Effects of exclusive breastfeeding on infant gut microbiota: a meta-analysis across studies and populations

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Abstract

Literature regarding the differences in gut microbiota between exclusively breastfed (EBF) and

non-EBF infants is meager with large variation in methods and results. We performed a meta-

analysis of seven studies (a total of 1825 stool samples from 684 infants) to investigate effects of

EBF compared to non-EBF on infant gut microbiota across different populations. In the first 6

months of life, overall bacterial diversity, gut microbiota age, relative abundances of

Bacteroidetes and Firmicutes and microbial-predicted pathways related to carbohydrate

metabolism were consistently increased; while relative abundances of pathways related to lipid,

vitamin metabolism and detoxification were decreased in non-EBF vs. EBF infants. The

perturbation in microbial-predicted pathways associated with non-EBF was larger in infants

delivered by C-section than delivered vaginally. Longer duration of EBF mitigated diarrhea-

associated gut microbiota dysbiosis and the effects of EBF persisted after 6 months of age. These

consistent findings across vastly different populations suggest that one of the mechanisms of

short and long-term benefits of EBF may be alteration in gut microbes.

Key words: exclusive breastfeeding, gut, microbiota, infant, meta-analysis

Background

Establishment of the gut microbiota in early life has substantial impact on subsequent health [1].

Common sources of the infant's intestinal microorganisms are from the mother's skin, vagina,

stool and from breastfeeding [2–5]. There is a close relationship between the infant's gut microbiota

and the mother's breast milk microbiota and human milk oligosaccharides (HMO) composition

[6–9]. Indeed, recent evidence has shown that breast milk microbiota can directly seed the infant

gut microbiota and the effects of breastmilk on infant gut microbiota is dose-dependent [5]. The

microbiota in breast milk changes over time during lactation and has been shown to be different

between exclusive breastfeeding (EBF) vs. non-EBF mothers [10,11]. Gut microbial abundances in

breast-fed infants, especially bifidobacterial species, are correlated with the mother's HMOs and

HMO-related catabolic activity [3,12,13]. Infant gut microbiota have been shown to be different

between breast fed vs. formula-fed infants [14–20] and change rapidly during the transition from

breastfeeding to formula [21].

EBF in the first 6 months of life provides a multitude of health benefits [22,23]. For example, EBF

has been shown to be strongly protective against diarrhea morbidity and mortality [24] and

decreases long-term risk of diabetes and obesity as compared to non-EBF or formula-fed infants

[25–27]. We hypothesize that the numerous benefits of EBF may be in part due to its effects on the

infant gut microbiota. Several recent studies have identified varying differences in gut microbial

composition or diversity between EBF and non-EBF infants [5,28–30] or gradients in the gut

microbiota composition or diversity across EBF, non-EBF and non-breastfed (non-BF) infants

[5,14,29,31,32]. However, some other studies have found no significant differences in gut microbial

communities between EBF and non-EBF infants [3,33]. In addition, mode of delivery has been

variably reported to have no effect [34] or a significant effect [30] or a potential interaction effect

with breastfeeding [31] on the infant gut microbiota. The wide variation in reported results together

with heterogeneity in feeding category definitions, study designs, study populations, and

especially in data processing and analysis methods make these findings difficult to synthesize

and interpret.

In this study, we applied appropriate and robust statistical methods to analyze gut microbiome

data and performed meta-analyses pooling estimates from seven microbiome studies (a total of

1825 stool samples from 684 infants) to investigate the effects of EBF compared to non-EBF on

infant gut microbiota in the first 6 months of life across different populations. In addition, we

evaluated effect modification by infants' mode of delivery. We aimed to synthesize existing data

and to examine consistency or inconsistency in findings across studies and populations. Where

data were available, we also examined persisting effects of EBF occurring in the first 6 months

on the gut microbiota of infants after 6 months of life as well as interaction effects between

diarrhea and EBF on the infant gut microbiota.

Results

Gut microbial diversity is increased in non-EBF vs. EBF infants ≤ 6 months of age

In infants ≤ 6 months of age, across the seven included studies, non-EBF consistently associated

with increased gut microbial alpha diversity (standardized Shannon index) compared to EBF

adjusting for infant age at sample collection (pooled standardized diversity difference (DD)=

0.34 standard deviation (sd), 95% confidence interval (95% CI)= [0.20; 0.48], pooled p-

value<0.0001) (Figure 1a, Figure 1b). In a subset of five studies that also contained non-BF

infants (Bangladesh [35], Canada [31], USA (California-Florida (CA-FL)) [5], USA (California-

Massachusetts-Missouri (CA-MA-MO)) [18], and USA (North Carolina (NC)) [29]), gut microbiome

diversity (standardized Shannon index) was significantly increased in infants with less

breastfeeding after adjusting for age of infants at sample collection (pooled standardized

DD=0.39 sd, 95% CI=[0.19; 0.58], pooled p-value=0.0001) (Figure 1c). Results were consistent

utilizing three other commonly used alpha diversity indices (Phylogenetic diversity whole tree,

Observed species, Chao1) (all pooled p-values <0.05) (Figure 1d, Figure 1e).

In sensitivity meta-analyses excluding estimates from either the USA (NC) study [29] (which

contained a small number of infants ≤ 6 months old), or the Haiti study [3] (which included

samples from HIV-uninfected infants born to HIV-infected and HIV-uninfected mothers) or the

Vitamin D Antenatal Asthma Reduction Trial (VDAART) study [18] in the USA (CA-MA-MO)

(which contained samples from infants at high risk of asthma and allergies, half of whom were

randomized to high-dose antenatal vitamin D supplementation), the results remained similar

(Supplementary figure 1).

In a subset of four studies (Canada [31], Haiti [3], USA (CA-FL) [5], and USA (CA-MA-MO) [18]) with

available data on mode of delivery, the increase in microbial diversity associated with non-EBF

was similar in the meta-analysis stratified on vaginally-delivered infants and on cesarean-

delivered infants (Supplementary figure 2).

Gut microbiota age is increased in non-EBF vs. EBF infants ≤ 6 months of age

A Random Forest (RF) model was used to predict the infant age in each included study based on

relative abundances of the shared gut bacterial genera of the seven included study

(Supplementary table 1). The model explained 95% of the variance related to chronologic age in

the training set and 65% of the variance related to chronologic age in the test set of Bangladesh

data (Supplementary figure 3). The predicted infant age in each included study based on relative

abundances of the shared gut bacterial genera using this Random Forest model was regarded as

gut microbiota age.

