1 **Article**
2
3 Phylogenetic evidence for independent origins of GDF1
4 and GDF3 genes in amphibians and mammals
5

6 Juan C. Opazo & Kattina Zavala
7 Instituto de Ciencias Ambientales y Evolutivas, Facultad de Ciencias,
8 Universidad Austral de Chile, Valdivia, Chile.
9
10
11
Corresponding author
Juan C. Opazo
Instituto de Ciencias Ambientales y Evolutivas
Facultad de Ciencias
Universidad Austral de Chile
Phone: +56 63 2221674
Email: jopazo@gmail.com

19
20
21

Abstract

Growth differentiation factors 1 (GDF1) and 3 (GDF3) are members of the

transforming growth factor superfamily (TGF-β) that is involved in

fundamental early-developmental processes that are conserved across

vertebrates. The evolutionary history of these genes is still under debate due

to ambiguous definitions of homologous relationships among vertebrates.

Thus, the goal of this study was to unravel the evolution of the GDF1 and

GDF3 genes of vertebrates, emphasizing the understanding of homologous

relationships and their evolutionary origin. Surprisingly, our results revealed

that the GDF1 and GDF3 genes found in amphibians and mammals are the

products of independent duplication events of an ancestral gene in the

ancestor of each of these lineages. The main implication of this result is that

the GDF1 and GDF3 genes of amphibians and mammals are not 1:1

orthologs. In other words, genes that participate in fundamental processes

during early development have been reinvented two independent times during

the evolutionary history of tetrapods.

Keywords: gene duplication; gene family evolution; tetrapods; left-right

identity; development

Introduction

Growth differentiation factors 1 (GDF1) and 3 (GDF3) are members of the transforming growth factor superfamily (TGF-β) that were originally isolated from mouse embryonic libraries (Lee 1990; Jones et al., 1992). They perform fundamental roles during early development, GDF1 has been mainly associated to the regulation of the left-right patterning, whereas GDF3 is mainly involved in the formation of the anterior visceral endoderm, mesoderm and the establishment of anterior-posterior identity of the body (Lee 1991; Dale ' et al., 1993; Thomsen and Melton 1993; Hyatt et al., 1996; Hyatt and Yost 1998; Söderström and Ebendal 1999; Rankin et al., 2000; Wright 2001; Hamada et al., 2002; Somi et al., 2003; Andersson et al., 2006; Chen 2006; Bengtsson et al., 2008; Komatsu and Mishina 2013; Arias et al., 2017; Bisgrove et al., 2017; Montague and Schier 2017). Deficiencies in GDF1/GDF3 give rise to a broad spectrum of defects including right pulmonary isomerism, visceral *situs inversus*, transposition of the great arteries, and cardiac anomalies among others (Rankin et al., 2000; Wall et al., 2000; Karkera et al., 2007; Kaasinen et al., 2010; Bao et al., 2015; Zhang et al., 2015). In addition to their developmental roles, GDF1 has been described as a tumor suppressor gene in gastric cells, counteracting tumorogenesis by stimulating the SMAD signaling pathway (Yang et al., 2016), and GDF3 has been associated with the regulation of adipose tissue homeostasis and energy balance during nutrient overload (Witthuhn and Bernlohr 2001; Wang et al., 2004; Andersson et al., 2008).

The evolutionary history of the GDF1 and GDF3 genes is still a matter of debate due to the unclear definition of homologous relationships. Understanding homology is a fundamental aspect of biology as it allows us to comprehend the degree of relatedness between genes that are associated to a given phenotype in a group of organisms. This is particularly important for GDF1 and GDF3 as these genes perform biological functions during early stages of development that define key aspects of the body plan of all vertebrates (Lee 1991; Dale ' et al., 1993; Thomsen and Melton 1993; Hyatt et al., 1996; Hyatt and Yost 1998; Söderström and Ebendal 1999; Rankin et al., 2000; Wright 2001; Hamada et al., 2002; Somi et al., 2003; Andersson et al., 2006; Chen 2006; Bengtsson et al., 2008; Komatsu and Mishina 2013; Arias

