

1 **Combination of tenofovir and emtricitabine with efavirenz does not moderate inhibitory**
2 **effect of efavirenz on mitochondrial function and cholesterol biosynthesis in human T**
3 **lymphoblastoid cell line**

4
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13 **Conflicts of interest:** No conflicts of interest declared by all authors

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15 **Running Title:** Efavirenz inhibits mitochondrial respiration

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20 **ABSTRACT**

21 Efavirenz (EFV), the most popular non-nucleoside reverse transcriptase inhibitor, has been
22 associated with mitochondrial dysfunction in most *in vitro* studies. However, in real life the
23 prevalence of EFV-induced mitochondrial toxicity is relatively low. We hypothesized that the
24 agents given in combination with EFV might moderate the effect of EFV on mitochondrial
25 function. To test this hypothesis, we cultured human T lymphoblastoid cell line (CEM cells) with
26 EFV alone and in combination with emtricitabine (FTC) and tenofovir disoproxil fumarate (TDF)
27 to investigate the effects on mitochondrial respiration and function and cholesterol biosynthesis.
28 There was a statistically significant concentration- and time-dependent apoptosis, reduction in
29 mitochondrial membrane potential ($\Delta\Psi$), and increase production of reactive oxygen species
30 (ROS) in cells treated with either EFV alone or in combination with TDF/FTC. EFV treated
31 cells compared to DMSO treated cells had significant reduction in oxygen consumption rate
32 (OCR) contributed by mitochondrial respiration, ATP production-linked respiration, and spare
33 respiratory capacity (SRC). Treatment with EFV resulted in a decrease in mitochondrial DNA
34 content, and perturbation of more coding genes (n=13); among these were 11 genes associated
35 with lipid or cholesterol biosynthesis. Our findings support the growing body of knowledge on
36 the effects of EFV on mitochondrial respiration and function and cholesterol biosynthesis.
37 Interestingly, combining TDF/FTC with EFV did not alter the effects of EFV on mitochondrial
38 respiration and function and cholesterol biosynthesis. The gap between the prevalence of EFV-
39 induced mitochondrial toxicity *in vitro* and *in vivo* studies may be explained by individual
40 differences in the pharmacokinetic of EFV.

41 **Key words:** Efavirenz, antiretroviral toxicity, mitochondria, respiration, cholesterol biosynthesis

42

43 INTRODUCTION:

44 Combination antiretroviral therapy (ART) has resulted in a decrease in AIDS-related
45 morbidity and mortality (1), though the therapeutic benefit of ART is often limited by delayed
46 toxicity (2). Although contemporary ART regimens compared to early ART regimens are less
47 toxic(3, 4), toxicity is still pervasive and affects a significant number of people living with HIV
48 (4, 5). *In vitro* studies demonstrated that inhibition of polymerase gamma (Pol- γ), enzyme
49 responsible for mitochondrial DNA replication, by nucleoside reverse transcriptase inhibitors
50 (NRTIs) leads to depletion of mitochondrial DNA (mtDNA) and subsequent mitochondrial
51 dysfunction(6, 7); the “Pol- γ inhibition” hypothesis. However, there is a growing body of
52 knowledge to suggest that ART-associated mitochondrial dysfunction cannot be explained solely
53 by Pol- γ inhibition(8, 9). For instance, other classes of ART such as protease inhibitors (PIs) and
54 non-nucleoside reverse transcriptase inhibitors (NNRTIs) do not inhibit Pol- γ and yet they cause
55 side effects akin to mitochondrial dysfunction(8, 10). Taken together, there must be alternative or
56 additional mechanisms by which ART impairs mitochondrial function.

57 Efavirenz (EFV), the most popular NNRTI and a key component of several ART
58 regimens, has been associated with metabolic disorders(11), hepatic toxicity (12, 13), diminished
59 bone density (14), neuropsychiatric symptoms(15, 16), and neurocognitive impairment (17).
60 Although the underlying cellular and molecular mechanisms of EFV-induced toxicity are still not
61 well understood, several *in vitro* and *in vivo* studies have implicated mitochondrial dysfunction
62 as the underlying mechanism. EFV affections on mitochondria include decrease in mitochondrial
63 membrane potential, inhibition of OXPHOS complex I enzymes, decrease in oxygen
64 consumption, and increase production of mitochondrial reactive oxygen species (ROS)(8, 18, 19).

65 With this litany of effects of EFV on mitochondria, one would have expected a much
66 higher incidence of EFV-associated toxicity in patients. The incidence of severe EFV-associated
67 neuropsychiatric symptoms is less than 2% of patients (15, 20); and severe hepatic toxicity is up
68 to 8% of patients (12, 13). In real life, EFV is given in combination with other antiretroviral
69 agents. We, therefore, hypothesized that the agents given in combination with EFV might
70 moderate the effect of EFV on mitochondrial function; hence, the relatively low incidence of
71 EFV-induced mitochondrial toxicity in patients. To test this hypothesis, we cultured human T
72 lymphoblastoid cell line (CEM cells) with EFV alone and in combination with emtricitabine
73 (FTC) and tenofovir disoproxil fumarate (TDF) to investigate the effects on mitochondrial
74 function and cholesterol biosynthesis.

75 **RESULTS**

76 **EFV treatment decreased CEM cell growth**

77 We treated CEM cells with EFV, TDF/FTC, or TDF/FTC/EFV at multiples of their respective
78 C_{max} (i.e., 1x-, or 2x- C_{max}). Growth of cells treated with antiretroviral (ARV) drugs compared to
79 DMSO-treated cells was monitored. All the ARVs tested affected CEM cell growth to a certain
80 degree in a concentration- and time-dependent manner except for TDF/FTC combination (Figure
81 1A). At day 1, EFV treatment (1x-, and 2x- C_{max}) reduced cell growth more than TDF/FTC/EFV
82 combination treatment. At day 2, the effect of treatment with EFV alone on cell growth was
83 comparable to that of treatment with TDF/FTC/EFV combination.

84

85 **EFV treatment increased proportion of apoptotic cells**

86 Mitochondria are central to the process of cell apoptosis. We, therefore, investigated the effect of
87 exposure of CEM cells to EFV, TDF/FTC, or TDF/FTC/EFV on apoptosis. We determined cell

88 death/apoptosis using PI/Annexin-V flow cytometry at day 1 and day 2 (21). Figure 1B
89 illustrates the fold-change in apoptosis in ARV treated cells compared to DMSO treated cells.
90 We observed a statistically significant concentration- and time-dependent apoptosis in cells
91 treated with either EVF alone or in combination with TDF/FTC. There was no statistically
92 significant difference between cells treated with TDF/FTC at the two concentration and cells
93 treated with DMSO.

94

95 **EFV treatment decreased mitochondrial membrane potential in cell culture**

96 We next investigated the effect of EFV, TDF/FTC, or TDF/FTC/EFV treatment on
97 mitochondrial membrane potential ($\Delta\Psi$) of CEM cells using TMRE (tetramethylrhodamine,
98 ethyl ester). Compared to cells treated with DMSO, cells treated with both concentrations of
99 EFV or TDF/FTC/EFV showed statistically significant higher proportion of cells with decreased
100 mitochondrial $\Delta\Psi$ after 1 and 2 days in culture in a dose- and time-dependent manner (Figure
101 2A). We did not observe any statistically significant difference between cells treated with
102 TDF/FTC at the two concentrations compared to cells treated with DMSO.

103 **EFV treatment increased mitochondrial ROS production**

104 Loss of mitochondrial $\Delta\Psi$ is associated with oxidative stress and, therefore, increased
105 mitochondrial production of ROS(22). We next investigated whether the decreased in
106 mitochondrial $\Delta\Psi$ in cells treated with EFV translated to an increase in production of ROS. ROS
107 production was determined using MitoSOX™ Red mitochondrial superoxide indicator. As
108 illustrated in Figure 2B, cells treated with EFV and TDF/FTC/EFV produced significantly higher
109 ROS compared to cells treated with DMSO in a time-dependent manner. There was no
110 statistically significant production of ROS in cells treated with TDF/FTC combination on day 1.

