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# 2Simulating multi-level dynamics of antimicrobial resistance in 3a membrane computing model

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#### 43Abstract

44Membrane Computing is a bio-inspired computing paradigm, whose devices are the so-45called membrane systems or P systems. The P system designed in this work reproduces 46complex biological landscapes in the computer world. It uses nested "membrane-47surrounded entities" able to divide, propagate and die, be transferred into other 48membranes, exchange informative material according to flexible rules, mutate and being 49selected by external agents. This allows the exploration of hierarchical interactive 50dynamics resulting from the probabilistic interaction of genes (phenotypes), clones, 51species, hosts, environments, and antibiotic challenges. Our model facilitates analysis of 52several aspects of the rules that govern the multi-level evolutionary biology of antibiotic 53 resistance. We examine a number of selected landscapes where we predict the effects of 54different rates of patient flow from hospital to the community and viceversa, cross-55transmission rates between patients with bacterial propagules of different sizes, the 56 proportion of patients treated with antibiotics, antibiotics and dosing in opening spaces 57in the microbiota where resistant phenotypes multiply. We can also evaluate the 58selective strength of some drugs and the influence of the time-0 resistance composition 59of the species and bacterial clones in the evolution of resistance phenotypes. In 60summary, we provide case studies analyzing the hierarchical dynamics of antibiotic 61resistance using a novel computing model with reciprocity within and between levels of 62biological organization, a type of approach that may be expanded in the multi-level 63analysis of complex microbial landscapes.

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### 67Introduction

68Antibiotic resistance is the result of the complex interaction of discrete evolutionary 69entities placed in different hierarchical levels of biological organization, including 70resistance genes, mobile genetic elements, clones, species, genetic exchange 71communities, microbiomes, and hosts of these bacterial ensembles placed in particular 72biological environments (1,2,3). Under the influence of external environmental variation 73(such as exposure to antibiotics) each one of these evolutionary entities might have 74independent rates of variation and selection, but as they are hierarchically-linked, the 75changes in each one of them can influence all other entities (4), as they constitute a 76global "nested biological system" (5).

77Membrane-computing is an individual-based natural computing paradigm aiming to 78abstract computing ideas and models from the structure and the functioning of living 79cells, as well as from the way the cells are organized in tissues or higher order structures 80(6,7). A kind of computational models using this paradigm are "P systems", consisting 81in placing objects (in our case biological entities) into virtual cell-like or tissue-like 82membrane structures, so that one membrane or one cell (respectively) represents a 83hierarchical level, a region of the embedded system. For instance, each bacterial cell is a 84membrane containing plasmids (as objects), and a plasmid is a membrane containing 85genes (as objects). The mobility of entities, objects, across membranes is possible 86according to pre-established rewriting rules, and the collection of multisets of entities 87will evolve in a synchronous, parallel, and non-deterministic manner. The objects have 88assigned rules to pass through membranes (to mimic intracellular or intercellular 89transmission (8,9), to dissolve (to mimic elimination), and to divide themselves (to 90mimic replication). In this work, we use a P system to simulate multi-level dynamics of 91antibiotic resistance, based on our first published prototype (8,9). This computational 92model facilitates an approach that is computationally hard to accomplish or simply 93impossible to address experimentally. Our work allows the estimation and evaluation of 94global and specific effects on the frequency of each one of the biological entities 95involved in antibiotic resistance occurring because of changes taking place (as 96following antibiotic exposure) in one or (simultaneously) in several of them. Note that 97albeit antibiotic resistance is a major problem in Public Health, in terms of biosystems it 98is only a particular example of "evolution in action". Our model can be easily applied to 99many other complex evolutionary landscapes, involving other genes, phenotypes, cells, 100populations, communities and ecosystems.

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### 102**Results**

103The main objective of the present work is to present the possibilities of membrane 104computational modeling as a powerful tool in the evaluation of the factors that, at 105various biological levels, might influence the dynamics of antibiotic resistance. The 106results provided below should not be taken as predictions of the evolution of resistance, 107just as illustrations of some of the possibilities of this model to study the multi-level 108dynamics of resistance, by simultaneously changing parameters in state variables and 109observing after a single run the effect in the frequency of resistant species and 110populations. Note that the model is probabilistic and the rules are selected in a 111probabilistic way. So, each computation produces an output in such manner that the 112results obtained are not entirely identical in consecutive runs of the program, but they 113are relatively close (see Fig SI1). In the next paragraphs, antibiotics (Ab) and the 114corresponding resistances (R) are named AbA, AbC and AbF, and AbAR, AbCR, and 115AbFR respectively; to facilitate reading, we suggest the identification of AbA as the 116Aminopenicillins, AbC as Cefotaxime-Ceftazidime, and AbF as Fluoroquinolones, 117using the initials of three of the major groups of antibiotics used in clinical practice 118(Table 1).

### 119**The basic scenario in the hospital and community compartments**

120Dynamics of bacterial resistance phenotypes in E. coli. Waves of successive 121 replacements of resistance phenotypes in hospital-based E. coli during 20,000 time-122steps (about 2.3 years, as the time-steps represent approximately 1 hour/step) are 123illustrated in Fig 1. The main features of this process, mimicking clonal interference, 124are: 1) sharp decrease in the density of the fully susceptible phenotype (pink line); 2) 125rapid increase of the phenotype AbAR, aminopenicillin resistance, resulting from the 126transfer of the plasmid with AbAR to the susceptible population, and consequent 127selection (red); 3) increase by selection, and marginally by acquisition of mutational 128 resistance, of the phenotype AbFR, fluoroquinolone resistance (violet); 4) increase of 129double resistances AbAR and AbFR, by acquisition of an AbFR mutation with the 130 organisms of AbAR-only phenotype, and by the transfer of the plasmid encoding AbAR 131from the AbAR-only phenotype to the AbFR-only phenotype (brown); 5) increase of the 132phenotype with double resistances AbAR and AbCR by capture by the AbAR-only 133predominant phenotype of a plasmid containing AbCR, cefotaxime resistance that 134originated in K. pneumoniae (light blue); 6) almost simultaneous emergence but later 135predominance of the multi-resistant organisms with phenotype AbAR, AbCR, and AbFR 136by mutational acquisition of AbFR by the double-resistant phenotype AbAR-AbCR and, 137also, of the plasmid-mediated AbCR by the AbAR-AbFR phenotype (dark blue); 7) 138close in time, emergence, but with low density, of the phenotype AbCR-only, by the 139acquisition of the plasmid encoding AbCR by the fully-susceptible phenotype and the 140AbAR phenotype, and loss of plasmid-mediated AbAR by incompatibility with the 141incoming plasmid (light green); 8) the acquisition of the AbFR mutation by the AbCR-142only phenotype, or by plasmid-reception of an AbCR trait from *K. pneumoniae* in 143AbFR, giving rise to the phenotype AbCR-AbFR (olive green). In the community, 144where the antibiotic exposure is less frequent, a similar dynamic sequence occurs, but at 145a much slower rate (fig. 2).

146**Dynamics of bacterial species.** Antibiotic use and antibiotic resistance influence the 147long-term dynamics of bacterial species in hospital environment (Fig. 2 C, D). In the 148conditions of our basic scenario, *E. coli* populations (black) tend to prevail. *E. faecium* 149(violet) and *K. pneumoniae* (yellow-green) populations were maintained along the 150experiment. In the community, *E. coli* has a stronger dominance over other species, and 151similar dynamics occur as in the hospital, at slower rates.

