Climate-fire-vegetation interactions and the rise of novel landscape patterns in subalpine

ecosystems, Colorado

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Running head: climate-wildfire-vegetation interactions

Summary:

1. Wildfire, when coupled with climate change, may catalyze abrupt shifts in forest vegetation patterns and composition. Such vegetation changes may also feedback to further alter the extent and frequency of fires through changes in forest fuels and their continuity.

2. To test these expectations, we obtained six fossil pollen records from lakes across a subalpine landscape in Colorado. Forests there may have responded to climate change and widespread wildfires ca. 1000 years ago when a previous study indicates >80% of sites on the landscape burned within a century. The fires coincided with regional warming, but the extent of burning declined before the climate cooled again. Potentially, post-fire changes in fuel structure and composition contributed to a decline in burning while the warmth persisted.

3. Results of cluster analyses of the pollen percentages indicate that large changes between successive sets of samples coincided with the widespread wildfires at five of the six sites. After the wildfires, sagebrush (*Artemisia*) and other meadow taxa increased as conifers, especially spruce (*Picea*), declined across the landscape, indicating that the forests opened.

4. The opening of the forests may have created fuel breaks across the mountain range that limited wildfire after temperatures rose ~0.5 °C, and the opening became larger as forests opened further during the Little Ice Age (LIA). Pollen assemblages, like those associated with modern bands of meadow and forest at high elevations, known as ribbon forests, only became common across our study sites during the LIA when the effects of the large fires and subsequent cooling interacted.

5. *Synthesis*. The transition to open landscapes after widespread wildfire is consistent with

the hypothesized vegetation-fire-climate feedbacks and illustrates how climate and fire

can interact to rapidly transform landscapes, produce novel vegetation patterns, and

further interact with subsequent disturbance regimes.

Key-words: palaeoecology, abrupt shifts, climate change, disturbance, novel ecosystems,

wildfire, subalpine forests

Introduction

Recent increases in the area burned per year by wildfire and other forest declines around

the world challenge ecologists to consider how disturbances may permanently alter vegetation

patterns and composition (Allen et al., 2010; Turner, Gardner, & O'Neill, 2015). Disturbances

may catalyze large-scale ecological responses to climate change, which could include novel

ecological communities and patterns (Jackson, Betancourt, Booth, & Gray, 2009; Millar &

Stephenson, 2015; Turner, 2010). By creating persistent stress, climate change can alter the

potential responses to disturbance, and thus enable disturbance events to trigger critical

transitions to new ecosystem states (Scheffer, Carpenter, et al., 2012). Such changes may

feedback to further alter the disturbance regimes, and thus permanently alter ecosystem function

as well as structure and composition, and paleoecology can provide retrospective insights on

such dynamics (e.g., Clifford and Booth 2015).

Here, we consider how climate, wildfire, and vegetation interacted in a subalpine

ecosystem over the past two millennia. The setting is ideal for examining of how climate changes

and disturbances interact with each other and with vegetation patterns for several reasons. First,

subalpine forests support stand-replacing fires, which leave a sedimentary record because of the

accumulation of charcoal in lakes. The fires, in turn, influence the composition and structure of

the forests, which is recorded by fossil pollen. Second, high-elevation forests grow at their

climatic limits and are, thus, sensitive to changes in climate over time. Finally, climate can

determine the frequency of wildfires, which often burn most extensively during warm, dry years.

Subalpine ecosystems, thus, exemplify the triangular relationship among climate, fire regimes,

and vegetation (Fig. 1; Whitlock et al. 2010).

We ask whether the interactions among climate, fire, and vegetation can produce

landscape-scale state shifts by examining fossil pollen records across a mountainous landscape

where both climate and fire regimes changed substantially in recent millennia (Fig. 2). Our

previous work in this ecosystem showed that the area burned per century was sensitive to

temperature changes with more than 80% of our study landscape burning when mean annual

temperatures rose ~0.5 °C during Medieval times about 1000 years ago (Calder, Parker, Stopka,

Jiménez-Moreno, & Shuman, 2015). However, the high rates of burning did not persist as long

as the region remained warm, suggesting ecological limits to sustained, large wildfires. We

hypothesized that warming facilitated larger fires, but that the fires drove changes in vegetation

structure that limited the spread of additional fires (Calder et al., 2015). Consistent with this

hypothesis, we also found that the fires at one of our study sites accelerated the local

development of "ribbon forests", a discontinuous mix of linear alpine meadows and ribbons of

conifer forest (Fig. 3; Calder & Shuman, 2017). Fossil pollen indicates that ribbon forests only

developed there after the fires, but were maintained throughout the last millennium by climatic

cooling, which culminated in the Little Ice Age (LIA), a period of cool conditions beginning

about AD 1300 or 650 BP (years before AD 1950)(Calder & Shuman, 2017).

