Hemispheric Asymmetry of Globus Pallidus Explains Reward-related

Posterior Alpha Modulation in Humans

Subcortical modulation of visual alpha oscillations

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Abstract

Although basal ganglia (BG) functions have been widely explored in relation to motor

control, recent evidence suggests that their mechanisms extend to the domain of attentional

switching. We here investigated the BG involvement in reward related top-down control of

visual alpha-band oscillations (8 – 13 Hz), which have been linked to the mechanisms

supporting the allocation of spatial attention. Given that items associated with contextual

saliency (e.g. monetary reward or loss) attract attention, it is not surprising that alpha

oscillations are further modulated by the saliency properties of the visual items. The

executive network controlling such reward-dependent modulations of oscillatory brain

activity has yet to be elucidated, and likely relies on the contribution of subcortical regions.

To uncover this, we investigated whether derived measures of basal ganglia (BG) structural

asymmetries could predict interhemispheric modulation of alpha power, during a spatial

attention task. We show that volumetric lateralization of the globus pallidus (GP)

significantly explains individual hemispheric biases in alpha power modulation. Importantly,

this effect varied as a function of value-saliency parings in the task. We hence provide

compelling evidence suggesting that the GP in humans is a node within the executive control

network, implicated in reward related top-down control of visual alpha oscillations during

saliency processing.

Introduction

While basal ganglia (BG) involvement in motor planning and execution has been extensively described [1–4], growing evidence points to their role extending to higher level cognitive functions. This notion has been already explored in animal recordings [5–8], with fewer studies reporting BG cognitive control in humans. Among these, it has recently been suggested that the BG nuclei play a role in spatial attention and selection [9–11]. This hypothesis has been investigated with a particular emphasis on reward-related saliency processing [12–15]. Consistent to this notion, volumetric abnormalities related to the BG have been associated with symptoms of inattention in attention deficit and hyperactivity disorder (ADHD) [16–18] together with aberrant salience attribution in schizophrenia [19–23].

In order to efficiently operate in natural environments, our brains must rely on neuronal mechanisms which selectively gate stimuli by prioritizing relevant information whilst reducing the interference of distractors [24]. In particular, deployment of attentional resources is biased towards stimuli associated with salience (e.g. monetary reward or loss), even when unrelated to the current task goals[25]. Converging evidence has shown that modulations of posterior neuronal oscillations in the alpha band (8 – 13 Hz) reflect the allocation of covert attention [26–28]. In particular, a selective suppression of alpha-band oscillations is observed over visual areas contralateral to the attended hemifield, while a relative increase occurs ipsilaterally [28]. It is now clear that the dorsal attention network (DAN) plays a crucial role in the modulation of alpha band activity in spatial attention tasks [29–33]; however, the involvement of subcortical regions is yet to be fully uncovered. In particular, it still has to be elucidated whether the BG – in coordination with the DAN – exert influence over the top-down control of posterior alpha band oscillations.

Activity from the BG is not likely to be detectable with Magnetoencephalography (MEG) due

to the lower sensitivity of the technique to deeper structures in the brain. As an alternative,

recent studies have succeeded in relating structural brain properties to the ability to modulate

oscillatory brain activity [34,35]. For instance, a recent study exploited individual

hemispheric asymmetries in the volume of the superior longitudinal fasciculus (SLF) [31], a

white matter tract connecting fronto-parietal regions, supposedly involved in top-down

visuospatial attention. Importantly, the study demonstrated that subjects with greater right

than left SLF volume were also the ones displaying higher modulation of posterior alpha

activity in the left hemisphere, compared to the right (and vice versa). Through an analogous

approach, we postulated that volumetric asymmetries of the BG nuclei would be reflected by

individual interhemispheric biases in the modulation of alpha oscillations during selective

attention in a reward context, given the well-established role of these nuclei into reward

processing and salience attribution. We here implicitly established the assumption that a

bigger subcortical volume would exercise better control over alpha oscillations.

To investigate this hypothesis we made use of data from a recent study that considered the

impact of stimuli paired with value salience on the modulation of oscillatory brain activity in

a covert attention task [36]. MEG data were recorded while participants performed a spatial

cueing task in which Chinese symbols served as targets and distractors. Prior to the

recordings, stimuli were paired with monetary rewards or losses. This alpha power

modulation was shown to be influenced by the salience of the targets and distractors, but not

their valence (i.e., reward and loss had the same effect). We here re-analysed these data with

the aim to investigate the putative role of the BG in biasing alpha power modulation during

attentional shifts to stimuli paired with value-saliency. Magnetic Resonance Imaging (MRI)

data of the same participants were processed in order to estimate volumetric asymmetries of

BG structures. We focused on identifying the link between individual volumetric

asymmetries of the BG and individual interhemispheric bias in the ability to modulate

posterior alpha oscillations. Crucially, we examined whether this relationship was affected by

the degree of stimulus-value associations in the task [37].

