1	Effects of Fecal Source Input, Environmental Conditions, and Environmental Sources on
2	Enterococci Concentrations in a Coastal Ecosystem
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21 ABSTRACT

22 Fecal pollution at coastal beaches in the Northeast, USA requires management efforts to address public health and economic concerns. Concentrations of fecal-borne bacteria are influenced by 23 different fecal sources, environmental conditions, and ecosystem reservoirs, making their public 24 health significance convoluted. In this study, we sought to delineate the influences of these 25 factors on enterococci concentrations in southern Maine coastal recreational waters. Weekly 26 27 water samples and water quality measurements were conducted at freshwater, estuarine, and marine beach sites from June through September 2016. Samples were analyzed for total and 28 particle-associated enterococci concentrations, total suspended solids, and microbial source 29 30 tracking markers for multiple sources. Water, soil, sediment, and marine sediment samples were also subjected to 16S rRNA sequencing and SourceTracker analysis to determine the influence 31 from these environmental reservoirs on water sample microbial communities. Enterococci and 32 particle-associated enterococci concentrations were elevated in freshwater, but suspended solids 33 concentrations were relatively similar. Mammal fecal contamination was significantly elevated 34 in the estuary, with human and bird fecal contaminant levels similar between sites. A partial least 35 squares regression model indicated particle-associated enterococci and mammal marker 36 concentrations had the most significant positive relationships with enterococci concentrations 37 38 across marine, estuary, and freshwater environments. Freshwater microbial communities were significantly influenced by underlying sediment while estuarine/marine beach communities were 39 40 influenced by freshwater, high tide height, and estuarine sediment. We found elevated 41 enterococci levels are reflective of a combination of increased fecal source input, environmental

sources, and environmental conditions, highlighting the need for encompassing MST approachesfor managing water quality issues.

44 **IMPORTANCE**

Enterococci have long been the federal standard in determining water quality at estuarine and 45 marine environments. Although enterococci are highly abundant in the fecal tracts of many 46 animals they are not exclusive to that environment and can persist and grow outside of fecal 47 tracts. This presents a management problem for areas that are largely impaired by non-point 48 49 source contamination, as fecal sources might not be the root cause of contamination. This study employed different microbial source tracking methods to delineate influences from fecal source 50 input, environmental sources, and environmental conditions to determine which combination of 51 52 variables are influencing enterococci concentrations in recreational waters at a historically impaired coastal town. Results showed that fecal source input, environmental sources and 53 conditions all play a role in influencing enterococci concentrations. This highlights the need to 54 include an encompassing microbial source tracking approach to assess the effects of all 55 important variables on enterococci concentrations. 56

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58 INTRODUCTION

Fecal contamination of coastal recreational waters is a significant public health concern, as fecal material, often from nonpoint sources, can harbor an array of different pathogens. The US EPA has established regulations based on enterococci bacteria as the indicator of fecal-borne pollution to help manage water quality at estuarine and marine beaches (1). These organisms correlated well with predicted public health outcomes in several epidemiological studies that served as the basis for their adoption as the regulatory water quality indicator (2–5). The presence of human feces can present an elevated public health risk in recreational waters compared to non-human sources due to the lack of an "inter-species barrier" for diseases and the higher density of human pathogens that humans can carry (6–8). Although human pollution represents the greatest public health risk, other fecal sources that contain enterococci and possibly human pathogens can be chronic or intermittent sources of both, making beach water quality management and remediation efforts more complex.

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The need to differentiate fecal sources in recreational waters led to the emergence of microbial 72 source tracking (MST) methods in the early 2000s, most notably the PCR-based assays that 73 74 target the 16S rRNA gene in *Bacteroides* spp. (9, 10). There are a wide range of species-specific genetic markers designed to identify human fecal sources and various domestic and wildlife fecal 75 sources. These assays have been in use for well over a decade and are supported by numerous 76 77 and rigorous laboratory evaluations and field applications (11–17). Initial field studies investigated the relationship between MST markers and FIB concentrations in recreational 78 waters to better elucidate potential sources of fecal pollution. Some studies have found strong 79 relationships between the MST markers and enterococci (12, 18) while other studies have found 80 81 either weak or no relationships (19–21), many of which are discussed in a review by Harwood et 82 al. (22). One main factor affecting the relationship between enterococci and the relative strength of different sources of fecal contamination is that enterococci can persist and grow in the 83 environment, which can significantly influence their concentrations in recreational water (23). 84

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86 Due to the pervasiveness of enterococci in natural ecosystems, recent studies have been conducted to not only elucidate environmental parameters controlling their growth, but also to 87 identify naturalized niches that can act as reservoirs for enterococci and the associated influence 88 on water quality measurements. Specifically, enterococci have been shown to persist in fresh 89 water sediments (24-26) and marine sediments (24, 27), and in some cases their relative 90 91 concentrations in sediments are several orders of magnitude higher than the overlying water (24, 28-30). In addition, enterococci persist in soils affected by anthropogenic activities (31) as well 92 93 as more natural soil environments (32–34). Thus, soil can act as a significant reservoir of 94 enterococci that can, if eroded, confound concentrations observed in recreational waters. Evaluating the influence of sediment and or soil on water quality has, in some studies, been 95 conducted by measuring total suspended solids as a surrogate for sediment-associated 96 enterococci (27, 35, 36), however this non-specific approach does not indicate the specific type 97 of source(s) of the suspended solids. With the advent of next generation sequencing, sources of 98 sediment or soil bacteria can be fingerprinted via 16S rRNA sequencing, and programs like 99 SourceTracker can then determine relative fractions of source-specific 16S fingerprints within a 100 water sample (37). 101

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This study examined the coastal and estuarine beaches of Wells, ME where there has been
historically elevated enterococci levels, as reported by the Maine Healthy Beaches Program (38).
Prior to this study, only a ribotyping-based MST study (39) that also involved other indicator
tracking work had been conducted in this area. In that study, the two major freshwater inputs,
the Webhannet River and Depot Brook were found to be the major influences on water quality
related to an array of fecal contamination sources. To investigate potential sources of enterococci

we measured three major categories of variables (fecal source input, environmental conditions,
and environmental sources) and then used a partial least squares regression model approach to
determine the most significant influences on the enterococci concentrations in water samples.

