Characterizing genetic intra-tumor heterogeneity across 2,658 human cancer genomes

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SUMMARY

Intra-tumor heterogeneity (ITH) is a mechanism of therapeutic resistance and therefore an important clinical challenge. However, the extent, origin and drivers of ITH across cancer types are poorly understood. To address this question, we extensively characterize ITH across whole-genome sequences of 2,658 cancer samples, spanning 38 cancer types. Nearly all informative samples (95.1%) contain evidence of distinct subclonal expansions, with frequent branching relationships between subclones. We observe positive selection of subclonal driver mutations across most cancer types, and identify cancer type specific subclonal patterns of driver gene mutations, fusions, structural variants and copy-number alterations, as well as dynamic changes in mutational processes between subclonal expansions. Our results underline the importance of ITH and its drivers in tumor evolution, and provide an unprecedented pan-cancer resource of comprehensively annotated subclonal events from whole-genome sequencing data.

INTRODUCTION

Cancers accumulate somatic mutations as they evolve (Nowell, 1976; Tabin et al., 1982). Some of these mutations are drivers that confer fitness advantages to their host cells and can lead to clonal expansions (Garraway and Lander, 2013; Greaves and Maley, 2012; Stratton et al., 2009; Vogelstein et al., 2013). Late clonal expansions, spatial segregation, and incomplete selective sweeps result in genetically distinct cellular populations that manifest as intra-tumor heterogeneity (ITH) (Nowell, 1976). Clonal mutations are shared by all cancer cells, whereas subclonal mutations are present only in a fraction of cancer cells.

ITH represents an important clinical challenge, as it provides genetic variation that may drive cancer progression and lead to the emergence of drug resistance (Maley et al., 2006; McGranahan and Swanton, 2017; Mroz et al., 2013). Subclonal drug resistance and associated driver mutations are common (Gerlinger et al., 2012; Gundem et al., 2015; Landau et al., 2013; McGranahan et al., 2015; Shaw et al., 2016; Yates et al., 2015). ITH can impact clinical trial design (Hiley et al., 2014), predict progression (Maley et al., 2004), and can be directly prognostic (Espiritu et al., 2018). For example, ITH at the level of copy number aberrations (CNAs) is associated with increased risk of relapse in non-small cell lung cancer (Jamal-Hanjani et al., 2017), head and neck cancer (Mroz and Rocco, 2013; Rocco, 2015) and glioblastoma multiforme (Brastianos et al., 2017).

ITH can be characterized from massively parallel sequencing data (Campbell et al., 2008; Landau et al., 2013; McGranahan et al., 2015; Nik-Zainal et al., 2012; Sottoriva et al., 2013), as the cells comprising a clonal expansion share a unique set of driver and passenger mutations derived from the expansion-initiating cell. Each mutation within this shared set is present in the same proportion of tumor cells (known as cancer cell fraction, CCF), which may be estimated by adjusting mutation allele frequencies for local copy number and sample purity. Subsequent clustering of mutations based on their CCF yields the 'subclonal architecture' of a sample (Dentro et al., 2017): estimates of the number of tumor cell populations in the sequenced sample, the CCF of each population, and assignments of mutations to each population.

To date, ITH remains poorly characterized across cancer types, and there is substantial
uncertainty concerning the selective pressures operating on subclonal populations.
Previous pan-cancer efforts used the principles above to characterize subclonal events, but
have been limited to exomes, which restricts the number and resolution of somatic mutation
calls and ignores structural variation (Andor et al., 2016). Two recent studies using pan-
cancer data from The Cancer Genome Atlas found that actionable driver mutations are
often subclonal (McGranahan et al., 2015), and that ITH has broad prognostic value (Andor
et al., 2016).

Recent studies have relied on multi-region whole-genome, exome or targeted sequencing
to characterize ITH in detail in specific cancer types (Jamal-Hanjani et al., 2017;
McPherson et al., 2016; Turajlic et al., 2018b; Yates et al., 2015). Due to the 'illusion of
clonality' (de Bruin et al., 2014), variants found as clonal in one sample may be subclonal
in other samples from the same tumor, and therefore single-sample analyses can
underestimate the extent of ITH. The converse is also true: any mutations detected as
subclonal in any single sample, will by definition be subclonal no matter how many
samples have been assayed. Therefore, through analyzing single cancer samples, a
conservative lower limit of ITH can be established.

Here, we develop a robust consensus strategy that maintains conservative inferences to call
copy number and cluster mutations in order to assess ITH, its origin, its drivers, and its role
in tumor development. We apply these approaches to 2,658 tumors from 38 histologically
distinct cancer types from the Pan-Cancer Analysis of Whole Genomes (PCAWG)
initiative (The ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020).
In comparison to exome sequencing, whole-genome sequencing data provides orders of
magnitude more point mutations, greater resolution to detect CNAs and the ability to call
structural variants (SVs). Collectively, these substantially increase the breadth and depth
of our ITH analyses permitting us to find pervasive ITH across all cancer types. We are
further able to observe frequent branching patterns of subclonal evolution and clear signs
of positive selection in subclones. We identify subclonal driver mutations in known cancer
genes and unanticipated changes in mutation signature activity across many cancer types.
In total, these analyses provide detailed insight into tumor evolutionary dynamics.

RESULTS

Consensus-based characterization of intra-tumor heterogeneity in 2,658 cancers

We set out to characterize ITH across cancer types, including single-nucleotide variants (SNVs), indels, SVs and CNAs, as well as subclonal drivers, subclonal selection, and mutation signatures. We leveraged the PCAWG dataset, encompassing 2,778 whole-genome sequences from 2,658 human tumors across 38 distinct histological cancer types (Alexandrov et al., 2020; Gerstung et al., 2020; Rheinbay et al., 2020; The ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020).

First, to generate high-confidence calls, we developed ensemble approaches for variant calling, copy number calling and subclonal reconstruction (**Figure 1A**, **STAR Methods**). Specifically, to maximize sensitivity and specificity of calling clonal and subclonal mutations, the PCAWG consortium developed and extensively validated a robust consensus approach integrating the output of four SNV calling algorithms (The ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020). Similar consensus approaches were employed for indels and SVs.

