CATECHOLAMINE CHALLENGE UNCOVERS DISTINCT MECHANISMS FOR DIRECT VERSUS INDIRECT,

BUT NOT SOCIAL VERSUS NON-SOCIAL, LEARNING

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ABSTRACT

Evidence that social and individual learning are at least partially dissociable sustains the belief that

humans possess adaptive specialisations for social learning. However, in most extant paradigms,

social information comprises an indirect source that can be used to supplement one's own, direct,

experience. Thus, social and individual learning differ both in terms of social nature (social versus

non-social) and directness (indirect versus direct). To test whether the dissociation between social

and individual learning is best explained in terms of social nature or directness, we used a

catecholaminergic challenge known to modulate learning. Two groups completed a decision-making

task which required direct learning, from own experience, and indirect learning from an additional

source. The groups differed in terms of whether the indirect source was social or non-social. The

catecholamine transporter blocker, methylphenidate, affected direct learning by improving

adaptation to changes in the volatility of the environment but there was no effect of

methylphenidate on learning from the social or non-social indirect source. Thus, we report positive

evidence for a dissociable effect of methylphenidate on direct and indirect learning, but no evidence

for a distinction between social and non-social. These data fail to support the adaptive specialisation

view, instead providing evidence for distinct mechanisms for direct versus indirect learning.

The last decade has seen a burgeoning interest in studying the neural and computational

mechanisms that underpin social learning, our ability to learn from other people. Notable findings in

this field include the observation that the same computations, based on the calculation of prediction

error, are involved in social learning and learning from personal experiences of reward (individual

learning) [1]; both social and individual learning have been associated with activity in common brain

regions [2]; and, prior preferences bias social learning as they do individual learning [3]. Such findings

promote the view that 'domain-general' learning mechanisms underpin social learning [4,5]: we learn

from other people in the same way that we learn from any other stimulus in our environment.

Though this conclusion may be of little surprise to the neuroscientist, it is likely to raise the

eyebrows of many evolutionary psychologists, behavioural ecologists and others studying the

evolution of social learning. In attempting to explain how rich, cumulative, human culture has come

about, academics in these fields have argued that humans possess social-specific learning

mechanisms - adaptive specialisations moulded by natural selection to cope with the pressures of

group living [6,7]. According to this view, these adaptive specialisations differ from the domain-general

associative processes that animals use to learn about their environment. Thus, evidence that social

learning depends on domain-general mechanisms, is at odds with the adaptive specialisation view.

Despite evidence to the contrary, the view that there is something special about *social* learning

persists. This view is implicit in neuroscience's continued examination of the neural and

computational mechanisms of social learning: if it were a foregone conclusion that all instances of

social learning can be explained by domain-general principles there would be no mileage in further

investigations of specifically social learning. Consistent with the hypothesis that social learning is

special, studies have demonstrated that social and individual learning are at least partially

dissociable. Behrens and colleagues [8] demonstrated activity relating to social and individual learning

in dissociable neural pathways, and a number of studies have found correlations between

personality traits and social, but not individual, learning [9–11].

Studies, such as those mentioned above, that have dissociated social and individual learning have

typically used a single task wherein both social and individual learning happen concurrently. Though

this presents advantages in terms of ecological validity (everyday decisions often require the

integration of social information with information derived from one's own experience), it also

presents a confound: in this situation the social information (e.g. social advice) comprises an

indirect, additional, source of information that should be integrated with one's own, direct,

experience. For example, in the Behrens et al. study participants were required to choose between a

blue and a green box to accumulate points. Outcome information came in the form of a blue or

green indicator thus *directly* informing participants about whether they had made the correct choice

on the current trial (i.e. if the outcome indicator was blue, then the blue box was correct). Each trial

also featured a red frame, which represented social information, surrounding one of the two boxes.

The outcome indicator *indirectly* informed participants about the veracity of the frame: if the

outcome was blue AND the frame surrounded the blue box, then the frame was correct. Thus, in

most extant paradigms, social and individual learning differ both in terms of social nature (social or

non-social) and directness (indirect or direct). Consequently, it is unclear which of these factors

accounts for the previously observed dissociations between social and individual learning. Notably, a

social-nature-based explanation would support the adaptive specialisation view, whereas a

directness-based explanation would not.

Here we used a psychopharmacological challenge to test whether the dissociation between social

and individual learning is best explained in terms of the social versus non-social or the indirect

versus direct nature of the learning source. We employed a between-groups design wherein both

groups completed a modified version of the decision-making task employed by Behrens and

colleagues in which participants could improve their performance by learning from their own

previous experience, and from an additional indirect source of information. For one group the

indirect source was social in nature (Social Group). For the Non-Social Group the indirect source

comprised a system of rigged roulette wheels (explained below) and was thus non-social in nature.

All other aspects of the task including learning schedules and visual inputs were identical across the

groups. Participants completed the task once under placebo (PLA) and once after 20mg of the

catecholamine transporter blocker methylphenidate (MPH; order counterbalanced).

Data were analysed a) in terms of the commonly used learning indices win-stay and lose-shift scores,

and b) by fitting a mathematical model of learning to estimate learning rates. Electrophysiological

studies of non-human animals, human pharmacological imaging studies, and theoretical and

modelling work have linked the catecholamines dopamine [12–14] and noradrenaline [15,16] to various

learning indices including win-stay, lose-shift scores and learning rates. By blocking the reuptake of

catecholamines, MPH prolongs the effects of catecholamine release [17]. Thus we predicted that these

measures would be sensitive to our drug manipulation, consistent also with a recent randomised

control trial with healthy adults demonstrating that MPH increased learning rates during

performance of a probabilistic learning task [18]. Our crucial question, however, was whether the

effect of MPH on learning varied as a function of the social versus non-social or, rather, the direct

versus indirect nature of the learning source. A pattern of data wherein the Social and Non-social

Groups exhibit comparable differences in the effect of MPH on direct and indirect learning would fail

to support the adaptive specialisation view and would instead suggest that dissociable effects of

MPH are driven by indirect versus direct learning. Alternatively, if the effect of MPH were to differ

between Social and Non-social Groups, then this would strengthen the adaptive specialisation view.