152*Klebsiella pneumoniae* (Fig SM3) is intrinsically resistant to AbA and, in our case, it 153harbors a plasmid encoding AbCR (CTX), and a mutation encoding AbFR (FLQ). In the 154hospital, the AbCR phenotype is readily selected. However, because of the high density 155of *E. coli* with the plasmid-mediated AbAR, several *Klebsiella* strains receive this 156plasmid. These *Klebsiella* strains have no benefit from this plasmid because they are 157intrinsically aminopenicillin-resistant, but incompatibility with the plasmid determining 158AbCR occurs, eliminating AbCR from the recipients and giving rise to the phenotype 159AbAR-AbFR (purple). That contributes to the decline in AbCR-containing phenotypes 160(olive green). In any case, the dominance of *E. coli* prevents a significant growth of *K*. 161*pneumoniae. Enterococcus faecium* (Fig SM3) is intrinsically resistant to AbC (AbCR, 162CTX), but there are two variants, one AbA (AMP) susceptible, and the other resistant, 163this last one has also AbFR. However, the AbAS variant can acquire the AbAR trait 164from the resistant one by (infrequent) horizontal genetic transfer and becomes an AbAR 165donor. There is replacement dynamics of AbAS by the AbAR phenotype.

#### 166Influence of baseline resistance composition on the dynamics of bacterial species.

167The local evolution of antibiotic resistance can depend on the baseline composition of 168susceptible and resistant bacterial populations (Fig 3). In a baseline scenario, we 169consider a density of 8,600 h-cells (1 h-cell=100 identical cells, see the section 170"quantitative structure of the basic model application" below) of *E. coli* of which 5,000 171are susceptible, 2,500 have plasmid-mediated aminopenicillin-resistance (PL1-AbAR), 1721,000 have fluoroquinolone resistance (AbFR), and 100 combines both resistances. To 173mimic a "more susceptible scenario," values were changed to 8,000 susceptible, 500 174with PL1-AbAR, 50 with AbFR, and 50 with PL1-AbAR and AbFR. A higher 175proportion of susceptible *E. coli* facilitates the increase of the more resistant organisms, 176K. pneumoniae and AbAR E. faecium. Because of the selection of K. pneumoniae (olive 177green) harboring cefotaxime-resistance (PL1-AbCR), and the ability of transfer of the 178PL1 plasmid to *E. coli*, the proportion of *E. coli* with cefotaxime-resistance (mainly 179light and dark blue) increases in the scenario with a lower resistance baseline for *E. coli*. 180This example illustrates the hypothesis that a higher prevalence of resistance in the *E*. 181*coli* component of the gut flora might reduce the frequency of other resistant organisms, 182which might inspire interventions directed to restore susceptibility in particular species 183(10, 11).

184**Single clone** *E. coli* **dynamics: influence of baseline resistances.** In the previous 185analysis, subpopulations of *E. coli* were characterized by their antibiotic-resistance 186phenotype (phenotype populations). Alternatively, we can follow the evolution of four 187independent *E. coli* clones, each one tagged in the model with particular signals 188(unrelated with AbR), Ecc0, EccA, EccF, EccAF (see Table 1), and starting with specific 189resistance traits, allowing for the possibility that the frequency of these "ancestor 190clones" may change through time within a clone by the gain or loss of a trait. Figure 4

191shows the densities of these ancestor clones along time. The detail of sequential trait 192acquisition for each one of these clones is shown in Fig SM2. The fully susceptible *E*. 193*coli* clone (Ecc0) first acquires AbAR (red), and AbCR (green). The AbAR phenotype 194facilitates the capture by lateral gene transfer of AbCR (CTX), giving rise to the double 195AbAR-AbCR phenotype (light blue). The incorporation of AbF-R (violet, FLQ) in the 196fully susceptible clone occurs early, later in the AbAR population, so that the rise of the 197multi-resistant phenotype (dark blue) occurs later and again at low numbers. The 198presence of the AbAR trait in the clone at time 0 (EccA) increases the success of the 199clone, including the acquisition of AbFR, and the multi-resistant phenotype. 200Interestingly, the presence of AbFR (fluoroquinolones-R) at the origin (EccF), was 201critical to enhance the numbers of double-resistant and multi-resistant phenotypes. The 203suggesting that clonal composition tends to level-off along the continued challenges 204under antibiotic exposure.

205**Dynamics of mobile genetic elements and resistance traits**. We consider *E. coli, K.* 206*pneumoniae,* and *P. aeruginosa* as members of a "genetic exchange community" (12,13) 207for the plasmid PL1. In Fig. 5, we can compare the evolutionary advantage of the same 208resistance phenotypic trait (AbAR) when harbored in a plasmid, as in *E. coli* or in the 209chromosome, as in *K. pneumoniae*. The overall success of the plasmid PL1 (blue line) 210benefits from the fact that this mobile element is selected by two different antibiotics 211(AbA and AbC, resistance shown in red and green lines respectively). Interestingly, 212resistance to AbFR (violet) is selected from early stages of the experiment, and after 2134,000 steps it converges with the AbCR, a plasmid-mediated trait, meaning that this 214plasmid is maintained almost exclusively in strains harboring AbFR gene, similar to 215empirical findings (14,15). If the conjugation rate of PL1 was increased, the main effect

216would be the reduction in selection of *K. pneumoniae*, as the predominance of the PL1-217AbAR plasmid from the more abundant populations of *E. coli* tended to dislodge PL1-218AbCR from *K. pneumoniae* (results not shown).

## 219**Dynamics under changing scenarios in the hospital and community** 220**compartments**

221Frequency of patient flow between hospital and community. The frequency of 222exchange of individuals between the hospital and the community (hospital admission 223and discharge rates) influences the evolution of antibiotic resistance (Fig 6). This occurs 224because sensitive bacteria enter the hospital with newly admitted patients from the 225community (where resistance rates are low), and this "immigration" allows sensitive 226bacteria to "wash out" resistant bacteria (16). Multi-resistant *E. coli* strains emerge 227much earlier with decreased flow rates, as bacteria resistant to individual drugs have 228more time to coexist and thus exchange resistances by gene flow, and because the length 229of "frequent exposure" to different antibiotics increases, and consequently selection 230(17). The effect of slow flow of patients to the community is a late reduction in multi-231resistance (AbAR-AbCR-AbFR) and earlier double resistances (AbAR-AbFR) and 232(AbAR-AbCR). In the community compartment, however, multi-resistance increases 233when the flow from the hospital is more frequent (4 h).

234**Frequency of patients treated with antibiotics.** The proportion of patients exposed to 235antibiotics increases selection of antibiotic resistance (16). We analyzed this effect in 236our model considering proportions of 20%-10%-5% of patients exposed to 7 237consecutive days of antibiotic therapy, three doses per day (Fig 7). If a high proportion 238(20%) of patients are treated, *E. coli* multi-resistance is efficiently selected, as well as 239resistant *K. pneumoniae* and *E. faecium*. If this proportion is reduced to 10%, and

240particularly to 5%, there is a strong reduction in the amount of resistant *E. coli* cells and 241the emergence of multi-resistant bacteria is delayed (individual resistance data not 242shown for these species). However, the evolution of *E. coli* towards more multi-243resistance partially counteracts the selective advantage of these species, restricting their 244growth to some extent, even in the scenario of high density of treated patients.