As previous studies report that the quality of copy number calls has a large effect on the robustness of subclonal reconstruction (Andor et al., 2016; Salcedo et al., 2020), we devised a systematic approach to consensus copy number calling, integrating results from six state-of-the-art copy number callers (**Figure 1A**, **STAR Methods**). Each algorithm was run twice, first to identify all copy number breakpoints and construct a consensus segmentation. To improve sensitivity and obtain breakpoints at base-pair resolution, SV breakpoints were also inserted into these first runs (**STAR Methods**). In a second run, this consensus segmentation was enforced on all CNA callers, resulting in copy number calls with identical breakpoints across algorithms.

Purity and ploidy assessment of cancer samples can be challenging, as for some samples multiple purity/ploidy combinations can be theoretically possible and these may be difficult to distinguish (Carter et al., 2012; Van Loo et al., 2010). Consensus purity and ploidy were determined by establishing agreement between the six CNA callers (**STAR Methods**). An expert panel reviewed and resolved cases where the callers disagreed. We found that the

purity values correlate strongly with a recent cross-omics analysis of tumor purity (Aran et

al., 2015) (**Figure S1**). After establishing agreement on the purity and ploidy, we defined

samples that had undergone whole-genome duplication in an objective and automated way,

based on tumor ploidy and the extent of loss of heterozygosity (**Figure 1B**, **STAR**

Methods). This classification shows 98.7% agreement with an alternative approach

leveraging the mode of the major allele (Carter et al., 2012). However, our classification

correctly classifies difficult tumors with many large chromosome gains, such as

medulloblastomas or pancreatic endocrine tumors, whereas the alternative approach is less

suitable for these tumors and occasionally makes errors. In addition, samples with whole-

genome duplications showed synchronous chromosomal gains (Gerstung et al., 2020),

further validating our approach. To further support high-quality subclonal reconstruction,

the whole genome of each tumor was annotated for the confidence in the consensus copy

number calls, which were assigned 'tiers' based on the level of agreement between different

callers. On average, we reached a high confidence consensus on 93% of the genome

(median: 95%, standard deviation: 13%) (**Figure 1C**, **STAR Methods**).

Consensus copy number profiles, SNVs, and purity estimates served as input to 11

subclonal architecture reconstructing methods, and the results of these methods were

combined into a single consensus reconstruction for each tumor (**Figure 1A**, **STAR**

Methods). Due to the probabilistic nature of subclonal reconstruction, we developed three

consensus approaches using different summary outputs of individual methods. We

validated the results of the consensus strategies on two independently simulated datasets

and assessed their robustness on the real data. The consensus methods performed

comparably to the best individual methods on both simulated datasets, with the top-

performing individual methods also displaying high similarity scores (**Figure 1D**, **STAR**

Methods). Whereas true mutations and CNAs were used in the analysis of simulated data,

in the real data the true subclonal mutations and CNAs are unknown. On the real data, the

highest similarities were observed for the consensus approaches, and not among individual

methods (**Figure 1D**), confirming that our consensus approaches yield the most robust

subclonal reconstruction outcome. Furthermore, using one simulated dataset with 965

samples, we evaluated the performances of our consensus methods over all 2,035 possible

combinations of 11 individual methods. We observed that the most robust performance, when the best callers are not known *a priori*, was achieved when all 11 callers were combined (**STAR Methods**). Hence, we used the output of one of our consensus methods, combining all 11 individual callers, as the basis for our global assignment strategy (**STAR Methods**). Through this approach, we obtained the number of detectable subclonal expansions, the fraction of subclonal SNVs, indels, SVs and CNAs, as well as the assignment of SNVs, indels and SVs to subclones for each tumor.

To obtain unbiased estimates of the number of mutations in the detected subclones and their CCFs, we accounted for a detection bias introduced by somatic variant calling. Specifically, as the CCF of a subclone decreases, so does the power to detect the SNVs associated with that subclone. This leads to biases in the estimates of subclone parameters, such as an overestimation of the subclone's CCF, akin to the "winner's curse" (Nik-Zainal et al., 2012). In addition, an increasing number of uncalled SNVs in the subclone leads to an underestimation of the number of associated mutations. The larger number of SNVs revealed by WGS (compared to whole-exome sequencing) facilitates quantitation and correction of these biases. We developed two methods to do this, validated them on simulated data (**STAR Methods**, **Figure 2A**), and combined them to correct the estimated number of SNVs and the CCF of each subclone. We estimate that, on average, 14% of SNVs in detectable subclones are below the somatic caller detection limits (**Figures 2B-C**). In particular, in subclones with CCF < 30%, on average 21% of SNVs are missed. Due to the complexity in modelling sensitivity of indel and SV calling as a function of the number of variant reads, similar models could not be developed for these mutation types. However, we anticipate that higher fractions of SVs and indels are likely missed because of the lower sensitivity of existing algorithms (The ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020). In addition, these values only include SNVs missed in detected subclones, not SNVs in subclones that remain undetected due to limited sequencing depth.

Pervasive intra-tumor heterogeneity across cancer types

We first evaluated the number of subclones identified by our consensus approach. We noted a strong correlation between the average effective read depth along the genome (sequencing coverage per haploid genome copy, i.e. the amount of sequencing signal) and the number of identified subclones (**Figure S2**), and therefore focused on 1,705 cancer genomes where our approach is powered to detect subclones encompassing >30% of tumor cells (**STAR Methods**). One or more subclonal expansions were evident in 1,621 tumors (95.1%), while only 84 tumors (4.9%) were clonal at the resolution of our methods (**Figure 3A**). Importantly, these estimates, based on single-sample reconstruction and a median ~46X read coverage, provide only a conservative lower bound for the number of subclones, as this study is not powered to detect rare subclonal populations.