112

113 **RESULTS**

114 Total and particle-associated enterococci concentrations and total suspended solids in

115 water. During this study, total enterococci concentrations were highest in freshwater sites, with 116 concentrations significantly decreasing from there to the estuary and then the marine beach areas (Figure 2). The geometric mean enterococci concentrations were 197 and 40 CFU/100 ml at the 117 118 Depot and Webhannet sites, respectively, with 71% of samples exceeding 104 CFU/100 ml at the Depot site compared to 21% at the Webhannet site. In contrast, the geometric mean enterococci 119 concentrations at the other sites were all <15 CFU/100 ml and samples exceeded 104 CFU/100 120 ml 0% (at Wells Beach) to 25% of the time. In addition to measuring enterococci concentrations 121 122 in water samples, particle-associated enterococci and suspended solid concentrations were measured to better understand the potential mode of transport of these bacteria within this coastal 123 watershed. Throughout the study period (June-September 2016), levels of total and particle-124 associated enterococci varied by site. Concentrations were lowest at the marine beach (Wells 125 Beach) compared to other sites, with levels significantly higher in all estuary sites (W11-W15) 126 127 and freshwater sites (Depot & Webhannet; Figure 2).

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Both total and particle-associated enterococci geometric mean concentrations were statistically
similar at the estuary beach (W11, W12, W13) and estuary (W14, W15) sites. Freshwater sites

131 (Webhannet and Depot) however, had statistically higher enterococci concentrations than other sites (Figure 2; p < 0.05). The ratio of total to particle-associated enterococci varied throughout 132 the season, with an average of 36.3% (SD ± 30) across all sites. Sites within the estuary beach 133 showed the highest ratio (41%, SD \pm 32), however there were no significant differences observed 134 between sites or types of sites. Average TSS concentrations were relatively low and similar for 135 most sites, with an overall average of 2.9 mg TSS/L (SD± 1.2). The Webhannet freshwater site, 136 however, had a significantly lower average TSS concentration (1.2 mg/L \pm 1.0SD, p < 0.05) 137 138 (Figure 2), despite, as previously mentioned, having higher enterococci concentrations. The relationship between particle-associated enterococci and TSS was not significant ($r^2 = 0.0011$), 139 and significant rainfall events were seldom and sparse with only one greater than 1 in 48 h prior 140 to sampling. Overall, this study showed enterococci concentrations were significantly different 141 by site and were ubiquitously associated with particles, which was independent of suspended 142 solids concentrations. 143

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Presence of fecal sources in fresh, estuarine, and marine waters. The concentration of fecal 145 pollution in this study area was determined using both PCR and quantitative PCR MST assays to 146 identify and quantify predominant sources of fecal contamination present in the water. The 147 mammal fecal marker (Bac32) was detected via PCR at all sites 100% of the time throughout the 148 149 study period. (Supplementary Material 1E). The human fecal marker (HF183) was detected in 51% of all water samples, with the highest detection rate in fresh water (56%) and the lowest 150 151 detection rate in marine beach water (46%). Differences in the percent detection of the gull fecal 152 marker (Gull2) were most pronounced between freshwater (10%) and all other sites (>77%). The dog fecal marker (DF475) detection rate was highest in the estuary beach water (10/44 = 23%), 153

however 8 of the 10 positive samples were detected in July (8/13 = 61%). For all other sites, an
increase in the detection of dog fecal marker also occurred during July, with 44% (16/36)
detection, compared to 0% for August and September and <1% for June. Thus, most of the dog
contamination at all sites was associated with unknown dog-related conditions during July.

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Concentrations of mammal, human, and bird fecal sources. We used qPCR to provide 159 relative quantitative measures of mammal, human and bird fecal contamination levels. Water at 160 estuary and estuary beach sites contained significantly higher levels of mammal (AllBac) fecal 161 marker copies, with an average of 1.54×10^7 compared to 2.62×10^6 in freshwater and 3.9×10^6 162 copies/100 ml in marine beach (p < 0.05). Average concentrations of human (HF183) and bird 163 164 (GFD) fecal markers were not statistically different between sites, however, concentrations of the human marker in individual samples varied from 0 - 2.04×10^4 copies/100 ml (Figure 3), while 165 bird fecal marker concentrations were relatively stable across all sites. No significant temporal 166 trends were observed for any of the quantitative fecal marker levels. Compared with 167 presence/absence detection of fecal sources, quantitative measurements also did not show strong 168 spatial patterns, except mammal marker levels showed significant increases at estuary and 169 estuary beach sites compared to marine and freshwater sites. 170

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Differences between water, soil, and sediment bacterial community compositions. 16S
amplicon sequencing was used to characterize the microbial community present in water and
other sample matrices (soil, sediment, and marine sediment), which was the nexus for ensuing
SourceTracker analysis. A total of 3,276,196 reads and 7,706 unique OTUs were obtained from

the 177 samples of fresh, estuary, estuary beach and marine beach water and soil, sediment, and 176 marine sediment. The number of OTUs assigned and the Shannon diversity index were 177 178 significantly higher for soil, sediment, and marine sediment when compared to water samples (Figure 4, p < 0.05). Most taxa in the estuary and marine beach water samples were identified as 179 Flavobacteria, Alphaproteobacteria, and Gammaproteobacteria classes, which together 180 181 accounted for 84% of the total assigned taxa. Cyanobacteria accounted for 34% of the taxa in 182 marine sediment, and Betaproteobacteria was one of the top three most abundant taxa in fresh 183 water, soil and sediment (Figure 4). A Non-Metric Multi-Dimensional Scaling (NMDS) 184 ordination was used to determine if the bacterial communities from water and other matrices (soil and sediments) differed based on their taxonomic composition. Bacterial communities from 185 the marine beach and estuary (All Estuary) waters were similar, but were statistically different 186 from fresh water (Figure 5, p < 0.05). The bacterial communities associated with soil, sediment 187 and marine sediment were all distinct when compared to each other and water samples, 188 indicating unique groups of OTUs (Figure 5, p < 0.05). Samples taken from different areas 189 within the watershed (soil, estuarine water, freshwater, etc.) contained unique bacterial 190 compositions, allowing for downstream analysis with the SourceTracker software to discern 191 192 relative contributions of these different communities to the make-up of microbial communities in the different types of water samples. 193

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Environmental source contribution to water samples. The fraction of freshwater, sediment,
soil, estuarine sediment, and marine beach water source bacterial communities within estuary
and estuary beaches water samples were calculated using the Bayesian mixing model
SourceTracker. Freshwater sample analysis showed a high probability of taxa originating from

199 underlying sediment (74%) and much lower probability of taxa originating from soil (2.6%). Initial results for the estuary and estuary beach indicated that marine beach water was the 200 dominant source of bacteria (Table 1). However, given that likely fecal sources are coming from 201 202 the watershed, we excluded marine beach water as a potential source and included it as a sink then re-analyzed the data. These second results showed that freshwater taxa had a high 203 204 probability of being a significant fraction of estuary (73%), estuary beach (66%) and marine beach (35%) water communities, with a significantly higher percentage for the estuary locations 205 206 compared to the marine beach (Table 1, p < 0.05), which is more influenced by ocean microbial 207 taxa. Despite the significant percentage of freshwater taxa assignments in the estuary, estuary beach, and marine beach waters there were no freshwater sediment or soil taxa assignments for 208 209 these sites. The data for the percent of unidentifiable taxa showed the opposite trend compared 210 to percent of assigned freshwater taxa. Unidentifiable taxa in the marine beach were significantly higher (46%; p < 0.05), which is not surprising given that marine beach water community would 211 212 likely be most influenced by non-terrestrial sources. Estuarine sediment was the highest likely identified source in the water from the marine beach site (19%), and it was significantly higher 213 than percentages calculated for all estuary sites (p < 0.05). Overall results showed that freshwater 214 215 source-related taxa were a pervasive source throughout the estuary and marine beach, and while sediment source-related taxa were highly abundant in the freshwater they were not observed 216 217 within the estuary or marine beach.