245Frequency of bacterial transmission rates in the Hospital. Transmission of bacteria 246(any type of bacteria, including commensals) among individuals in the hospital 247influences the spread of antibiotic resistance. The effect of transmission rates of 5%, and 24820% per hour was analyzed (Figure 8), expressing the proportion of individuals that 249acquire any kind of bacteria from another individual per hour. These rates might appear 250exceedingly high, indicating very frequent transmission between hosts, but we refer 251here to cross-colonization rate involving "any type of bacteria". Normal microbiota 252transmission rates between hosts have never been measured, probably requiring a 253complex metagenomic approach (18). Differences in evolution of *E. coli* phenotypes 254comparing 10% and 20% of colonization rates are unclear; maybe 10% transmission 255produce full effects, and 20% does not add much more. The subtractive representation 256allows discernment of a global advantage for the multi-resistant phenotypes (AbAR-257AbCR-AbFR) when the proportion of inter-host transmission rises from 5 to 20%. The 258mono-resistant AbAR phenotype tends to be maintained longer under low contagion 259rates. Note that multi-resistant phenotype "bursts" occur (dark blue spikes in the figure) 260also with low contagion rates (5% box in fig. 8), and "bursts" of less-resistant bacteria 261(red spikes) also occur in high contagion rates (20% box). It is to be noticed that the 262increase in cross-colonization rates favors not only the transmission of resistant 263populations, but also of the more susceptible ones, in a certain extent compensating the 264spread of the resistant phenotypes populations.

265**Size of transmitted bacterial load.** The absolute number of intestinal bacteria that are 266transmitted from one host to another one is certainly a factor influencing the acquisition 267of resistant (or susceptible) bacteria by the recipient. However, this number is extremely 268difficult to determine, as it depends not only on the mechanism of transmission (19,20), 269but also because the recipient might harbor bacterial organisms indistinguishable from 270those that are transmitted (21). On the other hand, efficient transmission able to 271influence colonic microbiota depends on the number of bacteria in the donor host, and 272the colonizing ability of different bacteria, not only in the intestine, but also in 273intermediate locations in the body, as probably the mouth or upper intestine (22). To 274show the potential effect of different bacterial loads acting as inocula, we consider a 275final immigrant population reaching the colonic compartment equivalent to 0.1%, 0.5% 276and 1% of the donor microbiota. As in previous cases, the evolution of multi-resistance 277favors *E. coli* (Fig SI4). Multi-resistant *E. coli* emerges earlier and reaches higher 278counts in higher-count inocula, but less resistant strains are maintained because the 279higher-count inocula also contain more susceptible bacteria.

280Intensity of the effect of antibiotics on bacterial populations. The question of the 281relation of the "potency" (intensity of antibiacterial activity) of antibiotics in relation 282with the selection of resistance has been a matter of recent discussions (23, 24, 25, 26). 283To illustrate the point, we changed the bactericidal effect of the antibiotics used in the 284model. Clinical species were killed at rates of 30%-15% (reflecting population decrease) 285the first and second hour of exposure respectively, and these rates were decreased to 2867.5-3.75%. Note that these modest killing rates intend to reflect the diminished effect of 287antibiotics in slow-growing clinical bacteria located in a complex colonic microbiome. 288The more susceptible *E. coli* phenotypes are maintained for longer when the killing 289intensity of antibiotics is lower; on the contrary, the multi-resistant phenotype emerges

290earlier and reaches higher numbers when the intensity of antibiotic action increases (Fig 2919). Under high antibiotic intensity, there is also a (small) increase in the resistant *K*. 292*pneumoniae* and *E. faecium* phenotypes. This experiment shows that a high rate of 293elimination of the more susceptible bacteria favors the colonization by the more 294resistant ones.

295**Intensity of the antibiotic effect on colonic microbiota.** The proportion of the colonic 296microbiota killed by antibiotic treatment, and thus the size of the open niche for other 297strains to multiply, constitutes an important factor in the multiplication of potentially 298pathogenic bacteria, and hence facilitates acquisition (mutational or plasmid-mediated) 299of resistance, and transmission to other hosts. In the basic model, reduction of the 300population is 25% for AbA, 20% for AbC, and 10% for AbF; in an alternative scenario 301these proportions were modified to 10%, 5% or 2% respectively. The result of this 302change is impressive (Figure 10): not only the number of bacteria is reduced but the 303evolution towards antibiotic resistance (EC) occurs at a slower rate, and even if the 304proportion of resistance phenotypes steadily increases along time, its absolute number 305does not grow, thus limiting host-to-host transmission.

306**Strength of antibiotic selection on resistance traits.** Strength of antibiotic selection is 307an important parameter in evolutionary biology of antibiotic resistance (27). Our 308computational model allows heuristic knowledge about the strength of selection of an 309antibiotic for a particular resistance trait, considering how the resulting trend is (or not) 310compatible with the observed reality. An example case is the unanswered question: -do 311plasmid-mediated cefotaxime-resistance (AbCR) also provides protection against 312aminopenicillins (AbAR)? Strains harboring TEM- or SHV-extended-spectrum beta-313lactamases hydrolyzing cefotaxime probably retain sufficient levels of aminopenicillin 314hydrolysis to be selected by aminopenicillins. However, the phenotype cefotaxime315resistant/aminopenicillin-susceptible is rare in hospital isolates. In our model, this was 316investigated providing different strengths of ampicillin (AbA) selection for a 317cefotaxime-resistant phenotype (AbCR): no selection (0%), selection only in 10% of the 318cases (10%), and full selection (100%). The results of the model (Fig SM5) show that if 319ampicillin were able to select for cefotaxime-resistance the phenotype aminopenicillin-320susceptible and cefotaxime-resistant should be prevalent from early stages. This is not 321what is observed in the natural hospital environment, suggesting that ampicillin is not a 322major selector for cefotaxime-resistance.

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#### 324 Discussion

325The rate of antibiotic resistance among bacterial species in a given environment is the 326result of the interaction of biological elements within a framework determined by many 327local variables, constituting a complex parameter space (28, 29, 30). There is a need to 328consider (in an integrated way) how changes in these parameters might influence the 329evolution of resistant organisms. This endeavor requires the application of new 330computational tools that should consider the nested structure of the microbial 331ecosystems, where mechanisms of resistance (genes) can circulate in mobile genetic 332elements among bacterial clones and species belonging to genetic exchange 333communities (12, 13) located in different compartments (as the hospital, or the 334community). A number of different factors critically influence the evolution of this 335complex system, such as antibiotic exposure (frequency of treated patients, drug 336dosages, the strength of antibiotic effects on commensal bacterial communities, the 337replication rate of the microbial organisms, as well as the fitness costs imposed by 338antibiotic resistance, the rate of exchange of colonized hosts between compartments 339 with different levels of antibiotic exposure (hospital and community), or the rates of 340cross-transmission of bacterial organisms among these compartments. The challenge 341that we are addressing in this work is to simultaneously combine for the first time all 342these (and potentially more) factors in a single computing model to understand the 343selective and ecological processes leading to the selection and spread of antibiotic 344 resistance. In comparison with available classic mathematical models that have been 345applied to the study of evolution of antibiotic resistance (31), the one we are discussing 346in this work is far more comprehensive in terms of the level of capture of the multi-level 347parametric complexity of the phenomenon. Note that results obtained with the model 348and presented here correspond only to a very limited number of possible "computational 349experiments", chosen to show the possibilities of the model, but a virtually unlimited 350number of other experiments, with different combinations of parameters, are feasible  $\dot{a}$ 351*la carte* with a user-friendly interface. In addition, our model can illustrate principles, 352generate hypothesis and guide and facilitate the interpretation of empirical studies (32, 35333). Examples of these heuristic predictions are that resistance (less antibiotic effect) in 354colonic commensal flora can minimize colonization by resistant pathogens, the possible 355minor role of aminopenicillins in the selection of extended-spectrum beta-lactamases 356(AbCR), or the possibility of the presence of plasmids containing aminopenicillin-357 resistance in *K. pneumoniae*, phenotypically "invisible" as this organism has 358chromosomal resistance to the drug.

