1   **Effects of adaptive harvesting on fishing down processes and resilience**

2   **changes in predator-prey and tritrophic systems**

3

4   Eric Tromeur[1,2], Nicolas Loeuille[1]

5

6   [1] Sorbonne Université, Université de Paris-Cité, UPEC, CNRS, INRA, IRD, UMR 7618,

7   Institute of Ecology and Environmental Sciences – Paris, France

8   [2] AgroParisTech, Univ Paris-Saclay, Palaiseau, France

9

10   **Abstract**

11   Many world fisheries display a declining mean trophic level of catches. This "fishing down

12   the food web" is often attributed to reduced densities of high-trophic-level species. We show

13   here that the fishing down pattern can actually emerge from the adaptive harvesting of two-

14   and three-species food webs, where changes in fishing patterns are driven by the relative

15   profitabilities of the harvested species. Shifting fishing patterns from a focus on higher

16   trophic levels to a focus on lower trophic levels can yield abrupt changes in the system,

17   strongly impacting species densities. In predator-prey systems, such regime shifts occur when

18   the predator species is highly valuable relative to the prey, and when the top-down control on

19   the prey is strong. Moreover, we find that when the two species are jointly harvested, high

20   adaptation speeds can reduce the resilience of fisheries. Our results therefore suggest that

21   flexibility in harvesting strategies will not necessarily benefit fisheries but may actually harm

22   their sustainability.

23

24   **Keywords**

25   Adaptive harvesting; fishing pattern; fishing down the food web; regime shift; resilience;

26   social-ecological system

Introduction

Many world fisheries have experienced over the 20th century a general pattern of reduced mean trophic level of catches, called "fishing down the food web" (Pauly et al. 1998). This phenomenon may be linked to different situations. One possibility is that higher (more commercially valuable) trophic levels are targeted and that their collapse leads to the exploitation of lower trophic levels. However, reduction of mean trophic levels of catches may also be linked to the progressive inclusion of more low trophic level stocks in the fishery (Essington et al. 2006, Branch et al. 2010), a pattern known as "fishing up the food web". Decrease in mean trophic levels can also be expected if stocks are fished proportionally to their availability (Branch et al. 2010). Therefore a variety of situations lead to such "fishing down the food web". In the present paper, we contend that fishing down patterns can be explained by the adaptive behaviour of fishermen faced with declining abundances of higher trophic levels.

Empirical data suggest that fishermen often act as adaptive foragers in ecosystems, optimizing the rate of encounter with resources (Bertrand et al. 2007), and regularly switching the species they fish to maximize profits (Acheson 1988). Adaptive harvesting can also result from the adaptive management of fisheries (Holling 1978), where management authorities, based on population abundances, change quota or effort limits, thereby altering the distribution of fishing efforts (Walters 1986).

Similar to adaptive foraging in food webs, adaptive harvesting is likely to affect the resilience of fisheries. Resilience is generally understood as the ability of an ecosystem to absorb changes and still persist (Holling 1973). Adaptive foraging has notably been shown to stabilize food web dynamics by reallocating predation pressures on more abundant species, thereby creating a stabilizing negative feedback (Loeuille 2010*a*). As a result, high adaptation speeds improve the resilience of complex food webs, as shown in (Kondoh 2003).

In the present work, we assess the consequences of adaptively harvesting a trophic

community. To this aim, we first use a model of an adaptively harvested predator-prey

community. We first investigate the effect of increasing total fishing effort on the fishing

pattern. We then examine the impact of adaptive harvesting on the resilience of the fishery, by

focusing on three aspects of resilience: the propensity to undergo regime shits, the ability of

returning to equilibrium following a pulse perturbation, and the speed of this return. Our

results suggest that adaptive harvesting results in the progressive decline in the mean trophic

level of catches. Therefore, fishing down the food web naturally emerges from the adaptive

harvesting in our model. Interestingly, our results also suggest that such an adaptive

behaviour may be harmful for the overall resilience of the system. Finally, we numerically

show similar results in a tritrophic community.

Model

Two-species fishery

We use a simple Lotka-Volterra predator-prey model, where both species are harvested:

$$
\begin{cases}
\dfrac{dN}{dt} &= rN\left(1-\dfrac{N}{K}\right)-\gamma NP - q_N e_N E_{tot} N \\
\dfrac{dP}{dt} &= \mu\gamma NP - mP - q_P e_P E_{tot} P
\end{cases}
, \tag{1}
$$

where N is prey density, P predator density, K the prey carrying capacity (all in

ind/surface or volume), r the prey growth rate (per unit of time), γ the predator attack

rate (per capita and per unit of time), μ the prey-to-predator conversion efficiency - that is

the efficiency with which predators convert eaten prey into new predators (dimensionless),

m the predator mortality (per unit of time), q_N and q_P the prey and predator

catchabilities (per unit of time and of effort), E_{tot} the total fishing effort, and e_N and

e_P the shares of effort allocated to prey and predator (dimensionless). These effort shares

can represent the proportion of time spent using a prey- or predator-specific gear, or the

proportion of vessels that specifically harvest prey or predators. Considering a single fishing

vessel, the effort E_{tot} may represent the number of hours spent at sea, while considering

the entire fishery, the effort may represent the number of active fishing vessels. Based on the

replicator equation, which is commonly used to model such evolutionary dynamics (Page and

Nowak 2002) or to model adaptive foraging within food webs (Valdovinos et al. 2010), the

share of effort allocated to prey e_N varies following the equation:

$$\frac{d e_N}{dt} \;=\; G\,E_{tot}\,e_N\,(1-e_N)\big[(p_N\,q_N\,N-c_N)-(p_P\,q_P\,P-c_P)\big] \tag{2}$$

where p_N and p_P are the prices of prey and predators, c_N and c_P are the per-

unit-effort costs of harvesting prey and predators, and G is the adaptation speed. The share of

effort allocated to predator is then $e_P = 1 - e_N$. Following Equation (2), the share of prey

effort increases if the marginal utility of harvesting the prey (first term within brackets) is

higher than the marginal utility of harvesting the predator (second term within brackets). The

speed at which reallocation of the effort may occur (eg, technical constraints) is dependent on

adaptation speed G. Note that equation (2) implicitly assumes that costs and prices are fixed

(including independent of densities) when computing marginal utilities.

To assess whether our results depend on the linearity of the functional response in the initial

system (1), and to allow population cycles to occur, we also investigate a predator-prey model

with a Holling type-II functional response (Rosenzweig-MacArthur model):

4   4

$$
\begin{cases}
\dfrac{dN}{dt} &= rN\left(1-\dfrac{N}{K}\right)-\dfrac{\gamma NP}{N+N_0}-q_N\,e_N\,EN \\[2mm]
\dfrac{dP}{dt} &= \mu\dfrac{\gamma NP}{N+N_0}-mP-q_P\,e_P\,EP \\[2mm]
\dfrac{de_N}{dt} &= G\,E_{tot}\,e_N\left(1-e_N\right)\left[\left(p_N q_N N - c_N\right)-\left(p_P q_P P - c_P\right)\right]
\end{cases}
\tag{3}
$$

where N_0 is the prey density at which the predator's attack rate reaches half of its

maximum.

Local stability analysis and resilience calculation

The local stability of the system can be assessed by computing the eigenvalues of the

Jacobian of the system at equilibrium. The general formulation of this Jacobian for the two-

species model is:

$$
J^* =
\begin{pmatrix}
\dfrac{-r\,N^*}{K} & -\gamma\,N^* & -q_N\,E_{tot}\,N^* \\[2mm]
\mu\gamma\,P^* & 0 & q_P\,E_{tot}\,P^* \\[2mm]
G\,E_{tot}\,e_N^*(1-e_N^*)p_N q_N & -G\,E_{tot}\,e_N^*(1-e_N^*)p_P q_P & G\,E_{tot}\left[\left(p_N q_N N^* - c_N\right)-\left(p_P q_P P^* - c_P\right)\right]
\end{pmatrix}
\tag{4}
$$

Resilience can be measured by the return time to equilibrium after a perturbation, small return

times indicating high levels of resilience. To obtain this measure of resilience, we compute

from the Jacobian matrix the eigenvalue with the largest real part λ_m . The return time to

equilibrium after a small perturbation is then $\tau=-1/\mathrm{Re}\left(\lambda_m\right)$ (Loeuille 2010*b*).