Looking across cancer types (**Figure 3A**), our consensus approach finds a high proportion of samples with at least one subclone (>75%) in all cancer types, except cutaneous melanoma, where subclones were detectable by our approach in only half of the samples (31/62). In contrast, acral melanomas followed the pattern observed in other cancer types with higher frequencies of subclonal expansions (14/16 samples, 87.5%). Twenty-five out of 30 cancer types with more than 10 cases comprised >90% of samples with at least one detectable subclone, indicating pervasive ITH across cancer types.

The fraction of subclonal SNVs identified after winner's curse correction varies widely across cancer types (**Figure 3B**). Squamous cell carcinomas typically show low fractions of subclonal SNVs (head-and-neck, 9.7% ± 11.9; lung, 6.1% ± 6.7; cervix, 20.6% ± 19.6; mean ± standard deviation), while prostate adenocarcinoma (41.2% ± 21.8), thyroid adenocarcinoma (42.8% ± 19.6), chromophobe renal cell carcinomas (45.2% ± 22.7), pancreatic neuroendocrine tumors (46% ± 24.7) and pilocytic astrocytomas (61.3% ± 14.8) showed the highest fractions of subclonal SNVs.

Indels, SVs and CNAs also revealed similarly large differences between cancer types. For indels, the subclonal fraction ranged from 6.2% ± 11.7 in lung squamous carcinomas to 43.4% ± 23.7 in pancreatic neuroendocrine tumors (**Figure 3C**). For SVs, liposarcomas and cutaneous melanomas showed the lowest subclonal fraction (8.0% ± 14.1 and 8.9% ±

15.5 respectively) and chromophobe renal cell cancers the highest (56.8% ± 31.6) (**Figure 3D**). The fraction of subclonal copy number changes was lowest in chromophobe renal cell cancers (13.3% ± 16.8) and highest in prostate adenocarcinoma (53.8% ± 32.0) (**Figure 3E**). Comparing these values to the SNV burden (**Figure 3F**), the fraction of the genome affected by CNAs (**Figure 3G**), the frequency of WGD per cancer type (**Figure 3H**), and the power to identify subclones per cancer type (**Figure 3I**) showed that none of these metrics explain this wide variation. While we observed that cancer types with higher mutation burden showed lower fractions of subclonal SNVs (**Figures 3B and 3F**), we did not see a similar relationship when evaluating individual tumors (**STAR Methods**). The proportions of subclonal indels and SNVs are strongly correlated ($R^2 = 0.73$). SVs follow a similar trend ($R^2 = 0.62$ with indels, $R^2 = 0.51$ with SNVs), except for liver, colorectal and ovarian tumors, which show higher fractions of subclonal SVs than SNVs (**Figures 3B-E and S3**). In contrast, the average proportions of subclonal large-scale CNAs and SNVs are only weakly correlated ($R^2 = 0.24$), indicating these could be driven by independent mutational processes.

Some cancer types had limited ITH across all mutation types (e.g. biliary cancers, squamous cell carcinomas and stomach cancers), while other cancer types showed an abundance of ITH in specific somatic variant categories. For example, chromophobe kidney cancers and pancreatic neuroendocrine tumors have few subclonal CNAs but a high subclonal burden across all other variant categories (**Figures 3B-E**). Finally, among the tumors of each cancer type, we find substantial diversity in the fraction of subclonal variants (**Figures 3B-E**).

These findings highlight the high prevalence of ITH across cancer types. Nearly all tumors assayed here, irrespective of cancer type, show evidence of subclonal expansions giving rise to detectable subclonal populations, even at a limited read depth. In addition, we find that the average proportions of subclonal SNVs, indels, SVs and CNAs are highly variable across cancer types. These analyses paint characteristic portraits of the nature of ITH, suggesting distinct evolutionary narratives for each histological cancer type.

Complex phylogenies among subclones revealed by whole genome sequencing

Whole-genome sequencing provides an opportunity to explore and reconstruct additional patterns of subclonal structure by performing phasing of pairs of mutations in the same read pair, to assess evolutionary relationships of subclonal lineages (**Figures 4A-B**). Two subclones can be either linearly related to each other (parent-child relationship), or have a common ancestor, but develop on branching lineages (sibling subclones). Establishing evolutionary relationships between subclones is challenging on single-sample sequencing data due to the limited resolution to separate subclones and the uncertainties on their CCF estimates. We can, however, examine pairs of SNVs in WGS data that are covered by the same read pairs (*i.e.* phaseable SNV pairs), to reconstruct this relationship. Specifically, evidence for a parent-child relationship between two clones is given by a SNV pattern in a region without copy number gains, where the SNV attributed to the child clone is only found on a subset of the reads that carry the SNV attributed to the parent clone (**Figure 4A**, **STAR Methods**). Similarly, evidence for a sibling relationship between two clones is given by an SNV pattern in a haploid region where overlapping read pairs carry either the SNV attributed to one clone or the other (but not both) (**Figure 4B**, **STAR methods**). As the number of read pairs carrying two variants depends strongly on mutation burden and specific copy number context, they are generally extremely rare. Our large, curated dataset, however, enables us to identify a sizeable total of these and explore their phylogenetic information content in detail.

We find that of 1,537 tumors with sufficient power and at least one phaseable pair in the correct context, 245 show discordant in-*cis* SNVs pairs, indicating parent-child relationships (**Figure 4A**). Annotating SNVs with their clone or subclone assignment from CCF clustering, the vast majority of these samples (233, 95.1%) show pairs supporting the expected clone-subclone relationship. In addition, there are 8 samples with pairs assigned to different subclones and 16 samples with pairs assigned to the same subclone, highlighting collinear evolution among subclones. Of 995 tumors, 51 carry discordant in-*trans* SNVs pairs, with 32 and 27 of these samples having pairs assigned to the same or different subclones, respectively (8 have both), confirming the occurrence of two sibling subclones having expanded in parallel (**Figure 4B**).