et al., 2017; Bisgrove et al., 2017; Montague and Schier 2017). Until now,

most of the inferences of the homology relationships of these genes have

been based on functional information. For example, based on the

developmental processes that these genes regulate, it has been suggested

that the mammalian GDF1 gene is the true ortholog of the Vg1 (GDF1) gene

found in amphibians (Hyatt and Yost 1998; Rankin et al., 2000; Wall et al.,

2000). Furthermore, phylogenetic analyses performed by Andersson et al.,

(2007) were not able to define orthologous relationships between the GDF1

and GDF3 genes among vertebrates. However after performing genomic

comparisons, these authors did propose that the GDF1 gene present in

mammals is the true ortholog of the Vg1 (GDF1) gene present in amphibians

(Andersson et al., 2007). It was also suggested that the GDF3 gene could be

an evolutionary innovation of mammals, as Andersson et al., (2007) did not

find GDF3 sequences in the amphibian and bird genomes (Levine et al.,

2006; Andersson et al., 2007). Given this scenario, the single copy gene

found in amphibians and birds would be a co-ortholog of the mammalian

genes (Andersson et al., 2007). More recently, a second copy of a GDF gene

(derrière) has been annotated at the 3´ side of the Vg1 (GDF1) in the genome

of the western clawed frog (*Xenopus tropicalis*), thus further complicating the

definition of homologous relationships and the origin of genes that accomplish

fundamental roles during early development in vertebrates.

With emphasis on understanding homologous relationships and their

evolutionary origin, the goal of this study was to unravel the evolution of the

GDF1 and GDF3 genes of vertebrates. Surprisingly, our phylogenetic

analyses revealed that the GDF1 and GDF3 genes of amphibians and

mammals are the products of independent duplication events of an ancestral

gene in the ancestor of each of these groups. We also found the signature of

two chromosomal translocations, the first occurred in the ancestor of

tetrapods whereas the second was found in the ancestor of mammals. Thus,

our results support the hypothesis that in amphibians and mammals,

descendent copies of the same ancestral gene (GDF1/3) have independently

subfunctionalized to perform key developmental functions in vertebrates.

Results and Discussion

Phylogenetic analyses suggest an independent origin of the GDF1 and

GDF3 genes in mammals and amphibians

From an evolutionary perspective, the definition of homologous relationships

among GDF1 and GDF3 genes is still a matter of debate (Levine et al., 2006;

Andersson et al., 2007). The resolution of this homology is important as these

genes are involved in fundamental developmental processes which are

conserved all across vertebrates (Mercola and Levin 2001; Levine 2005;

Nakamura and Hamada 2012; Komatsu and Mishina 2013). Thus, if extant

species inherited these genes from the vertebrate ancestor, the

developmental processes in which GDF1 and GDF3 are involved have a

single evolutionary origin and are comparable among species.

Based on evolutionary analyses and the developmental processes in

which GDF1 has been shown to participate, it has been suggested that GDF1

in mammals, birds, and amphibians are 1:1 orthologs (Seleiro et al., 1996;

Hyatt and Yost 1998; Rankin et al., 2000; Wall et al., 2000; Andersson et al.,

2007). Given that it has not been possible to identify copies of the GDF3 gene

in amphibians and birds, it has been proposed that this gene is an

evolutionary innovation of mammals, and the single gene copy found in

amphibians and birds is co-ortholog to the mammalian duplicates (Andersson

et al., 2007). However, a second copy of a GDF gene has been annotated in

the genome of the western clawed frog (*Xenopus tropicalis*) (Suzuki et al.,

2017). This newly described gene is located at the 3´ side of the GDF1 gene

and indicates that amphibians, like mammals, also have a repertoire of two

GDF genes (GDF1 (Vg1) and GDF3 (derrière)). This new finding further

complicates the resolution of homologous relationships and the origin of

genes involved in the early development of all vertebrates (Mercola and Levin

2001; Levine 2005; Nakamura and Hamada 2012; Komatsu and Mishina

2013).

Our maximum likelihood and Bayesian reconstructions revealed that

the GDF1 and GDF3 genes of amphibians and mammals were both

reciprocally monophyletic (Fig. 1), indicating that these genes originated

independently in each of these two lineages (Fig. 1). In agreement with the

literature, we found only one gene in sauropsids (e.g. birds, turtles, lizards

and allies), suggesting that they retained the ancestral condition of a single

gene copy as found in non-tetrapod vertebrates (e.g. coelacanths, bony fish,

chondricthyes and cyclostomes)(Fig. 1). Dot-plot comparisons provided

further support for the presence of a single gene copy in sauropsids as no

traces of an extra GDF gene were present in the syntenic region of

representative species of the group (Fig. 2). However, we cannot rule out an

alternative scenario of duplication and subsequent gene loss in the ancestor

of sauropsids. Thus, our evolutionary analyses suggest that the GDF1 and

GDF3 genes present in amphibians and mammals diversified independently

in the ancestor of each of these lineages. In other words, genes that

participate in fundamental processes during early development have been

reinvented two independent times during the evolutionary history of tetrapods.

