1 Detection of race-specific resistance against *Puccinia coronata* f. sp. *avenae* in
2 *Brachypodium* species
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Abstract

Oat crown rust caused by *Puccinia coronata* f. sp. *avenae* is the most destructive foliar disease of cultivated oat. Characterization of genetic factors controlling resistance responses to *Puccinia coronata* f. sp. *avenae* in non-host species could provide new resources for developing disease protection strategies in oat. We examined symptom development and fungal colonization levels of a collection of *Brachypodium distachyon* and *B. hybridum* accessions infected with three North American *P. coronata* f. sp. *avenae* isolates. Our results demonstrated that resistance phenotypes are dependent on both host and pathogen genotypes, indicating a role for race-specific responses in these interactions. These responses were independent of the accumulation of reactive oxygen species. Expression analysis of several defense-related genes suggested that salicylic acid and ethylene-mediated signaling, but not jasmonic acid are components of resistance reaction to *P. coronata* f. sp. *avenae*. Our findings suggest that effector-triggered immunity contributes to non-host resistance to *P. coronata* f. sp. *avenae* in *Brachypodium* species and provide the basis to conduct a genetic inheritance study to examine this hypothesis.

Keywords non-host, oat, crown rust, resistance, susceptibility, effector

Introduction

Oat crown rust caused by the obligate biotrophic rust fungus *Puccinia coronata* f. sp*. avenae* is the most widespread and damaging foliar disease of cultivated oat (*Avena sativa* L.) (Nazareno et al. 2017). Symptoms of crown rust infection manifest in the foliar tissue, causing a reduction in photosynthetic capacity and thus affecting grain size and quality (Holland and Munkvold 2001; Simons 1985). The most sustainable method to control this devastating disease is the use of genetic resistance (Carson 2011). Novel sources of genetic resistance may therefore translate into novel crop protection strategies.

In general, plant disease resistance to would-be pathogens can be conferred by either constitutive or induced barriers (Heath 2000; Nurnberger and Lipka 2005). Physical barriers, such as rigid cell walls and waxy cuticles as well as preformed antimicrobial compounds are some of the constitutive obstacles that explain why plants are immune to most microbes. Nevertheless, certain microbes have evolved strategies to overcome these barriers, and in such instances plant-microbe incompatibility is based upon pathogen recognition and the induction of plant defense responses (Heath 2000, Periyannan et al. 2017).

Inducible defense responses in plants are mediated by a tightly regulated two-tier immune recognition system that, depending on the physiological characteristics of the potential pathogen, may or may not be effective at preventing microbial colonization (Dodds and

Rathjen 2010). The first layer of microbial recognition is controlled by cell surface

associated receptors, named pattern recognition receptors (PRRs). PRRs recognize

conserved and essential microbial molecules known as pathogen-associated molecular

patterns (PAMPs) and/or plant derived damage-associated molecular patterns (DAMPs).

This recognition leads to the activation of a range of broad-spectrum basal defenses that

constitute PAMP-triggered immunity (PTI) (Zipfel 2008). PAMPs in pathogenic fungi like

the crown rust pathogen include chitin or xylanases, which are essential constituents of

the fungal cell wall. In contrast, DAMPs are not necessarily pathogen derived and include

plant cell wall fragments and plant peptides released during infection.

Adapted pathogens manipulate PTI signaling events and suppress basal defenses by

secreting a suite of effector proteins into plant cells, thereby enabling successful plant

colonization in some instances (Toruno et al. 2016). However, disease resistance against

adapted pathogens can still occur if the plant can recognize one or more of these secreted

effector molecules. Effector recognition generally occurs by plant intracellular nucleotide

binding leucine rich repeat (NB-LRR) receptors that each induce defense responses upon

recognition of a cognate pathogen effector, also known as an avirulence (Avr) protein.

This second layer of pathogen recognition, referred to as effector-triggered immunity

(ETI), frequently results in a localized hypersensitive cell death response at attempted

infection sites (HR) and is the underlying molecular basis of the gene-for-gene concept

(Dodds and Rathjen 2010; Ellis et al. 2014; Flor 1971). ETI is highly specific with

resistance only occurring if the adapted pathogen isolate expresses an effector that is

recognized by a corresponding NB-LRR in the infected plant.

The delivery of rust effector proteins into host plants is mediated by distinct fungal infection structures called haustoria (Catanzariti et al. 2006; Garnica et al. 2014; Panstruga and Dodds 2009). Only a small number of effectors have been characterized in rust fungi (Chen et al. 2017; Maia et al. 2017; Ravensdale et al. 2011; Salcedo et al. 2017), however, genome-wide effector mining suggests that rust pathogens may deploy hundreds of effector molecules via the haustorium during infection (Cantu et al. 2013; Duplessis et al. 2011; Hacquard et al. 2012; Nemri et al. 2014; Rutter et al. 2017). Oat crown rust populations are typified by a complex race-structure, which likely originates from variation in the array of effectors present in different pathogen genotypes (Carson 2011; Chong et al. 2000; Nazareno et al. 2017). For years, oat breeding programs have relied upon naturally occurring resistance in *Avena* spp., most likely mediated by *NB-LRR* genes, to protect against crown rust. However, the resistance of these oat varieties is often rapidly overcome by evolution of the crown rust pathogen to avoid host plant recognition. Achieving increased durability in oat crown rust resistance therefore requires new sources of genetic immunity to be identified and further advances made in our understanding of the molecular basis of rust recognition in host and non-host plant species.

