1 TGF-β induced CXCL13 in CD8+ T cells is associated with tertiary lymphoid structures in

- 2 cancer
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- 31 **Keywords:** CXCL13, TGF-β1, tertiary lymphoid structures, CD8+ T cell, CD103+ T cell, immune
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33 Abstract

- 34 Coordinated immune responses against human tumors are frequently characterized by tertiary
- 35 lymphoid structures (TLS) which predict improved prognosis. The development of TLS is dependent
- 36 on the chemokine CXCL13, reported to be secreted by dendritic cells and follicular helper T cells only.
- 37 We report the unexpected finding that CXCL13 is also secreted by activated CD8+ T cells following
- 38 stimulation by transforming growth factor beta (TGF-β). Using single cell RNA sequencing we found
- that expression of *CXCL13* in CD8+ T cells was restricted to the intraepithelial CD103+ population.
- 40 Accordingly, CD8+ T cells activated in the presence of TGF-β simultaneously upregulated CD103 and
- 41 secreted CXCL13. CXCL13 expression was strongly correlated with neo-antigen burden and cytolytic
- 42 gene signatures in bulk tumors. In line with this, TLS were abundant in neo-antigen-high, CD103+ T
- 43 cell-enriched tumors. TGF-β thus appears to play a role in coordinating immune responses against
- 44 human tumors through CD8-dependent CXCL13-associated formation of TLS.

45 Background

46 Immune checkpoint inhibitors targeting programmed death ligand 1 (PDL1) or its receptor,

programmed death 1 (PD-1), have elicited unprecedented long-term disease remissions in advanced
and previously treatment-refractory cancers^{1–5}. Unfortunately, only a subset of patients currently
benefit from treatment. Immune checkpoint inhibitors are more likely to be effective in patients with a
pre-existing anti-cancer immune response; most notably a CD8+ cytotoxic T cell response against
tumor neo-antigens⁶.

Responsive tumors harbor significantly more predicted neo-antigens^{7,8} and display evidence 52 of a highly-coordinated immune response comprising T cells, dendritic cells and B cells⁹. In diseases 53 54 that parallel tumor development such as chronic inflammatory conditions, this coordinated infiltration 55 by different immune cell subsets is frequently associated with tertiary lymphoid structures (TLS) - an 56 ectopic form of lymphoid tissue. TLS exhibit features of regular lymph nodes, including high 57 endothelial venules, a T cell zone with mature dendritic cells (DCs) and a germinal center with 58 follicular DCs and B cells¹⁰. Several studies have reported the presence of TLS in tumors, which was generally found to be associated with greater immune control of cancer growth and improved 59 prognosis^{11–14}. Furthermore, it was found for several malignancies that particularly the combination of 60 61 TLS presence with high CD8+ T cell infiltration was associated to superior prognosis, whereas the presence of high CD8+ T cell infiltration alone was associated to poor or moderate prognosis^{15,16}. 62 63 These observations highlight the importance of a coordinated immune response, including TLS 64 formation, in anti-cancer immunity.

65 To date, the molecular determinants of tumor TLS formation remain incompletely understood. 66 Current data suggest that TLS formation results from a complex interplay between DCs, T cells, B 67 cells and supporting stromal cells, with reciprocal signaling between these cells mediated by cytokines including chemokine [C-X-C motif] ligand 13 (CXCL13), receptor activator of nuclear factor 68 69 κ B (ligand)(RANK/RANKL), lymphotoxin αβ (LTαβ) and chemokine (C-C motif) ligand 21 (CCL21)^{17,18}. A central role for CXCL13 in this process is suggested by the inability of CXCL13-70 knockout mice to enable homing and accumulation of B cells into lymphoid aggregates¹⁹ and 71 generate functional lymphoid tissue^{20,21}, and the observation that CXCL13 alone is sufficient to 72 generate lymphoid tissue²²⁻²⁴. Nevertheless, a key outstanding guestion remains whether tumor-73

74 associated TLS are formed in response to the general inflammatory character of the tumor micro-

75 environment, or rather, are induced by (neo-)antigen-specific adaptive immunity.

76 Here, we report on the unexpected finding that human tumor-infiltrating CTLs can produce 77 CXCL13, linking adaptive immune activation to the formation of TLS. Notably, induction of CXCL13 in 78 CTLs was dependent on concurrent T cell receptor (TCR)- and TGF-ß receptor-signaling and was 79 paralleled by upregulation of CD103, a marker for tissue-resident CTLs. Accordingly, the presence of 80 CD103+ CTLs was strongly correlated to bona fide TLS in tumors with a high mutational load. This 81 discovery sheds new light on how TLS could be induced by CTLs, identifying a novel role for CTLs in 82 the orchestration of a coordinated immune response against human (neo-)antigen-rich tumors. In 83 addition, our finding identifies CD103 and TLS as potential new biomarkers for immune checkpoint

84 inhibitors in epithelial malignancies.

85 Results

86 <u>Tertiary lymphoid structures are associated with high mutational load and increased cytotoxic T cell</u>

87 <u>responses</u>

88 Conflicting reports exist on the link between neo-antigen load and the presence of tertiary lymphoid 89 structures (TLS)^{16,25}. Therefore, we first assessed whether tumors with a high mutational load were 90 enriched for TLS-associated genes in mRNA sequencing data from The Cancer Genome Atlas (TCGA). Across malignancies, a previously reported TLS gene signature¹³ was enriched in tumors 91 92 with high numbers of mutations (Figure 1A). Interestingly, differences in expression of TLS genes was 93 also observed in uterine cancer according to their molecular classification. In brief, four distinct 94 molecular subtypes can be distinguished in uterine cancer: microsatellite stable (MSS), microsatellite 95 unstable (MSI), Polymerase Epsilon Exonuclease Domain Mutated (POLE-EDM) tumors and p53-96 mutant tumors. We have previously demonstrated an increased number of mutations, predicted neoantigens and cytotoxic T lymphocytes (CTLs) in POLE-EDM and MSI tumors compared to MSS²⁶. In 97 98 line with the above, MSS tumors mostly lacked TLS-related genes, while MSI and POLE-EDM tumors 99 were highly enriched for TLS genes (Figure 1B). To confirm these findings, we further analyzed an 100 independent cohort of MSS, MSI and POLE-EDM tumors for the presence of TLS by 101 immunohistochemistry. In line with the TCGA data, only 48% (20/42) of MSS tumors were found to 102 have TLS, whereas 74% (28/38) of MSI and 92% (33/36) of POLE-EDM tumors contained TLS 103 (Exemplified in Figure 2A). Moreover, quantification per tumor revealed a significant increase in the number of TLS when comparing MSS to POLE-EDM and MSI to POLE-EDM tumors (Figure 2B, 104 105 p<0.001 and p<0.01, respectively). To confirm that the observed CD20+ structures were bona fide 106 TLS, we performed multi-color immunofluorescence. The structures we observed contained all 107 characteristics of lymphoid tissue, as determined by the presence of high endothelial venules (HEVs), 108 germinal B cell centers and dendritic cells (DCs) surrounded by a rim of T cells (Figure 2C). In 109 accordance with the link between TLS and mutational load, we also identified a correlation between 110 TLS-associated genes and CTL characteristics in TCGA data (Figure 3). Taken together, our findings 111 suggest a link between mutational load, corresponding CTL responses and formation of TLS in 112 human cancer.