Figure 1. Task Flow Diagram. Participants selected between a blue and green box in order to win points. On each trial, participants saw the direct sources (boxes), then either the blue or the green box was highlighted with a red frame (the indirect source). Participants were instructed that the frame represented either the most popular choice made by a group of participants who had completed the task previously (Social Group) or the choice from rigged roulette wheels (Non-social Group). Immediately after participants had responded, their selected option was framed in grey. A 0.5–2 s interval ensued, after which participants received feedback in the form of a green or blue box in the middle of the screen. If participants were successful, the red reward bar progressed toward the silver and gold goals. The probability of reward associated with the blue and green boxes and the probability that the red frame surrounded the correct box varied according to probabilistic schedules which comprised stable and volatile blocks.

RESULTS

102 participants took part on two separate test days. The study followed a double-blind cross-over design such that 50% of participants received 20mg MPH on day 1 and PLA on day 2, for 50% of participants the order was reversed. On each test day, participants completed a complex probabilistic learning task (Figure 1) [8,10]. On each trial participants chose between a blue and a green stimulus to accumulate points. The probability of reward associated with the blue and green stimuli

varied according to a probabilistic schedule (see **Supplementary Information 1**). Mimicking the

complexity of real life decision making, all probabilistic schedules comprised stable phases in which

the probability of reinforcement was held constant for between 30 and 60 trials, and volatile phases

in which probabilities changed every 10 – 20 trials. Participants could use their personal experience

of the reward history to track these changing probabilities (direct learning). Importantly, on each

trial, before participants made a response they saw a frame surrounding one of the two options.

Participants were randomly allocated to two experimental groups, 'Social' or 'Non-social'.

Participants in the Social Group were informed that the frame represented the most popular choice

selected by a group of participants who previously played the task. Importantly, the utility of this

information followed one of four different probabilistic schedules that participants could track

throughout the course of the experiment. As with the blue and green boxes, the probabilistic

schedule underpinning the utility of the frame comprised stable phases in which the probability that

the frame surrounded the correct option was held constant, and volatile phases in which

probabilities changed every 10 – 20 trials. Participants were informed that the social information

had been 'mixed up' such that … "you could be in a phase where the group's information is pretty

useful … but be careful, this could change! Sometimes you will see less useful information - for

example from the beginning of their experiment where they didn't have a very good idea of what

was going on"…. Participants in the Non-social Group were instructed that the red frame

represented the outcome from a system of rigged virtual roulette wheels which fluctuated between

providing predominantly correct and predominantly uninformative suggestions (see **Supplementary**

Information 2 for instruction scripts).

Social and Non-social Groups exhibit comparable differences in the effect of MPH on direct and indirect learning

Lose-shift, win-stay analysis

Win-stay and lose-shift scores were calculated for direct and indirect learning. For indirect learning an example lose-shift trial would be one in which the participant selected the same option as the red frame, lost, and subsequently shifted to selecting the option not surrounded by the red frame. In our task the utility of a win-stay, lose-shift strategy varies according to environmental volatility (e.g. this strategy is adaptive in volatile phases but mal-adaptive in stable phases where optimal performance depends on ignoring misleading probabilistic feedback). We therefore calculated separate scores for volatile and stable phases and included volatility as a factor in our analysis.

To investigate whether effects of MPH varied as a function of the direct versus indirect, or social versus non-social, nature of an information source we submitted win-stay and lose-shift scores to a repeated measures ANOVA with within-subjects factors drug (MPH, PLA), volatility (stable, volatile), directness (direct, indirect) and index (win-stay, lose-shift), and between-subjects factor group (social, non-social). We predicted that, if there is indeed an important distinction between social and non-social learning we should see a significant interaction involving the factors drug and group. If, on the other hand, differential effects of MPH are driven, not by social nature, but by direct versus indirect learning we should see an interaction involving the factors drug and directness, and no interaction with group. Only the former would be considered evidence for separable social-specific mechanisms.

We observed a significant **drug x volatility x directness** interaction (F(1,100) = 7.393, p = 0.008). Simple effects analyses revealed a significant difference between volatile and stable scores under PLA for direct (volatile mean(sterr) $\bar{x}(\sigma_{\bar{x}})$ = 0.682(0.011), stable $\bar{x}(\sigma_{\bar{x}})$ = 0.701(0.011), F(1,100) =

4.668, p = 0.033), but not for indirect learning (volatile $\bar{x}(\sigma_{\bar{x}})$ = 0.611(0.008), stable $\bar{x}(\sigma_{\bar{x}})$ =

0.607(0.009), F(1,100) = 0.139, p = 0.711), and no difference between volatile and stable scores

under MPH for direct (volatile $\bar{x}(\sigma_{\bar{x}})$ = 0.695(0.010), stable $\bar{x}(\sigma_{\bar{x}})$ = 0.685(0.011), F(1,100) = 1.146, p

= 0.287), or indirect learning (volatile $\bar{x}(\sigma_{\bar{x}})$ = 0.607(0.008), stable $\bar{x}(\sigma_{\bar{x}})$ = 0.618(0.009), F(1,100) =

1.714, p = 0.193). Thus, under MPH participants exhibited more win-stay and lose-shift behaviour in

volatile compared to stable environments, whereas the opposite was true under PLA. However, this

effect of MPH is restricted to learning from the direct source (the blue and green boxes) and does

not extend to learning from the additional indirect information represented by the red frame. To

illustrate this interaction, we display in **Figure 2a** the difference between volatile and stable blocks; a

high score reflects superior adjustment to block volatility. Note that there was no drug x group

(F(1,100) = 0.230, p = 0.633), drug x volatility x group (F(1,100) = 0.039, p = 0.845), drug x volatility x

directness x group interaction (F(1,100) = 0.049, p = 0.825) and no other significant interactions

involving the factor drug (all p > 0.05). Drug effects as a function of group are illustrated in **Figure 2c.**

See **Supplementary Information 3** for main effects and interactions not involving the factor drug and

for exploration of drug administration order effects.