Results

We acquired structural and electrophysiological data from 25 participants. Participants'

performance was tested during a covert attention paradigm, where Chinese symbols served as

targets and distractors (Figure 1). During a learning phase, prior to the actual task, the stimuli

were associated with different values (positive, negative or neutral). In the testing phase, a

central cue probed the imminent contrast variation of the target, which appeared either at

1450 or 2350 ms, predicting its position in 95% of the trials. Participants were instructed to

indicate, with button press, the direction of the contrast change, which could either increase

or decrease with equal probability.

MEG data were acquired during the testing phase and related time-frequency representations

of power were derived. Indices of power modulation (MI) were computed by contrasting

frequency power in trials where participants were validly cued to the right (*attend right* trials)

with trials where participants were validly cued to the left (*attend left* trials) (see Eq.(1),

Materials and Methods). Consistent with previous results, participants displayed a clear

modulation of alpha band activity at parieto-occipital sensors (MI(α)): when covertly

orienting attention to a cued side, alpha power decreased in the contralateral hemisphere

while it increased relatively in the ipsilateral hemisphere (Figure 2A). The magnitude of

alpha power modulation, as reflected by MI(α), progressively increased until the target

changed contrast (Figure 2B). To encompass this increase, we focused our analysis on the

750 ms interval immediately preceding the onset of the contrast change. Next, right and left

ROIs were identified as clusters of symmetric pairs of sensors showing the highest alpha

lateralization (see *Materials and Methods*).

In order to quantify the magnitude of hemispheric alpha modulation bias, Hemispheric

Lateralized Modulation of alpha power (HLM(α)) values were then computed for each

participant by summing the average MI(α) in the right and left hemisphere ROIs (see Eq.(2),

Materials and Methods): positive HLM(α) values indicate stronger modulation of absolute

alpha power in the left compared to the right hemisphere, and vice versa. The histogram in

Figure 2B depicts the distribution of hemispheric bias related to attentional modulation of

alpha power. HLM(α) indices were normally distributed around zero across participants

(Shapiro Wilk, $W = .958$, $p = .392$).

Volumetric asymmetry of basal ganglia in relation to hemispheric lateralized alpha

modulation

We sought to determine whether HLM(α) values related to covert attentional processes

during the task, irrespective of value-salience levels, were predicted by hemispheric

asymmetries of the basal ganglia (BG). A semi-automated segmentation tool was used to

estimate anatomical volumes for the left and right hemisphere BG: Globus Pallidus (GP),

Nucleus Accumbens (NAcc), Caudate (CN) and Putamen (Pu). We then calculated the

volumetric asymmetry (LV) for each set of nuclei (see *Materials and Methods,* Eq.(3)).

Analogously to HLM indices, positive (or negative) LV_s value indicated that, for a given

participant, substructure *s* was left (or right) lateralized. As the assumption of normality was

not met for the distribution of LV_{CN} values, a two-sided Wilcoxon signed rank test was

applied on LV indices of all substructures. This revealed that, at the group level, the NAcc

was significantly left lateralized ($z = -3.78$, $p = .000$) whilst the CN was right lateralized ($z =$

2.97, $p = .003$). For the other substructures, no significant lateralization was found for LV

values in the sample. To investigate whether individual subcortical asymmetries predicted

differences in hemispheric lateralized modulation of alpha power, we implemented a GLM

approach, where HLM(α) indices were set as predictor variable for subcortical structures

lateralization (LV_s) derived from Eq(3), defined as the response variable. A significant

regression was found: when considering the grand average of all conditions, a linear

combination of the subcortical LVs explained HLM(α) values ($F_{4,20} = 3.81$, $p = .019$,

adjusted $R^2 = .319$) (Figure 4A). When assessing each predictor individually, only the beta

coefficient for LV_{GP} was found to be significantly greater than zero ($p = .003$). Hence, when

controlling for the other explanatory variables in the model, only GP asymmetry (LV_{GP})

significantly explained the hemispheric lateralized alpha band modulation ($\beta = .872$, $p =$

.003). The unique contribution of GP lateralization is visible in Figure 4B, showing the

partial regression plot between LV_{GP} and HLM(α).

Globus pallidus asymmetry selectively correlates with power modulation in the alpha band

In order to better interpret the GLM results, we assessed whether the linear relationship

arising from the model was actually restricted to the alpha band: to this end, a non-parametric

approach was implemented to further explore the association between LV_{GP} and HLM(α),

while controlling for multiple comparisons over all time and frequency points of interest. We

therefore conducted a cluster-based permutation test using dependent samples regression *t*-

statistic to evaluate the effect (linear association) at the sample level. A *p*-value of .05 was

chosen for thresholding of the *t*-statistic of the permutation distribution and a critical value

corresponding to alpha = .025 (two tailed) was considered for the cluster-level regression test

statistic. As depicted in Figure 5, we observed a significant cluster ($p = .005$) extending for

the entire 750 ms window of interest (i.e., when covert attention was deployed to the cued

stimulus before the contrast changed), which denotes a positive linear association (positive *t*-

value) between GP asymmetry and hemispheric lateralized modulation of power centred in

the alpha band.