113

114 Epithelial localization of tumor-infiltrating CD8+ T cells is associated with an activated and exhausted

115 <u>transcriptional signature</u>

116 Based on the observation that TLS-gene expression was higher in tumors with high mutational load 117 and high CD8+ T cell infiltration, we hypothesized that tumor-reactive CTLs might be involved in the formation of TLS in cancer. To address this hypothesis, we performed mRNA sequencing on single-118 119 and 20-cell pools of CD8+ T cells isolated from human tumors. As the association between tumor 120 mutational load, CTLs and TLS was uniform across malignancies, we chose ovarian cancer as our 121 model tumor for the sequencing because of its large tumor bulk, high number of infiltrating, neoantigen recognizing CD8+ cells and documented presence of TLS^{16,27}. As TLS are frequently found 122 123 within the tumor stroma, we also distinguished stromal from intraepithelial CD8+ T cells using the αE integrin subunit (CD103). We and others have previously shown that intraepithelial, but not stromal, 124 CD8+ CTLs express CD103²⁸⁻³¹. In line with this, TLS associated T cells were negative for CD103 125 126 (Figure 2C). CTLs were defined based on a CD3+/TCR $\alpha\beta$ +/CD8 $\alpha\beta$ +/CD56-/CD4- phenotype (Figure 4). Post hoc t-distributed stochastic neighbor embedding (t-SNE) confirmed the presence of unique 127 128 CD103+ and CD103- CTL populations in these tumors that were correctly identified by manual gating 129 during isolation (Figure 5A). Importantly, the transcriptome of CD103+ CTLs was characterized by a 130 marked activation and exhaustion signature with significant upregulation of GZMB (Granzyme B), 131 HAVCR2 (T cell Immunoglobulin and Mucin Domain 3, TIM3), LAG3 (Lymphocyte-Activation Gene 3), TNFRSF18 (Glucocorticoid-Induced TNFR-related Protein, GITR), KIR2DL4 (Killer cell 132 133 Immunoglobulin-like Receptor 2DL4), TIGIT (T cell Immunoreceptor with Ig and ITIM Domain) and 134 CTLA4 (Cytotoxic T-Lymphocyte Attenuator 4) in the 20-cell pools (Figure 5B and 5C). In addition, 135 CD103+ CTLs expressed GNGT2 (G Protein Subunit Gamma Transducin 2), encoding a G protein 136 gamma family member expressed in lymph nodes and spleen that is involved in GTPase activity (Figure 5B). Differential expression of many of these markers was also observed in the single cell 137 138 data (Figure 5D). The expression of these markers are in line with our earlier work demonstrating that the intraepithelial CD103+ cells represent CTLs that have undergone activation and/or exhaustion^{28,29}. 139 140 By contrast, CD103- CTLs displayed a more quiescent phenotype with a notable high differential 141 expression of the V-Set Domain Containing T Cell Activation Inhibitor 1 (VTCN1), a known suppressor 142 of T cell function (Figure 5B). In addition, these cells differentially expressed GAGE12H, GAGE12H,

and *GMPR2* (Guanosine Mono Phosphate Reductase 2), involved in cell energy metabolism (Figure
5B).

145

146 <u>CD103+ CTLs differentially express the B cell recruiting chemokine CXCL13.</u>

147 In addition to the activated and exhausted gene signature, CD103+ CTLs were also characterized by 148 a significantly upregulated expression of the TLS-inducing chemokine [C-X-C motif] ligand 13 149 (CXCL13)(Figure 5C p< 0.0001 and Figure 5D p=0.02). This finding is of interest, as CXCL13 is 150 traditionally described as the prototypical CD4+ follicular helper T cell gene and since it plays a dominant role in TLS formation via recruitment of B cells and TFH through C-X-C chemokine receptor 151 type 5 (CXCR5)^{32,33}. In accordance, CXCL13 mRNA expression in uterine cancer was substantially 152 higher in TCGA data of TLS-rich MSI and POLE-EDM tumors (Figure 1B). Since the expression of 153 154 CXCL13 in CD103+ CTLs was unexpected, we next determined whether CXCL13 gene expression 155 was more strongly associated with CTLs, CD8+, CD4+ or follicular helper T cell gene signatures in 156 two gynecological malignancies, uterine and ovarian cancer. In line with our data, CXCL13 high 157 ovarian tumors (>median CXCL13 expression) were strongly enriched for a CTL signature 158 (Enrichment Score (ES) 0.93, p<0.0001). By contrast, the enrichment for total CD8+, CD4+, and TFH 159 cell signatures was considerably lower in CXCL13 high ovarian tumors (ES 0.72, ES 0.74, ES 0.59, 160 respectively, all p<0.0001). Similar results were obtained in endometrial cancer (CTL ES 0.86., CD8 161 ES 0.75, CD4 ES 0.75, TFH ES 0.73. In line with the observed enrichment of CXCL13 mRNA in 162 prognostically beneficial CD103+ CTLs, CXCL13 gene expression was associated with a significantly improved prognosis in ovarian and uterine cancer (ovarian p=0.0188, ovarian no residual disease 163 164 p=0.0394, uterine p=0.00869). As such, our data suggests CD8+ T cells may aid the formation of TLS 165 through CXCL13.

166

167 <u>TGF-β primes cytotoxic CD8+ T cells to secrete CXCL13 *in vitro*</u>

168 As CD8+ T cells expressed CXCL13 mRNA *in situ*, we proceeded to study whether tumor-infiltrating

- 169 lymphocytes could indeed produce CXCL13 protein. CD103+ CTLs isolated from tumors of three
- 170 ovarian cancer patients readily secreted CXCL13 upon ex vivo activation with anti-CD3/anti-CD28-
- 171 conjugated beads or PMA/Ionomycin (Figure 6A). Next, we sought to define the molecular
- mechanism underlying the production of CXCL13. Previously, we and others have demonstrated that

induction of CD103 in CD8+ T cells is dependent on concurrent T cell receptor (TCR) and 173 Transforming Growth Factor Beta (TGF- β) receptor 1 (TGF- β R1) signaling^{29,34,35}. The previously 174 reported role of TGF-β in inducing exhaustion related genes such as PD-1 in T cells³⁶ is also in line 175 176 with the transcriptional profile that we obtained for CD103+ CTLs. Therefore, we hypothesized that 177 TGF-β might prime activated peripheral blood CD8+ CTLs to secrete CXCL13. To investigate this, we 178 activated peripheral blood CD8+ T cells from healthy donors with anti-CD3/anti-CD28-conjugated 179 beads in the presence or absence of recombinant TGF-B1 (rTGF- B1) and measured secretion of 180 CXCL13. Activation of CD8+ T cells alone did not induce CD103 surface-expression (Figure 6B), nor 181 the production and secretion of CXCL13 (Figure 6C). However, in the presence of rTGF-β1, activated 182 CD8+ T cells expressed CD103 on their surface (Figure 6B) and secreted high levels of CXCL13 183 (Figure 6C). Expression of CD103 and secretion of CXCL13 was inhibited by co-incubation with a 184 TGF-βR1 inhibitor (Figure 6B and 6C). Similar results were obtained when CD8+ T cells were 185 activated using phytohaemagglutinin (PHA) (data not shown). As interleukin 2 (IL2) inhibits the 186 secretion of CXCL13 in follicular helper CD4+ T cells³², we also examined whether IL2 impacted 187 CXCL13 secretion by CD8+ T cells. In contrast to CD4+ cells, induction of CXCL13 in CD8+ T cells 188 was not inhibited by IL2 (Figure 6D). Next, we assessed the dose-response relationship between 189 TGF-β and CXCL13 production and found that CXCL13 production was already significantly induced 190 in activated CD8+ T cells at 0,1 ng/mL TGF- β and peaked at 10 ng/mL TGF- β (Figure 6E). Thus, 191 based on our findings, we conclude that low concentrations of TGF-β are already sufficient for 192 CXCL13 induction in CD8+ T cells. Off note, CD8+ T cells that were stimulated long-term with beads, 193 TGF β and IL2 maintained the ability to produce CXCL13 chemokine (not shown). To determine 194 whether TGF- β also primes activated T cells for the secretion of other chemokines, we analyzed 195 release of 47 chemokines from CD8+ T cells in the presence or absence of rTGF-β1 using two 196 independent chemokine arrays (Figure 6E and 6F). As anticipated, CXCL13 was induced specifically 197 upon activation of T cells in the presence of rTGF- β 1 (Figure 6E). By contrast, no other chemokines 198 were dependent on rTGF-\u00df1 for their induction. Nevertheless, rTGF-\u00ff1 did appear to consistently 199 increase the activation-dependent release of a number of chemokines. Most notably, we observed an 200 increase in the release of chemokine (C-C motif) ligand 17 (CCL17) and chemokine (C-C motif) ligand 201 20 (CCL20), which are both genes that are involved in functional TLS formation (Figure 6F). In 202 conclusion, we found that TGF- β is a specific inducer of CXCL13 production in CD8+T cells.