To provide a final test of whether it is accurate to claim that drug effects did not differ as a function

of the social nature of the cover story we computed one single difference score representing the

differential effects of MPH on direct and indirect learning in stable and volatile environments. This

score was calculated separately for Social and Non-social Groups and submitted to a Bayesian

independent samples t-test (https://jasp-stats.org [19]). The BF_{10} value was 0.214 thus providing

support for the null hypothesis that the groups do not differ.

Figure 2. a. Lose-shift and win-stay drug x directness x volatility interaction. Relative to PLA, MPH promoted an adaptive change in lose-shift and win-stay scores for direct, but not for indirect learning (collapsed across group). b. Learning rate drug x directness x volatility interaction. Relative to PLA, MPH increased the change in learning rate between volatile and stable blocks for direct, but not for indirect learning (collapsed across group). c. Comparable drug effects for Social and Non-social Groups. The difference in the effect of MPH on direct and indirect learning was comparable between Social and Non-Social Groups. Error bars represent standard error of the mean.

Rescorla-Wagner analysis

Mathematical models of learning suggest that beliefs are updated in proportion to prediction errors – the difference between predicted and actual outcomes – which are modulated by a learning rate (α alpha) [20]. The above win-stay, lose-shift analysis makes the prediction that α values relating to direct learning should vary as a function of both drug and volatility. To test this prediction we estimated α values by fitting an adapted Rescorla-Wagner learning model [20] which estimates α for direct and indirect learning sources and for volatile and stable learning environments separately and therefore provides four α estimates: $\alpha_{\text{stable\_direct}}, \alpha_{\text{vol\_direct}}, \alpha_{\text{stable\_indirect}}, \alpha_{\text{vol\_indirect}}$ (see **Supplementary Information 7** for details of model fitting and model comparisons). A repeated measures ANOVA

with within-subjects factors drug (MPH, PLA), volatility (stable, volatile), and directness (direct,

indirect), and between-subjects factor group (social, non-social) showed a significant **drug x**

volatility x directness interaction (F(1,100) = 6.913, p = 0.010) and, crucially, no drug x volatility x

directness x group interaction (F(1,100) = 0.435, p = 0.511). Simple effects analyses revealed a

significant difference between volatile and stable scores under MPH for direct ($\alpha_{\text{volatile\_direct}}\,\bar{x}(\sigma_{\bar{x}})$ =

0.353(0.020), $\alpha_{\text{stable\_direct}}\,\bar{x}(\sigma_{\bar{x}})$ = 0.299(0.017), F(1,100) = 8.116, p = 0.005), but not for indirect

learning ($\alpha_{\text{volatile\_indirect}}\,\bar{x}(\sigma_{\bar{x}})$ = 0.187(0.013), $\alpha_{\text{stable\_indirect}}\,\bar{x}(\sigma_{\bar{x}})$ = 0.213(0.015), F(1,100) = 2.848, p =

0.095), and no difference between volatile and stable scores under PLA for direct ($\alpha_{\text{volatile\_direct}}$

$\bar{x}(\sigma_{\bar{x}})$ = 0.329(0.019), $\alpha_{\text{stable\_direct}}\,\bar{x}(\sigma_{\bar{x}})$ = 0.331(0.018), F(1,100) = 0.020, p = 0.888), or indirect

learning ($\alpha_{\text{volatile\_indirect}}\,\bar{x}(\sigma_{\bar{x}})$ = 0.187(0.013), $\alpha_{\text{stable\_direct}}\,\bar{x}(\sigma_{\bar{x}})$ = 0.189(0.013), F(1,100) = 0.025, p =

0.876). To illustrate this interaction, we display in **Figure 2b** $\alpha_{\text{vol\_direct}}$ minus $\alpha_{\text{stable\_direct}}$**,** and $\alpha_{\text{vol\_indirect}}$

minus $\alpha_{\text{stable\_indirect}}$; a high score reflects superior adjustment to block volatility. There were no other

significant interactions involving the factor drug (all p > 0.05). See **Supplementary Information 3** for

main effects and interactions not involving the factor drug and for exploration of drug

administration order effects.

As above, conducting a Bayesian independent samples t-test on scores representing the direct-

indirect difference in the MPH-PLA learning rate difference between volatile and stable revealed

support for the null hypothesis that the Social and Non-social groups do not differ (BF_{10} = 0.254).

Another influence on choice behaviour, and thus another potential locus of effect of MPH, concerns

the process of transforming learned probabilities into action choices. We formally described this

process using a softmax action selector model that contains the free parameters beta (β) - which

controls the extent to which calculations of probability influence choice - and zeta (ζ) - which

controls the weighting of indirect relative to direct sources of information. Paired samples t-tests

showed no difference in β (MPH $\bar{x}(\sigma_{\bar{x}})$ = 3.354(0.209), PLA $\bar{x}(\sigma_{\bar{x}})$ = 3.088(0.167); t(101) = 1.152, p =

0.252) or ζ (MPH $\bar{x}(\sigma_{\bar{x}})$ = 0.499(0.027), PLA $\bar{x}(\sigma_{\bar{x}})$ = 0.512(0.027); t(101) = -0.554, p = 0.581) values

between MPH and PLA conditions.

In sum, MPH increased participants' ability to adapt to environmental volatility, such that they

exhibited increased learning rates under volatile relative to stable conditions but this was only the

case for direct learning – from one's own personal experience. MPH did not modulate participants'

ability to adapt to the volatility of the utility of an indirect source of information.

MPH selectively affects direct learning in keen indirect learners

The red frame is a highly salient and behaviourally relevant cue; optimal performance on this task

requires participants to learn about the utility of the frame and use this information to help choose

amongst the boxes. Thus, the absence of an effect of MPH on indirect learning is particularly striking.