Three-species fishery

Finally, we tackle whether the result of the two species model (system (1)) is restricted to

very simple, two species systems. We study adaptive harvesting in a three species food

chains. We use a simple Lotka-Volterra tritrophic chain model, where all species can be

harvested:

5   5

$$
\begin{cases}
\dfrac{dR}{dt} &= rR\left(1-\dfrac{R}{K}\right)-\gamma_C RC-\gamma_P^R RP-q_R e_R E_{tot} R \\[2mm]
\dfrac{dC}{dt} &= \mu_C\gamma_C RC-\gamma_P^C CP-m_C C-q_C e_C E_{tot} C \\[2mm]
\dfrac{dP}{dt} &= \mu_P^R\gamma_P^R RP+\mu_P^C\gamma_P^C CP-m_P P-q_P e_P E_{tot} P
\end{cases} \tag{5}
$$

where R is resource density, C consumer density, P predator density, r the

resource growth rate, K the resource carrying capacity, γ_C the consumer attack rate,

γ_P^R and γ_P^C the attack rates of predators on resource and consumers, μ_C, μ_P^R and

μ_P^C the resource-to-consumer, resource-to-predator and consumer-to-predator conversion

efficiencies, m_C and m_P the consumer and predator mortalities, q_R, q_C and q_P

the respective consumer, prey, and predator catchabilities, and E_{tot} the total fishing effort.

The shares of effort allocated to either of the three harvested species (e_R, e_C or e_P)

vary following these equations:

$$
\forall X\in\{R,C,P\},
$$
$$
\dfrac{de_X}{dt} = GE_{tot}e_X\left[(p_X q_X X-c_X)-\sum_{Y\in\{R,C,P\}}e_Y(p_Y q_Y Y-c_Y)\right] \tag{6}
$$

where p_R, p_C and p_P are the respective prices of resources, consumers and

predators, and c_R, c_C and c_P are the respective per-unit-effort costs of harvesting

resources, consumers and predators. The relationship between the shares of total effort

allocated to the three harvested species is then $e_R+e_C+e_P=1$. These effort shares can

represent the proportion of time spent using a prey- or predator-specific gear, or the

proportion of vessels that specifically harvest prey or predators. Following Equation (4), the

share of effort allocated to a species increases if the marginal utility of only harvesting this

species is higher than the marginal utility of harvesting the three species, weighted by their

respective effort shares.

Mean trophic level of catches

130　　In the two-species model, the mean trophic level of catches (MTLC) is computed as follows :

$$MTLC = \sum_{X \in \{N,P\}} \left(\frac{TL_X q_X X e_X E_{tot}}{\sum_{Y=\{N,P\}} q_Y Y e_Y E_{tot}} \right) \tag{7}$$

131　　where the trophic levels of prey and predator are respectively $TL_N = 2$, and $TL_P = 3$. In

132　　the three-species model, the mean trophic level of catches (MTLC) is computed as follows :

$$MTLC = \sum_{X \in \{R,C,P\}} \left(\frac{TL_X q_X X e_X E_{tot}}{\sum_{Y=\{R,C,P\}} q_Y Y e_Y E_{tot}} \right) \tag{8}$$

where the trophic levels of resource, consumer and predator are respectively $TL_R = 1$,

$TL_C = 2$, and $TL_P = 3$.

Results

Two-species fishery

We first mathematically analyze the yield and ecological states of the system at equilibrium,

corresponding to situations in which the time variations in system (1) and equation (2) are

simultaneously null. We therefore compute equilibrium densities and effort shares so that

$dN/dt = 0$　$dP/dt = 0$　and　$d e_N/dt = 0$ .Three equilibrium fishing patterns emerge: a

predator-focused, a prey-focused and a mixed fishing pattern (see Figure A1 in Appendix A

for illustration). All analytical expressions, as well as feasibility and stability conditions of

these equilibria are shown in Table 1.

The predator-focused equilibrium can be written as follows:

$$N^* = \frac{m + q_P E_{tot}}{\mu \gamma}$$

$$P^* = \frac{r}{\gamma} \left(1 - \frac{N^*}{K} \right) \tag{9}$$

$$e_N^* = 0$$

Stability analysis of this equilibrium is detailed in the Appendix. It shows that the equilibrium

is stable provided $p_P q_P P^* - c_P > p_N q_N N^* - c_N$, which means that the marginal utility of

harvesting the predator is higher than the marginal utility of harvesting the prey at

equilibrium. Increasing fishing effort reduces predator density, and thereby enhances prey

density. As a result, increasing fishing pressure improves the marginal utility of harvesting the

prey, eventually destabilizing the predator-focused equilibrium (see Appendix A for detailed

analytical calculations).

The prey-focused equilibrium can be written as follows:

$$N^* = \frac{m}{\mu \gamma}$$

$$P^* = \frac{1}{\gamma}\left[r\left(1 - \frac{m}{\mu \gamma K}\right) - q_N E_{tot}\right] \tag{10}$$

$$e_N^* = 1$$

It is stable provided $p_P q_P P^* - c_P < p_N q_N N^* - c_N$, which means that the marginal utility of

harvesting the prey is higher than the marginal utility of harvesting the predator. Increasing

fishing effort on prey does not affect prey density, as prey is top-down controlled, ie its

density depends on its predator demographic parameters. Increasing fishing effort however

yields an indirect negative effect on predator density. Higher fishing pressure thus maintains

the prey-focused fishing pattern, until the predator goes extinct (see Appendix A).

The mixed harvest equilibrium can be written as follows:

$$N^* = \frac{m + q_P\left(1 - e_N^*\right)E_{tot}}{\mu \gamma}$$

$$P^* = \frac{p_N q_N N^* + c_P - c_N}{p_P q_P} \tag{11}$$

$$e_N^* = \frac{1}{q_N E_{tot}}\left[r\left(1 - \frac{N^*}{K}\right) - \gamma P^*\right]$$

161   In this equilibrium the two species are simultaneously harvested because the marginal utility

162   of harvesting the prey is equal to the marginal utility of harvesting the predator:

163     $p_P q_P P^* - c_P = p_N q_N N^* - c_N$. This mixed fishing pattern is stable provided

$$\frac{q_P}{q_N} \quad > \quad \frac{\gamma K}{r}\left(\mu - \frac{p_N}{p_P}\right) \tag{12}$$

This condition is dependent on ecological, economic and technical parameters. It

holds whenever the price of the prey is large enough relative to the price of the predator

modulated by the conversion efficiency ($p_N > \mu\, p_P$). In particular, if the prey price is larger

than the predator price, this stability condition always holds. Stability is also favored by a

high predator-to-prey catchability ratio (q_P / q_N), as well as by a low attack rate of the

predator (γ), relative to the intraspecific competition rate of the prey (r / K). Therefore,

the equilibrium is more likely to be stable when prey species are controlled by competition

rather than by predation (i.e., in bottom-up controlled systems, a likely case in many

fisheries).

Table 1. Summary table of equilibria and their feasibility and stability conditions. Expressions

of limit efforts $\left(E_{ext}^{NH}, E_{ext}^{PH}, E_{ext}^{MH}, E_{stab}^{NH}, E_{stab}^{PH}\right)$ are found in the Appendix. E_{ext}^{NH}, E_{ext}^{PH}

and E_{ext}^{MH} are the efforts above which the predator gets extinct in the prey-focused,

predator-focused, and mixed harvest equilibria. E_{stab}^{NH} is the effort above which the prey-

focused equilibrium is stable, while E_{stab}^{PH} is the effort below which the predator-focused

equilibria is stable. Only stability conditions that are not redundant with feasibility conditions

are shown.

Equilibrium	Predator harvest (PH)	Mixed harvest (MH)	Prey harvest (NH)
Analytical expressions	$N^*=\dfrac{m+q_P E_{tot}}{\mu\gamma}$ $P^*=\dfrac{r}{\gamma}\left(1-\dfrac{N^*}{K}\right)$ $e_N^*=0$	$N^*=\dfrac{m}{\mu\gamma}$ $P^*=\dfrac{1}{\gamma}\left[r\left(1-\dfrac{m}{\mu\gamma K}\right)-q_N E_{tot}\right]$ $e_N^*=1$	$N^*=\dfrac{m+q_P\left(1-e_N^*\right)E_{tot}}{\mu\gamma}$ $P^*=\dfrac{p_N q_N N^*+c_P-c_N}{p_P q_P}$ $e_N^*=\dfrac{1}{q_N E_{tot}}\left[r\left(1-\dfrac{N^*}{K}\right)-\gamma P^*\right]$
Feasibility conditions	$E_{tot}<E_{ext}^{PH}$	if $\dfrac{q_P}{q_N}>\dfrac{\gamma K}{r}\left(\mu-\dfrac{p_N}{p_P}\right)$: $E_{tot}<E_{ext}^{MH}$ $E_{stab}^{PH}<E_{tot}<E_{stab}^{NH}$ if $\dfrac{q_P}{q_N}<\dfrac{\gamma K}{r}\left(\mu-\dfrac{p_N}{p_P}\right)$: $E_{tot}>E_{ext}^{MH}$ $E_{stab}^{NH}<E_{tot}<E_{stab}^{PH}$	$E_{tot}<E_{ext}^{NH}$
Stability conditions	$E_{tot}<E_{stab}^{PH}$	$\dfrac{q_P}{q_N}>\dfrac{\gamma K}{r}\left(\mu-\dfrac{p_N}{p_P}\right)$	$E_{tot}>E_{stab}^{NH}$