Non-host plant species potentially offer an untapped resistance resource. Non-host resistance (NHR) is typically described in the context of a dichotomy that distinguishes it from host resistance. NHR is defined as genotype-independent and effective against all genetic variants of a non-adapted pathogen species, whereas host resistance is

genotype-dependent and effective only against a subset of genetic variants of an adapted

pathogen (Mysore and Ryu 2004). This paradigm has been gradually changing as

accumulating evidence suggests that signaling pathways and defense mechanisms

overlap in non-host and host resistance and that microbial adaptation to plant species

does not conform to a simple qualitative distinction (Bettgenhaeuser et al. 2014; Dawson

et al. 2015; Figueroa et al. 2015; Gill et al. 2015; Thordal-Christensen 2003). Instead,

disease phenotypes observed for different plant and pathogen interactions span a

continuous spectrum of outcomes ranging from immunity to susceptibility, with many

intermediate interactions, making the classification of non-host versus host systems

problematic (Bettgenhaeuser et al. 2014; Dawson et al. 2015). Regardless of the

terminology, identifying the molecular determinants of non-host or intermediate

resistance is of great interest as this type of resistance could be durable and broad-

spectrum and contribute significantly to crop improvement.

Brachypodium distachyon, a small grass closely related to cereals, is considered a non-

host to several rust fungi species related to *P. coronata* f. sp*. avenae*, such as *Puccinia*

emaculata, *Puccinia striiformis, Puccinia graminis* and *Puccinia triticina* (Ayliffe et al.

2013; Barbieri et al. 2011; Bettgenhaeuser et al. 2014; Bossolini et al. 2007; Dawson et

al. 2015; Figueroa et al. 2013, 2015; Gill et al. 2015). Variation in fungal colonization in

some of these interactions suggests that it may be possible to genetically dissect these

types of immune responses and harness them for engineering rust disease resistance

(Figueroa et al. 2015). In this study, we examined the interaction between *P. coronata* f.

sp*. avenae* and *B. distachyon*, as well as *Brachypodium hybridum*. *B. hybridum* is an

allotetraploid species originated from the hybridization of the diploid species *B. distachyon*

and B. stacei (Lopez-Alvarez et al. 2012).

Pathogen susceptibility in plants, particularly to rust fungi, is a process, which remains

poorly characterized. Our study shows that *P. coronata* f. sp*. avenae* can infect *B.*

distachyon and *B. hybridum* leaves and grow extensively, but cannot sporulate. These

findings open the possibility of investigating mechanisms that confer partial rust

susceptibility in *Brachypodium.* Gene expression analysis of an ortholog of the putative

rust susceptibility factor in wheat, which encodes a hexose transporter (Moore et al.

2015), suggested that sugar transport may also be important to sustain the growth of *P.*

coronata f. sp*. avenae* in *B. distachyon.* In addition to this, we found evidence for race-

specific resistance in both species supporting the model proposed by Schulze-Lefert and

Panstruga (2011), which postulates a role of ETI in NHR due to the phylogenetic

relatedness between the non-host and host species. These findings provide a framework

to conduct genetic inheritance studies to dissect recognition of *P. coronata* f. sp. *avenae*

by *B. distachyon* and *B. hybridum* and identify loci governing intermediate oat crown rust

susceptibility.

Materials and Methods

Plant and fungal materials

Twenty-two accessions of *B. distachyon* (ABR6, ABR7, Adi12, Adi13, Adi15, Bd1-1,

Bd18-1, Bd21, Bd21-3, Bd2-3, Bd29-1, Bd30-1, Bd3-1, BdTR10H, BdTR13K, Foz1, J6.2,

Jer1, Koz5, Luc1, Mon3, and Tek4) and three accessions of *B. hybridum* (Bou1, Bel1,

and Pob1) were used in this study. Seeds were obtained from the John Innes Centre

(Dawson et al. 2015), Aberystwyth University (Mur et al. 2011), Joint Genome Institute

and Montana State University (Vogel et al. 2009), Universidad Politécnica de Madrid (Dr.

Elena Benavente) and USDA-ARS Plant Science Unit, St. Paul, MN, U.S.A (Garvin et al.

2008). All plants were increased by single seed descent prior to conducting this study.

The cultivated oat (*Avena sativa*) variety Marvelous was used as a susceptible host to *P.*

coronata f. sp*. avenae*. This study used three North American oat crown rust isolates,

12NC29 (race LBBB) and 12SD80 (race STTG) (Miller et al. 2018) collected in 2012, and

a historic race 203 (race QBQT) known to be avirulent to the oat variety Victoria (Chang

and Sadanaga 1964). Isolates were obtained from the rust collection available at the

USDA-ARS Cereal Disease Laboratory, St. Paul, MN, U.S.A.

Inoculation and pathogen assays

Brachypodium and oat seedlings were grown with 18/6 h light/dark, 24/18°C day/night

cycles and 50% humidity. Urediniospores were activated by heat shock treatment at 45ºC

for 15 min to break cold induced dormancy and suspended in an oil carrier (Isopar M,

ExxonMobil) for spray inoculation. Seedlings of *Brachypodium* accessions and oat were

inoculated using 50 µL of each *P. coronata* f. sp*. avenae* inoculum (10 mg

urediniospores/mL) at three-leaf and first-leaf stages, respectively. For mock inoculation,

seedlings were sprayed with oil without urediniospores. Infected seedlings were placed

in dew chambers in the dark for 12 h with intermittent misting for 2 min every 30 min. After

12 h, misting was stopped and seedlings were exposed to light for 2 h before they were

placed back in growth chambers. Infected primary leaves of oat and secondary leaves of

Brachypodium accessions were collected for analysis. Macroscopic symptoms were

evaluated at 14 days post infection (dpi). Digital images were captured using a

stereomicroscope (Olympus model SZX16).