Using additional records, this paper further evaluates two paired hypotheses about the

interactions of climate, fire, and vegetation in subalpine ecosystems. We hypothesize that large

fires can 1) trigger persistent legacies in the pattern of vegetation across a landscape when

climate trends prevent a return to pre-fire states, and 2) produce vegetation changes that feed

back to alter fire regimes. Potentially, such interactions can give rise to novel vegetation patterns,

which may be the case here if ribbon forests had not previously existed within this landscape.

To examine these hypotheses, we reconstructed the vegetation history of the landscape

that includes the Mount Zirkel Wilderness of northern Colorado (Fig. 2). We generated five new

fossil pollen records from across a range of elevations (Table 1). Including our previous study

site (Calder & Shuman, 2017), the network of records includes six lakes with two lakes within

discontinuous ribbon forests, two lakes within mid-elevation conifer forests adjacent to areas of

ribbon forest, and two other lakes within low elevation conifer-aspen forests. All of these

locations show evidence of the large fires at ca. 1100 years before AD 1950 (BP) (Calder et al.,

2015), and can thus provide constraints on the extent and persistence of rapid post-fire vegetation

changes.

Study Sites

Our study area spans across the Park Range, which forms the northern most range along

the Continental Divide in Colorado and is located primarily within the Mount Zirkel Wilderness

area of the Routt National Forest. Each of the lakes cored are similar in size, between 1.9 and 3.8

ha, and similar in depth, between 5 and 10 m deep (Table 1). Elevations within the study area

range from 2000 – 3700 m with greater topographic relief and variability in the northern than

southern half of the range (Fig. 2). Crystalline bedrock, including quartz monzonite, felsic

gneiss, and mica schist dominates the geology (Snyder 1980a,b), and the range was also heavily

glaciated (Atwood, 1937).

Across the lowest elevations, between approximately 2000 and 2800 m, mixed *Pinus*

contorta (lodgepole pine) and *Populus tremuloides* (aspen) forests dominate the forest vegetation

with some *Picea engelmannii* (Engelmann spruce) and *Abies lasiocarpa* (subalpine fir),

particularly on north-facing slopes and along drainages. On the west side of the divide, these

mixed forests adjoin a zone of *Quercus gambelii* (Gambel's oak) with some stands of oak

intermixing within the mosaic of mixed forest on south-facing aspects. Above approximately

2800 m, the vegetation is dominated by spruce-fir forests. At the highest portions of the

mountain range, approximately >3100 m, the spruce-fir forests transition to ribbon forests and

open meadows with patches of spruce-fir forests. The ribbon forests are composed of alternating

bands of spruce-fir forests approximately 10 – 20 m wide and separated by 30 – 70 m wide

meadows (Fig. 3; Billings 1969). Snowdrifts tend to persist late into the summer in these

meadows and may play a role in the pattern and formation of the ribbon forests (Hiemstra,

Liston, & Reiners, 2002; Moir, Rochelle, & Schoettle, 1999). The open meadows between the

ribbons of spruce-fir forests are dominated by grasses, such as *Danthonia intermedia* and

Deschampsia cespitosa, *Artemisia scopulorum* (sagebrush), and flowering plants in the

Asteraceae family.

The two high elevation lake sites, Seven Lakes and Summit Lake, lie near the Continental

Divide and ribbon forests currently surround both the lakes (Table 1; Calder et al. 2014). Summit

Lake lies along a broad plateau with large areas of ribbon forests (Calder et al., 2014). By

contrast, Seven Lakes lies along a narrow ridge where ribbon forests grow but cover only a small

fraction of the source area for the pollen deposited on the lake, assuming a pollen source area of

~6 km radius (Schwartz, 1989).

Ribbon forests also lie within the pollen source areas of two other mid elevation sites,

Gold Creek and Gem lakes. (Table 1). The primary vegetation surrounding Gem Lake is closed

spruce-fir forests, but ribbon forests and open meadows lie 200 m upslope, within 300 m

laterally, of the lake. Similarly, closed spruce-fir forests form the primary vegetation surrounding

the north-facing slopes around Gold Creek Lake, which sits on the south side of a glacially-

carved canyon. Ribbon forests and a mix of open meadows cover much of the nearby landscape

within 1 km where elevations rise 300 m above the lake. At these high elevation lakes (Gem,

Gold Creek, Summit and Seven Lakes), lodgepole pine and other pine species are rare in the

areas surrounding the lakes, which indicates that any *Pinus* pollen found at these spruce-fir sites

likely comes from long-distance transport.

Finally, mosaics of spruce-fir, lodgepole pine, and aspen forests surround the two lowest

elevation sites, Hidden and Hinman lakes. West of the continental divide, open sagebrush parks

and dense Gamble's oak thickets, combined with spruce-fir, lodgepole, and aspen forests, grow

at the low-elevation forest ecotone near Hinman Lake (Table 1). On the east of the divide,

Hidden Lake is surrounded primarily by lodgepole pine and spruce-fir forests, with aspen groves

further upslope.