The equilibrium fishing patterns depend on the the total fishing effort. As illustrated in

Figure 1 (and analytically shown in Appendix A), the fishery shifts from a predator-focused

harvest to a prey-focused harvest with increasing fishing efforts. In between, a stable mixed

harvest of predator and prey is reached when condition (12) holds (Fig. 1a). In this case, the

effort below which the predator-focused equilibrium is stable (E_{stab}^{PH} , see definition in

Appendix A) is lower than the effort above which the prey-focused equilibrium is stable (

E_{stab}^{NH} , see definition in Appendix A). The progressive shift from a predator-focused to a

prey-focused fishing pattern leads to a general reduction in predator density (Fig. 1c), and a

decline in the mean trophic level of catches (Fig. 1e). As predator density decreases with

increasing efforts to reach extinction, the mean trophic level of the community also decreases.

Sudden regime shifts between the predator- and the prey-focused equilibria occur

whenever the mixed harvest stability condition - condition (12) - does not hold. In that case,

increasing the total fishing effort induces a sudden shift from a predator- to a prey-focused

fishery, characterized by a sudden decrease in the mean trophic level of catches (Fig. 1f).

When the mixed harvest stability condition - condition (12) - does not hold, the effort below

which the predator-focused system is stable (E_{stab}^{PH}) is larger than the effort above which the

prey-focused system is stable (E_{stab}^{NH}). In between, the predator- and the prey-focused

equilibria are simultaneously stable, while the mixed harvest equilibrium is unstable (see Fig.

1b). This bistable system is characterized by a phenomenon called *hysteresis*: starting from a

predator-focused system, increasing the fishing effort (arrow (1) in Fig. 1b) leads to a regime

shift towards a prey-focused system when the effort reaches E_{stab}^{PH} (arrow (2) in Fig. 1b),

inducing severe losses in both prey and predator densities (Fig. 1d); then, to recover higher

prey and predator densities, managers would have to reduce the fishing effort to E_{stab}^{NH}

(arrows (3) and (4) in Fig 1b).

To better understand the mechanism behind these regime shift dynamics, we study the

different feedback loops that stabilize or destabilize our system. To do this we consider the

non-diagonal elements of the Jacobian matrix (see Appendix A), which contains partial

derivatives of our model, evaluated at the equilibrium. It informs us about the sign of the

relationship between two variables, and about the parameters that are involved in this

relationship. We show in Figure 2 the relationships between prey density, predator density,

and share of prey effort. We also indicate the parameters involved both in the strength of the

interaction and in the mixed harvest stability condition - condition (12).

Two loops are involved: the first entails one negative feedback and is therefore

stabilizing (gray lines); the second loop creates a global positive feedback and is therefore

destabilizing (dashed black lines). Suppose that there is an increase in the share of prey effort.

Following the gray loop, this reduces the fishing pressure on predators, as modulated by

catchability q_P, therefore increasing predator density. This leads to increased top-down

controls, mediated by attack rate γ, thus reducing prey density. This decreases the

profitability of prey harvesting, as constrained by parameters p_N and q_N, thereby

reducing the share of prey harvest. This first loop is therefore stabilizing. But following the

black loop, the increase in the share of prey effort enhances the fishing pressure on prey,

modulated by catchability q_N, thereby decreasing prey density. This induces a negative

bottom-up effect mediated by parameters μ and γ, that reduces predator density. This

decreases the profitability of predator harvesting, as constrained by parameters p_P and

q_P, thus further increasing the share of prey harvest. This second loop is therefore

destabilizing.

The relative influence of the stabilizing or of the destabilizing loop is dependent on

the value of parameters involved in the mixed harvest stability condition (12), that determine

the stability of the mixed harvest equilibrium. For instance, a low predator price and a high

prey price favor the stabilizing loop. On the contrary, a high conversion efficiency favors the

destabilizing loop. The roles of the other parameters involved in the mixed harvest stability

condition (12) are dependent on the value of $\left(\mu - p_N/p_P\right)$, which explains why they are

involved in both stabilizing and destabilizing loops. For instance, increasing conversion

efficiency μ promotes the stabilizing effect of predator catchability q_P, while

increasing the prey-to-predator price ratio p_N/p_P enhances the destabilizing effect of

predator catchability.

We now investigate the impact of adaptation speed G on the resilience of the

system. Let us assume that the mixed harvest stability condition (12) holds, which implies

that a mixed harvest is possible. As illustrated in Figure 3a, increasing the pace of adaptation

is first stabilizing in a predator- and in a prey-focused fishery until resilience reaches a

plateau. This pattern can also be inferred from the analytical expressions of the eigenvalues

(see Appendix A). In a mixed fishery, while low to intermediate adaptation speeds stabilize

the system, higher adaptation rates turn out to be destabilizing.

Because return time to equilibrium is only one aspect of resilience, we also study how

adaptation speed affects population variability. To do so, we study a predator-prey model with

a Holling type-II functional response, described in equation (3). As analytical work is made

difficult by the non-linear functional response, we run simulations of 50000 time steps for

different values of adaptation speed. We choose parameters so as to be in a mixed harvest

situation, where $0 < e_N^* < 1$. Results are shown in Fig. 3b. For low adaptation rates, the

system is stable and does not oscillate. But higher adaptation rates induce oscillations, with

growing amplitude. In addition to increasing the return time to equilibrium, high adaptation

speeds therefore drive the system into an unstable oscillating state. In Appendix B, we show

that the negative impact of adaptation speed on stability is robust to changes in parameters.

The model we present here is simplified, focusing on the effects of adaptive fishing on

two species. This allowed us mathematically analyze the system and to show that fishing

down the web patterns occur in all instances, due to effort reallocation based on species

profitabilities. A legitimate question is whether our main results hold in more complex

settings. To investigate this, we extended adaptive harvesting to three species systems.

Three-species fishery

We numerically investigate the effects of increasing the total fishing effort on the behavior of

the three-species model. According to analytical results from the predator-prey model, we

hypothesize a fishing down effect under increasing efforts, that is a shift from a fishery

focused on the highest trophic level to a fishery focused on the lowest trophic level, with a

transitory mixed fishery where the effort is shared between species.

We first investigate the adaptive harvest of a trophic chain, where there is no direct

link between the predator and the resource ($\gamma_P^R = 0$). Results are shown in Figure 4. For

very low fishing efforts, the fishery only focuses on the predator. Increasing the fishing effort

therefore reduces predator density, which in turn increases consumer density and decreases

resource density. As consumers become more abundant, their relative marginal profitability

increases, leading to a first shift from a predator-focused fishery to a consumer-and-predator

mixed fishery. In this fishery, as both predator and consumer are harvested, increasing fishing

efforts lead to reduced consumer and predator densities, and to increased resource density.

Reduced predator densities bring a second shift towards a fishery where only the consumer is

harvested. In this consumer-focused fishery, consumer and resource densities remain stable,

while predator densities decrease towards extinction. After this extinction, increasing the

fishing effort leads to a third shift towards a mixed consumer-and-resource fishery. Increasing

fishing efforts in this mixed fishery reduces the densities of both harvested species, until they

both get extinct. In parallel, the fishery gradually shifts towards a resource-only fishery.

Impacts of increasing total fishing effort on the mean trophic level of catches are

shown in Figure 4.c. We observe a globally declining mean trophic level of catches with

increasing fishing efforts, which is consistent with our prediction from the predator-prey

model. As higher trophic levels are gradually depleted with increasing fishing efforts, the

trophic level of the community also undergoes a general decrease.