359Our results are presented in terms of the ensemble of biological entities contained in the 360whole landscape (for instance the hospital), aggregated across individual hosts. This 361"pooling" approach, originated in ecological studies, has already been used in antibiotic 362resistance (34). Environments (as the hospital) are depicted as single "big world" units 363colonized by "big world populations", including those with are antibiotic resistant but 364also the susceptible ones, which can limit the spread of resistance, in a sense "spreading 365health" (35). In this scenario, how might antibiotics modify the available colonization 366space? (36, 37). Our model includes the elimination of part of the global colonic 367microbiota with antibiotic use, favoring the colonization of resistant organisms, 368previously in minority.

369In our computational experiments we can reproduce the successive "waves" of 370increasingly resistant phenotypes, mimicking the clonal interference phenomenon (38). 371We show that the speed and intensity of this process depends on the global resistance 372landscape and the density and phenotype of the bacterial subpopulations. Our model 373predicts that previous mutational ciprofloxacin-resistance facilitates fast evolution of 374multi-resistance by horizontal acquisition of resistance genes (14, 15). We also show 375that the long-term dissemination of chromosomally-encoded genes is by far less 376effective than the spread of traits encoded in transferable plasmids, even though some 377limitations are detectable because of plasmid incompatibility. A frequently overlooked 378aspect of antibiotic resistance suggested by our membrane computing experiments, is 379that probably the evolution of multi-resistance favors at long term some predominant 380species, as *E. coli*, where there is also an increasing benefit for the more resistant 381clones.

382The consequences of changes in the transmission and treatment rates of the hospital and 383the community were also explored in our model. Several mathematical models have 384also investigated these changes (16, 37, 38, 39, 40, 41, 42, 43, 44, 45). Is clear that the 385effect of reducing patient discharges and admissions in the hospital increases the local 386rates of antibiotic resistance, but in our model, the proportion of antibiotic treated 387patients in the hospital has the stronger effect, stressing the importance of a precision-388prescribed antibiotic therapy (44). The role of increasing rates of hospital cross389colonization also influences the rise of resistance, but this effect seems lower than 390expected, probably because higher transmission rates also assures transmission of the 391more susceptible antibiotic populations, a kind of "washing out" process of resistance, 392as the one that occurs when the community-hospital flow increases (16). The model also 393predicts that the "amount" of bacteria transmitted between hosts favors the ascent of 394antibiotic resistance. We considered another frequently overlooked factor: the 395consequences of "intensity" (aggressiveness) of the antibiotic therapy, because of 396frequent dosage and particularly in terms of its ability to reduce the colonic microbiota, 397and therefore "colonization resistance" for resistant opportunistic pathogens (47).

398Precise data are not always easy to obtain, and the type of mathematical or 399computational models should influence the results of predictions (48). However, 400because of the functional analogy of membrane computing with the biological world, 401we hypothesize that the trends revealed in our computational model reflect general 402processes in the evolutionary biology of antibiotic resistance. If the model were fed with 403objective data extracted from a real landscape (which will be possible with a user-404friendly interface), it could provide a reasonable expectation of the potential 405evolutionary trends in this particular environment and could support the adoption of 406corrective interventions (49). Validation of this computational model is the next 407necessary step; to this goal, we are developing an "experimental epidemiology" model 408where the parameters could be altered and measured (50), and also planning prospective 409hospital-based observations.

410Finally, we would like to stress that the type of membrane-computing model that was 411applied in this work can be easily escalated or adapted to a variety of applications in 412systems biology (51,52), and particularly to understand complex ecological systems 413with nested hierarchical structures and involving microorganisms (53).

### 414Material and Methods

415**Software implementation and computing model**. All computational simulations were 416performed using an updated version of ARES (Antibiotic Resistance Evolution 417Simulator), which is the software implementation of a P system for modeling of 418antibiotic resistance evolution (8). This P system model works with objects and 419membranes distributed in different regions organized in a tree-like structure, as the P 420system classic model, but now with more specific rules: the "object rules" can modify 421an object (evolution rules) or move the object out, in, or between membranes, the 422"membrane rules" can move membranes out, in, or between regions that contain them 423as "object rules" and can dissolve and duplicate membranes. When a membrane is 424dissolved all the membranes and objects inside disappears. For duplication we can 425define which objects will be duplicated and which ones will be distributed; the 426membranes are always distributed. The implementation of our P system uses a 427stochastic to apply the rules, the rules being ordered by priorities and each rule has a 428" probability" to be applied. Other computational objects can be introduced, either to tag 429particular membranes, or to interact with the embedded membranes, for instance 430mimicking antibiotics, according to a set of pre-established rules and specifications. We 431 obtain an evolutionary scenario including several types of nested computing membranes 432emulating entities such as: i) resistance genes, located in the plasmid, other conjugative 433elements or in the chromosome; ii) plasmids and conjugative elements transferring 434genes between bacterial cells; iii) bacterial cells; iv) microbiotas where different 435bacterial species and subspecies (clones) can meet; v) hosts containing the microbiotic 436ensembles; vi) environment(s) where the hosts are contained. The current version of 437ARES (2.0) that can be freely downloaded at https://sourceforge.net/projects/ares-438simulator/. ARES 2.0 runs in any computer (is a java application) albeit it is highly

439recommendable to install it in at least a 4× 6 Core Server and 128 GB of RAM. The 440original ARES web site at http://gydb.org/ares offers sections with information about 441the rules and parameters currently used by ARES.

