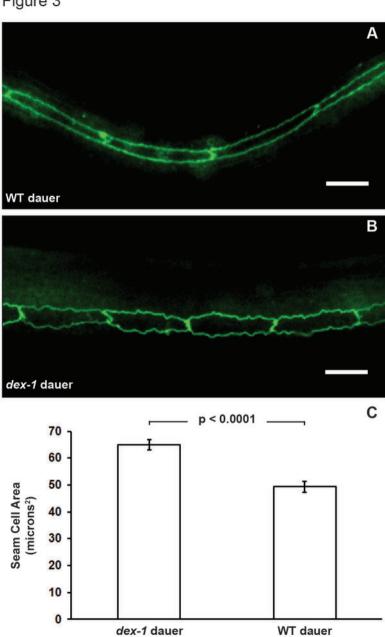


Figure 4

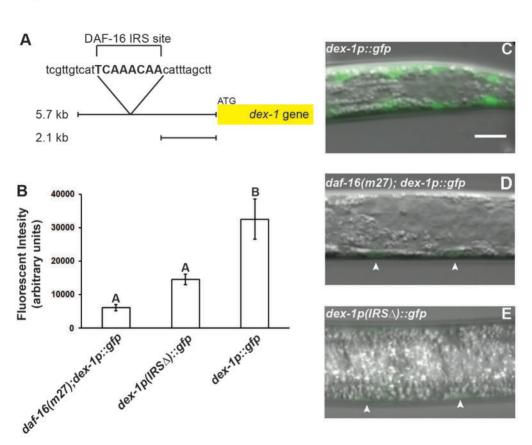


Figure 5

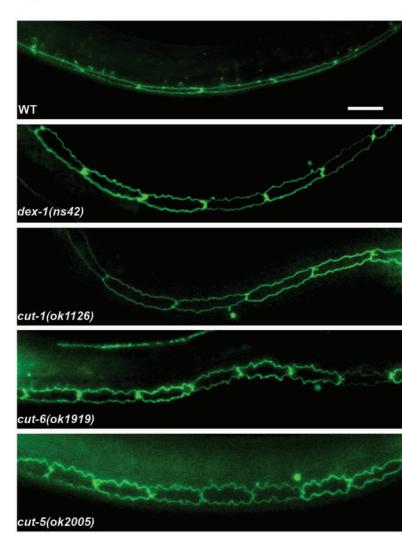
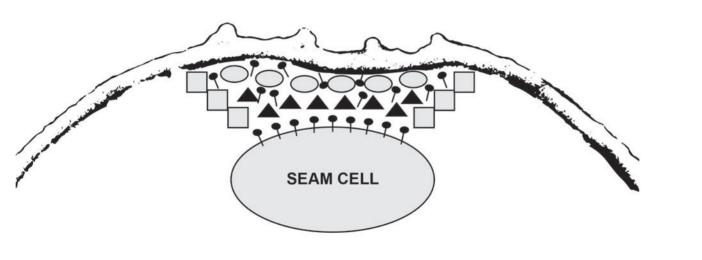


Figure 6



↑ DEX-1 ▲ CUT-5 ☐ CUT-6 ○ CUT-1