#### 1 Surveillance of CKD epidemiology in the US – a joint analysis of NHANES and KEEP

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- 7 Abstract
- 8 Chronic Kidney Disease (CKD), is highly prevalent in the United States. Epidemiological
- 9 systems for surveillance of CKD rely on data that are based solely on the NHANES survey,
- 10 which does not include many patients with the most severe and less frequent forms of CKD. We
- 11 investigated the feasibility of estimating CKD prevalence from the large-scale community
- 12 disease detection Kidney Early Evaluation and Program (KEEP, n = 127, 149).
- 13 We adopted methodologies from the field of web surveys to address the self-selection bias
- 14 inherent in KEEP. Primary outcomes studied were CKD Stage 3-5 (estimated glomerular
- 15 filtration rate [eGFR] <60 mL/min/1.73m<sup>2</sup>, and CKD Stage 4-5 (eGFR <30 mL/min/1.73m<sup>2</sup>).
- 16 The unweighted prevalence of Stage 4-5 CKD was higher in KEEP (1.00%, 95%CI: 0.94-1.05%)
- than in NHANES (0.51%, 95% CI: 0.43-0.59%). Application of a selection model with IPW of
- 18 variables related to demographics, recruitment and socio-economic factors resulted in estimates
- similar to NHANES (0.55%, 95% CI: 0.50-0.60%). Weighted prevalence of Stages 3-5 CKD in
- 20 KEEP was 6.45% (95% CI: 5.70 7.28%) compared to 6.73% (95% CI: 6.30-7.19%) for
- 21 NHANES. Application of methodologies that address the self-selection bias in the KEEP
- 22 program may allow the use of this large, geographically diverse dataset for CKD surveillance.
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# 33 INTRODUCTION

34	Chronic Kidney Disease (CKD) is a growing public health concern in the United States
35	due to its high prevalence (~13% of the adult US population), association with increased
36	morbidity, mortality and progression to End Stage Renal Disease (ESRD). Treating CKD and
37	ESRD and their complications is extremely costly, accounting for more than 20% of fee-for-
38	service Medicare spending and over 80 billion dollars in the US for 2013 alone (1).
39	Epidemiological systems for geo-temporal CKD surveillance could both fulfil public health
40	objectives (2,3), and direct research efforts towards a better understanding of localized "hotspots"
41	of CKD similar to what has been reported in other contexts (4).
42	Efforts to develop such a project (2,3) culminated in the establishment of the Center for
43	Diseases Control surveillance project (5), which provides data on CKD incidence, prevalence,
44	disease awareness and other disease indicators. Despite the wealth of data incorporated in the
45	CDC project, prevalence estimates in the general population are based solely on the NHANES
46	survey(5–9). An important limitation of this feature is that individuals with the most severe and
47	costly, but less frequent stages of CKD (4-5) are not well represented in NHANES (7).
48	Incorporating additional, larger data sources that are more likely to ascertain persons with more
49	severe forms of CKD has the potential to enhance our existing CKD surveillance infrastructure.
50	In this report, we examine the feasibility of estimating CKD prevalence using data from the large
51	community disease detection program of the National Kidney Foundation's Kidney Early
52	Evaluation and Program (KEEP)(10) in juxtaposition to the population-based data from
53	NHANES.
54	As a voluntary detection program, KEEP is likely to suffer from a substantial degree of

self-selection bias. If it is possible to address this bias, KEEP would provide a population-level

56 data source on all aspects of CKD, including the less common but costly advanced stages of the 57 disease. Use of a national reference population to standardize a sample is used routinely in public health surveillance(11,12). Fueled by the expansion of internet based data collection strategies, 58 59 there has been a growing literature regarding the handling of self-selection effects in voluntary surveys (13,14) by matching against a reference, representative, probability sample. To our 60 knowledge, similar techniques have not been applied to kidney disease research. In this report, 61 we address the self-selection bias in the KEEP dataset by developing selection models based on 62 subject level factors related to recruitment (selection) probabilities in KEEP. We accomplish this 63 by estimating propensities for KEEP participation relative to NHANES, and using them to form 64 inverse-probability weights (IPW), the application of which adjust the observed percentages of 65 CKD prevalence from this self-selected sample. We demonstrate that this approach can make the 66 67 estimated CKD prevalence rate from KEEP directly comparable to those of NHANES, opening up the possibility of using this large, geographically diverse dataset for the purpose of CKD 68 surveillance. 69