In infants ≤ 6 months of age, a consistent (6/7 studies) increase of gut microbiota age was

observed in non-EBF as compared to EBF infants after adjusting for infant age at sample

collection (pooled standardized microbiota age difference (MD)= 0.33 sd, 95%CI= [0.09; 0.58],

pooled p-value= 0.0074) (Figure 2a, Figure 2b). In sensitivity meta-analyses excluding either of

the three studies mentioned above [3,18,29], the association remained unchanged (Supplementary

figure 4). In the subset of four studies with available data on mode of delivery [3,5,18,31], meta-

analysis stratified on vaginally-delivered infants and on cesarean-delivered infants showed

similar overall increase in microbiota age in non-EBF vs. EBF infants (Supplementary figure 5).

The trend of increasing gut microbiota age in infants with less breastfeeding after adjusting for

age of infants at sample collection was also observed in a subset of five studies containing a non-

BF group [5,18,29,31,35] (pooled standardized MD= 0.35 sd, 95%CI= [0.09; 0.61], pooled p-value

=0.0079) (Figure 2c).

Gut microbial composition is altered in non-EBF vs. EBF infants ≤ 6 months of age

Across the seven included studies, there was a large heterogeneity in the difference (log(odds

ratio)) of gut bacterial taxa relative abundances between non-EBF vs. EBF infants after adjusting

for age of infants at sample collection. Notably, a decrease in relative abundance of

Proteobacteria in non-EBF vs. EBF infants was observed in the four studies in North America

but the opposite was observed in the other three studies in Haiti, South Africa and Bangladesh

(Figure 3a). However, there was also remarkable consistency across studies. At the phylum level,

there was an overall significant increase in the relative abundances of Bacteroidetes (consistent

in all seven studies) and Firmicutes (consistent in 6/7 studies) in non-EBF vs. EBF infants (all

pooled p-values <0.05 and false discovery rate (FDR) adjusted pooled p-values <0.1) (Figure 3a,

Supplementary table 2). There was also a consistent trend of increasing relative abundances of

these two phyla across EBF, non-EBF and non-BF in the subset of five studies with a non-BF

group [5,18,29,31,35] (all pooled p-values <0.05 and FDR adjusted pooled p-values <0.1)

(Supplementary table 3).

At the order level, the relative abundances of Bacteriodales (7/7 studies) and Clostridiales (6/7

studies) were consistently increased in non-EBF infants (all pooled p-values <0.05 and FDR

adjusted pooled p-values <0.1) (Supplementary figure 6, Supplementary table 2). At the family

level, the relative abundances of Bacteroidaceae (7/7 studies) and Veillonellaceae (6/7 studies)

were consistently increased in non-EBF infants (all pooled p-values <0.05 and FDR adjusted

pooled p-values <0.1) (Figure 3b, Supplementary table 2). At the genus level, there were

increases in the relative abundances of *Bacteroides* (7/7 studies), *Eubacterium, Veillonella* (6/7

studies), and *Megasphaera* (5/7 studies) in non-EBF infants (all pooled p-values <0.05, FDR

adjusted pooled p-value of *Eubacterium* <0.1) (Supplementary figure 7, Supplementary table 2).

In sensitivity meta-analyses excluding either of the three studies mentioned above [3,18,29], results

remained similar (Supplementary table 4, Supplementary table 5, Supplementary table 6).

In the subset of four studies with available data on mode of delivery [3,5,18,31], the results of meta-

analysis stratified on vaginally-delivered infants were similar to those of meta-analysis stratified

on cesarean-delivered infants from the phylum to family level. Phylum Proteobacteria

(particularly family Enterobacteriaceae) was markedly and significantly reduced in non-EBF

infants, especially among infants delivered by cesarean (Supplementary figure 8, Supplementary

figure 9, Supplementary figure 10, Supplementary table 7). At the genus level, relative

abundance of *Acidaminococcus* was significantly higher in vaginally-delivered non-EBF vs.

vaginally-delivered EBF infants, whereas, relative abundances of *Proteus* and *Anaerotruncus*

were significantly lower in cesarean-delivered non-EBF vs. cesarean-delivered EBF infants (all

FDR adjusted pooled p-values <0.1) (Supplementary figure 11, Supplementary figure 12,

Supplementary table 7).

Gut microbial-predicted functions are perturbed in non-EBF vs. EBF infants ≤ 6 months of age

Across the seven included studies, although the difference (log(odds ratio)) of relative abundances of gut bacterial KEGG functional pathways between non-EBF vs. EBF infants was largely heterogeneous, important consistencies were found. At KEGG level two, there was no pathway significantly different between non-EBF and EBF group after adjusting for multiple testing (Supplementary figure 13, Supplementary table 8). At KEGG level three, the relative abundances of 24 pathways were significantly different between non-EBF and EBF infants (pooled p-values <0.05) and nine of these remained significant after adjusting for multiple testing (FDR adjusted pooled p-values <0.1) (Figure 4a). Specifically, after adjusting for age of infants at sample collection, in the non-EBF group vs. the EBF group, there were higher relative abundances of several pathways related to carbohydrate metabolism, viz. Fructose and Mannose Metabolism (7/7 studies), Pentose and Glucuronate Interconversions, and Pentose Phosphate Pathway (6/7 studies), as well as Fatty Acid Biosynthesis and Biosynthesis of Ansamycins pathways (6/7 studies). In addition, in non-EBF infants, there were lower relative abundances of some pathways related to lipid metabolism, lipid homeostasis and free radical detoxification (Fatty Acid Metabolism (6/7 studies) and Peroxisome (6/7 studies)), Vitamin 6 Metabolism (7/7 studies) and Ribosome Biogenesis in Eukaryotes (5/7 studies) (all pooled p-values <0.05 and FDR adjusted pooled p-values <0.1) (Figure 4a, Supplementary table 8). Sensitivity meta-analyses excluding either of the three studies mentioned above [3,18,29] showed similar results (Supplementary table 9).