Methods

The work presented here builds on previous analyses of sediment cores collected from

the six lakes. Calibrated radiocarbon chronologies and sedimentary charcoal stratigraphies used

here are the same as previously published (Calder et al., 2015). Wildfire events, indicated by

peaks in the rate of charcoal accumulation within each core, were calculated using standard

techniques and form the basis for a reconstruction of the percentage of sites burned per century

across the landscape (Calder et al., 2015). To generate the wildfire reconstructions, charcoal

counts from contiguous 1 cm intervals (1-2 cm^3 of sediment) were decomposed to identify fire

episodes (Higuera, Brubaker, Anderson, Hu, & Brown, 2009). The age uncertainty distributions

of the individual fire episodes were calculated using Bchron that models sediment accumulation

rates using a Monte Carlo Markov Chain simulation in R (Haslett & Parnell, 2008; Parnell,

Haslett, Allen, Buck, & Huntley, 2008; R Development Core Team, 2014). By combining the

age uncertainty distributions for all fire episodes across all sites, including six additional charcoal

records not used here for pollen analyses, we estimated the potential range of study sites burned

per century for the last 2000 years (Calder et al., 2015).

Pollen preparation and analyses

To detect the effects of the past fires on vegetation, we added fossil pollen analyses to

five of the six sediment cores. A separate paper describes the detailed pollen record from the

sixth core collected from Summit Lake (Calder & Shuman, 2017). In all cases, pollen was

processed with standard techniques of acid digestion (Faegri, Kaland, & Kzywinski, 1989), and a

minimum of 300 terrestrial grains per sample were counted. Pollen percentages were calculated

from the sum of terrestrial taxa, excluding aquatic and wetland taxa, such as *Cyperaceae*. *Pinus*

percentages were the combined counts from Diploxylon and Haploxylon types. Two researchers

counted the pollen (Calder and Stefanova), and we tested for and corrected researcher-specific

biases in the counts.

In addition to evaluating changes in the percentages of pollen from individual taxa, we

considered changes in the ratio of pollen from the dominant trees (conifers) versus the taxa

representative of open meadows. The ratio of conifer pollen (*Pinus*, *Picea*, and *Abies*) to the

dominant subalpine non-arboreal taxa (*Artemisia*, *Poaceae*, and *Asteraceae*) was calculated

using the terrestrial percentages. For simplicity, we refer to these groups as the conifers and

herbs and shrubs, and refer to the ratio between them as the conifer:herb pollen ratio (C:H) to

avoid confusion with the conventional arboreal:non-arboreal pollen ratio used in many

palynological studies. Low-elevation taxa found primarily in the surrounding intermountain

basins (e.g., *Sarcobatus*) were excluded. Lynch (1996) found that the ratio of C:H can

discriminate between pollen assemblages from closed subalpine forests and high elevation

treeless parks.

At the landscape scale, which we define as the scale represented by all six study sites

together, we calculated the median C:H from all of the mid- and high-elevation sites (Gem Lake,

Gold Creek Lake, Seven Lakes, and Summit Lake). One challenge making comparisons between

pollen records arises from the age uncertainty associated with the individual sediment samples

from each lake. To account for the age uncertainty between lakes, we linearly interpolated each

pollen record 2250 times to 65-year time steps (the median pollen sampling resolution across

sites) using 2250 different age-depth relationships generated in Bchron (Haslett & Parnell, 2008;

Parnell et al., 2008). We then calculated the mean time series of the ratios for all lakes, where

each 65-year time step had a C:H ratio averaged across all four sites from each 2250 different

interpolation possibilities. We then use the median of the ensemble of four lake means, as well as

95th and 5th percentiles of the distribution, for our analysis. For the mean C:H from each lake

record, we evaluated the probabilities of a change point at every time step using Bayesian change

point analysis with the *bcp* R package (Erdman & Emerson, 2007)

Constrained cluster analysis (Grimm, 1987) was also used to evaluate changes in pollen

assemblages within each pollen records using taxa with > 2% representation and clusters

constrained to include only temporally adjacent sets of samples using the R package *rioja*

(Juggins, 2015). The chi-square dissimilarity metric was used to create the dissimilarity matrix

for each cluster analysis with the *analogue* R package (Simpson, 2007) because previous

analyses showed the chi-square dissimilarity metric offered the best separation of dissimilarity

among forests types across our study area (Calder & Shuman, 2017). We compare the timing of

the large fires within the study area to the timing of breaks between clusters with the expectation

that, if fires altered the composition of the vegetation, the timing of fires and cluster breaks

should be correlated.

Unconstrained cluster analysis (not constrained by stratigraphic position) was calculated

with the fossil samples from all six pollen records in the same matrix without age information.

To do so, we used the chi-square dissimilarity and the same taxa list as the constrained cluster

analysis. Previous work using a network of pollen surface samples from the area showed that

pollen samples from the vegetation types of open forests near treeline and closed forests below

treeline were distinguishable from ribbon forests (Calder & Shuman, 2017). Therefore, we used

the first three clusters from the unconstrained cluster analysis to determine the distribution of the

major vegetation types through time across the network of sites.