To guard against the possibility that the lack of effect of MPH on indirect learning is driven by

reduced indirect, relative to direct, learning (i.e. participants learn primarily from the blue/green

boxes and ignore the frame) we ran a post-hoc analysis. First, we identified whether direct or

indirect learning was the primary driver of responses for each of our participants. This was achieved

by regressing a Bayesian Learner Model [21] of optimal responses against each participant's behaviour

under PLA using the same method employed by Cook et al. [10]. This produced two beta vales for each

participant representing the extent to which their responses were driven by direct (β_{direct}) and

indirect learning ($\beta_{indirect}$). We then selected participants for whom $\beta_{indirect} > \beta_{direct}$ (N = 46). Under

PLA, these participants primarily use the indirect information (the frame) to make their decisions. A

repeated measures ANOVA with within-subjects factors drug (MPH, PLA), volatility (stable, volatile)

and directness (direct, indirect) showed a significant **drug x volatility x directness** interaction

(F(1,45) = 13.338, p = 0.001). Under MPH α_{vol_direct} was significantly greater than α_{stable_direct} (α_{vol_direct}

$\bar{x}(\sigma_{\bar{x}})$ = 0.367(0.027), α_{stable_direct} $\bar{x}(\sigma_{\bar{x}})$ = 0.282(0.023), F(1,45) = 12.081, p = 0.001) but not under

PLA (α_{vol_direct} $\bar{x}(\sigma_{\bar{x}})$ = 0.272(0.026), α_{stable_direct} $\bar{x}(\sigma_{\bar{x}})$ = 0.297(0.025), F(1,44) = 0.587, p = 0.448). For

indirect learning there was no interaction between drug and volatility and no main effect of drug (all

p > 0.05). Thus, we observed a selective effect of MPH on direct learning even for participants whose

responses under PLA are primarily driven by indirect learning.

No group differences for participants particularly sensitive to the effect of MPH on indirect

learning

To guard against the possibility that the lack of a group difference is influenced by an insensitivity of

indirect learning to the effects of MPH, we selected a subgroup of participants who were particularly

sensitive to the effects of MPH on indirect learning ((MPH-PLA $\alpha_{stable\_indirect}$ + MPH-

PLA $\alpha_{volatile\_indirect}$)>(MPH-PLA α_{stable_direct}+ MPH-PLA $\alpha_{volatile\_direct}$)). For this subgroup (Social Group N =

26, Non-social Group N = 27) we ran a repeated measures ANOVA with within-subjects factors drug

(MPH, PLA), volatility (stable, volatile), and directness (direct, indirect) and between-subjects factor

group (social, non-social). The interaction between drug, volatility and directness remained

significant ($F(1,51)$ = 5.506, p = 0.023). There was no drug x volatility x directness x group interaction

($F(1,51)$ = 0.813, p = 0.371), no main effect of group ($F(1,51)$ = 1.415, p = 0.240) and no other

significant interactions involving group (all p > 0.05; Bayesian t-test comparing groups on scores

representing the direct-indirect difference in the MPH-PLA α difference between volatile and stable

BF_{10} = 0.386). As above, under MPH α_{vol_direct} ($\bar{x}(\sigma_{\bar{x}})$ = 0.306(0.026)) was greater than α_{stable_direct}

($\bar{x}(\sigma_{\bar{x}})$ = 0.266(0.020)), though this difference only approached significance $F(1,51)$ = 3.474, p =

0.068). Under PLA α_{vol_direct} ($\bar{x}(\sigma_{\bar{x}})$ = 0.379(0.027)) and α_{stable_direct} ($\bar{x}(\sigma_{\bar{x}})$ = 0.397(0.025)) were

comparable ($F(1,51)$ = 0.714, p = 0.402). There were no differences between $\alpha_{vol\_indirect}$ and

$\alpha_{stable\_indirect}$ under MPH ($\alpha_{vol\_indirect}$ $\bar{x}(\sigma_{\bar{x}})$ = 0.208(0.019), $\alpha_{stable\_indirect}$ $\bar{x}(\sigma_{\bar{x}})$ = 0.237(0.019), $F(1,51)$ =

2.559, p = 0.116), or PLA ($\alpha_{vol\_indirect}$ $\bar{x}(\sigma_{\bar{x}})$ = 0.170(0.017), $\alpha_{stable\_indirect}$ $\bar{x}(\sigma_{\bar{x}})$ = 0.166(0.016), $F(1,51)$ =

0.060, p = 0.807).

MPH selectively affects direct learning in the Social Group

As highlighted in the above introduction, the view that there is something special about *social* learning is highly persistent and has been supported by studies demonstrating that social and individual learning are at least partially dissociable. Indeed using a similar task to that employed here, Behrens and colleagues demonstrated activity relating to social and individual learning in dissociable neural pathways [8]. Consistent with this, if we <u>focus exclusively on the Social Group</u>, it appears that MPH improves individual learning (i.e. direct learning) from the boxes but not social (indirect) learning from the red frame (drug x volatility x directness interaction: Win-stay, lose-shift analysis $F_{(1,49)} = 5.064$, $p = 0.029$, Rescorla-Wagner analysis $F_{(1,49)} = 4.850$, $p = 0.032$). However, as demonstrated in our main analysis, this pattern of data is also observed in the Non-social Group, and Bayesian statistics provide support for the null hypothesis that the Social and Non-social groups do not differ. Thus, it is unlikely that the lack of effect of MPH on learning from the frame is related to the social nature of the information that the frame represents, and more likely that it is related to the demand for indirect learning.

Summary

In sum, relative to PLA, MPH modulated learning from the direct source of information (the blue and green boxes) as indexed by increased a) win-stay and lose-shift behaviour, and b) learning rate, in volatile compared with stable conditions. If one focuses exclusively on the Social Group, MPH improves individual learning from the boxes but not social learning from the frame and therefore dissociates the two learning types. However, by including a group who received a non-social cover story we have demonstrated that selective effects of MPH are better explained by direct versus indirect, rather than by social versus non-social, learning.