In the subset of four studies with available data on mode of delivery [3,5,18,31], meta-analysis

stratified by mode of delivery showed remarkable heterogeneity between vaginally-delivered

infants and cesarean-delivered infants. In vaginally-delivered infants, there were six pathways

related to carbohydrate and lipid metabolism significantly perturbed between non-EBF vs. EBF

infants after adjusting for multiple testing (all FDR adjusted pooled p-values <0.1) (Figure 4b,

Supplementary table 10). Whereas, in cesarean-delivered infants only, there were a much larger

number of pathways (35 pathways) of many cellular and metabolic processes (such as cell

growth and death, membrane transport, replication and repair, carbohydrate, lipid, amino acid,

vitamin, energy metabolism) significantly perturbed between non-EBF vs. EBF infants after

adjusting for multiple testing (all FDR adjusted pooled p-values <0.1). In addition, the

perturbation of these pathways were mostly consistent across four included studies (Figure 4c,

Supplementary table 10).

Duration of EBF in the first 6 months alters infant gut microbiota after 6 months of age

Using data from the Bangladesh study only, which included 996 stool samples collected monthly

from birth to 2 years of life in 50 subjects, we found that shorter duration of EBF (less than 2

months vs. more than 2 months from birth) was associated with a larger increase in gut

microbiota age. This association was significant from 6 months to 15 months of age (microbiota

age difference (MD)= 1.64 months, 95%CI=(0.23, 3.05), p-value=0.0233) (Figure 5a).

From 6 months to 2 years of age, after adjusting for age of infants at sample collection, shorter

duration of EBF (less than 2 months vs. more than 2 months from birth) was associated with

lower relative abundance of the phylum Actinobacteria and higher relative abundance of

Firmicutes (all FDR adjusted p-values <0.1) (Supplementary table 11). At the family level,

infants with shorter duration of EBF had lower relative abundances of Bifidobacteriaceae, and

Enterococcaceae and higher relative abundances of Lactobacillaceae, Coriobacteriaceae,

Prevotellaceae, Clostridiaceae, Erysipelotrichaceae, and Lachnospiraceae (all FDR adjusted p-

values <0.1) (Figure 5b, Supplementary table 11).

Breastfeeding mitigates diarrhea-associated gut microbiota dysbiosis in infants from 6

months to 2 years of age

Again, using data from the Bangladesh study, we found that, after adjusting for age of infants at

sample collection, diarrhea at the time of sample collection (vs. no diarrhea) was associated with

reduced gut microbiota age in infants who received less than 2 months of EBF (MD= -1.17

months, 95% CI= (-2.11;-0.23), p= 0.0146) (Figure 5c). Diarrhea in infants who received less

than 2 months of EBF was also associated with reduced gut microbial diversity as showed by

Shannon index (diversity difference =-0.58, 95%CI=(-0.83,-0.34), p<0.0001) (Figure 5d) and the

three other common alpha diversity indices (Supplementary figure 14). In contrast, no diarrhea-

associated differences in gut microbiota age or microbial diversity was observed in infants who

received more than two months of EBF (all p-values for heterogeneity tests <0.05).

Diarrhea at the time of sample collection was also associated with major perturbation in the gut

bacterial composition of infants who received less than 2 months of EBF with a significant

increase in the relative abundance of family Streptococcaceae and a significant decrease in the

relative abundances of Bifidobacteriaceae and Coriobacteriaceae (all p-values <0.05 and FDR

adjusted p-values <0.1). These changes in microbial composition were not observed in infants

who received more than 2 months of EBF (Figure 5e, Supplementary table 12). Diarrhea at the

time of sample collection was associated with an even more striking perturbation in the gut

bacterial composition in infants who were not concurrently being breastfed, with a large

outgrowth of Streptococcaceae and a tremendous decrease in the relative abundance of

Bifidobactericeae (all p-values <0.05). These perturbations were almost absent in infants

receiving breast milk at the time of diarrhea (Figure 5f, Supplementary table 12). The incidence

of diarrhea was not different between breastfeeding statuses.

Discussion

Our study analyzed data from seven microbiome studies and performed meta-analysis pooling

estimates across studies with a total of 1825 stool samples of 684 infants from five countries. We

found remarkably consistent differences between non-EBF vs. EBF infants in gut microbial

diversity, microbiota age, microbial composition and microbial predicted functional pathways.

The infants' mode of delivery modified these effects. We also found notable interaction effects

between breastfeeding and diarrhea on infant gut microbiota. With large datasets combined from

different populations, our results are more robust and generalizable than from a single study.

Prior studies have reported increased bacterial species richness or diversity in non-EBF vs.

EBF[28] and/or trends of increased bacterial diversity across EBF, non-EBF and non-BF. [29,31,32]

However, studies report different indices, analyze data in different ways and some do not

account for age of infants at the time of stool sample collection, which is associated with

breastfeeding status and infant gut microbiota. Our results showed a significant and consistent

increase in all four commonly-used alpha diversity indices in non-EBF vs. EBF infants ≤ 6

months of age after adjusting for age of infants at sample collection. We also showed a

consistent increase in gut microbiota age in non-EBF infants vs. EBF infants before 6 months of

age across studies. At first glance these results may seem paradoxical but we hypothesize that the

increase in diversity and microbiota age associated with non-EBF in the first 6 months may be

out of step with age-appropriate development. A more stable, less diverse gut microbiota,

associated with EBF, may be necessary in the early months of development.

There was substantial heterogeneity across studies and populations in gut bacterial taxonomic

composition and gut bacterial metabolic pathway composition differences between non-EBF vs.