Additionally, we investigate increasing fishing efforts in a tritrophic food web

displaying omnivory ($\gamma_P^R > 0$). Results are shown in Figure 5. Results are globally coherent

with the food chain simulations : increasing fishing efforts induces a shift from a predator-

focused fishery to a resource-focused fishery, which is characteristic of a fishing down the

food web pattern. While the fishing down the web pattern therefore again emerge from the

adaptive harvesting, we note that, interestingly, a mixed fishery where all three species are

harvested is selected at intermediate effort in omnivory modules. In this fishery, increasing

the fishing pressure jointly reduces the densities of all three species, until predator densities

become too small to be interesting to harvest. Increasing fishing efforts indirectly reduces

predator densities, while keeping consumer and resource densities stable. As reduced predator

densities release pressure on consumers, the share of effort dedicated to consumers increases,

leading to a very slight increase in the mean trophic level of catches (when E_{tot} is a little

below 8 on Fig 5c). This very slight « fishing up » the food web does not however alter the

global conclusion, as fishing down the web patterns remain largely dominant (Fig 5c) can

also emerge in developing fisheries. After predator extinction, increasing the fishing effort

brings an increased focus on resource, leading to a decreased mean trophic level of catches

until both consumer and resources get extinct. We therefore show that the fishing down the

 15   15

food web pattern we observed in the two species model (main text), also emerge from the

adaptive harvesting of a tri-trophic food web.

Finally, we assess the possibility of regime shifts in tritrophic communities. With the

two-species model, we show that bistable systems can emerge, notably when top-down effects

are large, or when the prey-to-predator price ratio is low. We show in Figure 6 that such

bistable systems also emerge under similar conditions in a tritrophic food chain (Fig. 6a) and

in a tritrophic food web with omnivory (Fig. 6b). To do so, we use sets of parameters that are

similar to those inducing bistability in the predator-prey system (Figure 1b and 1d). As we

increase the fishing effort, we observe in both cases a progressive shift from a predator-

focused to a mixed predator-consumer fishery, and then a sudden shift from a mixed predator-

consumer fishery to a mixed predator-resource fishery, that translates into a sudden drop in

the mean trophic level of catches (indicated by arrows in Figure 6). Inversely, when the total

fishing effort decreases, we observe a sudden increase in the mean trophic level of catches.

The upwards shift occurs at a lower effort than the downwards shift, a phenomenon called

hysteresis, that is characteristic of bistable systems.

This additional result suggests that sudden regime shifts also occur in more complex

adaptively harvested communities. Further numerical work could help understand stability

conditions in such systems.

Discussion

Our results suggest that fishing down the food web - associated with a decline in the mean

trophic level of catches and in the mean trophic level of the ecosystem - can result from

adaptive foraging by fishermen. This view is already contained in the original fishing down

theory (Pauly et al. 1998), but subsequent studies mainly interpreted lower trophic levels of

catches as resulting only from overfishing and declining predator abundances, without

accounting for the fact that fishermen can re-allocate their fishing effort to lower trophic

levels (Essington et al. 2006).

Theoretical studies support the view that fishing down processes emerges from

feedbacks between changing fish abundances and adaptive fishing patterns. Branch et al.

(2010) found for instance that adaptive fishing patterns based on stock availability reduce the

mean trophic level of catches in ecosystem models. Andersen et al. (2015) also showed with a

size-spectrum fishery model that increasing the global fishing pressure leads to a gradual re-

allocation of effort from big predatory fish to medium and small prey fish. Likewise, Wilen

and Wilen (2012) found that if top predators in a food chain model have high values, then

profit-driven effort dynamics first imply a focus on the top trophic level, followed by an

increase in efforts targeting lower trophic levels. Similar results have been obtained with an

age-structured model of a food chain (Wiedenmann et al. 2016).

Furthermore, empirical evidence suggest shifts in target from upper- to lower-trophic-

levels in various fisheries. In the Scotian Shelf fishery, the decline in the groundfish

community caused a trophic cascade that produced a new fishing regime, dominated by

shrimp and crab landings (Frank et al. 2005). Likewise, in the Argentinean-Uruguayan

common fishing zone, data suggest that the fishing effort has been redistributed from

overexploited to more abundant species (Jaureguizar and Milessi 2008). However, precise

empirical investigations of this ecological-economic feedback are lacking.

Originally, fishing down the food web has been described using the mean trophic level

of catches as an indicator (Pauly et al. 1998). Branch et al. (2010) showed however that trends

in this indicator can diverge from trends in the mean trophic level of the ecosystem. This can

be explained by the fact that the mean trophic level of the ecosystem only reflect changes in

abundances, while the mean trophic level of catches also reflects changes in fishing strategies

(shifting from lower to higher trophic levels). As in the present paper we are interested in how

fishing strategies change with increasing fishing effort, we chose to focus on the original

mean trophic level of catches indicator.

While adaptation is here in the harvesting regime, some of our results are coherent

with theoretical work on adaptive foraging of predators in ecological models. (Křivan and

Schmitz 2003) for instance studied optimal predator foraging on a consumer-resource

community. They show that at low densities, the top predator focuses on the consumer, at

higher densities it focuses on the resource, and at intermediate densities it predates on both

consumer and resource. This is very similar to the relationship we find between the total

fishing effort and the fishing pattern. The main difference is that while natural predators are

expected to maximize energy inputs, in our model fishermen's behavior aims at maximizing

profits. Moreover, our model allows to account for changes in adaptation speeds, while in

(Křivan and Schmitz 2003) adaptation is instantaneous.

Adaptive foraging is often thought to be stabilizing (Křivan 2007). Particularly it

facilitates prey coexistence, as predation is relaxed when prey becomes rare (Kondoh 2003).

Adaptive foraging of predators may however alter the intensity of apparent competition by

changing predator density, leading to the emergence of instabilities (Krivan 1996). An

important difference is that in the present model, consumption pressure is exerted by the

fishing effort, that does not have any population dynamics. We find that adaptive harvesting

can then induce sudden regime shifts. More generally, as pointed out in (Lade et al. 2013),

social-ecological feedbacks may lead to regime shifts and bistability, while similar uncoupled

systems do not. Using a harvested predator-prey model, (Horan et al. 2011) also found that

modifying the feedback response between populations and management alters the stability

landscape of the system. However, many studies on ecological regime shifts focus on

ecological drivers and consequences of these shifts (Conversi et al. 2014). Reconsidering the

role of human dynamics in triggering regime shifts in marine food webs could thus help

understand and prevent such phenomena.

Our investigation suggests that large top-down effects vs. bottom-up effects favor

regime shifts. It thus echoes studies stressing the importance of top-down forcing for

triggering regime shifts in marine food webs (Pershing et al. 2014). Moreover, we show that

the possibility of a social-ecological regime shift is dependent on the relative intensity of a

stabilizing and a destabilizing feedback loop between prey and predator densities and the

share of prey harvest. We notably find that high conversion efficiencies promote the

destabilizing loop, while a high prey-to-predator price ratio enhances the stabilizing loop.

This stresses the joint influence of ecological and economic drivers in triggering regime

shifts, as pointed out in (Lade et al. 2015).

The propensity of undergoing regime shifts is only one of the many facets of the

resilience of a system (Donohue et al. 2016). We also investigated the impact of adaptation

speed on the ability of a system to recover from a perturbation, and on the speed of this

recovery. In a prey- and a predator-focused equilibrium, adaptation speed is always

stabilizing, as it reduces return times to equilibrium. In a mixed fishery, only low adaptation

speeds are stabilizing. This is quite important as we expect that adaptation may be quite slow

in many instances, for instance due to technological or regulation constraints. Therefore, the

fact that many regulated fisheries seem to be sustainable given recent data (Duarte et al. 2020)

would fit such situations of stable, mixed harvesting situations that we expect for intermediate

(regulated) effort and low adaptability. Our results are also coherent with former studies.

Bischi et al. (2013) found with a harvested predator-prey model with switching fishing

targets, that the speed of convergence is higher and that oscillations are reduced when the

switching time approaches zero. It is also coherent with studies showing that predator's

adaptation speed increases the resilience of food webs (Kondoh 2003).

High adaptation speeds however reduce the resilience of mixed fisheries, which

contradicts our initial expectation of a stabilizing adaptive foraging. We find that high

adaptation speeds increase the return time to equilibrium in a linear predator-prey system, and

induce oscillations in a non-linear predator-prey model. In the latter case, the amplitude of

oscillations increases with adaptation speed. Likewise, (Kramer 2008) showed that a

theoretical harvested reef ecosystem displays larger variations with high adaptation speeds.

(Bischi et al. 2013) also showed with a harvested predator-prey model that fast switching

from predator to prey induces oscillatory behaviors. Similarly, using an age-structured food

chain model, (Wiedenmann et al. 2016) found that biomass, catches and profits are more

variable when fishermen adaptively switch their target. (Abrams 1999) also showed that

adaptive foraging of a predator on two prey could yield unstable dynamics, and that these

dynamics are dependent on the rate of predator adaptation.

Social-ecological resilience therefore depends on how fast fishermen or management

authorities react to changes in relative abundances and profits. We have voluntarily kept the

model simple and generic, to discuss the effects of adaptive fisheries at different scales of

organization. Our model may for instance be construed at the scale of individual fishermen.