Hemisphere specific relations between alpha modulation and GP asymmetry.

To further investigate the hemisphere-specific influence of GP volumetric asymmetry on

alpha modulation indices, we sought to compare MI(α)s of participants according to the

directionality of GP lateralization, namely right vs left lateralized GP (see *Materials and*

Methods). To this end, we conducted a cluster-based permutation test to compare the groups

by means of independent sample t statistic. Analogous to the approach previously described,

a *p*-value of .05 was selected as threshold of the *t*-statistic of the permutation distribution and

a critical value corresponding to alpha = .025 (two tailed) was considered for the cluster-level

independent t-statistic. As visible in Figure 1S, the test indicated a significant cluster of

sensors over right posterior channels (p= .027), denoting a difference in right hemisphere

MI(α) between the two subgroups. A topographical representation of MI(α) values together

with a bar plot of MI(α) averaged across right and left hemisphere ROIs per each group is

shown in Figure 2S. Consistently with the GLM results, participants with a bigger right than

left GP, also displayed a higher modulation of alpha band, with the cluster based permutation

results suggesting that the linear association arising from the GLM was largely driven by a

difference in right hemisphere modulation.

Relationship between behavioural measures and globus pallidus asymmetry

With the aim of determining whether GP volumetric asymmetry exerted an influence on task

performance, we computed measures of behavioural asymmetry (BA) in accuracy (BA_{ACC})

and reaction times (BA_{RT}) (see Eq.(4), *Materials and Methods*). As for HLM(α), we created

an asymmetry index by contrasting behavioural measures for *attend right* with *attend left*

trials, holding a positive BA_{RT} value if RTs were faster when validly cued to the left

compared to the right, and vice versa. Analogously, positive BA_{ACC} indices reflected higher

accuracy in *attend* right trials, compared to *attend* left, and vice versa. Neither behavioural

performance asymmetries significantly correlated with LV_{GP} (BA_{RT}: $r = -.12$, $p = .564$;

BA_{ACC}: $r = -.06$, $p = .768$) nor HLM(α) (BA_{RT}: $r = -.29$, $p = .155$; BA_{ACC}: $r = .04$, $p = .821$),

when averaging across conditions.

Association between globus pallidus asymmetry and hemispheric lateralized modulation of

alpha power as a function of value occurrences

Crucially, we aimed at assessing whether the level of value-salience mediated the association

between the derived structural and functional lateralization indices. We first calculated

HLM(α) values for each participant, separately for the three value-salience occurrences

levels (see *Materials and Methods*), and plotted Pearson correlations with LV_{GP} in the three

conditions considered. LV_{GP} significantly correlated with HLM(α) only in trials where both

target and distractors were salient (i.e., associated with either positive or negative value) ($r =$

$.68$, $p = .000$; Figure 6A). This denotes that, in trials with two items of value-salience,

participants exhibiting a right lateralized GP volume, also displayed a stronger alpha

modulation in the right compared to the left hemisphere, and vice versa. LV_{GP} did not

significantly correlate with HLM(α) when only one or none of the stimuli presented were

associated with a salient value ($p = .144$ and $p = .314$, respectively; Figure 6A).

In order to statistically quantify the influence of the stimulus-value association on the

relationship between LV_{GP} and HLM(α), we compared *robust correlations* in the three

conditions according to the method described in [38] for dependent overlapping correlations

(see *Materials and Methods*). The correlation between LV_{GP} and HLM(α) in trials with two

occurrences of value-salience, significantly differed both from the condition characterized by

one (95% CI [.106, .672]) and no occurrences (95% CI [.125, .897]). This confirmed that the

association between lateralized GP volume and alpha modulation bias significantly increased

as a function of the number of saliency occurrences in the task (Figure 6B).

Discussion

The aim of this study was to uncover the involvement of subcortical structures in modulating spatial attention to stimuli associated with contextual salience. In particular, we investigated the basal ganglia (BG) circuitry in relation to alpha oscillations modulated by attentional allocation [39,40] to items paired with stimulus-value association.

We utilized data in which a modulation of alpha activity by both spatial attention and value-salience associations was established [11]. Next, we observed that BG volumetric asymmetry explained individual differences in hemispheric lateralized modulation (HLM(α)) of absolute alpha power during covert attention. Importantly, this relationship was driven mainly by the Globus Pallidus (GP): participants exhibiting a right lateralized GP also displayed stronger modulation of posterior alpha oscillations in the right hemisphere, and vice versa. The cluster based permutation results confirmed that the association between the structural and functional indices examined was circumscribed to the alpha frequency band, thus underlying selective attentional allocation during the task. Interestingly, this association was largely related to right hemisphere differences in absolute alpha modulation between subjects exhibiting a right as compared to left lateralized GP volume. The latter finding possibly reflects and is explained by the right hemisphere dominance thought to characterize spatial attention processes[41]. Furthermore, we demonstrated that the association between GP asymmetry and alpha hemispheric lateralized modulation (HLM(α)) increased as a function of the saliency occurrences in the task: the correlation between the two indices was significantly higher in trials where both target and distractor were paired with a salient item (reward or loss) as compared to one or zero stimulus pairings. To the best of our knowledge, this is the first finding relating individual differences in BG volumetric lateralization to

interhemispheric modulation of posterior alpha oscillations. Importantly, our results point

toward a specific role of GP asymmetry in driving this association.