EBF infants in the first 6 months of life. For example, the decrease in relative abundance of

Proteobacteria in non-EBF vs. EBF infants was observed in four studies in North America but

the opposite was observed in other studies in Bangladesh, Haiti and South Africa. This

heterogeneity may be due to dietary differences or differences in formula ingredients in the non-

EBF group across different populations. In addition, the gut microbiota of EBF infants, which is

largely seeded by their mothers' breastmilk microbiota and HMOs [3,5,9,12], might also be

influenced by the mother's diet or other exposures, which might also be different across

populations [10]. Infant ethnicity has been reported to influence the infant gut microbiota [36]. In

addition, variation in the region of 16S RNA gene targeted between studies may also contribute

to heterogeneity. Despite this expected variation, our results revealed some important

consistencies across populations. Our results showed a consistent increase in the relative

abundance of Bacteroidetes in non-EBF vs. EBF infants in all seven studies as well as an overall

significant increase in relative abundance of Firmicutes. More specifically, our results showed a

persistent increase in relative abundances of genera *Bacteroides*, *Eubacterium* and *Veillonella* in

non-EBF vs. EBF infants. While *Bifidobacterium* is the most common bacterial genus in

gastrointestinal tract of young infants, *Bacteroides* and *Eubacterium* are the most common

bacterial genera in the gastrointestinal tract of adults [37,38]. Though these genera may be part of

normal gut bacterial community, the increase in abundance of gut *Bacteroides* has been shown to

be associated with higher body mass index (BMI) in young children [39] and *Veillonella* can be

associated with different types of infection [40]. Our results also showed a consistent increase in

relative abundances of major microbial predicted pathways related to carbohydrate metabolism

as well as a consistent decrease in relative abundances of crucial pathways related to lipid

metabolism/homeostasis, free radical detoxification and metabolism of cofactors and vitamins in

non-EBF infants [41]. These findings may provide insight into biological mechanisms for the

higher risk of obesity, diabetes and other adverse health outcomes in children who were not

breastfed or non-exclusively breastfed in early months of life [25–27].

Interestingly, our results revealed notable heterogeneity regarding the perturbation in microbial-

predicted functional pathways associated with non-EBF stratified by mode of delivery. The

remarkably larger number of perturbed pathways of different cellular and metabolic processes in

infants of cesarean deliveries may suggest that gut microbiota in these infants are more

vulnerable to the effects of non-EBF. We also observed that non-EBF infants had a much lower

abundance of Proteobacterial species than EBF infants after cesarean delivery. It appears that

when gut microbiota are depleted with Bacteroidetes, as characteristically in early infancy after

cesarean delivery, formula-feeding further depletes Proteobacteria [42]. These findings may shed

light on the mechanisms for the higher risk of adverse health outcomes in infants delivered by

cesarean section [43–45] and emphasize the importance of EBF in cesarean-delivered infants.

Differences in breastfeeding practices by mode of delivery may also account for these findings

[46].

Effects of EBF in the first 6 months of life were still evident on the infant gut microbiota

between 6 months to 2 years of age. Shorter duration of EBF was associated with increased gut

microbiota age as well as earlier and larger increases in relative abundances of many bacterial

families other than the beneficial family Bifidobacteriaceae. In contrast, longer EBF maintained

a more stable bacterial composition in the early months of life and was associated with higher

relative abundance of Bifidobacteriaceae. These findings again support our hypothesis that early

changes in gut microbiota associated with non-EBF may be disproportional to immunological

and biological maturity of infants in early months. That is, EBF nourishes a stable gut bacterial

taxa composition that may be beneficial for the infants who are still immature in early month of

life. Our results are also consistent with published literature that exposures in early life can affect

the establishment of the gut microbiota in older children and adults [36,47–49] and may help explain

the mechanism for the short and long-term health effects of EBF in early months of life.

Another particularly intriguing finding from our analysis is the protective effect of EBF on the

infant gut microbiota during diarrheal episodes. Diarrhea has been previously shown to cause

perturbations in the gut microbiota [35,50]. Our analysis revealed that diarrhea was associated with a

loss of microbial diversity, microbiota age and the relative abundance of Bifidobacteriaceae as

well as an increase in the relative abundance of Streptococcaceae. Incredibly, these changes were

almost completely abolished in infants who received more than 2 months of EBF as well as in

those who were being breastfed at the time of diarrhea. These findings highlight the importance

of longer duration of EBF in the first 6 months of life and continuation of breastfeeding after 6

months of life in maintaining a homeostatic gut microbiota that may be more resistant to

outgrowth of pathogenic microbes that lead to diarrhea. Taken together, our findings suggest a

key role for gut commensal bacteria in mediating the protective effects of breastfeeding on

diarrhea morbidity and mortality.

In terms of methodology, our study applied appropriate and robust methodological approaches

for the analysis of microbiome data and meta-analysis across microbiome studies.

Standardization of alpha diversity indices and predicted microbiota age from each study makes

the estimates of these measures comparable between studies. Zero-inflated beta GAMLSS

models allow proper examination of relative abundances of bacterial taxa and predicted

functional pathways, which range from zero to one and are generally zero-inflated as well as

adjustment for confounding covariates and handling longitudinal or cross-sectional data. The

estimates from zero-inflated beta GAMLSS models are log(odds ratio) of relative abundances

and thus are comparable between studies. All effect estimates in our analyses were adjusted for

variation in age of the infant at sample collection which might largely influence the infant gut

microbiota composition as well as breastfeeding status but was not routinely accounted for in

analysis [3,29] or was partially accounted by study design (collecting samples at similar infant age)

[31,33] in some published studies. There have been some published meta-analyses for microbiome

data [51–56] but none of these addressed between-group-comparison pooled effects when combining

data from many studies as done here. The use of random effect meta-analysis models pooling

estimates from studies allows examination of study-specific effects, the heterogeneity between

studies, and the overall pooled effects across studies.

This study has some limitations. First, definitions of EBF and non-EBF were not identical across seven included studies. Specifically, for the five studies in Bangladesh, Canada, CA-FL, North Carolina and CA-MA-MO, EBF was defined as ingestion of only breastmilk without formula or solid food and non-EBF as ingestion of breastmilk plus formula and/or solid food. Whereas, the two studies in Haiti and South Africa followed World Health Organization (WHO) guidelines with EBF as feeding only breastmilk and non-EBF as feeding breast milk plus anything other than breast milk including traditional medicines or water. This difference might contribute to some of the variation in results across studies. Second, several of the studies were of a relatively small size or included other potential issues. Specifically, the Haiti study included only 48 infants, of which half were born to HIV-infected mothers, which has been shown to influence the infant gut microbiota [3]. The North Carolina study included a very small number of samples in infants ≤ 6 months old (n=21). The VDAART trial (CA-MA-MO) study included samples from infants who were at high risk for asthma and allergies half of whom were randomized to vitamin D supplementation in pregnancy. However, sensitivity meta-analyses excluding these studies showed similar findings to the overall meta-analysis, suggesting that our results are robust. Finally, all of the results pertaining to samples collected after 6 months of age were from a single study (Bangladesh) and thus should be replicated in other cohorts. However, this study included nearly 1,000 samples collected monthly from birth to 2 years of life in 50 subjects with detailed meta-data [35].