203

204	Tertiary lymphoid structures are associated with the CD103+ CD8+ T cell gene signature
205	Based on the above, we speculated that activation of CD8+ T cell in the presence of TGF- β in situ
206	would result in the induction of TLS across human epithelial tumors. As such, tumors rich in CD103+
207	CD8+ T cells should accumulate more TLS than tumors in which this T cell population is scarce. To
208	assess this, we analyzed TCGA mRNA expression data of ovarian, uterine, lung and breast cancer
209	using the CD103 ⁺ CD8 ⁺ and CD103 ⁻ CD8 ⁺ T cell gene signatures identified by our mRNA sequencing.
210	The TLS gene signature was strongly correlated to CD103 ⁺ CD8 ⁺ T cell genes, but not to CD103-
211	CD8+ T cell gene signatures across all four tumor types (Figure 7). In line with our data, CXCL13-high
212	ovarian tumors (>median CXCL13 gene expression) were strongly enriched for a CD103 $^+$ CD8 $^+$
213	signature (Enrichment Score (ES) 0.83, p<0.0001). By contrast, there was no enrichment for CD103 ⁻
214	$CD8^+$ genes in CXCL13-high ovarian tumors (ES 0.26, P=0.36). Similar results were obtained in
215	uterine cancer (CD103 ⁺ CD8 ⁺ signature ES 0.88, P<0.0001, CD103 ⁻ CD8 ⁺ signature ES 0.20, P= 0.88).
216	Taken together, our data demonstrates TGF- β 1 primes CD8+ T cells to produce and secrete
217	CXCL13, and may therefore promote the formation of TLS.

218 Discussion

219 In this study we report on the unexpected finding that transforming growth factor beta (TGF- β) 220 stimulates activated CD8+ T cells to produce chemokine (C-X-C motif) ligand 13 (CXCL13), a known inducer of tertiary lymphoid structures (TLS)²²⁻²⁴. This production of CXCL13 was paralleled by the 221 induction of CD103 on the cell surface of CD8+ cells in vitro. Further, CD103+ cytotoxic T 222 223 lymphocytes (CTLs) isolated directly from human tumors strongly expressed CXCL13 mRNA and 224 secreted CXCL13 protein upon ex vivo reactivation. Notably, the presence of TLS gene signatures 225 was strongly increased in highly mutated, CD103+ T cell-enriched human tumors from The Cancer 226 Genome Atlas (TCGA). Further, the absolute number of TLS was increased in an independent cohort 227 of neo-antigen-low, -intermediate and -high endometrial cancers. Our findings shed new light on the 228 link between innate and adaptive immunity in general and on the link between CD8+ CTL activation 229 and the induction of TLS in particular. Our data identify CD103 and TLS as potential biomarkers of 230 interest for cancer immunotherapy.

The expression of CXCL13 in CD103+ CTLs was remarkable, since CXCL13 is reported to be 231 produced by DCs, T_{FH} and B cells only^{32,33,37,38}. We have therefore carefully assessed previously 232 published (single cell) sequencing data of exhausted, tumor-infiltrating T cells of liver cancer, lung 233 cancer and melanoma^{9,39,40}. These published data support our finding that exhausted CD8+ TIL can 234 235 express CXCL13 on the mRNA level, even though this finding was not mentioned in either of the 236 papers. Moreover, the finding that TGF- β 1, a cytokine mostly associated with immune suppression^{32,41–45}, was essential for the induction of CXCL13 is intriguing. Under homeostatic 237 conditions, TGF-B1 is abundantly present in epithelial tissue and controls the epithelial localization of 238 resident memory immune subsets such as the intraepithelial lymphocytes in the colon⁴⁶. In epithelial 239 240 cancers, we suggest that TGF-β1 has a similar role in promoting not only recruitment, signaling and retention of CD8+ CTLs via CD103 expression³⁵, but also stimulating immunity via attraction of C-X-C 241 242 chemokine receptor type 5 (CXCR5)+ immune cells through CXCL13 signaling.

CXCL13 is the key molecular determinant of TLS formation^{22–24}, ectopic lymphoid structures that are thought to enable efficient local priming of T cells by dendritic cells (DCs)^{11,12}. Hereby, the time-consuming migration of DCs and T cells to and from secondary lymph nodes may be circumvented, augmenting local anti-tumor immunity. In line with this, characteristic components of TLS such as high endothelial venules (HEVs) and B cells, were found to be generally associated with an improved prognosis¹³. In addition, plasma B cells in the TLS are thought to enhance the antitumor
 response by production and subsequent accumulation of anti-tumor antibodies, potentially leading to
 antibody-dependent cytotoxicity and opsonization¹⁶. Thus, TLS may orchestrate a joint T and B cells
 response to improve anti-tumor immunity.

252 As TLS were found to be more abundant in tumors with a high mutational load, we postulated 253 that activated CD103+ CTLs are involved in the formation of TLS in cancer via production of CXCL13. 254 This is supported by the observations that highly mutated, CD8+ T cell-rich tumors showed higher 255 expression of CXCL13 and ITGAE (CD103) and that they presented with significantly higher numbers of TLS. In accordance, a higher degree of T cell receptor clonality within CD8+ T cells correlated with 256 a higher number of TLS in non-small cell lung cancer⁴⁷. These TLS may represent an ongoing 257 258 immune response that was insufficient to halt tumor progression at an early time point. It would 259 therefore be of great interest to study the induction and formation of TLS in developing cancer lesions 260 and to determine whether CD8 infiltration precedes TLS formation.

In line with previous work^{28,29}, CD103+ CTLs from human tumors were also characterized by a marked activation and exhaustion-related gene expression signature with differential expression of granzymes and well-known immune checkpoint molecules, such as cytotoxic T-lymphocyteassociated protein 4 (*CTLA4*). In addition, CD103+ CTLs expressed a host of additional immune checkpoint genes currently under clinical investigation, such as T cell immunoglobulin and mucindomain containing-3 (*TIM3*), lymphocyte-activation protein 3 (*LAG3*) and T-cell immunoglobulin and ITIM domain (*TIGIT*). As such, our findings also have implications for clinical immunotherapy.