442Anatomy of the model application. The current application of the model was 443structured accordingly with the following composition: 1) compartments containing 444individual hosts at particular densities, mimicking a hospital (H) and a community 445environment (C); flux of individuals between both compartments occurs at variable 446rates, mimicking admission or discharge from the hospital. 2) clinically relevant 447bacterial populations colonizing these hosts, from the species, Ec, Escherichia coli; Ef, 448Enterococcus faecium; Kp, Klebsiella pneumoniae), and Pa, Pseudomonas aeruginosa. 449These populations diversify from their initial phenotype by acquisition of mutations 450and/or mobile genetic elements, plasmids PL1 and for Ec, Kc, Pa circulating in these 451species, or, in Ef, conjugative elements (CO1). The cell can maintain two copies of the 452plasmid PL1 (containing resistance to AbA (PL1-AbAR) or AbC (PL1-AbCR) but not 453more, so that when a third plasmid PL1 enters the cell, one of the three is stochastically 454removed. AbCR produces some degree of resistance to AbA, and we consider this 455antibiotic also selects, in 10% of the cases, cells containing the plasmid PL1-AbCR. 456CO1 is an Ef "plasmid-like" mechanism of transfer of chromosomal gene AbAR (CO1-457AbAR); a single copy of CO1-AbAR exist in the receiving host. Acquired resistance 458(not intrinsic) to AbA (AbAR) is mediated by the acquisition of PL1 (or CO1), 459resistance to AbC (AbCR), by acquisition of PL1 containing the AbCR resistance 460determinant, and resistance to AbF (AbFR) by mutation. Note that our representations, 461 for example, when Ec0 (susceptible) receives PL1 with AbAR it becomes EcA, if PL1 462with AbCR becomes Ec2C, and when Ec0, Ec1 or Ec2 mutate to AbFR become EcF, 463EcAF3, and EcCF. The acquisition of PL1 with AbAR by EcCF or PL1 with AbCR by 464EcAF produces the multi-resistant strain EcACF.

#### 465Quantitative structure of the basic model application.

466**Hospitalized hosts in the population**. The number of hosts in the hospital and 467community environments reflects an optimal proportion of 10 hospital beds per 1,000 468individuals in the community (<u>https://data.oecd.org/healtheqt/hospital-beds.htm</u>). In our 469model, the hospital compartment has 100 occupied beds, and corresponds to a 470population of 10,000 individuals in the community.

471**The admission and discharge rates from hospital** are equivalent, 3-10 472individuals/10,000 population/day (<u>http://www.cdc.gov/nchs/data/nhds/ 1general/</u>). In 473the basic model, 6 individuals from the community are admitted to the hospital and 6 474are discharged from the hospital to the community per day (approximately at 4 hour-475intervals). Patients are stochastically admitted or discharged, meaning that about 75% of 476the patients stay in the hospital between 6 and 9 days.

477**The bacterial colonization space** of the populations of the clinical species considered 478here (Table 1) and other basic colonic microbiota populations is defined as the volume 479occupied by these bacterial populations. In natural conditions, the sum of these 480populations was estimated in 10<sup>8</sup> cells per ml of the colonic content. Clinical species 481constitute only 1% of the cells in each ml, and have a basal colonization space of 1% of 482each ml of colonic content, 0.01 ml. In the next section is explained how these spaces 483are considered for counting populations in the model.

484The ensemble of other microbiota populations is considered in our basic study model as 485an ensemble surrounded by a single membrane. The colonic space occupied by these 486populations can change because of antibiotic exposure. Along a treatment course (7 487days) the antibiotics AbA, AbC, and AbF reduce the intestinal microbiota 25%, 20% 488and 10% respectively. As an example, if we consider that 10% of the basic colonic 489populations were eliminated by antibiotic exposure, their now empty space (0.1 ml), 490will be occupied by antibiotic resistant clinical populations, and by the colonic 491populations that have survived the challenge. In the absence of antibiotic exposure, the 492colonic populations are restored in two months to their original population size. Clinical 493populations are comparatively faster in colonizing the empty space.

494**Populations' operative packages and counts.** To facilitate the process of model 495running, we consider that 10<sup>8</sup> cells in nature is equivalent to 10<sup>6</sup> cells in the model. In 496other words, one "hecto-cell" (h-cell) in the model is an "operative package" of 100 497cells in the real world. Because of the very high effective population sizes in bacteria, 498these 100 cells are considered as a uniform population of a single cell type. A certain 499increase in stochasticity might occur because of using h-cells; however, run replicates 500do not differ significantly (fig SM1). Also for computational efficiency, we considered 501that each patient (in hospital) or individual (in the community compartment) is 502represented in the model by 1 ml of its colonized colonic space (about 3,000 ml) and is 503referred as a "host-ml". Consequently, in most of the figures we represent our results as 504"number of h-cells in all hosts-ml".

505**Quantitative distribution of clinical species and clones.** In the basal scenario, the 506distribution of species in these 1,000,000 cells, contained in 1 ml, is the following: for 507EC, 860,000 cells, including 500,000 susceptible cells, 250,000 containing PL1-AbAR, 508100,000 with the AbFR mutation, and 10,000 with both PL1-AbAR and AbFR 509mutation; for EF, 99,500 AbA susceptible and 20,000 AbAR. For KP, 20,000, with 510chromosomal AbAR, PL1-AbCR and AbFR; and PA, 500 containing PL1-AbCR. At 511time 0, this distribution is identical in hospitalized and community patients.

512**Tagging starting clone populations in** *E. coli*. To be able to follow the evolution of 513particular lineages inside *E. coli*, four ancestral clones (Ecc) were distinguished, 514differing in the original resistance phenotype, Ecc0 as a fully susceptible clone, EccA 515harboring PL1 determining AbAR, EccF harboring AbFR, and with EccAF with PL1-516AbAR, and AbFR (Table 1). At time 0 each one of these clones is tagged with a 517distinctive "object" in the model which remains fixed to the membrane, multiplies with 518the membrane, and is never lost. Each one of the daughter membranes along the 519progeny can alter its phenotype by mutation or lateral gene acquisition, but the ancestral 520clone will remain detectable.

521**Multiplication rates.** We consider the basal multiplication rate (=1) the one 522corresponding to Ec0, where each bacterial cell gives rise to two daughter cells every 523hour. Comparatively, Ef=0.85, Kp=0.9, and Pa=0.15. The acquisition of a mutation, 524plasmid of a mobile element imposes an extra cost of 0.03. Therefore, Ec0=1, 525EcA=0.97 (because of the cost of PL1-AbAR), EcC=0.97 (cost of PL1-AbCR), EcF= 5260.97 (cost of mutation); EcAF=0.94 (PL1-AbAR and AbFR), Ef(1)=0.85, Ef(2)=0.79 527(CO1-AbAR and AbFR), Kp=0.84 (PL1-AbAR and AbFR), and Pa with PL1-AbCR 528=0.12 (PL1-AbCR). The number of cell replications will be limited by the available 529space (see above).

530**Transfer of bacterial organisms from one host to another one** is expressed by the 531proportion of individuals that can stochastically produce an effective transfer of 532commensal or clinical, susceptible or resistant bacteria to another one (contagion index, 533CI). If contagion is 5%, or CI=5, that means that from 100 patients, 5 "donors" transmit 534bacteria to 5 others "recipients" per hour. In the case of the basic scenario, CI=5 in the 535hospital and CI=1 in the community (all results with CI=0.01 are available on request). 536In the basic scenario, donors contribute to the colonic microbiota of recipient 537individuals with 0.1, 0.5 and 1% of their own bacteria. This inoculum does not 538necessarily reflect the number of cells transferred, but also reflects endogenous 539multiplication after transfer, as proposed in other models (54). In any case, cross-540transmission is responsible for most new acquisitions of pathogenic bacteria (55).

541**Frequency of plasmid transfer between bacteria** occurs randomly and reciprocally at 542an equivalent high frequency among Ec and Kp; in the basic model, the rate is 0.0001, 543one effective transfer occurring in 1 of 10,000 potential recipient cells. Plasmid transfer 544occurs at a lower rate, of 0.000000001 in the interactions of Ec and Kp with Pa. 545Conjugative-elements) mediated transfer of resistance among Ef occurs at a frequency 546of 0.0001, but Ef are unable to receive or donate resistance genes to any of the other 547bacteria considered. In the case of Ec and Kp plasmids we consider plasmid limitation 548in the number of accepted plasmids, so that if a bacterial cell with two plasmids receives 549a third plasmid, there is a stochastic loss of one of the residents or the incoming 550plasmid, but all three cannot coexist in the same cell.