70

# 72 **RESULTS**

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# 73 Baseline characteristics and selection effects in KEEP

74	The KEEP study made more than 185,000 assessments from August 2000 to June 2013.
75	We analyzed the initial encounter for program eligible KEEP participants assessed by 48
76	regional affiliates from 2001 to the end of 2012 using data provided by NKF. Participants were
77	excluded if <20 years of age, on dialysis, were pregnant, had a previous kidney transplant, were
78	lacking a valid state of residence or had other invalid demographic variable values (32,026
79	records excluded, Figure 1). Another 6,317 records that lacked a valid eGFR stage determination
80	were excluded to obtain 127,876 participants (147,168 records) with CKD stage. We then
81	removed follow up visits (19,292 records) and participants seen before 2001 ( $n = 727$ ) to obtain a
82	sample of 127,149 KEEP participants for propensity score estimation. Our original NHANES
83	sample from 2001 – 2012 had 59,423 participants attending an MEC. We excluded NHANES
84	participants <20 years old ( $n = 27,796$ ), pregnant women ( $n = 963$ ), hemodialysis patients ( $n =$
85	114) and participants without a valid eGFR determination ( $n = 2,033$ ) to obtain a sample with
86	28,517 NHANES participants for propensity score estimation.
87	KEEP participant characteristics are compared to NHANES general population estimates

89 Hispanic White but more likely to be Black than NHANES. KEEP recruitment resulted in an

in Table 1. KEEP participants were older, more likely to be female, less likely to be non-

90 oversampling relative to NHANES of participants with self-reported diabetes, hypertension,

91 CKD, CHF/CAD/Stroke, a family history of diabetes and of heart attack. KEEP participants

92 were also more likely to have reported being obese, to be uninsured and to have at least a high

93 school education. KEEP participants were less likely to be current smokers than the general

94 population. The boosted CART model that produced the best agreement between expected and

95	predicted KEEP frequencies was the model with four-way interactions. Prevalence of CKD
96	within the KEEP sample by IPW decile shows the success of KEEP affiliates recruiting
97	participants at a higher risk of CKD. Smaller deciles (higher probability of selection into KEEP)
98	have significantly higher prevalence of CKD Stages 3-5 than large deciles ( $P < 0.001$ ,
99	Supplemental Figure 2). After KEEP summaries were estimated using weights from this model,
100	frequencies were much closer to NHANES (Table 1). Before weighting the youngest and oldest
101	age categories were under and over represented in KEEP by -19% and 16.6%, but after weighted
102	estimations all categories were within 1.1% of NHANES. Females were 51.1% of NHANES and
103	52.2% in weighted KEEP compared to 67.7% of the unweighted KEEP sample. Weighted KEEP
104	estimates were also much closer to NHANES for race/ethnicity categories so that all groups were
105	within 2% of expected. Differences between weighted KEEP percentages and NHANES that
106	were more than $\pm 1\%$ included fewer self-reported diabetes (-1.1%), more hypertension (1.9%),
107	family history of diabetes (3.2%), overweight (2.7%), obese (2.9%) and insured (1.4%).
108	Prevalence of CKD stages in NHANES and KEEP
109	Prevalence of CKD stage 3 in the general population age 20 and older for 2001-2012 was
110	6.23% (95% CI: 5.82-6.66) from NHANES ( <i>n</i> = 2,453 cases) compared to 13.14% (95% CI
111	12.95-13.33) in the KEEP sample during the same period (Table 2, $n = 16,706$ cases). Simple
112	reweighting the KEEP data reduced the prevalence estimate by more than one third to 8.57% and
113	accounting for clustering of KEEP samples within regional affiliates reduced the estimate to
114	6.45% (5.70-7.28%, Table 2 Weighted-GEE). The average prevalence of CKD Stage 4-5 among
115	all participants was 0.51% in the NHANES general population (95% CI 0.43-0.59%, $n = 239$
116	cases) and was 1.00% in the KEEP sample (95% CI 0.94-1.05%, Table 2, $n = 1,267$ cases). IPW

117	adjustment accounting for affiliate clusters removed almost all bias so the weighted KEEP
118	prevalence was 0.52% (95% CI 0.42-0.64%, Weighted-GEE).