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219 Relationships between environmental conditions, fecal source concentrations,

environmental sources and enterococci concentrations. Two PLSR models were created to
 determine relationships between enterococci and fecal source concentrations, environmental

222 sources, and environmental conditions (outlined in the Methods). The first 'freshwater' PLSR model indicated particle-associated enterococci concentration, concentration of mammal fecal 223 marker, TSS concentration, percent of sediment source, percent of unknown source, and salinity 224 225 were important variables (VIP > 0.8) in resolving variation in enterococci concentrations (Table 1). A one-factor (single PLSR regression) model was deemed optimal (root mean PRESS = 226 227 0.735), and showed that all variables (except salinity) had positive associations with enterococci concentrations. Values for model performance ($R^2Y = 0.6$, $R^2X = 0.5$, and $Q^2 = 0.4$) indicated 228 that the model fit the data moderately well ($R^2X \ge 0.5$) but had poor predictive capability of 229 enterococci concentrations ($Q^2 < 0.5$; Supplementary Material 3). Out of all the important 230 variables, particle-associated enterococci (Particle ENT) concentrations showed the strongest 231 relationship to total enterococci concentrations (Table 2). The second PLSR model, a two-232 233 factor/two PLSR regressions model, was the best fit (root mean PRESS = 0.744) from the PLSR constructed for the estuary, estuary beach, and marine beach sites. The analysis identified 234 particle-associated enterococci concentration, mammal fecal source concentration, percent of 235 freshwater, unidentified and estuarine sediment sources, water temperature, and high tide height 236 as significantly related to enterococci concentrations. Factor one showed that all variables were 237 238 positively associated, except for the percent unidentified and marine sediment sources. The 239 second factor showed mammal fecal sources, freshwater sources, and water temperatures were negatively related to enterococci concentrations, which was the opposite of their associations for 240 241 factor one. The high tide height and marine sediment were positively related to enterococci concentrations for factor 2 of the PLSR (Table 2). Together both factors explained 61.8% in the 242 variation observed in enterococci concentrations, and model performance ($R^2Y = 0.6$, $R^2X = 0.5$, 243 and $Q^2 = 0.6$) indicated better predictive ability with a similar fit to the data compared to the 244

245	freshwater model (Supplementary Table 3). Out of all the potential variables measured (19 total)
246	across three categories (fecal source input, environmental source contribution, and environmental
247	conditions), particle-associated enterococci and mammal fecal marker concentrations had the
248	most significant relationships to enterococci concentrations. The relationships between other
249	variables and enterococci concentrations were specific to freshwater and estuary/marine beach
250	models, indicating ecosystem specific relationships. However, the joint relationship of particle-
251	associated and mammal fecal marker across freshwater and estuary/marine environments
252	indicate their overarching importance in determining enterococci concentrations.

253

254 **4 Discussion:**

255 Geometric mean enterococci concentrations at the marine beach, estuary, and estuary beach 256 sampling sites were all less than the State of Maine water quality standard of 35 CFU/100 ml and 257 the majority of concentrations were less than the 104 CFU/100 ml single sample standard, 258 indicating the water quality was typically considered acceptable for recreational use. Previous monitoring by the Maine Healthy Beaches Program in 2014 had shown the Wells Beach area 259 was one of 7 beaches in Maine that had a greater than 20% exceedance rate, with suspicion that 260 freshwater inputs are a significant source of contamination (38). Our findings confirmed that 261 enterococci concentrations were statistically higher at both major freshwater tributaries to the 262 263 estuary, especially at the Depot Brook site where levels were regularly above the 104 CFU/100 264 ml single sample standard. The Depot Brook site is located in a watershed with a higher fraction of developed land (0.27-0.50) and more people per km² (325-2,650 people) compared to the 265 266 Webhannet site watershed that has a lower developed fraction (0.13-0.25) and 150-325 people per km²; 40). This could help explain the difference in enterococci concentrations between 267

268	freshwater sites as a more urbanized watershed can increase transport of more pollution from the
269	watershed to the freshwater tributary. However, the summer of 2016 was especially dry in this
270	region (41) with just one event with >1 inch of rain (1.73 in., $6/28/16$) 48 h prior to the sampling
271	time. This overall dry condition likely contributed to less fecal contamination transport (via
272	freshwater discharge) from the watershed to the estuary and marine beach. This suggests that
273	more typical rainfall conditions would probably have resulted in more freshwater discharge and
274	higher enterococci concentrations than what we observed.

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Enterococci were significantly associated with suspended particles of >3.0 μ m diameter (R² = 276 0.96, p < 0.05). On average, 36% (SD \pm 30) of the total enterococci concentrations were 277 278 associated with particles, which suggests particles as a potentially important transport mechanism. Other studies conducted in estuary and storm waters have found similar fractions of 279 particle associated enterococci, but they noted enterococci demonstrated a preference for a larger 280 particle size of >30 µm (42–44). The large standard deviation for particle-associated enterococci 281 could be attributed to the complex nature of particle interactions (sedimentation rate, 282 electrostatic, hydrophobic, and other surface-surface interactions) and hydrogeological dynamics 283 (salinity-driven turbidity maximum) (45). The mechanisms underlying enterococci-particle 284 interactions may also be related to ionic strength in surface waters, as *Enterococcus faecalis* is 285 286 negatively charged over a broad pH range (2-8 pH units) and in the presence of different ion concentrations (46). Results for this study indicate that TSS and particle-associated enterococci 287 had no linear relationship, indicating particle-associated enterococci were not dependent on the 288 total amount of suspended material and thus the association is likely due to other factors 289 influencing cell-particle interactions. 290

291

292	Quantitative PCR assessment of several fecal sources is a potentially useful strategy to determine
293	the relative significance of the different sources in a single sample and over time at sites of
294	interest. PCR detection showed a chronic presence of mammalian fecal source(s) (100% of
295	samples) with human fecal source(s) detected in approximately half of all samples, so qPCR
296	analysis is useful for bringing context to the significance of these findings. For example, Mayer
297	et al. (47) showed that wastewater effluent contains about 10^8 copies/100 ml of the AllBac
298	mammal fecal marker, Sowah et al. (48) found that streams impacted by septic systems could
299	contain $10^5 - 10^7$ copies/100 ml depending on the season, and Bushon et al. (49) determined that
300	under storm flow conditions in an urban watershed mammal marker copy numbers could exceed
301	10^8 copies/100 ml. Results for this study ranged from 10^5 to 8.6 x 10^7 copies/100 ml, values that
302	are within previously reported ranges and likely a concentration reflective of a predominantly
303	non-urbanized watershed and intermediate mammal source loading. The estuary and estuary
304	beach area showed a statistically higher concentration of the mammal marker, however, there
305	was no responsive increase in the concentrations of the human associated fecal marker (HF183),
306	which may indicate that humans are not the primary mammalian source for the increased fecal
307	contamination.