The identification of subclones from phaseable SNV pairs can be considered largely
independent of the consensus subclonal reconstruction. One can therefore use phasing
results to assess the performance of subclonal reconstruction and *vice versa*. Indeed, tumors
identified to contain higher numbers of subclones according to the consensus
reconstruction are enriched for linear and branching pairs (p-value = 3.9×10^{-15}, **Figure 4C**).
Nevertheless, our identification of 16 and 32 samples with SNV pairs assigned to the same
subclone but showing in-*cis* and in-*trans* discordance, respectively, confirms that our
consensus approach identifies only a lower limit on the number of subclonal expansions.
Interestingly, 8 of the 13 cutaneous melanomas showing linear or branching pairs had been
deemed clonal by CCF clustering but had phasing evidence for 1–2 subclones (3 had linear,
7 had branching, and 3 had both linear and branching SNV pairs). This analysis suggests
that, similarly to other cancer types, the large majority of cutaneous melanomas contain
subclonal expansions. However, these might be obscured by the large numbers of clonal
mutations in these extremely highly mutated tumors.

The frequency of branching versus linear evolution can be assessed directly by subsetting
the phasing analysis to haploid regions and to pairs where both SNVs have been assigned
to subclones, as both linear and branching relationships may be detected with similar power
in this subset. Our results indicate that, in the pan-cancer setting, two subclones are 3.11
times more likely to be siblings than to have a parent-child relationship (bootstrapped 95%
confidence interval [1.71; 7.50], **Figure 4D**). This result is consistent with the complex
phylogenies obtained from multi-region sequencing efforts such as the TRACERx 100
non-small-cell lung cancer cohort (Jamal-Hanjani et al., 2017), where the odds of
branching *vs.* linear evolution are 2.86 (bootstrapped 95% confidence interval [1.93; 5.07],
Figure 4E, **STAR Methods)**. These results are also in line with observations of mutual
exclusivity of subclonal drivers and extensive parallel evolution (Turajlic et al., 2018a;
Turajlic et al., 2018b).

Patterns of subclonal mutation signature activity changes across cancers

Mutation processes can differ in their activity between clonal and subclonal lineages

(McGranahan et al., 2015). To explore the subclonal dynamics of mutation signatures in
detail, we examined subclonal mutations for changes in signature activity. We reasoned
that if a mutation process is activated during a specific subclonal expansion, only the post-
expansion mutations will carry the corresponding mutation signature. Signature activity
change points can therefore be identified in SNVs that are rank-ordered by their CCFs
estimates (Rubanova et al., 2020) (**STAR Methods**). Of the 2,552 samples with sufficient
SNVs to perform this analysis, 1,944 (76%) have an activity change of at least 5% in at
least one signature (a conservative threshold established *via* permutation and bootstrapping
analyses, **STAR Methods**). We detect an average of 1.77 mutation signature activity
clusters per sample.

Overall, mutation signature activity is remarkably stable. The most frequently changing
signature (Signature SBS12, etiology unknown (Alexandrov et al., 2020), active in 198 of
326 (61%) liver cancers), is variable in approximately 60% of the cases in which it is active
(**Figure 5A**). In addition, we find that the activity of Signature SBS9 (Pol η activity on
AID lesions) decreases as a function of decreasing CCF in over half the tumors in which
this signature is active (CLL and B-cell non-Hodgkin lymphoma). When only considering
pairs of signatures that change in the same tumor, we see that 6 out of the top 10 pairs
involve SBS5 (etiology unknown but hypothesized to reflect lower-fidelity DNA repair
pathways (Kim et al., 2016)). Such changes in proportions are often anti-correlated, as the
activity of one mutation process may be changing at the proportional expense of the activity
of another.

We next evaluated signature trajectories per cancer type (**Figure 5A**). In CLL, SBS9
always decreases and SBS5 nearly always increases. In contrast, in ovarian cancers, most
signature activity changes go both up and down in similar, relatively low proportions of
tumors. On average, signature activity changes are modest in size, with the maximum
average activity change recorded in CLL (33%, SBS9). Some changes are observed across
many cancer types - *e.g.*, SBS5 and SBS40, of unknown etiology - while others are found
in only one or a few cancer types. For example, in hepatocellular carcinomas, we observe
an increase in SBS35 and a decrease in SBS12 (both etiology unknown), and in esophageal
adenocarcinomas, we see an increase in SBS3 (double-strand break-repair) and a decrease

in SBS17 (etiology unknown).

The average signature activity change across cancers of the same type is most often
monotonic as a function of CCF. In other words, the activities of mutation processes
consistently either decrease or increase (**Figures 5B and S4**). CLLs and lung
adenocarcinomas initially exhibit a sharp change in signature activity when transitioning
from clonal to subclonal mutations, but activity of the signatures appears to remain stable
across multiple subclonal expansions (**Figure 5B**). In contrast, esophageal
adenocarcinomas show a steady decrease in SBS17 activity, while thyroid
adenocarcinomas often display a continuing increase in SBS2 and SBS13 (APOBEC)
activity. These patterns observed across samples are also consistent at the single-sample
level, for example in individual CLL samples (**Figure 5C**).

Interestingly, the SBS9 activity changes in CLL and B-cell non-Hodgkin lymphoma reflect
the anatomical journey B cells have undergone in their evolution to cancers. Tumors
showing SBS9 (pol η associated with AID activity) activity originate from post-germinal
center B cells (Seifert et al., 2012). In these cases, SBS9 contributes to clonal but not
subclonal mutations, because only the tumor-founding cell was exposed to somatic
hypermutation in the germinal center. Later cells in this lineage have left the germinal
center and are no longer exposed to AID. Similarly, the strong decrease of SBS7 (UV light)
activity in cutaneous melanoma cases suggests these tumors have progressed to invade
inner layers of the skin (Breslow, 1970), out of reach of damaging UVB exposure (Dupont
et al., 2013). Finally, the co-occurring decrease of SBS4 (smoking) and increase of
SBS2/13 (APOBEC) activity suggests that in lung cancers, cell-intrinsic mutation
processes take over after early tumor evolution is fueled by external mutagens (Jamal-
Hanjani et al., 2017).