Age and sex stratified results in Figure 2 show that both age and sex have substantial impacts on CKD endpoints. Both NHANES and KEEP showed low CKD Stage 3 prevalence at younger ages that increased with age for females more than males (KEEP age x sex interaction P= 0.037). Stage 4-5 prevalence also increased with age with female versus male differences not uniform over ages (KEEP age x sex interaction P = 0.026).

# 124 Temporal Trends in the prevalence of CKD Stage

Prevalence of Stages 3-5 and Stages 4-5 by KEEP year are shown in Figure 1-3 with and 125 without IPW. NHANES estimates are plotted in the middle of each two-year survey period so 126 that the KEEP estimates falling immediately before and after NHANES estimates are most 127 128 relevant for comparisons. Tests for non-linear time trends were not significant for CKD Stage 3 (P = 0.13), Stages 3-5 (P = 0.08) or Stages 4-5 (P = 0.07), and no CKD Stage showed a 129 significant positive or negative trend (Supplemental Table 2, all P-values > 0.63). Weighted 130 131 prevalence of CKD Both Stage 3 and Stage 4-5 weighted estimates are close to NHANES in all years with 95% confidence intervals for KEEP trend lines overlapping NHANES point 132 133 estimates. Weighted KEEP CKD prevalence was slightly higher in early and late years of the series compared to middle years. We used graphical methods to explore whether covariate 134 imbalance in age, sex and race/ethnicity by year may be associated with this extra variation 135 136 (Supplemental Figures 3-5). All three covariates had variation in balance over years, and the pattern for sex most closely matched that seen in weighted KEEP prevalence. 137

138

#### 140 **DISCUSSION**

In this paper we explored the use of data from a voluntary, self-selected community 141 disease detection program to model point prevalence and temporal trends for epidemiologic 142 143 surveillance of CKD in the US over a period of 10 years. By using a national representative survey as a reference, we demonstrate the potential of IPW based adjustments to address the self-144 145 selection bias inherent in community based disease detection programs. To our knowledge, this 146 is the first application of this approach in the setting of chronic (kidney) disease and opens up the possibility of using large, readily available samples of convenience in epidemiologic surveillance 147 148 programs.

The use of self-selected samples for the purpose of tabulating official statistics has been 149 150 receiving increasing attention in the era of administered surveys distributed over the 151 internet(15,16). Similar to community based disease detection programs, the practical use of these designs suffer from self-selection bias. In recent years, there has been a growing literature 152 regarding the modeling of self-selection effects in such surveys. This literature suggests that a 153 154 number of approaches, including post-stratification weighting of the observations of participants 155 in the self-selected samples(17) or the direct modeling of the probability of self-selection ("propensity score adjustment")(13,18,19) against a referent population may allow the valid use 156 157 of these convenience samples in place of random probability samples. To our knowledge, similar 158 techniques have not been applied to CKD prevalence data. Therefore, we explored both IPW and 159 post-stratification as a novel means to address the self-selection bias in KEEP. Our analyses 160 indicate that even though both methods may substantially decrease this bias, the greater 161 flexibility afforded by the logistic regression model in IPW leads to greater comparability with 162 the sample estimates from NHANES. We postulate that this performance of the IPW is likely to 163 hold true in other health domains outside the field of CKD research.

164 A major strength of our proposed approach to the surveillance of the CKD epidemiology is the 165 use of co-temporal, national, representative cohort of NHANES to calculate the self-selection probabilities in KEEP. The use of such a reference, random probability sample is an integral 166 167 component of existing approaches for handling selection bias(13,17,20,21). Even if such a reference sample is available though, in order for sample weighting to reduce bias, the covariates 168 used should be strongly correlated with the target population(15) and the mechanism underlying 169 170 the self-selection process should be one of Missing-At-Random (MAR). In such a case, IPW will allow the unbiased estimation of quantities of interest e.g. point prevalence for impaired eGFR or 171 172 microalbuminuria (15). In our approach, the rich data collected during KEEP and the in-depth knowledge of the KEEP data collection, the community advertisement and engagement 173 processes led us to consider a-priori plausible subsets of covariates to use for the weighting 174 process, and suggested the need to employ a GEE model to allow for variation among the NKF 175 affiliates where screenings were performed. These factors are likely responsible for the enhanced 176 comparability of the weighted estimates of KEEP to NHANES over the entire period 2001-2012 177 178 and at specific points in time during the same time interval.

