

1 **Title: A direct proof that sole actin dynamics drive membrane**

2 **deformations**

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11 **Abstract:** Cell membrane deformations are crucial for proper cell function. Specialized
12 protein assemblies initiate inward or outward membrane deformations that turn into, for
13 example, filopodia or endocytic intermediates. Actin dynamics and actin-binding proteins are
14 involved in this process, although their detailed role remains controversial. We show here
15 that a dynamic, branched actin network is sufficient, in absence of any membrane-associated
16 proteins, to initiate both inward and outward membrane deformation. With actin
17 polymerization triggered at the membrane of liposomes, we produce inward filopodia-like
18 structures at low tension, while outward endocytosis-like structures are robustly generated
19 regardless of tension. Our results are reminiscent of endocytosis in mammalian cells, where
20 actin polymerization forces are required when membrane tension is increased, and in yeast,
21 where they are always required to overcome the opposing turgor pressure. By combining
22 experimental observations with physical modeling, we propose a mechanism for actin-driven
23 endocytosis under high tension or high pressure conditions.

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24 Many cell functions rely on the ability of cells to change their shape. The deformation of the
25 cell membrane is produced by the activity of various proteins that curve the membrane
26 inwards or outwards, by exerting pulling and pushing forces or by imposing membrane
27 curvature via structural effects. Membrane invagination (or inward deformation of the cell
28 membrane) can be initiated by specific proteins, such as clathrin, which coat the membrane
29 and impose geometrical constraints that bend the membrane inwards. In this view, the action
30 of the actin cytoskeleton, a filamentous network that forms at the membrane, is crucial only
31 at a later stage for membrane elongation. Nevertheless, impressive correlation methods
32 revealed unambiguously that, in yeast, membrane bending is not triggered by the presence of
33 coat proteins, but by a dynamic actin network formed at the membrane through the Arp2/3
34 complex branching agent ¹⁻³. In mammalian cells, clathrin-mediated endocytosis requires the
35 involvement of actin if the plasma membrane is tense, *e.g.* following osmotic swelling or
36 mechanical stretching ⁴. However, the exact mechanism of membrane deformation in this
37 process is still poorly understood. Strikingly, the same type of branched actin network is able
38 to bend the membrane the other way in dendritic filopodia, outward-pointing membrane
39 deformations that precede the formation of dendritic spine in neurons ⁵. Dendritic filopodia
40 appear different from conventional filopodia where actin filaments are visibly parallel. The
41 ability of a branched actin network to produce a filopodia-like membrane deformation has
42 never been investigated.

43

44 How the same branched actin structure can be responsible for the initiation of filopodia,
45 which are outward-pointing membrane deformations, as well as endocytic cups that deform
46 the membrane inward, is what we want to address in this paper. Such a question is difficult to
47 investigate in cells that contain redundant mechanisms for cell deformation. Actin dynamics
48 triggered at a liposome membrane provide a control on experimental parameters such as

49 membrane composition, curvature and tension, and allow the specific role of actin dynamics
50 to be addressed. We evidence that the same branched actin network is able to produce both
51 endocytosis-like and filopodia-like deformations. With a theoretical model, we predict under
52 which conditions the stress exerted on the membrane might lead to inward and/or outward
53 pointing membrane deformations. Combining experiments and theory allows us to decipher
54 how the interplay between membrane tension and actin dynamics produces inward or
55 outward membrane deformations.

56

57 **Membrane deformations:tubes and spikes**

58 Liposomes covered with an activator of the Arp2/3 complex, SpVCA, are placed in a mixture
59 containing monomeric actin, profilin, the Arp2/3 complex and capping protein (CP) in order
60 to grow a branched actin network at their surface (Materials and Methods and Fig. 1A).

61 Strikingly, imaging the membrane of liposomes in the presence of a growing actin network
62 reveals that the liposome surface is not smooth, but instead shows a rugged profile. Indeed,
63 membrane tubes, hereafter called "tubes", are observed to radiate from the liposome surface
64 and extend into the actin network (Fig. 1B), even when comet formation has occurred ^{6,7}
65 (Supplementary Fig. 1). Interestingly, some liposomes display another type of membrane
66 deformation, characterized by a conical shape that points towards the liposome interior,
67 hereafter referred to as "spikes" (Fig. 1B). Some of the liposomes carry both tubes and spikes,
68 while others display neither, despite the presence of an actin network at the membrane (Fig.
69 1B).

70 We now address the role of membrane tension on the appearance of tubes and spikes. Under
71 conditions of normal osmotic pressure (200 mOsm), 63.0% of liposomes display tubes only,
72 2.3% show spikes only, while 6.1% of liposomes have a mix of both, and 28.6% have neither
73 (Fig. 1C). To examine how membrane tension affects the occurrence of tubes and spikes,

74 liposomes are deflated by increasing the osmotic pressure of the working buffer to 400
75 mOsm. On the one hand, a huge increase in the number of liposomes displaying spikes is
76 observed when membrane tension is lowered in deflated liposomes. Indeed 65.0% of deflated
77 liposomes display spikes (with or without tubes), compared to 8.4% in non-deflated
78 conditions (Fig. 1C, $p < 0.0001$). On the other hand, the frequency with which tubes are
79 observed is essentially unaffected by a change in membrane tension: 69.1% for non-deflated
80 liposomes compared to 74.8% for deflated liposomes (not significant, $p = 0.24 > 0.05$).
81 The presence of membrane tubes and spikes clearly correlates with the presence of the actin
82 network. Indeed, tubes, as well as spikes, disappear where the actin network is destroyed ⁶
83 (Fig. 1, D and E and Materials and Methods). Moreover, the disappearance of tubes correlates
84 with a change in membrane aspect, from rugged to smooth (Fig. 1D). A possible effect of
85 membrane curvature induced by our SpVCA attachment is ruled out (Supplementary
86 Information and Supplementary Fig. 2).

87

88 **Characterization of tubes**

89 To assess where new actin monomers are incorporated during tube growth, we initiate actin
90 assembly with Alexa568-labelled actin (red), and we incorporate new monomers of
91 Alexa488-labelled actin (green) after 20 minutes (Materials and Methods). As previously
92 observed for actin networks growing around polystyrene beads ^{8,9}, new monomers insert at
93 the liposome surface (Fig. 2A). Strikingly, new (green) monomers are also observed within
94 the already grown (red) actin network (Fig. 2A), indicating new actin incorporation on the
95 sides of membrane tubes (evidenced by phase contrast imaging, Fig. 2A, left), where SpVCA,
96 the activator of actin polymerization, is also present (Fig. 2B).
97 We find that the average length of the longest tubes increases linearly with network thickness
98 (Fig. 3, A and B). In fact, tube length roughly equals the thickness of the actin network,

99 independent of the membrane tension (Fig. 3B, slope 0.89 ± 0.04). Moreover, we find that
100 tubes grow simultaneously with the actin network (Fig. 3, C and D and Supplementary Fig.
101 3). An important observation is that there is a distribution of tube lengths within the actin
102 network. Indeed, shorter tubes are present, since total fluorescence intensity decreases with
103 distance from the liposome surface (Materials and Methods, Supplementary Fig. 4, A and B).
104 Tubes shorter than the network thickness are clearly visible by confocal microscopy
105 (Supplementary Fig. 4C).

106 The origin of the accumulation in membrane fluorescence detected at the tip of some of the
107 longer tubes is unclear. We observe that SpVCA forms aggregates on membranes and sticks
108 membranes together, even in the absence of actin (Supplementary Fig. 5). It is possible that
109 small vesicles are attached via SpVCA to the membrane before polymerization starts and are
110 pushed outward by actin growth. However, the presence of different tube lengths rules out
111 that tubes could be only formed by pre-existing attached vesicles.