Mutation signature activity changes mark subclonal boundaries

We next compared the mutation signature change points (shifts in activity) with the CCF
of detected subclones, reasoning that these would correspond well if the emergence of
subclones is associated with changes in mutation process activity. In such a scenario, we

expect that the signature change points coincide with the CCF boundaries between
subclones, assuming that clustering partitioned the SNVs accurately. In accordance with
previous studies that highlight changes in signature activity between clonal and subclonal
mutations (Jamal-Hanjani et al., 2017; McGranahan et al., 2015), we find that 34.5-54.7%
of clone–subclone boundaries and 34.5%-57.3% of subclone-subclone boundaries coincide
with a signature change point (**Figure 5D, STAR Methods**). This not only validates our
clustering approach, but also demonstrates that subclonal expansions are often associated
with changes in signature activity. It further suggests that increased ITH would correspond
to greater activity change. Indeed, the samples with the largest changes in activity tend to
be the most heterogeneous (**Figure 5E**). Conversely, an average of 0.49 changes per sample
are not within a window of subclonal boundaries (**Figures 5F-G**), suggesting that some
detected CCF clusters represent multiple subclonal lineages (**STAR Methods**), consistent
with our mutation phasing results above.

The landscape of subclonal driver mutations

We leveraged the comprehensive whole-genome view of driver events across these cancer
genomes (Rheinbay et al., 2020) to gain insight into clonal *vs.* subclonal driver SNVs,
indels and SVs. Out of 5,414 high-confidence SNV and indel driver mutations in 389
genes, we found 385 (7.1%) subclonal driver mutations across 147 distinct genes (**Figure
6A**). In total, 86% of samples with at least one subclone (1,576/1,831) contain no identified
subclonal driver SNVs or indels, and only 11% of all detected subclones (280/2,542) were
associated with acquisition of a clear subclonal driver SNV or indel. In contrast, clonal
driver SNVs or indels were detected in 77% of samples (1,812/2,367).

As our whole-genome sequencing approach also allowed us to assess the clonality of SVs
(Cmero et al., 2020), we next sought to examine the clonality of SV drivers. We considered
an SV to be a driver if it was associated with a region of significantly recurrent breakpoints
(Rheinbay et al., 2020) at non-fragile sites. By this analysis, 56.9% of samples analyzed
(825/1,450) have a clonal SV driver, 14.7% (213/1,450) have at least one subclonal SV
driver (**Figure 6A**), and 6.1% (89/1,450) have exclusively subclonal SV drivers. Pilocytic

astrocytomas, non-Hodgkin's lymphomas, biliary adenocarcinomas, and thyroid adenocarcinomas showed no evidence of subclonal SV drivers, while the remaining 26 of 30 cancer types analyzed all contained at least one subclonal SV driver in this cohort.

One explanation for the relative dearth of subclonal driver mutations is that subclonal driver mutations have a lower population prevalence than clonal ones. Specifically, driver identification depends on prevalence of the mutation within the cancer cohort. Our previous analysis demonstrated that the most prevalent drivers are also those that occur earliest in tumor development (Gerstung et al., 2020). This suggests that methods to annotate mutations (or genes) as drivers would be particularly prone to missing subclonal driver mutations. As such, we adapted a strategy from population genetics, to assess whether there was subclonal selection, even in the absence of discernible subclonal drivers. Selective pressures acting on the coding regions of cancer genomes can be quantified using the dN/dS ratio, which compares the rates of non-synonymous and synonymous mutations (Martincorena et al., 2017). A dN/dS ratio larger than 1 indicates positive selection, while smaller ratios characterize negative selection, and dN/dS $\approx$ 1 points towards neutral evolutionary dynamics. Previously, dN/dS > 1, evidence of positive selection, has been shown for cancer driver genes in all somatic mutations (Martincorena et al., 2017). When analyzing clonal mutations separately in our dataset, we confirm this signature of selection within a set of 566 well-established driver genes (**STAR Methods**). When specifically assaying our consensus subclonal mutations for the same set of drivers, we observe dN/dS > 1 for nonsense, missense and splice-site SNVs (**Figure 6B**). This indicates that selection for driver mutations, rather than neutral evolutionary dynamics (Williams et al., 2016), frequently shapes subclonal expansions, in agreement with our earlier study (Tarabichi et al., 2018). However, when considering dN/dS ratios for individual cancer types, we observe that in only a subset, the 95% confidence intervals exceed the threshold of positive selection (**Figure 6C**). The cancer types with evidence for selection had a significantly higher number of tumors sequenced (P = 1.6×10^{-3}, Mann-Whitney U test), suggesting that the absence of conclusive signal in the remaining cancer types may be due to statistical power limitations.

The driver SNV and indel landscape indicates that specific genes recurrently harbor

subclonal driver mutations across cancer types (**Figure 6A**). For example, the *SETD2*
tumor suppressor is frequently subclonally mutated in clear cell renal cell carcinomas, as
previously observed in multi-region sequencing experiments (Gerlinger et al., 2012), and
in pancreatic neuroendocrine cancers. Interestingly, mutations in some driver genes that
are exclusively clonal in most cancer types, are observed subclonally in others. For
example, we find subclonal driver mutations in *MEN1* in pancreatic neuroendocrine tumors
(6/30); *TP53* in prostate and breast cancers (4/12 and 5/59 respectively); and *CDKN2A* in
pancreatic adenocarcinomas (5/42). Gene set analysis (**STAR Methods**) revealed an
enrichment of subclonal mutations in genes responsible for chromatin remodeling,
suggesting an important role of these processes in subclonal variegation. Indeed, we find
that e.g. *ARID1A*, *PBRM1*, *KMT2C/D* and *SETD2* are enriched for subclonal driver
mutations. Other genes often mutated in subclones are splicing factor *SF3B1* and, in breast
and pancreatic adenocarcinomas, tumor suppressor *SMAD4*.