Our first finding is in line with a growing body of literature demonstrating a subcortical

involvement in high level cognitive functions, such as conscious perception [42], working

memory performance [43], cognitive control [44–46] and attentional control [47,48]. In line

with the latter, we showed that BG volumetric asymmetry predicts individual's biases in the

ability to efficiently allocate attention towards the target, as indexed by interhemispheric

modulation of alpha power. This is strong evidence in favour of a subcortical involvement in

attentional processing, given the well-established role of neuronal synchronization in the

alpha band into the selective gating of relevant information during visuospatial covert

attention tasks [49,50].

Interestingly, our results emphasize the specific contribution of the GP in implementing

stimulus-driven allocation of attention in a value-based context. The GP is considered to

mediate the output of the BG. Previous literature has mostly described this structure as

primarily involved in voluntary movement regulation: its functions have been indeed

predominantly investigated in clinical and animal models in association with motor functions

and action control [2,3], describing, for example, reduction of hypokinetic and rigidity

symptoms following pallidotomy in humans [51,52] and abnormal pallidal activity in

monkeys with induced parkinsonism[3]. Nevertheless, recent results from single unit

recordings in humans have provided indications that electrophysiological activity in the

globus pallidus pars interna (GPi) reflects processing of stimuli associated with different

reward contingencies [53]. This is corroborated by evidence of alterations of cognitive, in

addition to motor, abilities, following pallidotomy in Parkinson's disease patients [54]. This

aspect has been further addressed in clinical studies showing a link between Parkinson's

disease, associated with abnormal pallidal activity [52,55], and altered reward processing as

well as updating [56,57]. Structural GP abnormalities have also been linked to psychotic

symptoms in schizophrenia [19,21,58], which has been related to aberrant salience attribution

and reward learning [22,59], and impaired suppression of distractors in ADHD [16,60].

Altogether, these findings point towards the delineation of reinforcement learning networks

involving the pallidal complex as the output nucleus within the basal ganglia. Importantly,

the correlation between GP asymmetry and HLM(α), particularly arising in trials exhibiting

two value-related stimuli, appears to indicate a pallidal engagement during value-driven

attentional selection in conflict. The perceptual competition resulting from attending to a

salient target whilst required to suppress an equally salient distractor, might be resolved via

activity in neural systems involving the GP. Increased midbrain activity has indeed been

shown to accompany attentional suppression of a highly rewarding distractor carrying a

strong perceptual competition with the target [61], suggesting that dopaminergic networks

might flexibly modulate attentional selection in reward-related context. As an important

output component of the reward circuit [62], the GP might serve to indirectly modulate the

cortical information flow by biasing selective processing of value-related stimuli. While a

vast body of literature in the domain of visuospatial attention has investigated cortico-cortical

interactions, few studies have focused on elucidating the mechanisms through which cortico-

subcortical interactions influence attentional processing. In particular, a dorsal fronto-parietal

network has been identified, with nodes around the intraparietal sulcus (IPS) and frontal eye-

field (FEF), responsible for mediating top-down allocation of attention. Supporting this

notion, both the IPS and FEF have been causally implicated in the control over posterior

alpha oscillations in relation to attentional shifts [29–31,40,63]. Yet, recent converging

evidence has suggested that BG-cortical interactions play a fundamental role in shaping

cognitive processing: in particular, they have been hypothesized to influence frontoparietal

activity during selective attention, by providing signals related to stimulus properties

[25,42,64,65].

A subcortical engagement over the modulation of prefrontal activity is exerted via a feedback

loop passing through the GP [66–68]. This organization implies a crucial role of the

prefrontal cortex in biasing the competition among posterior regions, under the influence of

salience driven signals from subcortical sites. The hypothesis of a cortico-BG loop involved

in stimulus driven reorienting of attention has been already presented [12,69], conforming to

the notion of a 'salience network', which integrates behaviourally relevant input in order to

bias and guide cognitive control [70–72]. Within this framework, the BG are thought to

influence the connectivity between frontoparietal regions by updating goal-directed

behaviour, in order to adapt to changes in the environment [73]. Another intriguing

possibility is that signals from the pallidum indirectly influence posterior cortical activity via

the thalamus, given its projections to several nuclei of the thalamus, such as the lateral

geniculate nucleus (LGN) , reticular nucleus (TRN) [74,75] and the pulvinar [65,76–78],

which indirectly connect the GP to cortical sites. The latter was first shown to contribute to

the generation of the posterior alpha rhythm in dogs [79] and also to regulate synchronized

activity between visual cortical areas to support the allocation of attention in human and

nonhuman primates [80–83]. Furthermore, evidence from animal studies describes major

connections from the GPi to the lateral habenula, a nucleus located above the thalamus,

specifically related to the processing of reward-related stimuli and stimulus-value encoding

[84–87].