112

113 **Characterization of spikes**

114 We find that new actin is incorporated at the tips of the spikes as well as at the sides (Fig.
115 4A), consistent with the localization of SpVCA (Fig. 4B). A clump of actin is observable at
116 the base of the spikes (Fig. 4C). The thickness of the clump bears no clear correlation with
117 the length of the spikes (Supplementary Fig. 6A), but slightly correlates with their width
118 (Supplementary Fig. 6B). Spikes initially elongate with time until polymerization slows
119 down, the basal width of spikes, however, remains roughly constant over time (Fig. 4D and
120 Supplementary Fig. 6C).

121

122 **Theoretical models for the growth of spikes and tubes**

123 To rationalize the occurrence of spike-like structures arising solely from a uniformly
124 polymerizing actin network, we use analytical modeling and numerical Finite Element
125 calculations to evaluate the conditions under which large-scale membrane deformations may
126 develop due to actin polymerization. We first estimate the normal stress exerted by the
127 polymerization of an actin network (Material and Methods for details). The actin network can
128 be modeled as a viscoelastic material with an elastic behavior at short time and a viscous
129 behavior at long time due to network rearrangement, the cross-over time being on the order of
130 10 s^{10-12} . We choose to focus on the viscous behavior as the growth of the network occurs on
131 timescales of tens of minutes.

132 We model the growth of the actin network by imposing a uniform actin polymerization
133 velocity v_p normal to the liposome membrane, a choice motivated by the dual color
134 measurements in Fig. 4A. We solve the Stokes equation for the viscous gel polymerizing with
135 a constant velocity normal to the liposome surface (Material and Methods). Actin
136 polymerization on a flat membrane results in a uniform actin flow which does not generate
137 any mechanical stress. A small perturbation of membrane shape modulates the actin velocity
138 field which may generate viscous stress on the membrane. We show in the Material and
139 Methods that the first order contribution to the normal stress exerted by the network on a
140 periodically weakly deformed membrane, as illustrated in Fig. 5A, also vanishes identically.
141 The lowest order contribution to the actin stress is quadratic with membrane deformation.
142 This is in agreement with the finding that actin growing on a uniformly curved surface
143 creates a normal stress proportional to the square of the curvature^{10,13}. In the case of a
144 localized membrane perturbation, a Gaussian with amplitude A and width b ,
145 $u(x) = A \exp[-(x/b)^2]$ (Fig. 5B), we numerically calculate the normal stress exerted by an
146 actin layer (Material and Methods). We obtain the pressure and velocity fields that arise in
147 the actin layer (Fig. 5C). Velocity gradients in the growing actin layer, generated by the

148 deformed surface, induce a normal force in the center of the perturbation, “pushing” the
149 membrane inwards in the center of the perturbation (Fig. 5D). A scaling analysis of the
150 Stokes equation, confirmed by our numerical calculation, shows that the normal stress σ_{nm} , at
151 the center of the perturbation ($x=0$) scales as $\sigma_{nm} \sim -\eta A^2 b^{-3} v_p$, where η is the viscosity of
152 the actin layer (Fig.5, E and F). It is important to realize that the normal stress exerted by the
153 actin network on the membrane, when integrated over the area that surrounds the
154 deformation, amounts to a zero net force. This contrasts with existing models of filopodia
155 formation, which usually consider bundled actin filaments exerting a net pushing force on the
156 membrane¹⁴, invoking the friction force of treadmilling actin filament on the cellular actin
157 cortex to balance this pushing force¹⁵. While the latter approach might be appropriate to
158 explain the physics of filopodia filled with bundled actin, here, we do not *a priori* distinguish
159 the detailed structure of the actin network at the membrane from the one in the protrusion,
160 treating the actin network as a continuum.

161 The normal stress, on a deformable surface, is in our case balanced by the restoring elastic
162 stress σ_{memb} due to membrane elasticity. Neglecting the contribution of the membrane
163 bending rigidity κ for simplicity, this stress corresponds to the membrane Laplace
164 pressure $\sigma_{memb} = -\gamma C$, where γ is the membrane tension and $C \sim A/b^2$ the local curvature
165 (evaluated at the center of the localized perturbation). The balance of actin polymerization
166 and membrane stresses defines a threshold amplitude $A^* = \gamma b / (\eta v_p)$. When the amplitude of
167 the perturbation is smaller than this threshold ($A < A^*$) the membrane stress dominates and
168 the perturbation relaxes. Above the threshold ($A > A^*$) the force exerted by the network is
169 dominant and the instability develops. For $\gamma \approx 10^{-6} N/m$ ¹⁶, $\eta \approx 10^4 Pa s$ (obtained through
170 a scaling law from the elastic modulus E of the network and the viscoelastic time scale
171 τ_{ve} as $\eta \approx E \tau_{ve}$, where the elastic modulus $E \approx 10^3 Pa$ ¹⁷ and the viscoelastic timescale
172 $\tau_{ve} \approx 10 s$ ^{11,12}) and $v_p \approx 10^{-9} m/s$, the critical amplitude of a perturbation with a width $b \approx$

173 $10^{-7}m$ is found to be $A^* \approx 10^{-8}m$. To evaluate whether such a perturbation could be
174 reached by thermal fluctuations, the average membrane roughness corresponding to the
175 fluctuation of a free membrane at thermal equilibrium is estimated as follows. With ξ the
176 mesh size of the network, identifying $(A/b)^2$ with the thermal average of the gradient of the
177 membrane shape $\langle |\nabla h|^2 \rangle \sim \frac{kT}{2\pi\kappa} \log\left(\frac{4\pi^2\kappa}{\xi^2\gamma}\right)$ ¹⁸ and integrating over all wavelengths superior
178 to the mesh size, we find a threshold tension for the appearance of spikes: $\gamma < \gamma^* =$
179 $\eta v_p \sqrt{kT/(2\pi\kappa)} \approx 2 \times 10^{-6} N/m$. This value is in the range of membrane tension for
180 liposomes under control conditions (*i.e.* non-deflated liposomes), but is larger than the
181 tension of deflated liposomes, leading to the prediction that deflated liposomes are prone to
182 the formation of spikes. In agreement with our experiments, the occurrence of spikes in non-
183 deflated conditions (8.4%, Fig.1 C) is significantly lower than in deflated conditions (65.0%,
184 Fig. 1C). A decrease of membrane tension has therefore a major influence on spike initiation.
185
186 We now develop a model for tube initiation. We consider a membrane deformation consisting
187 of a very thin membrane tube connected to a flat membrane. The force required to pull a tube
188 depends on membrane bending rigidity κ and membrane tension γ through $f_{tube} = 2\pi\sqrt{2\kappa\gamma}$,
189 as extensively studied both theoretically and experimentally^{19,20}. Taking the bending energy
190 of $10 kT$ and a membrane tension of $\gamma \sim 10^{-6} N/m$ ¹⁶, we find $f_{tube} \sim 2$ pN. For the dynamic
191 actin network to be able to pull a membrane tube, the tube force must be balanced by the
192 mechanical stress in the growing network. Transient attachments between the membrane and
193 the network exist when an actin filament is bound to the activator pVCA, as characterized
194 experimentally²¹. The growth of the actin network exerts a pulling force on this attachment
195 site that can pull a membrane tube. Upon detachment, the tube retracts until one of the
196 binding sites alongside the tube reaches the tube end, thus taking turn on the extraction force

197 (Fig. 6A). This effectively results in the network exerting a long-lived localized force on the
198 membrane, for times longer than the viscoelastic relaxation time, supporting a viscous
199 description of the actin layer (Supplementary Information).