268 Indeed, tissue-resident CD103+ CTLs were recently found to be significantly expanded upon treatment with Nivolumab and Pembrolizumab (anti-PD-1) in tumor specimens of advanced stage 269 metastatic melanoma patients⁴⁸. Accordingly, a paper by Riaz et al. demonstrated that tumors from 270 patients who responded to Nivolumab treatment differentially expressed genes such as CTLA4, TIM3, 271 LAG3, PDCD1, Granzyme B (GZMB), tumor necrosis factor receptor superfamily member 9 272 (TNFRSF9) and CXCL13, all genes overexpressed in CD103+ vs. CD103- CTLs9. Notably, pre-273 treatment, but not on-treatment CXCL13 was differentially expressed in responders vs. non-274 responders in this study⁹. This may be explained by the low basal CXCL13 secretion we observed in 275 276 the exhausted, CD103+ CTLs freshly isolated from untreated human tumors. In their exhausted state, 277 CTLs might accumulate mRNA encoding several key effector molecules, that is translated only upon 278 reactivation by e.g. immune checkpoint blockade (ICB). In line with this, Riaz et al. observed a 279 marked increase in the number of B cell-related genes on treatment in responding patients⁹, perhaps 280 hinting at the formation of TLS in these patients upon ICB-mediated release of CXCL13. This 281 hypothesis is supported by the recently published increase in serum CXCL13 levels and concomitant 282 depletion of CXCR5+ B cells from the circulation in patients treated with anti-CTLA-4 and/or anti-PD-1 283 antibodies⁴⁹. Our data therefore suggest that ICB is of particular interest for patients with a high 284 CD103+ CXCL13+ CTL infiltration pre-treatment across malignancies.

285 Several novel combination immunotherapy regimes that promote CTL infiltration and TLS formation may also function via CTL-dependent production of CXCL13. For instance, combined 286 287 therapy with anti-angiogenic and immunotherapeutic agents in mice stimulated the transformation of 288 tumor blood vessels into intratumoral HEVs, which subsequently enhanced the infiltration and activation of CTLs and the destruction of tumor cells^{50,51}. These CTLs formed structures around the 289 HEVs that closely resembled TLS^{50,51}. One of these studies found that induction of TLS was 290 dependent on both CD8+ T cells and macrophages⁵⁰. However, the exact intratumoral mechanism of 291 292 action remained unclarified. Since macrophages produce TGF-ß in a chronically inflamed environment³², we hypothesize that the macrophages in these studies may have generated a TGF-β 293 294 enriched environment, thus leading to the production of CXCL13 chemokine by activated T cells and 295 subsequently to the formation of lymphoid structures. TLS may therefore reflect an ongoing CD8+ T 296 cell response in cancer. As such, TLS may be used as a biomarker to predict response to immune 297 checkpoint blockade. In addition, these structures may be used as a general biomarker for response 298 to immunotherapy, since TLS were found to mark pancreatic cancer patients who responded to therapeutic vaccination⁵². 299

Taken together, we demonstrate that TGF-β1 induces co-expression of CXCL13 and CD103
 in CD8+ T cells, linking CD8+ T cell activation to TLS formation. Our findings therefore provide a new
 perspective on how (neo-)antigens can promote the formation of TLS in human tumors. Accordingly,
 TLS and/or CD103+ cells should be considered as a potential novel predictive or response biomarker
 for immune checkpoint blockade therapy.

305 Materials and Methods

306 Patients

307 Tumor tissue from four patients with stage IIIC high-grade serous ovarian cancer was collected during 308 primary cytoreductive surgery, prior to chemotherapy, and from one patient with stage IV high-grade 309 serous ovarian cancer during interval debulking upon three cycles of chemotherapy. Selection of uterine cancer (UC) patients was described previously⁵³. Briefly, UC tissue was obtained from 310 311 patients involved in the PORTEC-1 and PORTEC-2 studies (n=57) and the UC series (n=67) rom Leiden University Medical Center (LUMC) and UC series (n=26) from the University Medical Center 312 Groningen²⁶. Tumor material from 119 patients was available for analysis. Mutations in the 313 exonuclease domain of polymerase epsilon (POLE-EDM) and microsatellite instability status were 314 known from previous studies (Van Gool, Church). Of the tumors available for this study, 42 tumors 315 316 were POLE wild-type, microsatellite stable (MSS), 38 were POLE wild-type, microsatellite unstable 317 (MSI) and 39 were POLE-EDM. POLE-EDM statuses did not co-occur with microsatellite instability. All 318 cases were of endometrioid histology (EEC) and the number of low grade and high-grade tumors was 319 spread equally over the three molecular groups. Ethical approval for tumor molecular analysis was 320 granted at LUMC, UMCG and by Oxfordshire Research Ethics Committee B (Approval No. 321 05\Q1605\66).

322 Analysis of TCGA mRNA sequencing data

323 RSEM normalized mRNAseq data and clinical data from uterine corpus endometrial carcinoma

324 (UCEC), ovarian cancer (OV), breast cancer (BRCA) and lung adenocarcinoma (LUAD) were

downloaded from firebrowse.org on 13-03-2017 (UCEC) and 14-07-2017(OV, BRCA, LUAD). RSEM

326 mRNA sequencing expression data were log2 +1 transformed and genes with zero reads in all

327 samples were removed. POLE-EDM, MSI and MSS cases were identified in the endometrial cancer

328 data; mononucleotide and dinucleotide marker panel analysis status was provided by The Cancer

- 329 Genome Atlas (TCGA) and mutations in the exonuclease domain of POLE were determined
- previously⁵⁴. Heatmaps were constructed in R (version 3.3.1) with packages gplots and ggplots. The
- 331 javaGSEA Desktop Application was downloaded from

332 http://software.broadinstitute.org/gsea/index.jsp. TCGA uterine corpus endometrial cancer and

333 ovarian cancer log2+1 transformed data and phenotype data were converted to a suitable format and

antered in the GSEA desktop application. Several gene-sets were used to determine enrichment for

335 cytotoxic T lymphocytes (CTLs), CD8+ T cells, CD4 helper cells or tertiary lymphoid

336 structures(TLS)^{13,55}, as well as the CD8+CD103+ signatures derived from the sequencing data. Gene-

337 set enrichment for TLS genes was determined for POLE-EDM versus MSS in uterine corpus

338 endometrial cancer and gene-set enrichments for CTLs, CD8, CD4, TFH and CD8+CD103+ genes

339 were determined for CXCL13hi versus CXCL13lo (based on median gene expression) tumors in all

340 cancers analyzed.

341 Spearman correlations between the TLS signature, the CD8+CD103+ signature and the CD8+CD103-

342 signature were visualized in correlation plots using the Corrplot package (Version 0.77) in R.

343 Differences in survival were evaluated with a logrank test within the Survival package (Version 2.41-3)

in R. All analyses were performed in R (version 3.4.0), with exception of the construction of the

heatmap in Figure 1, which was made in R version 3.3.1.