Results

Pollen Percentages

Elevational differences between the pollen source areas of each lake appear to affect the

pollen assemblages (Figs 4 – 6). Summit Lake (Fig. 4b), which is functionally the highest site as

the broad plateau allows for the greatest amount of ribbon forests, contains high *Picea* (>20%)

and *Artemisia* (>40%) and low *Pinus* (<40%) pollen percentages. The records from the sites near

large areas of mid-elevation forests (Seven, Gem, and Gold Creek lakes) contain intermediate

percentages of *Pinus*, *Picea*, and *Artemisia* pollen. The pollen percentages from Seven, Gem and

Gold Creek lakes fall between the high *Picea* and *Artemisia* and low *Pinus* percentages at

Summit Lake and the low *Picea* (<10%) and *Artemisia* (<20%) and high *Pinus* (>50%) pollen

percentages of the low-elevation lakes, Hidden and Hinman lakes (Figs 4 – 6). Hidden and

Hinman lakes also contain the highest percentages (~5%) of *Quercus* pollen (Fig. 6).

In most of the pollen records, however, changes in *Pinus*, *Picea*, and *Artemisia* pollen

percentages define the important differences with time, with the minor taxa (*Sarcobatus,*

Ambrosia, and Amaranthaceae) differing little through time (Figs 4 – 6). *Pinus* pollen

percentages declined towards the top of each core at all sites, except for Hidden Lake, and

Artemisia pollen percentages increased as *Pinus* declined. At Hidden Lake, *Pinus* and *Artemisia*

percentages varied through time, but with no consistent trend (Fig. 6a). Several of the sites,

particularly Seven and Gold Creek lakes, also contain peaks in *Quercus* pollen percentages at ca.

1000 BP and again in the last 300 years (Figs 4a and 5b).

At Summit Lake, *Picea* pollen percentages declined from >25% to <10% in the last 1000

years, especially after a sharp rise in *Artemisia* pollen percentages to >30% at 987 BP associated

with the local expression of the large fires (plus symbols within the red bar, Fig. 4b). The

maximum *Pinus* pollen percentages were reached just prior to this transition. The decline in

Pinus at 1021– 987 BP coincides with a step shift in C:H from >2 to <1 (Fig. 4b) and a 0.77

probability of a change point at 1035 BP in the interpolated C:H.

At Seven Lakes, important changes also include a sharp decline in *Picea* pollen

percentages to <10% from >15% by 1132 BP when two charcoal peaks represent the local

expression of the extensive fires (plus symbols within the red bar, Fig. 4a). As at Summit Lake, a

brief peak in *Pinus* pollen percentages, which reached >50%, preceded the decline at Seven

Lakes (Fig. 4). The changes produced a shift in the mean C:H from 1.5 to 1 that distinguishes the

periods before and after ca. 1000 BP and a 0.98 change point probability beginning at 1235 BP

in the interpolated C:H.

Changes at Gem Lake include a decline in *Pinus* pollen percentages from >40% to ~30%

at ca. 1000 BP in association with the charcoal peaks representative of the large fires (plus

symbols within the red bar, Fig. 5a). Like the highest elevation sites, Summit Lake and Seven

Lakes, *Pinus* pollen percentages at Gem Lake reached their maximum just before that decline.

Artemisia rose subsequently and obtained its local maximum after 780 BP.

At Gold Creek Lake, *Pinus* and *Picea* pollen percentages declined sharply at 1101 BP in

association with the local expression of the widespread fires, and when *Artemisia* pollen

percentages increased stepwise by >10% (Fig. 5b). The C:H ratio also declined to <2 after 1101

BP and remained below 2 for the rest of the record. At 1165 the interpolated C:H declines with a

0.65 probability of change point. *Artemisia* pollen percentages did not reach their local

maximum (>30%), however, until after another sharp >10% increase after 500 BP. An early

phase of high *Pinus* pollen percentages, like those observed at Hidden and Hinman lakes

(>50%), dates to 2000 – 1800 BP at Gold Creek, but another short-lived maximum preceded the

fires at 1188 BP.

The pollen record from Hidden Lake contains more variability in C:H ratios than the

other lakes with a sharp decline at ca. 1500 BP and a sharp increase at ca. 500 BP (Fig. 6a). The

changes represent a tradeoff between high *Pinus* and *Artemisia* pollen percentages, which

culminated in a *Pinus* minimum and *Artemisia* maximum associated with peaks in Poaceae and

herbaceous taxa at ca. 600 BP after the only fire episode detected from 1000 – 100 BP. The

interval at ca. 600 BP includes the highest rates of charcoal accumulation in the core (Calder et

al., 2015).

Finally, Hinman Lake experienced many changes like those observed at higher

elevations, including maximum *Pinus* pollen percentages at 1136 BP before the local expression

of the large-scale fires and a sharp rise in *Artemisia* pollen percentages to >20% (Fig. 6b). *Pinus*

pollen percentages declined at the same time, ultimately reaching a minimum from ca. 300 – 100

BP when both *Abies* and Asteraceae pollen percentages also reached maxima. The C:H ratio

likewise fell from >4 from ca.1500 to 1136 BP to <2 in most samples from ca. 600 – 100 BP.