As a consequence of the independent origin of these genes in amphibians

and mammals, they are not 1:1 orthologs.

To further test our hypothesis of the independent origins of the GDF1

and GDF3 genes in amphibians and mammals we performed topology tests.

In these analyses we compared our phylogenetic tree (Fig. 1 and Fig. 3B) to

the topology predicted from a one-duplication model in which the duplication

event that gave rise to the GDF1 and GDF3 genes in amphibians and

mammals occurred in the ancestor of tetrapods (Fig. 3A). In the one-

duplication model, it is assumed that the ancestor of sauropsids had two gene

copies and that subsequently one of these was lost. Thus, according to the

one-duplication model (Fig. 3A), the predicted phylogeny would recover a

monophyletic group containing GDF1 sequences from mammals, sauropsids,

and amphibians sister to a clade containing GDF3 sequences from the same

groups (Fig. 3A). Alternatively, the predicted phylogeny from the two-

duplication model would retrieve a clade containing GDF1 and GDF3

sequences from mammals sister to a clade containing GDF1/3 sequences

from sauropsids; additionally a clade containing GDF1 and GDF3 sequences

from amphibians would be recovered sister to the mammalian/sauropsid clade

(Fig. 3B). Results of the topology tests rejected the phylogeny predicted by

the one-duplication model (Weighted Shimodaira and Hasegawa, $p < 10^{-4}$;

Weighted Kishino Hasegawa, $p < 10^{-4}$). Thus, this result provided additional

support to our hypothesis that the GDF1 and GDF3 genes of amphibians and

mammals are the product of lineage independent duplication events in the
ancestors of each of these groups.

In the literature there are other cases of groups of genes that perform
similar biological functions in a diversity of species but that have originated via
lineage independent duplication events (Goodman et al., 1987; Kriener et al.,
2001; Wallis and Wallis 2002; Li et al., 2005; Opazo et al., 2008; Hoffmann et
al., 2010; Hoffmann et al., 2018). Among these, the independent origin of the
β-globin gene cluster in all main groups of tetrapods (e.g. therian mammals,
monotremes, birds, crocodiles, turtles, squamates, amphibians) represents a
well-documented phenomenon (Opazo et al., 2008; Hoffmann et al., 2010;
Hoffmann et al., 2018). This case is of particular interest as the two β-globin
subunits that come from a gene family that has been reinvented several times
during the evolutionary history of tetrapods are assembled in a tetramer with
two α-globin subunits that belong to a group of genes that possess a single
origin (Goodman et al., 1987; Opazo et al., 2008; Hoffmann et al., 2010;
Hoffmann et al., 2018). Besides this example, the independent origin of gene
families makes the task of comparison difficult, as the repertoire of genes
linked to physiological processes in different lineages do not have the same
evolutionary origin. Additionally, the fact that the evolutionary process can
give rise to similar phenotypes following different mutational pathways makes
the problem of comparing even more difficult (Natarajan et al., 2016). This is
particularly important when extrapolating the results of physiological studies
performed in model species to other organisms.
202

Two translocation events during the evolutionary history of the GDF1
and GDF3 genes of tetrapods
Based on the chromosomal distribution of the GDF1 and GDF3 genes and the
conservation pattern of flanking genes, we propose that during the
evolutionary history of tetrapods, these genes underwent two chromosomal
translocation events (Fig. 4). According to our analyses the genomic region
that harbors the single gene copy of chondricthyes, bony fish, and
coelacanths is conserved, yet this region in these groups differs from the
regions in which GDF1 and GDF3 are located in tetrapods. Overall this
suggests that the first translocation event occurred in the ancestor of

tetrapods (Fig. 4). Interestingly, the genomic region where the single gene copy is located in non-tetrapod vertebrates, which is defined by the presence of upstream (BMP2, HAO1, TMX4 and PLCB1) and downstream genes (FERMT1, LRRN4, CRLS1), is conserved in tetrapods. Given this, it is possible to identify the chromosomal location where the gene was located in the tetrapod ancestor before the first translocation event (Fig. 4). On the other hand, the fact that the mammalian GDF1 and GDF3 genes are located in different chromosomes suggests that the second translocation event occurred in the ancestor of the group (Fig. 4). In humans, the GDF1 gene is located on chromosome 19 while GDF3 is located on chromosome 12. In opossum (*Didelphis virginiana*) the GDF1 and GDF3 genes are located on chromosomes 3 and 8, respectively.