200 The drag force exerted by the actin network (moving away from the liposome surface at a
201 velocity v_p) on the tip of the tube (moving at a velocity \dot{L}) can be crudely estimated using the
202 Stokes law: $f_{drag} = 6 \pi \eta r_{tube} (v_p - \dot{L})$ (Fig. 6B). At steady-state, the drag force balances the
203 tube force, which provides the tube extraction velocity, $\dot{L} = v_p \left(1 - \frac{f_{tube}}{6 \pi \eta r_{tube} v_p}\right)$. Tube
204 extraction ($\dot{L} > 0$) is therefore possible provided

$$205 \quad \gamma \xi^4 < \frac{3}{2} k_B T l_p v_p \tau_{ve} \quad \text{Eq.1}$$

206 where we have used that $\eta \approx E \tau_{ve} \approx k_b T l_p \tau_{ve} / \xi^4$ ²² (Fig. 6C). While a large enough
207 membrane tension can in principle prevent tube extraction, the range of tension explored
208 experimentally yields $6 \pi \eta r_{tube} v_p = \frac{3 \eta v_p}{2 \gamma} f_{tube} \approx 10 f_{tube}$ (with $v_p = 1 \text{ nm/s}$, $\eta = E \tau_{ve} \approx$
209 $10^4 \text{ Pa} \cdot \text{s}$ and $\gamma \sim 10^{-6} \text{ N/m}$). Consequently, we find that membrane tubes can always be
210 extracted by the growing actin network under the present conditions. Tube extraction
211 however could in principle be prevented under high tension, or for a loose network (high
212 value of the actin meshsize $\xi > 100 \text{ nm}$). However, these regimes could not be explored due
213 to experimental limitations of network growth under such conditions²³. Moreover the tube
214 extraction velocity is very close to the actin polymerization velocity ($\dot{L} \gtrsim 0.9 v_p$). This
215 explains why tubes initiated early during actin growth actually span the entire actin layer. The
216 distribution in tube lengths inferred from Supplementary Fig. 4 can originate either from a
217 distribution of tube nucleation time during the growth of the network or a distribution of
218 rebinding time during tube retraction following a detachment from the actin network.

219

220 In yeast, actin is absolutely required for endocytosis, likely because of the high turgor
221 pressure that opposes inward membrane deformations²⁴⁻²⁶. The force needed to overcome the
222 turgor pressure can reach 1000 pN²⁷, almost three orders of magnitude larger than the actin
223 force in our *in-vitro* conditions. Using actin dynamics parameters relevant for yeast
224 (polymerization velocity $v_p = 50\text{nm/s}$ ¹ and actin network viscosity $\eta = 2 \cdot 10^5 \text{Pa} \cdot \text{s}$ ²⁸), the
225 drag force generated by the actin network may indeed create the force required for membrane
226 deformation leading to endocytosis (Supplementary Information).

227

228 **Discussion**

229 The cell is a robust system where redundant mechanisms insure proper function, which
230 makes detailed cell mechanisms difficult to decipher. This is true for membrane deformations
231 into filopodia⁵ or endocytic intermediates¹. Here, we show that a branched actin network
232 growing at a membrane is able to mimic the initiation of either an endocytosis-like or a
233 filopodia-like deformation. Endocytosis-like deformations appear to be a robust feature with
234 regard to membrane tension whereas the initiation of filopodia-like structures is eased by a
235 decreased membrane tension. Our results support recent findings that the initiation of
236 dendritic filopodia and endocytosis primarily relies on the growth of a branched actin
237 network^{1,3,5}.

238 Endocytosis is intimately dependent on the existence of a physical link between the actin
239 network and the plasma membrane in yeast as well as in mammalian cells under high tension.
240 Controlled endocytosis is abolished in yeast if this link is suppressed, although already
241 endocytosed vesicles retain their extraordinary capacity to polymerize actin and even undergo
242 actin-based motility^{3,29}. In our reconstituted system, in which actin nucleators are
243 permanently linked to the liposome membrane, actin dynamics alone have the remarkable

244 capacity to initiate endocytosis-like membrane deformations with a width smaller than, or of
245 the order of, the actin mesh size.

246 A class of model for filopodia initiation assumes a particular actin organization in the
247 protrusion, typically that of bundled actin filaments^{14,15,30,31}. Supported by our dual color
248 actin measurements, our model for spike initiation assumes that actin polymerization occurs
249 uniformly at the membrane, which indicates that new actin is incorporated all along the
250 conical membrane surface, and not only at the tip of the protrusion as observed in Liu³².

251 Decreasing the membrane tension of the liposome decreases the critical amplitude for spike
252 nucleation and increases the likelihood of spike formation (Fig. 5). This suggests a
253 mechanism of spike formation different from that of tip growing protrusions, both in its
254 initiation, and in its subsequent growth dynamics. Spikes are mimics of filopodia, especially
255 in the case of dendritic filopodia whose formation relies on the Arp2/3 complex-branched
256 network⁵, as the suppression of the Arp2/3 complex system decreases the number of
257 dendritic protrusions³³.

258

259 **Materials and Methods**

260 **1. Reagents, lipids, proteins**

261 Chemicals are purchased from Sigma Aldrich (St. Louis, MO, USA) unless specified
262 otherwise. L-alpha-phosphatidylcholine (EPC), 1,2-distearoyl-sn-glycero-3-
263 phosphoethanolamine-N-[biotinyl polyethylene glycol 2000] (biotinylated lipids), 1,2-
264 dioleoyl-sn-glycero-3- [[N(5-amino-1-carboxypentyl)iminodiacetic acid]succiny] nickel salt
265 (DOGS-NTA-Ni) are purchased from Avanti polar lipids (Alabaster, USA). Texas Red® 1,2-
266 dipalmitoyl-sn-glycero-3-phosphocholine, triethylammonium salt is from Thermofisher.
267 Actin is purchased from Cytoskeleton (Denver, USA) and used with no further purification.
268 Fluorescent Alexa-488 actin and Alexa546 actin are obtained from Molecular Probes

269 (Eugene, Oregon, USA). Porcine Arp2/3 complex is purchased from Cytoskeleton and used
270 with no further purification. Biotin is purchased from Sigma-Aldrich (St. Louis, Missouri,
271 USA), diluted in DMSO. Mouse α 1 β 2 capping protein is purified as in ³⁴. Untagged human
272 profilin and SpVCA are purified as in ⁷. SpVCA is fluorescently labeled on the N-terminal
273 amine with Alexa546 at pH 6.5 for 2 h at 4°C, desalted and then purified on a Superdex 200
274 column. His-pVCA-GST (GST-pVCA) is purified as for PRD-VCA-WAVE ³⁵ and His-
275 pVCA is essentially the same without the glutathione sepharose step. A solution of 30 μ M
276 monomeric actin containing 15% of labeled Alexa-488 actin is obtained by incubating the
277 actin solution in G-Buffer (2 mM Tris, 0.2 mM CaCl₂, 0.2 mM DTT, pH 8.0) overnight at
278 4°C. All proteins (SpVCA, profilin, CP, actin) are mixed in the isotonic or hypertonic
279 working buffer. The isotonic working buffer contains 25 mM imidazol, 70 mM sucrose, 1
280 mM Tris, 50 mM KCl, 2 mM MgCl₂, 0.1 mM DTT, 1.6 mM ATP, 0.02 mg/mL β -casein,
281 adjusted to pH 7.4. The hypertonic working buffer differs only by its sucrose concentration
282 and contains 25 mM imidazol, 320 mM sucrose, 1 mM Tris, 50 mM KCl, 2 mM MgCl₂, 0.1
283 mM DTT, 1.6 mM ATP, 0.02 mg/mL β -casein, adjusted to pH 7.4. Osmolarities of the
284 isotonic and hypertonic working buffers are respectively 200 and 400 mosmol, as measured
285 with a Vapor Pressure Osmometer (VAPRO 5600). In case of experiments with DOGS-
286 NTA-Ni lipids, all proteins are diluted in a working buffer containing 280 mM glucose, 10
287 mM HEPES, 0.5 mM DABCO, 100 mM KCl, 4 mM MgCl₂, 1 mM DTT, 10 mM ATP and
288 0.05 mg/mL β -casein.