551**Mutational resistance** is only considered in the present version of the model for 552resistance to AbF, fluoroquinolones. Organisms of the model-targeted populations 553mutate to AbF at the same rate, 1 mutant every 10<sup>8</sup> bacterial cells per cell division.

554**Antibiotic exposure**. In the basic model, 5%-10%-20% of the individuals in the 555hospital compartment are under antibiotic exposure each day, each individual being 556exposed (treated) for 7 days. In the community compartment 1.3 % of individuals are 557under treatment, also exposed each of them to antibiotics for 7 days. Antibiotics AbA-558AbC-AbF are used in hospital and the community compartments at a proportion 559(percentage) of 30-40-30; and 75-5-20 respectively. In the basic scenario a single patient 560treated with only one antibiotic, administered every 8 hours.

561Intensity of the effect of antibiotics on susceptible clinical populations. After each 562dose administered, all three (bactericidal) antibiotics induce after a decrease of 30% in 563the susceptible population after the first hour of dose exposure, and 15% in the second 564hour. These relatively modest bactericidal effects reflect the reduction in antibiotic 565killing rates of clinical populations when inserted in the colonic microbiota. The 566antibiotic stochastically penetrates in these percentages of bacterial cells, and those that 567are susceptible are removed (killed). Therapy is maintained in the treated individual 568along 7 days.

**Intensity of the effect of antibiotics on colonic microbiota.** Antibiotics exert an effect 570reducing the density of the colonic commensal microbiota, resulting in free-space and 571nutrients that can benefit the clinical populations. In the basic model, such reduction is 57225% for AbA, 20% for AbC, and 10% for AbF.

#### 

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#### 586Figure Legends

**Figure 1**. Dynamics of bacterial resistance phenotypes in *E. coli*. Pink, susceptible; red, 588AbAR (AMP); violet, AbFR (FLQ); brown, AbAR and AbFR; light blue, AbAR and 589AbCR; dark blue, AbAR, AbCR and AbFR; light green, AbCR; olive green, AbCR and 590AbFR. In ordinates, number of hecto-cells (h-cells, packages of 100 identical cells) in 591all hosts-ml (each host represented by 1 ml of colonic content); in abscissa, time (1000 592steps, roughly equivalent to 42 days).

**Figure 2.** Comparative dynamics of *E. coli* phenotypes in the hospital (A), and the 594community (B); axes and color code, as in Fig 1. Species dynamics in the hospital (C) 595and the community (D): *E. coli* (black), *K. pneumoniae* (yellow green), *E. faecium* 596AbAS (violet), and *E. faecium* AbAR (dark green). *P. aeruginosa* is not visible in this 597representation (low numbers).

**Figure 3**. Influence of baseline *E. coli* resistance phenotypes composition on the 599dynamics of bacterial species. On the left, comparative dynamics of *E. coli* phenotypes 600in the basic hospital scenario (up) and with reduced numbers of resistant phenotypes 601(down). Colors and axes, as in Fig. 1. On the right, comparative dynamics of bacterial 602species in the basic model (up), and the reduced basal resistances (down); colors as in 603Fig 2.

**Figure 4**. Single clone *E. coli* dynamics in the hospital: influence of baseline 605resistances. In pink, clone Ecc0 starting with full susceptibility, in red, with AbAR 606(EccA); in violet, with AbFR (EccF)); in brown, with AbAR and AbFR (EccAF).

**Figure 5**. Dynamics of a plasmid and resistance traits in the hospital environment. The 608species *E. coli, K. pneumoniae* and *P. aeruginosa* are included as a genetic exchange

609community. In blue, total number of the plasmid PL1; in bright red, plasmid PL1 with 610the gene AbAR (AMP); in green, PL1 with AbCR (CTX); in violet, chromosomal AbFR 611(FLQ) gene; in red-brown, chromosomal AbAR (as in *K. pneumoniae*). In ordinates, 612number of plasmids or resistance traits in h-cells (packages of 100 identical cells) in all 613hosts-ml (each host represented by 1 ml of colonic content).

**Figure 6.** Influence of patients' flow between hospital and community. On the left, 615influence on *E. coli* resistance phenotypes in the hospital when one patient is admitted 616at/discharged from the hospital every 2 (top), 4 (middle), or 8 hours (bottom).

**Figure 7**. Influence of the frequency of patients treated with antibiotics. On the left, *E*. 618*coli* phenotypes when 20% (up), 10% (mid) or 5% (down) of patients receive antibiotics 619during a week, three doses per day. In the right part, effect on bacterial species. Colors 620as in Figs 1 and 2.

**Figure 8**. Influence of the frequency of bacterial cross-transmission rates in the 622hospital. On the left, dynamics of *E. coli* phenotypes when bacterial exchanges between 623patients occur in 5% (up) or 20% (down) per hour. A subtractive representation is 624provided below (5 vs. 20%). On the right, influence on the species composition: 5% 625(up), and 20% (down). Colors as in Figs 1 and 2.

**Figure 9**. Influence of the activity of the antibiotic on *E. coli* phenotypes (left) and the 627species composition (right). Upper panels, susceptible bacteria are eliminated 30% after 628the first hour of exposure and 15% after the second hour; in the lower panels, the 629elimination is lower, 7.5% the first hour and 3.75% the second hour. Colors as in Figs 1 630and 2.

**Figure 10**. Influence of the intensity of the antibiotic effect on colonic microbiota of 632patients in the hospital. On the left, effects on *E. coli* phenotype of a reduction in

633microbiota of 25% for AbA, 20% for AbC, and 10% for AbF (upper panel); these values 634were reduced to 10%, 5% or 2% respectively (lower panel). The effects on the species 635composition is shown at the right side.

636

#### 637Figures (Supplementary material)

638**Figure SM1.** Three consecutive model iterations, in the three panels of the figure, 639representing the dynamics of *E. coli* resistance phenotypes in the hospital compartment. 640As the model include several stochastic and probabilistic steps, the results obtained are 641not entirely identical in replicated runs of the program. However, there are extremely 642close.

643**Figure SM2**. Dynamics of *E. coli* clones starting with different resistance phenotypes in 644the hospital compartment. On the left half, from top to down, Ecc0 starting without 645resistance, EccA starting with AbAR; EccF starting with AbFR, and EccAF with AbAR 646and AbFR. On the right half of the figure, the same in logarithmic representation, 647allowing to perceive minority phenotypes.

648**Figure SM3**. Dynamics of *K. pneumoniae* (top), susceptible *E. faecium* Ef(1) (middle) 649and Ef(2) AbAR (bottom) in the hospital and community (left and right columns 650respectively). **Figure SM4**. Influence of the size of transmitted bacterial load. On the 651left half of the figure, *E. coli* phenotypes dynamics in the hospital, when the mean 652transmitted bacterial load is equivalent to 0.1% (up), 0.5% (mid) or 1% (bottom) of the 653colonic microbiota. On the right side, evolution of the different species with these 654transmission loads. Color codes as in Fig 1 and 2.