At this scale, agents may imitate the most successful strategy or change their strategy based

on previous success so that adaptation speed may be quite rapid (Noailly et al. 2003).

However, we expect fast changes to only occur when access to the different species is not

strongly regulated (eg, open access fisheries), and when such changes are not constrained by

changes in equipment or technologies (Noailly et al. 2003, Bischi et al. 2013). Our model can

also be used to understand changes in regulation at higher organizational scales, for instance

changes in regulation of the effort among species by authorities. We then expect delays

inherent to collective decision-making and therefore expect slow adaptation.

Note however that harvesting strategies and policies rely on frequent population census and

profitability assessments, and are thus adaptive. We therefore expect that our model may

provide a good basis to understand such changes.

Including such adaptive dynamics in management models and plans is critical to

achieve a sound management of multispecies fisheries. In particular, our results suggest that

such adaptation may severely constrain different aspects of fisheries resilience. We therefore suggest that considering adaptive economic behaviors is a key step towards the implementation of an ecosystem-based fisheries management (Pikitch et al. 2004). While our results seem to be robust to changes in the community context, as fishing down the web patterns emerge from adaptive harvesting in all two species and three species modules we considered, the models used here are still very simple. Particularly, as often done in theoretical ecology, we analyse the stability of equilibria of deterministic models. In real situations, external disturbances occur, both in the environmental conditions and in the economic scenarios. Multiple disturbance scenarios could be considered and they may affect resilience and sustainability in different ways. Recent papers have also highlighted that the impact of fishing low trophic level forage fish on their predators is often less than expected using usual trophic models (Hilborn et al. 2017), and that predators exposed to high fishing mortality can actually benefit from harvesting of their forage fish (Soudijn et al. 2021). We believe understanding adaptive harvesting including in these more complex situations may open new doors for a successful management of harvested ecosystems.

References

Abrams, P. A. 1999. The adaptive dynamics of consumer choice. The American Naturalist 153:83–97.

Acheson, J. M. 1988. Patterns of gear changes in the Maine fishing industry: Some implications for management. Marine Anthropological Studies 1:49–65.

Andersen, K. H., K. Brander, and L. Ravn-Jonsen. 2015. Trade-offs between objectives for ecosystem management of fisheries. Ecological Applications 25:1390–1396.

Bertrand, S., A. Bertrand, R. Guevara-Carrasco, and F. Gerlotto. 2007. Scale-invariant movements of fishermen: the same foraging strategy as natural predators. Ecological Applications 17:331–337.

Bischi, G.-I., F. Lamantia, and D. Radi. 2013. A prey–predator fishery model with endogenous switching of harvesting strategy. Applied Mathematics and Computation 219:10123–10142.

Branch, T. A., R. Watson, E. A. Fulton, S. Jennings, C. R. McGilliard, G. T. Pablico, D. Ricard, et al. 2010. The trophic fingerprint of marine fisheries. Nature 468:431–435.

Conversi, A., V. Dakos, A. Gardmark, S. Ling, C. Folke, P. J. Mumby, C. Greene, et al. 2014. A holistic view of marine regime shifts. Philosophical Transactions of the Royal Society B: Biological Sciences 370.

Donohue, I., H. Hillebrand, J. M. Montoya, O. L. Petchey, S. L. Pimm, M. S. Fowler, K. Healy, et al. 2016. Navigating the complexity of ecological stability. (F. Adler, ed.)Ecology Letters 19:1172–1185.

Duarte, C. M., Agusti, S., Barbier, E., Britten, G. L., Castilla, J. C., Gattuso, J. P., ... & Lotze, H. K. (2020). Rebuilding marine life. *Nature*, *580*(7801), 39-51.

Essington, T. E., A. H. Beaudreau, and J. Wiedenmann. 2006. Fishing through marine food webs. Proceedings of the National Academy of Sciences 103:3171–3175.

Frank, K. T., B. Petrie, J. S. Choi, and W. C. Leggett. 2005. Trophic cascades in a formerly cod-dominated ecosystem. Science 308:1621–1623.

Hilborn, R., Amoroso, R.O., Bogazzi, E., Jensen, O.P., Parma, A.M., Szuwalski, C., Walters, C.J. 2017. When does fishing forage species affect their predators? Fisheries Research. 191: 211-221.

Holling, C. S. 1973. Resilience and stability of ecological systems. Annual Review of Ecology and Systematics 4:1–23.

———. 1978. Adaptive environmental assessment and management. International Series on Applied Systems Analysis. International Institute for Applied Systems Analysis.

Horan, R. D., E. P. Fenichel, K. L. S. Drury, and D. M. Lodge. 2011. Managing ecological thresholds in coupled environmental-human systems. Proceedings of the National Academy of Sciences 108:7333–7338.

Jaureguizar, A. J., and A. C. Milessi. 2008. Assessing the sources of the fishing down marine food web process in the Argentinean-Uruguayan Common Fishing Zone. Scientia Marina 72:25–36.

Kondoh, M. 2003. Foraging adaptation and the relationship between food-web complexity and stability. Science 299:1388–1391.

Kramer, D. B. 2008. Adaptive harvesting in a multiple-species coral-reef food web. Ecology and Society 13.

Krivan, V. (1996). Optimal foraging and predator-prey dynamics. T*heoretical Population Biology*, *49*(3), 265-290.

Křivan, V. 2007. The Lotka-Volterra predator-prey model with foraging–predation risk trade-offs. The American Naturalist 170:771–782.

Křivan, V., and O. J. Schmitz. 2003. Adaptive foraging and flexible food web topology. Evolutionary Ecology 5:623–652.

Lade, S. J., S. Niiranen, J. Hentati-Sundberg, T. Blenckner, W. J. Boonstra, and K. Orach. 2015. An empirical model of the Baltic Sea reveals the importance of social dynamics for ecological regime shifts. Proceedings of the National Academy of Sciences.

Lade, S. J., A. Tavoni, S. A. Levin, and M. Schlüter. 2013. Regime shifts in a social-ecological system. Theoretical Ecology 6:359–372.

Loeuille, N. 2010*a*. Consequences of adaptive foraging in diverse communities. Functional Ecology 24:18–27.

———. 2010*b*. Influence of evolution on the stability of ecological communities. Ecology Letters 13:1536–1545.

Noailly, J., J. C. J. M. van den Bergh, and C. A. Withagen. 2003. Evolution of harvesting strategies: replicator and resource dynamics. Journal of Evolutionary Economics 13:183–200.

Page, K. M., and M. A. Nowak. 2002. Unifying evolutionary dynamics. Journal of Theoretical Biology 219:93–98.

Pauly, D., V. Christensen, J. Dalsgaard, R. Froese, and F. Torres Jr. 1998. Fishing down marine food webs. Science 279:860–863.

Pershing, A. J., K. E. Mills, N. R. Record, K. Stamieszkin, K. V. Wurtzell, C. J. Byron, D. Fitzpatrick, et al. 2014. Evaluating trophic cascades as drivers of regime shifts in different ocean ecosystems. Philosophical Transactions of the Royal Society B: Biological Sciences 370:20130265–20130265.

Soudijn, F.H., van Denderen, P.D., Heino, M. Dieckmann, U., de Roos, A.M. 2021.
Harvesting forage fish can prevent fishing-induced population collapses of large piscivorous
fish. PNAS 118(6)

Valdovinos, F. S., B. J. Brosi, H. M. Briggs, P. Moisset de Espanés, R. Ramos-Jiliberto, and
N. D. Martinez. 2016. Niche partitioning due to adaptive foraging reverses effects of
nestedness and connectance on pollination network stability. (J. Chase, ed.)Ecology Letters
19:1277–1286.

Valdovinos, F. S., R. Ramos-Jiliberto, L. Garay-Narváez, P. Urbani, and J. A. Dunne. 2010.
Consequences of adaptive behaviour for the structure and dynamics of food webs. Ecology
Letters 13:1546–1559.

Walters, C. 1986. Adaptive management of renewable resources. (I. I. for A. S. Analysis, ed.).
Macmillan Publishing Company, New York.

Wiedenmann, J., J. Wilen, P. Levin, M. Plummer, and M. Mangel. 2016. A framework for
exploring the role of bioeconomics on observed fishing patterns and ecosystem dynamics.
Coastal Management 44:529–546.

Wilen, C. D., and J. E. Wilen. 2012. Fishing down the food chain revisited: Modeling
exploited trophic systems. Ecological Economics 79:80–88.