Analysis of fungal colonization by microscopy

Both infected secondary *Brachypodium* leaves at 14 dpi and infected primary *A. sativa*

(variety Marvelous) leaves at 12 dpi were cut into 1 cm length sections and stained with

wheat germ agglutinin Alexa Fluor® 488 conjugate (WGA-FITC; ThermoFisher Scientific)

to visualize fungal colonies as previously described (Ayliffe et al. 2013; Dawson et al.

2015). Visualization of fungal intracellular growth was carried out using a fluorescence

microscope (Leica model DMLB) under blue light with a 450-490 nM excitation filter. The

percentage of leaf colonized (pCOL) by *P. coronata* f. sp*. avenae* was estimated

according to the method of Dawson et al. (2015) with a modification to report 0, 0.5, 0.75,

and 1 scores for disjointed fields of view with hyphal growth less than 15%, between 15-

50%, 50-75%, and greater than 75%, respectively. Two independent biological replicates

were evaluated per *P. coronata* f. sp*. avenae* isolate (12SD80, 203, and 12NC29) and

each biological replicate included three leaves. pCOL values from all three leaves were

combined to obtain a mean and standard error of the mean. Fungal development was

also examined in whole-mounted infected leaves of *B. distachyon* accessions ABR6 and

Bd21 and oat at 1, 2, 3, and 6 dpi. The percentage of urediniospores that successfully

germinated and formation of various infection structures, including appresorium (AP),

substomatal vesicle (SV), haustorium-mother cell (HMC), and established colonies (EC),

were recorded in WGA-FITC stained samples. Three independent biological replicates

were evaluated per *P. coronata* f. sp*. avenae* isolate (12SD80, 203, and 12NC29).

Analysis of H_2O_2 accumulation

H_2O_2 accumulation was evaluated using 3,3′-diaminobenzidine (DAB) staining as

described by Thordal-Christensen et al. (1997). Infected leaves were stained in 1 mg/mL

DAB aqueous solution at pH 3.8 for 4 h in dark and destained in Farmer's fixative for 12

h. The number of infection sites displaying H_2O_2 accumulation per biological replicate per

isolate was recorded using a light microscope (Leica model DMLB). Each biological

replicate included one to two infected secondary leaves to account for 100 infection sites.

Data represents the sum of all infection sites displaying H_2O_2 accumulation in three

biological replicates.

Quantification of fungal biomass by DNA quantification

Genomic DNA was extracted from infected and mock-treated secondary leaves of *B.*

distachyon accessions ABR6 and Bd21 at 3, 7, and 12 dpi using DNeasy Plant Mini Kit

(Qiagen). The relative abundance of fungal DNA was measured using the Femto™

Fungal DNA Quantification Kit (Zymo Research) based on quantification of ITS regions

using ITS-specific primers and fungal DNA Standards provided by the manufacturer. PCR

was conducted using a CFX96 Real-Time system (Bio-Rad) and thermal cycles were set

for initial denaturation at 95°C for 10 min, 45 cycles of 95°C for 30 s, 50°C for 30 s, 60°C

for 60 s, followed by a final extension cycle at 72°C for 7 min. The *Brachypodium GAPDH*

gene was also quantified in the same DNA samples using gene-specific primers (Hong

et al. 2008). Fungal DNA level was normalized relative to the plant *GAPDH* value in each

sample. At least four biological replicates were analyzed per time point per isolate, with

two technical replicates within each biological replicate.

RNA extraction and RT-qPCR analysis

Total RNA was extracted from infected and mock-treated secondary leaves of *B.*

distachyon accessions ABR6 and Bd21 at 12, 24, 48, and 72 hpi using the RNeasy Plant

Mini Kit (Qiagen). For RT-qPCR, cDNA was synthesized using the PrimeScript First

Strand cDNA Synthesis Kit (Takara) and amplification was performed using the

SensiFAST SYBR Lo-ROX Kit (Bioline). Sequences of gene-specific primers, reported by

Gill et al. (2015) and Mandadi and Scholthof (2012) and those used in our study are listed

in Supplementary Table 1. Expression levels were normalized using the plant *GAPDH*

gene (Hong et al. 2008). PCR thermal cycles were set for initial denaturation at 95°C for

2 min, 40 cycles of 95°C for 5 s, followed by annealing/extension at 60°C for 20 s in a

CFX96 Real-Time PCR system (Bio-Rad). Data was collected from three biological

replicates with two technical replicates within each. Differential expression (DE) values

were calculated as normalized fold changes of the expression using the ΔΔCT method

(Livak and Schmittgen 2001), and DE value ≥ 2 was considered as a significant change

in gene expression.

Results

Variation in resistance response of *Brachypodium* accessions to *P. coronata* f. sp*.*

***avenae* infection**

To examine the ability of *P. coronata* f. sp*. avenae* to infect non-host species *B.*

distachyon and *B. hybridum* we evaluated the disease responses of 25 different

Brachypodium accessions to three North American *P. coronata* f. sp. *avenae* isolates,

12SD80, 203, and 12NC29 (Fig. 1A). All three isolates are fully virulent on the susceptible

oat variety Marvelous with obvious sporulation occurring (Fig 1B, C). In contrast, no

pustules were observed on any *Brachypodium* accession 30 days post-infection, which

suggests that the asexual phase of the *P. coronata* f. sp. *avenae* life cycle cannot be

completed on either *B. distachyon* or *B. hybridum.* However, macroscopic symptoms

were observed on *Brachypodium* accessions in response to challenge with these rust

isolates (Fig. 1D, E, Table 1) with some accessions developing chlorosis and/or necrosis

of varying severity (Fig. 1F, Table 1). These symptoms were dependent upon both the

accession genotype and rust genotype. For example, the necrosis severity of accession

Bd1-1 was high in response to isolate 12SD80 but low upon infection with isolates 203

and 12NC29, whereas chlorosis severity in accession Adi13 was higher when challenged

with isolates 12SD80 and 12NC29 but lower in response to isolate 203. Some

Brachypodium accessions, such as Bd2-3, Bd3-1 and Tek4, reacted differently to all three

rust isolates with different necrosis and chlorosis severities observed in each interaction.