289

290 **2. Liposome preparation**

291 Liposomes are prepared using the electroformation technique. Briefly, 10 μ l of a mixture of
292 EPC lipids, 0.1% biotinylated lipids or 5% DOGS-NTA-Ni lipids, and 0.1% TexasRed lipids
293 with a concentration of 2.5 mg/ml in chloroform/methanol 5:3 (v/v) are spread onto indium

294 tin oxide (ITO)-coated plates under vacuum for 2 h. A chamber is formed using the ITO
295 plates (their conductive sides facing each other) filled with a sucrose buffer (0.2 M sucrose, 2
296 mM Tris adjusted at pH 7.4) and sealed with hematocrit paste (Vitrex Medical, Denmark).
297 Liposomes are formed by applying an alternate current voltage (10 Hz, 1 V) for 2 h.
298 Liposomes are then incubated with an activator of actin polymerization (SpVCA, 350 nM)
299 via a streptavidin-biotin link for 15 min. Isotonic liposomes are used right away for
300 polymerizing actin in the isotonic working buffer. To obtain deflated liposomes, an extra step
301 is added: they are diluted twice in the hypertonic working buffer at 400 mOsmol and
302 incubated for 30 min. The final solution is therefore at 300 mOsmol.

303 **2bis. Biotin-blocking experiments**

304 SpVCA labeled with AlexaFluor546 and biotin are diluted in the isotonic working buffer and
305 incubated for 10 min to reach final concentration of 350 nM SpVCA and various
306 concentrations of biotin (87.5 nM, 175 nM, 262.5 nM, 350 nM). Note that 350 nM of biotin
307 corresponds to a full saturation of the streptavidin sites of SpVCA. Unlabeled liposomes
308 (99.9% EPC lipids, 0.1% biotinylated lipids) are then diluted twice in this solution and
309 incubated for 15 min. Tubes and spikes are visualized by the fluorescence of SpVCA.

310

311 **3. Actin cortices with a branched network**

312 Condition 1: Actin polymerization is triggered by diluting the isotonic or deflated liposomes
313 6 times in a mix of respectively isotonic or hypertonic working buffer containing final
314 concentrations of 3 μ M monomeric actin (15% fluorescently labelled with AlexaFluor488), 3
315 μ M profilin, 37 nM Arp2/3 complex, 25 nM CP. Note that the final concentrations of salt and
316 ATP in both isotonic and hypertonic conditions are 0.3 mM NaCl, 41 mM KCl, 1.6 mM
317 MgCl₂, 0.02 mM CaCl₂ and 1.5 mM ATP. Hypertonic conditions differ from isotonic
318 conditions by 250 mM sucrose.

319 Condition 2: Same protocol as in Condition 1 with unlabeled monomeric actin, unlabeled
320 liposomes (99.9% EPC lipids, 0.1% biotinylated lipids) and SpVCA labeled with
321 AlexaFluor546.
322 In Figure 1, panel C, non-deflated liposomes n=311 are distributed as follows: 215 from 3
323 experiments in Condition 1 and 96 from 2 experiments in Condition 2. Deflated liposomes n=123
324 are distributed as follows: 92 from 2 experiments in Condition 1 and 31 from one experiment
325 in Condition 2.

326

327 **4. Photo-damage of the actin network**

328 The actin network area to photo-damage is defined with a diaphragm. The area is illuminated
329 for 15 s with a Hg lamp and a FITC filter cube and the illumination is repeated until actin is
330 completely destroyed or at least no longer detectable by eye.

331

332 **5. Two color experiment**

333 Liposomes are first incubated with 350 nM SpVCA for 15 min. This solution is then diluted
334 3-fold into a mix of isotonic buffer containing 3 μ M actin (15% Alexa568-labeled, red), 37
335 nM Arp2/3 complex and 25 nM CP. After 20 min of incubation in these conditions, the
336 solution is diluted 3 times in a mix of same protein concentrations containing 15% Alexa488-
337 labeled actin, green.

338

339 **6. Cryo-electron microscopy**

340 To prepare small liposomes, a mixture of EPC lipids and 0.1% biotinylated lipids with a
341 concentration of 1 mg/mL in chloroform/methanol 5:3 (v/v) is dried and resuspended under
342 vortexing in a buffer containing 25 mM imidazol, 1 mM Tris, 50 mM KCl, 2 mM MgCl₂, 0.1
343 mM DTT, 1.6 mM ATP, 0.02 mg/mL β -casein. Liposomes are then incubated with SpVCA

344 (350 nM) for 15 min and finally flash-frozen for cryo-electron microscopy. Images were
345 recorded under low dose conditions with a Tecnai G2 Lab6 electron microscope operating at
346 200 kV with a TVIPS F416 4K camera and with a resolution of 0.21 Å/pixel.

347

348 **7. Observation of liposomes**

349 *Observation in 2D*: epifluorescence (GFP filter cube, excitation 470 nm, emission 525 nm;
350 Texas red filter cube: excitation 545-580 nm, emission 610 nm-IR), phase contrast and
351 bright-field microscopy are performed using an IX70 Olympus inverted microscope with a
352 100x or a 60x oil-immersion objective. Images are collected by a charge coupled device CCD
353 camera (CoolSnap, Photometrics, Roper Scientific).

354 *Observation in 3D*: confocal and bright-field microscopy are performed using an inverted
355 Confocal Spinning Disk Roper/Nikon with a 100x or a 60x oil-immersion objective and
356 lasers with wavelengths of 491 nm for actin and 561 nm for lipids. A FITC filter cube
357 (excitation filter: 478-495 nm/emission filter: 510-555 nm) and a TxRed filter cube
358 (excitation filter: 560-580 nm/emission filter: 600-650 nm) are used to acquire respectively
359 actin and lipids fluorescence. Images are collected by a charge coupled device CCD camera
360 (CoolSnap HQ2, Photometrics, Roper Scientific).

361 *3D data*: Z-stacks are acquired using the software Metamorph on each wavelength with a z-
362 interval of 0.5 µm.

363

364 **8. Image analyses of liposomes, tubes and spikes**

365 *Image analyses* are performed with ImageJ software and data are processed on Matlab. The
366 thickness of the actin network and the length of tube membranes is obtained from
367 fluorescence intensity profiles (Fig. 3A). The first peak of the membrane profile determines
368 the liposome surface, the actin network thickness is the distance between this peak and the

369 half width at half maximum of the actin fluorescence profile. The length of the membrane
370 tubes is obtained as the peak-to-peak distance of the membrane fluorescence profile. The size
371 of spikes (length, width) and actin network is determined by the corresponding positions of
372 the inflexion points. Fluorescence profiles in each case (membrane, actin) are fitted with a
373 polynomial function. The first maximum and the second minimum of the fit derivative,
374 corresponding to inflexion points of the profile, determine the membrane or actin edges. The
375 size is then the distance between the two edges. From actin fluorescence profile, actin
376 network thickness at the base of spike is defined as the distance between the first maximum
377 and first minimum of the fit derivative.