 Figure legends

Figure 1: Share of prey effort (a,b), equilibrium densities (c,d) and mean trophic level of

catches (e,f) for increasing fishing efforts. When condition (12) is verified (a,c,e), the mixed

equilibrium is stable, and when it is not verified (b,d,f), the system exhibits alternative stable

states. (c,d) gray line: prey density; black line: predator density. Dotted lines represent

E_{stab}^{PH} (black dotted line) and E_{stab}^{NH} (gray dotted line). Dashed lines indicate unstable

equilibria. In (b), arrows are used to describe the phenomenon of *hysteresis* (see the

description of the different steps labeled (1) to (4), in the text). Parameters in (a,c,e): $r=1$,

$K=1$, $m=0.3$, $\mu=0.5$, $\gamma=1.2$, $q_N=0.3$, $q_P=0.5$, $p_N=1$, $p_P=2$,

$c_N=0.1$, $c_P=0.2$. Parameters in (b,d,f): same as (a,c,e) except $K=2$, $\mu=0.8$,

$\gamma=1.5$, $q_N=0.4$, $q_P=0.2$ and $p_P=3$, .

Figure 2: Illustration of the relationships between predator density, prey density and share of

prey effort. Arrows indicate positive relationships, while bars indicate negative relationships.

Gray lines form the stabilizing loop, and black dashed lines form the destabilizing loop.

Parameters p_N and p_P are the respective prices of prey and predators, γ the predator

attack rate, μ the prey-to-predator conversion efficiency, q_N and q_P the prey and

predator catchabilities.

Figure 3: Influence of adaptation speed on stability. (a) Relationship between return time to

equilibrium and adaptation speed in the predator-focused ($E_{tot}=0.3$), the prey-focused (

$E_{tot}=2.7$) and the mixed equilibrium ($E_{tot}=1$). Parameters: $r=1$, $K=1$,

$m=0.1$, $\mu=0.5$, $\gamma=1.2$, $q_N=0.3$, $q_P=0.5$, $p_N=1$, $p_P=2$, $c_N=0.1$,

$c_P=0.2$. (b) Minimum and maximum prey densities for increasing adaptation speeds in a

Rosenzweig-MacArthur model at the mixed equilibrium. Parameters: same as (a), except

$K=1.5$, $m=0.2$, $N_0=0.4$, $E_{tot}=1.75$.

Figure 4: Equilibrium densities (a), share of total effort (b), and mean trophic level of catches

(c) for increasing fishing efforts in a tritrophic food chain. (a) light gray line: resource

density; dark gray line: consumer density; black line: predator density. (b) light gray line:

share of resource effort; dark gray line: share of consumer effort; black line: share of predator

effort. Value of fixed parameters: $r=1$, $K=1.5$, $m_C=0.1$, $m_P=0.2$, $\mu_P^R=0$,

$\mu_C^R=0.8$, $\mu_P^C=0.5$, $\gamma_P^R=0$, $\gamma_C^R=1.5$, $\gamma_P^C=1.5$, $q_R=0.1$, $q_C=0.2$,

$q_P=0.3$, $p_R=1$, $p_C=2$, $p_P=3$, $c_R=0.1$, $c_C=0.1$, $c_P=0.1$, $G=1$.

Figure 5: Equilibrium densities (a), share of total effort (b), and mean trophic level of catches

(c) for increasing fishing efforts in a tritrophic food web with omnivory. (a) light gray line:

resource density; dark gray line: consumer density; black line: predator density. (b) light gray

line: share of resource effort; dark gray line: share of consumer effort; black line: share of

predator effort. Value of fixed parameters: $r=1$, $K=1.5$, $m_C=0.1$, $m_P=0.2$,

$\mu_P^R=0.5$, $\mu_C^R=0.8$, $\mu_P^C=0.5$, $\gamma_P^R=1$, $\gamma_C^R=1.5$, $\gamma_P^C=1.5$, $q_R=0.1$,

$q_C=0.2$, $q_P=0.3$, $p_R=1$, $p_C=2$, $p_P=3$, $c_R=0.1$, $c_C=0.1$, $c_P=0.1$,

$G=1$.

Figure 6 : Mean trophic level of catches for increasing fishing efforts in a tritrophic food

chain (a) and in a tritrophic food web with omnivory (b). (a) Value of fixed parameters:

$r=1$, $K=2$, $m_C=0.3$, $m_P=0.1$, $\mu_P^R=0$, $\mu_C^R=0.8$, $\mu_P^C=0.5$, $\gamma_P^R=0$,

$\gamma_C^R=1.5$, $\gamma_P^C=1.5$, $q_R=0.4$, $q_C=0.2$, $q_P=0.4$, $p_R=1$, $p_C=2$, $p_P=4$,

$c_R=0.1$, $c_C=0.2$, $c_P=0.1$, $G=1$. (b) Same as (a), except $\gamma_P^R=1$ and

$\mu_P^R=0.5$.

Figure 1

594   **Figure 2**

595 **Figure 3**

 Figure 4

      Figure 5

Figure 6

(a)

(b)

Appendix A: Analytical calculations

We here describe the three possible equilibria where predator and prey coexist, with their

associated feasibility and stability conditions. These equilibria are illustrated in Figure A1,

and their analytical expressions can be found in the following table:

Table A1: Description of the three considered equilibria.

Predator harvest (PH)	Prey harvest (NH)	Mixed harvest (MH)
$N^* = \dfrac{m + q_P E_{tot}}{\mu \gamma}$	$N^* = \dfrac{m}{\mu \gamma}$	$N^* = \dfrac{m + q_P \left(1 - e_N^*\right) E_{tot}}{\mu \gamma}$
$P^* = \dfrac{r}{\gamma}\left(1 - \dfrac{N^*}{K}\right)$	$P^* = \dfrac{1}{\gamma}\left[r\left(1 - \dfrac{m}{\mu \gamma K}\right) - q_N E_{tot}\right]$	$P^* = \dfrac{p_N q_N N^* + c_P - c_N}{p_P q_P}$
$e_N^* = 0$	$e_N^* = 1$	$e_N^* = \dfrac{1}{q_N E_{tot}}\left[r\left(1 - \dfrac{N^*}{K}\right) - \gamma P^*\right]$

Figure A1: Illustration of the different fishing patterns that emerge from adaptive harvesting.

Fishermen are described by the letter F, prey by N and predators by P. If the marginal utility

of harvesting the predator is larger than the marginal utility of harvesting the prey, then the

harvest is focused on predators. If it is smaller, then the harvest is focused on prey. If the

marginal utilities of harvesting prey and predators are equal, then the fishing effort is shared

between the two species.

An equilibrium is feasible for parameter sets that ensure that prey and predator densities are

positive. The mixed equilibrium is characterized by a supplementary feasibility condition,

namely that the share of prey effort is between 0 and 1. We further assume that coexistence of

prey and predator in the unharvested system is warranted, which implies that $m < \mu \gamma K$. The

local stability of the system can be assessed by computing the eigenvalues of the Jacobian of

the system at equilibrium. The general formulation of this Jacobian is:

$$J^* = \begin{pmatrix} \dfrac{-r N^*}{K} & -\gamma N^* & -q_N E_{tot} N^* \\ \mu \gamma P^* & 0 & q_P E_{tot} P^* \\ G E_{tot} e_N^* (1-e_N^*) p_N q_N & -G E_{tot} e_N^* (1-e_N^*) p_P q_P & G E_{tot} \left[\left(p_N q_N N^* - c_N \right) - \left(p_P q_P P^* - c_P \right) \right] \end{pmatrix} \quad (13)$$

626   **1. Predator-focused harvest (PH)**

627   *1.1. Feasibility conditions*

628   Prey density N^* is always positive and increases with the fishing effort. Predator density

629   P^* is positive when

$$E_{tot} < E_{ext}^{PH} = \frac{1}{q_P} \left(\mu \gamma K - m \right), \quad (14)$$

630   and it decreases with the fishing effort. The effort below which the predator-focused harvest is

631   feasible is denoted E_{ext}^{PH} .

633   *1.2. Stability conditions*

634   The eigenvalues of the system can be written:

$$\lambda_1 = \frac{-r N^*}{K}$$

$$\lambda_2 = -\mu \gamma^2 N^* P^* \tag{15}$$

$$\lambda_3 = G E_{tot} \left[\left(p_N q_N N^* - c_N \right) - \left(p_P q_P P^* - c_P \right) \right]$$

635   Eigenvalues λ_1 and λ_2 are negative if the feasibility conditions are fulfilled. The third

636   eigenvalue λ_3 is negative as long as $p_P q_P P^* - c_P > p_N q_N N^* - c_N$. This translates into

637   the following condition:

$$E_{tot} < E_{stab}^{PH} = \frac{\gamma K \left[\mu \gamma \left(c_N - c_P \right) - m p_N q_N \right] + r p_P q_P \left(\mu \gamma K - m \right)}{q_P \left[\gamma K p_N q_N + r p_P q_P \right]}, \tag{16}$$

638   where the effort below which the predator-focused harvest is stable is denoted E_{stab}^{PH} . All

639   feasible states are not stable if $E_{ext}^{PH} > E_{stab}^{PH}$, which translates into the following condition:

$$\frac{c_N - c_P}{p_N q_N} < K \tag{17}$$

640   This condition always holds if the cost of harvesting the prey is smaller than the cost of

641   harvesting the predator ($c_N < c_P$).