655**Figure SM5.** Expected dynamics of hospital-based *E. coli* under the hypothesis that 656AbCR might provide: 0% of resistance to AbA (upper panel), 10% (mid panel), or 657100% (lower panel). Colors as in Fig 1.

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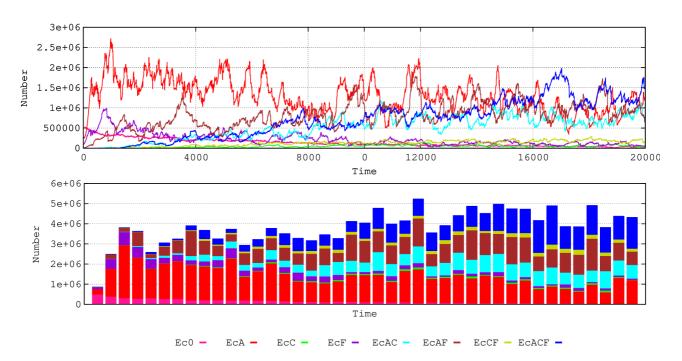
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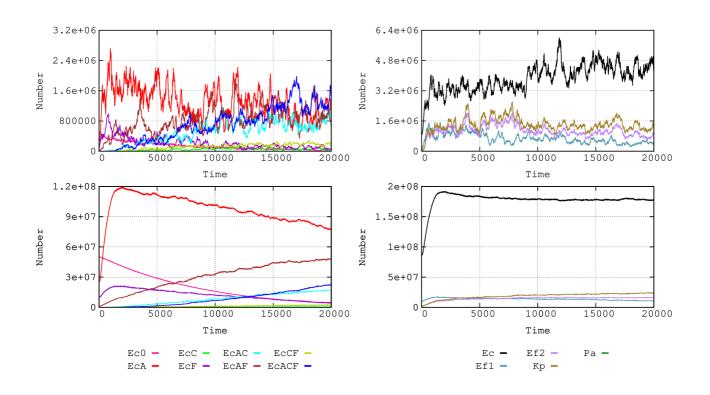
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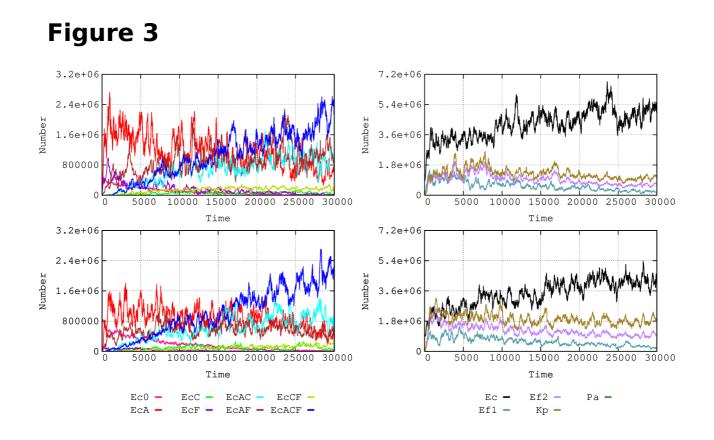
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### Figure 1