346 Immunohistochemistry

Formalin-fixed, paraffin-embedded (FFPE) slides were de-paraffinized and rehydrated in graded 347 ethanol. Antigen retrieval was initiated with a preheated 10mM citrate buffer (pH6) and endogenous 348 349 peroxidase activity was blocked by submerging sections in a 0.45% Hydrogen peroxide solution. 350 Slides were incubated overnight with 0.63 mg/L of anti-CD20 antibody (Dako, Glostrup, Denmark) at 351 4°C. Subsequently, slides were incubated with a peroxidase-labeled polymer for 30 minutes 352 (Envision+ anti-mouse Dako, Carpinteria, USA). Signal was visualized with 3,3'diaminobenzidin 353 (DAB) solution and slides were counterstained with haematoxylin. Appropriate washing steps with 354 PBS were performed in-between incubation steps. Sections were embedded in Eukitt mounting 355 medium (Sigma Aldrich, Steinheim, Germany) and slides were scanned on a Hamamatsu digital slide 356 scanner (Hamamatsu photonics, Hamamatsu, Japan). The number of CD20+ (dense) follicles in each 357 slide was quantified in NDPview2 software by two independent observers who were blinded to clinicopathological data. Immunohistochemistry for CD8 was performed previously in this cohort²⁶. 358

359 Multi-color immunofluorescence

FFPE slide preparation and antigen retrieval were performed as described above. Next, slides were
 incubated overnight at 4°C with primary antibody and subsequently incubated with the appropriate

secondary antibody for 45 minutes at room temperature. Specific signal was amplified using the TSA 362 363 Cyanine 5 (Cy5) detection kit (Perkin Elmer, NEL705A001KT, Boston, USA) or the TSA Cyanine 3 364 (Cy3) and Fluorescein detection kit (Perkin Elmer, 753001KT, Waltham, USA), according to 365 manufacturer's protocols. To allow multiple amplifications on the same slide, primary HRP labels were 366 destroyed between incubations by washing with 0.01 M hydrochloric acid for 10 minutes. Appropriate 367 washing steps with PBS-0,05%Tween20 were performed during the procedure. Finally, slides were embedded in Prolong Diamond anti-fade mounting medium with or without DAPI (Invitrogen/Thermo 368 369 Fisher Scientific, P36962 and P36961, Oregon, USA) and scanned using the TissueFAXS 370 microscope (TissueGnostics, Vienna, Austria). Overlay images were produced using Adobe 371 Photoshop software.

372 mRNA sequencing

373 Ovarian tumors from two patients were cut into pieces of <1 mm3 and put in a culture flask with 374 digestion medium, consisting of RPMI (Gibco, Paisley, UK), 10% Fetal Bovine Serum (FBS, Gibco, 375 Paisley, UK), 1 mg/ml collagenase type IV (Gibco, Grand Island, USA) and 12.6 mL/L Pulmozyme 376 (Roche, Woerden, the Netherlands) for overnight digestion at room temperature. After digestion, the 377 suspension was washed with PBS and strained through a 70 µm filter. Cells were centrifuged over a 378 Ficoll-Paque gradient (GE Healthcare Bio-Sciences AB, Uppsala, Sweden), suspended in FBS with 379 10% dimethylsulfoxide and stored in liquid nitrogen until further use. Prior to sequencing, tumor 380 digests were thawed on ice, washed with AIM-V medium (Gibco, Paisley, UK) with 5% pooled human 381 serum (PHS, One Lambda, USA) and centrifuged at 1000g. Pellets were resuspended in AIM-V with 382 5% PHS and cells were incubated with CD3-BV421, CD4-PerCP-Cγ5.5, CD8α-APCeFluor780, CD8β-383 PEcv7 TCRαβ-APC, CD103-FITC and CD56-PE antibodies at 4°C for 45 minutes. After gating for 384 CD3+CD4-CD8αβ+TCRαβ+CD56- cells, CD103- and CD103+ single cells were sorted on a Beckman Coulter Astrios directly into lysis buffer (0.2 % Triton X-100 and Recombinant RNase inhibitor 385 386 (Westburg-Clontech) in 96-well PCR plates. Each well contained a unique indexed Oligo dT primer, 387 enabling identification of individual cells after pooled RNA sequencing. In addition to single cells wells, 388 small bulk population of 20 cells were sorted per microplate well. Per patient, 40 single CD8⁺ T cells 389 (20 wells CD103⁺, 20 wells CD103⁻) and 20 small bulk 20-cell populations (10 wells CD103⁺, 10 wells 390 CD103⁻) were sorted. After lysis of the cells, the transcriptomes were amplified by a modified SMART-

391 Seq2 protocol using SmartScribe reverse transcriptase (Westburg-Clontech, CL639537), based on a previously published protocol (Picelli et al. REF). Sequencing libraries were prepared using the Illumina 392 393 Nextera XT DNA sample preparation kit. Presence and size distribution of the obtained PCR product 394 were checked on a PerkinElmer LabChip GX high-sensitivity DNA chip. A super pool was created by 395 equimolar pooling of the Nextera products and the samples were sequenced on Illumina NextSeq500 396 2500 using 50bp paired-end reads, one read for the mRNA transcript and the other for the cell-397 barcode. The obtained RNA sequencing data were demultiplexed into individual FASTQ files. The 398 obtained single-end reads were aligned to human reference genome 37 (GRCh37, top-level built), 399 using STAR (version 2.5.2). We then used RNA-SeQC (version 1.1.8) to assess the quality of each 400 sample and all cells that did not meet one of the following criteria were removed: <10000 transcripts 401 detected, <500000 uniquely mapped reads, <1000 genes detected, a mapping rate of <0.5, an 402 expression profiling efficiency of <0.4 or an exonic rate of <0.5. Differential expression was analyzed 403 to obtain insight into the differences between CD103⁺ and CD103⁻ CD8⁺ T cells from the 20-cell 404 populations with DESeq2 (version 1.16.1). For this analysis, expression values for each sample have 405 been obtained using RSEM (version 1.3.0, with Bowtie 2, version 2.2.5, non-stranded and with the 406 single-cell prior activated to account for drop-out genes in both, bulk and single cells) and have been 407 computed for the Gencode 19 transcriptome annotation for GRCh37 (reference index built with -408 polyA activated).

Genes with a Benjamini-Hochberg adjusted p-value of <0.05 were selected for further analysis.
Differentially expressed genes were visualized in a Volcano plot (DESeq2, version 1.16.1).

411 ELISA

412 Tumor-infiltrating lymphocytes from three high-grade serous ovarian cancer digests were stained and sorted as described for mRNA sequencing. The numbers of sorted T cells for the three patients were 413 163 x10³, 216 x10³ and 154 x10³ for CD4+ cells, 82 x10³, 38x10³ and 83 x10³ for CD8+CD103- and 414 207 x10³, 120 x10³ and146 x10³ for CD8+CD103+ T cells. Sorted T cells remained unstimulated or 415 416 were activated, either with phorbol myristate acetate (PMA) and ionomycin (500x dilution, Invitrogen, 417 00-4970-93 Carlsbad USA) or with Dynabeads® (2µL/1x10⁵ cells, T-activator CD3/CD28 beads, 418 11131D, Gibco, Oslo, Norway and Vilnius, Lithuania). In addition, peripheral blood CD8+ T cells were 419 isolated from blood of four healthy volunteers by a Ficoll-Pague gradient followed by magnetic

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420 activated cell sorting with a CD8 T cell negative selection kit (Affymetrix, San Diego, USA). Peripheral blood CD8+ T cells were incubated in AIM-V medium, with or without Dynabeads® (2µL/1x10⁵ cells) 421 422 for activation, recombinant TFG-β1 (rTGF- β1, 100 ng/mL, Peprotech, USA), TGF-β1 receptor 423 inhibitor (10µM, SB431542, Sigma Aldrich/Merck, Saint Louis, USA) or a combination of these. 424 Similar experiments were performed with the addition of IL2 (100 IU/mL. Novartis Pharmaceuticals, 425 UK). For the dose-response curve, peripheral blood CD8+ T cells from three healthy donors were incubated with or without Dynabeads® (2µL/1x10⁵ cells) for activation and with recombinant TFG-B1 426 at doses ranging from 0 to 100 ng/mL (rTGF- β1, Peprotech, USA). All cells were cultured in AIM-V 427 medium with 5% pooled human serum in 96-well plates containing 1×10^5 cells per condition. After 7 428 429 days, plates were centrifuged and supernatant was collected for ELISA. CXCL13 sandwich ELISA 430 experiments were performed according to manufacturer's protocol (Human CXCL13/BLC/BCA-1 431 DuoSet ELISA DY801, R&D Abingdon, UK or, for the dose-response curve, Minneapolis, USA). In 432 brief, plates were coated with a capture antibody, followed by incubation with cell supernatant. Binding of CXCL13 was detected using secondary antibody, streptavidin-HRP and TMB 1-Component 433 434 Microwell Peroxidase Substrate (SureBlue, KPL/SeraCare, Milford, USA) Substrate conversion was 435 stopped after 20 minutes with 0.01M Hydrogen Chloride. Plates were washed with PBS-436 0.05%Tween20 in-between incubations. OD values were obtained using a micro plate reader set to 450 nm (BioRad iMark[™] Microplate reader). AIM-V medium was used as a negative control. 437