ABR6 consistently showed minimal symptom development, whereas accessions such as

Bd21, Bd21-3 and Koz5 displayed more extreme necrosis and chlorosis responses to all

three isolates.

In parallel, all accessions were analyzed microscopically to determine the extent of *P.*

coronata f. sp. *avenae* infection for each isolate. For all three isolates, urediniospores

germinated to produce appressoria over stomates that penetrated the stomatal apertures

of each accession, indicating that *Brachypodium* accessions are recognized as a potential

host by *P. coronata* f. sp. *avenae* (data not shown). A large variation in rust growth was

observed amongst these *Brachypodium* accessions (Fig. 2A) although growth was

always less than that observed on the oat host. Microscopically no *P. coronata* f. sp.

avenae isolate showed initiation of uredinia on any *Brachypodium* accession confirming

the non-host status of *B. distachyon* and *B. hybridum* to this phytopathogen.

In general, *P. coronata* f. sp. *avenae* isolates 12SD80 and 203, which are more broadly

virulent on the oat differentials than isolate 12NC29, showed more extensive growth on

all tested *Brachypodium* accessions (Fig. 2A). Isolate 12SD80 showed the most growth,

infecting ~79% of the leaf area of accession Bd21-3, while isolate 203 infected ~64% of

the leaf area of accession Adi15. In contrast, the maximum growth observed for isolate

12NC29 was ~41% of the leaf area of accession Koz5. For all three isolates, Koz5 and

Bd21-3 were amongst those *B. distachyon* accessions with the greatest fungal growth,

whereas *B. distachyon* accessions ABR6 and Foz1, as well as *B. hybridum* accession

Pob1, had the least fungal growth.

The fungal growth of each isolate and accession combination was scored relative to the

most fungal growth observed for each isolate (Supplementary Fig. 1). Interestingly, some

accessions were substantially infected by isolates 12SD80 and 203 while growth of

12NC29 was more restricted. For example, accession Bd30-1 had considerable fungal

growth of isolates 12SD80 and 203, but only intermediate growth of isolate 12NC29.

Similarly, isolates 12SD80 and 203 grew extensively on accession Bd3-1 but growth of

isolate 12NC29 was highly restricted. A similar pattern was also observed for accession

BdTR10H. In contrast, accession Tek4 was similarly infected by isolates 12SD80 and

12NC29, but had greater growth of 203, while isolate 12SD80 grew more prolifically on

accession Mon3 than did either isolates 203 and 12NC29.

Remarkably, symptom development and levels of fungal colonization did not correlate

(Table 1, Fig. 2A). For example, Bd29-1 showed extensive necrosis and chlorosis when

inoculated with isolate 12SD80 in contrast to 203, but had high levels of colonization by

both isolates. Accession Bd18-1 developed the highest necrosis and chlorosis in

response to 12NC29 but supported the lowest growth of this isolate. In contrast, the most

obvious symptoms on Jer1 correlated with the greatest fungal growth, in this case by

isolate 12SD80.

The variation in fungal growth occurring on different *Brachypodium* accessions suggests

that it may be possible to dissect the genetic architecture controlling this NHR against *P.*

coronata f. sp. *avenae*. Given the availability of an ABR6 x Bd21 F$_{4:5}$ *B. distachyon* family

(Bettgenhaeuser et al. 2017) and the differences observed in *P. coronata* f. sp. *avenae*

infection patterns (Fig. 2A), these two accessions were further analyzed. To further

confirm the colonization values estimated for ABR6 and Bd21, fungal biomass

accumulation was quantified by qPCR for each rust isolate over a time course experiment

of 3, 7 and 12 dpi (Fig. 2B). Biomass of each isolate increased in both accessions;

however, significant differences in fungal growth were observed between accessions at

7 and 12 dpi, with qPCR data and fungal colonization estimates clearly correlated. Fungal

DNA abundance was higher in accession Bd21 for all three isolates compared with ABR6,

while isolate 12NC29 had the least growth on both accessions.

Development of infection structures of *P. coronata* f. sp. *avenae* isolates on *B.*

***distachyon* ABR6 and Bd21**

The development of infection structures (Fig. 3A) of isolates 12SD80, 203, and 12NC29

in a 1, 2, 3, and 6 dpi time course experiment was compared between ABR6 and Bd21,

with the susceptible oat variety Marvelous included as a positive control. Spore

germination rates (GS) and appressorium development (AP) of all three rust isolates were

similar on ABR6, Bd21 and oat (Fig. 3B). However, fungal growth on both *Brachypodium*

accessions was significantly slower than on susceptible oat, with fewer substomatal

vesicles (SV), haustorium-mother cells (HMC) and established colonies (EC) produced

at 1, 2 and 3 dpi. The infection progression was generally slower on accession ABR6 than

Bd21, while isolate 12SD80 grew at a faster rate on *B. distachyon* compared with the

other two isolates, as demonstrated by the increased percentage of infection sites that

formed SV in both accessions at 1 dpi.