The decline in the interpolated C:H at 1100 BP has a 1.00 probability of a change point.

Pollen Zones

Because of the varied local changes, a break in high order clusters of pollen samples was

located between ca.1200 and 1000 BP at all sites except for Gem Lake. The boundaries between

stratigraphic clusters (pollen zones), therefore, overlap in time with the most widespread fires

(red bars, Figs 4 – 7). At Summit Lake, the largest separation of clusters falls between pollen

samples with median ages of 1021 and 987 BP (Fig. 4b). At Seven Lakes, the second largest

cluster break dates between 1275 and 1132 BP but given the age uncertainties and spacing of

pollen samples, the break is not significantly different in time from the break at Summit Lake or

the most widespread fires (red bar, Fig. 4). At Gold Creek Lake, a third order break falls between

1188 – 1101 BP, and at Hidden Lake between 1005 – 956 BP (Figs 5b and 6a). A high order

separation between clusters also dates to 1136 – 1081 BP at Hinman Lake, although the first-

order break dates to ca. 300 BP (Fig. 6b). At Gem Lake, however, the largest separation between

clusters dates to 780 – 705 BP (Fig. 5a), which is consistent with other high order cluster breaks

at sites such as Gold Creek and Hinman that could be associated with forest changes associated

with the LIA.

The median C:H ratios from the mid- and high-elevation sites (Seven, Summit, Gem, and

Gold Creek lakes) summarizes the differences between the clusters of pollen samples before and

after 1021 (1275 – 956) BP (Fig. 7). Before the breaks in the constrained cluster analysis (Figs 4

– 6), and the peak in the area burned, which dates to 1130 – 1030 BP (Fig. 7a; Calder et al.,

2015), the median C:H ratio varied between 2.49 and 1.97. However, from 1165 – 970 BP, the

median ratio declined from 2.11 to 1.67, which was the largest single period of decline since

2000 BP (Fig. 7c). Afterwards, the ratio continued to decline and varied between 1.67 and 1.36

from 970 to 60 BP, in parallel with regional cooling (Fig. 7).

Unconstrained cluster analysis

A cluster analysis of all the pollen samples, which was not constrained by their temporal

position, indicates that the samples fall into three major groups (Fig. 8). The top cluster contains

the modern sample from Summit Lake, which is the most representative of ribbon forests of any

of the sites. At Summit Lake, most samples after the fires ca. 1000 years ago fall into this first,

ribbon forest cluster (Fig 8). The top cluster later became important at Gem and Gold Creek

lakes, although the modern samples from each of these sites, as well as early samples from

Summit Lake, are grouped within the middle cluster. The middle cluster, therefore, appears to

represent closed spruce-fir forests and was most important in the early part of all the records

except at Hidden and Hinman lakes. The third cluster includes the low-elevation samples from

Hidden and Hinman lakes, and therefore, represents mixed forests of lodgepole, aspen, spruce,

and fir.

The opening of the forests at most sites began sharply at the time of the fires at each site

(Figs 4 – 7), but the cluster analysis indicates that only the vegetation changes at Summit Lake

exceeded the differences between elevational zones today at that time (Fig. 8). The continued

trend toward increased *Artemisia* and reduced conifer pollen percentages (e.g., Fig. 7c) was

required before Gem and Gold Creek lakes shifted from one cluster to another during the LIA

(Fig. 8). Thus, the ribbon forest cluster became widespread by ca. 500 BP, even though it had

been rare prior to 1000 BP. Indeed, the Seven Lakes record extends to >4000 BP and Summit

Lake extends to >2800 BP, but neither lake contains samples that cluster with the ribbon forests

around Summit Lake today before ca. 2000 BP. Even then, the ribbon forest cluster only

registers intermittently at Summit Lake from 2000 – 1000 BP, when regional isotopic datasets

indicate another earlier cool or snowy interval (Anderson, 2011; Anderson, Berkelhammer, &

Mast, 2015), and it only becomes important across the landscape after 1000 – 500 BP (Fig. 5).

The pollen source area of Seven Lakes never became dominated by ribbon forest, but during the

LIA, ribbon forests became most extensive across the Park Range based on their expansion near

Gem and Gold Creek lakes (Fig. 5).

Discussion

Vegetation changes

The pollen data support our first hypothesis that large fires can trigger vegetation changes

with legacies that persist across the landscape. Climate trends, such as cooling that culminated in

the LIA after ca. 650 BP (Fig. 7a), played a first-order role in shaping forest composition (Calder

and Shuman, 2017) and determined the time when the modern patterns of forest types developed.