111 **EFV treatment reduced mitochondrial DNA (mtDNA) content**

112 With the effect of EFV treatment alone and in combination with TDF/FTC on cell growth,
113 apoptosis, mitochondrial $\Delta\Psi$, and ROS production presented above, we investigated whether
114 these findings are associated with changes in mtDNA content. We determined the mtDNA
115 content on day 2 of CEM cells treated with EFV, TDF/FTC, or TDF/FTC/EFV compared to cells
116 treated with DMSO (Figure 2C). We observed a statistically significant decrease in the mtDNA
117 of CEM cells treated with $1x-C_{max}$ of EFV and TDF/FTC/EFV. There was no significant change
118 in mtDNA in cells treated with TDF/FTC compared to cells treated with DMSO.

119 **EFV altered mitochondrial respiratory function of CEM cells**

120 We next used a more sensitive extracellular flux analysis to investigate the effect of EFV on
121 mitochondrial respiratory parameters (23) –basal respiration rate, ATP production-linked rate,
122 proton leakage rate, maximum respiratory capacity (MRC), spare respiratory capacity (SRC),
123 and respiratory control ratio (RCR)—in CEM cells. Sequential addition of inhibitors of the
124 electron transport chain (ETC) –oligomycin, carbonyl cyanide-4-
125 (trifluoromethoxy)phenylhydrazone, FCCP, and a mixture of rotenone and antimycin—
126 was used to obtain data on the relative oxygen consumption rate (OCR) of different components
127 of mitochondrial respiratory function. The relative OCR was computed using the area under the
128 curve of each component of mitochondrial function as illustrated in Figure 3A. Treatment with
129 EFV at the two concentrations decreased mitochondrial respiration by about 22% (Figure 3B).
130 Treatment with TDF/FTC at $1x C_{max}$ had no effect on mitochondrial respiration, however,
131 treatment with $2x C_{max}$ led to a 25% increase in mitochondrial respiration. EFV at $1x C_{max}$ and
132 $2x C_{max}$ concentrations decreased the ATP production-linked respiration by 51% and 39%,
133 respectively. However, there was no significant effect of any of the combination treatment on

134 ATP-production-linked respiration. Treatment with TDF/FTC/EFV at $2x C_{max}$ reduced MRC by
135 27% . All the treatment conditions resulted in significant decrease in SRC (ranging from 23%
136 to 44%) compared to DMSO treatment, except treatment with $1x C_{max}$ of TDF/FTC. We
137 computed the parameters in comparison to basal respiration, expressed as a percentage of basal
138 respiration (Figure 3C), where basal respiration is the combination of mitochondrial and non-
139 mitochondrial respirations. In DMSO treated cells, about 70% of the basal respiration was used
140 for ATP production, however, this percentage dropped to 50% with EFV treatment at the two
141 concentrations (Figure 3C). TDF/FTC treatment did not have an effect on the percentage of basal
142 respiration used to ATP production. Only treatment with $2x C_{max}$ TDF/FTC and $2x C_{max}$
143 TDF/FTC/EFV had significant decrease in the percentage of MRC used for basal respiration
144 ($p < 0.05$). Treatment with $1x C_{max}$ EFV and $2x C_{max}$ TDF/FTC/EFV caused a significant increase
145 in proportion of proton leak contributing to basal respiration. All treatments tended to reduce the
146 relative contribution of SRC to basal respiration, however, only treatment with $1x C_{max}$
147 TDF/FTC, $2x C_{max}$ TDF/FTC, and $2x C_{max}$ TDF/FTC/EFV led to statistically significant reduction
148 ($p < 0.05$). Treatment with $1x C_{max}$ and $2x C_{max}$ EFV increased the contribution of non-
149 mitochondrial respiration to basal respiration. The RCR is a sensitive indicator of mitochondrial
150 dysfunction that is influenced by all components of ETC (18). RCR was computed as the ratio
151 between the maximal respiration and the respiration rate detected in the presence of oligomycin
152 (i.e., ATP production-linked respiration). All the treatment conditions tended to decrease RCR
153 compared to DMSO treatment, however, only treatment with $2x C_{max}$ of TDF/FTC/EFV resulted
154 in a statistically significant decrease in RCR (Figure 3D).

155 **Gene expression profiles of CEM cells treated with EFV, TDF, FTC, and TDF/FTC**

156 We investigated the differential gene expression profiles of CEM cell treated with EFV (1x C_{max}),
157 TDF (4x C_{max}), FTC (4x C_{max}), and TDF/FTC (4x C_{max}) using Affymetrix GeneChip Human Gene
158 2.0 ST arrays. We used 4x C_{max} of TDF and FTC because at lower concentrations, there was no
159 significant differential gene expression. The number of genes expressed with at least 2-fold
160 difference over cells treated with DMSO are illustrated in Figure 4A. There were no genes
161 expressed at the 2-fold threshold with FTC treated cells. Total number of coding genes perturbed
162 by TDF/FTC treatment was 3. EVF treatment led to perturbation of more coding genes (n=13);
163 among these were 11 genes associated with lipid or cholesterol biosynthesis. Figures 4B and 4C
164 illustrate the genes that were either down- or up-regulated by EFV and TDF/FTC treatment,
165 respectively.

166 **EFV treatment was associated with down-regulation of genes associated with cholesterol**
167 **biosynthesis**

168 With the finding of downregulation of genes of cholesterol biosynthesis by EFV and previously
169 reported effect of EFV on the lipogenic transcription factor sterol regulatory element binding
170 protient-1c (SREBP-1c) (24), we sought to validate our microarray data with quantitative PCR
171 (Figure 5). Relative gene expression in cells treated with antiretroviral drugs was compared to
172 gene expression in cells treated with DMSO. Cells treated with EFV had a significant reduction
173 in 3-hydroxy-3-methylglutaryl-CoA synthases, HMGCS, ($p<0.01$) and 3-hydroxy-3-
174 methylglutaryl-CoA reductase, HMGCR, ($p<0.05$) expressions (Figure 5A). Combination
175 treatment with TDF/FTV/EFV also decreased the expressions of HMGCS ($p<0.01$) and HMGCR
176 ($p<0.05$). TDF/FTC treatment did not have any significant effect on the expressions of HMGCS
177 and HMGCR. All treatment conditions had significant effect on insulin induced gene-1(INSIG-1)
178 but not insulin induced gene-2 (INSIG-2) (Figure 5B). EFV treatment resulted in significant

179 expressions of sterol regulatory element binding protein-1 (SREBP1) ($p < 0.05$) (Figure 5C), low
180 density lipoprotein receptor (LDLR) ($p < 0.05$), and squalene epoxidase (SQLE) ($p < 0.05$) (Figure
181 5D). None of the treatments compared to DMSO treatment had significant effect on the
182 expressions of AMP-activated protein kinase (AMPK) –PRKAA1, PRKAA2, and PRKAB
183 (Figure 5E).

184 **DISCUSSION**

185 In this study, we sought to investigate whether combining EFV with TDF/FTC will
186 moderate reported EFV-associated mitochondrial dysfunction (8, 18, 25-27) and perturbation of
187 cholesterol biosynthesis (8, 28, 29). Most of the reported EFV-induced toxicities are from *in*
188 *vitro* studies where cells were treated with EFV alone. However, in real life, EFV is used in
189 combination with other ARVs such as TDF and FTC. Combination of TDF/FTC/EFV is one of
190 the most popular ART regimens globally. We conducted comprehensive assessment of
191 mitochondrial function from mtDNA content to mitochondrial respiration –using extracellular
192 flux analysis, the gold standard for analyzing mitochondrial respiration in intact cells (18). EFV
193 treated cells compared to DMSO treated cells had significant reduction in OCR contributed by
194 mitochondrial respiration, ATP production-linked respiration, and SRC, increased apoptosis,
195 high proportion of cells with reduced mitochondrial $\Delta\Psi$, increased production of ROS, decreased
196 mtDNA content, and downregulation of genes involved in cholesterol biosynthesis in a dose- and
197 time-dependent manner. Interestingly, treatment of cells with TDF/FTC/EFV combination had
198 similar effect on mitochondrial function and cholesterol biosynthesis to treatment with EFV
199 alone. Thus our findings do not support our hypothesis that agents given in combination with
200 EFV moderate the effect of EFV on mitochondrial function leading to less prevalence of EFV-

201 induced mitochondrial dysfunction in real time. If any, the effect of TDF and FTC on EFV-
202 induced toxicity was marginal.