Therefore, the BG-thalamus loop might exercise its influence by applying control over

activity in the prefrontal cortex or it might directly coordinate posterior regions (as reflected

by its relationship to alpha power modulation during reward processing). Altogether, these

models provide a theoretical framework in favour of a flexible subcortical modulation of top-

down regulation of attentional allocation, which appears to be specifically engaged in tasks involving value processing. Nevertheless, the aforementioned possible modulatory routes should not be considered as mutually exclusive: a more comprehensive model of attentional control should account for multiple cortical and subcortical pathways operating in parallel, which would allow optimization of the organism's interaction with the environment.

A well-recognized function of the BG is to inhibit or promote cortical activity via GABAergic signalling, through its output in the GP to the thalamus [88,89]. Our results suggest that individual differences in GP volume lateralization may correspond to interhemispheric variability in GABAergic signalling and therefore in the subcortical inhibitory potential to the cortex. This input is likely responsible for producing the mechanisms of 'pulsed inhibition' in the visual cortex [49], reflected by interhemispheric modulation of alpha power, allowing the selective processing of stimuli. Implicitly, we assumed that the volume of the GP indirectly reflects its ability to exert its top-down control over posterior areas, its size possibly representing a determinant for the number of GABAergic neurons involved in the control mechanism.

The specificity of the interpretation of our is limited by the difficulty of the segmentation method implemented in distinguishing the contribution of the internal and external parts of the GP, as well as of the delineation of the different thalamic nuclei.

In conclusion, our results offer new insight on the mechanisms by which subcortical regions might interact with cortical networks to gate relevant information. In particular, we have provided novel evidence favouring a role for the globus pallidus in providing signals related to the processing of stimulus-value associations, hence influencing posterior alpha oscillations during selective attention to value-salient items.

Materials and Methods

Participants

In the present study, we re-analysed the previously acquired dataset described in [36], where twenty-eight healthy volunteers participated in the study (mean age: 23±2.7 years; 17 female; all right handed). All participant reported normal or corrected-to-normal vision and no prior knowledge of Chinese language. Of these, datasets from three participants were excluded from the analysis (due to respectively: technical error during acquisition, excessive eye movements during MEG recording and structural MRI data not acquired), leaving 25 participants. The experiment was conducted in compliance with the Declaration of Helsinki and was approved by the local ethics board (CMO region Arnhem-Nijmegen, CMO2001/095).

Experimental procedure

The experiment consisted of two phases: in the learning phase, participants were trained to memorize associations between 6 Chinese characters and 3 different values (positive, negative, neutral). Conditioning was implemented by means of visual and auditory feedback: two symbols were associated with reward (+80 cents and a 'kaching' sound), two with loss (-80 cents and a 'buzz' sound) and two with no value (0 cents and a 'beep' sound) (see Figure 1A for an example stimulus-reward association). The stimulus-reward pairing was randomized across participants. Each trial started with the display of three fixation crosses (1000ms), followed by the presentation of a Chinese character (1000ms), together with its matching visual and auditory feedback (Figure 1B). Stimuli were displayed on a grey background, each of them was presented twelve times in a randomized order. The learning phase was conducted in a laboratory with attenuated sound and light and without MEG

recording. With the aim of reducing extinction, upon completion of this phase participants

were informed that the learnt stimulus-feedback associations would be signalling real reward

outcomes throughout the testing phase (i.e. the presentation of a Chinese character,

irrespective of its role as target or distractor, would result in a financial reward, loss or none).

After the learning phase, participants performed a testing phase (Figure 1C), when they were

required to perform a covert spatial attention tasks including the stimuli previously associated

with a monetary outcome, while ongoing electromagnetic activity was recorded with MEG.

In the testing phase, participants performed 8 blocks of 72 trials. Each trial started with the

presentation of three fixation crosses for 1000 ms (pre-trial interval), whose contrast

subsequently decreased, as a preparatory cue indicating imminent stimuli presentation. After

500ms, two symbols were presented to the left and right of the screen (8 degrees visual angle)

respectively, together with a central fixation cross flanked by two arrows, indicating the

target side. Participants were instructed to covertly attend the symbol on the cued side

('target') and to ignore the other one ('distractor'), until one of them changed contrast. The

contrast change either increased or decreased with equal probability, with onset after 750 ms

(13% trials), 1450 ms (47% trials, 'short interval trials') or 2350 ms (40%, 'long interval

trials') from stimulus presentation. Participants were asked to report the direction of the

contrast change at the targeted ('cued') location as quickly as possible by button press, using

the index or middle finger of the right hand to indicate their choice (finger-direction mapping

was randomized across participants). Participants were instructed to refrain from responding

when the distractor changed contrast. Shorter intervals of 750 ms were used to ensure that

participants would start covertly directing their attention rapidly after the cue; these trials

were not included in the analysis. The target changed contrast on 95% of the trials (valid

trials), whereas in the remaining trials the distractor did (invalid trials). The approximate

duration of the full task in the MEG was 50 minutes.