378 *To determine whether shorter tubes are present* in addition to the easily visualized long ones,
379 we measure the total fluorescence intensity of the membrane on an arc that is displaced along
380 a radial axis r from close to the liposome surface to the external part of the network. We
381 hypothesize that tubes maintain a constant diameter along their length, as is established for
382 pure membrane tubes¹⁹. In these conditions, if all tubes have the same length, the total
383 intensity should show a plateau as a function of r , until falling off to zero at an r where there
384 are no more tubes (Supplementary Fig. 4A). Conversely, the total intensity would decrease
385 as a function of r if tubes of different lengths were present (Supplementary Fig. 4A).

386

387 **9. Statistical analyses**

388 All statistical analyses are performed using MedCalc software. N-1 Chi-squared test is used
389 to determine the statistical significance. Differences among samples were considered
390 statistically significant when $p < 0.05$.

391

392 **10. Theoretical model for spike initiation**

393 To calculate the stress exerted by a viscous network, polymerizing at a curved surface we
394 consider an incompressible stokes flow, described by force balance and incompressibility,
395 i.e., $\vec{\nabla} \cdot \vec{\sigma} = 0$ and $\vec{\nabla} \cdot \vec{v} = 0$, where \vec{v} is the velocity of the gel and $\vec{\sigma}$ is the viscous stress in
396 Cartesian coordinates, given by, $\sigma_{ij} = -p \delta_{ij} + \eta \left(\frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right)$. Polymerization of the actin
397 network is encoded in this model by imposing the velocity of the network, normal to the
398 surface of the curved interface. Moreover, we impose a stress free boundary condition at the
399 outer layer, both for the normal as well as the tangential stress, i.e., $\sigma_{nn} = 0$ and $\sigma_{nt} = 0$.
400 Note that, in the limit we consider, an infinite thick network, this corresponds to a uniform
401 velocity in the z-direction.

402

403 We determine the first order correction of the normal stress on a deformed surface
404 characterized by $u(x) = u_0 \exp(iqx)$ along the x axis (u_0 is the deformation amplitude and q
405 the wave vector, Fig. 5A). We seek a solution for the velocity field within the network of the
406 form $v_j = v_j(z) \exp(iqx)$, where the index j represents the coordinate x or z , and a pressure
407 field of the form $p = p(z) \exp(iqx)$. Assuming that the network grows normal to the surface,
408 the first order correction of the x -component of the velocity field satisfies the boundary
409 condition $\delta v_x(z=0) = -v_p \partial_x u(x)$ at the interface ($z=0$). We assume here a network of
410 large thickness and require that the first order correction to the velocity vanishes at $z \rightarrow \infty$.
411 The first order corrections to the velocity and pressure in the network read $\delta v_x(z) =$
412 $-iqu_0(1 - qz)v_p \exp(-qz)$, $\delta v_z(z) = -q^2 u_0 v_p z \exp(-qz)$ and $\delta p(z) =$
413 $-2 \eta q^2 u_0 v_p \exp(-qz)$. At this order the actin normal stress turns out to vanish at any point
414 of the liposome surface: $\sigma_{nn}(x, z=0) = 2\eta \partial_z v_z - p = 0$. This implies that the membrane is
415 linearly stable against small deformations in the presence of a growing actin network.

416 The second-order correction for the actin stress is in principle difficult to calculate, as the
417 different modes of deformation are coupled. An analytical estimate can be obtained by
418 expanding the surface normal vector up to second order, which yields the following scaling
419 for the normal stress at the liposome surface, $\sigma_{nn} \propto -\eta q^3 u_0^2 v_p$. This weakly non-linear
420 analysis reveals that there is a non-zero normal stress acting on the membrane, which we will
421 later compare with the membrane contribution to address system stability.

422 In order to get a numerical solution for the normal stress in a "localized" spike-like
423 perturbation on the interface, as opposed to the periodic one presented above, we use a Finite
424 Element Method from *Mathematica* with default settings. We implement a geometry as
425 described in Fig. 5B, where the lower surface is parametrized with a Gaussian deformation as
426 mentioned before, i.e., $u(x, z) = z - A \exp\left(-\left(\frac{x}{b}\right)^2\right) = 0$ and we choose the height of the
427 system to be much larger than the extend and amplitude of the perturbation ($h = 2\mu\text{m}$). Note
428 that here, b , the characteristic lateral length of the localized perturbation, is related to the
429 wavenumber $q \sim 1/b$ used for the linear analysis. To account for a constant polymerization,
430 perpendicular to the lower surface we impose the velocity on the lower surface, i.e.,
431 $\partial v(u(x, z) = 0) = v_p(\partial_x u(x, z)\hat{x} + \partial_z u(x, z)\hat{z})$, where v_p is the normalized polymerization
432 velocity and a vanishing normal and tangential stress at the upper boundary $z = h$,
433 i.e., $\sigma_{nn}(z = h) = 0$ and $\sigma_{nt}(z = h) = 0$. Using this approach we could find the same
434 scaling with amplitude and width of the perturbation, as found for the weakly non-linear
435 analysis for a sinusoidal perturbation. Note also that here, by imposing the normal velocity at
436 the interface, a choice that is motivated by the dual color images in Fig. 4A, we do not
437 impose the tangential stress on the membrane, and hence this stress has to be balanced by an
438 in-plane viscous stress in the membrane, which at this stage we do not model. These FEM
439 simulations allow us to visualize the velocity field as well as the pressure throughout the

440 network, indicating the increase in pressure inside the local perturbation caused by the local
441 convergence of the velocity fields (Fig. 5C).

442

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525 depolymerization during dendritic spine morphogenesis. *The Journal of Cell Biology*
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534