203 The threshold at which mitochondrial dysfunction will translate into toxic phenotype in a
204 cell is not well understood; it depends on the type and energy requirement of the cell (30). In
205 CEM cells treated with EFV, we observed a reduction in mitochondrial respiration, ATP
206 production-linked respiration, and SRC (Figure 3B). These findings are consistent with effect of
207 EFV on mitochondrial respiratory parameters in human glioma, neuroblastoma, and HepG2 cell
208 lines (18, 31) and primary cultures of rat neurons and astrocytes (25) reported in previous studies.
209 In these studies, EVF was cultured with the cells for 1 h to 24 h, while we extended treatment for
210 48 hrs. The SRC of a cell translates into the ability of the cell to survive and function under high
211 energy demanding conditions (18). Decrease in SRC has been associated with heart diseases,
212 neurodegenerative disorders and aging (32). Interestingly, the prevalence of these conditions is
213 on the rise in HIV treatment-experienced individuals(33, 34). We also observed an increase in
214 non-mitochondrial respiration with all treatment conditions (Figure 3B). Non-mitochondrial
215 respiration accounts for other oxygen-consumption processes in the cell that do not involve the
216 ETC. The increase in non-mitochondrial respiration suggests mitochondria dysfunction leading
217 to a compromised ETC. Takemoto et al. recently reported that HIV-infected youth with insulin
218 resistance had lower mitochondrial respiratory parameters and concluded that disordered
219 mitochondrial respiration may be a potential mechanism of insulin resistant in HIV-infected
220 youth (35).

221 Moreover, we investigated the effect of EFV on other functions of mitochondria. CEM
222 cells treated with either EFV alone or in combination with TDF/FTC showed a higher proportion
223 of non-viable cells (Figure 1A) and increased apoptosis (Figure 1B) in time- and dose-dependent

224 manner. These findings are consistent with other reports; EFV has been reported to decrease cell
225 viability and increase apoptosis in different cell types –primary human hepatocytes and HepG2
226 cell line (8, 36), pancreatic cancer cell lines (37), human endothelial cell lines (38), human T
227 lymphocytes (Jurkat cell lines) (39), and PBMCs (39). Mitochondrial function depends on intact
228 mitochondrial membrane (40). The loss of mitochondrial $\Delta\Psi$ is believed to be the first and
229 crucial step in mitochondrial dysfunction, which triggers a cascade of events leading ultimately
230 to cell death(27, 41). It is, therefore, not surprising that the effect of EFV on mitochondrial $\Delta\Psi$
231 (Figure 2A) paralleled the effect of EFV on apoptosis (Figure 1B). This is consistent with other
232 studies that reported that treatment with EFV led to reduced mitochondrial $\Delta\Psi$ in several cell
233 types(25, 26). Mitochondrial dysfunction and compromise of ETC lead to increase production
234 of ROS. Treat with EFV alone and in combination with TDF/FTC resulted in a time-dependent
235 increase in ROS production. This finding is consistent with the effect of EFV on the production
236 of ROS in hepatocytes (8). Treatment with EFV also resulted in about 50% reduction in mtDNA
237 compared to treatment with DMSO. Although, EFV does not inhibit Pol- γ , we observed mtDNA
238 depletion in CEM cells treated with $1 \times C_{\max}$ of EFV; this is consistent with previous report using
239 human hepatoma cells Huh 7.5 (27). Thus, EFV has several affections on mitochondria. In a
240 study comparing Hep3B cells with functional mitochondria (rho+) and Hep3B cells lacking
241 functional mitochondria (rho $^{\circ}$), cells with functional mitochondria were more sensitive to the
242 toxic effects of EFV (42).

243 Mitochondria play a role in cholesterol and lipid metabolism (43). In a microarray
244 analysis, we observed a downregulation of the expression of cholesterol biosynthesis genes in
245 CEM cells treated with EFV. We validated these data using qPCR assay to investigate the
246 expression of genes involved in synthesis (HMGCS, HMGCR), regulation (SREBP1, SREBP2,

247 INSIG-1, INSIG-2, PARKAA1, PRKAA2, PRKAB), and uptake (LDLR, SQLE). EFV treatment
248 resulted in downregulation of HMGCS, HMGCR, INSIG-1, SREBP1, LDLR, and SQLE.
249 Intracellular cholesterol is tightly regulated by cholesterol uptake, *de novo* synthesis, and efflux
250 out of the cell (44). Our findings of downregulation of synthesis, uptake, and regulatory
251 cholesterol biosynthesis genes imply that EFV might result in accumulation of intracellular
252 cholesterol. These findings are consistent with the findings of Feeney et al. (44) in a case control
253 study of expression of cholesterol biosynthesis genes in monocytes of HIV treatment-
254 experienced, HIV treatment-naïve, and HIV-uninfected individuals. Blas-Garcia et al. also
255 reported that EFV treatment of Hep3B cells and primary human hepatocytes resulted in
256 intracellular accumulation of lipids (8). They concluded that EFV-induced mitochondrial
257 dysfunction resulted in the activation of AMPK leading to intracellular accumulation of lipids. In
258 our study, EFV did not have significant effect on AMPK (PRKAA1, PRKAA2, PRKAB) (Figure
259 5D). Moreover, we also observed that cells treated with EFV had decreased in the expression of
260 INSIG-1. Under normal regulation of cholesterol homeostasis, depletion of intracellular
261 cholesterol leads to increase expression of INSIG-1 (45). Our finding of decreased expression of
262 INSIG-1, therefore, supports the hypothesis that EFV treatment may lead to intracellular
263 accumulation of cholesterol. In contrast, Hadri et al. reported that treatment of human
264 adipocytes with EFV resulted in depletion of intracellular triglycerides and at the same time a
265 decrease in the expression of SREBP-1c (24). This differential effect of EFV on intracellular
266 lipids may be due to the different types of cells or duration of treatment used in these studies.
267 The C_{max} of EFV used in our experiments is about 12.7 μ M, which is within the range of the
268 plasma concentration of EFV in individuals receiving a daily dose of 600 mg of EFV (3.17 –
269 12.67 μ M) (46). There is marked individual variability in plasma concentration of EFV; certain

270 individuals can achieve plasma concentration over and above the $2x C_{max}$ used in our experiments.
271 Individuals with certain polymorphisms in the cytochrome P450 (CP450) gene could have
272 plasma concentrations ranging from 30 – 80 μM (47-50). The individual differences in EFV
273 plasma concentration may partly explain why certain patients on EFV-based therapy do not
274 experience effects of EFV on mitochondrial function. Ganta et al. detected EFV by HPLC in
275 purified mitochondrial lysate from Huh 7.5 and HepaRG cells after 12 h of treatment with 6.25
276 μM (27). The investigators explained that since EFV is hydrophilic it can pass freely through the
277 outer mitochondrial membrane and initiate depolarization of the inner mitochondrial membrane
278 and subsequent mitochondrial dysfunction (27). This theory may be consistent with the
279 individual differences in the mitochondrial affections of EFV.

280 Our study, like all *in vitro* studies, has several limitations. First, we could not possibly
281 mimic or take into account all the complex biological pathways and processes that occur *in vivo*.
282 Second, the experiments were conducted using a cancer cell line whose cellular bioenergetics
283 may not be fully comparable to that of primary cells (18). We chose CEM cells because they can
284 be infected with HIV. Therefore, they can be used to tease out the relative contributions of HIV
285 infection and ART in mitochondrial dysfunction and cholesterol biosynthesis in future studies.
286 Third, one might argue that 2 days of culture may not be adequate to tease out the delayed effect
287 of EFV on mitochondria in real life. However, our duration is consistent with the duration used
288 in several *in vitro* studies of ART toxicity (51, 52) and the decrease in viability of CEM cells
289 with EFV treatment did not allow us to go beyond 48 h of culture.