We similarly observed substantial variation in SV driver clonality across cancer types,
implying cancer type-specific roles for SVs during tumor evolution (**Figure 6A**). Ten
cancer types have a significant clonal bias for SV drivers (**Figure 6A**), when matched for
power, suggesting that these cancers are driven by early SV events. These include SVs in
the genomic region around *KIAA1549* in pilocytic astrocytomas, which likely result in the
BRAF-KIAA1549 fusion gene (Faulkner et al., 2015). Ovarian adenocarcinoma and soft-
tissue leiomyosarcoma show the highest rates of SV driver subclonality (33.7% and 40.0%
respectively).

No significant subclonal enrichment was observed for SV drivers within a tumor type.
However, enrichment was observed for specific SV drivers across cancer types (**Figures**
6A and S5). Clonally enriched SV drivers (**Figure 6A**, *q*-value < 0.05, rank-based
permutation test) include those involving the *IGH* locus (97% of which occurred in
lymphomas), or targeting *CDK12*, *TERT*, *MDM2*, *CDKN2A, LRP5/PPP6R3, MYC, EGFR*
and gene poor region 8p11.21. In contrast, subclonally enriched SV drivers include those
targeting *RB1*, *AKR1C1/2/3*, *KLF5*, *PTEN* and the gene poor 5p12 region. Interestingly,
previous studies have linked *RB1* loss to tumor progression in liver (Bollard et al., 2017),
liposarcoma (Schneider-Stock et al., 2002; Takahira et al., 2005), and breast cancer

(Condorelli et al., 2017).

To further understand the clonality of gain-of-function driver SVs across cancer types, we
specifically focused on previously known and curated oncogenic driver fusion SVs (**STAR**
Methods). We found that known driver fusions are more likely to be clonal compared to
other SVs (p = 0.0284, Fisher's exact test, **Figure 6D**), with some recurrent fusions
appearing exclusively clonal or highly enriched for clonal events (*CCDC6-RET*, *BRAF-*
KIAA1549, *TMPRSS2-ERG*), pointing to a model where gain-of-function SVs tend to
appear early rather than late during tumor development.

Finally, to assess the potential impact of ITH on clinical decisions, we evaluated the
clonality of actionable subclonal driver mutations, reasoning that targeting mutations that
are not present in all tumor cells will likely result in ineffective treatment (Schmitt et al.,
2016). Restricting our analysis to genes and mutations for which inhibitors are available,
we find that 60.1% of tumors have at least one clinically actionable event (**Figure 6E**). Of
these, 9.7% contain at least one subclonal actionable driver, and 4.7% show only subclonal
actionable events. As our results represent conservative lower bound estimates of the
subclonality at the level of the whole tumor, these results reinforce the importance of
assessing the clonality of actionable mutations.

DISCUSSION

We have developed consensus approaches to characterize genome-wide ITH for 38 cancer types, building on high quality SNVs, indels, SVs, CNAs, and curated driver mutations and mutation signatures, leveraging the largest set of whole-genome sequenced tumor samples compiled and analyzed to date. Remarkably, although these single region-based results are conservative and place a lower bound estimate on ITH, we detect subclonal tumor cell populations in 95.1% of 1,705 tumors. Individual subclones in the same tumor frequently exhibit differential activity of mutation signatures, implying that subclonal expansions can act as witnesses of temporally and spatially changing mutation processes. We extensively characterized the clonality of SNVs, indels, SVs, and CNAs. For SNVs and indels, we identified patterns of subclonal driver mutations in known cancer genes and average rates of subclonal driver events per tumor (Jamal-Hanjani et al., 2017; Landau et al., 2013; McGranahan et al., 2015; Yates et al., 2015). For SVs, we analyzed both candidate driver and passenger events, revealing how SVs influence tumor initiation and progression. Clonality estimates from CNAs suggest a complementary role of chromosomal instability and mutagenic processes in driving subclonal expansions. Finally, our results show rich subclonal architectures, with both linear and branching evolution in many cancers.

Analysis of dN/dS ratios in subclonal SNVs falling in exons of known cancer genes revealed clear signs of positive selection across the detected subclones and across cancer types. Although our analyses do not exclude the possibility that a small fraction of tumors evolve under weak or no selection, they show that selection is widespread across cancer types. Recent methodological advances to quantify selection in individual tumors from explicit tumor growth models have emerged and could shed further light on the evolutionary dynamics of individual tumors through single (Williams et al., 2018) and multiple (Sun et al., 2017) tumor biopsies. Our findings extend Peter Nowell's model of clonal evolution (Nowell, 1976): as neoplastic cells proliferate under chromosomal and genetic instability, some of their daughter cells acquire mutations that convey further selective advantages, allowing them to become precursors for new subclonal lineages. Here, we have demonstrated that selection is ongoing up to and beyond diagnosis, in virtually all tumors and cancer types. The ubiquiotous presence of subclones provides

evidence for ongoing selective sweeps, and akin to results of multi-region-based studies,
we also detect widespread branching evolution, implying co-existence and competition of
subclones.

Our observations highlight a considerable gap in knowledge about the drivers of subclonal
expansions. Specifically, only 11% of the 2,542 detected subclones have a currently known
SNV or indel driver mutation. Thus, late tumor development is either driven largely by
different mechanisms (copy number alterations, genomic rearrangements (Jamal-Hanjani
et al., 2017; Mamlouk et al., 2017), or epigenetic alterations), or most late driver mutations
remain to be discovered. In support of the latter, our recent study (Gerstung et al., 2020)
finds that late driver mutations occur in a more diverse set of genes than early drivers. For
now, the landscape of subclonal driver mutations in localized cancer remains largely
unexplored, in part due to limited resolution and statistical power to detect recurrence of
subclonal drivers. Nonetheless, each tumor type has its own characteristic patterns of
subclonal SNVs, indels, SVs and CNAs, revealing distinct evolutionary narratives. Tumor
evolution does not end with the last complete clonal expansion, and it is therefore important
to account for ITH and its drivers in clinical studies.