643   **2. Prey-focused harvest (NH)**

644   *2.1. Feasibility conditions*

645   Prey density N^* is always positive. Predator density P^* is positive when

$$E_{tot} < E_{ext}^{NH} = \frac{r}{q_N} \left(1 - \frac{m}{\mu \gamma K} \right), \tag{18}$$

646   and it decreases with the fishing effort. The effort below which the prey-oriented harvest is

647   feasible is denoted E_{ext}^{NH} .

649   *2.2. Stability conditions*

36   36

650   The eigenvalues of the system can be written:

$$\lambda_1 = \frac{-r\,N^*}{K}$$

$$\lambda_2 = -\mu\,\gamma^2 N^* P^* \tag{19}$$

$$\lambda_3 = G\,E_{tot}\left[\left(p_P q_P P^* - c_P\right) - \left(p_N q_N N^* - c_N\right)\right]$$

651   Eigenvalues λ_1 and λ_2 are negative if the feasibility conditions are fulfilled. The third

652   eigenvalue λ_3 is negative as long as $p_N q_N N^* - c_N > p_P q_P P^* - c_P$. This translates into

653   the following condition:

$$E_{tot} > E_{stab}^{NH} = \frac{\gamma K\left[\mu\gamma\left(c_N - c_P\right) - m\,p_N q_N\right] + r\,p_P q_P\left(\mu\gamma K - m\right)}{\mu\gamma K\,q_N\,p_P q_P}, \tag{20}$$

654   where E_{stab}^{NH} stands for the effort above which the prey-focused harvest is stable. If

655    $E_{ext}^{NH} < E_{stab}^{NH}$, none of the feasible states are stable. On the contrary, some feasible states can

656   be stable if $E_{ext}^{NH} > E_{stab}^{NH}$, which translates into the following condition:

$$\frac{c_N - c_P}{p_N q_N} < \frac{m}{\mu\gamma} \tag{21}$$

If the harvesting the predator is costlier than harvesting the prey ($c_N < c_P$), this condition

always holds.

3. Mixed predator-prey harvest (MH)

3.1. Feasibility conditions

As e_N^* is supposed to take values between 0 and 1, N^* is always positive. We can

specify the effort at which the predator goes extinct by using the complete expression of the

predator density at equilibrium:

$$P^* = \frac{\left(c_P - c_N\right)\left(r\,q_P - \mu\gamma K\,q_N\right) + K\,p_N q_N\left[r\,q_P - q_N\left(m + q_P E_{tot}\right)\right]}{q_P\left[\gamma K\,q_N\left(p_N - \mu\,p_P\right) + r\,p_P q_P\right]} \tag{22}$$

665   Predator densities are positive if:

$$E_{tot}<E_{ext}^{MH} \quad \text{if} \quad \frac{q_P}{q_N}>\frac{\gamma K}{r}\left(\mu-\frac{p_N}{p_P}\right)$$

$$E_{tot}>E_{ext}^{MH} \quad \text{if} \quad \frac{q_P}{q_N}<\frac{\gamma K}{r}\left(\mu-\frac{p_N}{p_P}\right) \tag{23}$$

$$\text{with} \quad E_{ext}^{MH}=\frac{(c_N-c_P)(\mu\gamma K q_N-r q_P)+K p_N q_N(r q_P-m q_N)}{K p_N q_N^2 q_P}$$

666   Here E_{ext}^{MH} stands for the effort at which predators goes extinct in the mixed harvest

667   equilibrium. Finally, as the share of prey effort can be written

$$e_N^*=\frac{\gamma K\left[\mu\gamma(c_P-c_N)+p_N q_N(m+q_P E_{tot})\right]+r p_P q_P(m-\mu\gamma K+q_P E_{tot})}{E_{tot} q_P\left[\gamma K q_N(p_N-\mu p_P)+r p_P q_P\right]}, \tag{24}$$

668   the feasibility condition on e_N^* becomes:

$$0<e_N^*<1 \quad \Leftrightarrow \quad E_{stab}^{PH}<E_{tot}<E_{stab}^{NH} \quad \text{if} \quad \frac{q_P}{q_N}>\frac{\gamma K}{r}\left(\mu-\frac{p_N}{p_P}\right)$$

$$\tag{25}$$

$$0<e_N^*<1 \quad \Leftrightarrow \quad E_{stab}^{NH}<E_{tot}<E_{stab}^{PH} \quad \text{if} \quad \frac{q_P}{q_N}<\frac{\gamma K}{r}\left(\mu-\frac{p_N}{p_P}\right)$$

Note that the second case is only possible if $p_N/p_P<\mu$, that is if the ratio of prey to

predator prices is smaller than the prey-to-predator conversion efficiency.

3.2. Stability conditions

The analysis of stability can be done by computing the Jacobian matrix and the

associated Routh-Hurwitz conditions. The characteristic polynomial

$P(\lambda)=a_0\lambda^3+a_1\lambda^2+a_2\lambda^1+a_3$ can be written as follows:

$$P(\lambda)=-\lambda^3-\frac{r N^*}{K}\lambda^2-\left(\mu\gamma^2 N^* P^*-E_{tot}^2(e_N^*-1)e_N^* G\left(p_N q_N^2 N^*+p_P q_P P^*\right)\right)\lambda$$

$$+\frac{E_{tot}^2}{K}(e_N^*-1)e_N^* G N^* P^* q_P\left(\gamma K(p_N-\mu p_P)q_N+r p_P q_P\right) \tag{26}$$

676   The system is stable provided $a_0,a_1,a_2,a_3<0$ and $a_1 a_2-a_0 a_3>0$. The coefficient a_0

677   , a_1 and a_2 are negative as long as the feasibility conditions are verified. The

678   coefficient a_3 is negative if

$$\frac{q_P}{q_N} > \frac{\gamma K}{r}\left(\mu - \frac{p_N}{p_P}\right) \tag{27}$$

679   The stability condition $a_1 a_2 - a_0 a_3 > 0$ is equivalent to

$$r\mu\gamma^2 N^* P^* + E_{tot}^2\left(e_N^* - 1\right)e_N^* G q_N\left(\gamma K P^*\left(p_N - \mu p_P\right)q_P - r p_N q_N N^*\right) > 0 \tag{28}$$

680   If $p_N \leq \mu p_P$, this condition is always verified, and stability only depends on condition (27).

681   If $p_N > \mu p_P$ however, condition (27) is always true and stability then depends solely on

682   condition (28), which is verified if

$$\frac{q_N N^*}{q_P P^*} \geq -\frac{p_P}{p_N}\frac{\gamma K}{r}\left(\mu - \frac{p_N}{p_P}\right). \tag{29}$$

683   From condition (27), this implies that

$$\frac{N^*}{P^*} > -\frac{p_P}{p_N}, \tag{30}$$

which is always true as N^* and P^* are positive. In short, if $p_N > \mu p_P$, then the

equilibrium is stable, and if $p_N \leq \mu p_P$, then the stability of the equilibrium depends on

condition (27). As shown in the main text, if condition (27) is not true, the system is bistable.