290 In conclusion, EFV treatment resulted in reduction in mitochondrial respiratory
291 parameters. Moreover, we observed increased apoptosis, high proportion of cells with reduced
292 mitochondrial $\Delta\Psi$, increased production of ROS, decreased mtDNA content, and downregulation

293 of genes involved in cholesterol biosynthesis in cells treated with EFV. Interestingly, combining
294 TDF/FTC with EFV did not alter the effects of EFV on mitochondrial function. The main
295 rationale of our study was to understand the gap between reported *in vitro* and *in vivo* EFV-
296 induced toxicity. This gap may be due to individual differences in the pharmacokinetic of EFV.
297 EFV can diffuse into the mitochondrial and initiate mitochondrial dysfunction (27). Thus,
298 individuals who achieve higher tissue concentrations of EFV may have a predilection for EFV-
299 induced mitochondrial dysfunction. Further studies are needed to elucidate the underlying
300 mechanisms.

301 **METHODS AND MATERIALS**

302 **Antiretroviral agents**

303 EFV was obtained from the NIH AIDS Reagent Program (Germantown, MD, USA). FTC and
304 TDF were purchased from Selleckchem (Houston, TX, USA). All the drugs were dissolved in
305 dimethyl sulfoxide (DMSO). DMSO was purchased from Sigma-Aldrich (St. Louis, Missouri, USA).

306 **Cell culture and cell viability**

307 Human T lymphoblastoid cell line (CEM cells) was cultured in RPMI 1640 supplemented with
308 10% dialyzed fetal calf serum (Thermo Fisher Scientific, NY, USA). Cells were incubated at
309 37°C in a 5% CO₂ humidified environment. 3×10^4 /ml cells (total volume of 40 ml) were
310 incubated with EFV, FTC, or TDF at multiples of plasma peak concentration, e.g., $1 \times C_{\max}$, or
311 $2 \times C_{\max}$. The cells were collected for cell count and the experiments described below each day.
312 Aliquots of cells were stained with Trypan blue to distinguish live cell from dead cells and the
313 number of live cells was counted using hemocytometer. For each treatment condition at least
314 three cell culture experiments were conducted on different occasions.

315 **Apoptosis assay**

316 Apoptosis was assessed by staining with an FITC Annexin V Apoptosis Detection Kit I (BD, San
317 Jose, CA) according to the manufacturer's instructions. In brief, the cells cultured with various
318 concentrations of EFV, TDF/FTC, or TDF/FTC/EFV (1x-, or 2x- C_{max}) were harvested at day 1
319 and 2 and then 1×10^6 cells were washed with ice-cold PBS. The early apoptotic cells (Annexin
320 V+/PI-) or late apoptotic cells (Annexin V+/PI+) were evaluated by double staining with annexin
321 V-FITC and PI and then run on BD LSR II flow cytometer and analyzed with BD FACSDiva
322 software (San Jose, CA). Each experiment was done in triplicates and repeated on at least three
323 occasions.

324 **Mitochondrial membrane potential ($\Delta\Psi$)**

325 Mitochondrial function depends on intact mitochondria membranes that can maintain an
326 electrochemical potential generated by the electron transport chain, therefore, mitochondrial $\Delta\Psi$
327 is a good measure of mitochondrial functional capacity. Mitochondrial $\Delta\Psi$ was analyzed using
328 TMRE-Mitochondrial Membrane Potential Assay Kit (Abcam, Cambridge, MA) according to the
329 manufacturer's instructions. TMRE (tetramethylrhodamine, ethyl ester) is a cell permeant,
330 positively-charged, red-orange dye that readily accumulates in active mitochondria due to their
331 relative negative charge. Depolarized or inactive mitochondria have decreased membrane
332 potential and fail to sequester TMRE. At day 1 and 2 of treatment, cells were collected and
333 stained with 200 nM TMRE respectively for 20 min at room temperature. The reaction was
334 stopped by adding 300 μ l of PBS and immediately analyzed on BD LSR II flow cytometer (BD,
335 San Jose, CA) and with BD FACS Diva software. Each experiment was done in triplicates and
336 repeated on at least three occasions.

337 **Mitochondrial reactive oxygen species production**

338 Production of ROS by mitochondria was determined using MitoSOX™ Red mitochondrial
339 superoxide indicator, a novel fluorogenic dye for highly selective detection of superoxide in the
340 mitochondria of live cells, accordingly to manufacturer's instructions. Treated cells were washed
341 once with wash buffer before incubation with 5 µM MitoSOX™ reagent working solution at
342 room temperature for 10 min. The cells were then washed and run on LSRII flow cytometry
343 (Beckman Coulter). Each experiment was done in triplicates and repeated on at least three
344 occasions.

345 **Mitochondrial DNA quantification**

346 To assess mtDNA content, genomic DNA was extracted from CEM cells treated with EFV,
347 TDF/FTC, or TDF/FTC/EFV using TRIzol® Reagent according to manufacturer's instructions.
348 Fragment of MT-TL1 gene (encodes tRNA leucine 1) and 18 S rRNA nuclear gene were
349 amplified using quantitative RT-PCR as previously described (53). The primers for
350 mitochondrial fragment (MIT) and 18S were (MIT Forward, 5'
351 AGGACAAGAGAAATAAGGCC and MIT Reverse, 5'
352 TAAGAAGAGGAATTGAACCTCTGACTGTAA) and (18S Forward, 5' TAC CTG GTT GAT
353 CCT GCC AGT and 18S Reverse, 5' GAT CCT TCC GCA GGT TCA CCT AC), respectively.
354 Each experiment was done in duplicate and repeated on at least three occasions. The relative
355 mtDNA content was determined as the ratio of mtDNA to nuclear DNA. Final data for each
356 treatment condition represent the mean and standard deviation (SD) from at least three cell
357 culture experiments.

358 **Mitochondrial respiratory function**

359 Mitochondrial respiratory function was determined using the Seahorse XF-96 Extracellular Flux
360 Analyzer (Agilent Technologies, Inc., Wilmington, DE, USA) according to manufacturer's
361 instructions ([www.agilent.com/en-us/products/cell-analysis-\(seahorse\)/basic-procedures-to-run-](http://www.agilent.com/en-us/products/cell-analysis-(seahorse)/basic-procedures-to-run-an-xf-assay)
362 [an-xf-assay](http://www.agilent.com/en-us/products/cell-analysis-(seahorse)/basic-procedures-to-run-an-xf-assay)) and as previously published (18, 35). In brief, the Agilent Seahorse uses modulators
363 of respiration that target components of the electron transport chain. The compounds
364 (oligomycin, FCCP, and a mix of rotenone and antimycin A) were serially injected to measure
365 ATP production, maximal respiration, and non-mitochondrial respiration, respectively. Proton
366 leak and spare respiration capacity (SRC) were then calculated using these parameters and basal
367 respiration. Treated CEM cells were seeded at a density of 1.2×10^5 cells per well in triplicate in
368 a 1% gelatin treated 96 -well plate. Then, the sensor cartridge was load with oligomycin, FCCP,
369 and a mixture of rotenone and antimycin A in ports A, B, and C, respectively. The cell culture
370 plate was then inserted into the analyzer and programed to ensure a homogenous environment.
371 For each phase, measurements were performed in triplicate, totaling 12 points per trace. To allow
372 for comparison between different experiments, the data obtained for each condition were
373 normalized to the cell number per well and expressed as the oxygen consumption rate (OCR) in
374 pmol/min. The experiment was repeated three times. No significant inter-assay differences were
375 detected between the three repeats with regard to appearance and viability of cell or the values of
376 OCR that were obtained.

377

378 **Microarray gene expression assay**

379 Total RNA was extracted from cell pellets using TRIzol (Life Technologies, Rockville, MD)
380 according to manufacturer's instructions. Contaminated genomic DNA was removed by treating
381 RNA samples with Ambion RNase-free DNase (Thermo Fisher Scientific) for 20 min at 37 C.