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546

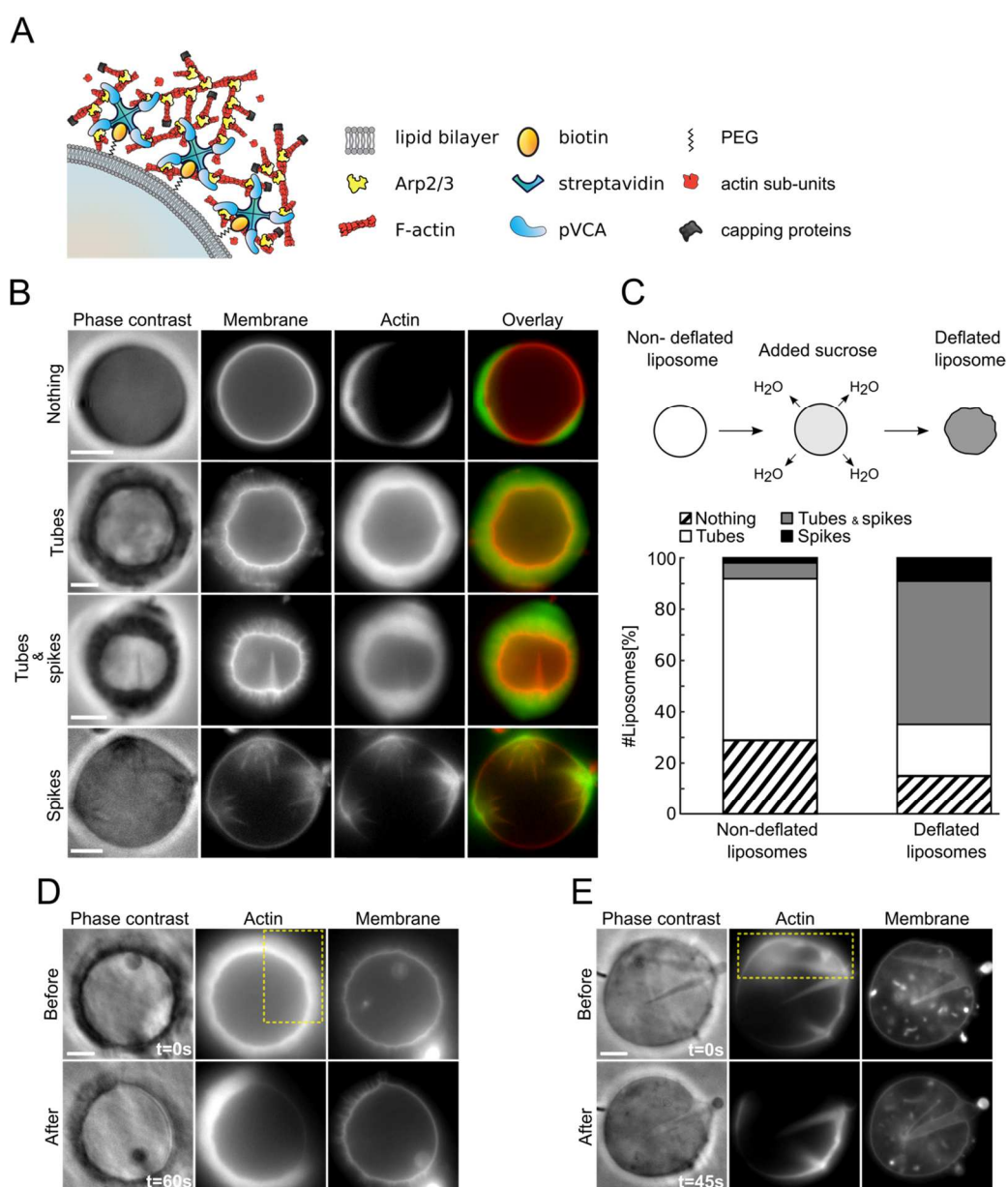
547 **Author contributions:** CS, RK and VC have equal contributions. CS, VC performed
548 experiments, analyzed data. RK performed the development of theoretical models. AA and
549 MAG, JM, AdC, DL, CC, JP contributed to experimental data, ML and JFJ contributed to the
550 development of the model, PS and CS designed the research. All authors contributed to write
551 the paper.

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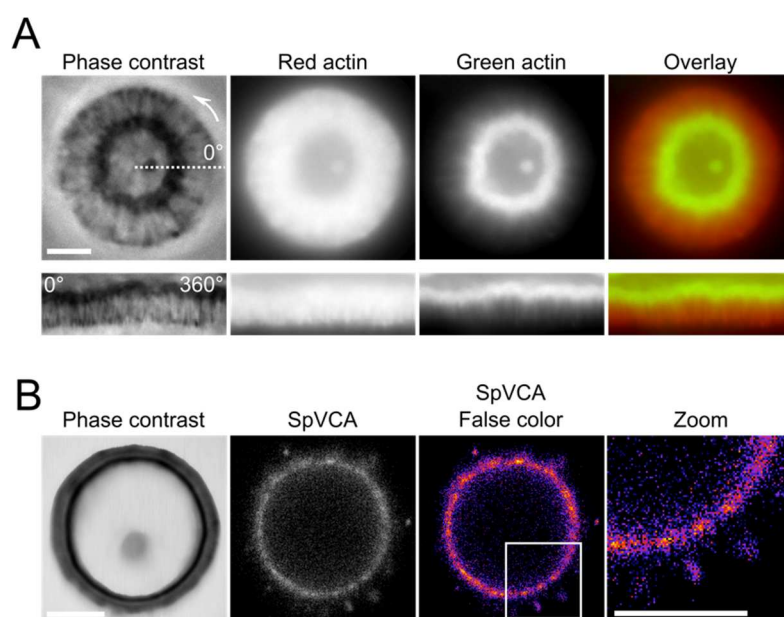
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555 **Figures**



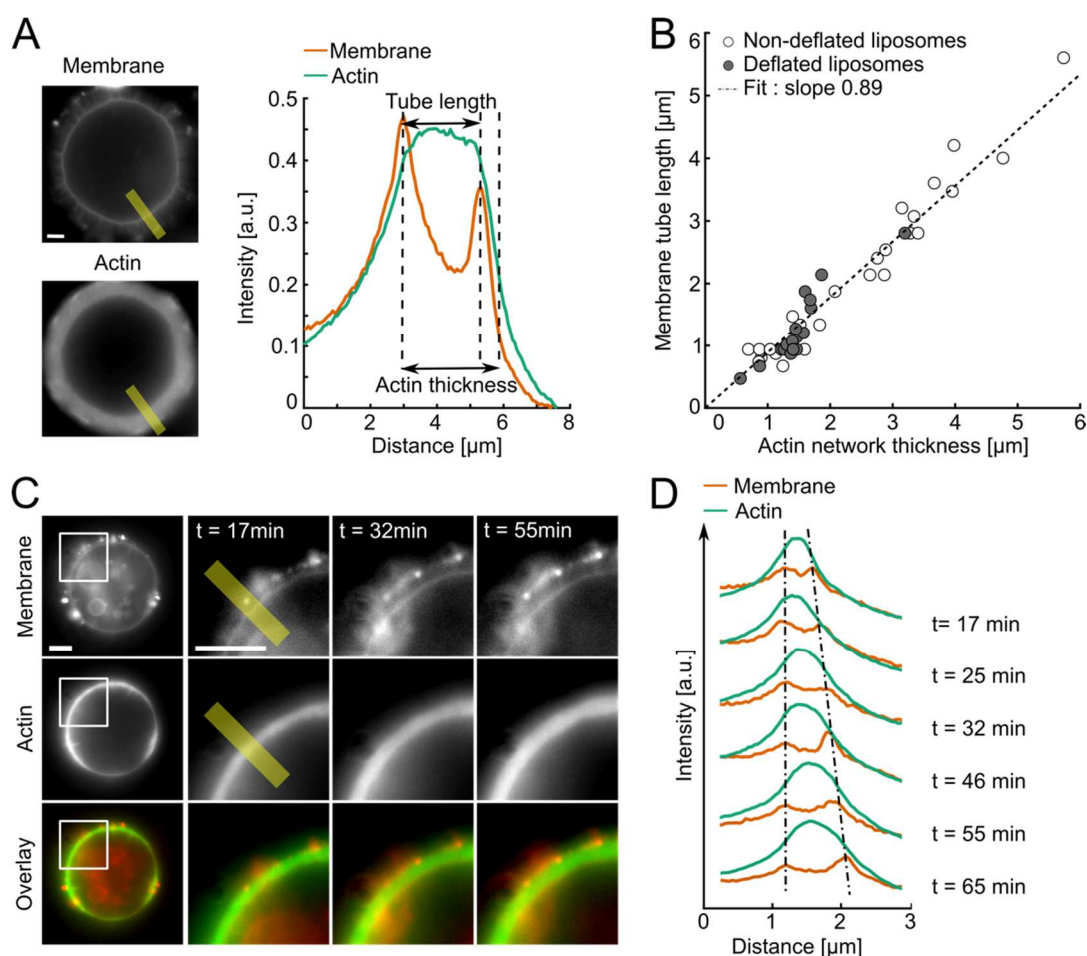
556 **Figure 1: Experimental system and observations**

557 (A) Scheme of the experimental system; proteins not to scale. (B) Membrane deformations in
 558 both non-deflated (three first rows) and deflated conditions (last row). (C) Top: liposome
 559 deflation. Bottom: number of liposomes displaying nothing, tubes, both tubes and spikes, and
 560 only spikes. Non-deflated liposomes, n=311. Deflated liposomes, n=123. (D, E) Actin
 561 network photo-damage (yellow dashed rectangle) on a liposome displaying membrane tubes
 562 (D) or spikes (E). Phase contrast and epifluorescence microscopy of membrane and actin
 563 network. Scale bars, 5 μ m.