438 Chemokine arrays

439 CD8+ T cells were isolated from from blood of three healthy donors as described for ELISA. Per 440 condition, 5x10⁵ cells were cultured in AIM-V medium with 5% PHS in a 24-well plate. Cells were 441 either incubated for 7 days in medium alone, with rTGF-B1 (100 ng/mL, Peprotech, USA), with 442 Dynabeads® (2µL/1x10⁵ cells, T-activator CD3/CD28 beads, 11131D, Gibco, Oslo, Norway and Vilnius, Lithuania) or with both rTGF-β1 and Dynabeads®. Samples were centrifuged and 443 444 supernatants were collected to analyze production of chemokines on chemokine arrays, according to 445 manufacturer's instructions (31 chemokines using the Proteome Profiler Human Chemokine Array Kit, 446 ARY017, R&D, Abingdon, UK, and 38 chemokines using the Human Chemokine Antibody Array -447 Membrane, ab169812, Abcam, Huissen, the Netherlands). In brief, chemokine receptor-coated 448 membranes were incubated with supernatant over night at 4°C. Next, captured proteins were

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449 visualized using chemiluminescent detection reagents. Appropriate washing steps were performed in-

450 between incubation steps. Membranes were imaged on BioRad ChemiDoc[™] MP Imaging System,

densitometric analysis of chemokine spots was performed using the Protein Array Analyzer plugin for
Image J⁵⁶.

453 Statistical analyses

454 Differentially expressed genes in CD103+CD8+ versus CD103-CD8+ T cells sorted from human ovarian tumors were determined by DESeq2 for 20 cells-populations. Genes with a Benjamini 455 456 Hochberg adjusted p-value of <0.05 were selected for further analysis. Differences in FPKM-values of 457 single-cells were assessed by a Mann-Whitney U test. Differences in number of TLS on FFPE slides 458 of molecular subgroups of EC were determined by a non-parametric Kruskal-Wallis test, followed by 459 Dunn's post-hoc analysis. We analyzed TCGA mRNA sequencing data and compared differences in 460 gene expression between molecular subgroups of EC with a non-parametric Kruskal-Wallis test and a 461 post-hoc Dunn's test. CXCL13 production was analyzed using a Kruskal-Wallis comparison with a 462 post-hoc Dunn's test, or, for the dose-response curve, with a two-way ANOVA followed by a post-hoc 463 Bonferroni test. The chemokine arrays were analyzed using a Kruskal-Wallis test with a post-hoc 464 Dunn's test. All statistical analyses were performed using R version 3.4.0 or GraphPad Prism 465 (GraphPad Software Inc., CA, USA).

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477 Author Contributions

- 478 HHW, RA and MG performed TCGA and RNAseq analyses; JML and TP performed the IHC and IF
- 479 staining; PV and KL performed the RNAseq experiments; TB, CLC, and IG collected, processed and
- 480 selected the tumor tissue for IHC and IF; FAE, MCAW, FLK and EP collected and processed tumor
- 481 tissue for RNAseq and ex vivo studies; HHW, JML and AK performed the in vitro and ex vivo
- 482 analyses; DNC, HWN, and MB supervised the study; HHW, JML, HWN and MB conceived and
- 483 designed the study and wrote the paper.

484 **Disclosure of Competing Interests**

485 The authors have no conflicts of interest to disclose.

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619

620 Figure legends

Figure 1. Tertiary lymphoid structures are associated with mutational load in human tumors A) Heatmap of relative gene expression of tertiary lymphoid structures-associated genes, cytotoxic T cell-, CD8+ T cell- and CD4+ follicular helper T cell-related genes in TCGA data of ovarian, uterine, lung and breast cancer. Tumors are ranked from lowest to highest number of mutations from left to right. B) Heatmap of relative gene expression of tertiary lymphoid structures-associated genes, cytotoxic T cell-, CD8+ T cell- and CD4+ follicular helper T cell-related genes in TCGA data of uterine cancer (UCEC). Tumors are ranked according to molecular subtype.

628

629 Figure 2. Tertiary lymphoid structures are enriched in genomically unstable uterine tumors. A)

630 Representative images of immunohistochemistry for CD20 in molecular subgroups of uterine cancer,

- 631 namely microsatellite stable (MSS), microsatellite unstable (MSI) and Polymerase Epsilon
- 632 Exonuclease Domain Mutated (*POLE*-EDM). **B)** Quantification of tertiary lymphoid structures (TLS) in
- MSS, MSI and *POLE*-EDM endometrial tumors (***p<0.001 and **p<0.01). P values were calculated

634 with a Kruskal-Wallis comparison with a post-hoc Dunn's test. Error bars represent median±range. C)

- 635 Multi-color immunofluorescence of TLS in a POLE-EDM tumor stained with three panels of tertiary
- 636 lymphoid structure markers. Peripheral node addressin (PNAd, marker for high-endothelial venule),
- 637 CD20 (B cells) and CD11c (dendritic cells) in panel 1 (top row), B cell markers CD38, CD79a and
- 638 CD138 in panel 2 (middle row) and T cell markers CD3, CD8 and CD103 in panel 3 (bottom row).

639

Figure 3. Tertiary lymphoid structure genesets are enriched in genomically unstable uterine tumors in TCGA

- 642 Spearman correlation plots of TLS, CTL, CD8, CD4 and TFH gene signatures of ovarian, uterine, lung
- and breast cancer log2+1 transformed mRNA sequencing data from The Cancer Genome Atlas.
- 644 Relative gene expression is depicted.

645

Figure 4. Sorting strategy for the identification of CD103+ and CD103- CD8+ T cells from primary human tumors

A) Gating strategy used to sort CD103+/- CD8+ T cells from human ovarian tumors. B) t-Distributed

649 Stochastic Neighbour Embedding (tSNE) of flow cytometry data of individual human ovarian tumors.

650 **C)** Relative fluorescent intensity on tSNE per flow cytometry marker, shown for individual tumors.

651

652 Figure 5. CD8⁺ CD103⁺ T cells are an exhausted T cell subtype characterized by CXCL13 653 expression. A) t-Distributed Stochastic Neighbor Embedding (tSNE) of flow cytometry data of human 654 ovarian tumors based on flow cytometric analysis of TCRaß CD3, CD4, CD8a, CD8ß CD103 and 655 CD56. In the right panel, the colored dots mark CD103⁺ and CD103⁻ cells sorted for single-cell 656 sequencing. B) Differential expression analysis (DESeq2) of 20-cell pool CD103⁺ versus CD103⁻ 657 CD8+ T cell populations. Data is shown in a Volcano plot, with log2-fold change on the x-axis and the 658 p-value on the y-axis. The highest differentially expressed (DE) genes in both the CD103 and the 659 CD103+ populations are highlighted. C) Inset of differentially expressed genes from panel B. DE 660 genes involved in T cell activation and exhaustion are highlighted (green, all p-value <0.01 and a 661 log2-fold change >2). CXCL13 is highlighted in red. As an internal control ITGAE, the gene encoding 662 CD103, is depicted in pink. D) Gene-expression (Fragments Per Kilobase of transcript per Million mapped reads, FPKM) in CD103⁺ (blue) and CD103⁻ (orange) CD8⁺ single-cells. Differences were 663 664 determined by a Mann-Whitney U test.