382 RNA quantity and quality were assessed by micro-volume spectrophotometry on an Infinite 200
383 PRO plate reader (Tecan, Männedorf, Switzerland) and by on-chip capillary electrophoresis on a
384 Bioanalyzer 2100 (Agilent Technologies, Santa Clara, CA), respectively. Absorbance ratio at
385 260 and 280 nm was ≥ 1.9 and the RNA integrity number (RIN) was >8 for all the samples.
386 100 ng of total RNA was amplified and labeled using the Whole-Transcript Sense Target
387 Labeling Protocol by Affymetrix (Santa Clara, CA) without ribosomal RNA reduction.
388 Affymetrix GeneChip Human Gene 2.0 ST arrays were hybridized with 11 μg of labeled sense
389 DNA, washed, stained, and scanned on an Affymetrix 7G Scanner according to the
390 manufacturer's protocols. Each experiment was repeated on at least three occasions. Affymetrix
391 Transcriptome Analysis Console TAC 3.1 was used to analyze the result. One-Way ANOVA
392 was used and significance was achieved when fold change was ≥ 2 and a p-value of < 0.05 .

393

394 **Quantitative real-time polymerase chain reaction for expression of cholesterol biosynthesis**
395 **genes**

396 RNA was extracted from aliquots of EFV-, TDF/FTC-, or TDF/FTC/EFV-treated cells using
397 TRIzol Reagent (ThermoFisher Scientific, Carlsbad, CA) according to the manufacturer's
398 instructions and used in quantitative real-time PCR as previously described (54). Melting curve
399 analysis was performed after the completion of PCR to assess the possibility of false-positive
400 results. All of the samples were run in duplicate in at least three independent experiments. The
401 genes of interest were HMGCS, HMGCR, SREBP-1 and -2, INSIG-1 and -2, LDLR, SQLE) and
402 AMPK – PRKAA1, PRKAA2 and PRKAB. The primers are listed in Supplementary Table 1.
403 The gene encoding glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was used as an
404 internal control for all reactions. The threshold cycle (CT) values of the genes were determined

405 for each treatment condition. The fold-change in gene expression was calculated as $2^{\Delta\Delta CT}$; where
406 $\Delta\Delta CT = \Delta CT_{(treated)} - \Delta CT_{(control)}$; $\Delta CT_{(treated)} = (CT_{(gene\ of\ interest)} - CT_{(GAPDH)})$; $\Delta CT_{(control)} = (CT_{(gene\ of\ interest)} - CT_{(GAPDH)})$.

408 **Data and statistical analysis**

409 All statistical analyses were performed with GraphPad Prism software with the Student's t-test.
410 Data are expressed as means \pm SD and significance was achieved when p value was <0.05 .

411

412 **Acknowledgements**

413 This study was supported by grants from the National Institutes of Health (KO8AI074404 and
414 R01 HD074252 to EP). AS was supported by an IDSA Medical Scholar Award.

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613 **Figure Legends**

614 Figure 1. Effect of efavirenz on cell viability and apoptosis in of CEM cells

615 Human T lymphoblastoid cell line (CEM cells) was treated with multiplicities of the plasma peak
616 concentrations (1x-, or 2xC_{max}) of Efavirenz (EFV), Tenofovir and Emtricitabine (TDF/FTC),
617 and TDF/FTC/EFV. A. Each day, aliquots of the cell culture were collected and live cells were
618 counted with Trypan blue staining using hemocytometer, percentage of live cells was compared
619 with DMSO-treated control cells. B. Apoptosis was assessed by staining with an FITC Annexin
620 V Apoptosis Detection Kit I. Bar graphs represent proportion of apoptotic cells after 1 and 2
621 days of treatment compared to DMSO-treated cells. Data represent at least 3 independent

622 experiments and plotted as mean \pm SD. P-values are two sided and considered significant if
623 <0.05 (*), <0.01 (**), or <0.001 (***)).

624

625 Figure 2. Effect of efavirenz treatment on mitochondrial membrane potential, ROS production
626 and mitochondrial DNA content. Human T lymphoblastoid cell line (CEM cells) was treated
627 with multiplicities of the plasma peak concentrations ($1x$ -, or $2x C_{max}$) of Efavirenz (EFV),
628 Tenofovir and Emtricitabine (TDF/FTC), and TDF/FTC/EFV. A. At day 1 and 2 the cells were
629 harvested and interrogated for mitochondrial membrane potential ($\Delta\Psi$) using TMRE-
630 Mitochondrial Membrane Potential Assay Kit with Flow cytometry. Inactive mitochondria had
631 decreased membrane potential and failed to sequester TMRE. The bar graph represents the
632 relative decrease of mitochondrial $\Delta\Psi$ in cells treated with antiretroviral drugs compared to
633 DMSO-treated control cells. B. Production of ROS was determined using MitoSOXTM assay.
634 The cells were washed once with wash buffer before incubation with 5 μ M MitoSOXTM reagent
635 working solution at room temperature for 10 min. The cells were then washed once before
636 running on LSRII flow cytometry (Beckman Coulter). The bar graph represents the fold change
637 in ROS production in cells treated with antiretroviral drugs compared to DMSO-treated control
638 cells. C. The relative mtDNA content was determined on day 2 of culture as the ratio of mtDNA
639 to nuclear DNA using quantitative PCR. Data represent at least 3 independent experiments and
640 plotted as mean \pm SD. P-values are two sided and considered significant if <0.05 (*), <0.01 (**),
641 or <0.001 (***)).

642

643 Figure 3. Effect of efavirenz treatment on mitochondrial respiratory function.

644 Human T lymphoblastoid cell line (CEM cells) was treated with multiplicities of the plasma peak

645 concentrations ($1x$ -, or $2x C_{max}$) of Efavirin (EFV), Tenofovir and Emtricitabine (TDF/FTC),
646 and TDF/FTC/EFV for 2 days. The cells were counted and washed with the calibration medium
647 and 1.2×10^5 cell were added to each of 1% gelatin coated 96 wells in triplication. A sequential
648 addition of specific inhibitors to mitochondria complex I, II, III and IV: 2 mM oligomycin (I),
649 0.6 mM FCCP (II), 0.4 mM FCCP (III) and 1 mM rotenone plus 1 mM antimycin A were done
650 according to manufacturer's instructions. A. Graphical representation of the OCR measurement
651 over time. To estimate the proportion of OCR coupled to ATP synthesis, oligomycin (an
652 inhibitor of ATP synthase—Complex V) was injected. To determine the maximal OCR, the
653 proton ionophore (uncoupler) carbonyl cyanide-4-(trifluoromethoxy)phenylhydrazone (FCCP)
654 was injected, which stimulates respiration as the mitochondrial inner membrane becomes
655 permeable to protons and electron transfer is no longer constrained by the proton gradient.
656 Maximal OCR is controlled by ATP turnover (primarily involving the adenine nucleotide
657 translocase, phosphate transporter and ATP synthase) and substrate oxidation—substrate uptake,
658 processing enzymes, relevant ETC complexes, pool sizes of ubiquinone and cytochrome c, and
659 O_2 concentration. Finally, the high-affinity ETC inhibitors rotenone and antimycin A were added
660 to inhibit the electron flux through Complex I and Complex III, respectively. The remaining
661 OCR corresponds to the non-mitochondrial (or extramitochondrial) oxygen O_2 consumption. B.
662 Quantification of the mean OCR in CEM cells exposed to different treatment is shown for
663 respiration under basal conditions (basal) and after the addition of oligomycin (proton leak) and
664 FCCP (maximal respiration). The basal respiration rate minus the respiration rate recorded with
665 oligomycin provides a measure of OCR due to ATP turnover whereas the respiration rate
666 obtained with FCCP minus the basal O_2 consumption values represents the reserve respiratory
667 capacity. Non-mitochondrial respiration (rotenone plus antimycin A) was subtracted from each

668 condition. C, Relative parameters of mitochondrial respiratory function and basal respiratory.
669 The histograms show several parameters where data are expressed as the percentage of basal
670 respiration (mitochondrial respiration plus non-mitochondrial respiration). D. Representation of
671 the mean RCR. The RCR was calculated as the ratio between the maximal uncoupled
672 mitochondrial respiration and mitochondrial respiration. Data (mean \pm SD; n=3) were compared
673 with DMSO treated cells and analyzed by Student's t-test. P-values are two sided and considered
674 significant if <0.05 (*), <0.01 (**), or <0.001 (***)).