Conclusion

Our meta-analysis revealed robust findings across populations that may help elucidate the effects

of EBF on the infant gut microbiota. Non-EBF or shorter duration of EBF in the first six months

of life was associated with higher gut microbial diversity, higher microbiota age, bacterial

composition more closely resembling the adult microbiota, higher composition of bacterial

functional pathways related to carbohydrate metabolism and lower composition of bacterial

functional pathways related to lipid metabolism, detoxification, cofactor and vitamin

metabolism. The perturbation in microbial functional pathways associated with non-EBF was

larger in cesarean-delivered infants than in vaginally-delivered infants. Furthermore, EBF,

especially longer than two months from birth, was associated with a more stable gut bacterial

taxa composition and reduced diarrhea-associated microbial dysbiosis. The early and large

change associated with non-EBF in the infant gut microbiota may be disproportional to age-

appropriate immunological and biological development of the infant. Altogether, our results

highlight a consistent role of EBF to maintain a homeostatic developmental trajectory of the

infant gut microbiota and shed light on the mechanisms of the short and long-term benefits of

EBF in the first six months of life.

Methods

Data sources and study population

Processed and partially processed 16S rRNA gene sequence data of stool samples were obtained

from seven previously published studies [3,5,18,28,29,31,35]. Of the included studies, three were from

the US, one from Canada, one from Haiti, one from South Africa and one from Bangladesh.

There were five studies with three breastfeeding categories (EBF, non-EBF and non-BF) and two

studies with two breastfeeding categories (EBF and non-EBF). The total number of samples of

infants ≤ 6 months of age included in the overall meta-analyses was 1151 (EBF=547, non-

EBF=436, non-BF=168). There were four studies with available information regarding the

infants' mode of delivery included in meta-analyses stratified by mode of delivery with a total

number of samples of 670 (vaginal deliveries =484, cesarean deliveries =186). The Bangladesh

study contained 674 samples from 6 months to 2 years of age. In total, 1825 samples of 684

infants were used in our analyses. A summary of the included studies, data characteristics and

prior data processing are presented in Table 1.

Data processing

Sequence data from each included study were processed separately. To achieve necessary data

consistency for meta-analyses in this study, OTU picking was performed at 97% similarity using

QIIME version 1.9.1 [57] with the Greengenes database (version 13.8) [58]. Alpha rarefaction was

done in QIIME using default options. Rarefaction depth was selected as the highest depth that

retained all study samples. Taxonomic relative abundances from phylum to genus levels and

alpha diversity indices were calculated based on rarefied OTU tables. Metagenomic functional

compositions of stool bacterial communities were predicted based on the normalized OTU tables

using PICRUSt [59] and relative abundances were then calculated for the resulting KEGG (Kyoto

Encyclopedia of Genes and Genomes) functional pathways [41].

Statistical analysis

For each study, mean alpha diversity indices were calculated for each sample at the selected

rarefaction depth. Random Forest (RF) modeling of gut microbiota maturity has been widely

used to characterize development of the microbiota over chronological time [5,35,49]. Adapting the

approach from Subramanian et al. [35], relative abundances of 36 bacterial genera (Supplementary

table 1) that were detected in the data of all seven included studies were regressed against infant

chronological age using a RF model on the training dataset of the Bangladesh study. The RF

model fit based on relative abundances of these shared bacterial genera was then used to predict

infant age on the test data of the Bangladesh study and the data of each other included study. The

predicted infant age based on relative abundances of these shared bacterial genera in each study

is referred to as gut microbiota age in this paper. Alpha diversity indices and microbiota age

from each study were standardized to have a mean of 0 and standard deviation of 1 to make these

measures comparable across studies. Generalized additive mixed model (GAMM) as well as

linear mixed effect model with subject random intercept (for longitudinal data) or linear model

(for non-longitudinal data) adjusted for infant age at the time of stool sample collection were

used to further examine the curves of standardized alpha diversity indices and standardized gut

microbiota age over age of infants as well as the difference between groups in each study.

For each study, the summary tables of bacterial taxa and pathway relative abundances were

filtered to retain only the taxa and pathways that had an average relative abundance of at least

0.005% and were detected in at least 5% of the number of samples in that study. Relative

abundances of bacterial taxa and bacterial KEGG metabolic pathways were examined using

Generalized Additive Models for Location Scale and Shape (GAMLSS) with zero inflated beta

family (BEZI) and (mu) logit links and other default options as implemented in the R package

gamlss [60]. This approach allows proper examination of microbiome relative abundance data,

which range from zero to one and are generally zero-inflated, as well as adjustment for

covariates (e.g. infant age at sample collection) and handling of longitudinal data by including a

subject random effect. In each study, to roughly test for trends across three breastfeeding

categories (EBF, non-EBF and non-BF), breastfeeding was coded as a continuous variable in the

models.

To examine overall effects while addressing heterogeneity across studies, random effect meta-

analysis models with inverse variance weighting and DerSimonian–Laird estimator for between-

study variance were used to pool the adjusted estimates and their standard errors from all

included studies. Meta-analyses were done for only bacterial taxa and pathways whose adjusted

estimates and standard errors were available in at least 50% of the number of included studies.

All statistical tests were two sided. P-values <0.05 were regarded as significant and false

discovery rate (FDR) adjusted p-values <0.1 were regarded as significant after adjusting for

multiple testing.

Code availability

The R code used to generate the results in this paper are available at

https://github.com/nhanhocu/metamicrobiome_breastfeeding.

Data availability

All data used in the analyses of this study are included in these published articles and their

supplementary information files (references [3,5,18,28,29,31,35]). The data from the Bangladesh study

were downloaded from:

https://gordonlab.wustl.edu/Subramanian_6_14/Nature_2014_Processed_16S_rRNA_datasets.ht

ml. The data from six other studies were obtained directly from the investigators. All processed

microbiome datasets and relevant meta-data from all included studies as well as intermediate

saved outputs used to generate the results in this paper are available at

https://github.com/nhanhocu/metamicrobiome_breastfeeding.