Ribbon forests, for example, became most extensive during the LIA (Fig. 8). At nearly all the

study sites, however, pollen assemblages changed after the most widespread wildfires of the last

2000 years. Five of the six pollen records show high order clustering before and after the peak in

the area burned by wildfires (Figs 4 – 6). The median ratio of C:H pollen indicates that extensive

Medieval wildfires (Fig. 7b) accelerated a decline in tree cover (Fig. 7c) even though warming at

the time (Fig. 7a) may have initially favored an expansion of forest cover (Fig. 7c), often

represented by pre-fire maxima in *Pinus* pollen percentages (*Pinus* peaks below red lines in Fig.

4-5). After the fires, the relative abundance of open meadow taxa rapidly increased and remained

high for the last 1000 years (Figs 4 – 7).

The vegetation changes ca. 1000 years ago also produced pollen assemblages without

many earlier analogs. The cluster of pollen samples that today includes samples from the modern

ribbon forest at Summit Lake only became important across the landscape in the last millennium

(Fig. 8), indicating that the vegetation patterns first began to develop a modern configuration in

the last 2000 years after the extensive fires ca. 1000 BP and then the cooling that marked the LIA

after ca. 650 BP. Forest openings were not limited to these high elevation areas, however,

because large changes were also observed near the low-elevation ecotone at Hinman Lake (Fig.

6b) and because the high-elevation ribbon forests represent only a small portion of the pollen

source area of the six lakes. At Hinman Lake, forest opening may represent the expansion of

meadows in areas of cold air drainage, which would also be consistent with an increase in *Abies*

pollen percentages there by ca. 700 BP (Fig. 6b).

The apparent vegetation legacies of the fires persisted far longer than expected if only

ecological factors, such as limitations on seed dispersal, had impacted forest recovery. The

persistence of the changes may, instead, reflect the effects of regional climate changes after the

wildfires, including severe Medieval droughts (Cook, Woodhouse, Eakin, Meko, & Stahle, 2004;

Woodhouse, Meko, MacDonald, Stahle, & Cook, 2010) and later regional cooling or increased

snow precipitation (Anderson et al., 2015; Mann et al., 2009; Trouet et al., 2013). Both drought

and later cooling could have reduced post-fire recruitment (Harvey, Donato, & Turner, 2016;

Peet, 1981) and prevented post-fire recovery to the previous forest state across the mountain

range. As a result, the synchronized wildfires catalyzed ecological changes across the landscape,

which were then sustained by long-term climate shifts favorable to open forests (Calder &

Shuman, 2017; Turner, 2010). Ultimately, cooling associated with the LIA (Fig. 7a) was

probably important because it could have limited germination and recruitment at high elevation

and in areas of cold air drainage, such as the sagebrush park below Hinman Lake (Coop &

Givnish, 2008), and ribbon forests may have been most extensive at that time (Fig. 8).

Pre-fire conditions

Before the widespread wildfires, increases in forest cover may have created optimal

conditions for the spread of large fires. As temperature began to increase at the beginning of the

Medieval period (MCA in Fig. 7a), the percentages of *Pinus* or *Picea* pollen increased to a peak

at 5 of the 6 sites. At Summit, Gem, Gold Creek, and Hinman lakes, *Pinus* pollen reached

maxima of 38-71% at 1188 – 1136 BP. At Seven Lakes, both *Picea* and *Pinus* pollen percentages

rose to near their maximum of the last 2400 years (16% and 54%, respectively). The combined

records indicate that the pre-fire maximum of the median C:H ratio represents a meaningful

phase of the landscape history (Fig. 7c), which could be consistent with increased forest density

during the initial phases of regional warming (Fig. 7a). Regional warmth may also be indicated

by peaks in the long-distance transport of *Quercus* pollen at the same time (Figs 4 and 5).

With the regional warming, conifers may have invaded high elevation meadows and

areas of cold air drainage. Competitive advantages caused by the warming or outbreaks of spruce

beetles (*Dendroctonus rufipennis* Kirby) could have favored *Pinus* over *Picea* at Summit Lake

(Berg, David Henry, Fastie, De Volder, & Matsuoka, 2006; Veblen, Hadley, Reid, & Rebertus,

1991), which was similar to the replacement of *Picea* by *Pinus* at Gold Creek Lake during an

earlier period of warmth or low snow cover ca. 2000 BP (Figs 4b and 5b; Anderson 2011;

Anderson *et al.* 2015).

Peaks in *Quercus* pollen percentages at Seven and Gold Creek lakes at ca. 1000 BP may

also represent a response to warming (Figs 4a and 5b). The long-distance dispersal of pollen

from Gamble's oak populations on the west slope of the Park Range could indicate that the

populations expanded upslope, especially into south facing microsites, as the landscape warmed.

Increased effective moisture during the last millennium may have also been a factor in their

expansion (Anderson 2011; Shuman et al., 2009), while the opening of the forests around the

high-elevation lakes over the last millennium may have also favored the deposition of remotely

dispersed oak pollen.