DISCUSSION

In line with the view that humans possess adaptive specialisations for social learning, previous studies have suggested that social and individual learning are at least partially dissociable. However, in most extant studies, the social source is also an additional, indirect, source of information, thus its nature (social versus non-social) and directness (indirect versus direct) are confounded. Here we assessed whether any dissociable effects of a catecholaminergic challenge on individual versus social learning are best explained by social versus non-social, or direct versus indirect, learning. Participants completed a complex decision-making task, requiring them to concurrently learn from their own personal experience, and from an additional indirect source of information represented by a red frame. One group was told that the frame represented social information, a second group received a non-social cover story about the frame. Participants completed the task once under PLA and once after MPH. Strikingly, we found that although the effect of MPH varied as a function of direct versus indirect learning, there were no differences between Social and Non-social groups. In other words, with respect to direct learning (feedback-based learning about the blue and green boxes) MPH improved the ability to adapt to changes in the learning environment. However, MPH had no effect on learning from the additional indirect source of information (frame) and this was true irrespective of whether the indirect source was believed to be social or non-social in nature. Our study cannot provide evidence in support of the adaptive specialisation view: that is, our study did not shown a difference in the effect of drug as a function of group (social / non-social). Instead, our pattern of data suggests that dissociable effects of MPH are driven by direct versus indirect, and not by social versus non-social, learning.

Although our results provide evidence for a neurochemical dissociation between learning from direct and indirect sources of information, we find no evidence for a dissociation between social and non-social learning. Note that the lack of a difference between social and non-social groups cannot be due to poor comprehension of the cover story: participants were prohibited from starting the

task until they scored 100% correct in a quiz which included questions about the nature of the red

frame (see **Methods: learning task**). Furthermore, using the same task, we have previously

demonstrated that social dominance predicts learning for participants that are given the social, but

not the non-social, cover story [10]. Thus, belief about the origin of the information represented by the

frame modulates the way in which this information is processed. How should our results be

interpreted in the context of previous work arguing for adaptive specialisations for specifically social

learning [6,7]? It is clear that our results do not provide evidence in support of the adaptive

specialisation view. Evidence in support of this view would have come in the form of a social/non-

social group difference (e.g. enhanced learning under MPH for all learning types except for social

learning (i.e. direct learning and indirect learning from a non-social source)). Our Bayesian analysis

supports the conclusion that the effects of MPH on learning from social and non-social information

are comparable. One could argue that, in addition to failing to support the adaptive specialisation

view, our results conflict with this view because they raise the possibility that social and non-social

learning share overlapping (neurochemical) mechanisms. This suggestion is consistent with a

number of recent studies which have largely failed to find convincing neural evidence for social-

specific learning mechanisms. These studies have instead suggested that social learning, like non-

social learning, is governed by the domain-general process of comparing predictions with incoming

sensory evidence, computing prediction errors and using these prediction errors to refine future

predictions [1]. Indeed, blood oxygenation level dependent (BOLD) signals correlating with these

'social prediction errors' have been reported in brain areas classically associated with non-social

learning including the striatum and orbitofrontal cortex [2,21–25]. Nevertheless, it is possible that social

and non-social learning are underpinned by networks that can be neurochemically dissociated,

though by a pharmacological agent other than MPH (e.g. by a serotonergic agent [e.g. 27,28]); this

possibility should be explored in future studies.

The absence of an effect of MPH on indirect learning is particularly striking given that the red frame

is a highly salient, behaviourally relevant, attentional cue. Why would MPH selectively affect direct,

but not indirect learning? One possibility is that our participants simply ignored the information that

was represented by the frame; in other words, the current paradigm is poorly suited to detecting

variation in indirect learning. In opposition to this, we have demonstrated that MPH selectively

affects direct learning *even for participants whose responses are primarily driven by indirect learning*

(see results section 'MPH selectively affects direct learning in keen indirect learners'). Thus, the lack

of effect of MPH on indirect learning is not driven by a tendency to ignore the frame.

Another possible explanation for direct learning-selective effects of MPH relates to the complex

effects of MPH on working memory: Fallon and colleagues [29] have demonstrated that whereas MPH

improves distractor resistance it impairs working memory updating. More specifically, in a delay

period between initial presentation of to-be-remembered information and recall, Fallon and

colleagues presented additional information which participants should sometimes ignore and

sometimes use to replace (update) the original to-be-remembered information. MPH improved

ignoring, but not updating - an effect which the authors interpret in the context of dual state theory

[30] which postulates that intermediate levels of dopamine favour stabilisation of representations

whereas excessively high or low levels of dopamine favour representation destabilisation. The

current task can be conceptualised in a similar fashion to Fallon and colleagues' paradigm:

participants first see the direct source (boxes) for a variable delay which provides the opportunity to

reflect on the history of outcomes and estimate the value associated with each box. In the 'delay

period' between seeing the boxes and making their response, participants see the indirect source

(frame). By reflecting on the history of the veracity of the frame, they can estimate the probability

that the frame provides correct advice, and decide whether to <u>update</u> their choice, or <u>ignore</u> the

frame. Positive effects of MPH on ignoring, not updating, would result in a benefit to direct learning

– i.e. direct learning should be more robust against misleading information from the frame – but not

indirect learning because improved distractor resistance benefits the first information source (boxes)

but does not benefit the information source that is presented in the delay period. Thus, the complex

effects of MPH on working memory provide a potential explanation for the direct learning-selective

effects of MPH observed here.