Evolution of GDF1 and GDF3 genes in vertebrates

In this study we present compelling evidence suggesting that genes involved in the formation of the primitive streak, anterior visceral endoderm, mesoderm and the establishment of the left-right identity (Lee 1991; Dale ' et al., 1993; Thomsen and Melton 1993; Hyatt et al., 1996; Hyatt and Yost 1998; Söderström and Ebendal 1999; Rankin et al., 2000; Somi et al., 2003; Andersson et al., 2006; Chen 2006; Andersson et al., 2007; Bengtsson et al., 2008; Arias et al., 2017; Bisgrove et al., 2017; Montague and Schier 2017) in amphibians and mammals are the product of independent duplications events. As such, these results indicate that the GDF1 and GDF3 genes of amphibians and mammals are not 1:1 orthologs.

Thus, according to our results the last common ancestor of vertebrates had a repertoire of one gene (GDF1/3), and this condition is maintained in actual species of cylostomes, chondricthyes, bony fish, and coelacanths (Fig. 5). In the ancestor of tetrapods, the single gene copy was translocated from a chromosomal region defined by the presence of the BMP2, HAO1, TMX4, PLCB1, FERMT1, LRRN4, CRLS1 genes to a chromosomal region defined by the presence of the CERS1, COPE, DDX49 and HOMER3 genes (Fig. 4). After this translocation, the ancestral gene underwent a duplication event in the amphibian ancestor, giving rise to the GDF1 (Vg1) and GDF3 (derrière) genes as they are found in actual species. In the case of the western clawed

frog (*Xenopus tropicalis*) these genes are located in tandem on chromosome
28 (Fig. 4). Sauropsids, the group that includes birds, crocodiles, turtles,
lizards and snakes, inherited the ancestral condition of a single gene copy as
is seen in non-tetrapod vertebrates (Fig. 5). Finally, in the ancestor of
mammals, the ancestral gene also underwent a duplication event, giving rise
to the GDF1 and GDF3 genes found in extant species of mammals. After
duplication but before the radiation of the group, a second translocation event
occurred in the ancestor of the group (Fig. 5). Thus, all mammals inherited a
repertoire of two genes (GDF1 and GDF3) that are located on two
chromosomes.
257
Concluding remarks
This study provides a comprehensive evolutionary analysis of the GDF1 and
GDF3 genes in representative species of all main groups of vertebrates. The
main focus of this study was to unravel the duplicative history of the GDF1
and GDF3 genes and to understand homologous relationships among
vertebrates. Understanding homology in this case is particularly important as
these genes perform fundamental roles during early development that are
conserved across vertebrates (Mercola and Levin 2001; Levine 2005;
Nakamura and Hamada 2012; Komatsu and Mishina 2013). Surprisingly, our
results revealed that the GDF1 and GDF3 genes present in amphibians and
mammals are the product of independent duplication events in the ancestor of
each of these groups. Subsequently, the GDF1 and GDF3 genes of
amphibians and mammals are not 1:1 orthologs. Our results also show that all
other vertebrate groups - i.e non-tetrapods and sauropsids – maintained the
ancestral condition of a single gene copy (GDF1/3).
From an evolutionary perspective the independent duplication events
that occurred in the ancestors of mammals and amphibians could have
resulted in the division of labor, with some degree of redundancy, of the
function performed by the ancestral gene. In support of this idea, it has been
shown that the GDF1 and GDF3 genes of mammals have partially redundant
functions during development where GDF1 can to some degree compensate
for the lack of GDF3 (Andersson et al., 2007). Additionally, it has also been
shown that double knockout animals (GDF1$^{-/-}$ and GDF3$^{-/-}$) present more

severe phenotypes than those of either single knockout (GDF1$^{-/-}$ or GDF3$^{-/-}$

)(Andersson et al., 2007). Detailed comparisons of the developmental roles of

the GDF1 and GDF3 genes between mammals and amphibians will shed light

regarding the reinvention of genes that possess fundamental roles during

early development. On the other hand, comparing mammals and amphibians

with sauropsids will provide useful information regarding the evolutionary fate

of duplicated genes. Finally, it would be interesting to study the evolutionary

history of genes that cooperate with GDF1 and GDF3 during early

development (e.g. nodal) (Ramsdell and Yost 1998; Levine 2005; Komatsu

and Mishina 2013) in order to understand the evolutionary nature of the entire

developmental network.