Post-fire conditions

After the widespread wildfires, the four high elevation pollen records indicate a rapid

decline in conifer abundance relative to shrub and herb pollen (Fig. 7c). The open forest types

that developed include the then-novel assemblage that today encompasses the high-elevation

ribbon forests observed near Summit Lake (Fig. 8). Fire probably favored the rapid formation of

the first large areas of ribbon forests (Calder & Shuman, 2017), and subsequent cooling favored

their spread as indicated by the appearance of the same cluster at Gem and Gold Creek lakes by

ca. 500 BP (Fig. 8). Increases in *Artemisia* pollen percentages at sites like Hinman Lake (Fig.

6b), however, illustrate that the forests also opened in other ways after the fires, such as through

the creation of new low elevation meadows.

The recovery of *Pinus* pollen percentages after the sharp fire-related minimum at Gold

Creek Lake (red bar, Fig. 5b) indicates that some successional recovery followed the fires. In

fact, the response at Gold Creek Lake first includes a peak in the percentages of *Artemisia* and

herbaceaous taxa at ca.1100 BP, then a brief maximum in *Pinus* pollen percentages, and finally

an increase in *Picea* pollen percentages, which is not unlike the successional sequence in these

forests today. The apparent successional recovery at Gold Creek Lake culminated, however, in a

lower C:H ratio than observed before the wildfires (Fig. 5b). At Hidden Lake, the shift toward an

open forest was not persistent and may also be consistent with its elevation, where neither high-

elevation low temperatures nor winter temperature inversions in low-elevation areas would have

been a factor (Fig. 6a). Also, the fires may have been less severe near Hidden Lake than in other

areas.

Why changes were detected

Detecting paleoecological changes in response to wildfire is difficult. Contemporary

examples show that wildfires can trigger abrupt vegetation changes, and many paleoecological

studies have attempted to understand the effects of wildfire on century to millennia time scales

across a variety of biomes (Clifford & Booth, 2015; Green, 1982; Nelson, Hu, Grimm, Curry, &

Slate, 2006; Umbanhowar, 2004). However, in multiple subalpine ecosystem studies, no

consistent patterns were observed between detected fires and the pollen records (Minckley &

Long, 2016; Shriver & Minckley, 2012). One challenge associated with detecting the influence

of fires on vegetation arises from differences in pollen and charcoal source areas.

Individual lake records, like individual fire scarred trees, only record fire within a point

on the landscape. As a result, charcoal peaks cannot be used to determine whether a fire burned a

small or large portion of the surrounding ecosystem (Gavin, Brubaker, & Lertzman, 2003). In

fact, most wildfires that produce significant charcoal accumulation peaks do not burn across the

entire pollen or charcoal source area (Gavin et al. 2003), leaving vegetation intact to continue

contributing pollen to a sediment record and obfuscating any record of the vegetative responses

to wildfire. Analyzing pollen at one spatial and temporal scale, and charcoal at another,

inevitably creates patterns related to differing scales rather than the desired wildfire-climate-

vegetation interactions (Wiens 1989).

Our composite record of the percentage of sites burned per century (Fig. 7b; Calder et al.,

2015) may, however, resolve the problem by sampling wildfire at spatial scales closer to the

scale of the full pollen source area. Without a composite fire record it would be hard to

determine *a priori* which individual lake charcoal peaks would be expected to create a large

change in the pollen records. Most local fires (plus symbols, Figs 4 – 6) did not produce any

apparent changes in our pollen records. Localized fire events at Hidden Lake ca. 650 and ca.1450

BP may be notable exceptions because they were followed by changes in the pollen assemblages

consistent with an opening of the surrounding landscape, but this type of response in these

individual records is the exception rather than the rule. The coincidence of widespread evidence

of fires (Fig. 7b) and sharp, widespread declines in tree abundances at ca. 1100 BP (Figs 4 – 6

and 7c), however, are consistent with the expectation that the spread of exceptionally large fires

could substantially influence the mix of pollen dispersed across the landscape. That the change

persisted because of subsequent climatic limitations on forest recovery further increased the

likelihood of detection.

Fire feedbacks

The increase in non-arboreal pollen percentages at the high elevations lakes likely

represents the development of ribbon forests, large meadows, and potentially new areas of tundra

across the landscape (Fig. 7c). The opening of the high elevation forests could have created fuel

breaks across the mountain range, which would be consistent with our second hypothesis that

vegetation changes could feedback to alter fire regimes. Such limits on the spread of wildfire

may explain why the area burned per century declined after ca. 1100 BP even while warmth

persisted in the region (Fig. 7a, b), especially if severe Medieval droughts delayed forest

recovery (Calder et al., 2015; Cook et al., 2004; Woodhouse et al., 2010). Indeed, isolated high-

elevation sites, like Seven Lakes, recorded almost no fires in the past millennium once the pollen

records show an increase in non-arboreal pollen (Fig. 4a; Calder et al. 2014). The changes in

wildfire and vegetation indicate that the relationships among components of the fire-regime

triangle (Fig. 1) were sensitive to small (~0.5 °C) climate changes and the particular sequence of

events.