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The average concentration of the human marker was 1,500 copies/100 ml across all sites (geometric mean 167 copies/100 ml), with the highest concentration being 20,364 copies/100ml (Webhannet 6/22/16). Boehm et al. (50) showed that 4,200 copies/100 ml of HF183 is the cutoff for where GI illnesses exceed the EPA acceptable risk level of approximately 30/1000 for swimmers (1). On average, sites in this study did not exceed this benchmark level, however,

314 there were 10 occasions when sites were above the 4,200/100ml threshold (7 different sites across 4 sampling dates), indicating that sporadic events or conditions can cause elevated human 315 316 fecal contamination and potential public health concerns (Supplementary 4). Boehm et al. also showed that at the LOQ for most assays, 500 copies/100ml or 1000 copies/100ml, there is still a 317 predicted GI illness of 4 or 8 cases per 1000 swimmers, suggesting positive detection at the LOQ 318 319 is indicative of low level health risk (50). For this study, the LOQ was 250 copies/100ml for the HF183 assay and 67 of 117 samples (57%) tested positive at or above this limit, suggesting that 320 321 over half of collected water samples indicated the presence of a low-level health risk. Although 322 there were no statistical differences between sites for human fecal contamination, W11 did contain the highest geometric mean (493 copies/100 ml; Supplementary 4). This could be 323 324 reflective of the location of the site as it's where drainage from the Webhannet and Depot watershed meets and is also directly downstream from a boat marina with the harbor sewage 325 326 pump station, which could be a possible point source of contamination. Nonetheless, even 327 though sites on average were below published thresholds, detection of human contamination even at low concentrations is a concern. 328

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Although human fecal sources are the greatest public health concern (6, 7, 22, 51) we did not observe any relationship between human fecal contamination and enterococci concentrations, suggesting other mammalian fecal sources are more influential in explaining the variation observed in this study. Interestingly gull fecal sources were detected in 77% or more of the samples in the estuary and marine beach area, however only 10% of the samples were positive within the fresh water (Supplementary Material 1), despite there being no decrease in the bird fecal marker concentration, suggesting the presence of different bird sources in these areas.

Anecdotally, Canada geese were observed upstream of both the Webhannet and Depotfreshwater sites periodically throughout the season, which could be a significant source of bird

fecal contamination in the fresh water locations (52).

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One of the unique findings of this study was the relative contribution of different sources to the 341 bacterial community in the estuarine water. The bacterial community in estuarine water primarily 342 originated (>90%) from marine beach water, which is not surprising for a well-flushed estuary 343 like the study site. Because the study period was minimally influenced by rainfall and associated 344 runoff of freshwater, we expected that the influence of freshwater sources would be low. In 345 ensuing analyses, we chose not to include marine beach water as a potential source for a variety 346 347 of reasons. First, the samples were always collected during low tide before the ebb when the estuary water was draining and water was moving from the watershed towards the marine beach. 348 Secondly, we had already shown that the OTU compositions for the marine beach and estuary 349 samples were very similar, increasing the possibility of a type I error (false positive) for 350 identifying marine beach as the likely source of enterococci. Lastly, fecal pollution sources most 351 likely come from the watersheds and not from marine water, so excluding marine beach water 352 helps to enhance the determination of watershed influences. Our second analysis (marine beach 353 source excluded) showed that freshwater was a significant source of bacteria to the estuary 354 355 (>65% assignment) compared to soil, sediment, and estuarine sediment. This implicates freshwater as a major conduit for bacterial transport, as well as the major source of enterococci 356 357 to the estuary. Overall this finding highlights the importance of freshwater discharge as a 358 controlling factor in transporting contamination from the watershed to the coast. The specific

percent assignment of freshwater source could be an over-estimate, however the trend observedis a likely scenario given the rational discussed.

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Analysis of environmental reservoirs of enterococci (soil, sediment, etc.) and their presence 362 within water samples using SourceTracker revealed a variety of source contributions to 363 freshwater, estuary and marine waters. To date there have been limited studies using 364 SourceTracker to identify soil and sediment-associated taxa within water samples, and none of 365 366 these studies have focused on a coastal watershed with the potential for freshwater, estuarine and marine sources. One study conducted in the upper Mississippi River identified up to 14% of 367 sediment and 1.4% of soil sources of the taxa within the river water (53). This study, however 368 369 showed that the sediment source was much more abundant in freshwater (74%), indicating a greater degree of mixing between the freshwater and underlying sediment communities. The 370 amount of sediment and soil sources within water samples may be related to site specific 371 characteristics such as relief or soil texture, which has been shown with TSS fluxes on a global 372 scale (54). Thus, the degree to which the underlying sediment community mixes with the 373 overlaying water is likely site specific. Interestingly, even though freshwater contained a 374 significant amount of sediment source taxa, no sediment source was observed at the estuary and 375 376 marine beach sites through the SourceTracker analysis. This difference could indicate that rapid 377 sedimentation happens during transit to and within the estuary and at the estuarine turbidity maximum zone (55). TSS concentrations and the ratio of particle-associated to total enterococci 378 concentrations, however, showed no differences between freshwater and estuary/marine sites. 379 380 This could be related to the separate and quite different hydrodynamics within these different water systems. The percent of sediment source in the freshwater samples observed here might 381

also be an over-estimate/over fit from SourceTracker given the limited number of potential
sources used, but results consistently showed an elevated presence of sediment in all freshwater
samples in this study. SourceTracker analysis also revealed that the freshwater source was
significant (35% or more) in estuary and marine beach water samples, suggesting that fresh
water is a significant conduit for microbial, and fecal contamination, transport from the
watershed to the estuary and marine beach.

