# When more is less: Dual phosphorylation protects

# signaling off-state against overexpression

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7 ABSTRACT Kinases in signaling pathways are commonly activated by multisite phosphorylation. For example, the mitogenactivated protein kinase Erk is activated by its kinase Mek by two consecutive phosphorylations within its activation loop. In this article, we use kinetic models to study how the activation of Erk is coupled to its abundance. Intuitively, Erk activity should rise 10 with increasing amounts of Erk protein. However, a mathematical model shows that the signaling off-state is robust to increasing 11 amounts of Erk, and Erk activity may even decline with increasing amounts of Erk. This counter-intuitive, bell-shaped response Erk activity to increasing amounts of Erk arises from the competition of the unmodified and single phosphorylated form of 12 Of 13 EI k for access to its kinase Mek. This shows that phosphorylation cycles can contain an intrinsic robustness mechanism that 14 protects signaling from aberrant activation e.g. by gene expression noise or kinase overexpression following gene duplication 15 events in diseases like cancer.

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<sup>17</sup> The MAPK signaling pathway is one of the best studied <sup>18</sup> signaling pathways due to its role in cell fate decisions like <sup>19</sup> proliferation, migration and apoptosis and its critical role development. Growth factors activate a receptor localised 20 in the cell membrane, from where the signal is relayed by 21 to eascade of kinases that activate each other by (reversible) 22 a <sup>23</sup> phosphorylation on multiple sites. The terminal kinase, Erk, <sup>24</sup> activates hundreds of cytoplasmic and nuclear targets (1). The <sup>25</sup> activation of transcription factors induces a transcriptional response which ultimately manifests the cell fate decision. 26

An understanding of how such kinase cascades operate 27 namically and quantitatively has been gained through a 28 dy <sup>29</sup> number of theoretical and experimental investigations. An 30 early theoretical study showed that a single phosphorylaon cycle can create a switch-like response (2). Later on, 31 ti 32 it was shown that the switch-like stimulus response profile MAPK activity in *Xenopus* oocytes (3) can be explained 33 the in vitro distributive two-step activation mechanism of 34 by <sup>35</sup> Erk (4). The mathematical description of phosphorylation <sup>36</sup> cycles has its unique challenges as, opposed to metabolic <sup>37</sup> networks, enzymes and substrates, all being kinases, mostly 38 occur in similar concentrations. General concepts for mod- $_{39}$  elling multisite-phosphorylation (5–7) and for the analysis multistability of these systems have been provided (8-10). of 41 M any studies focused on the stimulus-response relationship a kinase that is activated by multisite-phosphorylation. The 42 Of <sup>43</sup> profile can be graded, biphasic, switch-like or bistable depend-<sup>44</sup> ing on a multitude of factors like the order (11) and/or pro-<sup>45</sup> cessitivity (12, 13) of multisite phosphorylation, competition <sup>46</sup> effects between modifying enzymes (5) or the sequestration 47 of components within enzyme-substrate complexes (14–17). 80 relevance of the phenomenon based on the catalytic rate <sup>48</sup> Some of the effects of competition and sequestration have <sup>81</sup> constants.

<sup>49</sup> been shown experimentally *in vivo*. For instance, the activity 50 of Erk depends on the expression level of its substrates, as deactivating phosphatases and Erk substrates compete for <sup>52</sup> access to Erk in *Drosophila* (18).

Next to the ability to process all-or-none decisions, sig-53 54 naling pathways should provide their response in a robust <sup>55</sup> fashion: the signaling off-state needs to be robust to fluctuating <sup>56</sup> levels of signaling pathway components and to transient weak <sup>57</sup> signals (19, 20). Negative feedbacks are common in MAPK <sup>58</sup> signaling and can provide robustness to Erk activity at vari-<sup>59</sup> ous expression levels of Erk (21). However, some robustness <sup>60</sup> might emerge from the phosphorylation cycle motif alone, as e.g. the amount of modified substrate approaches a limit 61 62 for increasing levels of the substrate in a single modification 63 cycle in its basal state (22).

Here we present a new mechanism that leads to robust 65 stationary Erk activity at Erk overexpression, which emerges <sup>66</sup> from the distributive kinetics of Erk phosphorylation. We find 67 that for low pathway activity and increasing levels of total Erk, 68 the stationary amount of active dual phosphorylated Erk shows 69 a bell-shaped response: With increasing amounts of Erk, Erk 70 activity increases until it reaches a maximum after which <sup>71</sup> active Erk starts to decrease and eventually approaches zero. 72 This bell-shaped response is due to the gradual saturation 73 of Mek with its substrate and the subsequent competition 74 of unmodified and single phosphorylated Erk for access to 75 Mek. This response can be seen regardless of the order of 76 Erk (de)activation and the kind of phosphatases involved <sup>77</sup> in dephosphorylation of threonine and tyrosine on Erk. We 78 derive an analytical approximation of the maximum in the <sup>79</sup> bell-shaped response which allows to estimate the biological

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param. $[s^{-1}\mu M^{-1}]$	value	comment
k <sub>on1</sub>	0.18	measured in (26)
$k_{\text{on2}}, k_{\text{onp1}}, k_{\text{onp2}}$	0.18	as $k_{on1}$
$k_{\rm cat1}/K_{\rm M1}$	$3.9 \cdot 10^{-2}$	measured in $(26)$
$k_{\rm cat2}/K_{\rm M2}$	$2.1 \cdot 10^{-2}$	measured in (26)
param. $[s^{-1}]$	value	comment
$d_1$	$6.7 \cdot 10^{-3}$	pYErk $\rightarrow$ Erk (26)
$d_2$	$4.0 \cdot 10^{-3}$	$pTpYErk \rightarrow pYErk$ (26)
$k_{ m off1}$	0.27	measured in $(26)$
$k_{\text{off2}}, k_{\text{offp1}}, k_{\text{offp2}}$	0.27	as $k_{\text{off1}}$
k <sub>cat1</sub>	$7.47 \cdot 10^{-2}$	*calculated from $(26)$
k <sub>cat2</sub>	$3.57 \cdot 10^{-2}$	*calculated from (26)
k <sub>catp1</sub>	$5.85 \cdot 10^{-2}$	*calculated from (26)
$k_{\text{catp2}}$	$3.15 \cdot 10^{-2}$	*calculated from (26)
param. [ $\mu$ M]	value	comment
Mek total	1.2	measured in (26)
Erk total	0.74	measured in (26)
Table 1. Table of menometers and in the basis mendal and in the		