The coincident decline in arboreal pollen percentages and in wildfire frequency when

temperatures remained elevated (ca. 1000 BP in Fig. 7) indicates an important vegetation

feedback in the fire-regime triangle (Fig. 1d). The change supports our first hypothesis that fires

in conifer forests can facilitate critical transitions and the development of multiple states, defined

by high and low forest cover that depends upon the fire history (Scheffer, Hirota, Holmgren, Van

Nes, & Chapin, 2012). Open areas may then further limit the spread of fire. In boreal forests, past

fire-vegetation feedbacks increased less flammable deciduous taxa and, thus, limited the area

burned by subsequent fires even after regional temperatures increased (Girardin et al., 2013;

Kelly et al., 2013; J. A. Lynch, Clark, Bigelow, Edwards, & Finney, 2002). Likewise, negative

fire-vegetation feedbacks indicate that models based strictly on climate-fire relationships will

over-predict the area burned in boreal forests (Heon, Arseneault, & Parisien, 2014). Future

climate change will likely synchronize widespread fires across subalpine landscapes (Westerling,

Turner, Smithwick, Romme, & Ryan, 2011), but the evidence here indicates that climate-fire-

vegetation feedbacks could alter forest density or composition at high elevations, and limit or

modify the long-term wildfire responses (Fig. 7).

Conclusions

Climate change influences both vegetation and wildfire (Fig. 1), and the history of the

Mount Zirkel Wilderness indicates that small temperature increases (~0.5 °C) can result in

widespread wildfires and large vegetation changes. Wildfire regimes responded nonlinearly to

temperature as the percentage of sites burned declined while temperatures were still elevated

(Calder et al., 2015). The nonlinearity most likely arose because vegetation also responded

nonlinearly through interacting effects of wildfire and climate change. Fires killed trees across

the landscape, and the sharp shift in vegetation patterns and composition altered fuel structures

and continuity. The vegetation change, thus, prevented additional large fires from burning, and

as a result, the ecosystem, including both its vegetation and disturbance regimes, shifted

abruptly. Novel patterns and forest types emerged, especially as climate continued to change and

shape the legacies of the fires (Fig. 8). These changes underscore the potential for forests to

undergo critical transitions as ongoing climate changes alter the relationships among climate,

vegetation, and wildfire.

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access/paleoclimatology-data/datasets/fire-history). The pollen data will be made available upon

publication in the Neotoma Paleoecology Database (www.neotomadb.org/).

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Table 1. Lake site information

Lake	Elevation (m)	Latitude	Longitude	Coring date	Water depth (m)	Lake Size (ha)	Surrounding forest type
Seven Lake	3276	40.896	-106.681	8/16/2011	5.75	3.2	ribbon forests
Summit Lake	3149	40.545	-106.682	8/19/2010	5.85	1.9	ribbon forests
Gem Lake	3101	40.881	-106.734	8/9/2012	6.5	2.8	spruce-fir
Gold Creek	2917	40.781	-106.678	7/9/2012	10.6	3.7	spruce-fir
Hidden Lake	2704	40.504	-106.607	6/24/2005	6.6	3.8	mixed lodgepole, spruce-fir, & aspen
Hinman Lake	2501	40.771	-106.827	6/21/2012	>5	2.7	mixed lodgepole, spruce-fir, & aspen

Figures

Fig. 1. Fire regime triangle indicating the relationship between climate change, wildfire, and

vegetation. Climate influences both vegetation and wildfire, and vegetation and wildfire can

interact to influence each other.

Fig. 2. Coring locations for the lake sites in and around the Mount Zirkel Wilderness Area in

northern Colorado.

675

677 **Fig. 3.** Ribbon forests within our study area.

679

682 **Fig. 4.** Terrestrial pollen percentages from the highest elevation sites. Plus symbols represent fire

683 events detected within the individual cores and red bars highlight the century of peak landscape

684 scale wildfires shown in Figure 7.

Fig. 5. Terrestrial pollen percentages from the mid elevation sites. Plus symbols represent fire

events detected within the individual cores and red bars highlight the century of peak landscape

scale wildfires shown in Figure 7.

Fig. 6. Terrestrial pollen percentages from the lowest elevation sites: Hidden Lake (A) and

Hinman Lake (B). Plus symbols represent fire events detected within the individual cores and red

bars highlight the century of peak landscape scale wildfires shown in Figure 7.

Fig. 7. Vegetation change across the landscape after a rise in mean annual temperature in North

America (a; from Trouet et al. 2013) and percent sites burned (b; from Calder et al. 2015) with

median C:H ratios (c) from the four highest elevation sites (Summit Lake, Seven Lakes, Gem

Lake, and Gold Creek Lake). Orange bands in (a) represent two standard errors around the mean

(black), and grey bands in (b) and (c) represent 90% confidence bands around median percent

sites burned and pollen ratio.

712 **Fig. 8.** Unconstrained cluster analysis of the first three clusters from all sites separated by site

713 through time. The vertical line indicates the time of peak landscape wildfires centered at 1130

714 BP.