Our results not only show a direct-learning selective effect of MPH, but also demonstrate a selective

effect on (direct) learning about the volatility of the environment. This finding that MPH, which

blocks both noradrenaline and dopamine transporters, improves adaptation of learning rates to

reflect environmental volatility, fits well with existing literature which documents a role for

noradrenaline in flexibly adapting to environmental change. Theoretical and modelling work has

suggested that noradrenaline acts as a 'neural interrupt signal' which alerts the learner to an

unpredicted change in the learning environment [31,32]. In line with this, pharmacological

manipulations and lesions of the noradrenergic system affect performance, in non-human animals,

in tasks such as reversal learning and attentional-set shifting which require detection of and

adaptation to environmental changes [33–39]. In humans, learning rates [40–42] and prediction errors [43,44]

are correlated with pupil size, an indirect index of locus coeruleus (the main noradrenergic nucleus

of the brain) activity [45–48], and are modulated by pharmacological manipulation of the noradrenaline

system [15,16]. In the context of our task, the 'unpredicted change in learning environment'

corresponds to a switch from stable to volatile environment. By administering MPH to our

participants we have likely increased their synaptic noradrenaline levels [49] and reduced

spontaneous activity in locus coeruleus neurons via noradrenaline action at α-adrenergic receptors

on locus coeruleus cells [50]. Thus, it is likely that MPH has shifted locus coeruleus activity toward a

phasic mode, in which cells respond more strongly (and specifically) to salient events [51] such as the

switch from stable to volatile environments. Consistent with the neural interrupt hypothesis we

found that learning rates were better adapted to suit environmental volatility under MPH relative to

PLA.

398 Dopamine has also been implicated in the regulation of learning rate [52]. Individual differences in

399 human learning rates have been associated with genetic polymorphisms coding for the catechol-O-

400 methyltransferase enzyme which plays a role in metabolizing dopamine, the dopamine transporter,

401 and D2 receptors [53,54]. A role for dopamine in regulation of learning rate is also consistent with

402 studies indicating that dopamine neurons respond to novel and unexpected stimuli, and that

403 dopamine is important for cognitive flexibility [55,56]. It should, however, be noted that studies that

404 have tried to disentangle the roles of dopamine and noradrenaline in complex learning tasks have

405 argued that, while noradrenaline is associated with 'higher level' learning about environmental

406 change, dopamine is linked to the 'lower level' modulation of motor responses as a function of

407 learned probabilities [16]. Given this, and the known effects of MPH on synaptic dopamine [17], it is

408 somewhat surprising that we observe selective effects of MPH on higher level learning about

409 environmental volatility, in the absence of a main effect of drug on learning rates. This pattern of

410 results is reminiscent of the effects of MPH on intra-dimensional/extra-dimensional set shift tasks [57].

411 In such tasks participants learn a discrimination on the basis of a particular stimulus dimension (e.g.

412 colour: blue = reward, green = no-reward); participants are subsequently subjected to intra-

413 dimensional shifts, wherein the same dimension is relevant but reward contingences are reversed

414 (blue = no-reward, green = reward), and extra-dimensional shifts wherein a stimulus dimension (e.g.

415 shape) that was initially irrelevant is now relevant. Thus, the task indexes (a) 'higher level' learning –

416 attending to environmental cues relevant for reinforcement (extra-dimensional shift); (b) 'lower

417 level' learning – relearning of previously acquired stimulus-reward associations (intra-dimensional

418 shift). Consistent with our results, Rogers and colleagues [57] demonstrated that MPH improved higher

419 level (extra-dimensional), but not lower level (intra-dimensional) learning. One might hypothesise

420 that these effects of MPH on adaptation to environmental volatility are primarily mediated by

421 noradrenergic, rather than dopaminergic, function. However, further studies which might, for

422 example, administer MPH in conjunction with selective dopamine and/or noradrenaline receptor

423 blockers, are needed to test this hypothesis.

The absence of an effect of MPH on indirect learning from an additional source even when the

indirect source is believed to be social in nature is perhaps surprising given positive effects of MPH

on social influence[58], reports that social learning activates catecholamine rich areas of the brain such

as the striatum [2,23,25,26,59,60], and evidence that ventral striatal prediction errors during social learning

are influenced by a gene involved in regulating dopamine degradation [2]. An important consideration,

however, is that in studies that have linked social learning to signals in catecholamine-rich areas of

the brain, participants have not been required to learn from their own personal experience. Thus,

the social information is not an *additional* source to be learned about in concert with learning from

one's own experience, rather, the social source is the *only* source that participants must learn about.

Contrasting our study with this existing literature makes the concrete prediction that, if roles could

be reversed such that social information comprises the direct source and individual information

comprises the indirect source, one would observe a significant effect of MPH on social, but not

individual, learning.

Conclusion

In most extant paradigms that have dissociated social and individual learning, social information

comprises an indirect, additional, source and thus differs from individual learning both in terms of

social nature (social versus non-social) and directness (indirect versus direct). Here we provide

evidence that selective effects of MPH are better explained by direct versus indirect, rather than by

social versus non-social, learning. Specifically, we showed that relative to PLA, MPH modulated

learning from a direct source of information (blue and green boxes) as indexed by increased a) win-

stay and lose-shift behaviour, and b) learning rate, in volatile compared with stable conditions. MPH

did not modulate learning from an indirect source (frame), and this pattern of data was comparable

for Social and Non-social Groups. These data fail to support the adaptive specialisation view which

postulates separate mechanisms for social and non-social learning, instead providing positive

evidence for distinct mechanisms for direct versus indirect learning.

METHODS

The general method is identical to that reported in Swart et al [61] and is included here for

completeness.

General procedure and pharmacological manipulation

The study consisted of two test sessions with an interval of one week to 2 months. The first test day

started with informed consent, followed by medical screening. Participation was discontinued if

participants met any of the exclusion criteria. On both test days participants first completed baseline

measures. Next participants received a capsule containing either 20 mg MPH (Ritalin®, Novartis) or

PLA, in a double-blind, placebo-controlled, cross-over design. MPH blocks the dopamine and

noradrenaline transporters, thereby diminishing the reuptake of catecholamines. When

administered orally, MPH has a maximal plasma concentration after 2 hours and a plasma half-life of

2-3 hours [62]. After an interval of 50 minutes, participants started with the task battery containing the

learning task. On average the learning task was performed 1 hour 20 minutes after capsule intake,

well within the peak of plasma concentration. Both test days lasted approximately 4.5 hours, which

participants started at the same time (maximum difference of 45 minutes). Blood pressure, mood

and potential medical symptoms were monitored thrice each day: before capsule intake, upon start

of the task battery and after finishing the task battery. Participants were told to abstain from alcohol

and recreational drugs 24 hours prior to testing and from smoking and drinking coffee on the days of

testing. Participants completed self-report questionnaires at home between (but not on) test days.