Table 1: Table of parameters used in the basic model and in the model with two different phosphatases. Dephosphorylation rates  $d_{1/2}$  are used in the simplified model where we assume mass-action kinetics for Erk deactivation. \*Measured apparent rates  $r = k_{cat}/K_{M}$  were used to derive the catalytic rates according to the equation  $k_{\text{cat}} = \frac{r \cdot k_{\text{off}}}{k_{\text{op}} - r}$ .

Overexpression of signaling proteins is a common conse-82 <sup>83</sup> quence of the massive genomic alterations in cancer and it is <sup>84</sup> generally believed that this alteration will increase pathway ac-<sup>85</sup> tivity or may cause spontaneous pathway activation. However, 86 our results show that a distributive two-step activation of Erk <sup>87</sup> has the potential to suppress excessive Erk activity and thus <sup>88</sup> protects the signaling off-state against Erk overexpression, <sup>89</sup> which may explain why Erk overexpression is rarely seen in 90 tumors (23-25).

## **MATERIALS AND METHODS**

## Ordinary differential equation models

## **Basic model of Erk (de)activation**

94 We model the 2-step activation and deactivation of Erk by as-<sup>95</sup> suming that the kinase and phosphatase forms a complex with <sup>96</sup> its substrate in a reversible fashion (association rate constants  $_{97}$   $k_{\text{onx}}$ , dissociation rate constants  $k_{\text{offx}}$ ). (De)phosphorylation <sup>98</sup> and release of the phosphatase/kinase from their respective <sup>99</sup> modified substrates is assumed to proceed as one irreversible <sup>100</sup> step with rate constant  $k_{\text{catx}}$ . Within the index of kinetic rate 101 constants  $x \in \{1, 2\}$  indicates the phosphorylation reaction 127 Model equations (1) and (2) remain unchanged. In equations

<sup>103</sup> rylation the reaction in cycle 1 or 2. We denote the total <sup>104</sup> concentration of active kinase ppMek as K<sub>T</sub>, the total con- $_{105}$  centration of phosphatase as  $P_T$  and the total concentration 106 of Erk as Erk<sub>T</sub>. Complexes of kinase/phosphatase with their <sup>107</sup> substrates are named  $C_x/D_x$  where  $x \in \{1, 2\}$  indicates the 1st <sup>108</sup> and 2nd phosphorylation cycle, see also the pathway scheme <sup>109</sup> in Fig. 1A. The following ODE system describes the kinetics 110 of its components:

$$\frac{\mathrm{d}}{\mathrm{d}t} \mathbf{C}_{1} = k_{\mathrm{on1}} \cdot \mathrm{Erk} \cdot \mathbf{K} - (k_{\mathrm{off1}} + k_{\mathrm{cat1}}) \cdot \mathbf{C}_{1}$$
(1)

$$\frac{\mathrm{d}}{\mathrm{d}t} \mathbf{C}_2 = k_{\mathrm{on2}} \cdot \mathrm{pErk} \cdot \mathbf{K} - (k_{\mathrm{off2}} + k_{\mathrm{cat2}}) \cdot \mathbf{C}_2 \qquad (2)$$

$$\frac{1}{t}\mathbf{D}_{1} = k_{\text{onp1}} \cdot \mathbf{p}\mathbf{Erk} \cdot \mathbf{P} - (k_{\text{offp1}} + k_{\text{catp1}}) \cdot \mathbf{D}_{1} \quad (3)$$

$$\frac{d}{dt}D_2 = k_{onp2} \cdot ppErk \cdot P - (k_{offp2} + k_{catp2}) \cdot D_2$$
(4)

$$pErk = k_{cat1} \cdot C_1 - k_{on2} \cdot pErk \cdot K + k_{off2} \cdot C_2 \quad (5)$$

$$+ k_{off2} \cdot D_2 - k_{off2} \cdot pErk \cdot P + k_{off2} \cdot D_2$$

$$\frac{\mathrm{d}}{\mathrm{d}t} \operatorname{ppErk} = k_{\operatorname{cat2}} \cdot \mathrm{C}_2 - k_{\operatorname{onp2}} \cdot \operatorname{ppErk} \cdot \mathrm{P} \qquad (6)$$
$$+ k_{\operatorname{offp2}} \cdot \mathrm{D}_2 \,.$$

The concentrations of Erk, kinase K and phosphatase P can <sup>112</sup> be calculated from the conservation relations:

$$K = K_{\rm T} - C_1 - C_2 \tag{7}$$

$$P = P_{\rm T} - D_1 - D_2 \tag{8}$$

$$Erk = Erk_{T} - pErk - ppErk$$
(9)

 $- C_1 - C_2 - D_1 - D_2$ .

113 The kinetic parameters used for numerical simulation are 114 shown in table 1. Several parameters of the model have been 115 estimated in vivo in HeLa cells (26). All rate constants that 116 describe the formation of an enzyme-substrate complex have 117 been assumed to be identical, the same was assumed for the 118 dissociation rates of these complexes.

## 119 Model with Erk deactivation by two different

## 120 phosphatases

di

dt

121 We describe the model in terms of modifications to the basic  $_{122}$  model. The conservation relations for the total kinase  $K_T$  and 123 the total amount of Erk, Erk<sub>T</sub>, remain unchanged, however, <sup>124</sup> we have to replace equation (8) by two equations for the <sup>125</sup> conservation relations for one phosphatase, P<sub>1</sub> and the 2nd 126 phosphatase, P2

$$P_1 = P_{1T} - D_1$$
 (10)

$$P_2 = P_{2T} - D_2. (11)$$

<sup>102</sup> in phosphorylation cycle 1 or 2,  $x \in \{p_1, p_2\}$  the dephospho-<sup>128</sup> (3) and (5) variable P is replaced by P<sub>1</sub>, in equations (4) and

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129 (6) variable P is replaced by P<sub>2</sub>. Kinetic parameters remain 149 are given by the conservation relations <sup>130</sup> unchanged and can be found in table 1.