In terms of practical applications, successfully addressing the bias in KEEP offers the 179 180 possibility of using this readily available sample of convenience for the purpose of CKD surveillance. In particular, the existing CKD surveillance project about the general US 181 population is based on a limited number of NHANES participants with an eGFR <60 (n = 2,700) 182 183 and eGFR<30 (n = 239). Our analyses indicate the potential to expand this dataset almost sixfold by appropriate weighting of the nearly 18,000 KEEP participants with decreased renal 184 function. Notwithstanding the increase in sample size, the ability to combine these datasets 185 186 affords the opportunity to overcome the pitfalls of each of these two studies when considered in

187 isolation (7,10,22,23). Whereas KEEP suffers from self-selection bias(10,22), NHANES does not 188 represent well the advanced, but also less common stages 3-4 of CKD (7). Such patients are "oversampled" in KEEP so that these studies directly complement each other. Our report 189 190 illustrates the feasibility of using relatively simple weighting adjustments to make the estimates of the studies directly comparable. Hence, it represents a significant advance over the existing, 191 192 semi-qualitative use of the two data sources by the nephrology research community. In 193 particular, it was previously stated that the results of KEEP are best understood in "the context of the US population" and in comparison against results from a representative sample 194 195 (NHANES)(22,24). By applying methodologies to address the self-selection bias in KEEP we 196 took this approach to its logical conclusion and derived a common analytic file that is available for the epidemiologic surveillance of CKD in the general population. 197 Despite the ability of our methodology to bring about a quantitative agreement between 198 NHANES and weighted versions of KEEP, our analyses have certain limitations. First, 199 recruitment efforts for the KEEP survey was rather heterogeneous over the continental US and 200 201 may have not reached some population segments. This potential source of bias may not be accounted for in our approach and is a topic under investigation using recruitment information 202 203 like screening event location, and measures of advertising 'effort' as potential covariates. In our analyses we handled these factors indirectly by including the regional affiliates as clusters in the 204 GEE estimation procedure. It is likely that more detailed modeling may have led to more precise 205 206 estimation of the prevalence of the different stages of CKD. Another limitation concerns the handling of race and ethnicity, which are clinically significant correlates of CKD risk in the 207 source datasets. Whereas NHANES collects detailed race and ethnicity information, the 208 209 coarseness of classification in public use files likely combines groups with unequal risk. These

210 categories were not entirely congruent with the ones adopted in the KEEP program, thus raising 211 the possibility of residual confounding in our weighting schemes. Finally, adjustment by poststratification typically relies on a known reference distribution such as from official census 212 213 statistics. In summary, we present the first to our knowledge application of self-selection bias 214 correction methodologies for the analysis of data related to the prevalence of CKD in the general 215 216 US population. We found that two methodologies, i.e. IPW and to a lesser degree poststratification weighting may be used to render estimates from a self-selected cohort (KEEP) 217 directly comparable to those from a national representative sample (NHANES). Future studies 218 should build on this effort and utilize this novel analytic set to expand the existing national CKD 219 surveillance system. Such efforts may be directed towards understanding the epidemiology of 220 221 CKD by utilizing the geographic information collected during KEEP so as to build prevalence 222 maps of this challenging, costly chronic disease over both space and time.

### 223 MATERIALS AND METHODS

#### 224 Study Populations

We used individual level data from participants in the National Kidney Foundation's 225 226 (NKF) Kidney Early Evaluation Program (KEEP) and from participants in the National Health and Nutrition Examination Survey (NHANES). Both KEEP and NHANES are national samples: 227 KEEP is a self-selected sample of adults with elevated risk of kidney disease coordinated by 228 229 local NKF organizations, and NHANES is a nationally representative sample designed to study health and nutritional status of adults and children in the United States. KEEP used advertising 230 231 campaigns to attract participants to examinations coordinated by regional affiliates. Advertising targeted participants that were at least 18 years old with risk factors for CKD: high blood 232 pressure, diabetes, or a family history of diabetes or hypertension or kidney disease. We obtained 233 234 data from NKF for KEEP participants assessed by 48 regional affiliates from 2001 to the end of 2012 (185,511 records, SAS file name keep\_saf\_2013). Participants were excluded if <20 years 235 of age, on dialysis, were pregnant, had a previous kidney transplant, if eGFR could not be 236 237 determined, were lacking a valid state of residence or had other invalid demographic variable values. NHANES employs cross-sectional, multi-stage, stratified, cluster probability samples 238 239 with several subgroups oversampled to improve precision. The subgroups vary by two-year survey period with respect to status race, ethnicity and poverty level. We studied participants 240 attending mobile examination centers (MEC) during six survey periods covering 2001 – 2012. 241 242