Upon completion of the study, participants received monetary reimbursement or study credits for

participation. The study was in line with the local ethical guidelines approved by the local ethics

committee (CMO protocol NL47166.091.13; trial register NTR4653) and in accordance with the

Helsinki Declaration of 1975. Baseline measures, self-report questionnaires, mood-ratings and

medical symptoms are reported in **Supplementary Information 4**.

 Participants

Data was collected from 106 native Dutch volunteers (aged 18 – 28 years, $\bar{x}(\sigma)$ = 21.5 (2.3); 53

women; 84 right-handed). Four participants dropped out after the first test day (due to too much

delay between test days, loss of motivation, nausea, and mild arrhythmia). Of the resulting 102

participants, 50 participants received MPH on the first day. Exclusion criteria comprised a history of

psychiatric, neurological or endocrine disorders. Further exclusion criteria were autonomic failure,

hepatic, cardiac, obstructive respiratory, renal, cerebrovascular, metabolic, ocular or pulmonary

disease, epilepsy, substance abuse, suicidality, hyper/hypotension, diabetes,

pregnancy/breastfeeding, lactose intolerance, regular use of corticosteroids, use of psychotropic

medication or recreational drugs 24 hours before each test day, and first degree family members

with schizophrenia, bipolar disorder, ventricular arrhythmia or sudden death. Excluded volunteers

received €10,- as a compensation for their time and effort.

	Social	Non-social	
Age ($\bar{x}(\sigma)$)	21.780(2.582)	21.289(2.022)	$t(100)$ = 1.068, p = 0.288
Male:Female	26:24	25:27	$\chi^2(1)$ = 0.157, p = 0.692
Socioeconomic status ($\bar{x}(\sigma)$)	60.359(7.771)	59.219(8.378)	$t(100)$ = 0.504, p = 0.615
N	50	52	

488   **Table 1.** There were no significant differences between the social and non-social groups in age, gender or
489   socioeconomic status as measured using the Barratt Simplified Measure of Social Status (Barratt, 2012).
490

Learning task

Participants completed a modified version [10] of a decision making task developed by Behrens and

colleagues [8]. On each trial participants made a choice between a blue and a green box. Correct

choices were rewarded with points represented on a bar spanning the bottom of the screen.

Participants' aim was to accumulate points to obtain a silver (€2) or gold (€4) reward. Throughout

the experiment the probability of reward associated with each option (blue or green) varied

according to a probabilistic schedule. Participants were informed that the task followed 'phases'

wherein sometimes blue, but at other times green, would be more likely to result in reward.

In addition to the reward history, a second source of information was available to participants. On

each trial, before participants made their choice, a frame appeared. Participants in the Social Group

were instructed that this frame represented choices made by a group of participants who had

completed the task previously. Participants in the Non-social Group were instructed that the frame

represented the outcome from a system of rigged roulette wheels. Both groups were informed that

the frame would fluctuate between providing predominantly correct and predominantly

uninformative 'advice' (see **Supplementary Information 2** for instruction scripts).

Participants in both the Social and Non-social Groups were pseudorandomly allocated to one of four

different randomisation groups, group membership determined the probabilistic schedule

underpinning outcomes (blue/green) and the veracity of the frame (correct/incorrect) (see

Supplementary Information 1 for further details and for statistical analyses including randomisation

group as a factor). Although probabilistic schedules for Day 2 were the same as Day 1 there was

variation in the trial-by-trial outcomes and advice. To prevent participants from transferring learned

stimulus-reward associations from Day 1 to Day 2 different stimuli were also employed: 50% of

participants viewed yellow/red triangles with advice represented as a purple frame on Day 1 and

blue/green squares with advice as a red frame on Day 2, for 50% of participants this order was

switched. Before participation all participants completed a self-paced step-by-step on-screen

explanation of the task in which they first learned to choose between two options to obtain a

reward, and subsequently learned that the advice represented by the frame could help them in

making an informed decision about their choice. Following the task explanation, participants were

required to complete a short quiz testing their knowledge of the task. Participants were required to

repeat the task explanation until they achieved 100% correct score in this quiz. Consequently, we

could be sure that all participants understood the structure of the task and were aware that the

red/purple frame represented either social information (Social Group), or information from a rigged

set of roulette wheels (Non-social Group).

All participants completed 120 trials on Day 1 and Day 2. The task lasted approximately 25 minutes,

including instructions. At the end of the task participants were informed whether they had scored

enough points to obtain a silver / gold award.

Statistical analyses

Win-stay, lose-shift analysis

For direct learning a trial was denoted as a win-stay if the participant had won on the previous trial

and if their response on the current trial was the same (e.g. they selected blue and won on the

previous trial, and subsequently re-selected blue). A trial was denoted as a lose-shift if the

participant had lost on the previous trial and if they subsequently shifted their response. Win-stay

and lose-shift trials were summed and divided by the total number of win/lose trials to control for

differing numbers of win/lose trials between randomisation schedules and (volatile/stable) blocks.

Shapiro-Wilk tests showed that averaged win-stay and lose-shift scores, for direct and indirect

learning, volatile and stable conditions, and for social and non-social groups, did not significantly

deviate from the normal distribution (see **Supplementary Information 5** for table of statistics). Win-

stay and lose-shift scores were entered into a single repeated measures ANOVA with within-subjects

factor drug (MPH, PLA), volatility (stable, volatile), directness (direct, indirect) and index (win-stay,

lose-shift).