388

389 The use of predictive models for water quality has been a focus in the field in parallel with the adoption of bacterial indicator organisms as the gold standard for water quality determination. 390 The goal of this research was to identify significant influences on enterococci concentrations by 391 392 measuring a wide variety of variables. To distill this information, we used a PSLR model, which has been shown to out-perform similar multiple linear regression and principle components 393 394 regression analyses (56) and has gained popularity in the water quality field (57, 58). Results from the PLSR analysis in this study showed that particle-associated enterococci and 395 concentrations of mammal fecal sources were the driving force behind variation in enterococci 396 concentrations, as described by both PLSR models constructed. Other factors were found to 397 influence enterococci concentrations, however, these differed between the freshwater and 398 estuary/marine beach models. For example, TSS concentration as well as the percent of both 399 400 freshwater sediment and unknown sources positively influenced enterococci concentrations at freshwater sites. This indicates that sediment is a likely source of enterococci that influences 401 concentrations measured in the water. Positive influences from the unidentified source taxa 402 403 suggests that there is either an alternative source (not measured in this study) within the watershed that also influences enterococci concentrations or that SourceTracker could simply not 404

resolve all the potential sources we used. This finding is not surprising given the vast number of 405 potential sources of fecal pollution within a watershed and that fecal sources were not a part of 406 407 the SourceTracker analysis. Results from the estuary and marine beach model returned a twofactor regression, with each factor essentially being the inverse of each other. Specifically, it 408 highlighted freshwater being a major conduit for microbial transport to and through the estuary. 409 410 Negative influences from the unknown source reaffirms this finding, along with positive influences from the previous high tide height. The second factor explained approximately 15% of 411 412 the variation in enterococci concentration, therefore its importance must be weighed 413 proportionately to factor one, which explained almost 50% of the variation. However, positive loadings from previous high tide height and percent of estuarine sediment indicate estuarine 414 415 sediment could be a source of enterococci whose influence is dependent on tide height. The negative loadings from mammal fecal source(s) may indicate that enterococci originating from 416 417 the estuarine sediment are not from mammal fecal sources.

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Overall, the results from this study demonstrated that concentrations of enterococci in the coastal 419 estuarine/marine beach study area were largely controlled by particle-associated enterococci and 420 mammal fecal source input. The influence of these factors is likely universal across freshwater 421 422 and estuarine environments, however other ecosystem factors likely play a role as well. For 423 freshwater portions of the coastal watershed, sediment may act as a significant enterococci reservoir that is frequently re-suspended within the water column. Freshwater itself could act as a 424 425 major conduit for bacterial transport to an estuary and marine beach area where other 426 environmental factors (water temperature and high tide height) can influence enterococci concentrations as well. These findings highlight the dynamic nature of enterococci in natural 427

428	aquatic ecosy	stems outside	of the r	nammalian	fecal tract.	, and that	concentrations	within fresh
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429 water and estuary/marine beach water are influenced by a variety of factors.

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431 Materials and Methods:

- 432 **Site description.** This study was conducted in Wells, Maine, USA (Figure 1). Eight different
- sites were used to monitor water quality (n = 2 freshwater, n = 2 estuary, n = 3 estuary beaches, n
- 434 = 1 marine beach) as well as twelve soil, twelve fresh-water sediment and four estuarine
- 435 sediment sampling sites. Data for air temperature and rainfall amount for the 48 h prior to
- 436 sampling were obtained from Weather Underground
- 437 (https://www.wunderground.com/cgibin/findweather/getForecast?query=Wells,%20ME) and
- 438 characteristics of tides during sampling were obtained from US Harbors
- 439 (www.meusharbors.com).

440

Water sampling. Surface water samples were collected weekly from June to September 2017 (n 441 = 117). Sampling started two hours before low tide to maximize the potential impacts of 442 freshwater pollution sources, and samples from all estuary and marine beach sites were collected 443 before the slack tide. Water samples were collected in autoclaved 1L NalgeneTM Wide-Mouth 444 Lab Quality PPCO bottles (Thermo Fisher Scientific, Waltham, MA, USA), and environmental 445 parameters were measured with a YSI Pro2030® dissolved oxygen, conductivity, and salinity 446 Instrument (YSI Incorporated, Yellow Springs, Ohio, USA). A field replicate was collected at a 447 448 different site for each sampling event.

450 Soil, sediment, and marine sediment collection. Environmental sources were collected twice throughout the sampling season to build source libraries that were "finger-printed" with 16S 451 sequencing and SourceTracker analysis. Six soil and sediment samples were collected upstream 452 of both freshwater sites (Webhannet and Depot; Figure 1). Soil samples were collected at the 453 crest of the stream embankment, where a 10 x 10 cm a plastic square template was placed down 454 455 and all soil (O-horizon) within the template at a 2 cm depth was collected. Samples were sieved (USA Standard No. 5) to remove any loose-leaf litter and roots to only sample smaller soil 456 particles and their microbes. Underlying stream sediments were collected using a Van Veen 457 458 sediment sampler from depositional sites chosen based on the presence of fine grain sediments. One grab sample was collected for each site and then the top 2 cm of sediment was subsampled 459 for analysis. Sediments were sieved (USA Standard No. 45) to remove coarse grain and gravel 460 size particles. Estuarine sediments were collected during low tide when intertidal sediments were 461 exposed using the Van Veen sampler, and the top 2 cm were again collected for analysis. 462

463

Enterococci and total suspended solids quantification. Total and particle-associated 464 enterococci were enumerated using the EPA Method 1600 membrane filtration protocol (59) and 465 particle-associated enterococci were determined via filtration through a 0.47 mm diameter 3.0 466 µm pore size polycarbonate filter (Millipore[™], Darmstadt, Germany) as first reported by Crump 467 468 et al. (60). The filters were rolled onto plates containing mEI agar and incubated at $41^{\circ}C \pm$ 0.5°C; representative colonies were counted in 24 ± 2 hours. Total suspended solids (TSS) were 469 470 measured using EPA method 160-2, where 500 ml of the water sample was used to determine 471 TSS concentrations (61).

472 DNA extractions. DNA extraction from all matrices was performed with the PowerSoils® DNA Extraction Kits (MO BIO Laboratories, Carlsbad, CA, USA), with modifications to the 473 manufacture's protocol needed to optimize the extraction from water sample filters. For water 474 samples, 500 ml collected water sample was filtered through 0.47 mm diameter 0.45 µm pore 475 size polycarbonate filter (Millipore[™], Darmstadt, Germany), which was stored in a sterile 2 ml 476 cryotube at -80°C for at least 24 h. Prior to DNA extraction, frozen filters were crushed into 477 small pieces with an ethanol sterilized razor blade, a practice commonly used to maximize DNA 478 recovery (62-64). To minimize additional DNA loss during the extraction process solutions C2 479 480 and C3 (from manufacturer's protocol) were halved in volume and combined into a single step. DNA extraction from soil, freshwater sediment, and marine sediment were conducted per the 481

482 manufacture's protocol.