As a result of the conditioning manipulation in the learning phase, targets and distractors in

the task would be associated with either a salient (positive or negative) or a neutral value,

resulting in three categories of trials of interest, as represented by different levels of value-

salience, namely: zero (target and distractor neutral), one (target or distractor salient) or two

(target and distractor salient) value-salience levels.

MEG data acquisition

Electromagnetic brain activity was recorded from participants while seated, using a CTF 275-

channels whole-head MEG system with axial gradiometers (CTF MEG Systems, VSM

MedTech Ltd.). The data were sampled at 1200Hz, following an antialiasing filter set at

300Hz. Head position was constantly monitored throughout the experiment via online head-

localization software. This had access to the position of the three head localization coils

placed at anatomical fiducials (nasion, left and right ear), allowing, if necessary, readjustment

of the participant's position between blocks [90]. Horizontal and vertical EOG and ECG

electrodes were recorded with bipolar Ag/AgCl electrodes.

MEG data analysis

MEG data analysis was performed using the FieldTrip Toolbox running in MATLAB [91].

Continuous data were segmented in epochs, centred at the onset of the target contrast change,

encompassing the preceding 1500 ms and the following 200 ms (this way covering the full

stimulus presentation window for short trials). A notch filter was applied at 50, 100, 150 Hz

to remove line noise, the mean was subtracted and the linear trend removed. Automatic

artifact rejection was implemented for detection and removal of trials containing eye blinks

and horizontal eye movements (detected with EOG), MEG sensor jumps and muscle artifacts.

We produced virtual planar gradiometers by computing spatial derivatives of the magnetic

signal recorded with axial gradiometers [92]. The method has the advantage of improving the

interpretation of the topographic mapping since neural sources would produce a gradient field

directly above them. Time-frequency representations (TFR) of power were then calculated

for the resulting pairs of orthogonal planar gradiometers, before summing the power values at

each sensor. The analysis was performed by sliding a fixed time window of 500 ms in steps

of 50 ms. The resulting data segments were multiplied by a Hanning taper and a fast Fourier

transform was applied in the $2 - 30$Hz frequency range, in steps of 2Hz. This procedure was

applied only for correct valid trials, separately for left and right cued conditions.

For each participant, TFRs were averaged across trials and a Modulation Index (MI) was

computed for each sensor k, according to the formula:

$$MI(f)_k = \frac{Power\ (f)_{k_{att\ right}} - Power\ (f)_{k_{att\ left}}}{Power\ (f)_{k_{att\ right}} + Power\ (f)_{k_{att\ left}}} \qquad (1)$$

Where $Power(f)_{k_{att\ left}}$ represents the power at a given frequency f in the condition 'attend

left' and $Power\ (f)_{k_{att\ right}}$ is the power of the same frequency in the condition 'attend

right'. As a result, positive (or negative) MI values, at a given sensor k, indicate higher power

at a given frequency when attention was covertly directed towards the right (or left)

hemifield.

Two clusters of sensors were then derived, by selecting the twenty symmetrical occipito-

parietal sensors (i.e. pairs of ten) showing highest interhemispheric difference in alpha

modulation indices, when considering the grand average over all conditions (see Figure 2A).

These clusters constituted the regions of interests (ROIs) on which subsequent analysis was

focused. Subsequently we calculated the Hemispheric Lateralized Modulation (HLM) index

per participant:

$$HLM(\alpha) = \frac{1}{n_{left}} \sum_{k_{right}=1}^{n_{right}} MI(\alpha)_{k_{right}} + \frac{1}{n_{right}} \sum_{k_{left}=1}^{n_{left}} MI(\alpha)_{k_{left}} \qquad \textbf{(2)}$$

Where $\boldsymbol{k_{left}}$ and $\boldsymbol{k_{right}}$ denote sensors belonging to the aforementioned left and right

clusters, respectively. Since MI(α) values were obtained by subtracting alpha power in

'attend left' trials to 'attend right' trials, and given that alpha power is suppressed in the

hemisphere contralateral to the attended hemifield, a positive HLM(α) value indicated that a

given participant displayed higher modulation of absolute magnitude of alpha power in the

right compared to the left hemisphere, and vice versa (see Figure 2B).