#### Ordered Model of Erk (de)activation 131

<sup>132</sup> In this model we consider the two different forms of single phosphorylated Erk, pYErk (phosphorylated on tyrosine) 133 and pTErk (phosphorylated on threonine). We model that <sup>135</sup> Erk is phosphorylated and dephosphorylated on tyrosine first. Just like in the basic model we assume that binding of 136 enzyme and substrate is reversible with rates  $k_{onx}/k_{offx}$ . Here 137 138 139 complex involved, where C1/CY2/CT2 is the complex of 153 the ODE system was solved by numerical integration (using the activating kinase with Erk/pYErk/pTErk and DY1/DT1/D2 140 141 also the pathway scheme in Fig. 6A. For qualitative analysis 142 of this model we set the values of all kinetic parameters and 143 of the kinase/phosphatase concentration to 1, unless stated 144 otherwise. The following ODEs describe all components in 145 146 this model:

$$\frac{d}{dt} pYErk = k_{catc1} \cdot C_1 + k_{offcy2} \cdot CY2$$
(12)  
+  $k_{offdy1} \cdot DY1 - k_{oncy2} \cdot pYErk \cdot K$   
-  $k_{ondy1} \cdot P \cdot pYErk$ 

$$\frac{d}{dt} pTErk = k_{offdt1} \cdot DT1 + k_{catd2} \cdot D2$$
(13)  
+  $k_{offct2} \cdot CT2 - k_{ondt1} \cdot pTErk \cdot P$ 

$$k_{\text{onct2}} \cdot \text{pTErk} \cdot \text{K}$$

$$\frac{d}{dt} pYpTErk = k_{catcy2} \cdot CY2 + k_{catct2} \cdot CT2$$
(14)  
+  $k_{offd2} \cdot D2 - k_{ond2} \cdot P \cdot pYpTErk$ 

$$\frac{\mathrm{d}}{\mathrm{d}t}\mathrm{C}1 = k_{\mathrm{onc}1}\cdot\mathrm{Erk}\cdot\mathrm{K} \tag{15}$$

$$- (k_{offc1} + k_{catc1}) \cdot C1$$

$$\frac{d}{dt}CY2 = k_{oncy2} \cdot pYErk \cdot K$$
(16)

$$\frac{d}{dt} CT2 = k_{onct2} \cdot pTErk \cdot K$$
(17)

$$- (k_{\text{offct2}} + k_{\text{catct2}}) \cdot \text{CT2}$$

$$\frac{\mathrm{d}}{\mathrm{d}t}\mathrm{D}\mathrm{Y}1 = k_{\mathrm{ondy1}} \cdot \mathrm{p}\mathrm{Y}\mathrm{Erk} \cdot \mathrm{P} \tag{18}$$

$$- (k_{\text{offdy1}} + k_{\text{catdy1}}) \cdot \text{DY1}$$

A

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$$\frac{d}{dt}DT1 = k_{ondt1} \cdot pTErk \cdot P$$
(19)

$$- (k_{\text{offdt1}} + k_{\text{catdt1}}) \cdot \text{DT1}$$

$$\frac{d}{dt} D2 = k_{ond2} \cdot pYpTErk \cdot P$$
(20)  
-  $(k_{offd2} + k_{catd2}) \cdot D2$ 

<sup>148</sup> where the concentrations of Erk, kinase K and phosphatase P

$$K = K_{\rm T} - C1 - CY2 - CT2$$
(21)

$$P = P_{T} - DY1 - DT1 - D2$$
(22)

$$Erk = Erk_{T} - pYErk - pTErk - pYpTErk$$
(23)  
- C1 - CY2 - CT2 - DY1 - DT1 - D2.

## 150 Numerical simulations and calculations

<sup>151</sup> All numerical simulations were carried out using MATLAB  $x \in \{c1, cy2, ct2, dy1, dt1, d2\}$  identifies the enzyme-substrate  $_{152}$  R2013b. To determine the steady state phosphorylation levels, <sup>154</sup> solver ode23s) until a time point where the solution approaches the complex of phosphatase and pYErk/pTErk/pYpTErk. See 155 an equilibrium. Using the numerical root finding routine fsolve, <sup>156</sup> the steady state was confirmed. Uniqueness of the steady-state <sup>157</sup> was checked by starting from two opposing initial conditions, <sup>158</sup> where either no Erk was phosphorylated initially, or all Erk 159 dual phosphorylated. All analytical calculations have been <sup>160</sup> verified using Wolfram Mathematica 8.

## **RESULTS AND DISCUSSION**

## 162 Mechanistic model predicts reduced Erk activity at high Erk expression levels

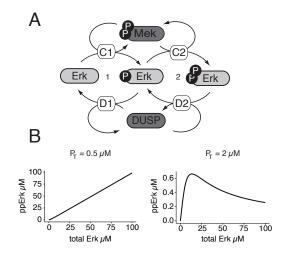


Figure 1: Bell-shaped response of active Erk as function of total Erk.

A, Distributive (basic) model of Erk (de)phosphorylation. Enzyme-substrate complexes  $C/D_{1/2}$  are formed in a reversible fashion. DUSP = dual-specificity phosphatase. B, Simulation of stationary ppErk versus level of total Erk using the basic model for high (left) and low (right) pathway activity. Total amount of active Mek equals  $K_T = 1.2\mu M$ . The amount of phosphatase has been chosen arbitrarily and is indicated with P<sub>T</sub> at the top of the respective panel. All other parameters set as shown in table 1.