Material and Methods

DNA sequences and phylogenetic analyses

We annotated GDF1 and GDF3 genes in representative species of chordates.

Our study included representative species from mammals, birds, reptiles,

amphibians, coelacanths, holostean fish, teleost fish, cartilaginous fish,

cyclostomes, urochordates and cephalochordates (Supplementary Table S1).

We identified genomic pieces containing GDF 1 and GDF3 genes in the

Ensembl database using BLASTN with default settings or NCBI database

(refseq_genomes, htgs, and wgs) using tbalstn (Altschul et al., 1990) with

default settings. Conserved synteny was also used as a criterion to define the

genomic region containing GDF1 and GDF3 genes. Once identified, genomic

pieces were extracted including the 5´and 3´ flanking genes. After extraction,

we curated the existing annotation by comparing known exon sequences to

genomic pieces using the program Blast2seq with default parameters

(Tatusova and Madden 1999). Putatively functional genes were characterized

by an open intact reading frame with the canonical exon/intron structure

typical of vertebrate GDF1 and GDF3 genes. Sequences derived from shorter

records based on genomic DNA or cDNA were also included in order to attain

a broad and balanced taxonomic coverage. Amino acid sequences were

aligned using the L-INS-i strategy from MAFFT v.7 (Katoh and Standley

2013). Phylogenetic relationships were estimated using maximum likelihood

and Bayesian approaches. We used the proposed model tool from IQ-Tree

(Trifinopoulos et al., 2016) to select the best-fitting model (JTT+F+R5).

Maximum likelihood analysis was also performed in IQ-Tree (Trifinopoulos et

al., 2016) to obtain the best tree. Node support was assessed with 1000

bootstrap pseudoreplicates using the ultrafast routine. Bayesian searches

were conducted in MrBayes v.3.1.2 (Ronquist and Huelsenbeck 2003). Two

independent runs of six simultaneous chains for 10×10^6 generations were set,

and every 2,500 generations were sampled using default priors. The run was

considered to have reached convergence once the likelihood scores formed

an asymptote and the average standard deviation of the split frequencies

remained < 0.01. We discarded all trees that were sampled before

convergence, and we evaluated support for the nodes and parameter

estimates from a majority rule consensus of the last 2,000 trees. Sea squirt

(*Ciona intestinalis*) and Florida lancelet (*Branchiostoma floridae*) BMP2

sequences were used as outgroups.

Assessment of conserved synteny

We examined genes found up- and downstream of GDF1 and GDF3 in

species representative of vertebrates. Synteny assessment were conducted

for human (*Homo sapiens*), chicken (*Gallus gallus*), western-clawed frog

(*Xenopus tropicalis*), coelacanth (*Latimeria chalumnae*), spotted gar

(*Lepisosteus oculatus*), elephant shark (*Callorhinchus milii*) and sea lamprey

(*Petromyzon marinus*). Initial ortholog predictions were derived from the

EnsemblCompara database (Herrero et al., 2016) and were visualized using

the program Genomicus v91.01(Louis et al., 2015). In other cases, the

genome data viewer platform from the National Center for Biotechnology

information was used.

Acknowledgements

This work was supported by the Fondo Nacional de Desarrollo Científico y

Tecnológico (FONDECYT 1160627) grant to JCO.

Figure legends

Figure 1. Maximum likelihood tree depicting evolutionary relationships among the GDF1 and GDF3 genes of chordates. Numbers on the nodes represent maximum likelihood ultrafast bootstrap and Bayesian posterior probability support values. BMP2 sequences from urochordates (*Ciona intestinalis*) and cephalochordates (*Branchiostoma floridae*) were used as outgroups.

Figure 2. Dot-plots of pairwise sequence similarity between the GDF1 and GDF3 genes of the western clawed frog (*Xenopus tropicalis*) and the corresponding syntenic region in the American alligator (*Alligator mississippiensis*), Burmese python (*Python bivittatus*), chicken (*Gallus gallus*) and green turtle (*Chelonia mydas*).