We show that regions of recurrent genomic rearrangements, harboring likely driver SVs,
also exhibit subclonal rearrangements. This suggests that improved annotations must be
sought for both SVs and SNVs, in order to comprehensively catalogue the drivers of
subclonal expansion. By combining analysis of SV clonality with improved annotations of
candidate SV drivers (Rheinbay et al., 2020), we highlight tumor types that would benefit
from further characterization of subclonal SV drivers, such as pancreatic neuroendocrine
cancers and leiomyosarcomas.

These observations have a number of promising clinical implications. For example, there
is subclonal enrichment for SVs causing *RB1* loss across multiple cancer types, expanding
on the known behavior of *RB1* mutations in breast cancer (Condorelli et al., 2017). These
SVs may be linked to known resistance mechanisms to emerging treatments (*e.g.* CDK4/6
inhibitors in breast (Condorelli et al., 2017) and bladder (Pan et al., 2017) cancer). If
profiled in a resistance setting, they may provide a pathway to second-line administration
of cytotoxic therapies such as cisplatin or ionizing radiation, which show improved efficacy

in tumors harboring *RB1* loss (Knudsen and Knudsen, 2008).

Our study builds upon a wealth of data of cancer whole-genome sequences generated under
the auspices of the International Cancer Genome Consortium and The Cancer Genome
Atlas, allowing detailed characterization of ITH from single tumor samples across 38
cancer types. It builds a consensus reconstruction of CNAs from 6 methods and consensus
subclonal reconstruction from 11 methods. In establishing this reconstruction, we found
that each individual method makes errors that are corrected by the consensus. Our
consensus-building tools and techniques thus provide a set of best practices for future
analyses of tumor whole-genome sequencing data. In addition, our high-quality curated
consensus subclonal reconstructions on 2,658 tumor whole genomes spanning 38 cancer
types constitute a rich resource for future studies.

STAR METHODS SUMMARY

Consensus copy number analysis

As the basis for our subclonal architecture reconstruction, we needed a confident copy number profile for each sample. To this end, we applied six copy number analysis methods (ABSOLUTE, ACEseq, Battenberg, cloneHD, JaBbA and Sclust) and combined their results into a robust consensus (see **STAR Methods** for details). In brief, each individual method segments the genome into regions with constant copy number, then calculates the copy number of both alleles for the genomic location. Some of the methods further distinguish between clonal and subclonal copy number states, *i.e.* a mixture of two or more copy number states within a genomic region. Disagreement between methods mostly stems from either difference in the segmentation step, or uncertainty on whole genome duplication (WGD) status. Both issues were resolved using our consensus strategy.

To identify a set of consensus breakpoints, we combined the breakpoints reported by the CNA methods with the consensus structural variants (SVs). If a hotspot of copy number breakpoints could be explained by an SV, we removed the copy number breakpoints in favor of the base-pair resolution SV. The remaining hotspots were merged into consensus calls to complement the SV-based breakpoints. This combined breakpoint set was then used as input to all methods in a second pass, where methods were required to strictly adhere to the provided breakpoints.

Allele-specific copy number states were resolved by assessing agreement between outputs of the individual callers. A consensus purity for each sample was obtained by combining the estimates of the copy number methods with the results of the subclonal architecture reconstruction methods that infer purity using only SNVs.

Each copy number segment of the consensus output was rated with a star-ranking representing confidence.

To create a subclonal copy number consensus, we used three of the copy number methods that predicted subclonal states for segments and flagged the segment as subclonal when at least two methods agreed the segment represented subclonal copy number.

Consensus subclonal architecture clustering

We applied 11 subclonal reconstruction methods (BayClone-C, Ccube, CliP, cloneHD,
CTPsingle, DPClust, PhylogicNDT, PhyloWGS, PyClone, Sclust, SVclone). Most were
developed or further optimized during this study. Their outputs were combined into a
robust consensus subclonal architecture (see **STAR Methods** for details). During this
procedure, we used the PCAWG consensus SNVs and indels [Synapse ID syn7118450]
and SVs [syn7596712].

The procedure to create consensus architectures consisted of three phases: a run of the 11
callers on a subset of SNVs that reside on copy number calls of high-confidence, merging
of the output of the callers into a consensus and finally assignment of all SNVs, indels and
SVs.

Each of the 11 subclonal reconstruction callers outputs the number of mutation clusters per
tumor, the number of mutations in each cluster, and the clusters' proportion of (tumor) cells
(cancer cell fraction, CCF). These data were used as input to three orthogonal approaches
to create a consensus: WeMe, CSR and CICC. The results reported in this paper are from
the WeMe consensus method, but all three developed methods lead to similar results, and
were used to validate each other (**STAR Methods**).

The consensus subclonal architecture was compared to the individual methods on two
independent simulation sets, one 500-sample for training and one 965-sample for
validation, and on the real PCAWG samples to evaluate robustness. The metrics by which
methods were scored account for the fraction of clonal mutations, number of mutation
clusters and the root mean square error (RMSE) of mutation assignments. To calculate the
overall performance of a method, ranks of the three metrics were averaged per sample.

Across the two simulated datasets, the scores of the individual methods were variable,
whereas the consensus methods were consistently among the best across the range of
simulated number of subclones, tumor purity, tumor ploidy and sequencing depth. The
highest similarities were observed among the consensus and the best individual methods in
the simulation sets, and among the consensus methods in real data, suggesting stability of
the consensus in the real set. Increasing the number of individual methods input to the

consensus consistently improved performance and the highest performance was obtained
for the consensus run on the full 11 individual methods, suggesting that each individual
method has its own strengths that are successfully integrated by the consensus approaches
(**STAR Methods**).

All SNVs, indels and SVs were assigned to the clusters that were determined by the
consensus subclonal architecture using MutationTimer (Gerstung et al., 2020). Each
mutation cluster is modelled by a beta-binomial distribution and probabilities for each
mutation belonging to each cluster are calculated. This results in the final consensus
subclonal architecture, and in addition, it also timed mutations relative to copy number
gains (**STAR Methods**).