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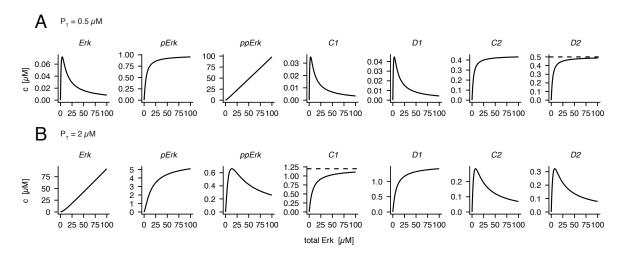


Figure 2: Steady state of the dual phosphorylation cycle when varying total amount of Erk. Simulation of the basic model with a total phosphatase concentration set to  $P_T=0.5 \ \mu M$  in A and  $P_T=2 \ \mu M$  in B. All other kinetic parameters set as listed in table 1. Dashed lines indicate the total concentration of the phosphatase in A and of the kinase in B.

To investigate the effect of changing concentrations of the  $_{196}$  processive mechanism (34–37). 164 <sup>165</sup> target in a covalent modification cycle, we chose to model the 166 activation of Erk. Erk needs to be phosphorylated on threonine and tyrosine within the TEY motif to be fully active (27). The 167 only enzyme that catalyzes these two phosphorylation steps is 168 Mek1/2. In vitro it has been shown that Mek cannot catalyze 169 these two phosphorylations in one reaction (as processive 170 enzymes do), but Mek preferentially phosphorylates Erk on 171 tyrosine first (4, 28), and then the enzyme substrate complex 172 dissociates and reforms for the second phosphorylation step 173 (distributive mechanism) (29). 174

Erk is dephosphorylated and thereby inactivated by dif-175 <sup>176</sup> ferent types of phosphatases. Ubiquitous phosphotyrosine phosphatases like PTP remove the phosphorylation on ty-177 178 rosine. DUSPs remove phosphates on both threonine and tyrosine (30). Another special characteristic of DUSPs is their 179 specific localisation either to the nucleus or cytoplasm and 180 their regulation by MAPKs themselves. Dephosphorylation 181 <sup>182</sup> by DUSPs is believed to follow a distributive scheme as well 183 (31).

The direct proof for distributive kinetics has been provided 184 <sup>185</sup> by *in vitro* studies (28, 29). But a distributive mechanism has 186 the potential to be converted to a quasi-processive one *in* vo. Either molecular crowding (26, 32) or the anchoring 219 187 188 189 191 192 193 194 <sup>195</sup> phosphorylation *in vivo* outweigh the evidence for a quasi-<sup>227</sup> becomes saturated with unphosphorylated Erk at increasing

197 We therefore developed a kinetic model which accounts <sup>198</sup> for the (reversible) binding of Mek to Erk, its phosphorylation, <sup>199</sup> and the (reversible) binding of DUSPs to Erk with subsequent <sup>200</sup> dephosphorylation (see scheme in Fig. 1A). Phosphorylation <sup>201</sup> and dephosphorylation were assumed to follow a distributive 202 scheme. The ordinary differential equations (ODEs) and 203 kinetic parameters that describe the kinetics associated with <sup>204</sup> the presented reaction scheme can be found in Materials and 205 Methods.

We then performed numerical simulations of the model, 206 207 where we varied the total concentration of Erk. We noticed 208 that the change of ppErk (dual phosphorylated Erk) upon 209 increase of total Erk is qualitatively different for different 210 activity ratios of the modifying kinase and phosphatase. For  $_{211}$  low concentration of the phosphatase, such as at P<sub>T</sub>=0.5  $_{212}$   $\mu$ M (see Fig. 1B), when the maximal turnover rate of the <sup>213</sup> kinase  $v_{\text{max},\text{K}} = k_{\text{cat},\text{K}} \cdot \text{K}_{\text{T}}$  exceeds the maximal turnover <sup>214</sup> rate of the phosphatase, ppErk rises linearly with total Erk. <sup>215</sup> However, when the phosphatase dominates with  $P_T=2 \mu M$ , <sup>216</sup> ppErk shows a nonlinear, bell-shaped dependence on total Erk 217 (Fig. 1B). While ppErk increases first, it reaches a maximum <sup>218</sup> and subsequently decreases for higher levels of Erk.

Puzzled by this non-intuitive behavior, we inspected how molecular scaffolds could increase the stability of the 220 the different forms of Erk and its complexes with kinases or Mek-pErk complex and/or enable rapid rebinding of the 221 phosphatases change when the total amount of Erk is increas-<sup>190</sup> latter. However, it has been shown that in mouse embryonic <sup>222</sup> ing. The single phosphorylated Erk increases monotonically fibroblasts only the scaffold KSR and Mek1/2 form rather 223 with the Erk expression level, however, it approaches a limit stable complexes in the cytoplasm, whereas the interaction  $_{224}$  (Fig. 2B). The ppMek-Erk enzyme-substrate complex C<sub>1</sub> of the scaffold with Raf and Erk is highly dynamic (33). 225 shows a similar behavior as it approaches the concentration of Up to now the experimental evidence for distributive Erk 226 total ppMek, here called K<sub>T</sub> (Fig. 2B). This shows that ppMek

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228 levels of the latter. This is reminiscent of a mechanism de-229 scribed previously as kinetic tumor supression for a single modification cycle. (22), and this mechanism will be key to 230 understand the bell-shaped response of dual phosphorylated <sup>232</sup> Erk. as shown below.