Histological analysis of reactive oxygen species in *B. distachyon* ABR6 and Bd21

The production of H_2O_2 was examined in accessions ABR6 and Bd21 upon infection with

isolates 12SD80, 203, and 12NC29. Tissues were stained with 3,3′-diaminobenzidine at

1, 2, 3, 4, and 5 dpi (Table 2). H_2O_2 did not accumulate in these accessions in response

to infection with isolates 203 and 12NC29, but it was detected in mesophyll cells of ABR6

and Bd21 upon infection with isolate 12SD80 at 2 and 4 dpi, respectively. Despite this

only a minority of infection sites showed H_2O_2 accumulation and often H_2O_2 was also

present in uninfected tissue. These data suggest that H_2O_2 accumulation is not a common

feature of *B. distachyon* NHR to *P. coronata* f. sp. *avenae.*

Transcript profiling of defense-related genes in *B. distachyon* ABR6 and Bd21

To investigate the role of phytohormone-dependent defense responses in *Brachypodium*

upon *P. coronata* f. sp. *avenae* infection, the temporal expression profile of several

defense-related genes involved in SA, ET, and JA signaling pathways, as well as genes

involved in callose synthesis and the phenylpropanoid pathway, were examined. This

analysis was undertaken for ABR6 and Bd21 during the early stages of infection (up to 3

dpi), when there were not significant differences in fungal growth among isolates in both

accessions (Fig. 2B). In general, expression of genes involved in the SA and ET signaling

pathways were induced in ABR6 and Bd21 during the first 48 h post-infection; however,

expression of JA biosynthesis genes was not altered (Fig. 4, Supplementary Fig. 2).

Changes in gene expression were greater in ABR6 than Bd21 upon infection with isolates

12SD80 and 203, but the inverse was observed for isolate 12NC29. These findings

suggest differences between ABR6 and Bd21 in the early signaling responses to *P.*

coronata f. sp. *avenae* infection.

Among the SA signaling pathway genes, expression of *Aberrant Growth Defects 2*

(*AGD2*) peaked at 24 to 48 hpi with isolates 12SD80, 203 and 12NC29. The highest

upregulation of *AGD2* was observed in the interaction of 12SD80 and ABR6. Expression

of *Alternative Oxidase* (*AOX1A*) was induced in ABR6 as early as 12 hpi with isolates

12SD80 and 203, but it was not affected in response to isolate 12NC29. In contrast,

AOX1A was upregulated in Bd21 only at 48 hpi in response to isolates 12SD80 and

12NC29. The *Pathogenesis-related* (*PR*) genes *PR1* and *PR5* showed the greatest

induction amongst all genes tested in response to all three crown rust isolates. The

expression of both genes peaked at 48 hpi except for *PR5* expression after inoculation

with 12NC29 which peaked at 24 hpi. Overall, induction of these SA-responsive genes

occurred in all interactions, but were remarkably stronger in ABR6 when inoculated with

12SD80 and 203, while Bd21 showed similar responses to all three isolates.

Expression of *Ethylene Response Factor 1* (*ERF1*) was maximal at 24 hpi with all three

isolates and, as observed for SA-responsive genes, expression of *ERF1* was particularly

high in ABR6 in response to isolates 12SD80 and 203. However, expression of an ET

biosynthesis gene, *Aminocyclopropane-1-carboxylic Acid Oxidase* (*ACO1*), two JA

biosynthesis genes, *Lipoxygenase 2* (*LOX2*), and *12-oxophytodienoate Reductase 3*

(*OPR3*), *WRKY18* transcription factor, and *callose synthase* did not change in either of

the accessions in response to challenge with any of the three isolates (Supplementary

Fig. 2). Expression of *Phenylalanine Ammonia-Lyase* (*PAL*) was only slightly induced in

ABR6 at 12 hpi with isolate 12SD80. *Cinnamyl Alcohol Dehydrogenase* (*CAD*) reached

maximum induction in both accessions at 24 to 48 hpi with isolates 12SD80 and 203. In

response to infection with isolate 12NC29, this gene was only upregulated in Bd21

between 24 to 48 hpi.

Transcript profiling of *BdSTP13* in *B. distachyon* ABR6 and Bd21

The expression of the *Brachypodium* ortholog of *Lr67 (STP13)* (Bradi1g69710) (Moore et

al. 2015) was examined in accessions ABR6 and Bd21 upon infection with all three rust

isolates, as it acts as a putative hexose transporter. *BdSTP13* was induced in both

accessions in response to the tested rust isolates (Fig. 5). Gene induction peaked at 24

to 48 hpi, except in the interaction between ABR6 and12NC29, which showed low

BdSTP13 transcript accumulation.

Discussion

The lack of effective *P. coronata* f. sp. *avenae* resistance in oat coupled with rapid

evolution of pathogen virulence necessitates a search for new sources of resistance to

control oat crown rust disease. To explore the potential of using *Brachypodium* species

as a germplasm resource for disease resistance against *P. coronata* f. sp. *avenae*, we

have characterized the interaction between three *P. coronata* f. sp. *avenae* isolates and

a panel of *Brachypodium* accessions, including *B. distachyo*n and *B. hybridum*. At the

macroscopic level, variation in resistance phenotypes was observed, which has been

previously reported for other rust interactions with *B. distachyon.* In these studies, *P.*

striiformis, *P. graminis*, and *P. triticina* infection phenotypes varied from immunity to a

range of symptoms that included pustule formation and sporulation (Ayliffe et al. 2013;

Figueroa et al. 2013; Garvin 2011). However, similar to *Brachypodium-P. emaculata*

(switchgrass rust) interactions (Gill et al. 2015), we did not observe *P. coronata* f. sp.

avenae sporulation on any *Brachypodium* accession, which supports the status of *B.*

distachyon and *B. hybridum* as non-hosts for this pathogen*.* Macroscopic infection

symptoms were often associated with chlorosis and/or necrosis; however, it was visually

difficult to confirm if these symptoms were a consequence of *P. coronata* f. sp. *avenae*

infection. Microscopic analysis showed that *P. coronata* f. sp. *avenae* isolates 12SD80,

203, and 12NC29 overcame pre-haustorial resistance defenses and were able to colonize

leaves of all *Brachypodium* accessions tested. These results are similar to the interactions

observed between *P. graminis* f. sp. *tritici* and *B. distachyon* (Ayliffe et al. 2013).