SV clonality analysis

Due to the difficulty in determining SV VAFs from short-read sequence data, and
subsequent CCF point estimation (Cmero et al., 2020), we elected to explore patterns of
putative driver SV clonality using subclonal *probabilities*, allowing us to account for
uncertainty in our observations of SV clonality (**STAR Methods**). After excluding
unpowered samples, highly mutated samples, and cancer types with less than ten powered
samples (**STAR Methods**), we analyzed 125,920 consensus SVs from 1,517 samples,
across 28 cancer types. SVs were divided into candidate driver SVs and candidate
passenger SVs using annotations from a companion paper (Rheinbay et al., 2020). SVs
were considered candidate drivers if they were annotated as having significantly recurrent
breakpoints (SRBs) at non-fragile sites, and candidate passenger SVs otherwise (**STAR
Methods**).

Subclonal probabilities of driver and passenger SVs across tumor types were observed
using weighted median and interquartile ranges (**STAR Methods**). Any tumor types with
interquartile ranges exceeding subclonal probabilities of 0.5 were considered as having
evidence of subclonal SVs. Permutation testing was used to determine significant
differences in the weighted medians between driver and passenger SVs (**STAR Methods**).
To test if any genomic loci were enriched for clonal or subclonal SVs across cancer types,

we employed a GSEA-like (Subramanian et al., 2005) rank-based permutation test (**STAR**

Methods).

"Winner's curse" correction

Because somatic mutation callers require a minimum coverage of supporting reads, in

samples with low purity and/or small subclones, the reported CCF values and cluster sizes

will be biased. As variants observed in a lower number of reads have a higher probability

to be missed by somatic mutation callers, rare subclones will show lower apparent mutation

numbers and higher apparent CCF values. We refer to this effect as the "Winner's curse".

To adjust mutation clusters both in size and in CCF, we developed two methods,

PhylogicCorrectBias and SpoilSport. Results from both methods were integrated to

produce a consensus correction, and our correction approach was validated on simulated

data (**STAR Methods**).

Mutation signatures trajectory analysis

Given the mutation signatures obtained from PCAWG [syn8366024], we used TrackSig

(Rubanova et al., 2020) to fit the evolutionary trajectories of signature activities. Mutations

were ordered by their approximate relative temporal order in the tumor, by calculating a

pseudo-time ordering using CCF and copy number. Time-ordered mutations were

subsequently binned to create time points on a pseudo-timeline to which signature

trajectories can be mapped.

At each time point, mutations were classified into 96 classes based on their trinucleotide

context and a mixture of multinomial distributions was fitted, each component describing

the distribution of one active signature. Derived mixture component coefficients

correspond to mutation signature activity values, reflecting the proportion of mutations in

a sample that were generated by a mutation process. By applying this approach to every

time point along the evolutionary timeline of a sample, a trajectory of the activity of

signatures over time was obtained.

We applied likelihood maximization and the Bayesian Information Criterion to simulations

to establish the optimal threshold at which signature activity changes can be detected. This

threshold was determined to be 6%. Subsequently, a pair of adjacent mutation bins was

marked as constituting a change in activity if the absolute difference in activity between

the bins of a at least one signature was greater than the threshold.

Signature trajectories were mapped to our subclonal reconstruction architectures by

dividing the CCF space according to the proportion of mutations per time point belonging

to a mutation cluster determined by the consensus reconstruction. By comparing distances

in pseudo-time between trajectory change points and cluster boundaries, change points

were classified as "supporting" a boundary if they are no more than three bins apart.

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FIGURE LEGENDS

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Figure S4. Summary signature trajectories per cancer type.

The average trajectories for mutation signatures were calculated across tumors of the same

cancer type. The color of the line denotes the signature and its width reflects the number

of contributing tumors. The trajectories have been centered around the activity at the

boundary between clonal and subclonal mutations in order to highlight relative changes in

signature activity.

Figure S5. Clonality analysis of significantly recurrent breakpoints.

(A) Number and clonality of SVs observed at 52 loci with significantly recurrent

breakpoints (SRBs) (Rheinbay et al., 2020). SVs with a subclonal probability larger than

50% were considered subclonal and clonal otherwise. (B) Proportion of cancer types

contributing to the enrichment of clonal or subclonal SVs in a locus (see **Figure 6A**). The

genes on the y-axis represent the most likely driver gene for each locus (Rheinbay et al.,

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Evidence of ITH is shown for 1,705 samples with sufficient power to detect subclones above 30% CCF (see **STAR Methods**), as in **Figure 3**. Pairwise scatter plots in the upper triangle show the fractions of subclonal SNVs, indels, CNAs and SVs per tumor sample. Pearson's correlation coefficient, *R*, is separately computed for each panel across all samples. Panels on the diagonal show the kernel density estimate of the distribution of subclonal fractions. In the lower triangle, each point shows the median subclonal fraction per cancer type and intervals indicate the interquartile range. Panels only include samples with at least 5 arm-level CNAs (1,238 / 1,705) and at least 5 SVs (1,609 / 1,705).

Figure S4. Summary signature trajectories per cancer type.

The average trajectories for mutation signatures were calculated across tumors of the same cancer type. The color of the line denotes the signature and its width reflects the number of contributing tumors. The trajectories have been centered around the activity at the boundary between clonal and subclonal mutations in order to highlight relative changes in signature activity.

Figure S5. Clonality analysis of significantly recurrent breakpoints.

(A) Number and clonality of SVs observed at 52 loci with significantly recurrent breakpoints (SRBs) (Rheinbay et al., 2020). SVs with a subclonal probability larger than 50% were considered subclonal and clonal otherwise. (B) Proportion of cancer types contributing to the enrichment of clonal or subclonal SVs in a locus (see **Figure 6A**). The genes on the y-axis represent the most likely driver gene for each locus (Rheinbay et al., 2020).