483

Microbial source tracking (MST) PCR and qPCR assays. MST PCR assays that target 484 485 Mammals (Bac32; 65), Humans (HF183; 9), Gulls (Gull2; 66), Dogs (DF475; 10) and Ruminants (CF128; 9) were used to determine the presence of fecal sources in water samples. 486 Positive control plasmids were created for each PCR assay from fresh fecal samples that came 487 from each target organism (Human, Gull, Dog, and Cow). The TOPOTM TA^{TM} Cloning Kit was 488 489 used (Invitrogen, Carlsbad, CA, USA), with a blue/white screen of E. coli transformants on kanamycin (50 µg/mL) selective TSA plates. Positive E. coli colonies were screened with their 490 respective PCR assay, and PCR positive colonies were then grown in TSB and extracted with the 491 PureLink[®] Quick Plasmid Miniprep Kit (Invitrogen, Carlsbad, CA, USA). PCR assays were run 492 on a T100[™] Thermal Cycler (BioRad, Hercules, CA, USA) with the GoTag[®] Green MasterMix 493 494 (Promega, Madison, WI, USA). Cycling conditions and amplification protocols for each assay

495	targeted the different source specific markers and followed protocols delineated by different
496	studies: Bac32 (67) and HF183 (67), CF128 (68), DF475 (69), and Gull2 (66). Quantitative PCR
497	assays were also run to determine fecal source strength for Mammals (AllBac; 70), Humans
498	(HF183; 71), and Birds (GFD; 72). All qPCR assays were run on a Mx3000P cycler (Agilent
499	Technologies, Santa Clara, CA, USA), TaqMan assays used the PerfecCTa [®] FastMix [®] II
500	(QuantaBio, Beverly, MA, USA) master mix and the SYBR green assay used the FastSYBR [™]
501	Green Master Mix (Applied Biosystems, Foster City, CA, USA). A standard curve ranging from
502	10^{6} - 10^{2} copies (Mammal assay) or 10^{5} - 10^{1} copies (Human & Bird assay) was also run for each
503	experimental run with the limit of quantification (LOQ) being 100 copies (Mammal) or 10 copies
504	(Human & Bird) per PCR. The Ct values, amplification efficiency, slope, and R^2 values for each
505	standard curve were compared to previously run standard curves, to ensure satisfactory
506	performance before being used to calculate copy numbers for that run. Each environmental
507	sample was diluted 1:10 and run in triplicate and the reaction volume (25 μ l) contained a final
508	concentration of 0.2 mg/ml BSA. Amplification/cycling conditions were preformed per
509	published protocols for AllBac (73), HF183 (73), and GFD (16). TaqMan assays were run with
510	an internal amplification control (74) with a down-shift of 1 cycle considered inhibition. Samples
511	spiked with a plasmid containing 10^4 copies of GFD amplicon were used as inhibition controls
512	for the SYBR assay, with a recovery of less than 10^4 copies (100%) considered inhibition. For a
513	list of primers, probes, and standard curve performance, see Supplementary Material 1.

514

16S library preparation. The V4 region of the 16S rRNA gene, using the 515F-806R primerbarcode pairs, was used for amplicon sequencing (75). The Earth Microbiome Project protocol
was used for amplification and pooling of samples, with minor modifications (76). The Qubit[®]

518	dsDNA HS assay was used to quantify sample concentrations, and 500 ng of DNA was pooled
519	per sample. The pool was then run on a 1.2 % low-melt agarose gel to separate primer-dimers
520	from acceptable product, and bands between 300-350 bps were cut and extracted as described
521	above. The final DNA sample was then run on the Agilent Technologies 2200 TapeStation
522	system (Santa Clara, CA, USA) to determine final size, quality, and purity of sample. Each
523	library was sent to the Hubbard Center for Genome Studies at the University of New Hampshire
524	to be sequenced (2 x 250 bp) on the Illumina HiSeq 2500 (San Diego, CA, USA).
525	
526	Quality filtering and Operational Taxonomic Unit (OTU) picking. QIIME 1.9.1 was used to
527	perform all major quality filtering, and OTU picking (77). Forward and reversed reads were
528	quality trimmed (μ P25) and removed of Illumina adapters via Trimmomatic (78). Any reads that
529	were less than 200 bps were discarded, and reads were merged with the QIIME
530	joined_paired_ends.py, using a minimum overlap of 10 bps and a maximum percent difference
531	of 10%. Paired-end data were analyzed using the QIIME open-reference OTU picking strategy
532	with UCLUST for <i>de novo</i> picking and the Greengenes 13_8 database (79) for taxonomic
533	assignment. Alternative OTU picking strategies were also tested to determine best workflow, for
534	performance of difference strategies refer to Supplementary Material 2. Data for all sequenced
535	samples are publicly available through NCBI BioProject
536	(http://www.ncbi.nlm.nih.gov/bioproject/431501).
537	
538	SourceTracker analysis. Samples from 4 source types (fresh water, soil, sediment, and marine

sediment) and 4 sink types (fresh water, estuary water, estuary beach water, and marine beach

540 water) were analyzed by the open-source software SourceTracker v1.0 (37). Default parameters were used (rarefaction depth 1000, burn-in 100, restart 10, alpha (0.001) and beta (0.01) dirichlet 541 hyperparameters) in accordance with previously published literature (53, 80). A 'leave one out' 542 cross validation was performed to assess the general performance of the model and source 543 samples were iteratively assigned as sinks to assess how well a known sink would be assigned 544 545 (i.e. source = soil and sink = soil). The percent assignments from SourceTracker are the result of the Gibbs Sampler assigning OTUs from an unknown sample to sources in a random and 546 547 iterative fashion, and then calculating likelihood of that OTU originating from said source. The 548 final output can be interpreted as the percent (or likelihood) of OTUs present in an unknown sample originating from the sources used in the analysis 549

550

Partial least squares regression model. A partial least squares regression (PLSR) model was 551 552 used to determine the most important and significant variables affecting enterococci 553 concentrations (81). Two models were created, one for the estuary, estuary beach, and marine beach sites, and one for the freshwater sites. Particle-associated enterococci, environment 554 555 variables (water temperature, air temperature, dissolved oxygen, salinity, height of previous high tide, rainfall in previous 48 h), fecal source strength (mammal, human, and bird), and percent of 556 557 environmental source (fresh water, soil, sediment, and marine sediment) were used as 558 explanatory variables for the non-freshwater model. The same parameters, except height of 559 previous high tide and percent of freshwater source, were used for the freshwater model. All data 560 except the percent assignments from SourceTracker were $\log (x+1)$ transformed before 561 performing the analysis. A KFold cross validation (K=7) with the NIPALS method was used to determine optimal factors and variable importance (VIP > 0.8) for each model. Models were then 562