To better understand the phenotypic variation existing between *P. coronata* f. sp. *avenae*

and Brachypodium interactions, we compared the extent of fungal growth on a range of

accessions. A wide range of pathogen growth was observed on different *Brachypodium*

accessions and was dependent on both plant and fungal genotypes. These findings

suggest the presence of race-specific components to resistance, which is likely governed

by variation in effector repertoires of the rust isolates and *R* genes present in the

Brachypodium accessions. Given the close evolutionary relationship between oat and

Brachypodium spp., it is possible that the accessions included in our study carry *R* genes

that can detect effectors of *P. coronata* f. sp. *avenae.* Isolates 12SD80 and 12NC29

display different virulence profiles on the oat differential set and the recent sequencing of

these isolates provides evidence of extensive differences in effector gene complements

of 12SD80 and 12NC29 (Miller et al. 2018). Future studies identifying and comparing the

effector repertoires of rust species, including those in our study and others that infect

Brachypodium (i.e., *Puccinia brachypodii*) may help to explain our findings.

Although the precise contribution of ETI to non-host rust resistance in *Brachypodium*

remains elusive, our findings are generally consistent with the model proposed by

Schulze-Lefert and Panstruga (2011), in which ETI is the major contributor to NHR in

plant species that are closely related to the natural host. Heterologous expression of

fungal and bacterial effectors in several non-host pathosystems supports that effector

recognition by immunoreceptors contributes to resistance (Adlung et al. 2016; Giesbers

et al. 2017; Lee et al. 2014; Stassen et al. 2013; Sumit et al. 2012), although in some

cases effector recognition can occur in the absence of resistance (Giesbers et al. 2017;

Goritschnig et al. 2012). Close examination of *Brachypodium* interactions with *P. coronata*

f. sp. *avenae* (this study), *P. graminis* ff. spp. *tritici*, *avena* and *phalaridis*, *P. triticina*, and

P. striiformis suggests that HR-induced cell death is rare (Ayliffe et al. 2013). However,

the lack of HR in these interactions does not necessarily undermine the contribution of

ETI to non-host resistance, as there are instances when *R* gene-mediated ETI (e.g.,

wheat stem rust resistance gene, *Sr33*) can result in resistance without cell death

(Periyannan et al. 2013). Further studies are needed to better understand the extent of

ETI and HR contributions in non-host resistance given that molecular and genetic factors

in these interactions could be pathosystem-specific.

To investigate the role of ROS in the *B. distachyon* response to *P. coronata* f. sp. *avenae,*

accessions ABR6 and Bd21, which display contrasting infection phenotypes, were

compared. H_2O_2 accumulated *in planta* only in response to isolate 12SD80, which makes

the involvement of this ROS unlikely in the resistance of *Brachypodium* to *P. coronata* f.

sp. *avenae.* In contrast to H_2O_2, phytohormones may play a thus far undefined role in

modulating NHR in *Brachypodium* to rust pathogens.

Plant responses to pathogens are partly regulated through a complex interplay between

salicylic acid (SA), ethylene (ET) and jasmonic acid (JA) signaling pathways (Denancé et

al. 2013). Gill et al. (2015) found that several defense-related genes involved in SA, ET,

and JA signaling pathways were induced in *Brachypodium* accessions infected with *P.*

emaculata. In our study, upregulation of several SA-responsive genes and the key

ethylene response regulator *ERF1* was detected, suggesting that SA and ET-signaling

pathways may positively regulate NHR against *P. coronata* f. sp*. avenae*. The

Pathogenesis-related (*PR*) genes *PR1* and *PR5,* which are typical markers of ETI (Kouzai

et al. 2016, Sels et al. 2008) were upregulated, further supporting a role for effector

recognition in this resistance. JA biosynthesis genes were not induced, making this

hormone unlikely to play an active role in this NHR response. In contrast, *ERF1*, which

acts as a key regulatory element in the ET/JA-dependent defense responses, was

upregulated suggesting that ET-responsive genes may enhance NHR (Berrocal-Lobo et

al. 2002; Lorenzo et al. 2003; Müller and Munné-Bosch 2015). *Phenylalanine Ammonia-*

Lyase (*PAL*) is a key enzyme in biosynthesis of polyphenolic compounds and lignin

precursors and is often associated with host resistance responses against pathogens

(Kalisz et al. 2015). Lignin deposition in response to pathogen attack is usually correlated

with reinforcement of the cell wall and enhanced resistance (Miedes et al. 2014).

Upregulation of *PAL* does not appear to play a significant role in the responses of *B.*

distachyon to *P. coronata* f. sp. *avenae;* however, induction of *CAD* may point to cell wall

alterations in response to isolates 12SD80 and 203. A genome-wide transcriptional

analysis of the interaction of *P. coronata* f. sp. *avenae* with ABR6 and Bd21 will help to

elucidate the involvement of some of these specific processes in NHR, including

phytohormone regulation.