Figure 3. Schematic representations of alternative hypotheses of the sister group relationships among duplicated GDF genes in tetrapods. A) According to the one-duplication model the predicted phylogeny recovers a monophyletic group containing GDF1 sequences from mammals, sauropsids and amphibians sister to a clade containing GDF3 sequences from the same groups. B) The phylogenetic prediction from the two-duplication model retrieves a clade containing GDF1 and GDF3 sequences from mammals sister to a clade containing GDF1/3 sequences from sauropsids; additionally a clade containing GDF1 and GDF3 sequences from amphibians is recovered sister to the mammalian/sauropsid clade.

Figure 4. Structure of the chromosomal region containing the GDF1 and GDF3 genes of vertebrates. Asterisks denote that the orientation of the genomic piece is from 3' to 5', gray lines represent intervening genes that do not contribute to conserved synteny.

Figure 5. An evolutionary hypothesis of the evolution of the GDF1 and GDF3 genes in vertebrates. According to this model the last common ancestor of vertebrates had a repertoire of one gene (GDF1/3), a condition that has been maintained in actual species of cylostomes, chondrichthyes, bony fish,

coelacanths and sauropsids. In the ancestor of tetrapods, the single gene

copy was translocated to a different chromosomal location. After that, in the

amphibian ancestor, the single gene copy underwent a duplication event

giving rise to the amphibian GDF1 (Vg1) and GDF3 (derrière) genes. In the

ancestor of mammals, the single gene copy also underwent a duplication

event, giving rise to the mammalian GDF1 and GDF3 genes. After the

duplication, but before the radiation of the group, a second translocation event

occurred in the ancestor of the group. Thus, all mammals inherited a

repertoire of two genes (GDF1 and GDF3) that were located on two

chromosomes.

References

397
398
Andersson O, Bertolino P, Ibáñez CF. 2007. Distinct and cooperative roles of
mammalian Vg1 homologs GDF1 and GDF3 during early embryonic
development. Dev. Biol. 311:500–511.
Andersson O, Korach-Andre M, Reissmann E, Ibanez CF, Bertolino P,
Lefkowitz RJ. 2008. Growth/differentiation factor 3 signals through ALK7
and regulates accumulation of adipose tissue and diet-induced obesity.
Proc. Natl. Acad. Sci. USA 105:7252–7256.
Andersson O, Reissmann E, Jörnvall H, Ibáñez CF. 2006. Synergistic
interaction between Gdf1 and Nodal during anterior axis development.
Dev. Biol. 293:370–381.
Arias CF, Herrero MA, Stern CD, Bertocchini F. 2017. A molecular
mechanism of symmetry breaking in the early chick embryo. Scietific
Reports 7:1–6.
Altschul SF, Gish W, Miller W, Myers EW, Lipman DJ. 1990. Basic local
alignment search tool. Journal of Molecular Biology 215:403–410.
Bao MW, Zhang XJ, Li L, Cai Z, Liu X, Wan N, Hu G, Wan F, Zhang R, Zhu X,
et al., 2015. Cardioprotective role of growth/differentiation factor 1 in post-
infarction left ventricular remodelling and dysfunction. J. Pathol. 236:360–
372.
Bengtsson H, Epifantseva I, Åbrink M, Kylberg A, Kullander K, Ebendal T,
Usoskin D. 2008. Generation and characterization of a Gdf1 conditional
null allele. Genesis 46:368–372.
Bisgrove BW, Su Y-C, Yost HJ. 2017. Maternal Gdf3 is an obligatory cofactor
in Nodal signaling for embryonic axis formation in zebrafish. Elife
6:e28534.
Chen C. 2006. The Vg1-related protein Gdf3 acts in a Nodal signaling
pathway in the pre-gastrulation mouse embryo. Development 133:319–
329.
Dale ’ L, Matthews G, Colman2 A. 1993. Secretion and mesoderm-inducing
activity of the TGF-j-related domain of Xenopus Vgl. EMBO J. 12:4471–
4480.
Goodman M, Czelusniak J, Koop BF, Tagle DA, Slightom JL. 1987. Globins: a

case study in molecular phylogeny. Cold Spring Harb. Symp. Quant. Biol.

52:875–890.