#### 243 Selection Model Variables

Covariates for selection models were chosen if they were related to messages used for
KEEP recruitment, if they could be identified in both datasets, and if they received or could be

246	re-encoded similarly in both datasets over the 2001 – 2012 study. Demographic covariates
247	included continuous age, sex, and race/ethnicity (non-Hispanic White, non-Hispanic Black,
248	Mexican/Other Hispanic, Other). Factors related to KEEP recruitment advertising included
249	patient reported diabetes, hypertension, CKD, and family history of CKD, diabetes or
250	hypertension. Other self-reported factors potentially associated with KEEP participation were
251	obesity status, smoking, family history of heart attack, and participant cardiovascular disease
252	(self-report of stroke, congestive heart failure, angina or heart attack). We also included variables
253	for participant socioeconomic status, education and type of insurance.
254	
255	CKD Endpoints
256	Our CKD end points to be estimated from KEEP data after adjusting for self-selection were the
257	single sample ('point') prevalence of impaired renal function: CKD Stages 3, eGFR 30 - <60
258	ml/min/1.73 m <sup>2</sup> , Stages 3-5, eGFR <60 ml/min/1.73 m <sup>2</sup> , and Stage 4-5 CKD, eGFR <30
259	ml/min/1.73 m <sup>2</sup> . eGFR was estimated using the Chronic Kidney Disease Epidemiology
260	Collaboration (CKD-EPI) equation based on race, sex and serum creatinine(25,26). KEEP serum
261	creatinine values were standardized to the Cleveland Clinic(27) prior to calculation eGFR. Urine
262	creatinine concentrations before 2007 in the NHANES data were standardized to later years
263	using a piece-wise regression adjustment described for NHANES results (28).
264	
265	Statistical Analyses
266	The analyses had two phases: 1) estimation of propensity scores using NHANES and

267 KEEP, and 2) estimation of CKD prevalence. Sampling weights for NHANES were the two-year

268 MEC weights. We conducted analyses to determine whether individual propensity scores for

269 KEEP were sensitive to the approach used in developing the reference population (Supplemental 270 Methods). We created an NHANES summary file composed of weighted reference population frequencies for all cross-tabulations of our selection model covariates. These weighted 271 272 frequencies considered the complex sampling design and used NHANES PSU, strata, and MEC in calculations. We appended these weighted subgroup frequencies to KEEP data to also estimate 273 propensity scores. Analyses using the two approaches confirmed that propensity scores for 274 KEEP participants were not sensitive how we created a reference population. Using the 275 individual NHANES records and MEC without upscaling to subgroup frequencies for the total 276 277 reference population avoids the problem of some subgroup cells estimated from very few NHANES observations. Our approach also enables use of continuous variables like age and BMI 278 279 in estimating propensity scores. 280 Descriptive summaries of NHANES participants by subgroups (e.g., age, sex, race/ethnicity) were estimated using methods that accounted for the complex sampling design 281 when summarizing the reference population (SURVEYFREQ, SAS v9.4). The population 282 283 distribution of KEEP for these subgroups were estimated without and with weighting for selfselection. 284 285 For propensity score analyses we used boosted the classification and regression tree

(CART) methods implemented in the *twang* R-package(29), as this method outperforms logistic regression(30) and can utilize sampling weights. We entered NHANES observations using the MEC weights, and KEEP observations using sampling weights equal to 1.0. The shrinkage parameter was set at 0.001 and number of random trees equaled 20,000. We varied interaction depths from four to six levels and assessed the balance achieved by comparing KEEP weighted frequencies to the expected frequencies based on the NHANES reference population and selected 292 as our propensity score estimation model the one with best agreement between KEEP 293 frequencies expected for the reference population and the weighted frequencies from the boosted CART models. Separate propensity score analyses by two-year NHANES sampling periods 294 295 estimated weights that tracked changes in KEEP sampling distribution and intensity by regional KEEP affiliates. Achieved covariate balance by NHANES survey period was assessed using 296 unstandardized and standardized differences in proportions(31), and logistic regression was used 297 298 to assess whether CKD Stage 3-5 prevalence in the unweighted KEEP sample was constant over IPW deciles(32). 299