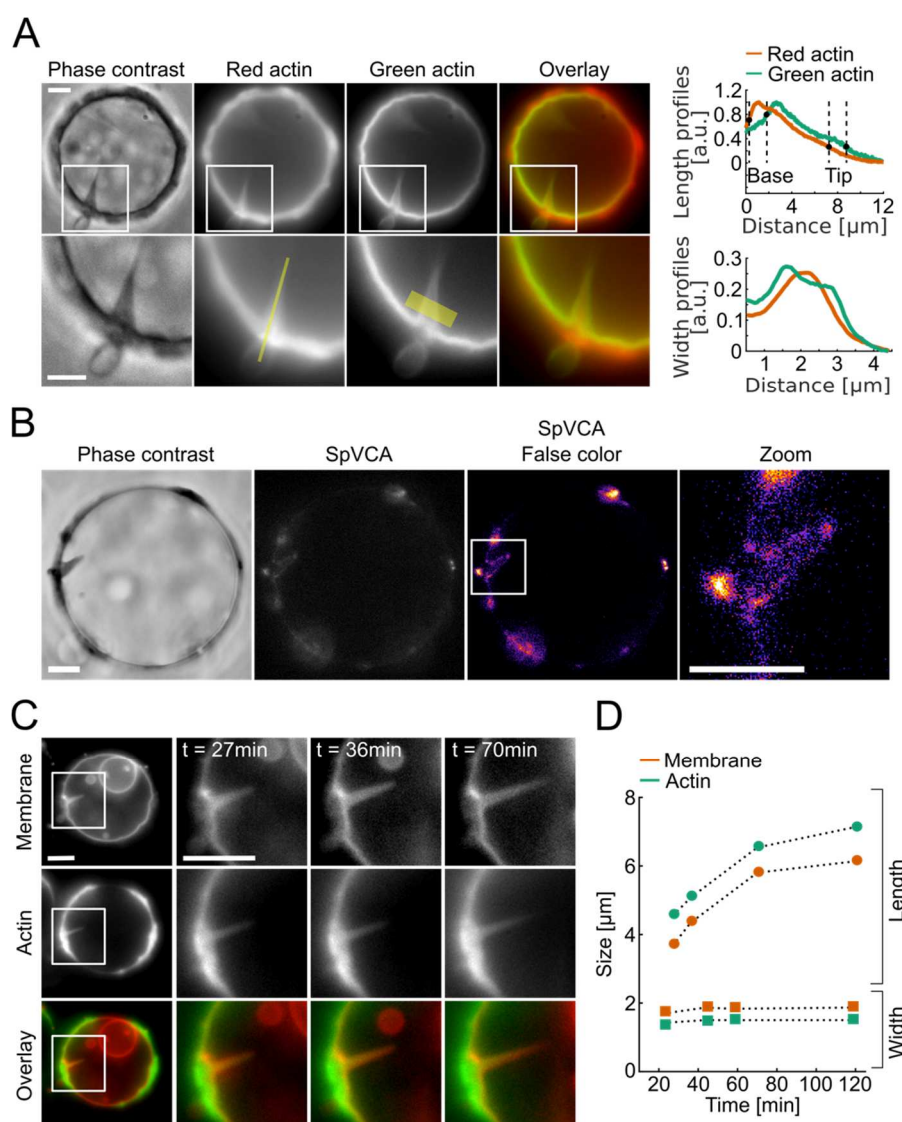
564 **Figure 2: Actin incorporation during tube formation**

565 (A) Top: a red actin network is grown for 20 minutes, then an excess of green actin is added,
566 so green regions indicate newly polymerized actin. Bottom: corresponding polar plots. (B)
567 Images of the activator of actin polymerization, SpVCA. False color image and zoom in
568 (white rectangle). Phase contrast and epifluorescence microscopy of the actin network
569 labeled with actin-Alexa568 (red) and actin-Alexa488 (green) in (A), and of SpVCA-
570 Alexa546 in (B). Scale bars, 5 μ m.



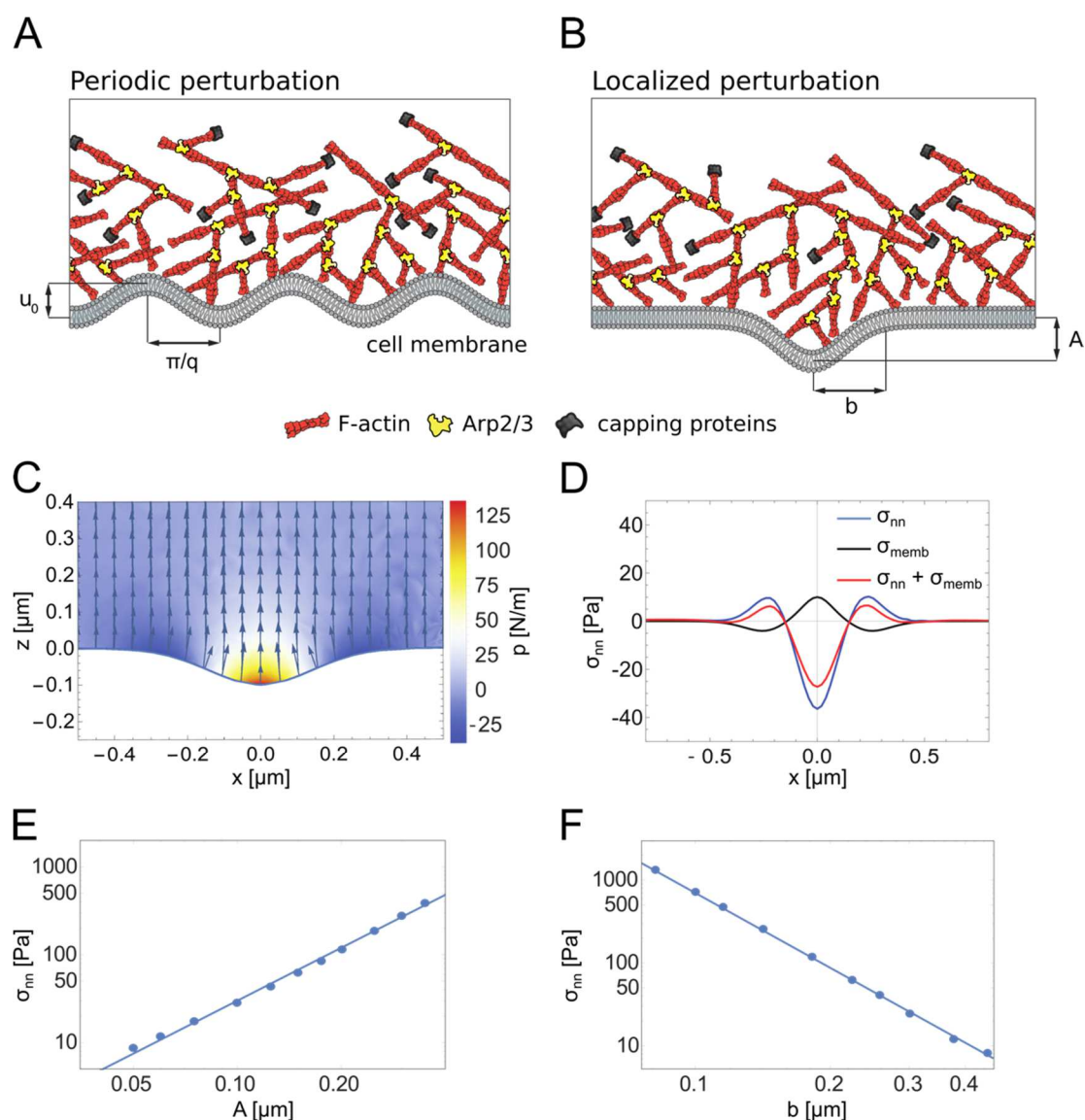
571 **Figure 3: Tube length compared to network thickness**