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666 Figure 6. CD103⁺CXCL13⁺ T cells are induced by activation of CD8⁺ T cells in the presence of 667 **TGF-** β **.** A) Tumor-infiltrating CD103⁺ CD8⁺ cells were sorted from human high-grade serous ovarian tumors (HGSOC) (n=3), cells were cultured in medium and stimulated with phorbol myristate acetate 668 669 (PMA) and ionomycin, Dynabeads (aCD3/aCD28-T cell activation beads) or remained unstimulated. 670 CXCL13 production was measured by sandwich ELISA (pg/mL)(*p<0.05). P-values were calculated 671 using a Kruskal-Wallis comparison with a post-hoc Dunn's test. Error bars represent mean+SEM B) 672 CD8+ T cells were sorted from peripheral blood of healthy donors by negative selection magnetic 673 activated cell sorting (n=4, analyzed in duplicate). CD8+ T cells were cultured in medium with or 674 without Dynabeads (αCD3/αCD28-T cell activation beads), recombinant TGF-β1 and/or TGF-β1 675 receptor inhibitor SB431542. Expression of CD103 was assessed by flow cytometry C) CD8+ T cells from healthy donors were obtained as described for panel B and cultured with TGF-β or SB431532 or 676 a combination of these. CXCL13 production was measured by sandwich ELISA (pg/mL)(n=4, 677 678 analyzed in duplicate) (*p<0.05). P-values were calculated using a Kruskal-Wallis comparison with a 679 post-hoc Dunn's test. Error bars represent mean+SEM D) CD8+ T cells were cultured as described 680 for panel B, with the addition of IL-2 in the culture medium. CXCL13 production was measured by 681 sandwich ELISA (pg/mL) (**p<0.01). P-values for were calculated using a one-way ANOVA with a 682 Kruskal-Wallis comparison. Error bars represent mean+SEM. E CD8+ T cells from healthy donors 683 were cultured with concentrations of recombinant TGF-β1 ranging from 0 to 100 ng/mL in medium 684 with or without Dynabeads (αCD3/αCD28-T cell activation beads) and CXCL13 production (pg/mL) 685 was measured by sandwich ELISA (n=3, analyzed in duplicate) (****p<0.0001, *p<0.05). P-values 686 were calculated using a two-way ANOVA followed by a post-hoc Bonferroni test. Error bars represent 687 mean+SEM F-G) CD8+ T cells were sorted from healthy donors by negative selection magnetic activated cell sorting (n=3). CD8+ T cells were cultured in medium alone, with addition of TGF-ß or 688 689 Dynabeads, or a combination of these. Chemokine arrays were used to assess chemokine production 690 in harvested supernatants. Representative images of chemokine array membranes (F) and 691 densitometric analysis used to quantify chemokine production per conditions are depicted as a 692 function of mode of activation (G) (**p<0.01, *p<0.05). P-values were calculated using a Kruskal-693 Wallis test with post-hoc Dunn's test. Error bars represent mean+SD.

694

Figure 7. Tertiary lymphoid structures are abundant in CD103⁺CD8⁺ T cell-enriched tumors

696 Spearman correlation plots of TLS, CD103⁺CD8⁺ and CD103⁻CD8⁺ gene signatures of ovarian,

- 697 uterine, lung and breast cancer log2+1 transformed mRNA sequencing data from The Cancer
- 698 Genome Atlas. Relative gene expression is depicted.

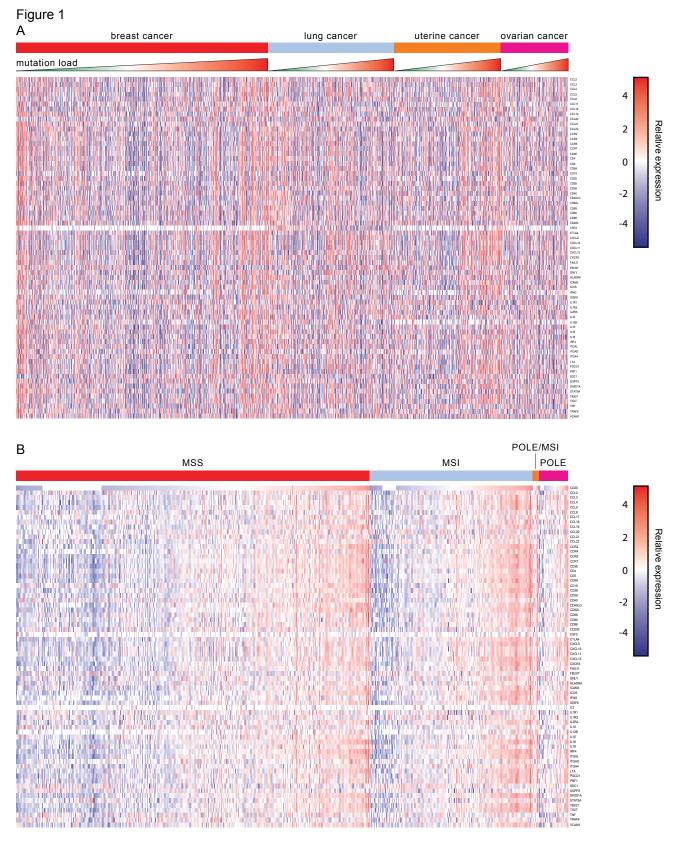
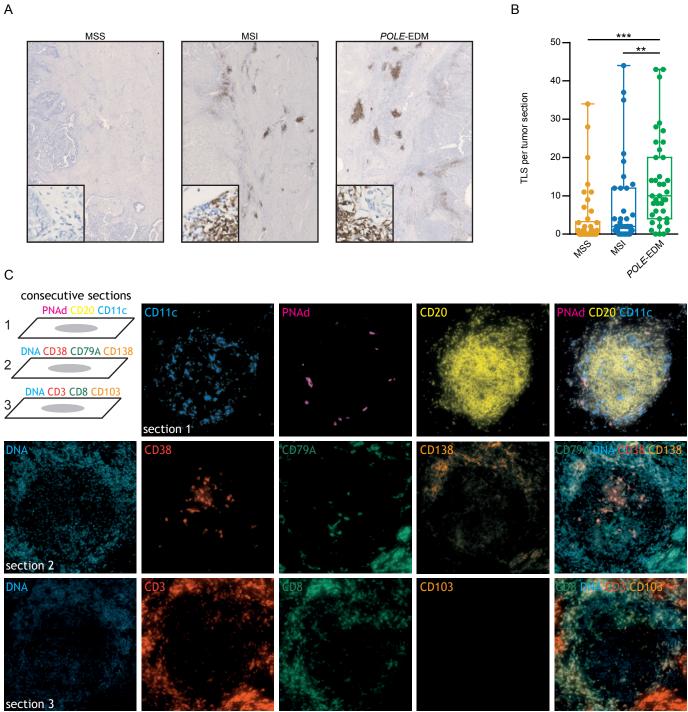
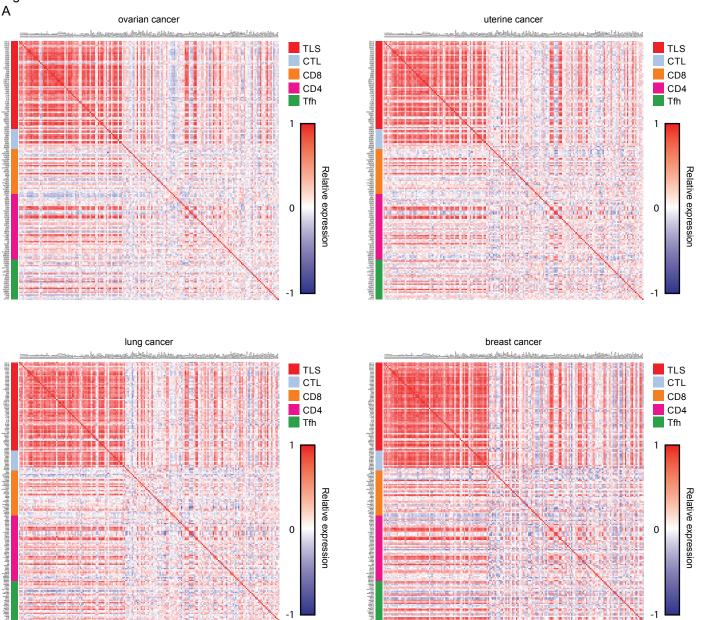