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The second analysis stage had the goal of estimating CKD prevalence by Stage using the KEEP sample but adjusted for self-selection. Unweighted and weighted prevalence and 95% confidence interval are reported (SURVEYFREQ, SAS v9.4) along with population average

315	estimates that account for participants clustered within regional affiliate recruitment and
316	examination programs (GEE, GENMOD, SAS v9.4). Confidence intervals based on robust
317	standard errors are reported. Intercept-only models estimated overall prevalence during 2001-
318	2012 by CKD Stage and during 2003-2012 for albuminuria. Models with fixed effects for age
319	and sex and for KEEP screening year estimated prevalence grouped by these factors. Linear time
320	models and models with restricted cubic splines ( $k = 3$ knots) were used to estimate trends in
321	prevalence. Prevalence estimates from NHANES for comparison with weighted KEEP
322	accounted for the complex sampling design but did not use a GEE approach
323	(SURVEYLOGISTIC, SAS v9.4).
324	
325	Data availability
	<b>Data availability</b> NHANES data are available from the survey website at the Center for Disease Control
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in 2017.

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### 438 Author Contributions

439 All co-authors contributed in this collaborative work: Dr OM programmed the analyses in SAS,

440 created graphs and tables and drafted the Methods and Results of the manuscript. Dr VSP,

441 oversaw statistical analyses and contributed in the Methods section. Dr's KM, JV, AG

442 contributed their in-depth knowledge of the KEEP and NHANES datasets and contributed

sections in Introduction, Methods and Discussion. Dr MU contributed sections in the

444 Introduction and Discussion. Dr CA devised the overall analytic strategy and prepared the

Introduction and the Discussion sections of the first draft of the text. All authors, interpreted

results and modified the draft of the text over four iterations in order to produce the final version

447 of the manuscript.

448

#### 449 Additional Information

450 *Competing Interests Statement*: The authors declare no competing interests

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#### 452 Supplementary Information

453 Supplementary Methods and Results are available from the journal website

Table 1. Self-reported characteristics of NHANES reference population and KEEP participants
without and with inverse probability weighting, 2001-2012. CHF/CAD/strke – congestive heart

without and with inverse probability weighting, 2001-2012. CHF/CAD/strke – congestive hear
 failure/coronary artery disease or stroke; CKD – chronic kidney disease; KEEP – Kidney Early

457 Evaluation Program; NHANES - National Health and Nutrition Examination Survey

	NH	ANES		KEEP		
	(n = 2	28,517)	( <i>n</i> = 127,149)			
	Weighted n %		Unweightee n %		d Weighted %	
Age						
20-39	9,396	36.9	21,625	17.0	35.9	
40-59	9,317	39.4	54,931	43.2	39.4	
60-69	4,526	11.9	27,423	21.6	12.5	
70+	5,278	11.8	23,170	18.2	12.2	
Female	14,230	51.1	86 <i>,</i> 076	67.7	52.2	
Race/Ethnicity						
Mexican American/Other Hispanic	7,116	12.8	16,275	12.8	14.9	
non-Hispanic White	13,852	70.8	57,078	44.9	68.8	
non-Hispanic Black	5,737	10.5	40,577	31.9	11.2	
Other	1,812	5.9	13,219	10.4	5.2	
Diabetes	3,736	9.6	36,309	28.6	8.5	
Hypertension	9,777	29.7	69,813	54.9	31.6	
Chronic kidney disease	731	2.0	5,562	4.4	1.7	
CHF/CAD/Stroke	3,236	8.5	15,885	12.5	8.4	
Diabetes family history	12,162	40.8	71,001	55.8	44.0	

	NHA	NES	KEEP			
	( <i>n</i> = 2	8,517)	( <i>n</i> = 127,149)			
	Weighted n %		n	Unweighted %	Weighted %	
Heart attack family history	3,599	13.8	43,885	34.5	13.3	
Weight status						
Overweight	9,782	33.9	41,409	32.6	36.6	
Obese	9,783	32.9	46,480	36.6	35.8	
Smoking status						
Smoker	6,313	22.8	13,145	10.3	22.1	
Former smoker	7,248	24.8	32,981	25.9	25.0	
Insurance						
None reported	6,534	19.3	27,816	21.9	18.3	
Insured	19,773	75.5	91,718	72.1	76.9	
Medicaid	2,210	5.2	7,615	6.0	4.9	
Education						
Less than high school or GED	8,080	18.4	19,642	15.4	18.9	
High school or GED	6,684	24.1	32,702	25.7	22.9	
Greater than school graduate	13,753	57.5	74,805	58.8	58.2	