Competing interests

The authors declare that they have no competing interests.

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Authors' contribution

N.T.H and L.K conceived the study. F.L, K.A.L, H.M.T, B.B, P.S.P, L.F.W, J.M.B, J.E.S,

M.B.A, A.L.T, S.T.W, M.A.A.P, A.A.L, A.L.K, H.B.J, G.M.A provided data. N.T.H performed

the data analysis with inputs from F.L and L.K. N.T.H, F.L, H.B.J, G.M.A and L.K prepared the

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FIGURES and LEGENDS

Figure 1. Meta-analysis: the effects of non-exclusive vs. exclusive breastfeeding on gut

microbial diversity in infants ≤ 6 months of age.

a: Gut microbial alpha diversity (standardized Shannon index) by breastfeeding status by age of

infants at stool sample collection from each of seven included studies. Fitted lines and 95%

confidence intervals (95% CI) were from Generalized Additive Mixed models (GAMM).

b: The difference in gut microbial alpha diversity (standardized Shannon index) between non-

exclusively breastfed (non-EBF) vs. exclusively breastfed (EBF) infants ≤ 6 months of age from

each study and the pooled effect across seven included studies (meta-analysis).

c: The trend effect of gut microbial alpha diversity (standardized Shannon index) across EBF,

non- EBF and non-BF infants ≤ 6 months of age from each study and the pooled effect across

five included studies (meta-analysis). Data from the Haiti and South Africa studies were not

included as there was no non-BF group in these two studies. In each study, to roughly test for

trends across breastfeeding categories, breastfeeding was coded as a continuous variable in the

models (EBF=1, non-EBF=2 and non-BF=3).

d: Pooled estimates and 95% CI for the difference in gut microbial alpha diversity (four common

alpha diversity indexes (all standardized)) between non-EBF vs. EBF infants ≤ 6 months of age

across seven studies.

e: Pooled estimates and 95% CI for the trend effect of gut microbial alpha diversity (four

common alpha diversity indexes (all standardized)) across EBF, non- EBF and non-BF infants ≤

6 months of age.

Estimates for diversity difference or trend and corresponding standard errors from each study

were from linear mixed effect models (for longitudinal data) or linear models (for non-

longitudinal data) and were adjusted for age of infants at sample collection. Pooled estimates of

standardized diversity difference or trend and their 95% CI were from random effect meta-

analysis models based on the adjusted estimates and corresponding standard errors of all

included studies. Pooled estimates with pooled p-values<0.05 are in red and those with false

discovery rate (FDR) adjusted pooled p-values <0.1 are in triangle shape.

EBF: exclusive breastfeeding; non-EBF: non-exclusive breastfeeding; non-BF: non-

breastfeeding; USA: United States of America; CA: California; FL: Florida; MA: Massachusetts;

MO: Missouri; NC: North Carolina; DD: Diversity difference; SE: Standard error.

Figure 2. Meta-analysis: the effects of non-exclusive vs. exclusive breastfeeding on gut

microbiota age in infants ≤ 6 months of age.

a: Gut (standardized) microbiota age of infants ≤ 6 months of age by breastfeeding status by age

of infants at stool sample collection from each of seven included studies. Fitted lines and 95%

confidence intervals (95% CI) were from Generalized Additive Mixed models (GAMM).

b: The difference in gut (standardized) microbiota age between non-exclusively breastfed (non-

EBF) vs. EBF infants ≤ 6 months of age from each study and the pooled effect across seven

included studies (meta-analysis).

c: The trend of gut (standardized) microbiota age across EBF, non-EBF and non-BF infants ≤ 6

months of age from each study and the pooled effect across five included studies (meta-analysis).

The Haiti and South Africa studies were not included as there was no non-BF group in these two

studies. In each study, to test for trend across breastfeeding categories, breastfeeding was coded

as a continuous variable in the model (EBF=1, non-EBF=2 and non-BF=3).

Estimates for (standardized) microbiota age difference or trend and corresponding standard error

from each study were from linear mixed effect models (for longitudinal data) or linear models

(for non-longitudinal data) and were adjusted for age of infants at sample collection.

EBF: exclusive breastfeeding; non-EBF: non-exclusive breastfeeding; non-BF: no breastfeeding;

USA: United States of America; CA: California; FL: Florida; MA: Massachusetts; MO:

Missouri; NC: North Carolina; MD: Microbiota age difference; SE: Standard error.

a

b

c

Figure 3. Meta-analysis: the effects of non-exclusive vs. exclusive breastfeeding on relative

abundances of gut bacterial taxa in infants ≤ 6 months of age.

a: Gut bacterial phyla: heatmap of log(odds ratio) (log(OR)) of relative abundances of all gut

bacterial phyla between non-exclusively breastfed (non-EBF) vs. exclusively breastfed (EBF)

infants for each study and pooled estimates across all seven studies with 95% confidence

intervals (95% CI) (forest plot).

b: Gut bacterial families: heatmap of log(OR) of relative abundances of all gut bacterial families

between non-EBF vs. EBF infants for each study and pooled estimates across all seven studies

with 95% CI (forest plot).

All log(OR) estimates of each bacterial taxa from each study were from Generalized Additive

Models for Location Scale and Shape (GAMLSS) with zero inflated beta family (BEZI) and

were adjusted for age of infants at sample collection. Pooled log(OR) estimates and 95% CI

(forest plot) were from random effect meta-analysis models based on the adjusted log(OR)

estimates and corresponding standard errors of all included studies. Pooled log(OR) estimates

with pooled p-values<0.05 are in red and those with false discovery rate (FDR) adjusted pooled

p-values <0.1 are in triangle shape. Missing (unavailable) values are in white. Bacterial families

with unassigned name are numbered.