675
676 Figure 4. Effect of Efavirenz treatment on global gene expression.
677 Human T lymphoblastoid cell line (CEM cells) was treated with $1x C_{max}$ of Efavirenz (EFV),
678 $4x C_{max}$ of Tenofovir (TDF), or Emtricitabine (FTC) alone or in combination (TDF/FTC) for two
679 days. The cells were collected and total RNA was extracted using TRIzol reagent. 100 ng of total
680 RNA was amplified and labeled using the Whole-Transcript Sense Target Labeling Protocol.
681 Affymetrix Gene Chip Human Gene 2.0 ST arrays were hybridized and scanned for signals.
682 Each experiment was repeated on at least three occasions. Affymetrix Transcriptome Analysis
683 Console TAC 3.1 was used to analyze the result. One-Way ANOVA was used and significance
684 was achieved when fold change was ≥ 2 or ANOVA p-value < 0.05 . A. The number of
685 differentially expressed genes. B. Genes perturbed by treatment of CEM cells with EVF. C.
686 Genes perturbed by treatment of CEM cells with TDF/FTC.

687
688 Figure 5. Effect of Efavirenz treatment on the expression of cholesterol biosynthesis.
689 Human T lymphoblastoid cell line (CEM cells) was treated with multiplicities of the plasma peak
690 concentrations ($1x$ -, or $2x C_{max}$) of Efavirenz (EFV), Tenofovir and Emtricitabine (TDF/FTC), At

691 day 2, the cells were harvested and RNA were extracted. Real-time RT-PCR of selected genes
692 whose expression levels were normalized to the expression of housekeeping gene-GAPDH. Data
693 (mean \pm SD, n = 3), are represented as fold change in expression compared with cells treated
694 with DMSO. A. Fold change in expressions of HMGCS and HMGCR; B. Fold change in
695 expressions of INSIG-1 and INSIG-2; C. Fold change in expressions of SREBP1 and SREBP2;
696 D. Fold change in expressions of LDLR and SQLE; and E. Fold change in expressions of
697 PRKAA1, PRKAA2, and PRKAB. Data represent at least 3 independent experiments and plotted
698 as mean \pm SD. P-values are two sided and considered significant if <0.05 (*), <0.01 (**), or $<$
699 0.001 (***)).

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Figure 1

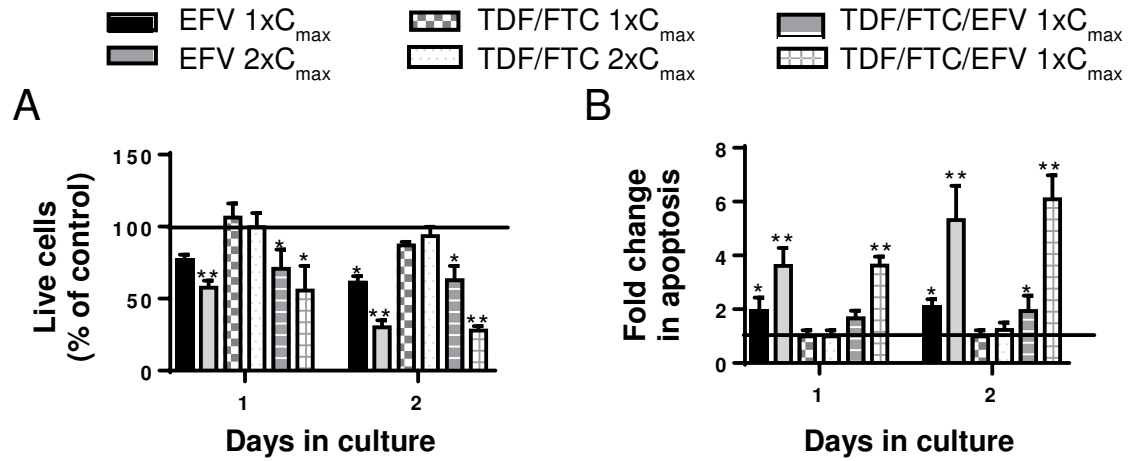


Figure 1. Effect of efavirenz on cell viability and apoptosis in of CEM cells

Human T lymphoblastoid cell line (CEM cells) was treated with multiplicities of the plasma peak concentrations (1x-, or 2x_{C_{max}}) of Efavirenz (EFV), Tenofovir and Emtricitabine (TDF/FTC), and TDF/FTC/EFV. A. Each day, aliquots of the cell culture were collected and live cells were counted with Trypan blue staining using hemocytometer, percentage of live cells was compared with DMSO-treated control cells. B. Apoptosis was assessed by staining with an FITC Annexin V Apoptosis Detection Kit I. Bar graphs represent proportion of apoptotic cells after 1 and 2 days of treatment compared to DMSO-treated cells. Data represent at least 3 independent experiments and plotted as mean ± SD. P-values are two sided and considered significant if <0.05 (*), < 0.01 (**), or <0.001 (***)