Structural data acquisition

T1-weighted images of three out of twenty five participants were acquired on a 3 T MRI

scanner (Magnetom TIM Trio, Siemens Healthcare, Erlangen, Germany), acquisition

parameters: TR/TE= 2300/3.03 ms; FA=8°; FoV= 256 × 256 mm; slice thickness= 1 mm;

Acquisition matrix= 0×256×256×0. For the remaining participants, a 1.5T MRI scanner was

used (Magnetom AVANTO, Siemens Healthcare, Erlangen, Germany). Acquisition

parameters: TR/TE= 2250/2.95 ms; FA=15°; FoV= 256 × 256 mm; slice thickness= 1 mm;

Acquisition matrix= 0×256×256×0.

Analysis

Structural analyses were conducted using the Integrated Registration and Segmentation Tool

(FIRST) within FMRIB's Software Library (FSL) v5.0.9 (www.fmrib.ox.ac.uk/fsl/, Oxford

Centre for Functional MRI of the Brain, Oxford, UK). A standard 12 degrees of freedom

affine registration to MNI152 space was applied to individual T1 images, adjusted with

optimal sub-cortical weighting. Bayesian models implemented in the software are derived

from a training based on previous manual segmentation of 336 datasets (provided by the

Center for Morphometric Analysis (CMA, MGH, Boston) and applied to registered images to

extract subcortical volumetric outputs for left and right hemispheres (see Figure 3A).

Given the reward components of the task we then focused on regions of the basal ganglia

identified by the algorithm namely the Globus Pallidus (GP), Nucleus Accumbens (NA),

Caudate (C) and Putamen (P). To compute hemispheric Lateralized Volume indices (*LV*) for

each substructure of interest *s*, we used the following formula, which controls for individual

differences in specific subcortical volumes via normalization by total bilateral volume,

commonly employed to evaluate structural brain asymmetries [22,93]:

$$LV(s) = \frac{V(s)_{right} - V(s)_{left}}{V(s)_{right} + V(s)_{left}} \qquad (3)$$

Where $V_{S_{right}}$ and $V_{S_{left}}$ represent respectively the anatomical right and left volumes (in

voxels) for a given substructure *s*. Analogously to equation(2), a positive (or negative) LV_S

index, in a given participant, indicated a greater right (or left) volume for a given substructure

s (see Figure 3B).

Behavioural data analysis

To investigate the possible association of behavioural performance with structural and

functional hemispheric lateralization, we correlated individual asymmetries in accuracy

(ACC) (percentage of correct responses) and reaction times (RTs) with individual HLM(α)

and LV values of subcortical structures showing significant correlation with HLM(α). For

each subject, behavioural asymmetries in performance (BA) for both measures were

calculated according to:

$$BA_{RT/ACC} = \frac{BA_{RT/ACC_{right}} - BA_{RT/ACC_{left}}}{BA_{RT/ACC_{right}} + BA_{RT/ACC_{left}}} \qquad \text{(4)}$$

Where $BA_{RT\ right}$ and $BA_{RT\ left}$ represent mean reaction times for 'attend right' and 'attend left'

trials, respectively. A positive BA_{RT} for a given subject indicated faster responses when a

participant was validly cued to the left compared to the right hemisphere, while negative

values indicated the opposite pattern. Consequently, positive BA_{ACC} values indicated higher

accuracy on 'attend right' trials compared to 'left attend' trials, and vice versa.

Statistics

Generalized Linear Model

In order to determine the relationship between Basal Ganglia Lateralized Volumes (LV_S) and

electromagnetic indices (HLM(α)) we applied a generalized linear regression model (GLM),

specifying subcortical volumes lateralization (*LV* values) as regressors and individual HLM

values as the response vector, according to the formula:

$$HLM(\alpha) \sim \beta_0 + \beta_1 LV_{GP} + \beta_2 LV_{NAcc} + \beta_3 LV_{CN} + \beta_4 LV_{Pu} + \varepsilon \qquad \text{(5)}$$

All subsequent analysis on the relationship between volumetric and oscillatory data

specifically focused only on the subcortical structure(s) associated with a significant β

coefficient in the model in equation(5).

Cluster based permutation test

To evaluate whether the linear association between LV_s and HLM was effectively limited to

the alpha band, a cluster based permutation approach [94] was employed over the full time

frequency spectrum of interest. This method effectively allows to statistically control for

multiple comparisons over all time and frequency points of interest. After selecting the a-

priori sensors belonging to the formerly specified ROIs, we considered a permutation

distribution of regression coefficients derived from randomly pairing participants' LV_s value

(independent variable) and modulation indices (HLM(f)) 1000 times. At every time-by-

frequency point, the actual regression coefficient was evaluated against the aforementioned

distribution by means of a specified critical α value. Afterwards, a time-frequency map of the

cluster level statistics was derived showing sets of sensors associated with a significant

effect.

An equivalent approach was later applied to investigate possible hemisphere-specific

differences in alpha modulation between participants showing a right or left lateralized

substructure s. Directionality of lateralization was determined by median split of the

distribution of LV_s per participant, producing two subgroups of N=12, representing subjects

with a larger left or right volume of substructure s. After having a-priori averaged across the

time-frequency spectrum of interest ([-750 0]ms, 8-13Hz), MI(α) values at every sensor were

compared between the two subgroups (right vs left lateralized substructure). The actual t-

value was then compared with a permutation distribution of t-statistic derived from randomly

partitioning indices between the two groups 1000 times. As a result, a topography map was

plotted displaying eventual cluster(s) of sensors associated with a significant t-value (i.e. a

significant difference in MI(α) between subgroups).