USA: United States of America; CA: California; FL: Florida; MA: Massachusetts; MO:

Missouri; NC: North Carolina.

a

b

Figure 4. Meta-analysis: the effects of non-exclusive vs. exclusive breastfeeding on relative

abundances of gut microbial KEGG (Kyoto Encyclopedia of Genes and Genomes)

pathways in infants ≤ 6 months of age.

a: Meta-analysis of all infants in all seven included studies: heatmap of log(odds ratio) (log(OR))

of relative abundances of gut microbial KEGG pathways at level 3 between non-exclusively

breastfed (non-EBF) vs. exclusively breastfed (EBF) infants for each study and pooled estimates

of all seven studies with 95% confidence intervals (forest plot).

b: Meta-analysis of vaginally born infants in four studies: heatmap of log(OR) of relative

abundances of gut microbial KEGG pathways at level 3 between non-EBF vs. EBF infants for

each study and pooled estimates of four studies with 95% confidence intervals (forest plot). Only

four studies with available birth mode information (Canada, Haiti, USA(CA_MA_MO) and

USA(CA_FL)) are included.

c: Meta-analysis of C-section born infants in four studies: heatmap of log(OR) of relative

abundances of gut microbial KEGG pathways at level 3 between non-EBF vs. EBF infants for

each study and pooled estimates of four studies with 95% confidence intervals (forest plot). Only

four studies with available birth mode information (Canada, Haiti, USA(CA_MA_MO) and

USA(CA_FL)) are included.

All log(OR) estimates of each pathway from each study were from Generalized Additive Models

for Location Scale and Shape (GAMLSS) with zero inflated beta family (BEZI) and were

adjusted for age of infants at sample collection. Pooled log(OR) estimates and 95% confidence

intervals (95%CI) (forest plot) were from random effect meta-analysis models based on the

adjusted log(OR) estimates and corresponding standard errors of all included studies. Pooled

log(OR) estimates with pooled p-values<0.05 are in red and those with false discovery rate

(FDR) adjusted pooled p-values <0.1 are in triangle shape. Only pathways with FDR adjusted

pooled p-value <0.1 are shown.

USA: United States of America; CA: California; FL: Florida; MA: Massachusetts; MO:

Missouri; NC: North Carolina.

Figure 5. The continued effects of exclusive breastfeeding in the first 6 months of age on the infant gut microbiota up to 2 years of age.

Data from Bangladesh study only.

Upper panel: The impact of duration of exclusive breastfeeding (EBF) on the gut microbiota of infants up to 2 years of age. **a**: the impact of duration of EBF shorter than 2 months vs. longer than 2 months from birth on infant gut microbiota age. **b**: the impact of duration of EBF shorter than 2 months vs. longer than 2 months from birth on infant gut bacterial family composition. Number of infants=50 (duration of EBF≤2 months=30, duration of EBF>2 months=20). Number of samples 0-2 years of age=996 (duration of EBF≤2 months=580, duration of EBF>2 months=416)

Lower panel: EBF mitigates diarrhea-associated gut microbiota dysbiosis in infants from 6 months to 2 years of age. **c**: the effects of diarrhea (vs. no diarrhea) around the time of stool sample collection on gut microbiota age in infants with duration of EBF shorter than 2 months vs. infants with duration of EBF longer than 2 months. **d**: the effects of diarrhea (vs. no diarrhea) around the time of stool sample collection on gut microbial diversity (Shannon index) in infants with duration of EBF shorter than 2 months vs. infants with duration of EBF longer than 2 months. **e**: the effects of diarrhea (vs. no diarrhea) on bacterial taxa composition at the family level around the time of stool sample collection in infants with duration of EBF shorter than 2 months vs. infants with duration of EBF longer than 2 months. **f**: the effects of diarrhea (vs. no diarrhea) on bacterial taxa composition at the family level around the time of stool sample collection in infants receiving no breastfeeding at the time of diarrhea vs. infants receiving breastfeeding at the time of diarrhea.

Number of samples 6 months to 2 years of age= 674 (duration of EBF ≤2 months= 378

(diarrhea=29, no diarrhea=349); duration of EBF >2 months=296 (diarrhea=19, no diarrhea=277;

with breastfeeding=616 (diarrhea=45, no diarrhea=571); without breastfeeding=44 (diarrhea=2,

no diarrhea=42)).

Fitted lines and 95% confidence intervals (95%CI) were from Generalized Additive Mixed

models (GAMM). Dashed lines demarcate time periods tested. * indicate statistical significance.

Table 1. Summary of included studies.

Data origin (study population), reference	Study design, sample size (≤6 months of age)	Available breastfeeding categories and definition (used in this paper), number of samples (n)	Target region of 16S rRNA genes /sequence platform	Results about the effects of breastfeeding on infant gut microbiome and statistical methods used in published paper	Starting files used and data processing done in this project	Note
Bangladesh (Subramanian et al. 2014) #*	Longitudinal monthly stool sample collection during the first 2 years after birth of 50 healthy Bangladeshi children (25 singletons, 11 twin pairs, 1 set of triplets). Number of samples ≤6 months of age: 322	Three categories: (at the time of sample collection). - EBF: fed breast milk without formula or solid food (n=138) - Non-EBF: fed breast milk plus either formula or solid food (n=178) - Non-BF: fed formula or solid food without breast milk (n=6)	V4 /Illumina MiSeq	No analysis on breastfeeding status	Assembled 16S reads used for OTU picking (.fna file), mapping and meta-data files. - Open OTU picking with UCLUST with 97% similarity using the Greengenes database (version 13.8)	Total number of all samples from birth to 2 years of age =996 (EBF=152, non-EBF=794, non-BF=50)
Canada (Azad et al. 2015)#$	One time sample collection of 167 infants around 3 months of life (a subset of the Canadian Healthy Infant Longitudinal Development (CHILD) national population-based birth cohort). Number of samples ≤6 months of age: 167	Three categories: (at the time of sample collection) - EBF: fed breast milk without formula or solid food (n=86 (vaginally born=68, C-section born=18)) - Non-EBF: fed breast milk plus either formula or solid (n=48 (vaginally born=36, C-section born=12)) - Non-BF: fed formula or solid food without breast milk (n=33 (vaginally born=26, C-section born=7)))	V4/Illumina MiSeq	- diversity (Chao1, Shannon): trend test significant (EBF<Non-EBF<non-BF) - composition: (Kruskal–Wallis test): EBF>Non-EBF>non-BF: Proteobacteria, Actinobacteria; EBF<Non-EBF<non-BF: Bacteroidetes, Firmicutes (Clostridiales)	- Bacterial taxa relative abundance summary tables from phylum to genus levels; alpha diversity summary tables; predicted KEGG pathway abundance summary tables; metadata file. (Prior sequence data processing was done by the collaborative group using similar procedures as of this project).	The effect of infant age on breastfeeding status and gut microbiome was not accounted in the analysis (relatively accounted by study design (stool sample collection at similar age))
Haiti (Bender et al. 2016)$	One time stool sample collection of 48 HIV negative infants with age varied from 0 to 6 months whose mothers were HIV negative (n=25) or HIV positive (n=23). Number of samples ≤6 months of age: 48	Two categories: (at the time of sample collection) - exclusive breastfeeding (EBF): fed only breast milk (n=37 (vaginally born=32, C-section born=5)) - non-EBF: fed breast milk plus anything other than breast milk (n=11 (vaginally born=10, C-section born=1))	V4 /Illumina MiSeq	PERMANNOVA test: no significant difference between EBF and non-EBF	- Assembled 16S reads used for OUT picking (.fna file), mapping and meta-data files. - Open reference OTU picking with UCLUST with 97% similarity using the Greengenes database (version 13.8)	The effect of infant age on breastfeeding status and gut microbiome was not accounted in the analysis (relatively accounted by study design(stool sample collection at similar age))