Figure 2

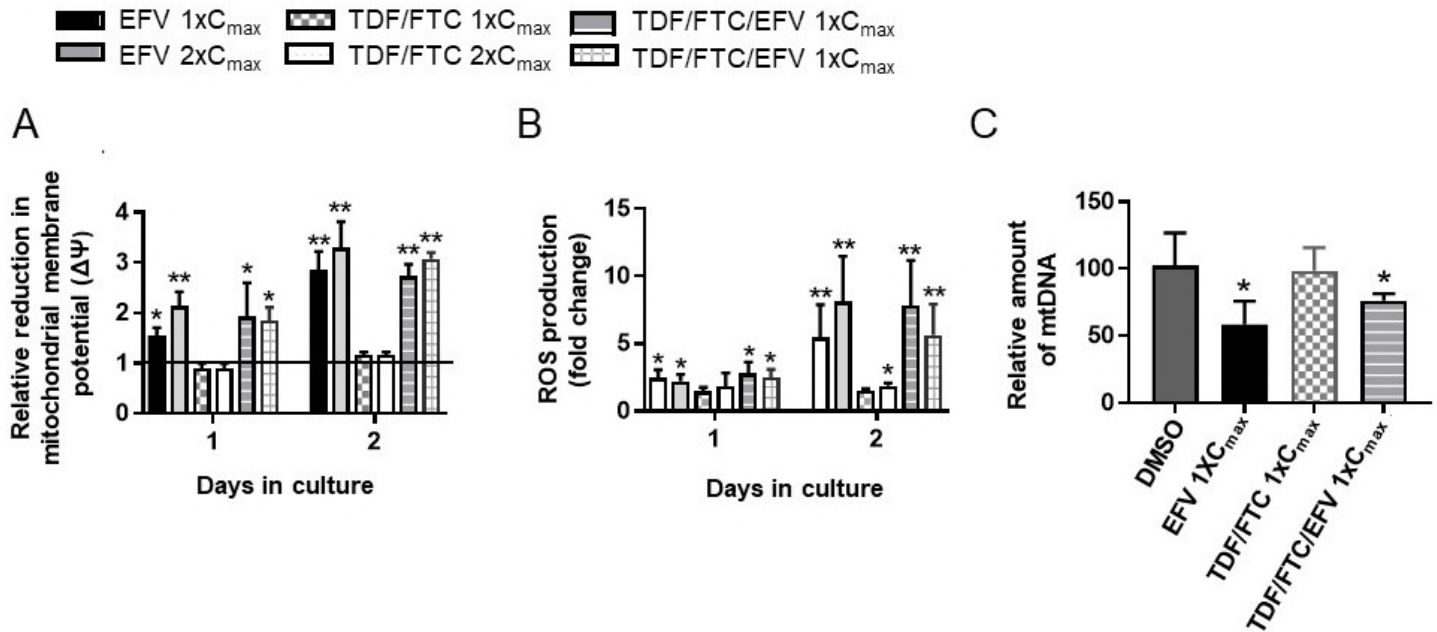


Figure 2. Effect of efavirenz treatment on mitochondrial membrane potential, ROS production and mitochondrial DNA content. Human T lymphoblastoid cell line (CEM cells) was treated with multiplicities of the plasma peak concentrations (1x-, or 2x_{C_{max}}) of Efavirenz (EFV), Tenofovir and Emtricitabine (TDF/FTC), and TDF/FTC/EFV. A. At day 1 and 2 the cells were harvested and interrogated for mitochondrial membrane potential ($\Delta\Psi$) using TMRE-Mitochondrial Membrane Potential Assay Kit with Flow cytometry. Inactive mitochondria had decreased membrane potential and failed to sequester TMRE. The bar graph represents the relative decrease of mitochondrial $\Delta\Psi$ in cells treated with antiretroviral drugs compared to DMSO-treated control cells. B. Production of ROS was determined using MitoSOXTM assay. The cells were washed once with wash buffer before incubation with 5 μ M MitoSOXTM reagent working solution at room temperature for 10 min. The cells were then washed once before running on LSRII flow cytometry (Beckman Coulter). The bar graph represents the fold change in ROS production in cells treated with antiretroviral drugs compared to DMSO-treated control cells. C. The relative mtDNA content was determined on day 2 of culture as the ratio of mtDNA to nuclear DNA using quantitative PCR. Data represent at least 3 independent experiments and plotted as mean \pm SD. P-values are two sided and considered significant if <0.05 (*), <0.01 (**), or <0.001 (***).

Figure 3

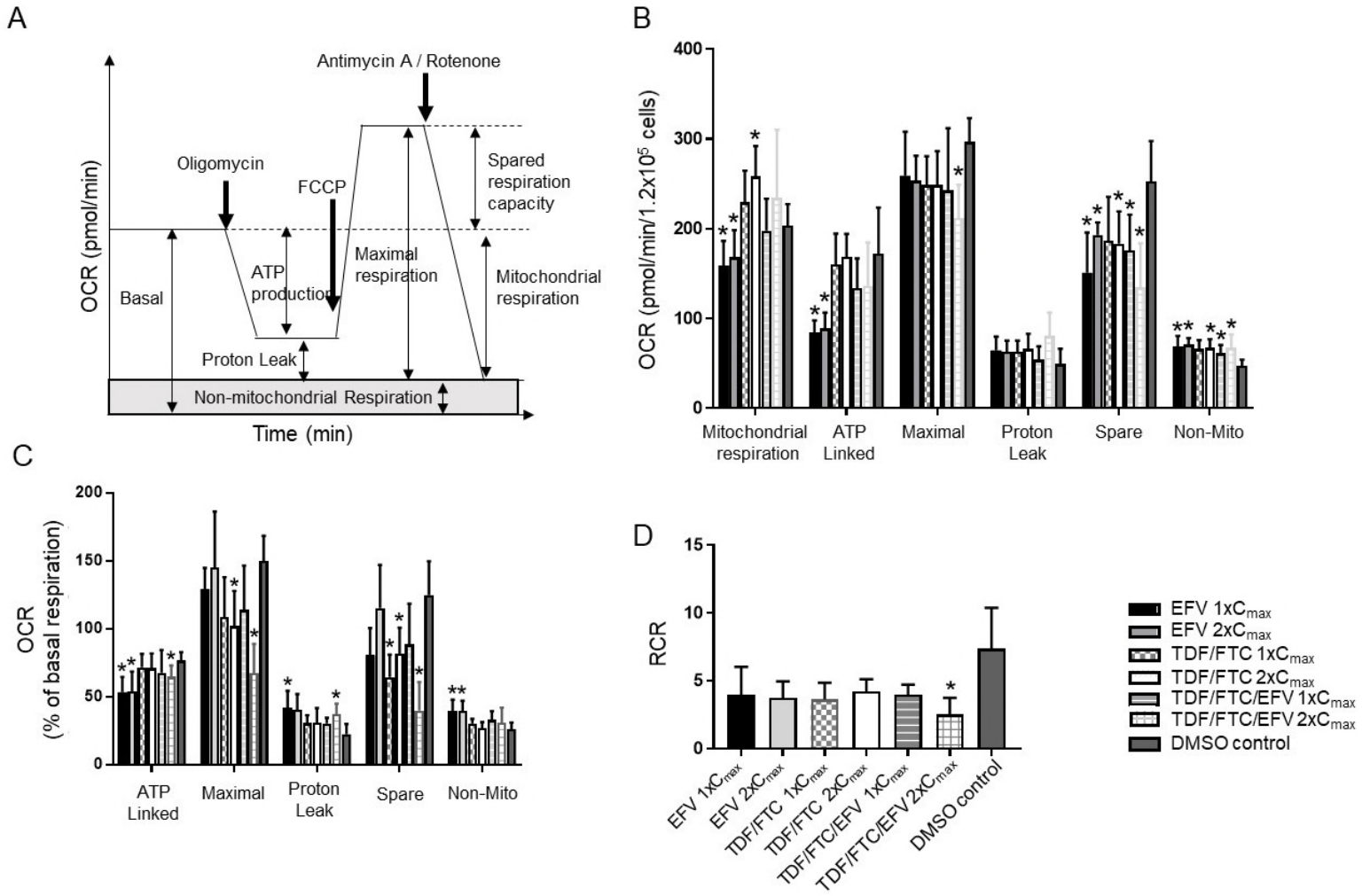


Figure 3. Effect of efavirenz treatment on mitochondrial respiratory function. Human T lymphoblastoid cell line (CEM cells) was treated with multiplicities of the plasma peak concentrations ($1\times$, or $2\times C_{\max}$) of Efavirenz (EFV), Tenofovir and Emtricitabine (TDF/FTC), and TDF/FTC/EFV for 2 days. The cells were counted and washed with the calibration medium and 1.2×10^5 cell were added to each of 1% gelatin coated 96 wells in triplication. A sequential addition of specific inhibitors to mitochondria complex I, II, III and IV: 2 mM oligomycin (I), 0.6 mM FCCP (II), 0.4 mM FCCP (III) and 1 mM rotenone plus 1 mM antimycin A were done according to manufacturer's instructions. A. Graphical representation of the OCR measurement over time. To estimate the proportion of OCR coupled to ATP synthesis, oligomycin (an inhibitor of ATP synthase—Complex V) was injected. To determine the maximal OCR, the proton ionophore (uncoupler) carbonylcyanide-4-(trifluoromethoxy)phenylhydrazone (FCCP) was injected, which stimulates respiration as the mitochondrial inner membrane becomes permeable to protons and electron transfer is no longer constrained by the proton gradient. Maximal OCR is controlled by ATP turnover (primarily involving the adenine nucleotide translocase, phosphate transporter and ATP synthase) and substrate oxidation—substrate uptake, processing enzymes, relevant ETC complexes, pool sizes of ubiquinone and cytochrome c, and O_2 concentration. Finally, the high-affinity ETC inhibitors rotenone and antimycin A were added to inhibit the electron flux through Complex I and Complex III, respectively. The remaining OCR corresponds to the non-mitochondrial (or extramitochondrial) oxygen O_2 consumption. B. Quantification of the mean OCR in CEM cells exposed to different treatment is shown for respiration under basal conditions (basal) and after the addition of oligomycin (proton leak) and FCCP (maximal respiration). The basal respiration rate minus the respiration rate recorded with oligomycin provides a measure of OCR due to ATP turnover whereas the respiration rate obtained with FCCP minus the basal O_2 consumption values represents the reserve respiratory capacity. Non-mitochondrial respiration (rotenone plus antimycin A) was subtracted from each condition. C. Relative parameters of mitochondrial respiratory function and basal respiratory. The histograms show several parameters where data are expressed as the percentage of basal respiration (mitochondrial respiration plus non-mitochondrial respiration). D. Representation of the mean RCR. The RCR was calculated as the ratio between the maximal uncoupled mitochondrial respiration and mitochondrial respiration. Data (mean \pm SD; $n=3$) were compared with DMSO treated cells and analyzed by Student's t-test. P-values are two sided and considered significant if <0.05 (*), <0.01 (**), or <0.001 (***)