Comparison between Pearson's correlation coefficients

Finally, we aimed at comparing the association between the derived structural and functional

lateralization indices in different reward-related contingencies. To this end, we calculated

HLM(α) values for each participant separately for the three reward-related contingencies and

computed the Pearson's correlations with LV_S indices. We statistically assessed the

difference in correlation coefficients between the three experimental conditions considered,

according to the method described in [95]. The test implements a percentile resampling

technique by generating a bootstrap sample of the difference of the correlation coefficients

between the overlapping variable LV_{GP} (Y) and the two variables representing the HLM(α)

for the two experimental conditions (reward contingencies) to be compared (X_1, X_2). As

suggested in the method, we used a Winsorized correlation to achieve a robust measure of

association between variables. This transformation has been shown to effectively control for

the influence of outliers on the correlation estimate [96]. A confidence interval was then

computed on the resulting bootstrap distribution, to assess the statistical significance of the

actual difference between correlation coefficients describing the different value occurrences.

Data availability

The preprocessed MEG and MRI anonymised datasets that support the findings of this study

are available as downloadable online data collection in the Donders Data Repository

(https://data.donders.ru.nl), with persistent identifier: 11633/di.dccn.DSC_3016045.01_337,

upon reasonable request to the corresponding author.

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Figure 1. Illustration of selective attention task: stimuli and reward manipulation.

(A) Six Chinese symbols served as stimuli for the task and were associated with three values: two paired with reward, two

with loss and two with no financial change (neutral). (B) Representative trial of the learning phase. Symbols were displayed

for 1000ms, systematically paired with the corresponding (positive, negative or neutral) value, via visual and auditory

feedback. Characters presentation was alternated with a 1000ms fixation period. During the training phase, participants learned

associations between the stimuli and their reward value. **C.** Representative trial of the testing phase. After a 1000ms pretrial

interval, participants were primed with a 500ms preparatory cue signalling the upcoming stimuli. Two characters were then

presented to the left and right hemifield, together with a spatial cue, instructing participants to covertly attend the symbol on

the cued side (target) and ignore the other one (distractor). Participants' task was to report when the target stimulus changed

contrast. Contrast change could either occur after 750ms (13% of trials), 1450ms (47% of trials) or 2350ms (40% of the trials).

In 95% of the trials, the target changed contrast (valid trials), whilst in 5% of the trials, the distractor changed contrast (invalid

trials). Figure adapted from [36].

Figure 2. Grand average MI and HLM distribution across participants.

(A) Time-frequency representations of power (TFRs) and topographical plot showing contrast between the 'attention right' – 'attention left' trials. A clear modulation is visible at posterior sensors in the alpha band (8 – 13Hz) and the –750 – 0ms interval. Sensors included in the left and right ROIs are marked as dots. Trials are locked to the onset of the contrast change (t = 0). (B) Side panels show the temporal evolution of MI(α), averaged over sensors within left and right hemisphere ROIs. The magnitude (absolute value) of alpha modulation progressively increased in the stimulus interval until the onset of the contrast change. Middle: distribution of HLM(α) indices across participants, computed over the ROIs and 8 – 13 Hz frequency band (see Materials and Methods). A normal density function is superimposed, denoting no hemispheric bias in lateralized modulation values across participants (Shapiro Wilk, $W = .958$, $p = .392$).

Figure 3. Basal Ganglia volumes resulting from semiautomated subcortical segmentation implemented.

(A) Orthogonal view and 3D rendering. Subcortical volumes are overlaid as meshes on the anatomical MRI of one of the

participants (following defacing procedure in Freesurfer, where voxels outside the brain mask with identifiable facial features

were excluded [97]). (B) Histograms with superimposition of normal density function, showing the distribution of subcortical

lateralization indices for each substructure. In our sample, NAcc was right lateralized (p = .0001) and CN was left lateralized

(p = .0029).

Figure 4. Lateralization of individual basal ganglia structures in relation to alpha hemispheric lateralized modulation (HLM) in the task. (A) Bar plot displays the Beta coefficients associated with a linear model where LV values were defined as explanatory variables for HLM(α). Error bars indicate standard error of the mean. Asterisks denote statistical significance; **p < .01. (B) Partial regression plot showing the association between LV_{GP} and HLM(α), while controlling for the other regressors in the model in (A). Given equation(1) and (2), positive HLM(α) values indicate stronger modulation of alpha power in the right compared to the left hemisphere, and vice versa; Similarly, positive(or negative) LV_s indices denote greater right(or left) volume for a given substructure s. The dotted curves indicate 95% confidence bounds for the regression line, fitted on the plot in black.