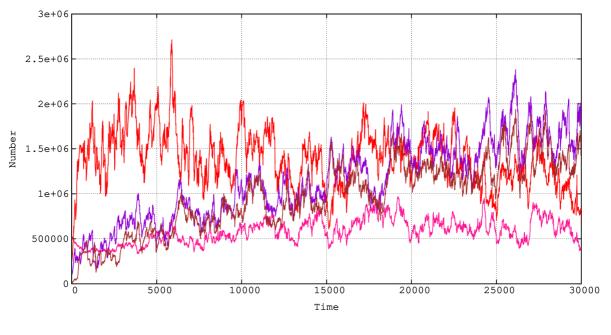


## Figure 2

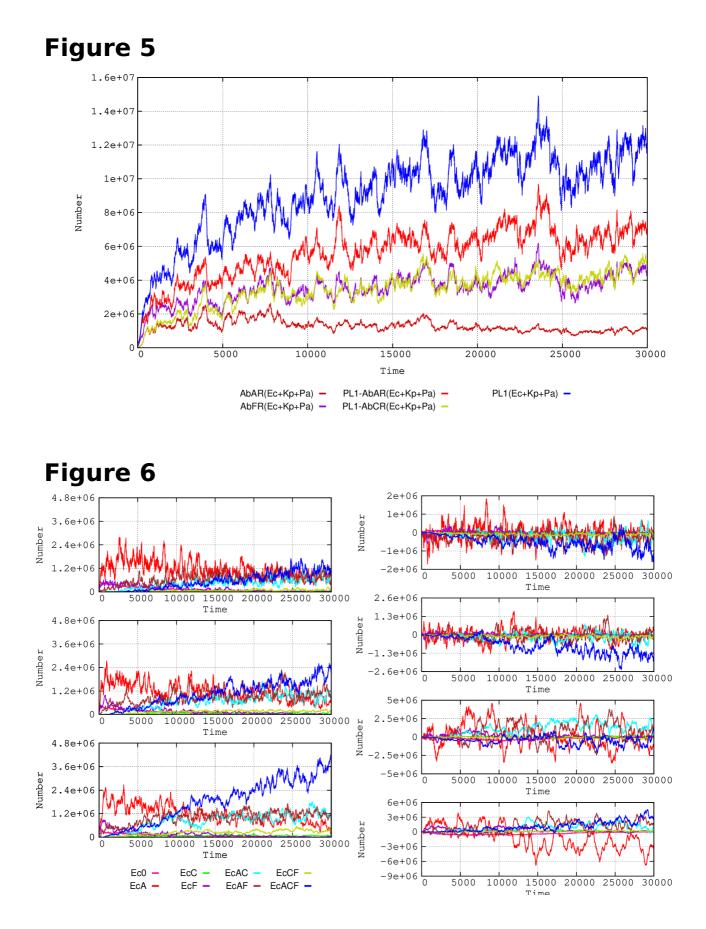




## Figure 4



Ecc0 - EccA - EccF - EccAF -



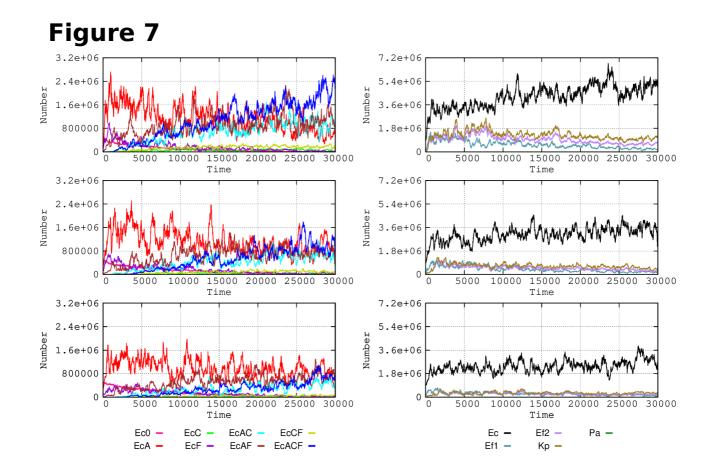
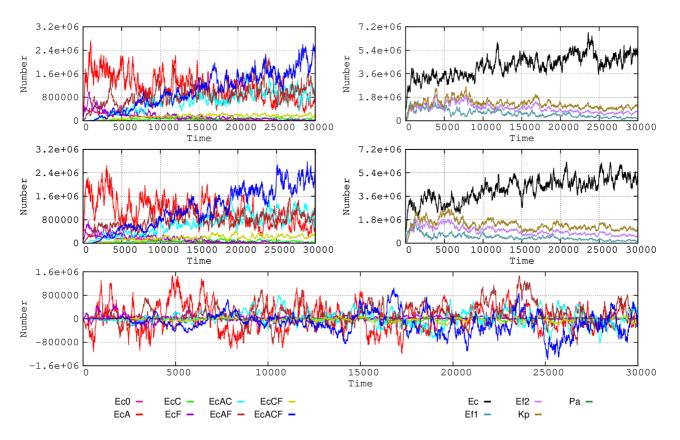
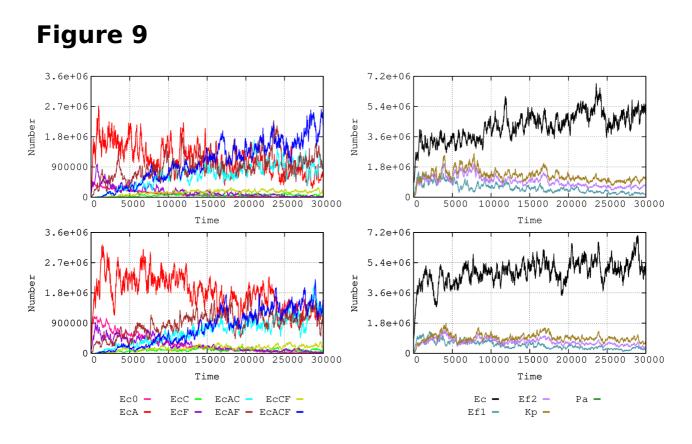
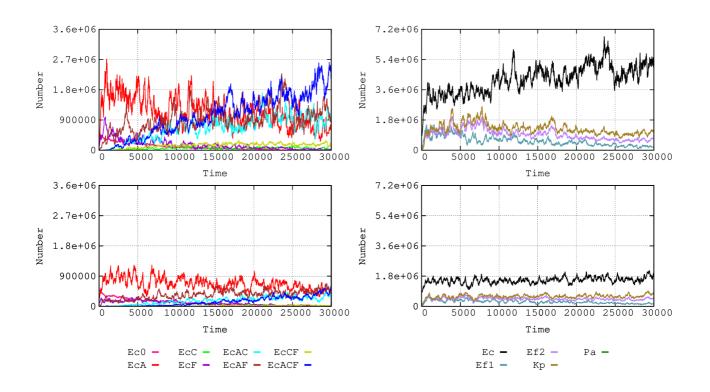


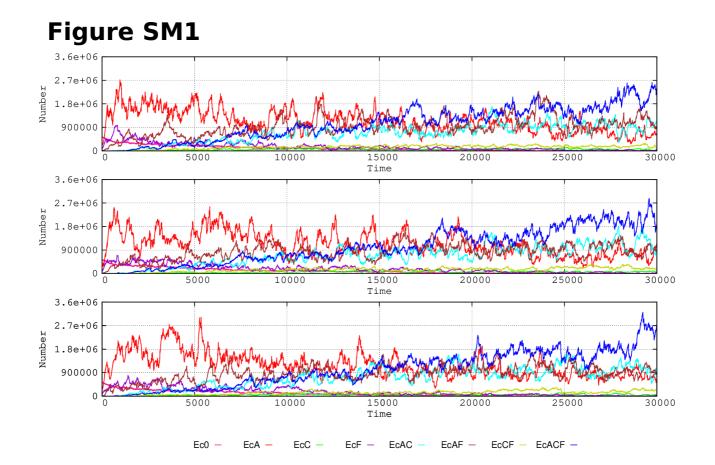
Figure 8

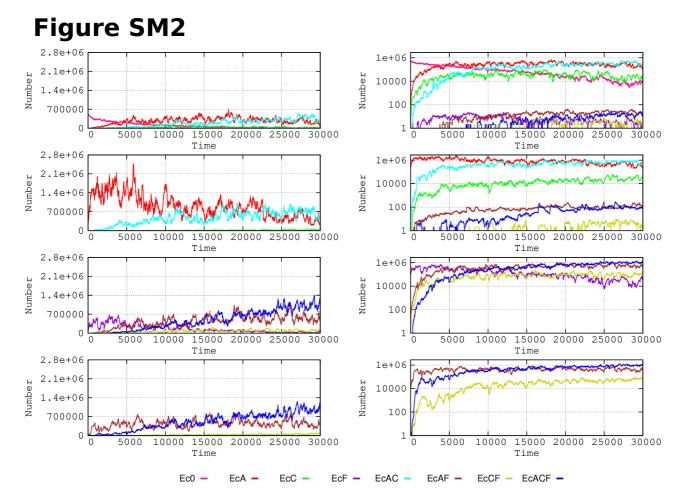




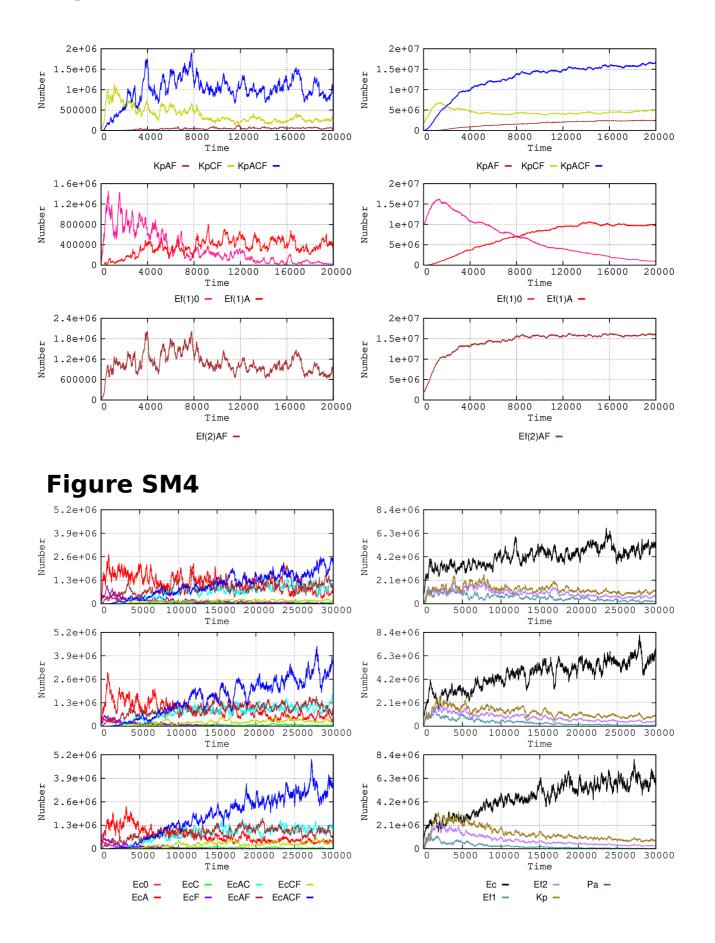
# Figure 10







## **Figure SM3**



**Figure SM5** 