Hamada H, Meno C, Watanabe D, Saijoh Y. 2002. Establishment of

vertebrate left-right asymmetry. Nat. Rev. Genet. 3:103–113.

Herrero J, Muffato M, Beal K, Fitzgerald S, Gordon L, Pignatelli M, Vilella AJ,

Searle SMJ, Amode R, Brent S, et al., 2016. Ensembl comparative

genomics resources. Database 2016:baw053.

Hoffmann FG, Storz JF, Gorr TA, Opazo JC. 2010. Lineage-specific patterns

of functional diversification in the α-and β-globin gene families of tetrapod

vertebrates. Mol. Biol. Evol. 27:1126–1138.

Hoffmann FG, Vandewege MW, Storz JF, Opazo JC. 2018. Gene Turnover

and Diversification of the α- and β-Globin Gene Families in Sauropsid

Vertebrates. Genome Biol. Evol. 10:344–358.

Hyatt BA, Lohr JL, Yost HJ. 1996. Initiation of vertebrate left–right axis

formation by maternal Vg1. Nature 384:62–65.

Hyatt BA, Yost HJ. 1998. The left-right coordinator: The role of Vg1 in

organizing left-right axis formation. Cell 93:37–46.

Jones CM, Simon-Chazottes D, Guenet J-L, Hogan BLM, Pasteur L. 1992.

Isolation of Vgr-2, a Novel Member of the Transforming Growth Factor-P-

Related Gene Family. Mol. Endocrinol. 6:1961–1968.

Kaasinen E, Aittomäki K, Eronen M, Vahteristo P, Karhu A, Mecklin JP,

Kajantie E, Aaltonen LA, Lehtonen R. 2010. Recessively inherited right

atrial isomerism caused by mutations in growth/differentiation factor 1

(GDF1). Hum. Mol. Genet. 19:2747–2753.

Karkera JD, Lee JS, Roessler E, Banerjee-Basu S, Ouspenskaia MV, Mez J,

Goldmuntz E, Bowers P, Towbin J, Belmont JW, et al., 2007. Loss-of-

Function Mutations in Growth Differentiation Factor-1 (GDF1) Are

Associated with Congenital Heart Defects in Humans. Am. J. Hum.

Genet. 81:987–994.

Katoh K, Standley DM. 2013. MAFFT Multiple Sequence Alignment Software

Version 7: Improvements in Performance and Usability. Mol. Biol. Evol.

30:772–780.

Komatsu Y, Mishina Y. 2013. Establishment of left – right asymmetry in

vertebrate development : the node in mouse embryos. Cell. Mol. Life Sci.

70:4659–4666.

Kriener K, O 'huigin C, Klein J. 2001. Independent Origin of Functional MHC
Class II Genes in Humans and New World Monkeys. Hum. Immunol.
62:1–14.

Lee S-J. 1990. Identification of a Novel Member (GDF-1) of the Transforming
Growth Factor-beta Superfamily. Mol. Endocrinol. 4:1034–1040.

Lee S-J. 1991. Expression of growth/differentiation factor 1 in the nervous
system: Conservation of a bicistronic structure. Biochemistry 88:4250–
4254.

Levine AJ. 2005. GDF3, a BMP inhibitor, regulates cell fate in stem cells and
early embryos. Development 133:209–216.

Levine AJ, Brivanlou AH, Suzuki A, Hemmati-Brivanlou A. 2006. GDF3, a
BMP inhibitor, regulates cell fate in stem cells and early embryos.
Development 133:209–216.

Li Y, Ye C, Shi P, Zou X-J, Xiao R, Gong Y-Y, Zhang Y-P. 2005. Independent
origin of the growth hormone gene family in New World monkeys and Old
World monkeys/hominoids. J. Mol. Endocrinol. 35:399–409.

Louis A, Nguyen NTT, Muffato M, Roest Crollius H. 2015. Genomicus update
2015: KaryoView and MatrixView provide a genome-wide perspective to
multispecies comparative genomics. Nucleic Acids Res. 43:D682–D689.

Mercola M, Levin M. 2001. Left-right assymetry determination in vertebrates.
Annu. Rev. Cell Dev. Biol. 17:779–805.

Montague TG, Schier AF. 2017. Vg1-Nodal heterodimers are the endogenous
inducers of mesendoderm. Elife 6:e28183.

Nakamura T, Hamada H. 2012. Left-right patterning: conserved and divergent
mechanisms. Development 139:3262.