Figure 2





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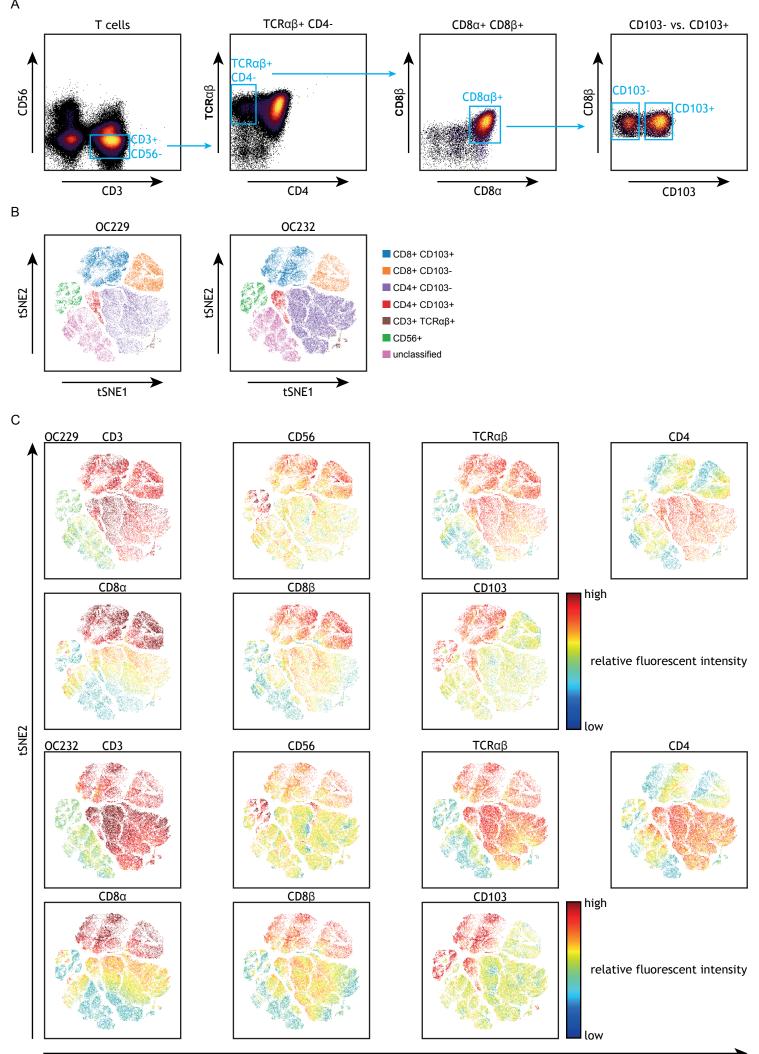
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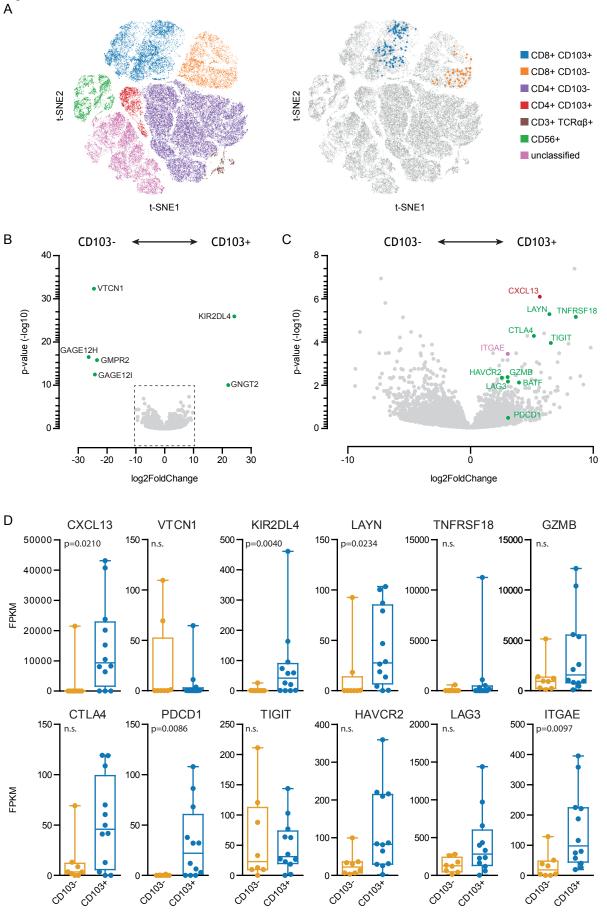
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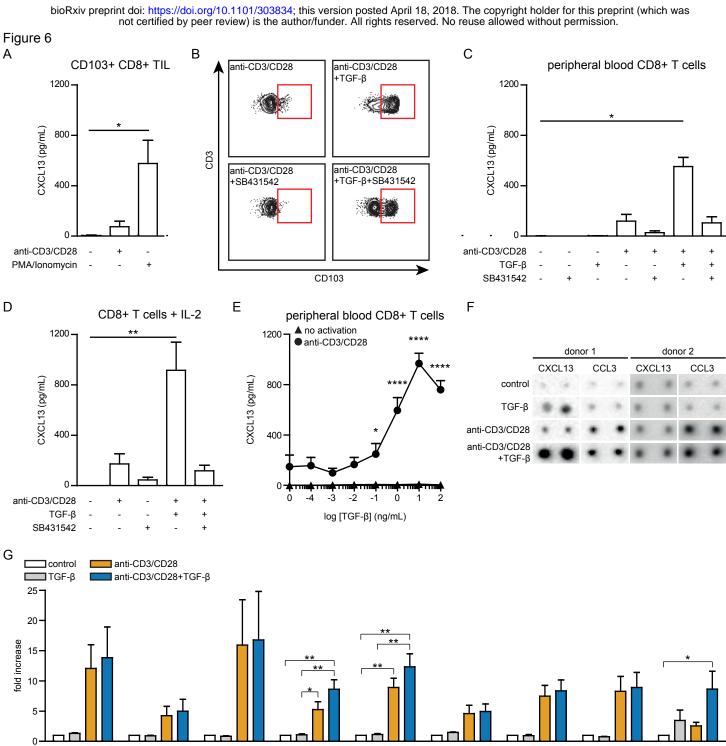
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CCL3

CCL4

CCL5

CCL17 CCL20 CCL22

CXCL9 CXCL10 CXCL13

Figure 7

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