- re-run with only explanatory variables that were determined to be significant. To see model
- validation and diagnostic plots, refer to Supplementary Material 3.
- 565 **Routine statistical analysis and data visualizations**. All routine statistical analyses were
- performed in R v3.4.0, Python 3.6.1, or JMP Pro13, while multivariate analyses were performed
- with PC-ORD v6. Graphing was performed in IPython notebook with matplotlib, seaborn,
- 568 pandas, and numpy packages. All pairwise comparisons were done using the Kruskal-Wallis
- nonparametric method, with Dunn's nonparametric multiple comparisons run *post hoc* using a
- 570 Bonferroni correction.
- 571

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580

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858 Tables

Environmental Microbial Community Source (Including Marine Beach Source)

Water Sample Type	Marine Beach	Freshwater	Estuarine Sediment	Sediment	Soil
Estuary Beach	97%	<0.01%	0.4%	< 0.01%	<0.01%
Estuary	94%	2.9%	0.2%	0.02%	<0.01%
Freshwater	<0.01%	N/A	<0.01%	74%	2.6%

Environmental Microbial Community Source (Excluding Marine Beach Source)

Water Sample Type	Marine Beach	Freshwater	Estuarine Sediment	Sediment	Soil
Estuary Beach	N/A	66%	12%	<0.01%	<0.01%
Estuary	N/A	74%	7.6%	0.02%	< 0.01%
Marine Beach	N/A	35%	19%	<0.01%	< 0.01%
Freshwater	N/A	N/A	0%	74%	2.6%

⁸⁵⁹

860 Table 1. The relative contribution of different sources to the microbial communities in

861 estuarine and marine water. SourceTracker was run with two different configurations, one

where Marine Beach water was included as a potential source (top) and a second run where

863 Marine Beach water was excluded as a potential source (bottom).

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Freshwat	ter	Estuary, Estuary Beach & Marine Beach					
PLSR 1	l	PLSR	1	PLSR 2			
X Variable	Loading	X Variable	Loading	X Variable	Loading		
Particle ENT	0.501	Particle ENT	0.456	Particle ENT	0.420		
qPCR Mammal	0.352	qPCR Mammal	0.438	qPCR Mammal	-0.337		
TSS	0.408	% Freshwater	0.408	% Freshwater	-0.418		
% Sediment	0.336	%Unknown	-0.457	% Unknown	0.389		
% Unknown	0.476	Water Temp	0.302	Water Temp	-0.123		
		(C)		(C)			
Salinity	-0.344	Hightide (ft)	0.170	Hightide (ft)	0.456		
		% Estuarine	-0.294	% Estuarine	0.401		
		Sediment		Sediment			
				~			
Total Y	60.1%	Total Y	47.2%	Cumulative Y	61.8%		
Variance		Variance		Variance			

868

869 Table 2. Most Significant Relationships/Contributions for All Factors to Enterococci

870 Concentrations. Shown is the output from a partial least squares regression for a

871 freshwater and estuary/marine model. All variables shown have significant relationships for

each model (VIP > 0.8), and loadings are derived from re-running models with only variables

deemed significant. Model loadings are specific weights on a multivariate regression axis,

positive and negative loadings refer to positive or negative relationships to enterococci

875 concentrations. Negative loadings in the model are designated with a – before the number.

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879 Figure Legends

Figure 1: Wells Maine Study area and sampling sites. All water collection sites are marked
with a dark grey circle. Sites that correspond to fresh water are indicated with a (1), estuary (2),
estuary beach (3), and marine beach (4).

883

884	Figure 2: Geometric Mean Concentrations of Total and Particle Associated Enterococci
885	and Average Total Suspended Solids Concentrations at the Eight Study Sites. (A) Total
886	enterococci concentrations are represented with the blue bar, and particle associated enterococci
887	concentrations correspond to the green bar. Error bars are derived from variation from each site
888	across the entire study. (B) Violin plots were used to represent TSS concentrations, and the color
889	corresponds to the type of site including marine beach (red), estuary beach (purple), estuary
890	(green), or fresh water (blue). Horizontal lines go through the median of each violin plot.
891	
892	Figure 3: Relative Levels of Mammal, Human, and Bird Fecal Source at the Different
893	Types of Study Sites. Box plots represent levels of microbial source tracking markers at marine
894	beach (Wells Beach), estuary beach (W11, W12, W13), estuary (W14 & W15), and fresh water
895	(Webhannet & Depot). Outlier data are represented with a black diamond.
896	
897	Figure 4: 16S Taxa Profiles and the Top Three Most Abundant Bacterial Classes in All
898	Source and Sink Samples. Stacked bar plots represent percentages of the class level

899 composition of the microbial communities. Source corresponds to environmental sources that

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900	were finger-printed with the SourceTracker program, and then used to determine their presence
901	within water (sink) samples. The table represents the top three classes for each group of samples
902	and * corresponds to phylum level. For a complete list of all taxa assignments refer to
903	Supplementary material 4.
904	

905 Figure 5. Differences Between Microbial Communities from Different Source Materials.

Samples are color-coded based on sample matrix (i.e. soil, fresh water, etc.). Percent of variation

- 907 explained are displayed on the x and y axis and the minimum stress of the ordination is shown in
- 908 the top left corner.



Figure 1: Wells Maine Study area and sampling sites. All water collection sites are marked with a dark grey circle. Sites that correspond to fresh water are indicated with a (1), estuary (2), estuary beach (3), and marine beach (4).