Extensive *P. coronata* f. sp. *avenae* growth in some *Brachypodium* accessions implies

that the pathogen is capable of nutrient accession for a period of time and possibly able

to target susceptibility factors conserved between *Brachypodium* and oat. Little is known

about the mechanisms underlying rust susceptibility. Pathogens can alter sugar

partitioning in the host to accommodate their growth (Lapin and Van den Ackerveken

2013), and thus sugar transporter proteins (STPs) may be targeted by rust fungi to

increase nutrient availability (Dodds and Lagudah 2016). The wheat *STP13* hexose

transporter is implicated in supporting rust pathogens as mutations in this gene leads to

broad-spectrum resistance (Moore et al. 2015). We examined the expression of the ortholog of wheat *STP13* in *Brachypodium* during infection by *P. coronata* f. sp. *avenae*. Interestingly, the highest levels of *BdSTP13* expression were obtained in accession Bd21, which is subjected to more rust growth than ABR6, suggesting that the gene may indeed serve as a susceptibility factor. Our results are consistent with previous findings that show that expression of *Lr67,* and its homoeologs in wheat peaks at 24 hpi upon infection with the leaf rust fungus, *Puccinia triticina*. Gene expression analysis of *Lr67* as well as other *STP13* genes in *Arabidopsis* and grapevine, indicates that the gene is also induced in response to pathogens (Hayes et al. 2010; Lemonnier et al. 2014; Moore et al. 2015). These observations provide a basis to study the contribution of sugar transport to rust susceptibility in an experimental system that is not as complex as in hexaploid oat and wheat.

Several studies have demonstrated the value of mining wild relatives of crop species to introduce disease resistance. Effective race-specific rust resistance has been introgressed into wheat (*Triticum aestivum*) from related species, such as the *Sr50* gene from rye (*Secale cereale*), which confers resistance to wheat stem rust by recognition of the corresponding AvrSr50 effector of *P. graminis* f. sp. *tritici* (Chen et al. 2017; Mago et al. 2015). Similarly, functional transfer of the pigeonpea (*Cajanus cajan*) *CcRpp1* resistance gene into soybean confers resistance against soybean rust (*Phakopsora pachyrhizi*), which demonstrates how heterologous resistance transgenes can be used for crop improvement (Kawashima et al. 2016). This interspecies transfer of disease resistance highlights the possibility that *Brachypodium* genes that confer NHR to *P.*

coronata f. sp. *avenae* could potentially be transferred to oat and provide disease

resistance. As a next step, we are utilizing an ABR6 x Bd21 mapping population to identify

resistance loci that could be tested in *A. sativa*. In summary, our findings indicate that

Brachypodium is a suitable species for evaluating NHR to *P. coronata* f. sp. *avenae* and

is a potential source of novel disease resistance for oat. The rapid expansion of genomic

resources, including fully sequenced genomes and assessment of genetic diversity

among *Brachypodium* genotypes (International *Brachypodium* Initiative 2010; Gordon et

al. 2014, 2017) enables this species to be exploited for engineering disease resistance in

closely related crop species.

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Table 1. Variation of symptoms in *Brachypodium* accessions infected with *P. coronata* f.

sp. *avenae* isolates.

	12SD80		203		12NC29	
Accessions	Chlorosis	Necrosis	Chlorosis	Necrosis	Chlorosis	Necrosis
ABR6	-	+	-	-	-	+
ABR7	+	+	+	+	+	+
Adi12	+++	+	++	+	+	+
Adi13	+++	++	+	+++	++++	++
Adi15	++	++	+++	+	+++	++
Bd1-1	+++	++++	+++	+	+	++
Bd18-1	-	+	+	+	+++	++
Bd21	++	+++	+++	+++	++	++
Bd21-3	++	+++	+++	++	++++	+++
Bd2-3	+++	+++	+	++	+	+
Bd29-1	++	++++	+	+	+	+++
Bd30-1	+	++	+	+	+	+++
Bd3-1	++	++++	+	+++	+++	++
BdTR10H	++	++	+	+	++	++
BdTR13K	+	++	+	++	+	++
Foz1	+	+	+++	++++	+	-
J6.2	+	+	+	+	+	+
Jer1	+	+++	-	+	+	++
Koz5	++	++	+++	++	+++	++
Luc1	+	+	+	-	++	+
Mon3	++	++++	+	+	+++	+
Tek4	-	+++	+	-	+	+
*Bel1	+	++	+	+	+	++++
*Bou1	+	+	+	++	-	+++
*Pob1	-	+++	+	+	+	+

Accessions with asterisks correspond to *B. hybridum* and those without asterisk are *B.*

distachyon accessions. Symbols "+" and "-" show presence or absence of macroscopic

symptoms, respectively, and number of "+" indicates symptom severity with ++++ as

maximum level.

846 **Table 2**. Histological analysis of H_2O_2 accumulation in *B. distachyon* accessions ABR6

847 and Bd21 in response to *P. coronata* f. sp. *avenae* infection.

	1 dpi		2 dpi		3 dpi		4 dpi		5 dpi	
	ABR6	**Bd21**	**ABR6**	**Bd21**	**ABR6**	**Bd21**	**ABR6**	**Bd21**	**ABR6**	**Bd21**
12SD80	-	-	+ (8)	-	+ (9)	-	+ (14)	+ (6)	+ (16)	+ (12)
203	-	-	-	-	-	-	-	-	-	-
12NC29	-	-	-	-	-	-	-	-	-	-

848 Presence (+) or absence (-) of H_2O_2 accumulation is indicated per number of days post

849 inoculation (dpi). Numbers in parentheses indicate the sum of sites displaying H_2O_2

850 accumulation in three biological replicates. Each biological replicate consisted of 100

851 infection sites.

Figure legends

Fig. 1. Infection of oat and *Brachypodium* accessions with *P. coronata* f. sp*. avenae.* **A**.