#### <sup>233</sup> Limited activation in a single phosphorylation cycle 234

For now, let us assume that Erk is activated by a single phos-235 phorylation that is provided by a kinase and removed by a 236 phosphatase. Then, at low pathway activity, the amount of 237 activated Erk has an upper limit (22). As the steady state 238 of a single phosphorylation cycle has an analytical solution 239 (2), this upper limit can be derived by calculating the math-240 ematical limit of pErk as total Erk approaches infinity (22). 241 However, there is an easier approach. As we consider a sce-242 nario involving large amounts of total Erk, we can assume 243 Michaelis-Menten kinetics for the modifying enzymes, so the velocity of kinase/phosphatase is determined by its affinity to 245 the substrate,  $K_{M,K/P}$ , and its maximum turnover rate  $v_{max,K/P}$ 246 (see Fig. 3). At low pathway activity  $v_{max,P}$  is larger than 247  $v_{\max,K}$ . As we consider a phosphorylation cycle, the velocities 248 of kinase and phosphatase have to be identical in steady state 249 (indicated by the black horizontal lines in Fig. 3). In conse-250 quence, the amount of pErk will be significantly smaller than 251 252 253 higher velocities, but the smaller  $v_{\max,K}$  sets an upper limit 254 this steady state velocity (see Fig. 3B). In consequence, 255 unmodified Erk accumulates while pErk approaches an upper 256 257 limit. This limit can be derived from the steady state condition when the kinase operates at saturation:

$$v_{\rm P} = \frac{v_{\rm max,P} \cdot p \text{Erk}_{\rm max}}{K_{\rm M,P} + p \text{Erk}_{\rm max}} = v_{\rm max,K} \iff p \text{Erk}_{\rm max} = \frac{K_{\rm M,P}}{\frac{v_{\rm max,R}}{v_{\rm max,K}} - 1}.$$
 (24)

We see from equation (24) that the activity ratio of kinase 259 and phosphatase directly influences the stationary level of 260 phosphorylated Erk. When the maximal turnover rate of the 261 phosphatase is twice the maximal turnover rate of the kinase, 262 the maximal amount of phosphorylated Erk complys to the Michaelis-Menten constant of the phosphatase. The role of 264 the phosphatases'  $K_{\rm M}$  is intuitive, as a weaker affinity of the 265 <sup>266</sup> phosphatase helps to pile up more of the activated species pErk. 267

It is now clear that when Erk is overexpressed the formation 268 of active Erk is limited, because the kinase saturates and the 269 phosphatase does not. The only parametric prerequisite for 270  $v_{max}$  of the kinase compared to the 272 phosphatase.

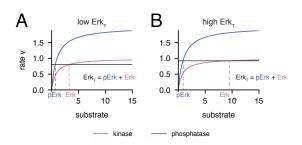


Figure 3: Overexpression insensitivity in a single phosphorylation cycle.

The velocity of the kinase (pink) and of the phosphatase (blue) are shown as a function of substrate level according to Michaelis-Menten, where  $v_{\max,K} < v_{\max,P}$ . In steady state, the velocity of the kinase equals the velocity of the phosphatase, which is indicated by the black horizontal line. The amount of substrates (Erk and pErk) follows as indicated by the dashed lines. A, for low amounts of total Erk, B, for high amounts of total Erk.

## 273 The signal is attenuated further in a dual 274 phosphorylation cycle

<sup>275</sup> Also in the dual phosphorylation cycle the stationary level <sup>276</sup> of single phosphorylated Erk rises with the total amount of the amount of unmodified Erk, as shown in Fig. 3A. If the 277 Erk and finally approaches a limit, given that  $v_{max,K} < v_{max,P}$ level of total Erk is increased further, both enzymes are pushed 278 (Fig. 2). This is due to progressing saturation of ppMek 279 - however, now ppMek can either be bound in a complex with Erk (C<sub>1</sub>) or pErk (C<sub>2</sub>). As C<sub>2</sub> approaches 0 and C<sub>1</sub> <sup>281</sup> approaches K<sub>T</sub>, (see Fig. 2B) active Mek apparently becomes <sup>282</sup> sequestered within the first phosphorylation cycle. That means, <sup>283</sup> two mechanisms shape the basal steady state amount of ppErk <sup>284</sup> at Erk overexpression: saturation of ppMek and sequestration of ppMek in the first phosphorylation step. Consequently, the phosphatase is also drawn into the first phosphorylation cycle  $_{287}$  - complexes  $D_2$  and  $C_2$  decrease for rising levels of total Erk 288 (Fig. 2B).

> When the condition is reversed, so when  $v_{\max,K} > v_{\max,P}$ , 289 <sup>290</sup> all intermediate species of the dual phosphorylation cycle behave in a mirror-inverted fashion, e.g. unphosphorylated 291 Erk exchanges its concentration profile with the profile of dual phosphorylated Erk. The phosphatase saturates in the 293 2nd phosphorylation cycle and draws most of the kinase into 294 <sup>295</sup> the 2nd cycle (Fig. 2A).

> As either the kinase (phosphatase) is sequestered in the first 296 (second) phosphorylation cycle, the limit of single phospho-297 <sup>298</sup> rylated Erk in a dual phosphorylation cycle can be calculated <sup>299</sup> like in a single phosphorylation cycle:

$$pErk_{max} = \begin{cases} \frac{K_{M,P1}}{\frac{v_{max,P1}}{v_{max,K1}} - 1} & \text{when } v_{max,K} < v_{max,P} \\ \frac{K_{M,K2}}{\frac{v_{max,K2}}{v_{max,P2}} - 1} & \text{when } v_{max,K} > v_{max,P} . \end{cases}$$
(25)

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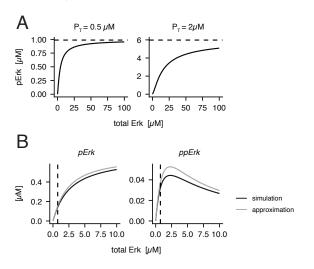


Figure 4: Quantification of Erk activation limit.

A, Numerical simulation of the amount of pErk in a dual phosphorylation cycle according to the basic model (black line) for high (left) and low (right) pathway activity. The analytical limit of pErk (see eq. (25)) is indicated by the dashed line. All parameters chosen as listed in table 1. B, The steady state level of pErk and ppErk at varying levels of Erk<sub>T</sub> was dual phosphorylation of Erk by Mek and mass action rates of dephosphorylation. The conservation relation for K<sub>T</sub> and Erk<sub>T</sub> is either exact (simulation, black line) or approximated according to eq. (28) and (29) (analytical approximation, gray line). The dashed line indicates the concentration of Erk in HeLa cells (26).