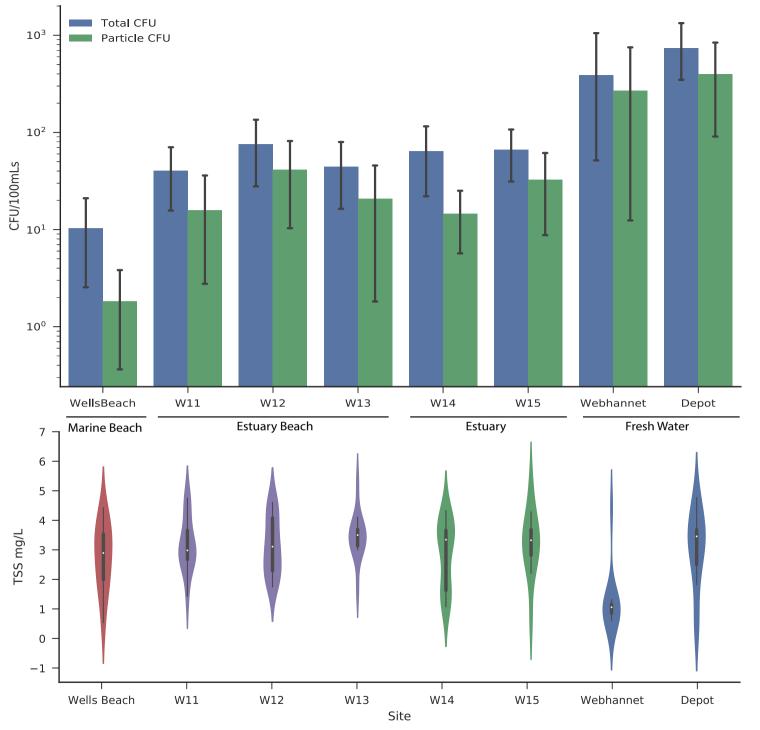
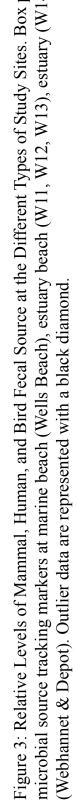
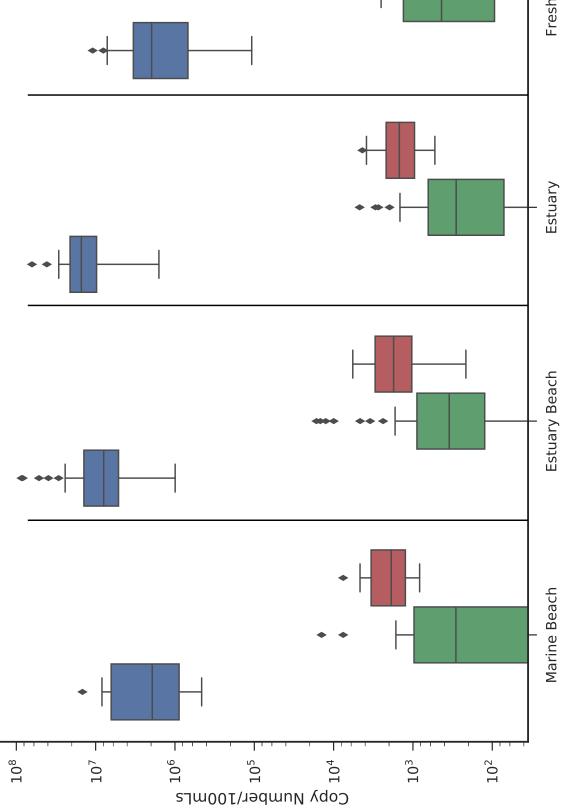
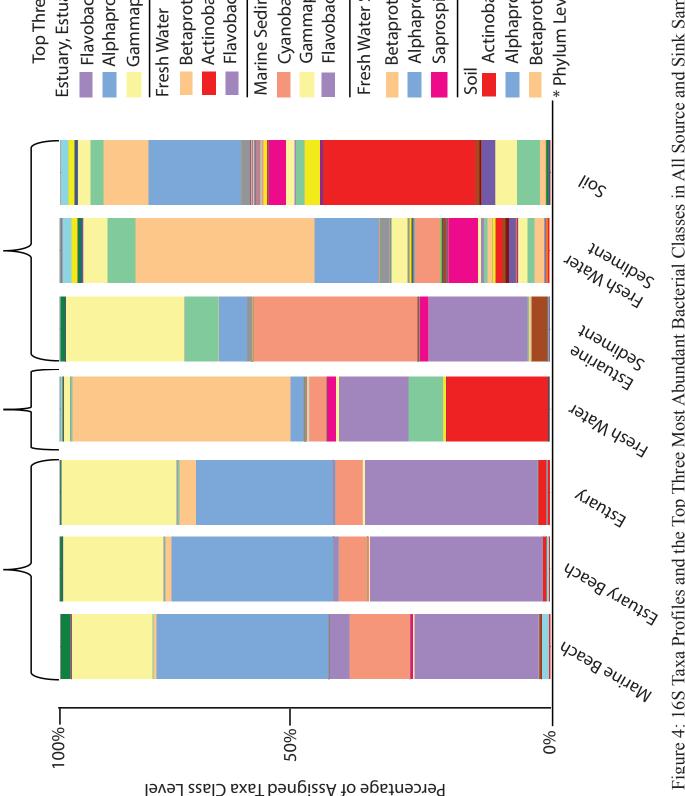


Figure 2: Geometric Mean Concentrations of Total and Particle Associated Enterococci and Average Total Suspended Solids Concentrations at the Eight Study Sites. (A) Total enterococci concentrations are represented with the blue bar, and particle associated enterococci concentrations correspond to the green bar. Error bars are derived from variation from each site across the entire study. (B) Violin plots were used to represent TSS concentrations, and the color corresponds to the type of site including marine beach (red), estuary beach (purple), estuary (green), or fresh water (blue). Horizontal lines go through the median of each violin plot.







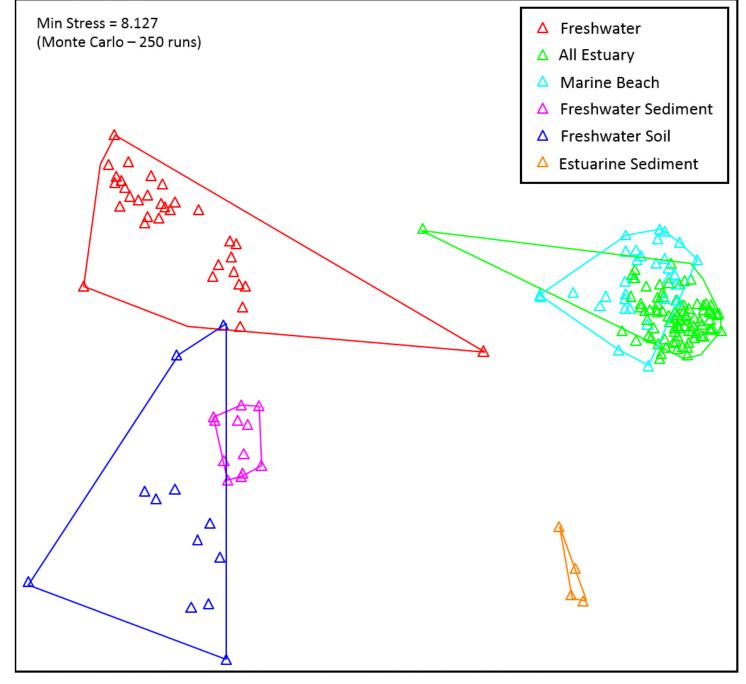
Source

Source/Sink

Sink

Figure 4: 16S Taxa Profiles and the Top Three Most Abundant Bacterial Classes in All Source and Sink Sam represent percentages of the class level composition of the microbial communities. Source corresponds to er were finger-printed with the SourceTracker program, and then used to determine their presence within water represents the top three classes for each group of samples and * corresponds to phylum level. For a complet refer to Supplementary material 4.





Axis 2 13.4%

Axis 1 63.9%

Figure 5. Differences Between Microbial Communities from Different Source Materials. Samples are color-coded based on sample matrix (i.e. soil, fresh water, etc.). Percent of variation explained are displayed on the x and y axis and the minimum stress of the ordination is shown in the top left corner.