Opazo JC, Hoffmann FG, Storz JF. 2008. Genomic evidence for independent
origins of β-like globin genes in monotremes and therian mammals. Proc.
Natl. Acad. Sci. USA 105:1590–1595.

Ramsdell AF, Yost HJ. 1998. Molecular mechanisms of vertebrate left–right
development. Trends Genet. 14:459–465.

Rankin CT, Bunton T, Lawler AM, Lee SJ. 2000. Regulation of left-right
patterning in mice by growth/differentiation factor-1. Nat. Genet. 24:262–
265.

Ronquist F, Huelsenbeck JP. 2003. MrBayes 3: Bayesian phylogenetic
inference under mixed models. Bioinformatics 19:1572–1574.

Seleiro EAP, Connolly DJ, Cooke J. 1996. Early developmental expression
and experimental axis determination by the chicken Vg1 gene. Curr. Biol.
6:1476–1486.

Söderström S, Ebendal T. 1999. Localized expression of BMP and GDF
mRNA in the rodent brain. J. Neurosci. Res. 56:482–492.

Somi S, Houweling AC, Buffing AAM, Moorman AFM, Van Den Hoff MJB.
2003. Expression of cVg1 mRNA during chicken embryonic development.
Anat. Rec. 273A:603–608.

Suzuki A, Uno Y, Takahashi S, Grimwood J, Schmutz J, Mawaribuchi S,
Yoshida H, Takebayashi-Suzuki K, Ito M, Matsuda Y, et al., 2017.
Genome organization of the vg1 and nodal3 gene clusters in the
allotetraploid frog *Xenopus laevis*. Dev. Biol. 426: 236-244.

Tatusova, TA, Madden TL. 1999. BLAST 2 sequences, a new tool for
comparing protein and nucleotide sequences. FEMS Microbiology Letters
174:247-250.

Thomsen GH, Melton DA. 1993. Processed Vg1 protein is an axial mesoderm
inducer in xenopus. Cell 74:433–441.

Trifinopoulos J, Nguyen L-T, von Haeseler A, Minh BQ. 2016. W-IQ-TREE: a
fast online phylogenetic tool for maximum likelihood analysis. Nucleic
Acids Res. 44:W232–W235.

Wall NA, Craig EJ, Labosky PA, Kessler DS. 2000. Mesendoderm induction
and reversal of left-right pattern by mouse Gdf1, a Vg1-related gene.
Dev. Biol. 227:495–509.

Wallis OC, Wallis M. 2002. Characterisation of the GH gene cluster in a new-
world monkey, the marmoset (*Callithrix jacchus*). J. Mol. Endocrinol.
29:89–97.

Wang W, Yang Y, Meng Y, Shi Y. 2004. GDF-3 is an adipogenic cytokine
under high fat dietary condition. Biochem. Biophys. Res. Commun.
321:1024–1031.

Witthuhn BA, Bernlohr DA. 2001. Upregulation of bone morphogenetic protein
GDF-3/Vgr-2 expression in adipose tissue of FABP4/aP2 null mice.
Cytokine 14:129–135.

Wright CVE. 2001. Mechanisms of Left-Right Asymmetry : What ' s Right and
What ' s Left ? Cell 1:179–186.

Yang W, Mok MTS, Li MSM, Kang W, Wang H, Chan AW, Chou JL, Chen J,
Ng EKW, To KF, et al., 2016. Epigenetic silencing of GDF1 disrupts
SMAD signaling to reinforce gastric cancer development. Oncogene
35:2133–2144.

Zhang J, Wu Q, Wang L, Li X, Ma Y, Yao L. 2015. Association of GDF1
rs4808863 with fetal congenital heart defects: A case-control study. BMJ
Open 5:e009352.

A) One-duplication model

B) Two-duplication model

● = gene duplication

Mammals · Sauropsids · Amphibians · Coelacanths · Bony fish · Chondrichthyes · Cyclostomes

GDF1 GDF3 · GDF1/3 · GDF1 GDF3 · GDF1/3 · GDF1/3 · GDF1/3 · GDF1/3

~ 312 mya

~ 352 mya

~ 413 mya

~ 435 mya

~ 473 mya

~ 615 mya

Second translocation

First translocation

GDF1 and GDF3 genes arouse via independent duplication events in amphibians and mammals

● Gene duplication
◇ Speciation event