 $_{300}$   $K_{M,P1}$  refers to the affinity of the phosphatase in cycle 1, which <sup>301</sup> is its affinity to pErk. Likewise  $K_{M,K2}$  refers to the affinity  $_{302}$  of the kinase in cycle 2 – the affinity of the kinase to pErk.  $_{303}$  Maximum turnover rates  $v_{max}$  are labelled accordingly. Figure 4A shows the amount of single phosphorylated Erk in a dual 304 <sup>305</sup> phosphorylation cycle for increasing amounts of Erk and the calculated limits using the equation (25). 306

#### simplified model explains limited activation 307 in a dual phosphorylation cycle 308

To improve our understanding of how the various rate constants shape the maximum of Erk activation in a dual phosphoryla-310 tion cycle we sought to simplify our basic ODE model (1)-(6)311 <sup>312</sup> in a way that will allow us to calculate a closed form of the <sup>338</sup> 313 314 315 316 317  $_{318}$  (1) and (2) remain unchanged but the equations (3) and (4)  $_{344}$  mass-action kinetics for dephosphorylation with the analytical

<sup>320</sup> in complex with its two different substrates can be dropped. Assuming that dephosphorylation of single phosphorylated <sup>322</sup> Erk proceeds with rate  $d_1$  and dephosphorylation of dual <sup>323</sup> phosphorylated Erk with rate  $d_2$ , equations (5) and (6) are 324 rewritten to

$$\frac{d}{dt} pErk = k_{cat1} \cdot C_1 - k_{on2} \cdot pErk \cdot K + k_{off2} \cdot C_2 - d_1 \cdot pErk + d_2 \cdot ppErk (26)$$

$$\frac{\mathbf{d}}{\mathbf{d}t} \operatorname{ppErk} = k_{\operatorname{cat2}} \cdot \mathbf{C}_2 - d_2 \cdot \operatorname{ppErk}.$$
 (27)

Even with this modification, the explicit description of 325 326 all components in steady state is impossible, which is gener-<sup>327</sup> ally true when the various enzyme-substrate complexes are <sup>328</sup> appreciable compared to the concentration of free substrate <sup>329</sup> and product (38). However, we can approximate

$$K \approx K_T - C_1$$
 (28)

$$Erk \approx Erk_T - C_1 - pErk$$
 (29)

<sup>330</sup> because the concentration of the complex formed by ppMek <sup>331</sup> and monophosphorylated Erk, C<sub>2</sub>, is significantly smaller than simulated with the simplified model that features distributive 32 C<sub>1</sub> and ppErk has the smallest contribution to the total level 333 of Erk.

> In equation (28) and (29)  $Erk_T$  and  $K_T$  denote the re-334 <sup>335</sup> spective total enzyme concentrations of Erk and ppMek. The <sup>336</sup> steady state of this simplified system has a closed form and 337 reads:

$$C_{1} = \alpha - \sqrt{\alpha^{2} - \beta} \text{ with } (30)$$

$$d_{1}(K_{M1} + \text{Erk}_{T}) + K_{T}(d_{1} + k_{out1})$$

$$\alpha = \frac{1}{2(d_1 + k_{cat1})} \text{ and }$$
$$\beta = \frac{d_1 \text{Erk}_{\text{T}} \text{K}_{\text{T}}}{2(d_1 + k_{cat1})}$$

$$bErk = \frac{k_{cat1}}{d_1} \cdot C_1$$
(31)

ł

$$Erk = \frac{K_{M1} \cdot C_1}{K_T - C_1}$$
(32)

ppErk = 
$$\frac{k_{cat1}k_{cat2} \cdot C_1(K_T - C_1)}{d_1 d_2 K_{M2}}$$
 (33)

$$C_2 = \frac{k_{cat1} \cdot C_1(K_T - C_1)}{d_1 K_{M2}}$$
(34)

$$K = K_T - C_1.$$
 (35)

Here,  $K_{M1/2}$  refers to the Michaelis-Menten constant of steady state. Limited activation of Erk is seen when ppMek 339 the kinase in the first/second phosphorylation cycle. The is shared between two cycles and eventually saturates and 340 approximation of the steady state captures the correlation of sequesters in one of the cycles. The phosphatases keep work- 341 phosphorylated Erk and total Erk qualitatively as well as the ing far from saturation, so that we can model their catalysis 342 order of magnitude in phosphorylation, as can be seen in a with mass-action kinetics instead. Thus the model equations 343 direct comparison of the numerical solution of the system with 319 that describe the temporal development of the phosphatase 345 approximation (Fig. 4B) where the conservation relations of

#### Dual phosphorylation protects off-state

346 Erk and ppMek have been truncated as shown in equation 382 play a role *in vivo*. Assuming that only 5% of the cellular (28) and (29). 347

348 350 The derivative of ppErk by the level of total Erk

$$\frac{\mathrm{d}\,\mathrm{ppErk}\,(\mathrm{Erk}_{\mathrm{T}})}{\mathrm{dErk}_{\mathrm{T}}} = \gamma \frac{\mathrm{d}\mathrm{C}_{1}(\mathrm{Erk}_{\mathrm{T}})}{\mathrm{dErk}_{\mathrm{T}}} [\mathrm{K}_{\mathrm{T}} - 2\mathrm{C}_{1}(\mathrm{Erk}_{\mathrm{T}})] \stackrel{!}{=} 0 \quad (36)$$

351 equals zero at the maximum with

$$\gamma = \frac{k_{\text{cat1}}k_{\text{cat2}}}{d_1 d_2 K_{\text{M2}}} \,. \tag{37}$$