- 461 Table 2. CKD prevalence estimated from NHANES and from KEEP without and with inverse
- 462 probability weighting, 2001 2012. Weighted estimates accounted for NHANES sampling
- design or self-selection by KEEP. KEEP GEE estimates accounted for participant clustering within
- 464 regional affiliates. CKD chronic kidney disease; KEEP Kidney Early Evaluation Program;
- 465 NHANES National Health and Nutrition Examination Survey
- 466

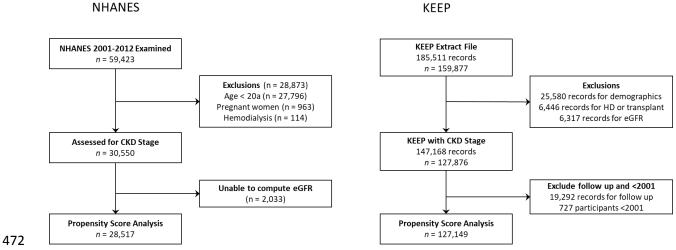
		IHANES = 28,517)		KEEP ( <i>n</i> = 127,149)				
		Weighted		Unweighted	Unweighted-GEE	Weighted	Weighted-GE	
Measure	n	% (95% CI)	п	% (95% CI)	% (95% CI)	% (95% CI)	% (95% CI)	
CKD Stage 3	2,453	6.23 (5.82-6.66)	16,706	13.14 (12.95-13.33)	12.83 (11.88-13.85)	8.57 (8.30-8.85)	6.45 (5.70-7.28)	
CKD Stage 3-5	2,692	6.73 (6.30-7.19)	17,973	14.14 (13.94-14.33)	13.86 (12.88-14.90)	9.14 (8.86-9.43)	6.90 (6.12-7.78)	
CKD Stage 4-5	239	0.51 (0.43-0.59)	1,267	1.00 (0.94-1.05)	1.01 (0.93-1.09)	0.55 (0.50-0.60)	0.52 (0.42-0.64)	

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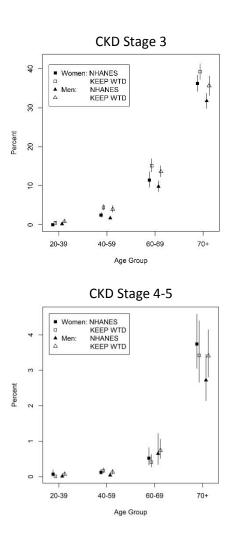
Figure 1. National Health and Nutrition Examination Survey (NHANES) and Kidney Early 470

#### Evaluation Program (KEEP) participation in study. 471

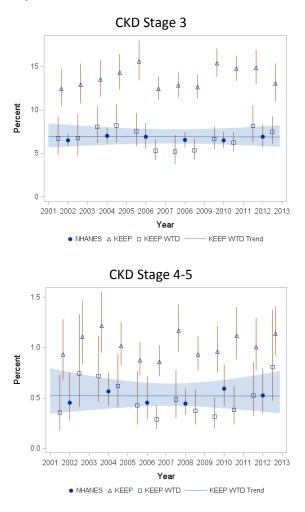


#### NHANES

- 473 Figure 2. Prevalence of CKD Stage 3 and Stage 4-5 by year screened for KEEP and NHANES.
- 474 (KEEP Kidney Early Evaluation Program; NHANES National Health and Nutrition
- 475 Examination Survey)



- 477 Figure 3. Prevalence (%) of CKD Stage 3 and Stage 4-5 by year screened for KEEP and
- 478 NHANES. (KEEP Kidney Early Evaluation Program; NHANES National Health and
- 479 Nutrition Examination Survey)



# 481 Figure Legends

Figure 1. National Health and Nutrition Examination Survey (NHANES) and Kidney Early
Evaluation Program (KEEP) participation in study.

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485

- Figure 2. Prevalence of CKD Stage 3 and Stage 4-5 by year screened for KEEP and NHANES.
- 487 (KEEP Kidney Early Evaluation Program; NHANES National Health and Nutrition
- 488 Examination Survey)

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- 490 Figure 3. Prevalence (%) of CKD Stage 3 and Stage 4-5 by year screened for KEEP and
- 491 NHANES. (KEEP Kidney Early Evaluation Program; NHANES National Health and
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