Virulence phenotypes of *P. coronata* f. sp*. avenae* isolates on oat differentials with

infection types of "0", "0;", ";", ";C", "1;", "1", "2", "3", "3+", and "4" converted to a 0-9

numeric scale, respectively, for heat map generation. **B, C**. Formation of pustules and

sporulation on infected susceptible oat leaves (Marvelous), respectively. **D, E**. Variation

of infection symptoms and fungal colonization, respectively, on four *Brachypodium*

accessions. **F**. Absence (-) or presence (+) of chlorosis and/or necrosis in *Brachypodium*

accessions inoculated with *P. coronata* f. sp*. avenae* at 14 dpi. Level of symptom severity

is indicated by the number of "+" characters. Symptoms correspond to the representative

isolate 12SD80, except for the absence of necrosis which corresponds to isolate 203.

Scale bars indicate 2 mm (B, D, F), 0.5 mm (C, top), 0.25 mm (C, bottom) and 0.5 mm

(E)

Fig. 2. Colonization of *Brachypodium* accessions by *P. coronata* f. sp*. avenae*. **A**. Fungal

growth estimates in foliar tissue for isolates 12SD80, 203, and 12NC29 depicted by the

percentage of colonized area at 14 dpi. Crown rust susceptible oat (*A. sativa*) variety

Marvelous serves as positive experimental control. Each bar represents a mean value in

one biological replicate and error bars represent standard error of mean of three technical

replicates. **B**. Quantification of fungal biomass for each rust isolate in *B. distachyon*

accessions ABR6 (blue line) and Bd21 (orange line). Error bars represent standard error

of mean of at least four biological replicates.

Fig. 3. Fungal development of *P. coronata* f. sp*. avenae* in *Brachypodium* accessions. **A.** Illustration of *P. coronata* f. sp*. avenae* development in the plant. Germinated urediniospores (GS) form a penetration structure appressorium (AP) over a stoma. The fungus enters the mesophyll cavity and differentiates a substomatal vesicle (SV) and infection hypha. The establishment of a rust colony (EC) begins with the formation of a feeding structure (haustorium) which requires differentiation of a haustorial mother cell (HMC) near the hyphal tip. To undergo HMC formation, the rust fungus must come in contact with a mesophyll cell. **B.** Bars show the percentage of interaction sites with germinated urediniospores (GS, light blue), formation of appressorium (AP, orange), substomatal vesicle (SV, gray), haustorium-mother cell (HMC, yellow), established colony (EC, dark blue), and EC with sporulation (SP, green) in a sample of 100 infection sites per biological replicate. Error bars represent standard error of mean of three biological replicates.

Fig. 4. Expression profiling of various defense-related genes in *Brachypodium* accessions in response to *P. coronata* f. sp*. avenae*. Gene expression (fold-change) relative to mock inoculations in rust infected ABR6 (blue) and Bd21 (orange) plants of *Aberrant Growth Defects* 2 (*AGD2*), *Alternative Oxidase* (*AOX1A*), *Pathogenesis-related* (*PR*) *genes, Ethylene Response Factor* 1 (*ERF1*), *Phenylalanine Ammonia-Lyase* (*PAL*), and *Cinnamyl Alcohol Dehydrogenase* (*CAD*). Barplots represent mean values of fold change per time point. Solid colored bars indicate a fold change value of ≥ 2 whereas hatched bars indicate values below this threshold. Error bars represent standard errors of three

biological replicates. Asterisks indicate statistically significant differences ($p \leq 0.05$)

between ABR6 and Bd21 accessions as determined by a *t*-test.

Fig. 5. Expression profiling of *BdSTP13* in *Brachypodium* accessions in response to *P.*

coronata f. sp*. avenae.* Gene expression (fold-change) relative to mock inoculations in

rust infected ABR6 (blue) and Bd21 (orange) plants of the putative hexose transporter

BdSTP13. Solid colored bars indicate a fold change value of ≥ 2 whereas hatched bars

indicate values below this threshold. Error bars represent standard errors of three

biological replicates. Asterisks indicate statistical significant differences ($p \leq 0.05$)

between ABR6 and Bd21 accessions as determined by a t-test.

Supplementary files

Supplementary Fig. 1 shows colonization of *Brachypodium* accessions by *P. coronata*

f. sp*. avenae.* Fungal growth estimate per isolate, with individual isolates 12SD80, 203

and 12NC29 shown as a percentage value relative to the accession displaying the highest

level of colonization for each isolate.

Supplementary Fig. 2 shows expression profiling of various defense-related genes in *B.*

distachyon accessions in response to *P. coronata* f. sp*. avenae.* Gene expression (fold-

change) relative to mock inoculations in rust infected ABR6 (blue) and Bd21 (orange)

plants of *Aminocyclopropane-1-carboxylic Acid Oxidase* (*ACO1*), *Lipoxygenase 2*

(*LOX2*)*, 12-oxophytodienoate Reductase 3* (*OPR3*), *WRKY18* transcription factor, and

callose synthase. Barplots represent mean values of fold change per time point. Solid

colored bars indicate a fold change value of ≥ 2 whereas hatched bars indicate values

below this threshold. Error bars represent standard errors of three biological replicates.

Asterisks indicate statistical significant differences ($p ≤ 0.05$) between ABR6 and Bd21

accessions as determined by a *t*-test.

Fig. 1. Infection of oat and *Brachypodium* accessions with *P. coronata* f. sp*. avenae.*

Fig. 2. Colonization of *Brachypodium* accessions by *P. coronata* f. sp. *avenae*.

Fig. 3. Fungal development of *P. coronata* f. sp*. avenae* in *Brachypodium* accessions.

Fig. 4. Expression profiling of various defense-related genes in *Brachypodium* accessions in response to *P. coronata* f. sp. *avenae.*

Fig. 5. Expression profiling of *BdSTP13* in *Brachypodium* accessions in response to *P. coronata* f. sp. *avenae*.