352 Condition (36) is only fulfilled when

$$C_1(\text{Erk}_{\text{T}}) = \frac{K_{\text{T}}}{2}, \qquad (38)$$

as  $C_1$  grows with the amount of  $Erk_T$  until saturation of the star kinase with Erk, the first factor,  $\frac{dC_1}{dErk_T}$ , is never zero. The level of total Erk in the cell leading to maximal activation is the one 355 <sup>356</sup> where half of the total available kinase ppMek is sequestered <sup>357</sup> in a complex with unphosphorylated Erk. Condition (38) allows for the exact calculation of the maximum coordinate 358 359 to

$$(\operatorname{Erk}_{\mathrm{T}}, \operatorname{ppErk})_{\mathrm{max}} = \left(K_{\mathrm{M1}} + \left[1 + \frac{k_{\mathrm{cat1}}}{d_{1}}\right] \frac{\mathrm{K}_{\mathrm{T}}}{2}, \frac{k_{\mathrm{cat1}}k_{\mathrm{cat2}}}{d_{1}d_{2}K_{\mathrm{M2}}} \cdot \frac{\mathrm{K}_{\mathrm{T}}}{4}^{2}\right).$$
(39)

361 362 363 364 365 366 un-phosphorylated Erk (smaller  $K_{M1}$ ) enforces sequestration 367 and thus shifts the position of the peak to smaller levels of 368 Erk. A higher affinity in catalysis of the 2nd phosphorylation 369 (smaller  $K_{M2}$ ) increases the activation level. Only the catalytic 370 <sup>371</sup> rates of the 1st modification cycle ( $d_1$  and  $k_{cat1}$ ) influence the peak position, which suggests that the activity ratio of 372 kinase and phosphatase in the cycle converting between Erk 373 and pErk creates the prerequisite for limited activation. 374

In this model  $C_1$  approaches the level of  $K_T$  for increasing 375 concentrations of Erk. It follows from equation (31) that the 377 limit of single phosphorylated Erk amounts to

$$pErk_{max} = \frac{k_{cat1}}{d_1} \cdot K_T \,. \tag{40}$$

## Quantification of the activation limit

380 kinetic suppression of excessive amounts of active Erk might 431 rylated Erk.

383 Mek is activated, maximal levels of active Erk can be found Using the analytical solution from equation (33) we can  $_{334}$  at 2.3  $\mu$ M which is about 3 fold more than the average Erk now derive the concentration of Erk at which ppErk is maximal. <sup>365</sup> expression level measured in HeLa cells (Fig. 4B). Also, only <sup>386</sup> 2% of Erk is activated at the peak, which means that 5% 387 Mek activity is attenuated to only 2% of Erk activation at <sup>388</sup> the peak. For the physiological concentration of Erk, at 0.74  $_{389}$   $\mu$ M, indicated with the dashed vertical line in Fig. 4B, the <sup>390</sup> relative Erk activation is at 4.5%. Single phosphorylated Erk approaches a limit, which accords to 0.67  $\mu$ M. 391

> With the help of the analytic equations derived here, 392 <sup>393</sup> the maximal activation level of a target can be estimated <sup>394</sup> for any single or dual phosphorylation cycle, given that the 395 catalytic rates are known. In case of Erk activation in HeLa cells, the mechanism which limits Erk activation is effective 396 already at 3x overexpression, which can be considered mild 397 in comparison to the observation that Erk concentrations vary <sup>399</sup> about 3 fold between clonal cells (39).

#### **Different phosphatases can be involved in Erk** deactivation 401

<sup>402</sup> So far we have assumed that one enzyme is responsible for 403 (de)phosphorylation of threonine and tyrosine on Erk. But 404 dual-specificity phosphatases are a class of phosphatases 405 whose expression is highly regulated in concentration and <sup>406</sup> location (40). Under some circumstances they might not even <sup>360</sup> Note that this model will always create a bell-shaped response <sup>407</sup> be the main phosphatases responsible for deactivation of Erk. as it is built on the assumption that the phosphatases cannot 408 In the scenario where dephosphorylation of threonine and saturate - changing any parameter in this model will on;y 409 tyrosine is carried out by two different phosphatases, the alter the position and/or height of the peak of activation. 410 activity ratio of kinase and phosphatase may differ in the two The maximal ppErk level is proportional to the square of 411 cycles. To test the prerequisite for the bell-shaped response the kinase concentration which reflects the two step nature 412 under these circumstances we have adapted the basic model of the activation process. A higher affinity of the kinase to 413 to include two different phosphatases as shown in the scheme 414 in Fig. 5A.

> When the two phosphatases outcompete the kinase in 415 <sup>416</sup> both cycles, ppErk shows the same non-linear profile as was seen before (Fig. 5B). The bell-shaped response of ppErk <sup>418</sup> is also found when phosphatase 1 has a larger turnover rate <sup>419</sup> than the kinase, but not phosphatase 2 (Fig. 5C). However, 420 if only the phosphatase 2 has a higher maximum turnover <sup>421</sup> rate than the kinase, the formation of single phosphorylated 422 Erk is proportional to the amount of available Erk and ppErk 423 approaches a limit like in a single modification cycle (Fig. 424 5D).

It can be concluded that as long as the phosphatase domi-425 426 nates the activity of the kinase in at least one cycle, activation <sup>427</sup> of Erk is limited even at higher expression levels. However, the 428 model with two phosphatases clearly shows that a dominant Using the equations (39) and (40) with the kinetic parameters 429 activity of the phosphastase within the first phosphorylation measured in HeLa cells we can now estimate whether the 430 cycle is sufficient for the bell-shaped profile of dual phospho-

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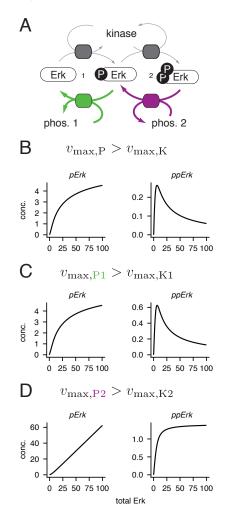


Figure 5: Limited activation in dual phosphorylation cycles where different phosphatases catalyse the first and second dephosphorylation.

A, The basic model was modified to a scheme in which two different phosphatases deactivate Erk (see Material and Methods section). We show the steady state amounts of pErk and ppErk for different levels of total Erk when  $v_{max}$  of the phosphatase exceeds the level of  $v_{max}$  of the kinase in both cycles (B) and when the phosphatase has a higher maximum turnover rate than the kinase in only one out of the two cycles as indicated at the top of the panels C and D.