572 (A) Tube length and actin network thickness are measured from fluorescence intensity
 573 profiles (thick yellow line) of the membrane (red) and the actin (green) channels (Materials
 574 and Methods). (B) Tube length as a function of actin network thickness. White circles: non-
 575 deflated liposomes. Grey circles: deflated liposomes. (C) Dynamics of tube growth (times
 576 indicate elapsed time from the start of actin polymerization). (D) Fluorescence profile of the
 577 thick yellow lines shown in (C). Membrane and actin fluorescence intensities plotted over
 578 time (indicated). Other examples are shown in Supplementary Fig. 2. Epifluorescence
 579 microscopy of membrane and actin. Scale bars, $5\mu\text{m}$.



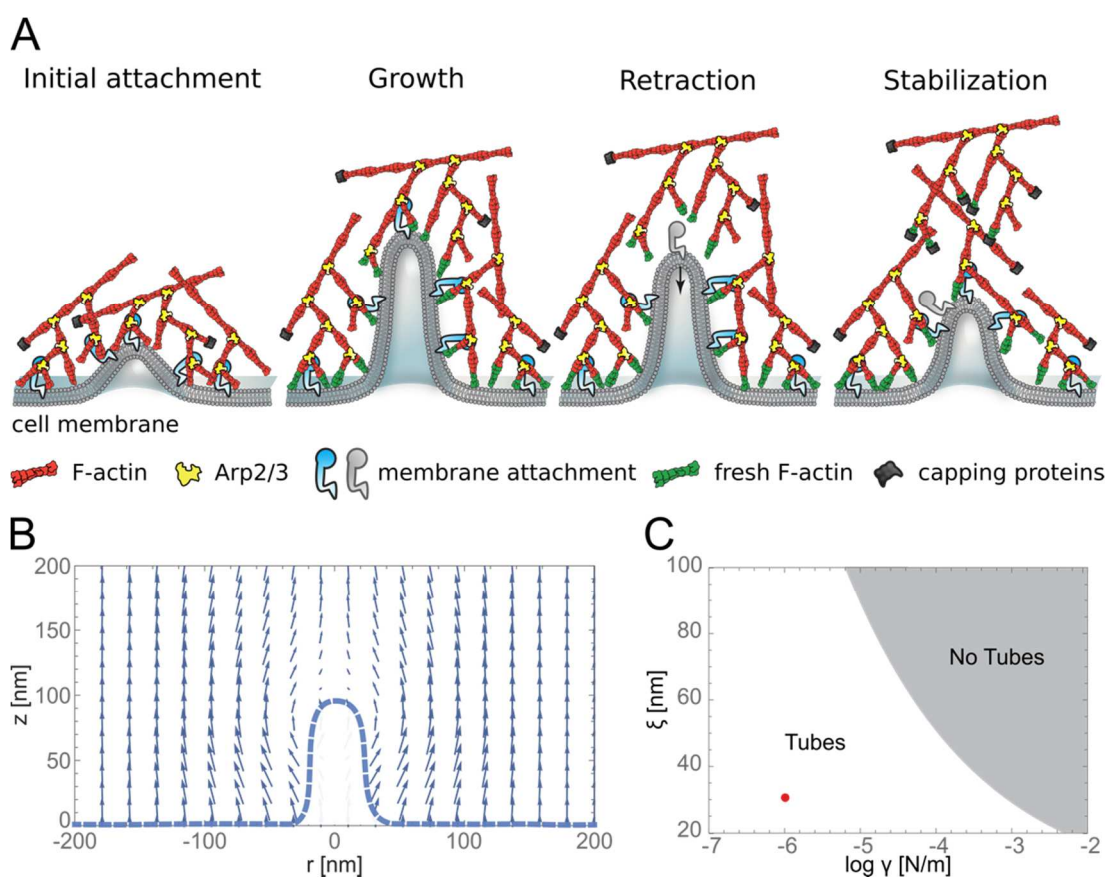
580 **Figure 4: Actin incorporation in spikes**

581 (A) Left: Two color experiment: green regions indicate newly polymerized actin. White
 582 squares, zooms. Right: fluorescence intensity profiles spike length (top, thin yellow line on
 583 zoomed image) and width (bottom, thick yellow line on zoomed image). (B) Activator of
 584 actin polymerization, SpVCA. White rectangle, zoom. (C) Dynamics of spike growth (time
 585 after actin polymerization starts). (D) Spike length and width over time, spike shown in C.
 586 Other examples in Supplementary Fig. 5. Dashed lines, guides to the eyes. Phase contrast and
 587 epifluorescence microscopy of the actin network (A), SpVCA-Alexa546 (B) membrane and
 588 actin network (C). Scale bars, 5 μm .



589 **Figure 5: Model for spike initiation**

590 Scheme of the initiation of a periodic (A) and localized (B) membrane deformation by the
 591 growth of the actin network. (C) Velocity field of a viscous network polymerizing over a
 592 membrane with a localized (gaussian) perturbation (amplitude $A=0.1 \mu\text{m}$, width $b=0.2 \mu\text{m}$,
 593 polymerization velocity $v_p=1 \text{ nm/s}$, viscosity $\eta = 10^4 \text{ Pa}\cdot\text{s}$). Color, pressure in the network
 594 layer. (D) Corresponding distribution of actin and membrane normal stresses (σ_{nn} and σ_{memb}
 595 respectively). (E, F) Scaling of σ_{nn} as function of the amplitude for a value of $b = 0.22 \mu\text{m}$
 596 (E) and width for a value of $A=0.15 \mu\text{m}$ (F) of the perturbation ($v_p= 1 \text{ nm/s}$, viscosity $\eta=10^4$
 597 $\text{Pa}\cdot\text{s}$).



598 **Figure 6: Model for tube initiation**

599 (A) Scheme of a membrane tube pulled by the actin network and retraction due to
 600 detachment. (B) Velocity field of the actin network pulling the membrane tube. We assume a
 601 uniform polymerization v_p at the liposome surface and model the presence of the tube as a
 602 disc with radius $r_{tube} = 20 \text{ nm}$ and height $h = 100 \text{ nm}$. (C) Phase diagram, mechanics of
 603 tube pulling as a function of mesh size ξ and membrane tension γ . Grey part, region where
 604 the viscous driving force is not sufficient to extract a tube ($f_{tube} = 2 \text{ pN}$, $\kappa = 10kT$ and $h =$
 605 140 nm). Red point, our experimental conditions.