Figure 4

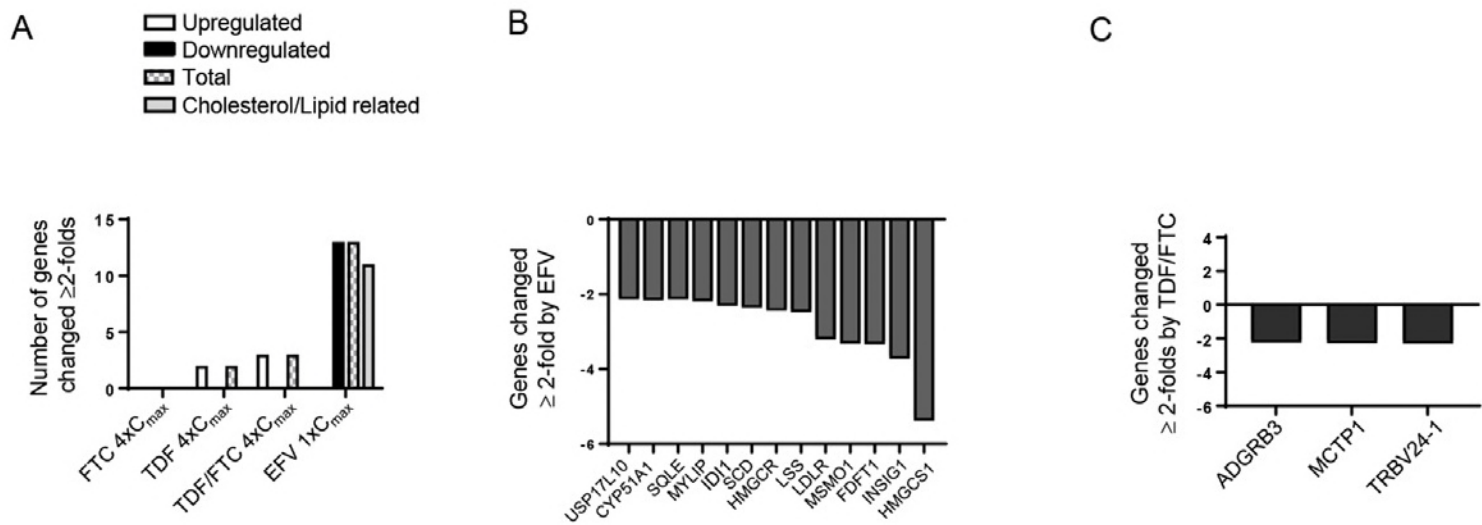


Figure 4. Effect of Efavirenz treatment on global gene expression.

Human T lymphoblastoid cell line (CEM cells) was treated with 1x_{C_{max}} of Efavirenz (EFV), 4x_{C_{max}} of Tenofovir (TDF), or Emtricitabine (FTC) alone or in combination (TDF/FTC) for two days. The cells were collected and total RNA was extracted using TRIzol reagent. 100 ng of total RNA was amplified and labeled using the Whole-Transcript Sense Target Labeling Protocol. Affymetrix Gene Chip Human Gene 2.0 ST arrays were hybridized and scanned for signals. Each experiment was repeated on at least three occasions. Affymetrix Transcriptome Analysis Console TAC 3.1 was used to analyze the result. One-Way ANOVA was used and significance was achieved when fold change was ≥ 2 or ANOVA p-value < 0.05 . A. The number of differentially expressed genes. B. Genes perturbed by treatment of CEM cells with EVF. C. Genes perturbed by treatment of CEM cells with TDF/FTC.

Figure 5

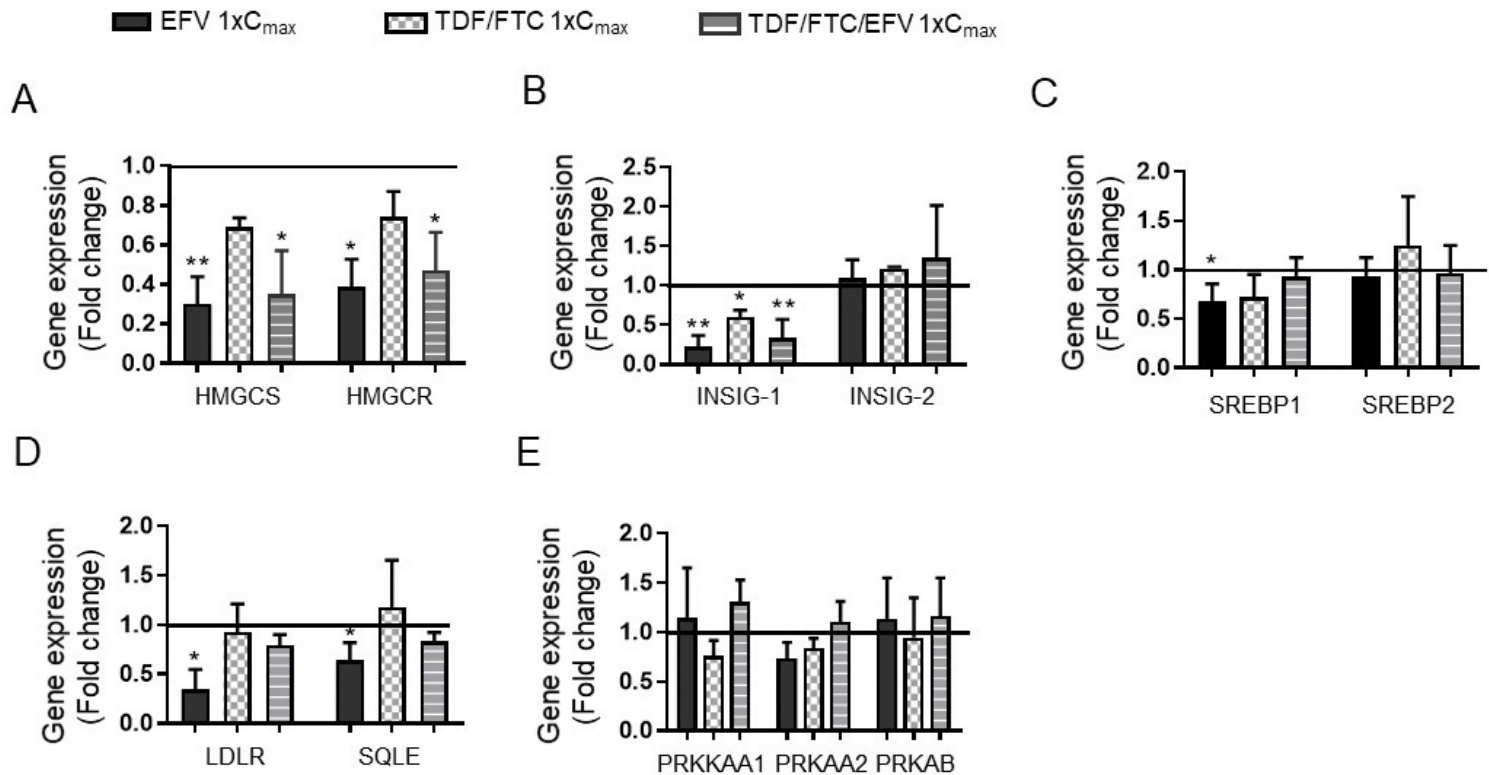


Figure 5. Effect of Efavirenz treatment on the expression of cholesterol biosynthesis.

Human T lymphoblastoid cell line (CEM cells) was treated with multiplicities of the plasma peak concentrations (1x-, or 2x_C_{max}) of Efavirenz (EFV), Tenofovir and Emtricitabine (TDF/FTC), At day 2, the cells were harvested and RNA were extracted. Real-time RT-PCR of selected genes whose expression levels were normalized to the expression of housekeeping gene-GAPDH. Data (mean ± SD, n = 3), are represented as fold change in expression compared with cells treated with DMSO. A. Fold change in expressions of HMGCS and HMGCR; B. Fold change in expressions of INSIG-1 and INSIG-2; C. Fold change in expressions of SREBP1 and SREBP2; D. Fold change in expressions of LDLR and SQLE; and E. Fold change in expressions of PRKAA1, PRKAA2, and PRKAB. Data represent at least 3 independent experiments and plotted as mean ± SD. P-values are two sided and considered significant if <0.05 (*), <0.01 (**), or <0.001 (**).