#### Prediction for the ordered model of Erk modification 433

435 437 441 from pYpTErk to pYErk do not occur (see model equations in <sup>442</sup> Material and Methods and a model scheme in Fig. 6A). From <sup>443</sup> the results above we concluded that the bell-shaped response of active pYpTErk occurs only if the maximum turnover rate <sup>445</sup> of the phosphatase exceeds the maximum turnover rate of the kinase within the first phosphorylation cycle. To test whether 446 447 this condition still holds, we simulate the stationary amount 448 of active Erk while varying the total amount of Erk with a <sup>449</sup> parameter set in which the concentration of the kinase and the phosphatase equal 1  $\mu$ M and all other kinetic parameters are 450 set to 1. Now the first phosphorylation cycle in the ordered 451 scheme constitutes the cycle between Erk and pYErk. If we 452 set the rate constant  $k_{catdy1}$  to 2 (while keeping all other pa-453 rameters at 1), the condition for the bell-shaped pYpTErk is 454 fulfilled. And indeed we find the previous saturation of pYErk 455 to a limit value and a bell-shaped profile of pYpTErk (see Fig. 456 6B). In contrast, as pTErk is only created from pYpTErk in 457 this ordered scheme, this species also shows a bell-shaped 458 response curve. Here, the kinase is saturated in complex C1 460 and the phosphatase operates far from saturation, as described previously. 461

Alternatively, one can ask what happens when we assume 462 <sup>463</sup> that the dephosphorylation rate from pTErk exceeds the rate of phosphorylation from Erk to pYErk, by setting all parameters 464 to 1 but the rate  $k_{\text{catdt1}} = 2$  (Fig. 6C). Here, significant amounts 465 of pYErk can be formed which serve as substrate to the second 466 step of phosphorylation. In consequence, we see a plain limit 467 to the amount of active pYpTErk, as would be the behaviour in 468 <sup>469</sup> a single modification cycle for high levels of substrate. Again, <sup>470</sup> pTErk has the same concentration profile as pYpTErk, because 471 it is only being created from it. Interestingly, both the kinase and the phosphatase are drawn into the first phosphorylation 472 cycle here, i.e. the kinase is sequestered in complex C1 and 473 <sup>474</sup> the phosphatase in complex DY1.

We can conclude that we still find a bell-shaped pYpTErk 475 476 response profile when the dephosphorylation rate of the ty-477 rosine residue of Erk's activation loop exceeds the phospho-478 rylation rate of this residue. However, also when dephospho-479 rylation of the threonine residue dominates the activating 480 phosphorylation, we find robustness of the signaling off-state 481 to increasing amounts of total Erk, as pYpTErk does not rise <sup>482</sup> in a linear fashion, but approaches a limit.

### CONCLUSION

<sup>484</sup> When the activity of a signaling protein is modified by the 485 addition of one phospho-group, the signaling off-state is robust 486 to increasing amounts of the protein itself, as the modifying 434 Experimental evidence supports the hypothesis that the acti- 487 kinase saturates eventually. If the activity of a protein is vating and deactivating modification of Erk proceeds in an 488 regulated by two consecutive phosphorylation events, the ordered fashion: Erk is phosphorylated and dephosphorylated 489 formation of dual phosphorylated active protein at increasing on tyrosine first. We have built a model to account for this 490 levels of protein is suppressed even further as the modifying 438 by explicitly considering the 3 different states of phospho- 491 kinase gets saturated with its substate and additionally gets <sup>439</sup> rylated Erk, pYErk, pTErk and pYpTErk. We assume that <sup>492</sup> sequestered within the first phosphorylation step, which makes <sup>440</sup> the conversion from Erk to pTErk as well as the conversion <sup>493</sup> it less available for catalysis of the second phosphorylation step.

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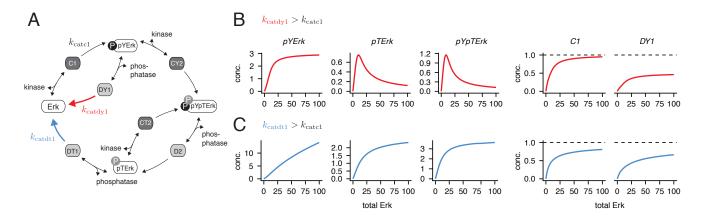


Figure 6: Limits to active Erk in the ordered model of Erk (de)activation.

A, According to the ordered model Erk is phosphorylated and dephosphorylated on tyrosine first. B, Simulation of steady state of the different modification states of Erk and of the enzyme-substrate complexes C1 and DY1 when varying the amount of total Erk. All kinetic parameters and concentrations of modifying kinase and phosphatase have been set to 1, except for  $k_{catdy1} = 2$ . As a consequence  $v_{max}$  of pYErk dephosphorylation exceeds  $v_{max}$  of Erk phosphorylation to pYErk. C, Like in B, but now  $k_{catdt1}$  is the only parameter set to 2, which makes  $v_{max}$  of pTErk dephosphorylation larger than  $v_{max}$  of Erk phosphorylation to pYErk.

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The prerequisite for this phenomenon is the distributive nature 520 of two-step activation. As of now there is no clear consensus 521 as to whether Erk is activated in a distributive fashion in 522 496 497 vivo. However if so, the kinetic suppression of excessive 523 498 amounts of active Erk described here in combination with the 524 <sup>499</sup> multitude of negative feedbacks present in MAPK signaling 525 <sup>500</sup> might explain why increasing expression of Erk alone would 526 not confer a growth advantage to cells and why overexpression 527 <sup>502</sup> of Erk is rarely found in cancer in contrast to e.g. the frequent overexpression of receptors of the HER family. 503 528

## **AUTHOR CONTRIBUTIONS**

<sup>505</sup> FW carried out all simulations and analytical calculations. <sup>506</sup> NB and FW designed the research and wrote the article.

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