South Africa (Wood et al. 2018)	Longitudinal stool sample collection of 72 healthy infants of HIV negative mothers at birth, 6 and 14 weeks. Number of samples ≤6 months of age: 143	Two categories: (at the time of sample collection) - EBF: fed breast milk, exclusively, except for prescribed medicine (n=86) - non-EBF: fed breast milk plus any other foods, including traditional medicines and water (n=57)	V4 /Illumina MiSeq	- Alpha diversity (Chao1): non-EBF >EBF (Wilcoxon's test) - Bacterial composition (DESeq2 package (negative binomial generalized linear models)): - EBF > non-EBF: *S. lactarius*, *Actinomyces*, *Atopobium* - non-EBF > EBF: *Streptococcus. luteciae*, Bacteroides (OTU)	- Assembled raw FASTQ file. - Converting FASTQ file to FASTA and QUAL files. Open reference OTU picking with UCLUST with 97% similarity using the Greengenes database (version 13.8)	The effect of infant age on breastfeeding status and gut microbiome was accounted by study design (stool sample collection at the same age)
USA (California and Florida) (Pannaraj et al. 2017)#$	Longitudinal stool sample collection of 113 healthy full-term infants at 0 to 7 days, 8 to 30 days, 31 to 90 days, 91 to 180 days. Number of samples ≤6 months of age =230	Three categories: (at the time of sample collection) - EBF: fed only breast milk (n=150 (vaginally born=99, C-section born=48)) - non-EBF: fed breast milk plus either formula or solid (n=68 (vaginally born=53, C-section born=15)) - Non-BF: fed formula and/or solid without breast milk (n=12 (vaginally born=9, C-section born=2)) 9 samples with unknown feeding category; 4 samples with unknown birth mode	V4 /Illumina MiSeq	Bacterial composition (Random Forest model): - EBF > non-EBF: Proteobacteria, Bacteroides. - non-EBF >EBF: Firmicutes, Actinobacteria	- Assembled 16S reads used for OTU picking (.fna file), mapping and meta-data files. - Open reference OTU picking with UCLUST with 97% similarity using the Greengenes database (version 13.8)	The effect of infant age on breastfeeding status and gut microbiome was not accounted in the analysis
USA (Massachusetts, Missouri, and California) (Sordillo et al. 2017)#$	One time stool sample collection of 228 infants at age 3 to 6 months, who were enrolled in Vitamin D Antenatal Asthma Reduction Trial (VDAART), a clinical trial of vitamin D supplementation in pregnancy to prevent asthma and allergies in offspring. Number of samples ≤6 months of age: 220	Three categories: (at the time of sample collection) - EBF: fed breast milk without formula or solid food (n=38 (vaginally born=28, C-section born=10)) - Non-EBF: fed breast milk plus either formula or solid (n=66 (vaginally born=45, C-section born=21))) - Non-BF: fed formula or solid food without breast milk (n=116 (vaginally born=72, C-section born=44))) 8 samples with unknown feeding category	V3-V5 /Pyrosequencing (Roche 454 Titanium)	No direct comparison between EBF and non-EBF (EBF and non-EBF was compared with formula fed)	- Bacterial taxa relative abundance summary tables from phylum to genus levels; alpha diversity summary tables of different rarefaction depth; predicted KEGG pathway abundance summary tables; metadata file. (Prior sequence data processing was done by the collaborative group using similar procedures as of this project).	The effect of infant age on gut microbiome was accounted in the analysis
USA (North Carolina) (Thompson et al. 2015)#	Longitudinal stool sample collection of 6 healthy full term infants with varied age. Number of samples ≤6 months of age: 21	Three categories: (at the time of sample collection) - EBF: fed breastmilk without formula or solid food (n=12) - Non-EBF: fed breastmilk plus either formula or solid (n=8)	V1-2 /Roche GS FLX Titanium	(non-parametric test ANOSIM (Analysis of Similarities)) - Trend in species richness and diversity: EBF <non-EBF<Non-BF Relative abundance: - Bacterial composition: EBF	Assembled 16S reads used for otu-picking (.fna file), mapping file. - Open OTU picking with UCLUST with 97% similarity using the	The effect of infant age on breastfeeding status and gut microbiome was not accounted

- Non-BF: fed formula or solid food without breast milk (n=1)	>non- EBF: Actinobacteria (phylum), *Bifidobacterium* (genus) - non- EBF >EBF : Bacteroidetes (phylum), Clostridiales (order), Lachnospiraceae (family), *Blautia* and *Faecalibacterium* (genus)	Greengenes database (version 13.8)	(neither in the design nor in analysis).

818   # Studies with three breastfeeding categories (exclusive breastfeeding (EBF), non-exclusive breastfeeding (non-EBF), non-breastfeeding (non-BF)) used for trend tests across three categories.

819     $ Studies with available birth mode information used for meta-analysis stratified by birth mode.

820   * This study contains data from 6 months to 2 years of age, which was used for the analysis from 6 months to 2 years of age. Data from this study was downloaded from the authors' website:

821   https://gordonlab.wustl.edu/Subramanian_6_14/Nature_2014_Processed_16S_rRNA_datasets.html. Data from six other studies were obtained directly from the investigators.