Rescorla-Wagner analysis

Learning rates for stable and volatile blocks were calculated by fitting a simple learning model to

participants' choice data. This learning model consisted of two Rescorla-Wagner predictors [20] (one

which estimated the utility of a blue choice ($r_{\_direct(i+1)}$) and one which estimated the utility of

going with the red frame ($r_{\_indirect(i+1)}$)) coupled to an action selector [21,40]. This was achieved using

the scripts tapas_rw_social_reward_vol.m and rw_softmax_constant_weight_social_reward.m

which are available at https://github.com/JenniferLcook/social_learning, and

tapas_quasinewton_optim.m and a modified version of tapas_fitModel.m which are freely available

as part of the open source software package TAPAS at

http://www.translationalneuromodeling.org/tapas. See **Supplementary Information 6** for details of

the model, model fitting, and for evaluation of the model's performance (ability to account for

participant choice), see **Supplementary Information 7** for model comparisons.

Rescorla-Wagner predictors:

Our Rescorla-Wagner predictors comprised modified versions of a simple delta-learning rule [20]. This

rule typically has a single free parameter, the learning rate (α) and estimates outcome probabilities

using equation (1).

563
$$r_{(i+1)} = r_i + \alpha \varepsilon_i$$

(1)

where $r_{(i+1)}$ is the predicted outcome probability for the (*i*+1)th trial, r_i is the predicted outcome

probability for the *i*th trial, ε_i is the prediction error at the *i*th trial, and α is the learning rate. By

choosing different values for α, the model can make different approximations of a participant's

outcome probability estimates. Our modified version separately estimated α for stable and volatile

blocks. Furthermore, we simultaneously ran two Rescorla-Wagner predictors such that we could

estimate α values relating to both direct and indirect learning. Subsequently, our model generated

the predicted outcome probability of a blue choice inferred from previous experience ($r_{\_direct(i+1)}$)

and of the choice to go with the red frame ($r_{\_indirect(i+1)}$) and provided four α estimates: $\alpha_{\text{stable\_direct,}}$

$\alpha_{\text{vol\_direct,}} \alpha_{\text{stable\_indirect,}} \alpha_{\text{vol\_indirect.}}$

Action selector:

As participants had access to both information from direct learning and indirect learning our

response model assumed that participants integrated both sources of information in order to predict

the probability that a blue choice would be rewarded. Based on work by Diaconescu and colleagues

[1,2] we calculated the estimated value of a blue choice according to equation (2):

$$vB_{(i+1)} = \zeta(r_{\_indirect\_advice\_weighted(i+1)}) + (1 - \zeta)(r_{\_direct(i+1)})$$

(2)

In equation (2), ζ is a parameter that varies between individuals and which controls the weighting of

indirect relative to direct sources of information. $r_{\_indirect\_advice\_weighted(i+1)}$ comprises the advice

provided by the frame weighted by the probability of advice accuracy ($r_{\_indirect(i+1)}$) in the frame-

of-reference of making a blue choice (equation (3)).

$$r_{\_indirect\_advice\_weighted(i+1)} = |advice - r_{\_indirect(i+1)}|$$

(3)

where advice = 0 for blue and advice = 1 for green. Thus, if the frame advised 'green' and the

probability of advice accuracy was estimated at 80% ($r_{\_indirect(i+1)} = 0.80$), the probability, inferred

from indirect learning, of a blue choice being rewarded would be 0.2 ($r_{\_indirect\_advice\_weighted(i+1)} =$

$|1 - 0.8| = 0.2$). Conversely the probability, inferred from indirect learning, that the correct choice is

green would equal 0.8.

The probability that the participant follows this integrated belief ($vB_{(i+1)}$), was described by a

sigmoid function (equation (4)); here, responses are coded as $y_{(i+1)} = 1$ when selecting the blue

option, and $y_{(i+1)} = 0$ when selecting green.

602
$$P(y_{(i+1)} = 1 \parallel vB_{(i+1)}) = \frac{vB_{(i+1)}{}^{\beta}}{vB_{(i+1)}{}^{\beta} + (1 - vB_{(i+1)})^{\beta}}$$

(4)

β is a participant-specific free parameter representing the inverse of the decision temperature:

as β→∞, the sigmoid function approaches a step function with a unit step at $vB_{(i+1)}$= 0.5 (i.e., no

decision noise).

Bayesian statistical analysis

Results were also analysed within a Bayesian framework using JASP[19] to examine the strength of the

evidence in favour of the null and experimental hypotheses with a prior of a medium effect size

using default priors. Bayes Factors provide a ratio of the likelihood of the observed data under the

null versus alternative hypothesis, whereas *p*-values examine the probability of the data given the

null hypothesis and therefore cannot discriminate between evidence for the null and no evidence for

either the null or alternative hypothesis [63]. Bayes Factors (BF_{10}) are reported. By convention, values <

1/3 and > 3 are taken as evidence in favour of the null and alternative hypotheses, respectively,

while values within these boundaries are judged to provide no evidence to favour either the null or

alternative hypotheses. We computed one average lose-shift, win-stay difference score representing

the differential effects of MPH on direct and indirect learning in stable and volatile environments

(e.g. the direct-indirect difference in the MPH-PLA difference between volatile and stable), this score

was calculated separately for Social and Non-social Groups and submitted to a Bayesian

independent samples t-test; BF_{10} > 3 would therefore provide support for the alternative hypothesis

that the Social and Non-social Groups differ, BF_{10}< 0.33 would provide support for the null

hypothesis that the Social and Non-social Groups are comparable. The same analysis was also run on

difference scores representing the differential effects of MPH on direct and indirect learning in

stable and volatile environments for Rescorla-Wagner α values.

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Competing interests

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Author contributions

JC, RC, HdO designed the study. JC, HdO, JS, MJF and DG collected the data. JC, AD and JS analysed the data. JC, JS, and RC drafted the manuscript. All authors edited the manuscript.

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