3.3. Effect of fishing effort on densities

Let us now investigate the precise effect of fishing pressure on the prey and predator

densities. The following relationship can be established:

$$\frac{\partial N^*}{\partial E_{tot}} = \frac{q_P}{\mu\gamma}\left(1 - \frac{\partial e_N^* E_{tot}}{\partial E_{tot}}\right)$$

$$\frac{\partial P^*}{\partial E_{tot}} = \frac{p_N q_N}{p_P q_P}\frac{\partial N^*}{\partial E_{tot}} \tag{31}$$

691   Prey and predator densities thus vary in the same direction with increasing efforts. This

692   direction is dependent on the effect of effort on e_N^*. As we have

$$e_N^* = \frac{\gamma K \left[\mu\gamma\left(c_P - c_N\right) + p_N\, q_N\left(m + q_P E_{tot}\right)\right] + r\, p_P\, q_P\left(m - \mu\gamma K + q_P E_{tot}\right)}{E_{tot}\, q_P\left[\gamma K\, q_N\left(p_N - \mu\, p_P\right) + r\, p_P q_P\right]},$$ (32)

693   we get the following derivative:

$$\frac{\partial e_N^*\, E_{tot}}{\partial E_{tot}} = \frac{\gamma K\, p_N\, q_N + r\, p_P\, q_P}{\left[\gamma K\, q_N\left(p_N - \mu\, p_P\right) + r\, p_P q_P\right]}$$ (33)

694   Then, two different cases appear:

$$\frac{\partial N^*}{\partial E_{tot}} < 0, \quad \frac{\partial P^*}{\partial E_{tot}} < 0 \quad \text{and} \quad \frac{\partial e_N^*}{\partial E_{tot}} > 0 \quad \text{if} \quad \frac{q_P}{q_N} > \frac{\gamma K}{r}\left(\mu - \frac{p_N}{p_P}\right)$$

$$\frac{\partial N^*}{\partial E_{tot}} > 0, \quad \frac{\partial P^*}{\partial E_{tot}} > 0 \quad \text{and} \quad \frac{\partial e_N^*}{\partial E_{tot}} < 0 \quad \text{if} \quad \frac{q_P}{q_N} < \frac{\gamma K}{r}\left(\mu - \frac{p_N}{p_P}\right)$$ (34)

Thus, if the system is stable, predator and prey densities decrease while the share of prey

harvest increases with the fishing effort, and if the system is bistable, predator and prey

densities increase while the share of prey harvest decreases with the fishing effort.

4. Summary table

In the following table, we summarize the feasibility and stability conditions of the different

possible equilibria. This helps to understand the relationships between these equilibria.

Table A2. Feasibility and stability conditions of the different equilibria. Expressions of the

limit efforts are found in the Appendix. Only stability conditions that are not redundant with

feasibility conditions are shown.

Equilibrium	Predator harvest (PH)	Mixed harvest (MH)	Prey harvest (NH)

	PH	MH	NH

Feasibility conditions	$E_{tot} < E_{ext}^{PH}$	if $\dfrac{q_P}{q_N} > \dfrac{\gamma K}{r}\left(\mu - \dfrac{p_N}{p_P}\right)$:
		
		 $E_{tot} < E_{ext}^{MH}$
		
		 $E_{stab}^{PH} < E_{tot} < E_{stab}^{NH}$
		
		 if $\dfrac{q_P}{q_N} < \dfrac{\gamma K}{r}\left(\mu - \dfrac{p_N}{p_P}\right)$:
		
		 $E_{tot} > E_{ext}^{MH}$
		
		 $E_{stab}^{NH} < E_{tot} < E_{stab}^{PH}$
			$E_{tot} < E_{ext}^{NH}$

Stability conditions	$E_{tot} < E_{stab}^{PH}$	$\dfrac{q_P}{q_N} > \dfrac{\gamma K}{r}\left(\mu - \dfrac{p_N}{p_P}\right)$	$E_{tot} > E_{stab}^{NH}$
Relationships between conditions	$E_{ext}^{PH} > E_{stab}^{PH}$ $\Leftrightarrow$ $\dfrac{c_N - c_P}{p_N q_N} < K$	if $\dfrac{q_P}{q_N} > \dfrac{\gamma K}{r}\left(\mu - \dfrac{p_N}{p_P}\right)$: $E_{ext}^{MH} > E_{stab}^{PH} \Leftrightarrow \dfrac{c_N - c_P}{p_N q_N} < K$ $E_{ext}^{MH} > E_{stab}^{NH} \Leftrightarrow \dfrac{c_N - c_P}{p_N q_N} < \dfrac{m}{\mu \gamma}$	$E_{ext}^{NH} > E_{stab}^{NH}$ $\Leftrightarrow$ $\dfrac{c_N - c_P}{p_N q_N} < \dfrac{m}{\mu \gamma}$

It appears that a stable predator-focused system is reached at low efforts (for efforts smaller

than E_{stab}^{PH}), while a stable prey-focused system is reached at high efforts (for efforts larger

than E_{stab}^{NH}). In between, a mixed harvest is reached. Interestingly, the feasibility conditions

of the mixed harvest equilibrium are defined by the limit efforts E_{stab}^{PH} and E_{stab}^{NH} that

determine the stability of the predator-focused and the prey-focused systems. If

$E_{stab}^{PH} < E_{stab}^{NH}$, this mixed harvest equilibrium is stable. But if on the contrary $E_{stab}^{PH} > E_{stab}^{NH}$,

then the equilibrium is unstable. Moreover in this case, three equilibria overlap: stable

predator- and prey-focused equilibria, and the unstable mixed harvest equilibrium. The

system then exhibits bistability.

In the last row of the table, we investigate the relationships between the feasibility and

stability conditions of the different equilibria. Importantly, it appears that if the predator goes

extinct in one of the equilibria, then other equilibria cannot be reached for higher efforts. To

illustrate this, let us consider three cases, where we suppose that a stable mixed harvest is

possible ($q_P/q_N > (\gamma K/r)(\mu - p_N/p_P)$). In the first case, the difference between prey and

predator costs relative to prey price and catchability is larger than prey carrying capacity (

$(c_N - c_P)/(p_N q_N) > K$). This means that harvesting prey is costly and does not bring much

profit relative to its maximum density. Therefore, the interest of harvesting prey is limited. As

a result, the predator goes extinct before reaching the mixed equilibrium ($E_{ext}^{PH} < E_{stab}^{PH}$). It

also implies that the mixed equilibrium is unfeasible ($E_{ext}^{MH} < E_{stab}^{PH}$). As predator mortality is

considered to be lower than its maximum growth rate ($m < \mu \gamma K$) it also implies that the

prey-focused equilibrium is unfeasible ($(c_N - c_P)/(p_N q_N) > m/(\mu \gamma)$ $\Leftrightarrow$ $E_{ext}^{NH} < E_{stab}^{NH}$).

In the second case, the difference between prey and predator costs relative to prey

price and catchability is smaller than prey carrying capacity ($(c_N - c_P)/(p_N q_N) < K$), but

larger than predator mortality relative to the effect of predation ($(c_N - c_P)/(p_N q_N) > m/(\mu \gamma)$).

Here the prey gets harvested in the mixed equilibrium, but the predator disappears before

reaching the prey-focused equilibrium. Then, the prey-focused equilibrium is unfeasible.

In the third case the difference between prey and predator costs relative to prey price

and catchability is smaller than prey carrying capacity ($(c_N - c_P)/(p_N q_N) < K$), and smaller

than predator mortality relatively to the effect of predation ($(c_N - c_P)/(p_N q_N) < m/(\mu \gamma)$).

This is always the case when the cost of harvesting prey is smaller than the cost of harvesting

predators, which is often true as predators are generally bigger than their prey. In this case, all

three equilibria are feasible.

Appendix B: Robustness of results from the Rosenzweig-MacArthur model

In the main text, we show that increased adaptation speeds can induce oscillations and therefore destabilise the mixed harvest equilibrium of a Rosenzweig-MacArthur system. Here we assess the sensitivity of these results to other parameters of the model. To do so, we numerically compute the Jacobian of our system for each parameter value. When a pair of complex eigenvalues crosses the imaginary axis, the system undergoes a Hopf bifurcation and begins to oscillate.

Figure A2 shows the influence of all parameters of the model on the stability of the mixed harvest equilibrium, relative to adaptation speed. The main conclusion is that increased adaptation speed always displayed a destabilising influence on the mixed harvest equilibrium. Moreover, high adaptation speeds broaden the range of parameters for which oscillations occur. These results therefore support the main text's conclusion of a destabilising effect of adaptation speed in this model.

The influence of the other parameters can be organised in three categories. Figures A2a, d, g and j show parameters that are destabilising at low values and stabilising at higher values. Interestingly, the total fishing effort shows this kind of pattern, meaning that high fishing efforts can potentially stabilise an oscillating system. Figure A2b, e, h, and k show parameters that destabilise the equilibrium, jointly with adaptation speed. One of these parameters is the carrying capacity, which agrees with the well-known paradox of enrichment [40]. Also note that parameters that favor the relative profitability of prey, namely prey price and predator fishing cost, are destabilising. Figure A2c, f, i, l and m shows stabilising parameters. In accordance with other studies [41], we find that the predator mortality rate is stabilising. Also note that parameters that favor the relative profitability of predators, namely predator price and prey fishing cost, are stabilising.

Figure A2: Influence of various parameters on the stability of the mixed harvest equilibrium, relative to adaptation speed. In the white zone, the system is stable, while in the grey zone it oscillates. Value of fixed parameters: $r=1$, $K=1.5$, $m=0.2$, $\mu=0.5$, $\gamma=1.2$, $q_N=0.3$, $q_P=0.5$, $p_N=1$, $p_P=2$, $c_N=0.1$, $c_P=0.2$, $N_0=0.4$, $E_{tot}=1.5$.

(a)

(b)